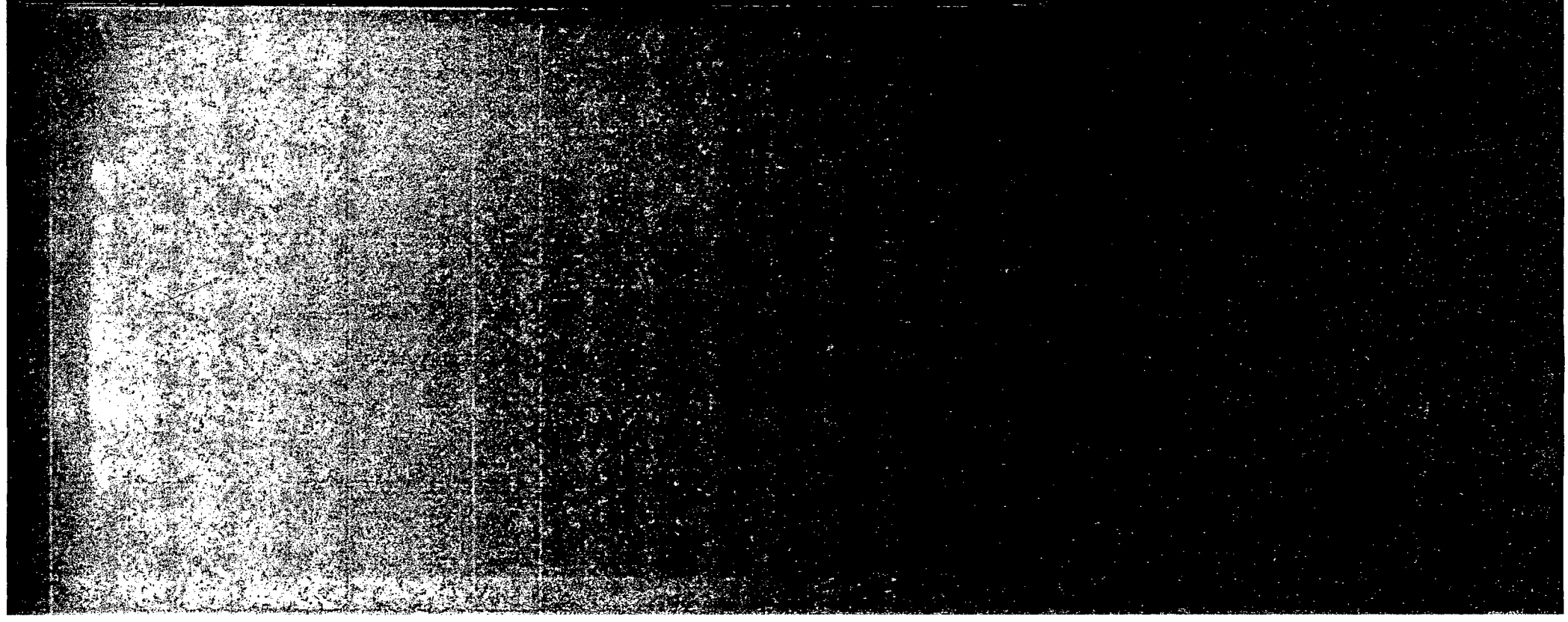
Multnomah County Commissioners

February 27th, 2003

1:06:00



Multnomah County Oregon

Board of Commissioners & Agenda

connecting citizens with information and services

BOARD OF COMMISSIONERS

Diane Linn, Chair
501 SE Hawthorne Boulevard, Suite 600
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FEBRUARY 25 & 27, 2003 BOARD MEETINGS

FASTLOOK AGENDA ITEMS OF INTEREST

Pg 2	9:30 a.m. Tuesday Executive Session
Pg 3	9:30 a.m. Thursday 2002 Multnomah County Financial Report and Other Financial Presentations
Pg 3	10:00 a.m. Thursday Audit on Service Efforts and Accomplishments Report: Social and Health Services
Pg 4	10:45 a.m. Thursday Second Reading and Possible Adoption of ORDINANCE Adding Provisions for Bridge Special Events
Pg 3	11:00 a.m. Thursday 2003-2005 Alcohol and Drug Biennial Implementation Plan
	March 27, 2003 Board Meeting Cancelled

Thursday meetings of the Multnomah County Board of Commissioners are cable-cast live and taped and may be seen by Cable subscribers in Multnomah County at the following times:

Thursday, 9:30 AM, (LIVE) Channel 30
Friday, 11:00 PM, Channel 30
Saturday, 10:00 AM, Channel 30
Sunday, 11:00 AM, Channel 30
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Tuesday, February 25, 2003 - 9:30 AM
Multnomah Building, Sixth Floor Commissioners Conference Room 635
501 SE Hawthorne Boulevard, Portland

EXECUTIVE SESSION

E-1 The Multnomah County Board of Commissioners Will Meet in Executive Session Pursuant to ORS 192.660(1)(h) for Consultation with Counsel Concerning Current Litigation or Litigation Likely to be Filed. Only Representatives of the News Media and Designated Staff are allowed to Attend. Representatives of the News Media and All Other Attendees are Specifically Directed Not to Disclose Information that is the Subject of the Executive Session. No Final Decision will be made in the Executive Session. Presented by Tom Sponsler, Agnes Sowle and Katie Gaetjens. 30 MINUTES REQUESTED.

Thursday, February 27, 2003 - 9:30 AM
Multnomah Building, First Floor Commissioners Boardroom 100
501 SE Hawthorne Boulevard, Portland

REGULAR MEETING

CONSENT CALENDAR - 9:30 AM
DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES

C-1 RESOLUTION Authorizing Private Sale of Certain Tax Foreclosed Property to LAMAR A JR & DONNA L DELANEY, Husband and Wife

DEPARTMENT OF COUNTY HUMAN SERVICES

C-2 Budget Modification DCHS 13 Reducing Safe Schools Budget by $722,196 to Reflect Current Agreement with Portland Public Schools; Net Reduction of 9.06 FTE

SHERIFF'S OFFICE

C-3 Government Revenue Contract (190 Agreement) 0210307 with the City of Fairview for the Provision of Additional Patrols and Records Processing

REGULAR AGENDA - 9:30 AM

PUBLIC COMMENT - 9:30 AM

Opportunity for Public Comment on Non-Agenda Matters. Testimony is Limited to Three Minutes per Person.

DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES - 9:30 AM

R-1 Presentation of Multnomah County's Comprehensive Annual Financial Report, Component Unit Financial Reports, and Schedule of Expenditures of Federal Awards for the year ending June 30, 2002. Presented by Dave Boyer, Finance Director; Mindy Harris, Accounting Manager; Ray Barlow, Senior Assurance Manager, Grant Thornton LLP; and Jack Pessia, Chair, Citizen Audit Committee. 20 MINUTES REQUESTED.

R-2 Presentation of Certificate of Achievement for Excellence in Financial Reporting Award for the Comprehensive Annual Financial Report for June 30, 2001. Presented by Dave Boyer and Mindy Harris.

R-3 Approval of Changes to the Multnomah County Deferred Compensation Plan Document

R-4 Presentation of 2002 Leadership Recognition Award for the Multnomah County Deferred Compensation Plan, from the National Association of Government Defined Contribution Administrators. Presented by Dave Boyer and Mindy Harris.

SHERIFF'S OFFICE - 9:55 AM

R-5 NOTICE OF INTENT to Request Grant Funding from the Transportation Security Administration for Enhanced Facility and Operational Security for Marine Terminals and Waterways in Multnomah County

R-6 RESOLUTION Establishing Fees and Charges For Chapter 15, Sheriff, of the Multnomah County Code and Repealing Resolution No. 99-105

NON-DEPARTMENTAL - 10:00 AM

R-7 Briefing on Service Efforts and Accomplishments Report: Social and Health Services FY 2002. Presented by Suzanne Flynn. 45 MINUTES REQUESTED.

R-8 Second Reading and Possible Adoption of an ORDINANCE Amending Multnomah County Code Chapter 29, Building Regulations, to Add New Provisions Relating to Bridge Special Events

R-9 RESOLUTION Establishing Fees and Charges for Chapter 29, Building Regulations, of the Multnomah County Code and Repealing Resolution No. 02-078

R-10 RESOLUTION Setting a Public Hearing and Directing Notice for Consideration of Boundaries for a People's Utility District

DEPARTMENT OF COUNTY HUMAN SERVICES - 11:00 AM

R-11 Approval of the 2003-2005 Alcohol and Drug Biennial Implementation Plan

MULTNOMAH COUNTY 2003-2004
BUDGET WORK SESSIONS AND HEARINGS

(Unless otherwise noted, all sessions will be held in the Multnomah Building Commissioners Boardroom 100, 501 SE Hawthorne, Portland)

The Tuesday, March 4, March 11, March 18 and April 1 Meetings will be Broadcast Live on Cable Channel 22 (East County subscribers only). The April 15 through June 11 Tuesday and Wednesday Meetings may be Broadcast Live on Cable Channel 21 (Countywide subscribers). Thursday Meetings are Broadcast Live on Cable Channel 30 or log onto http://www.co.multnomah.or.us/cc/board.html to View Live Broadcast. **Check the Cable Coverage schedule at the end of this document for updated information.** All Meetings covered by Cable will be Rebroadcast on Cable and Media Streaming.

Tue, March 4 **9:30 - 12:00 p.m.**	Library
Tue, March 11 **9:30 - 12:00 p.m.**	Early Childhood School Services
Tue, March 18 **9:30 - 12:00 p.m.**	A & D Mental Health Public Safety System
Tue, April 1 **9:30 - 12:00 p.m.**	Health Safety Net Health Redesign
Tue, April 15 **9:30 - 12:00 p.m.**	Shared Services
Tue, April 22 **9:30 - 12:00 p.m.**	Capital Budget/Facilities
Thu, May 1 **9:30 - 12:00 p.m.**	**Chair's 2003-2004 Executive Budget Message** **Public Hearing/Consideration of Resolution Approving Executive Budget for Submission to Tax Supervising and Conservation Commission**
Tue, May 6 **9:00 - 12:00 p.m.**	Financial Overview Central CBAC Chair Presentation Public Safety Service Area

MULTNOMAH COUNTY 2003-2004
BUDGET WORK SESSIONS AND HEARINGS

(Unless otherwise noted, all sessions will be held in the Multnomah Building Commissioners Boardroom 100, 501 SE Hawthorne, Portland)

Tue, May 6 **2:00 - 4:00 p.m.**	Individual Department Briefings: MCSO DCJ DA
Wed, May 7 **9:00 - 12:00 p.m.**	Health and Human Services Service Area
Wed, May 7 **2:00 - 4:00 p.m.**	Individual Department Briefings Health Human Services OSCP CCFC
Wed, May 7 **6:00 - 8:00 p.m.**	**Public Hearing on the 2003-2004 Multnomah County Budget - Multnomah County East Building, Sharron Kelley Conference Room, 600 NE 8th, Gresham**
Thu, May 8 **9:30 - 12:00 p.m.**	**Public Hearing/Consideration of Approval of the 2003-2004 Dunthorpe Riverdale Sanitary Service District No. 1 Proposed Budget for Submittal to Tax Supervising and Conservation Commission** **Public Hearing/Consideration of Approval of the 2003-2004 Mid County Street Lighting Service District No. 14 Proposed Budget for Submittal to Tax Supervising and Conservation Commission**
Tue, May 13 **9:00 - 12:00 p.m.**	General Government Service Area
Tue, May 13 **2:00 - 4:00 p.m.**	Individual Department Briefings DBCS Library Shared Services Non-Departmental

MULTNOMAH COUNTY 2003-2004
BUDGET WORK SESSIONS AND HEARINGS

(Unless otherwise noted, all sessions will be held in the Multnomah Building Commissioners Boardroom 100, 501 SE Hawthorne, Portland)

Wed, May 14 **9:00 - 12:00 p.m.**	Public Safety Follow Up
Wed, May 14 **2:30 - 4:00 p.m.**	Health and Human Services Follow Up
Wed, May 14 **6:00 - 8:00 p.m.**	**Public Hearing on the 2003-2004 Multnomah County Budget - Portland Community College, Cascade Campus, Student Center Building Cafeteria, 705 N Killingsworth, Portland**
Tue, May 20 **9:00 - 12:00 p.m.**	Legislative Update General Government Follow Up
Tue, May 20 **2:00 - 4:00 p.m.**	(t) General Government Follow Up
Wed, May 21 **9:00 - 12:00 p.m.**	(t) General Government Follow Up
Wed, May 21 **2:00 - 4:00 p.m.**	(t) General Government Follow Up
Wed, May 21 **6:00 - 8:00 p.m.**	**Public Hearing on the 2003-2004 Multnomah County Budget - Multnomah Building, Commissioners Boardroom 100, 501 SE Hawthorne, Portland**
Tue, May 27 **9:00 - 12:00 p.m.**	School Policy Framework
Tue, May 27 **2:00 - 4:00 p.m.**	If Needed Budget Work Session
Wed, May 28 **9:00 - 12:00 p.m.**	Amendments
Wed, May 28 **2:00 - 4:00 p.m.**	Amendments

MULTNOMAH COUNTY 2003-2004
BUDGET WORK SESSIONS AND HEARINGS

(Unless otherwise noted, all sessions will be held in the Multnomah Building Commissioners Boardroom 100, 501 SE Hawthorne, Portland)

Tue, June 3 **9:00 - 12:00 p.m.**	Amendments
Tue, June 3 **2:00 - 4:00 p.m.**	Amendments
Wed, June 4 **1:00 - 4:00 p.m.**	Question Follow Up
Thu, June 5 **9:30 - 10:00 a.m.**	**Tax Supervising and Conservation Commission Public Hearing on Multnomah County Budget - Multnomah Building, Commissioners Boardroom 100, 501 SE Hawthorne, Portland**
Tue, June 10 **9:00 - 12:00 p.m.**	Amendments
Tue, June 10 **2:00 - 4:00 p.m.**	Amendments
Wed, June 11 **9:00 - 12:00 p.m.**	Amendments
Wed, June 11 **2:30 - 4:00 p.m.**	Amendments
Thu, June 12 **9:30 - 12:00 p.m.**	**Public Hearing and Resolution Adopting the 2003-2004 Budget for Multnomah County Pursuant to ORS 294** **Public Hearing and Resolution Adopting the 2003-2004 Budget for Dunthorpe Riverdale Sanitary Service District No. 1** **Public Hearing and Resolution Adopting the 2003-2004 Budget for Mid County Street Lighting Service District No. 14 and Making Appropriations** **Public Hearing and Resolution Adopting the 2003-2004 Mt. Hood Cable Regulatory Commission Budget**

MULTNOMAH COUNTY 2003-2004
BUDGET WORK SESSIONS AND HEARINGS

(Unless otherwise noted, all sessions will be held in the Multnomah Building Commissioners Boardroom 100, 501 SE Hawthorne, Portland)

CABLE COVERAGE

Multnomah County 2003-2004 Budget Work Sessions and Hearings

Cable Channel 22 Available to East County Cable Subscribers Only
Cable Channels 29 and 30 Available to Countywide Cable Subscribers

Tuesday March 4, 2003 Multnomah County Budget Work Session

Tue	**Mar 4**	**9:30 AM**	**Channel 22 - LIVE**
Wed	Mar 5	8:00 PM	Channel 29 - Replay
Thu	Mar 6	8:00 PM	Channel 29 - Replay
Sat	Mar 8	6:30 PM	Channel 29 - Replay
Mon	Mar 10	8:00 PM	Channel 29 - Replay

Tuesday March 11, 2003 Multnomah County Budget Work Session

Tue	**Mar 11**	**9:30 AM**	**Channel 22 - LIVE**
Wed	Mar 12	8:00 PM	Channel 29 - Replay
Thu	Mar 13	8:00 PM	Channel 29 - Replay
Sat	Mar 15	6:30 PM	Channel 29 - Replay
Mon	Mar 17	8:00 PM	Channel 29 - Replay

Tuesday March 18, 2003 Multnomah County Budget Work Session

Tue	**Mar 18**	**9:30 AM**	**Channel 22 - LIVE**
Wed	Mar 19	8:00 PM	Channel 29 - Replay
Thu	Mar 20	8:00 PM	Channel 29 - Replay
Sat	Mar 22	6:30 PM	Channel 29 - Replay
Mon	Mar 24	8:00 PM	Channel 29 - Replay

Tuesday April 1, 2003 Multnomah County Budget Work Session

Tue	**Apr 1**	**9:30 AM**	**Channel 22 - LIVE**
Wed	Apr 2	8:00 PM	Channel 29 - Replay
Thu	Apr 3	8:00 PM	Channel 29 - Replay
Sat	Apr 5	6:30 PM	Channel 29 - Replay
Mon	Apr 7	8:00 PM	Channel 29 - Replay

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or: http://www.mctv.org

Lonnie Roberts
Multnomah County Commissioner
District 4

501 SE Hawthorne Boulevard, Suite 600
Portland, Oregon 97214
(503) 988-5213 phone
(503) 988-5262 fax
Email: lonnie.j.roberts@co.multnomah.or.us
www.co.multnomah.or.us/cc/ds4/

MEMORANDUM

DATE: February 7, 2003

TO: Chair Diane Linn
Commissioner Maria Rojo de Steffey, District 1
Commissioner Serena Cruz, District 2
Commissioner Lisa Naito, District 3
Board Clerk Deb Bogstad

FROM: Heather Schraeder
Staff Assistant, Commissioner Lonnie Roberts

RE: Notice of Meeting Excuse

Commissioner Roberts will be absent from the February 27, 2003 Board Meeting and the March 4, 2003 Budget Work Session as he will be attending two conferences in Washington DC.

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:
Meeting Date: February 27, 2003
Agenda Item #: C-1
Est. Start Time: 9:30 AM
Date Submitted: 01/29/03

Requested Date: February 27, 2003 **Time Requested:** N/A

Department: DBCS **Division:** Tax Title

Contact/s: Gary Thomas

Phone: 503-988-3590 **Ext.:** 22591 **I/O Address:** 503/4 Tax Title

Presenters: Consent Calendar

Agenda Title: Authorizing a Private Sale of certain Tax Foreclosed Property to Lamar A Delaney Jr. and Donna L Delaney.

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation?**

   The Tax Title Section is requesting the Board to approve the private sale of a tax foreclosed property to Lamar A Delaney Jr. and Donna L Delaney. The Department of Business & Community Services recommends that the private sale be approved.

2. **Please provide sufficient background information for the Board and the public to understand this issue.**

   The subject property came into Multnomah County Tax Title inventory through the foreclosure of delinquent tax liens on September 26, 1995. The parcel is a strip of land approximately 02.4 inches x 107.25 feet that contains approximately 21.45 square feet. The strip is located between two properties at 6104 and 6118 SE Woodward Street in Portland. Exhibit A, a plat map, shows the location of the property. The property owners

requesting to purchase the property at 6104 SE Woodward intend to consolidate the subject property with the property that they currently own.

Although no written confirmation was obtained from the City of Portland, the Tax Title Section is confident that the shape, size and location of the property make it unsuitable for the construction or placement of a dwelling under current zoning ordinances and building codes, as provided under ORS 275.225. The current zoning under which the subject property falls is R5a, Residential, one unit per 5,000 square feet.

3. **Explain the fiscal impact (current year and ongoing).**

The Private Sale will allow for the full recovery of delinquent taxes, fees, and expenses. The sale will also reinstate the property on the tax roll (see Exhibit C).

4. **Explain any legal and/or policy issues.**

No legal issues are expected. The parcel will be sold "As Is" without guarantee of clear title. This property conforms to those policies as outlined in Multnomah County Code Chapter 7.

5. **Explain any citizen and/or other government participation that has or will take place.**

No citizen or government participation is anticipated.

Required Signatures:

Department/Agency Director: *M. Cecilia Johnson* **Date: 01/28/03**

Budget Analyst

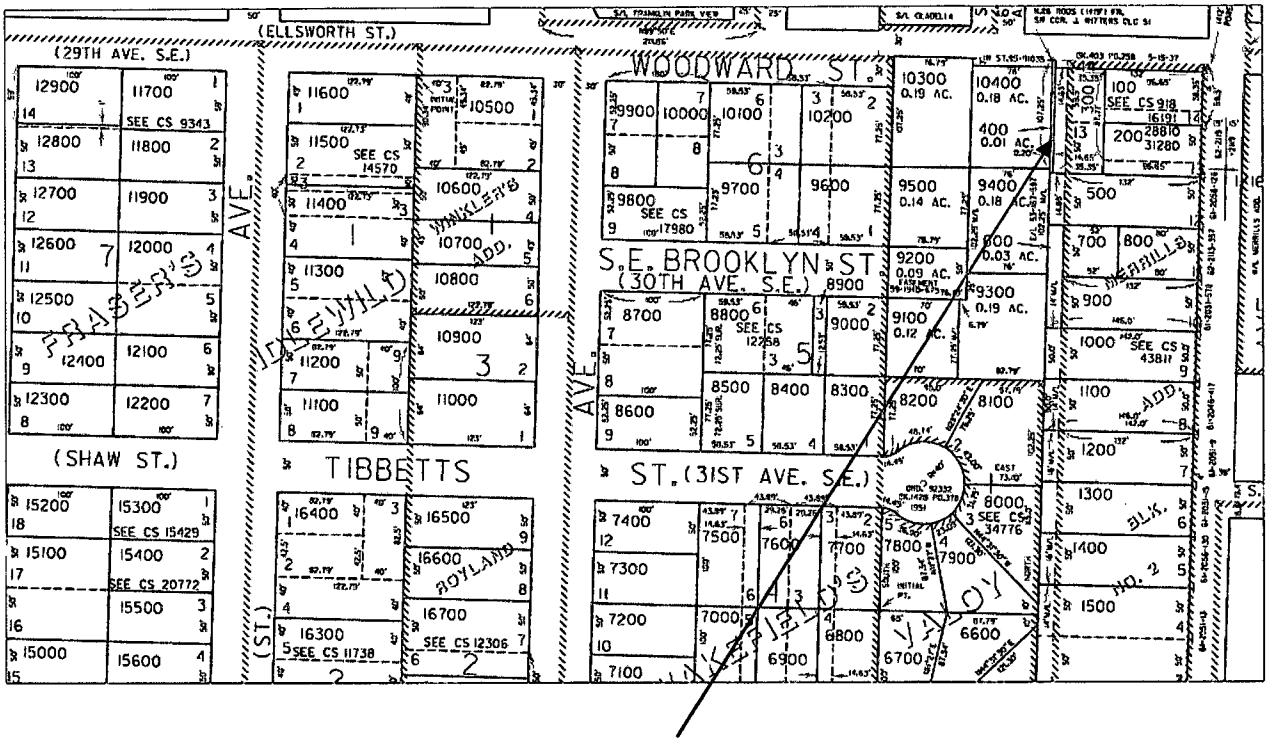
By: **Date:**

Dept/Countywide HR

By: **Date:**

Exhibit A

R333101



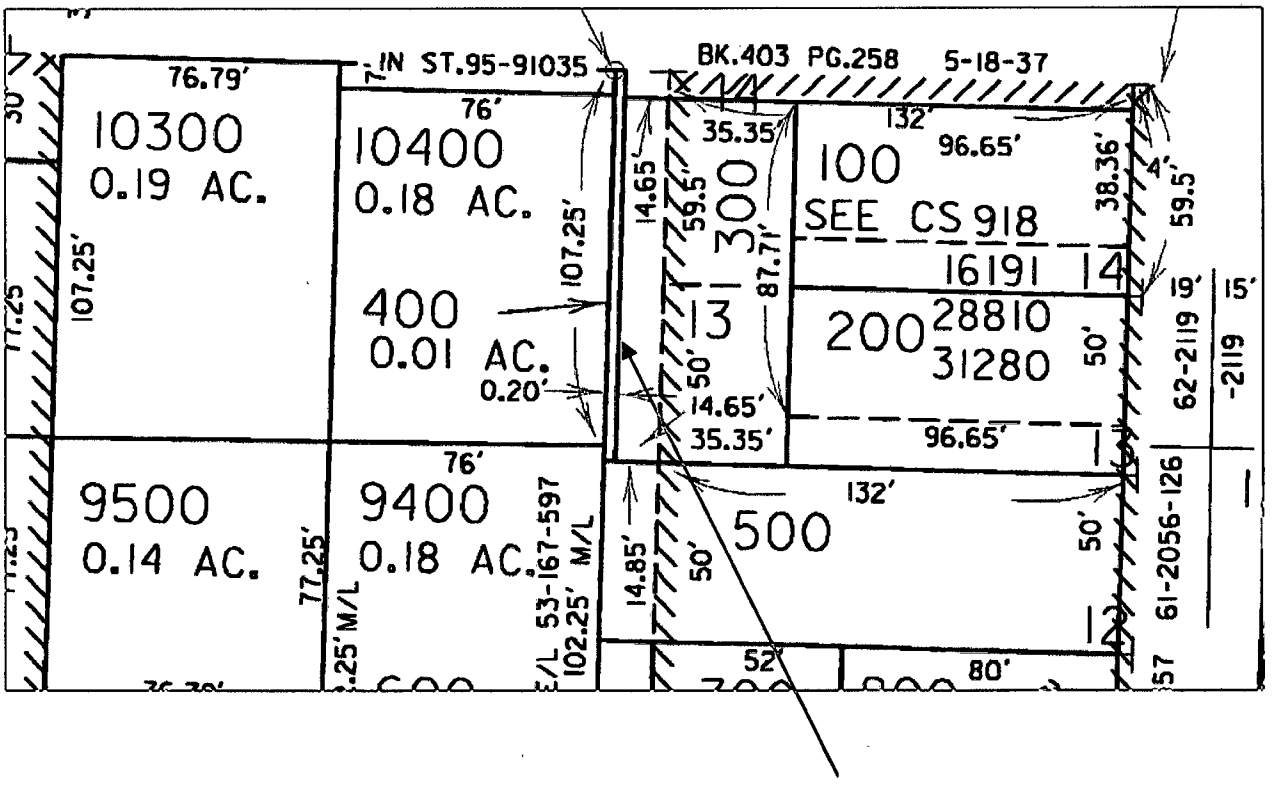


EXHIBIT B
PROPOSED PROPERTY LISTED FOR PRIVATE SALE
FISCAL YEAR 2002-03

LEGAL DESCRIPTION:

The West 0.20 feet of the following:

All of Lots 13 and 14, Block 2 in MERRILL'S ADDITION and also a strip 14.85 feet in width west of and adjoining said Lots 13 and 14, Block 2, MERRILL'S ADDITION

ADJACENT PROPERTY ADDRESS:	Vacant Land Adjacent to 6624 SE 84th Ave
TAX ACCOUNT NUMBER:	R333101
GREENSPACE DESIGNATION:	None
SIZE OF PARCEL:	02.4" x 107.25', approximately 21.45 square feet
ASSESSED VALUE:	$ -0-

ITEMIZED EXPENSES FOR TOTAL PRICE OF PRIVATE SALE:

BACK TAXES & INTEREST:	$100.23
TAX TITLE MAINTENANCE COST & EXPENSES:	$-0-
ADVERTISING COST:	$-0-
RECORDING FEE:	$19.00
CITY LIENS:	-0-
SUB-TOTAL	$119.23
MINIMUM PRICE REQUEST OF PRIVATE SALE	$150.00

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY

RESOLUTION NO._____

Authorizing Private Sale of Certain Tax Foreclosed Property to LAMAR A JR & DONNA L DELANEY, Husband and Wife.

The Multnomah County Board of Commissioners Finds:

a) Multnomah County acquired the real property described below through foreclosure of liens for delinquent taxes.

b) The property has an assessed value of $00.00 on the County's current tax roll.

c) Although no written confirmation from the City of Portland was obtained, the Tax Title Division is confident the shape, size, and location of the property, i.e. 2.4 inches x 107.25' containing approximately 21.45 square feet, make it unsuitable for construction or placement of a dwelling thereon under current zoning ordinances and building codes, as provided under ORS 275.225. The current zoning under which the property falls is R5a, Residential, one unit per 5,000 square feet

d) LAMAR A JR & DONNA L DELANEY have agreed to pay $150.00, an amount the Board finds to be a reasonable price for the property in conformity with ORS 275.225.

The Multnomah County Board of Commissioners Resolves:

1. Upon Tax Title's receipt of the payment of $150.00, the Chair on behalf of Multnomah County, is authorized to execute a deed conveying to LAMAR A JR & DONNA L DELANEY the following real property:

   The West 0.20 feet of the following:

   All of Lots 13 and 14, Block 2 in MERRILL'S ADDITION and also a strip 14.85 feet in width west of and adjoining said Lots 13 and 14, Block 2, MERRILL'S ADDITION

ADOPTED this 27TH day of February 2003.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

_____
Diane M. Linn, Chair

REVIEWED:
THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By Sandra Duffy
Sandra N. Duffy, Assistant County Attorney

Until a change is requested, all tax statements shall be sent to the following address:
LAMAR A JR & DONNA L DELANEY
6118 SE WOODARD ST
PORTLAND OR 97206

After recording, return to:
MULTNOMAH COUNTY
TAX TITLE
503/4

Deed D031885

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to LAMAR A JR & DONNA L DELANEY, Husband and Wife, Grantees, that certain real property, located in the City of Portland, Multnomah County, Oregon more particularly described as follows:

The West 0.20 feet of the following:
All of Lots 13 and 14, Block 2 in MERRILL'S ADDITION and also a strip 14.85 feet in width west of and adjoining said Lots 13 and 14, Block 2, MERRILL'S ADDITION

The true and actual consideration paid for this transfer; stated in the terms of dollars is $150.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of Commissioners the 27th day of February 2003, by authority of a Resolution of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By Sandra Duffy
Sandra N. Duffy, Assistant County Attorney

STATE OF OREGON)
) ss
COUNTY OF MULTNOMAH)

This Deed was acknowledged before me this 27th day of February 2003, by Diane M. Linn, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Deborah Lynn Bogstad
Notary Public for Oregon
My Commission expires: 6/27/05

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 03-026

Authorizing Private Sale of Certain Tax Foreclosed Property to LAMAR A JR & DONNA L DELANEY, Husband and Wife

The Multnomah County Board of Commissioners Finds:

a) Multnomah County acquired the real property described below through foreclosure of liens for delinquent taxes.

b) The property has an assessed value of $00.00 on the County's current tax roll.

c) Although no written confirmation from the City of Portland was obtained, the Tax Title Division is confident the shape, size, and location of the property, i.e. 2.4 inches x 107.25' containing approximately 21.45 square feet, make it unsuitable for construction or placement of a dwelling thereon under current zoning ordinances and building codes, as provided under ORS 275.225. The current zoning under which the property falls is R5a, Residential, one unit per 5,000 square feet

d) LAMAR A JR & DONNA L DELANEY have agreed to pay $150.00, an amount the Board finds to be a reasonable price for the property in conformity with ORS 275.225.

The Multnomah County Board of Commissioners Resolves:

1. Upon Tax Title's receipt of the payment of $150.00, the Chair on behalf of Multnomah County, is authorized to execute a deed conveying to LAMAR A JR & DONNA L DELANEY the following real property:

   The West 0.20 feet of the following:

   All of Lots 13 and 14, Block 2 in MERRILL'S ADDITION and also a strip 14.85 feet in width west of and adjoining said Lots 13 and 14, Block 2, MERRILL'S ADDITION

ADOPTED this 27th day of February 2003.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:
THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By Sandra Duffy
Sandra N. Duffy, Assistant County Attorney

Until a change is requested, all tax statements shall be sent to the following address:
LAMAR A JR & DONNA L DELANEY
6118 SE WOODARD ST
PORTLAND OR 97206

After recording, return to:
MULTNOMAH COUNTY
TAX TITLE
503/4

Deed D031885

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IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of Commissioners the 27th day of February 2003, by authority of a Resolution of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:
THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By Sandra Duffy
Sandra N. Duffy, Assistant County Attorney

STATE OF OREGON)
) ss
COUNTY OF MULTNOMAH)

This Deed was acknowledged before me this 27th day of February 2003, by Diane M. Linn, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Deborah Lynn Bogstad
Notary Public for Oregon
My Commission expires: 6/27/05

Until a change is requested, all tax statements shall be sent to the following address:
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6118 SE WOODARD ST
PORTLAND OR 97206

After recording, return to:
MULTNOMAH COUNTY
TAX TITLE
503/4

Deed D031885

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All of Lots 13 and 14, Block 2 in MERRILL'S ADDITION and also a strip 14.85 feet in width west of and adjoining said Lots 13 and 14, Block 2, MERRILL'S ADDITION

The true and actual consideration paid for this transfer; stated in the terms of dollars is $150.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of Commissioners the 27th day of February 2003, by authority of a Resolution of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:
THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By Sandra Duffy
Sandra N. Duffy, Assistant County Attorney

STATE OF OREGON)
) ss
COUNTY OF MULTNOMAH)

This Deed was acknowledged before me this 27th day of February 2003, by Diane M. Linn, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

OFFICIAL SEAL
DEBORAH LYNN BOGSTAD
NOTARY PUBLIC-OREGON
COMMISSION NO. 345246
MY COMMISSION EXPIRES JUNE 27, 2005

Deborah Lynn Bogstad
Deborah Lynn Bogstad
Notary Public for Oregon
My Commission expires: 6/27/05

AGENDA PLACEMENT REQUEST

BUD MOD: DCHS #13

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA #C-2 DATE 02-27-03
DEB BOGSTAD, BOARD CLERK

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: C-2
Est. Start Time: 9:30 AM
Date Submitted: 01/29/03

Requested Date: February 27, 2003 **Time Requested:** N/A

Department: County Human Services **Division:** Business Services

Contact/s: Don Carlson/Chris Yager

Phone: 503 988-3691 **Ext.:** 83764/26777 **I/O Address:** 166/7

Presenters: Consent Calendar

Agenda Title: Reduce Safe Schools budget by $722,196 to reflect current agreement with Portland Public Schools; net reduction of 9.06 FTE

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation?**

   The Department of County Human Services recommends approval of budget modification DCHS13.

2. **Please provide sufficient background information for the Board and the public to understand this issue.**

   3 years ago Portland Public Schools (PPS), in partnership with Multnomah County and the Portland Police Bureau was award a 3 year grant (Safe Schools/Healthy Student Initiative). The Department of County Human Services is responsible for the mental health component of the grant. The grant ended 9/30/02, however the department budgeted a full year of funding. There are one-time only grant carryover funds that PPS is partially passing on to the county to continue a reduced program until the end of the

school year. The restructured program consists of a .50 FTE CFS Clinical Supervisor and 4.33 FTE Mental Health Consultants.

3. **Explain the fiscal impact (current year and ongoing).**

This only impacts the current year as the revenue agreement ends this school year.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why**? Safe Schools is decreasing by $722,196 to reflect current agreement with Portland Public Schools.
- **What budgets are increased/decreased**? Safe Schools is decreases by $722,196, the insurance fund decreases by $105,297, and the general fund decreases by $47,792.
- **What do the changes accomplish**? Restructures the program for the remaining school year to reflect current funding available.
- **Do any personnel actions result from this budget modification? Explain.** Yes, net reduction of 9.06 FTE
- **Is the revenue one-time-only in nature**?
- **If a grant, what period does the grant cover**?
- **When the grant expires, what are funding plans**?

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process**?
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure**?
- **Why are no other department/agency fund sources available**?
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome**?

If grant application/notice of intent, explain:

- **Who is the granting agency**?
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment**?
- **What are the estimated filing timelines**?
- **If a grant, what period doest the grant cover**?
- **When the grant expires, what are funding plans**?
- **How will the county indirect and departmental overhead costs be covered**?

4. **Explain any legal and/or policy issues.**

N/A

5. **Explain any citizen and/or other government participation that has or will take place.**

Safe Schools is a federal grant awarded to Portland Public Schools.

Required Signatures:

Department/Agency Director: *Donald E. Carlson* **Date: 01/28/03**

Budget Analyst

By: *Michael D. Jaspin* **Date: 01/29/03**

Dept/Countywide HR

By: _____ **Date:**

BUDGET MODIFICATION: DCHS #13

EXPENDITURES & REVENUES

Budget Fiscal Year: 02/03

Please show an increase in revenue as a negative value and a decrease as a positive value for consistency with MERLIN.

Ln No.	Fund Center	Fund Code	Accounting Unit			Cost Element	Current Amount	Revised Amount	Change Increase/ (Decrease)	Subtotal	Description
			Internal Order	*Cost Center*	*WBS Element*						
1	20-80	21330			CA SAFE SS	50195	(1,504,645)	(782,449)	722,196		IG-OP-FED Thru State
2	20-80	21330			CA SAFE SS	60000	0	(602,176)	(602,176)		Permanent
3	20-80	21330			CA SAFE SS	60350	164,197	116,405	(47,792)		Grant Paid Indirect @5.44%
4	20-80	21330			CA SAFE SS	60170	19,200	1,700	(17,500)		Professional Services
5	20-80	21330			CA SAFE SS	60240	45,090	2,160	(42,930)		Supplies
6	20-80	21330			CA SAFE SS	60270	13,556	1,758	(11,798)		Local Travel
7											
8	70-01	3500		705210		50316		105,297	105,297		Service Reim Insurance
9	70-01	3500		705210		60330		(105,297)	(105,297)		Claims Paid
10											
11	19	1000		9500001000		60470			(47,792)		CGF Contignency
12	19	1000		9500001000		50310			47,792		CGF Indirect Revenue
13											
14											
15											
16											
17											
18											
19											
20											
21											
22											
23											
24											
25											
26											
27											
28											
29											
									0	**0**	**Total - Page 1**
									0	**0**	**GRAND TOTAL**

5. **ANNUALIZED PERSONNEL CHANGE**

Change on a full year basis even though this action affects only a part of the fiscal year (FY).

							ANNUALIZED			
Fund	Cost Center	JCN	HR Org Unit	Position Title	Position Number	FTE	BASE PAY	FRINGE	INSUR	TOTAL
	208505	6365	63298	Men Hth Cnsl	700465	(0.13)	(6,169)	(1,331)	(1,500)	(8,999)
	208505	6365	63298	Men Hth Cnsl	700809	(0.08)	(3,883)	(838)	(954)	(5,675)
	208505	6365	63298	Men Hth Cnsl	700874	(0.42)	(20,045)	(4,324)	(4,979)	(29,348)
	208505	6365	63298	Men Hth Cnsl	700884	(0.67)	(33,204)	(7,162)	(8,053)	(48,420)
	208505	6365	63298	Men Hth Cnsl	701405	(0.42)	(20,558)	(4,434)	(5,025)	(30,017)
	208505	6365	63298	Men Hth Cnsl	701914	(0.83)	(39,568)	(8,535)	(9,836)	(57,938)
	208505	9115	63298	Prog Dev Spec SR	703031	(1.00)	(47,544)	(10,255)	(11,839)	(69,638)
	208505	9008	63298	CFS Sup	705225	(0.60)	(37,361)	(8,059)	(7,898)	(53,318)
	208505	6365	63298	Men Hth Cnsl	706576	(0.42)	(18,285)	(3,944)	(4,821)	(27,049)
	208505	6365	63298	Men Hth Cnsl	706658	(0.83)	(37,738)	(8,140)	(9,671)	(55,549)
	208505	6365	63298	Men Hth Cnsl	708704	(0.38)	(17,319)	(3,736)	(4,394)	(25,449)
	208505	6001	63298	OA2	708776	(1.00)	(29,513)	(6,366)	(10,216)	(46,095)
	208505	6365	63298	Men Hth Cnsl	708879	(0.83)	(40,403)	(8,715)	(9,911)	(59,029)
	208505	6020	63298	Prog Dev Tech	708881	(0.50)	(15,493)	(3,342)	(5,175)	(24,010)
	208505	6020	63298	Prog Dev Tech	708882	(1.00)	(30,868)	(6,658)	(10,338)	(47,864)
	208505	6365	63298	Men Hth Cnsl	708883	(0.67)	(36,098)	(7,786)	(8,314)	(52,198)
	208505	6365	63298	Men Hth Cnsl	708884	(0.42)	(19,086)	(4,117)	(4,893)	(28,095)
	208505	6365	63298	Men Hth Cnsl	709107	(0.83)	(44,256)	(9,546)	(10,258)	(64,060)
	208505	6365	63298	Men Hth Cnsl	709119	(0.42)	(19,398)	(4,184)	(4,921)	(28,503)
	208505	6365	63298	Men Hth Cnsl	709121	(0.42)	(18,285)	(3,944)	(4,821)	(27,049)
	208505	6365	63298	Men Hth Cnsl	709123	(0.17)	(7,401)	(1,596)	(1,951)	(10,949)
	208505	6365	63298	Men Hth Cnsl	709837	(0.33)	(17,163)	(3,702)	(4,040)	(24,905)
	208505	6365	63298	Men Hth Cnsl	700111	0.42	20,558	4,434	5,025	30,017
	208505	6365	63298	Men Hth Cnsl	700192	0.17	7,401	1,596	1,951	10,948
	208505	6365	63298	Men Hth Cnsl	708591	(0.42)	(19,096)	(4,119)	(4,894)	(28,109)
	208505	9008	63298	CFS Sup	709836	0.10	5,332	1,150	1,236	7,718
				TOTAL ANNUALIZED CHANGES		(12.09)	(545,441.59)	(117,651.71)	(140,490.10)	(803,583.40)

6. **CURRENT YEAR PERSONNEL DOLLAR CHANGE**

Calculate costs/savings that will take place in this FY; these should explain the actual dollar amounts being changed by this Bud Mod.

							CURRENT YEAR			
Fund	Cost Center	JCN	HR Org Unit	Position Title	Position Number	FTE	BASE PAY	FRINGE	INSUR	TOTAL
	208505	6365	63298	Men Hth Cnsl	700465	(0.09)	(4,626)	(998)	(1,125)	(6,750)
	208505	6365	63298	Men Hth Cnsl	700809	(0.08)	(3,883)	(838)	(954)	(5,675)
	208505	6365	63298	Men Hth Cnsl	700874	(0.32)	(15,034)	(3,243)	(3,734)	(22,011)
	208505	6365	63298	Men Hth Cnsl	700884	(0.50)	(24,903)	(5,372)	(6,040)	(36,315)
	208505	6365	63298	Men Hth Cnsl	701405	(0.42)	(20,558)	(4,434)	(5,025)	(30,017)
	208505	6365	63298	Men Hth Cnsl	701914	(0.62)	(29,676)	(6,401)	(7,377)	(43,454)
	208505	9115	63298	Prog Dev Spec SR	703031	(0.75)	(35,658)	(7,691)	(8,879)	(52,229)
	208505	9008	63298	CFS Sup	705225	(0.45)	(28,021)	(6,044)	(5,924)	(39,988)
	208505	6365	63298	Men Hth Cnsl	706576	(0.32)	(13,714)	(2,958)	(3,616)	(20,287)
	208505	6365	63298	Men Hth Cnsl	706658	(0.62)	(28,303)	(6,105)	(7,253)	(41,661)
	208505	6365	63298	Men Hth Cnsl	708704	(0.28)	(12,990)	(2,802)	(3,295)	(19,087)
	208505	6001	63298	OA2	708776	(0.75)	(22,135)	(4,775)	(7,662)	(34,571)
	208505	6365	63298	Men Hth Cnsl	708879	(0.62)	(30,302)	(6,536)	(7,433)	(44,272)
	208505	6020	63298	Prog Dev Tech	708881	(0.38)	(11,620)	(2,507)	(3,881)	(18,007)
	208505	6020	63298	Prog Dev Tech	708882	(0.75)	(23,151)	(4,994)	(7,754)	(35,898)
	208505	6365	63298	Men Hth Cnsl	708883	(0.50)	(27,074)	(5,840)	(6,236)	(39,149)
	208505	6365	63298	Men Hth Cnsl	708884	(0.32)	(14,314)	(3,088)	(3,670)	(21,072)
	208505	6365	63298	Men Hth Cnsl	709107	(0.62)	(33,192)	(7,159)	(7,693)	(48,045)
	208505	6365	63298	Men Hth Cnsl	709119	(0.32)	(14,548)	(3,138)	(3,691)	(21,377)
	208505	6365	63298	Men Hth Cnsl	709121	(0.32)	(13,714)	(2,958)	(3,616)	(20,287)
	208505	6365	63298	Men Hth Cnsl	709123	(0.17)	(7,401)	(1,596)	(1,951)	(10,948)
	208505	6365	63298	Men Hth Cnsl	709837	(0.25)	(12,872)	(2,777)	(3,030)	(18,679)
	208505	6365	63298	Men Hth Cnsl	700111	0.42	20,558	4,434	5,025	30,017
	208505	6365	63298	Men Hth Cnsl	700192	0.17	7,401	1,596	1,951	10,948
	208505	6365	63298	Men Hth Cnsl	708591	(0.32)	(14,322)	(3,089)	(3,670)	(21,082)
	208505	9008	63298	CFS Sup	709836	0.10	5,332	1,150	1,236	7,718
				TOTAL CURRENT FY CHANGES		(9.06)	(408,719)	(88,161)	(105,297)	(602,176)

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: **February 27, 2003**
Agenda Item #: **C-3**
Est. Start Time: **9:30 AM**
Date Submitted: **02/18/03**

Requested Date: February 27, 2003 **Time Requested:** N/A

Department: Sheriff's Office **Division:** Enforcement

Contact/s: Dave Braaksma

Phone: 503 988-4415 **Ext.:** 84415 **I/O Address:** 503/350/Braaksma

Presenters: Consent Calendar

Agenda Title: Request Approval of Government Contract with the City of Fairview for the Provision of Additional Patrols and Records Processing

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation**? Approval of government contract.

2. **Please provide sufficient background information for the Board and the public to understand this issue.**
The MCSO agrees to provide police service within the corporate limits of the city of Fairview. These services include response to emergency situations where life and property are in danger, criminal law enforcement, traffic enforcement and similar law enforcement activities when requested. This agreement will be in effect from July 1, 2003 through June 30, 2004.

3. **Explain the fiscal impact (current year and ongoing).**
The City of Fairview will pay for patrol services, including use of a marked vehicle, at a rate of $55.23 per hour. However, as this is service upon request, actual revenues are difficult to forecast. The City of Fairview shall also pay MCSO $4,000 per year, payable $1,000 per quarter, for the processing of police records. Total contract value including records equals $10,000 and has been incorporated into the FY04 budget.

4. **Explain any legal and/or policy issues.**
This Contract has been reviewed by the County Attorney's Office.

5. **Explain any citizen and/or other government participation that has or will take place.** The City of Fairview.

Required Signatures:

Department/Agency Director: *Bernie Giusto, Sheriff* **Date: 02/13/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

Contract #: 0210307

Pre-approved Contract Boilerplate (with County Counsel signature) ☐Attached ☐Not Attached Amendment #:

CLASS I	CLASS II	CLASS III
☐ Professional Services not to exceed $50,000 (and not awarded by RFP or Exemption) ☐ Revenue not to exceed $50,000 (and not awarded by RFP or Exemption) ☒ Intergovernmental Agreement (IGA) not to exceed $50,000 ☐ Expenditure ☒ Revenue ☐ Architectural & Engineering not to exceed $10,000 *(for tracking purposes only)*	☐ Professional Services that exceed $50,000 or awarded by RFP or Exemption (regardless of amount) ☐ PCRB Contract ☐ Maintenance Agreement ☐ Licensing Agreement ☐ Construction ☐ Grant ☐ Revenue that exceeds $50,000 or awarded by RFP or Exemption (regardless of amount)	☐ Intergovernmental Agreement (IGA) that exceeds $50,000 ☐ Expenditure ☐ Revenue APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # C-3 DATE 02-27-03 DEB BOGSTAD, BOARD CLERK

Department: Sheriff Division: Enforcement Date: 02/10/03
Originator: Chief Deputy lee Graham Phone: 988-4308 Bldg/Rm: 503/350
Contact: Dave Braaksma Phone: 988-4415 Bldg/Rm: 503/350
Description of Contract: provision of additioanl patrols and City Police record processing
RENEWAL: ☐ PREVIOUS CONTRACT #(S): 0111025, 0111025-1, 0210044
RFP/BID: RFP/BID DATE:
EXEMPTION #/DATE: EXEMPTION EXPIRATION DATE: ORS/AR #:
CONTRACTOR IS: ☐ MBE ☐ WBE ☐ ESB ☐ QRF ☐ N/A ☐ NONE *(Check all boxes that apply)*

Contractor City of Fairview
Address 1300 NE Village St.
Fairview, OR 97024
Attn: Mary Jo Briggs
Phone 503-674-6200
Employer ID# or SS#
Effective Date 07/01/03
Termination Date 06/30/04
Original Contract Amount $ 10,000
Total Amt of Previous Amendments $ -0-
Amount of Amendment $
Total Amount of Agreement $ 10,000

Remittance address *(If different)*

Payment Schedule / Terms
☐ Lump Sum $ ☐ Due on Receipt
☐ Monthly $ ☐ Net 30
☐ Other $ ☐ Other
☐ Requirements Not to Exceed $
Encumber ☐ Yes ☐ No

REQUIRED SIGNATURES:

Department Manager	C D Graham 20223	DATE 2-13-03
Purchasing Manager *(Class II Contracts Only)*		DATE
County Counsel	Scott Harlow	DATE 2-18-03
County Chair	Diane Linn	DATE 2-29-03
Sheriff	Bernie Giusto	DATE 2-13-03
Contract Administration *(Class I, Class II Contracts only)*		DATE

LGFS VENDOR CODE						DEPT REFERENCE					
LINE #	FUND	AGENCY	ORG	SUB ORG	ACTIVITY	OBJ/ REV	SUB OBJ	REP CAT	LGFS DESCRIPTION	AMOUNT	INC DEC
01											
02											
03											

Exhibit A, Rev. 3/25/98 DIST: Originator, Accts Payable, Contract Admin - Original *If additional space is needed, attach separate page. Write contract # on top of page.*

60165/50256

Contract # 0210307

INTERGOVERNMENTAL AGREEMENT

This Agreement is made and entered into pursuant to the authority found in ORS 190.010 et seq. and ORS 206.345 by and between the Multnomah County Sheriff's Office (MCSO), jointly with and on behalf of Multnomah County, and the City of Fairview (CITY), a municipal corporation in the State of Oregon.

WHEREAS, the CITY is desirous of contracting with the MCSO for the performance of law enforcement functions within its boundaries; and

WHEREAS, the MCSO agrees to render such service in the terms and conditions hereinafter set forth.

IN CONSIDERATION of those mutual promises and the terms and conditions set forth hereafter, and pursuant to the provisions of ORS chapter 190, the parties agree to be bound as follows:

LEVEL OF SERVICE

1. The MCSO agrees to provide police service within the corporate limits of the CITY. The police services shall include the duties and enforcement functions customarily rendered by the MCSO under the statutes of the State of Oregon and the CITY. These services shall include response to emergency situations where life and property are in danger, criminal law enforcement, traffic enforcement, and similar law enforcement activities within the legal authority of the MCSO to provide. The MCSO and CITY agree to meet and discuss which CITY ordinances the MCSO will enforce. The parties agree that ORS 206.345(2), which provides, *"During the existence of the contract, the Sheriff and the deputies of the Sheriff shall exercise such authority as may be vested in them by terms of the contract, including full power and authority to arrest for violation of all duly enacted ordinances of the contracting city"* shall prevail and both parties shall perform accordingly.

2. The CITY agrees that all matters incident to the performance of the services provided hereunder, including standards of performance, and supervision and discipline of assigned personnel, shall be and remain the responsibility of the MCSO. The CITY further agrees that the assigned personnel provide hereunder by MCSO shall be and remain employees of the COUNTY. The assigned personnel shall be supervised by MCSO and shall perform their duties in accordance with the administrative and operational procedures of the MCSO.

3. The MCSO agrees to provide all necessary labor, supervision, equipment, communication facilities, and supplies necessary to provide the services described herein.

4. The MCSO shall make available for the performance of the services described herein, properly supervised deputy sheriffs, certified as police officers by the Oregon Board on Police Standards and Training.

5. The MCSO agrees to respond to calls for service seven days per week, within the CITY limits, during those hours when no Fairview police officer is assigned to patrol duty.

6. The CITY retains the right to notify BOEC as to the priority number of calls they wish MCSO to respond to.

7. MCSO shall provide for the processing of CITY police records. The processing shall include:
   a. Issuing a file number
   b. Receiving and logging in the report
   c. Reading, copying and distributing the report
   d. Delivering the report to the Multnomah County District Attorney's Office
   e. Entering the report into the Portland Police Data System (PPDS)
   f. Provide Quality Control measures to ensure accuracy of information.

CONTRACT ADMINISTRATION

8. The Sheriff or his designated representative will represent the MCSO in all matters pertaining to this Agreement.

9. The City will designate a person as "Liaison to Law Enforcement" from the City Administrators Office to represent the city.

10. Any notice or notices provided for by this Agreement or by law to be given or served upon the MCSO shall be given or served by letter deposited in the United States mail, postage prepaid, and addressed:

    Bernie Giusto, Sheriff
    Multnomah County Sheriff's Office
    501 SE Hawthorne, Suite 350
    Portland, Oregon 97214

    Any notice or notices provided for by this agreement or by law to be given or served upon City may be given or served by letter deposited in United States mail, postage prepaid and addressed:

    Mary Jo Briggs
    City of Fairview
    1300 NE Village Street
    Fairview, Oregon 97024

11. The CITY shall designate in writing a representative who shall be authorized to request special emergency patrols or responses from the MCSO.

12. The Sheriff shall designate a representative of the Sheriff's Office to address special requests from the CITY. The name of such representative will be provided to the Police Chief of Fairview.

REPORTS AND RECORDS

13. The MCSO agrees that all records generated under this contract shall be made available to the CITY to audit and examine. The CITY agrees that any audit shall be arranged by contacting the Sheriff or his representative at least ten (10) working days prior to the commencement of the audit and shall be conducted at any time during normal working hours.

14. The MCSO shall provide to CITY a monthly report that includes the number of incidents to which MCSO responded, the amount of time spent on incidents, and the assigned incident number. MCSO will use BOEC documents as well as Deputies daily reports to verify hours

spent on dispatched calls. MCSO agrees that its personnel will make certain that a copy of all reports written concerning incidents occurring in Fairview will be forward to the Fairview Police Department.

15. The CITY agrees to give MCSO as much advance notice of unfilled shifts as is practical.

16. The hourly charge will be based on time spent by the primary MCSO car dispatched. Mutual aid will not be considered chargeable.

    16a. MCSO can cite Fairview violations occurring in the city limits of Fairview to Municipal Court. Fairview Police Department will provide MCSO with the proper citations form.

INDEMNIFICATION

17. All personnel provided by the MCSO in the performance of this contract shall be MCSO officers and employees. The CITY shall have no liability for any salaries, wages, workmen's compensation, or incidental personal expenses to any MCSO officers and employees engaged in such performance.

18. Subject to limitations of the Oregon Torts Claims Act and the Oregon Constitution, the MCSO and the COUNTY shall indemnify, defend and hold harmless the CITY, its officers, employees and agents from all claims, suits, actions or expenses of any nature resulting from or arising out of the acts, errors or omissions of the MCSO's assigned personnel acting pursuant to the terms of this Agreement.

19. Subject to limitations of the Oregon Torts Claims Act and the Oregon Constitution, the CITY shall indemnify, defend and hold harmless the MCSO and the COUNTY, its officers, employees and agents from all claims, suits, actions or expenses of any nature resulting from or arising out of the acts, errors or omissions of the CITY's assigned personnel acting pursuant to the terms of this Agreement.

CONTRACT MODIFICATION AND AMENDMENT

20. The MCSO and CITY agree that this Agreement may be modified or amended by mutual agreement of the parties. Any modification to this Agreement shall be effective only when incorporated herein by written amendments and signed by both CITY and the Multnomah County Sheriff, and approved by the Multnomah County Board of Commissioners.

CONTRACT COST

21. The CITY shall pay to the MCSO for patrol services as provided at paragraph 5 above at a rate of $55.23 per hour. This rate includes $41.96 per hour for a patrol officer (based on the salary and benefits for an average Deputy Sheriff in Fiscal year 2003-04). Also included in this rate is the hourly cost of a marked vehicle of $10.60 per hour, plus indirect costs of $2.67 per hour (based on an indirect cost rate of 5.08 %). Payment of such services is to be made on a quarterly basis. Payments will be mailed to:

Sharon Lowell
Multnomah County Sheriff's Office
501 SE Hawthorne Blvd., Suite 350
Portland, Oregon 97214

21a. The CITY shall also pay MCSO for providing police records processing as outlined in Paragraph

7 above at the rate of $4,000 per year, payable $1,000 per quarter.

21b. Invoicing for providing said services shall be included in the quarterly billing from MCSO.

22. Scheduling, payment of salary, benefits, and all other employee rights shall be in compliance with the negotiated contract between the Multnomah County Deputy Sheriff's Association and Multnomah County.

TERMS OF AGREEMENT

23. This agreement shall be from July 1, 2003 through June 30, 2004 unless terminated as provided in paragraph 23 and 24 below.

RENEWAL/TERMINATION

24. It is mutually agreed that in the event the parties to this Agreement desire to renew this contract after the expiration thereof, they shall notify the other party within 90 days prior to its expiration.

25. It is mutually agreed that either party to this agreement may terminate said agreement by giving 90 days written notice.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized officers on the date written below.

City of Fairview

By: _____
Mary Jo Briggs, City administrator

Date: _____

Multnomah County

By: _____
Bernie Giusto, Sheriff

Date: 2-13-03

By: _____
Diane M. Linn, County Chair

Date: 2.27.03

Reviewed: _____
Assistant County Attorney

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # C-3 DATE 02.27.03
DEB BOGSTAD, BOARD CLERK

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-1
Est. Start Time: 9:30 AM
Date Submitted: 02/03/03

Requested Date: 2/27/03 **Time Requested:** 20 minutes
Time certain 9:30 AM requested

Department: Business & Community Services **Division:** Finance

Contact/s: Mindy Harris

Phone: 503 988-3786 **Ext.:** 83786 **I/O Address:** 503/4

Presenters: Dave Boyer, Finance Director
Mindy Harris, Accounting Manager
Ray Barlow, Senior Assurance Manager, Grant Thornton LLP
Jack Pessia, Chair, Citizen Audit Committee

Agenda Title: Presentation of Multnomah County's Comprehensive Annual Financial Report, Component Unit Financial Reports, and Schedule of Expenditures of Federal Awards for the year ending June 30, 2002

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

---

1. **What action are you requesting from the Board? What is the department/agency recommendation**? Board briefing of FY02 Comprehensive Annual Financial Report, Component Unit Financial Reports, and Schedule of Expenditures of Federal Awards.

   Please provide sufficient background information for the Board and the public to understand this issue. The County's Comprehensive Annual Financial Report provides a summary of the County's overall financial condition, as well as the status of each fund managed by the County. The component unit reports summarize the financial activities of the Dunthorpe Riverdale and Mid County Service Districts. The Schedule of

Expenditures of Federal Awards describes the County's level of compliance with federal requirements for grant funded programs.

The highlights of the reports are:

a. The County received an unqualified opinion from the auditors, indicating that the financial statements fairly represent the financial position of Multnomah County.
b. The auditors and management did not encounter any disagreements.
c. The financial reports were prepared according to generally accepted accounting principles.
d. The auditors did not determine any audit adjustments.
e. The County complied with:
    i. The legal requirements related to debt.
    ii. The appropriate laws pertaining to programs funded by other governmental agencies.
    iii. OR 279 regarding the awarding of public contracts.
    iv. ORS 295 relating to collateral requirements.
    v. Cost accounting guidelines.
f. The County had budgetary overexpenditures in three dedicated funds. All overexpenditures were offset by revenues earned in FY02. The overexpenditures are identified and explained on page 43 of the Comprehensive Annual Financial Report.
g. The County did not have any instances of non-compliance with the Federal Government's OMB Circular A-133 relating to grant monies.
h. Report to Management – action to be taken is noted in Management's Response within the report.

In addition to the highlights listed above, it should also be noted that the CAFR this year is significantly different that prior years' reports. The CAFR is the first issued by the County to adopt the changes in reporting requirements promulgated by the Governmental Accounting Standards Board (GASB) Statement No. 34. The objective of GASB 34 is to enhance the understandability and usefulness of the general purpose financial statements to the citizens, legislative and oversight bodies, and investors and creditors.

3. **Explain the fiscal impact (current year and ongoing).** No fiscal impact.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** None.

5. **Explain any citizen and/or other government participation that has or will take place.** The County's Audit Committee met with Grant Thornton, LLP and County staff prior to the audit and has reviewed all financial reports.

Required Signatures:

Department/Agency Director: *M. Cecilia Johnson* **Date: 01/31/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

Grant Thornton

Accountants and Business Advisors

January 28, 2003

The Board of County Commissioners
Multnomah County
501 SE Hawthorne Blvd, 4th Floor
Portland, Oregon 97214

Ladies and Gentlemen:

In connection with our audit of the financial statements of Multnomah County as of June 30, 2002, we noted certain matters that we believe you should consider. Our observations were formed as a by-product of our audit procedures, which did not include a comprehensive review for the purpose of submitting detailed recommendations. The matters discussed herein are those that we have noted as of December 6, 2002 and we have not updated our procedures regarding these matters since that date to the current date.

Deposit Payable

The balance in the deposit payable account at year-end represents a liability for the cumulative excess of State receipts over the County's actual expenditures. The County receives a biennial payment from the State of Oregon and records the funds in this account. As the County incurs expenditures for various programs, the deposits payable account is debited and revenue is recognized. Periodically the County attempts to reconcile this account with the State of Oregon. It appears from our review of this account that there is some discrepancy between what the State of Oregon claims the County owes and what the County's records indicate they owe. In most cases the State records indicate the County owes more than what is reflected in the liability account balance. As such, determining whether the liability amounts were properly accrued at year-end required a significant amount of time. In addition, during our review of the reconciliation of this account, we noted a couple of large balances were carried over from prior fiscal years due to the conversion to the SAP accounting system. This ultimately made it difficult to determine which transactions within the account had been settled with the State. In order for the County to be able to defend their liability to the State, the County must be able to support their higher level of expenditures.

We recommend this account be reconciled monthly. All detail transactions in this account should be reviewed by management to prevent posting incorrect entries. Transactions recorded should be fully supported and carryover balances should be further investigated and supported. Management should also evaluate the overall reasonableness of this liability account to ensure liability is appropriately stated. By reconciling this account monthly, the County will be able to effectively support the higher level of expenditures incurred and therefore reduce the amounts owed back to the State. If this reconciliation is not

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Grant Thornton LLP
US Member of Grant Thornton International

done timely, it becomes more difficult for the County to support a lower payment back to the State and the County could possibly have to pay more back to the State than they should.

Management's Response
Management is currently working closely with department staff in order to effectively reconcile this account and properly support the County's liability position with the State of Oregon. We have established a contact at the State of Oregon who has been working with us since November in order to settle older bienniums. In addition, during our discussions with the State we implemented new procedures to follow in order to properly dispute amounts with the State. These are effective changes and management will continue to monitor this account on a regular basis and identify and clean-up old outstanding transactions.

Accounts Receivable and Deferred Revenue

During the testing of account receivables and deferred revenue, we noted significant numbers of related transactions that had not been cleared against each other; however they remained as detail transactions in the open item listing. For example, a few receivable credit balances related to the Health Department were offset by debits in the detail listing. When amounts received are slightly different than the related receivable, the amounts do not clear out of the system, but rather accumulate as offsetting amounts. As offsetting amounts accumulate in the open detail listing it becomes more and more difficult to reconcile the accounts.

We recommend that departments implement procedures to ensure cleared transactions are removed from the "open" detail. Reconciliation should be done monthly to keep the volume of data to a manageable size.

Management's Response
We have been reviewing the detail transaction listing and working towards reconciling the volume of activity within these accounts. In addition management is working to educate and train individual departments on the importance of using the SAP accounting system to effectively clear off-setting transactions and properly recording accruals at year-end. The County is also preparing for the conversion to a new patient database in the Health Department, which will also address and improve this problem. The conversion is scheduled to take place in the fall of 2003 and management is dedicated to working with the Health Department to effectively reconcile the accounts receivable and deferred revenue account and reduce the volume of transactions currently recorded in these accounts.

Service District Budget Adoption

Oregon budget law requires notification of the budget hearing be published 5-30 days prior the budget hearing. Oregon Revised Statutes require that we audit the budget adoption calendar as part of the annual financial statement audit. During our testing of the budget adoption calendar we noted that the Districts did

not publish notification for public hearing. We also noted that the budget was not filed timely with TSCC.

We recommend the Director of Business and Community Services review the budget process for compliance of Oregon's Local Budget Law and ensure that the steps outlined in the budget process calendar are monitored.

Management's Response
The Service District budget timeline has been incorporated with the timeline for the County's budget. Advertisements for the Service District's public hearings will be included with the County's public notice, and will be advertised in accordance with Oregon Local Budget Law.

Multnomah County Single Audit – Control Procedures

During our testing of federal financial expenditures, we noted instances where existing control procedures were not followed. These instances occurred at the Department of County Human Services. Three of the 30 expenditures selected for testing did not contain signatures authorizing the payment as required by existing policies. Two of these amounts were for fixed monthly payments. Even though they are fixed payments they should be approved monthly to authorize their continued payment.

We recommend that personnel are periodically reminded of existing control procedures and reminded of the importance of them. We also recommend that the County reevaluate the lists of authorized signers to streamline this process and avoid confusion with the control process.

Management's Response
The Central Finance Division has recently worked with all County departments to complete a full update of all authorized signatures. As part of this update, department staff were reminded of the importance of control procedures and of the County policy to obtain managerial approval on all payment transactions.

* * * * * *

Should you desire further information concerning these matters, we will be happy to meet with you at your convenience.

Very truly yours,

Grant Thornton S&P

BOGSTAD Deborah L

From: CHENG Ken D on behalf of CHAIR Mult
Sent: Wednesday, February 19, 2003 2:13 PM
To: #ALL CHAIR'S OFFICE
Subject: FW:

-----Original Message-----
From: NAITO Lisa H
Sent: Wednesday, February 19, 2003 2:00 PM
To: CHAIR Mult; #ALL DISTRICT 1; #ALL DISTRICT 2; #ALL DISTRICT 3; #ALL DISTRICT 4
Cc: BOYER Dave A
Subject:

Greetings!

I am the Commissioner representing the Board on the Audit Committee and attended the meeting yesterday. I regret I am not able to attend the Board meeting on the February 27th and wanted to let you know my reactions to the Comprehensive Annual Financial Report for the Fiscal Year ending June 30, 2002. The Report will be presented at that Board meeting.

Certified public accountants Grant Thornton LLP gave us an unqualified opinion on our financial reports. Additionally, we received a Certificate of Achievement for Excellence in Financial Reporting for the Report for the fiscal year ending June 30, 2001. This is excellent news considering the new guidelines for financial reporting required by the Governmental Standards Board (GASB) Statement 34. Very few jurisdictions have complied with these new requirements yet.

At the Board meeting, please publicly acknowledge the hard work of Mindy Harris and Cara Fitzpatrick in helping the County achieve this high standard of financial reporting. Great job!

As usual, there were minor issues that came up during the audit expressed in a letter by Grant Thornton to the Board. These were discussed at the Audit Committee. I believe staff has plans to rectify all of these matters.

Thank you,

Lisa

MULTNOMAH COUNTY OREGON

COMPREHENSIVE ANNUAL FINANCIAL REPORT
Fiscal Year Ended June 30, 2002

Multnomah County East

Multnomah County East is the result of years of hard work by local advocates for health care access, seniors, the disabled, and community residents. The 88,000 square foot building is designed to meet the needs of multiple groups, from newborns to older adults, and will serve the growing East County population. The structure replaced deteriorating facilities and limited space that housed county programs. Community advisors contributed to the public siting process, building design, and building accessibility.

The Multnomah County East facility serves the cities of Fairview, Gresham, Troutdale, Wood Village, and many communities east of 162nd Avenue to Bonneville Dam. It is accessible by both MAX and multiple Tri-Met bus lines.

Because of its large size, the facility was designed to gradually step back from one to three stories so as not to appear overwhelming to people approaching the building. The long and narrow dimensions of the site dictated the footprint of Multnomah County East. The curve of the building wall follows the curve of the site and the curved architectural form is an element repeated throughout the interior.

Multnomah County East serves as a center for public-private partnerships, by housing both County operations and programs sponsored by private non-profit agencies.

Building Tenants

- Aging and Disability Services*
- Developmental Disabilities Services*
- East County Ministries U-Ride
- YWCA East County Senior District Center
- Independent Living Resources
- Gresham Seniors United
- Ambleside Loaves and Fishes
- Commissioner Lonnie Roberts' East County Office*
- Health Department East County Dental*
- Health Department Neighborhood Health Team*
- Health Department WIC Services*
- Health Department Primary Care Health Clinic*

* Multnomah County Services

Photo Courtesy of John Harris

MULTNOMAH COUNTY, OREGON

COMPREHENSIVE ANNUAL FINANCIAL REPORT

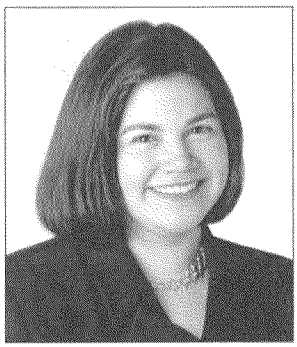
FOR THE FISCAL YEAR ENDED JUNE 30, 2002

Prepared by:
Finance Division
David A. Boyer, Finance Director
501 SE Hawthorne Blvd, 4th Floor
PO Box 14700
Portland, Oregon 97293-0700

ELECTED OFFICIALS - MULTNOMAH COUNTY OREGON

DIANE LINN
Chair

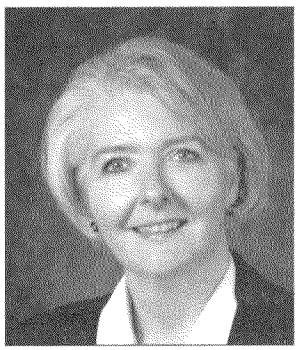
MARIA ROJO DE STEFFEY
Commissioner



SERENA CRUZ
Commissioner

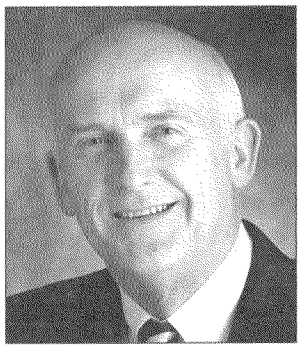
LISA NAITO
Commissioner

LONNIE ROBERTS
Commissioner



SUZANNE FLYNN
Auditor

DAN NOELLE
Sheriff



MICHAEL SCHRUNK
District Attorney

MULTNOMAH COUNTY, OREGON
Comprehensive Annual Financial Report
For the Year Ended June 30, 2002
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INTRODUCTORY SECTION

MULTNOMAH COUNTY OREGON

COUNTY COMMISSIONERS	DEPARTMENT OF BUSINESS & COMMUNITY SERVICES FINANCE PROGRAM AREA
DIANE LINN, CHAIR	MULTNOMAH BUILDING
MARIA ROJO DESTEFFEY, DISTRICT #1	501 SE HAWTHORNE BLVD
SERENA CRUZ, DISTRICT #2	PO BOX 14700
LISA NAITO, DISTRICT #3	PORTLAND, OR 97293-0700
LONNIE ROBERTS, DISTRICT #4	PHONE (503) 988-3312
	FAX (503) 988-3292 TDD (503) 988-5170

December 17, 2002

Honorable County Chair, Board of County Commissioners
and Citizens of Multnomah County, Oregon

INTRODUCTION

We are pleased to submit the Comprehensive Annual Financial Report of Multnomah County, Oregon, for the fiscal year ended June 30, 2002, together with the opinion thereon of our independent certified public accountants, Grant Thornton LLP. This report, required by State law, Oregon Revised Statutes 297.425, is prepared by the Finance Program Area. Also included are Audit Comments and Disclosures required under the Minimum Standards for Audits of Oregon Municipal Corporations Section of the Oregon Administrative Rules.

In addition, the County is required to have a comprehensive single audit of its Federal Assistance Programs in accordance with the Single Audit Act of 1984, OMB Circular A-133 and the provisions of Government Auditing Standards promulgated by the U.S. Comptroller General as they pertain to financial and compliance audits. A report on the County's compliance with applicable Federal laws and regulations related to the Single Audit Act of 1984 has been issued under separate cover.

With these statements the County is implementing the requirements of Governmental Standards Board (GASB) Statement 34, *Basic Financial Statements and Management's Discussion and Analysis for State and Local Governments* issued in June 1999. This statement establishes new financial reporting requirements. The format and purpose of these changes are addressed in Management's Discussion and Analysis.

This report is prepared in conformance with the guidelines for financial reporting developed by the Government Finance Officers Association of the United States and Canada and the principles established by GASB, including all effective GASB pronouncements. It presents fairly the financial position of the various funds and account groups of the County at June 30, 2002, and the results of operations of such funds and the cash flows of the proprietary fund types for the year then ended in conformity with accounting principles generally accepted in the United States of America (GAAP). The report consists of management's representations concerning the finances of the County. Consequently, management assumes full responsibility for the completeness and reliability of all information presented in this report. To provide a reasonable basis for making these representations, County management has established a comprehensive internal control framework that is designed both to protect the government's assets from loss, theft, or misuse and to compile sufficient reliable information for the preparation of the County's financial statements. Because the cost of internal controls should not outweigh their benefits, the County's comprehensive framework of internal controls has been designed to provide reasonable assurance that the financial statements

will be free from material misstatement. As management, we assert that, to the best of our knowledge and belief, this financial report is complete and reliable in all material respects.

GAAP requires that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis (MD&A). This letter of transmittal is designed to complement MD&A and should be read in conjunction with it. Multnomah County's MD&A can be found immediately following the independent auditors' report.

PROFILE OF MULTNOMAH COUNTY, OREGON

Multnomah County, incorporated in 1854, is located in northwestern Oregon at the confluence of the Columbia and Willamette rivers, approximately 110 river miles and 80 highway miles from the Pacific Ocean. The County covers 465 square miles, and serves a population of 662,400 citizens. The cities of Portland and Gresham are the largest incorporated cities in the County.

Multnomah County is governed according to its Home Rule Charter, which became effective January 1967. The County's charter adopted in 1967 has had several subsequent amendments. The County is governed by a Board of County Commissioners consisting of four non-partisan members elected from designated districts within the County and the Chair of the Board, elected at large. The Board of County Commissioners conducts all legislative business of the County.

Multnomah County provides a full range of services, including public safety protection; corrections and probation; construction and maintenance of roads, highways, bridges and other infrastructure; health and social services; library and community enhancement; and internal business support. Certain sanitary and lighting services are provided as legally separate Service District Authorities, which function, in essence, as departments of the County and therefore have been included as integral parts of the County's financial statements. Additional information on these legally separate entities can be found in note 1 of the notes to the financial statements.

The County's budget is prepared on the modified accrual basis of accounting. In accordance with State statutes, the County budgets all funds except trust and agency funds. The County budget is adopted by the Board of County Commissioners by department for each fund. The expenditure appropriations lapse at the end of the fiscal year. Additional resources and corresponding appropriations may be added to the budget during the fiscal year through a supplemental budget process. Original and supplemental budgets may be modified during the fiscal year by the use of appropriation transfers between categories. The appropriation transfers must be approved by the Board of County Commissioners in public meetings. During the fiscal year, one supplemental budget was adopted.

Budget to actual comparisons are provided in this report for each individual governmental fund for which an appropriated annual budget has been adopted. For the general fund and the federal state program fund – this comparison is provided on pages 29-30 as part of the basic financial statements for the governmental funds. For all other governmental funds with appropriated annual budgets, this comparison is presented in the governmental fund subsection of this report, which starts on page 63.

FACTORS AFFECTING FINANCIAL CONDITION

Local Economy: The Portland metropolitan area is the financial, trade, transportation and service center for Oregon, southwest Washington State and the Columbia River basin. Its manufacturing base includes electronics, machinery, transportation equipment and fabricated metals.

The Portland-Vancouver, OR-WA PMSA's (Primary Metropolitan Statistical Area) economy declined during fiscal year 2002. The area's unemployment rate was 7.5% at June 30, 2002, compared to a rate of 5.8% at June 30, 2001. The Portland-Vancouver Consumer Price Index (CPI) (1982-84 = 100) was 183.5 at June 30, 2002, and represents a 2.2% increase from June 30, 2001.

Financial outlook: The financial outlook for the County's General Fund over the next five years is expected to decline. The regional economy is not expected to grow and a recovery before 2003 is questionable. The State of Oregon's recent forecast also suggests a continued downturn of business activity.

The region's sluggish economy is impacting the County's revenue stream. Property taxes are expected to grow at between 2% to 3%. Business Income Tax is expected to remain constant with little or no growth and Motor Vehicle Rental Tax is also expected to remain flat. Overall revenue growth is forecast between 3% to 3.5% over the next few years. Even if inflation remains at its current low levels, the level of revenue growth will not be sufficient to provide funding at current service levels. To address this shortfall the County will be making budgetary cuts of approximately $20,000 for fiscal year 2003 to maintain priority programs and adequate reserves.

Financial planning. The County Chair has created a Cabinet that consists of the Board Chair's Chief Operating Officer, Department Directors, District Attorney, Sheriff, Chief Financial Officer, Chief Budget Officer, Chief Personnel Officer, Chief Information Officer, Facilities Director and Union Presidents. The Cabinet has begun to have planning sessions to develop short-term and long-term goals and to address the financial stability of the County. The County's Financial and Budget Officers also meets with City of Portland Financial and Budget Officers to confer on financial issues that either overlap or impact each entity. The Library local option tax levy will be up for renewal and operating funds for the new Wapato jail and secure alcohol and drug treatment center will need to come from a local option levy.

Cash management policies and practices. To obtain maximum return on investments, the County pools most funds for investment purposes with the State Treasurer's investment pool. Other cash temporarily idle during the year was invested in US Treasury notes, repurchase agreements, municipal bonds, bankers' acceptances, commercial paper, and certificates of deposits. Investment transactions are governed by a written Investment Policy, which regulates the County's investment objectives, diversification, limitations and reporting requirements, and which is reviewed and adopted annually by the Board of County Commissioners. The County also utilizes an independent Investment Advisory Board to review the County's investment plan and investment performance.

The maturities of the investments range from one day to three years with an average maturity of six to nine months. The average yield on investments was 3.65%. Investment income includes appreciation in the fair value of investments. Increases in fair value during the current year, however, do not necessarily represent trends that will continue, nor is it always possible to realize such amounts, especially in the case of temporary changes in the fair value of investments that the County intends to hold to maturity.

Risk management. The County has established an internal service fund to account for risk management activities and to protect the human, physical, and financial assets of the County. As part of the County's plan for risk management, resources are accumulated in the Risk Management fund to meet potential losses. In addition, various control techniques, including loss prevention training and consultation, have been implemented to minimize losses. The County is partially self-insured for employee health benefits, unemployment, workers' compensation, property, tort and general liability claims. Third party coverage is currently maintained for individual workers' compensation claims in excess of $500, and for other claims in excess of $250. Additional information on the County's risk management activity can be found in note 4.A of the notes to the financial statements, beginning on page 57.

Pension and other post-employment benefits. Substantially all County employees are participants in the State of Oregon Public Employees' Retirement System (PERS). Every other year, an independent actuary engaged by PERS calculates the amount of the annual contribution rate that member employers must make to the pension plan to ensure that the plan will be able to fully meet its obligations to retired employees on a timely basis. Benefits for retirees, beneficiaries and terminated employees not yet receiving benefits are not presented, because PERS pools the risk related to these employees among all member employers and fully funds benefit obligations at time of separation from service. Accordingly, information presented in this report relates only to current employees, and shows that the retirement obligations are not fully funded.

Multnomah County also provides postretirement health and dental benefits for retirees and their dependents. At the end of the current fiscal year, there were 428 retired employees receiving these benefits, which are financed by contribution rates charged to departments. These rates are based on an actuarial valuation that is performed every two to three years. GAAP does not require governments to report a liability in the financial statements in connection with an employer's obligation to provide these benefits.

Additional information on the County's pension and post-employment benefits can be found in note 4.D of the notes to the financial statements.

AWARDS AND ACKNOWLEDGEMENTS

The Government Finance Officers Association (GFOA) awarded a Certificate of Achievement for Excellence in Financial Reporting to the County for its comprehensive annual financial report (CAFR) for the year ended June 30, 2001. This was the eighteenth year that the County has received this prestigious award. In order to be awarded a Certificate of Achievement, the County published an easily readable and efficiently organized CAFR. This report satisfied both GAAP and applicable legal requirements.

A Certificate of Achievement is valid for a period of one year only. We believe that our current CAFR continues to meet the Certificate of Achievement Program's requirements and we are submitting it to the GFOA to determine its eligibility for another certificate.

The preparation of this report would not have been possible without the efficient and dedicated services of the employees in the Finance Program Area who maintained the records and assisted in the preparation of this report. Special thanks are extended to the General Ledger Staff who were instrumental in preparing this report. Appreciation is also extended to the Chair of the Board, Board of County Commissioners, Department Managers, Budget & Service Improvement and other County personnel for their assistance and support in planning and conducting the financial operations of the County in a prudent manner.

Respectfully Submitted,

David A. Boyer
Finance Director

Mindy Harris
Accounting Manager

Certificate of Achievement for Excellence in Financial Reporting

Presented to

Multnomah County, Oregon

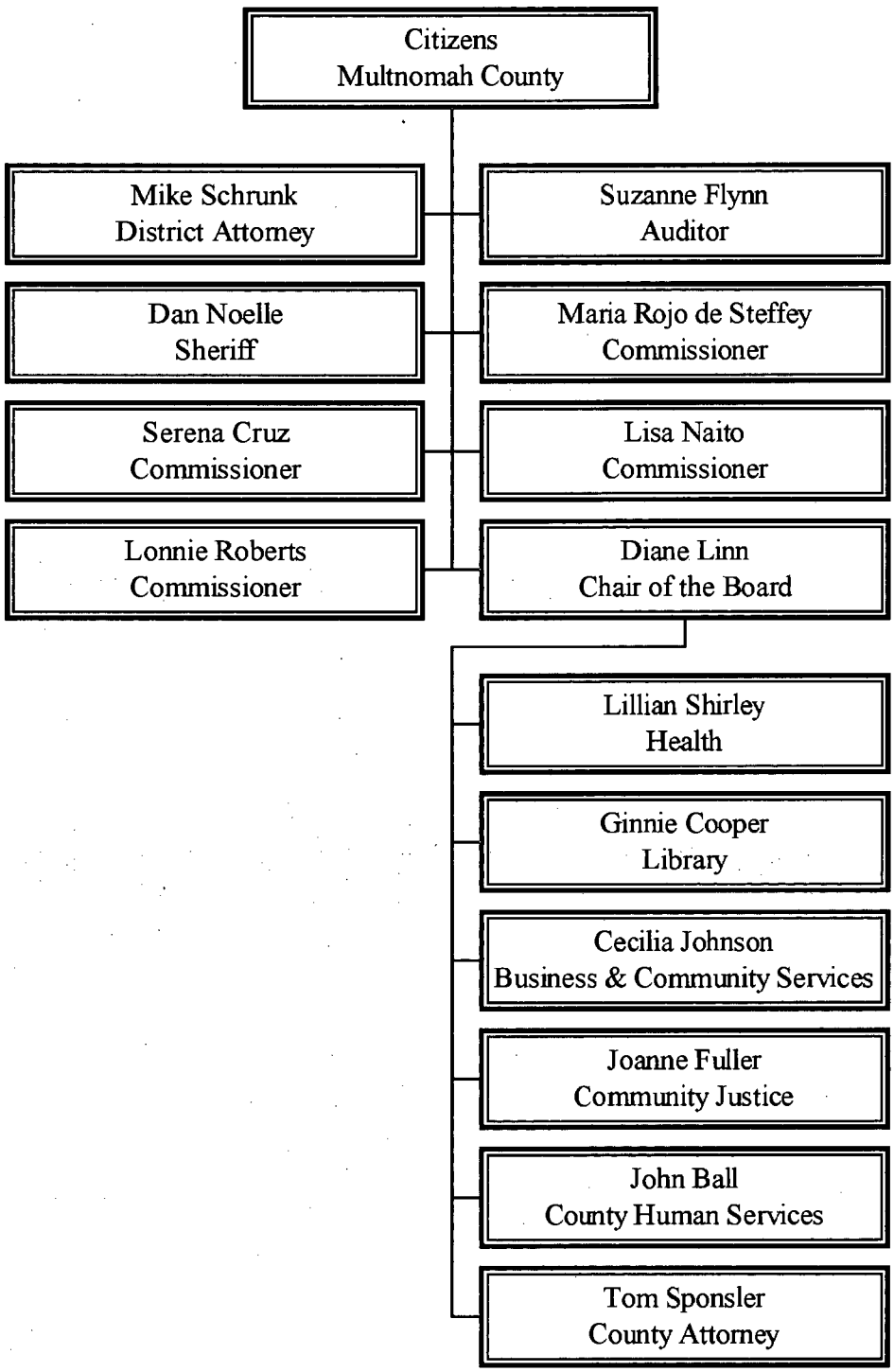
For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended
June 30, 2001

A Certificate of Achievement for Excellence in Financial Reporting is presented by the Government Finance Officers Association of the United States and Canada to government units and public employee retirement systems whose comprehensive annual financial reports (CAFRs) achieve the highest standards in government accounting and financial reporting.

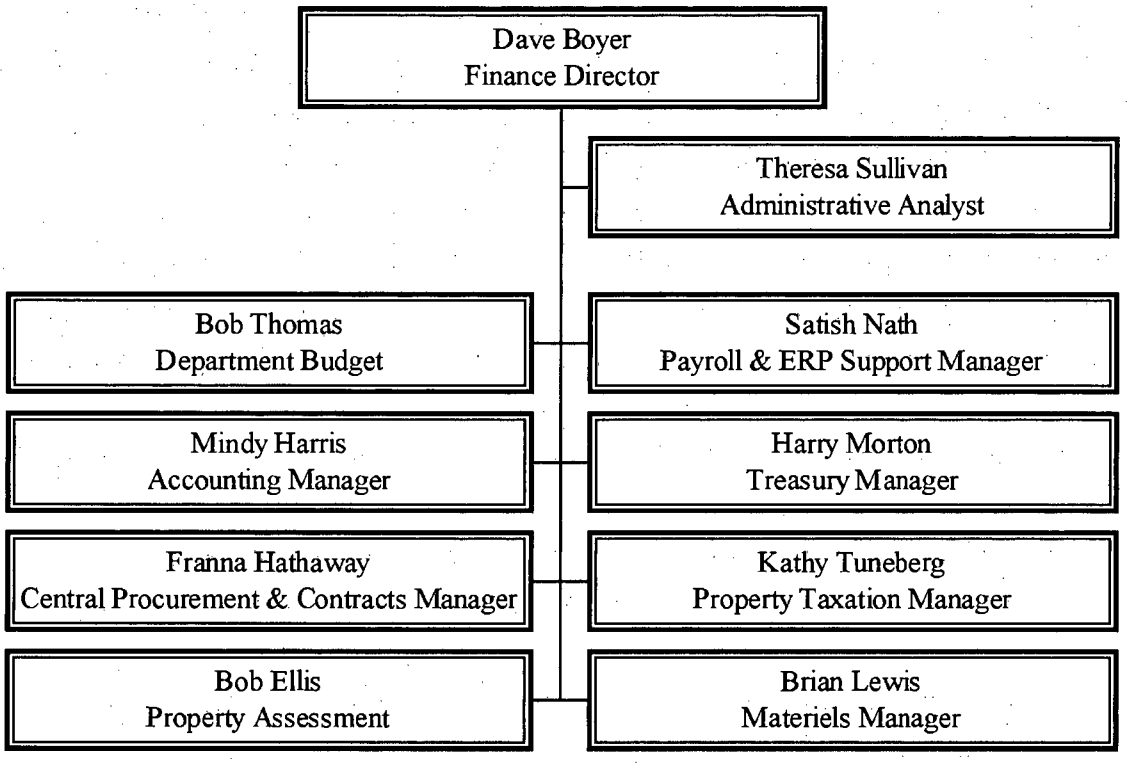
President

Executive Director

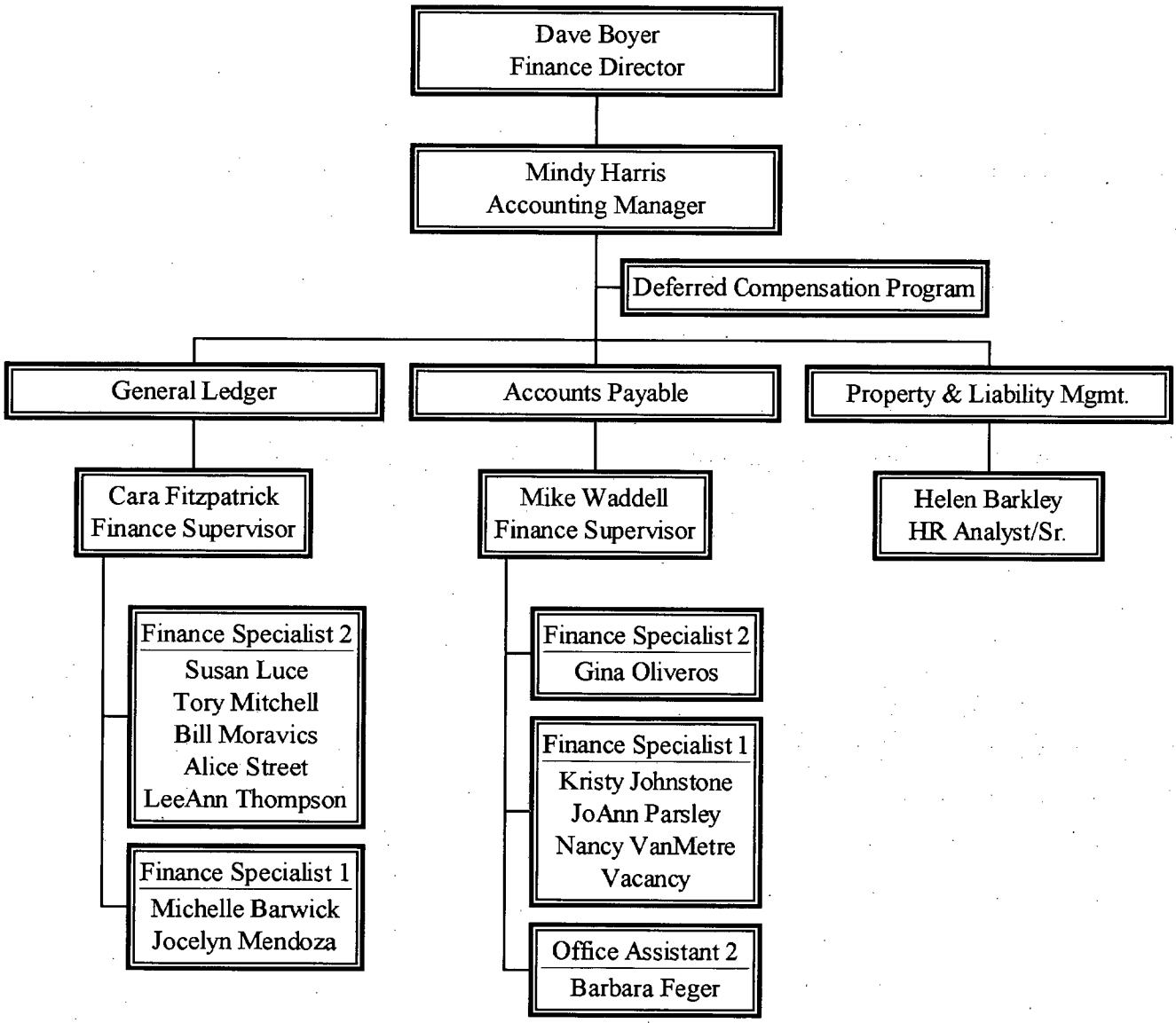
Multnomah County, Oregon



Multnomah County, Oregon
Finance Program Area



Multnomah County, Oregon
Finance Program Area
Accounting Operations



MULTNOMAH COUNTY, OREGON
PRINCIPAL OFFICERS
JUNE 30, 2002

Title	Name	Term Expires
Board of County Commissioners		
Chair of Board	Diane Linn 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2002
District No. 1	Maria Rojo de Steffey 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2004
District No. 2	Serena Cruz 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2002
District No. 3	Lisa Naito 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2004
District No. 4	Lonnie Roberts 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2004
Other Elected Officers		
County Auditor	Suzanne Flynn 501 SE Hawthorne, 6th Floor Portland, OR 97214	12/31/2002
County District Attorney	Michael D. Schrunk 1021 SW Fourth Avenue Portland, OR 97204-1976	12/31/2004
County Sheriff	Dan Noelle 501 SE Hawthorne, 3rd Floor Portland, OR 97214	12/31/2002
Other Appointed Officers		
Department of Business & Community Services Director	Cecilia Johnson	Not elected
Finance Director	David A. Boyer	Not elected
Accounting Manager	Mindy Harris	Not elected
County Attorney	Thomas Sponsler	Not elected

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FINANCIAL SECTION

Grant Thornton

Accountants and Management Consultants

Report of Independent Certified Public Accountants

The Board of County Commissioners
Multnomah County, Oregon

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of Multnomah County, Oregon, as of and for the year ended June 30, 2002, which collectively comprise the County's basic financial statements as listed in the table of contents. These financial statements are the responsibility of Multnomah County, Oregon's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of Multnomah County, Oregon, as of June 30, 2002, and the respective changes in financial position and cash flows, where applicable, thereof and the respective budgetary comparisons for the General Fund and Federal and State Program Fund for the year then ended in conformity with accounting principles generally accepted in the United States of America.

The management's discussion and analysis on pages 11 through 21 and the schedules of funding progress on page 62 are not a required part of the basic financial statements but are supplementary information required by the Governmental Accounting Standards Board. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise Multnomah County, Oregon's basic financial statements. The introductory section and supplementary information section as listed in the table of contents, and statistical tables are presented for purposes of additional analysis and are not a required part of the basic financial statements. The supplementary information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, are fairly stated in all material respects in relation to the basic financial statements taken as a whole. The introductory section and statistical section have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we express no opinion on them.

GRANT THORNTON LLP

Portland, Oregon
December 6, 2002

By Gary C. Hornsley

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MULTNOMAH COUNTY OREGON

COUNTY COMMISSIONERS
DIANE LINN, CHAIR
MARIA ROJO DESTEFFEY, DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

DEPARTMENT OF BUSINESS & COMMUNITY SERVICES
FINANCE PROGRAM AREA

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
FAX (503) 988-3292 TDD (503) 988-5170

MANAGEMENT'S DISCUSSION AND ANALYSIS

As management of Multnomah County, Oregon, we offer readers of the County's financial statements this narrative overview and analysis of the financial activities of the County for the fiscal year ended June 30, 2002. We encourage readers to consider the information presented here in conjunction with the additional information that we have furnished in our letter of transmittal, which can be found on pages 1-4 of this report. All dollar amounts, unless otherwise indicated, are expressed in thousands.

Financial Highlights

- Multnomah County's assets exceeded its liabilities at June 30, 2002, by $413,561 (*net assets*). Of this amount, $60,776 is restricted for capital improvement projects and $14,197 is restricted for future years' debt service.
- The County's total net assets decreased by $24,058. Approximately 75% of this decrease is attributable to declines in Business Income and tourism taxes, and federal and state revenues.
- As of the close of the current fiscal year, the County's governmental funds reported combined ending fund balances of $109,334, a decrease of $49,720 in comparison with the prior year. Of this amount, $33,707 or 32% is available for spending at the County's discretion (*unreserved fund balance*).
- At the end of the current fiscal year, unreserved fund balance for the General Fund was $9,144, or 6% of total General Fund expenditures.

Overview of the Financial Statements

This discussion and analysis are intended to serve as an introduction to Multnomah County's basic financial statements. The County's basic financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to the financial statements. This report also contains other supplementary information in addition to the basic financial statements themselves.

Government-wide financial statements. The *government-wide financial statements* are designed to provide readers with a broad overview of the County's finances, in a manner similar to a private-sector business.

The *Statement of Net Assets* presents information on all of the County's assets and liabilities, with the difference between the two reported as net assets. Over time, increases or decreases in net assets may serve as a useful indicator of whether the financial position of the County is improving or deteriorating.

The *Statement of Activities* presents information showing how the government's net assets changed during the most recent fiscal year. All changes in net assets are reported as soon as the underlying event giving rise to the

change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods (e.g., uncollected taxes and earned but unused vacation leave).

Both of the government-wide financial statements distinguish functions of the County that are principally supported by taxes and intergovernmental revenues (*governmental activities*) from other functions that are intended to recover all or a significant portion of their costs through user fees and charges (*business-type activities*). The governmental activities of the County include general government, health and social services, public safety and justice, community services, roads and bridges, and libraries. The business-type activities of the County include sanitary sewer and street lighting districts, and a behavioral health managed care operation.

The government-wide financial statements include not only the County itself (known as the *primary government*), but also a legally separate sanitary sewer district and a legally separate street lighting district, for which the County is financially accountable. Financial information for these two *blended component units* is reported separately from the financial information presented for the primary government itself.

The government-wide financial statements can be found on pages 22-24 of this report.

Fund financial statements. A *fund* is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The County, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the County can be divided into three categories: governmental funds, proprietary funds, and fiduciary funds.

Governmental funds. *Governmental funds* are used to account for essentially the same functions reported as *governmental activities* in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on *near-term inflows and outflows of spendable resources*, as well as on *balances of spendable resources* available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for the *governmental funds* with similar information presented for *governmental activities* in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the government's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between *governmental funds* and *governmental activities*.

The County maintains 31 individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the General Fund, Federal State Program Fund, and the Justice Bond Capital Project Fund, all of which are considered to be major governmental funds. Data from the remaining governmental funds (non-major governmental funds) are combined into a single aggregated presentation. Individual fund data for each of these nonmajor governmental funds is provided in the form of *combining statements* elsewhere in this report.

The County adopts an annual appropriated budget for all funds. A budgetary comparison statement has been provided for each fund to demonstrate compliance with this budget.

The basic governmental fund financial statements and respective reconciliations can be found on pages 25-28 of this report.

Proprietary funds. The County maintains two different types of proprietary funds. *Enterprise funds* are used to report the same functions presented as *business-type activities* in the government-wide financial statements. The County uses enterprise funds to account for its sewer and lighting operations, and for behavioral health capitated services. Internal service funds are an accounting device used to accumulate and allocate costs internally among the County's various functions. The County uses *internal service funds* to account for its risk management activities, fleet operations, telephone and data processing systems, mail distribution and facilities management operations. Because these services predominantly benefit governmental rather than business-type functions, they have been included within governmental activities in the government-wide financial statements.

Proprietary funds provide the same type of information as the government-wide financial statements, only in more detail. The enterprise fund financial statements provide aggregate information for the sewer and lighting districts and the behavioral health fund. The internal service funds are also combined into a single, aggregated presentation in the proprietary fund financial statements. Individual fund data for the proprietary and internal service funds is provided in the form of combining statements elsewhere in this report.

The basic proprietary fund financial statements can be found on pages 31-33 of this report.

Fiduciary funds. *Fiduciary funds* are used to account for resources held for the benefit of parties outside the government. Fiduciary funds are *not* reflected in the government-wide financial statements because the resources of those funds are *not* available to support County programs. The accounting used for fiduciary funds is similar to that used for proprietary funds.

The basic fiduciary fund financial statements can be found on pages 34-35 of this report.

Notes to the financial statements. The notes provide additional information that is essential to a full understanding of the financial data provided in the government-wide and fund financial statements. The notes to the financial statements can be found beginning on page 36 of this report.

Other information. In addition to the basic financial statements and accompanying notes, this report also presents certain *required supplementary information* concerning the County's progress in funding its obligation to provide pension benefits to its employees. Required supplementary information can be found on page 62 of this report.

The combining statements referred to earlier in connection with nonmajor governmental funds and internal service funds are presented immediately following the required supplementary information on pensions. Combining and individual fund statements and schedules can be found beginning on page 63 of this report.

Government-wide Financial Analysis

As noted earlier, net assets may serve over time as a useful indicator of the County's financial position. The County's assets exceeded liabilities by $413,561 at the close of the most recent fiscal year.

At June 30, 2002, the County had $474,538 invested in capital assets, net of related debt. Directly related to the amount invested in capital assets, net of debt, is the unrestricted operating deficit of $135,950. The deficit is due to changes in accounting requirements mandated by Governmental Accounting Standards Board Statement No. 34 (GASB 34), implemented this year. GASB 34 required that the County record all outstanding debt in the current year in the Statement of Net Assets, when in fact the proceeds and expenses of the debt had been recognized in prior years.

During fiscal year ending June 30, 2000, the County issued Pension Obligation Bonds (PERS Bond) in the amount of $184,548 to fund the County's unfunded accrued actuarial liability (UAAL). The total outstanding

debt at June 30, 2002 was $425,113. Of this amount, $184,018, or 43%, reflects the outstanding debt for the PERS Bond. All remaining debt is related to capital assets. Had the PERS debt been included in the calculation of capital assets net of related debt, the County's unrestricted net assets would have been a positive balance of $44,942. The recording of the County's long-term debt, including the PERS Bonds, has had a negative impact on the County's unrestricted net assets. The deficit in the County's unrestricted net assets will continue in future years, providing the County's capital assets do not substantially decrease and the long-term debt continues to be a liability for the County on the Statement of Net Assets.

The ratio of the County's capital assets, net of related debt, as compared to total net assets is 116%. This ratio reduces to 70% when including the PERS Bonds of $184,018 in the debt portion related to capital assets.

By far the largest portion of the County's net assets is the investment in capital assets (e.g., land, buildings, machinery and equipment), less any related debt used to acquire those assets that is still outstanding. The County uses these capital assets to provide services to citizens; consequently, these assets are *not* available for future spending. Although the County's investment in its capital assets is reported net of related debt, it should be noted that the resources needed to repay this debt must be provided from other sources, since the capital assets themselves cannot be used to liquidate these liabilities.

Multnomah County's Net Assets
June 30, 2002

	Governmental Activities	Business- Type Activities	Total
Current and other assets	$ 242,674	$ 8,009	$ 250,683
Capital assets	708,754	2,405	711,159
Total assets	951,428	10,414	961,842
Long-term liabilities outstanding	425,113	-	425,113
Other liabilities	118,295	4,873	123,168
Total liabilities	543,408	4,873	548,281
Net assets:			
Invested in capital assets, net of related debt	472,133	2,405	474,538
Restricted	74,973	-	74,973
Unrestricted	(139,086)	3,136	(135,950)
Total net assets	$ 408,020	$ 5,541	$ 413,561

An additional portion of the County's net assets (18 percent) represents resources that are subject to external restrictions on how they may be used. The remaining balance of unrestricted net assets ($(135,950)) does not include the debt of $184,018 related to the PERS Bonds; to include the debt related to the PERS Bonds results in unrestricted net assets of $44,942 and provides a more realistic depiction of the County's ability to meet its ongoing obligations to citizens and creditors.

The County realizes the unrestricted net asset deficit provides for a negative outlook. However, considering the County's long-term obligations in the Statement of Net Assets, the County recognizes the necessity to be able to meet current financial obligations and has no reason to believe that liabilities will not be met in future periods.

At the end of the current fiscal year, the County is able to report positive balances in all three categories of net assets, both for the government as a whole, as well as for its separate governmental and business-type activities. The same situation held true for the prior fiscal year.

There was no change in restricted net assets reported in connection with the County's business-type activities.

The government's net assets decreased by $24,064 during the current fiscal year. This decrease is primarily due to decreases in tax revenues and intergovernmental funding for governmental activities and related programs and services.

Governmental activities. Governmental activities decreased the County's net assets by $22,332, thereby accounting for 93% of the total decline in the net assets of the County. Key elements of this decrease are as follows:

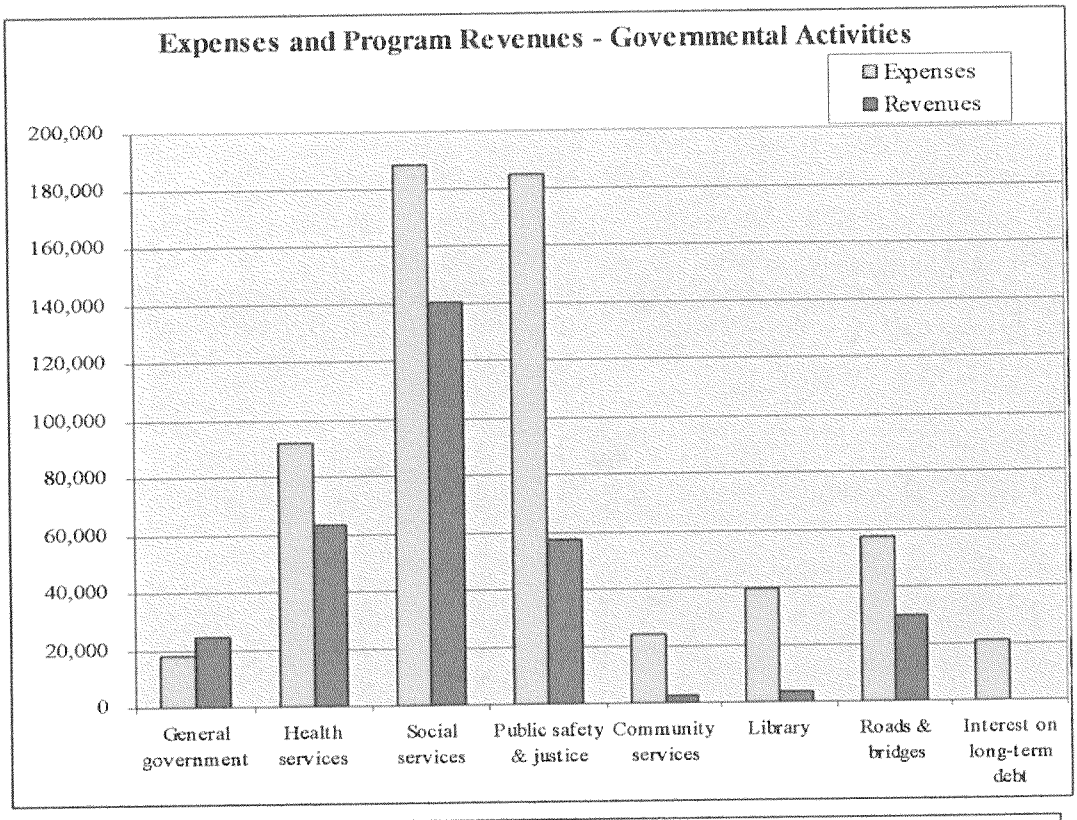
Multnomah County's Changes in Net Assets

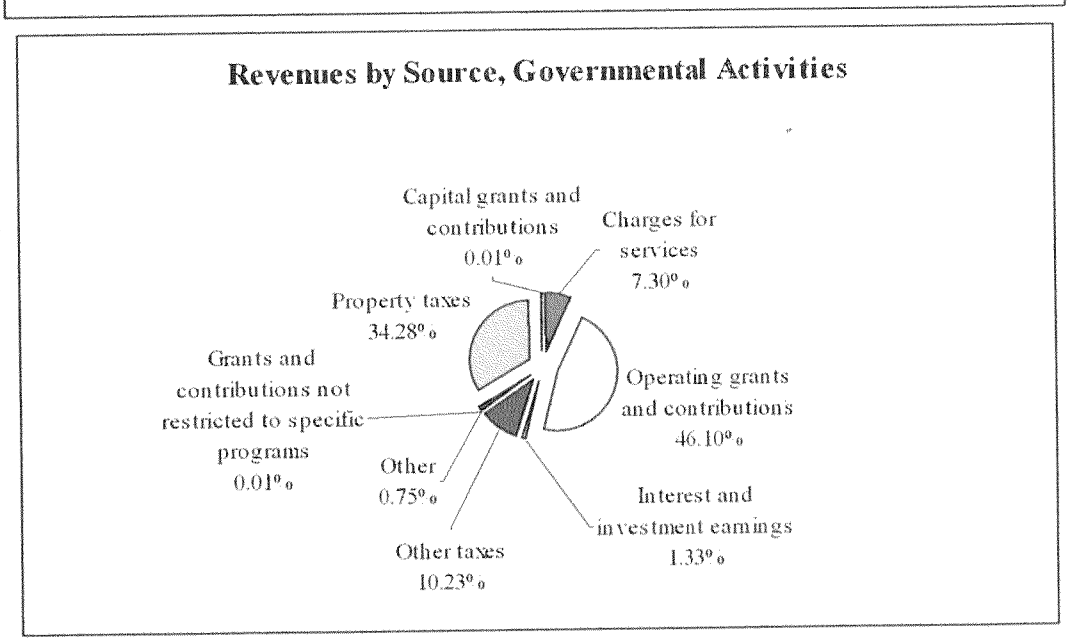
	Governmental activities	Business-type activities	Total
Revenues:			
Program revenues:			
Charges for services	$ 43,902	$ 33,265	$ 77,167
Operating grants and contributions	277,418	337	277,755
Capital grants and contributions	86	-	86
General revenues:			
Property taxes	206,244	-	206,244
Other taxes	61,544	-	61,544
Grants and contributions not restricted to specific programs	60	-	60
Interest and investment earnings	7,982	339	8,321
Other	4,496	(65)	4,431
Total revenues	601,732	33,876	635,608
Expenses:			
General government	17,673	-	17,673
Health services	92,109	-	92,109
Social services	188,043	-	188,043
Public safety and justice	184,213	-	184,213
Community services	23,877	-	23,877
Library services	39,529	-	39,529
Roads and bridges	57,478	-	57,478
Interest on long-term debt	21,139	-	21,139
Dunthorpe-Riverdale Service District Number 1	-	306	306
Mid County Service District Number 14	-	348	348
Behavioral Health Managed Care	-	34,951	34,951
Total expenses	624,061	35,605	659,666
Decrease in net assets before transfers	(22,329)	(1,729)	(24,058)
Transfers	(3)	3	-
Decrease in net assets	(22,332)	(1,726)	(24,058)
Net assets – 6/30/01	430,352	7,267	437,619
Net assets – 6/30/02	$ 408,020	$ 5,541	$ 413,561

- Operating grants and contributions consist primarily of federal and state revenues. Due to the lagging economy in the region and at the national level, intergovernmental revenues declined from the prior year by $31,489, or 10%.
- Due to market conditions and lower cash balances, interest earnings were down by $6,439, or 47%, from the prior year, contributing to the County's decrease in net assets.

- Due to market conditions and lower cash balances, interest earnings were down by $6,439, or 47%, from the prior year, contributing to the County's decrease in net assets.
- The County reduced expenditures and implemented a number of cost-cutting strategies in several program areas, resulting in savings of $23,840, which is a reduction of approximately 4% from the previous year.

The following graphs show the County's Governmental Activities expenses and revenues by program area and revenue by sources.



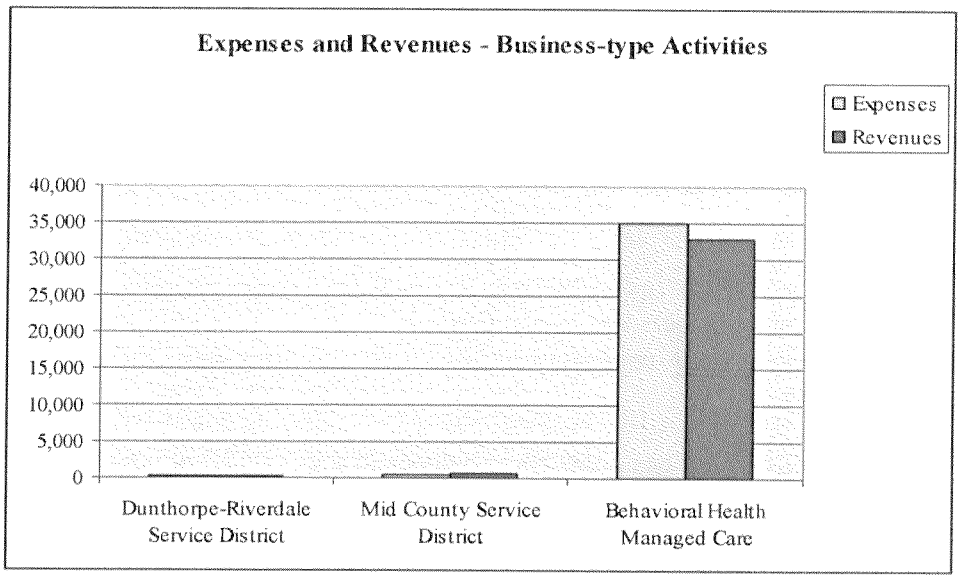


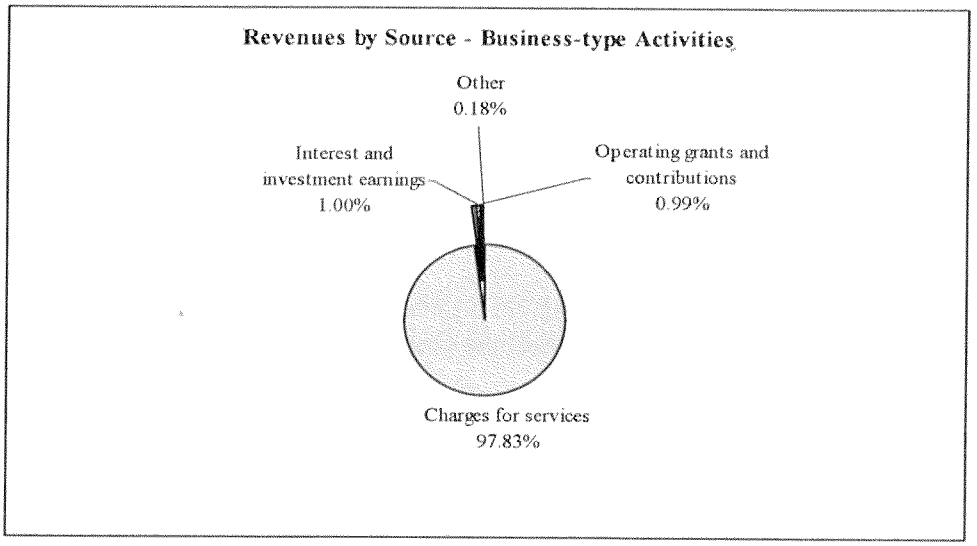
For the most part, increases in expenses closely paralleled inflation and growth in the demand for services. Additionally, where expenses decreased from prior years, this can be directly attributed to the decrease in revenues in the governmental activities.

Business-type activities. Business-type activities decreased the County's net assets by $1,726, accounting for 7.20% of the total decline in the government's net assets. The primary reasons for this decrease are:

- An increase of 41% from the prior year in administrative expenses in all three enterprise funds. Included in administrative costs are payroll expenses and consulting costs associated with a redesign in the mental health service model in the Behavioral Health Managed Care Fund.
- Interest revenue in each of the enterprise funds declined 42% from the prior year, as a result of poor market conditions.
- A loss of $71 on disposal of capital assets was recorded in the Mid County Service District.

The following graphs show the County's Business-type Activities expenses and revenues by program area and revenue by sources.





Financial Analysis of the Government's Funds

As noted earlier, the County uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental funds. The focus of the County's *governmental funds* is to provide information on near-term inflows, outflows, and balances of *spendable* resources. Such information is useful in assessing the County's financing requirements. In particular, *unreserved fund balance* may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year.

As of the end of the current fiscal year, the County's governmental funds reported combined ending fund balances of $109,334, a decrease of $49,720 in comparison with the prior year. Approximately 31% of this total amount ($33,707) constitutes *unreserved fund balance*, which is available for spending at the government's discretion. The remainder of fund balance is *reserved* to indicate that it is not available for new spending because it has already been committed 1) to liquidate contracts and purchase orders of the prior period ($1,315), 2) to pay debt service ($13,526), or 3) to pay for ongoing capital projects ($60,786).

The General Fund is the chief operating fund of the County. At the end of the current fiscal year, unreserved fund balance of the General Fund equaled the total fund balance, in the amount of $9,144, indicating a high degree of liquidity of the General Fund.

The fund balance of the County's General Fund decreased by $6,615 during the current fiscal year. Key factors in this reduction are:

- A decrease in Business Income Tax collections of $3,442 from the previous year, due to poor economic conditions and to major employers leaving the County.
- A decrease in interest income resulted of $3,126 from the previous year, due to poor economic conditions in the region.

The Justice Bond Capital Project Fund has a total fund balance of $30,418, all of which is reserved for capital projects. The fund balance decreased from the prior year by $22,448, due to the beginning of construction of the new Wapato Jail facility.

Proprietary funds. The County's proprietary funds provide the same type of information found in the government-wide financial statements, but in more detail.

Unrestricted net assets of the proprietary funds at year end amounted to:

- Dunthorpe-Riverdale Service District Fund, $454;
- Mid County Service District Fund, $842; and
- Behavioral Health Managed Care Fund, $1,840.

The total change in net assets for all funds was $1,726. Other factors concerning the finances of these three funds have already been addressed in the discussion of the County's business-type activities.

General Fund Budgetary Highlights

Differences between the original budget and the final amended budget were primarily due to the mid-year budget reduction. In order to answer the problems that arose as a result of major revenue shortfalls, the County implemented various cost saving measures resulting in a total savings of approximately $14,000. The budget shortfall was addressed by reducing appropriations in the following areas:

- A transfer from the General Fund to the County School fund of $1,575 was eliminated;
- The budgeted transfer from the General Fund to the Asset Preservation fund, in the amount of $2,286, was eliminated;
- Vacant positions were not filled and other personnel reductions were implemented, resulting in savings of $2,395;
- Various health and social service programs were reduced or eliminated, which resulted in savings of $8,075.

Capital Projects and Debt Administration

Capital assets. The County's investment in capital assets for its governmental and business type activities as of June 30, 2002, amounts to $711,159 (net of accumulated depreciation). This investment in capital assets includes land, buildings and improvements, machinery and equipment, roads and bridges, sewer and street lighting systems, and motor vehicles. The total increase in the County's investment in capital assets for the current fiscal year was 82%, which represents an 83% increase for governmental activities and an 8% increase for business-type activities.

Major capital asset events during the current fiscal year included the following:

- The County added the roads and related land to the inventory of fixed assets, to answer the fixed asset requirements of GASB 34. This represented a total addition of $807,682, and accounts for 86% of the overall increase in fixed assets.
- The County added the library materials to the inventory of fixed assets, again to answer the fixed asset requirements of GASB 34. This represented a total addition of $61,093, and accounts for 6% of the overall increase in fixed assets.
- Construction began on the Wapato Jail site in NE Portland. Construction in progress at the close of the fiscal year had reached $15,081.

Multnomah County's Capital Assets
(net of depreciation)

	Governmental activities	Business-type activities	Total
Land	$ 14,219	$ -	$ 14,219
Buildings and systems	254,847	-	254,847
Improvements other than buildings	302	2,400	2,702
Machinery and equipment	24,988	5	24,993
Infrastructure	384,049	-	384,049
Construction in progress	16,866	-	16,866
Roads and Bridges	13,483	-	13,483
Total	$ 708,754	$ 2,405	$ 711,159

Additional information on the County's capital assets can be found in note 3.C on pages 47-49 of this report.

Long-term debt. At the end of the current fiscal year, the County had total debt outstanding of $425,113. Of this amount, $96,535 comprises debt backed by the general obligation bonds; $272,833 represents debt backed by the full faith and credit bonds; $797 comprises long term lease obligations; and the remainder of the County's debt represents bonds secured solely by specified sources (e.g., revenue bonds, capitalized leases).

Both general obligation bonds and full faith and credit bonds are direct obligations pledging the full faith and credit of the County.

Multnomah County's Outstanding Debt
General Obligation, Revenue and Full Faith and Credit Bonds
Capital leases, and Loans

	Governmental activities	Business-type activities	Total
General Obligation Bonds	$ 96,535	$ -	$ 96,535
Revenue Bonds	8,335	-	8,335
Full Faith and Credit Bonds	272,833	-	272,833
Capital Leases	46,613	-	46,613
Loans	797	-	797
Total	$ 425,113	$ -	$ 425,113

The County's total debt decreased by $21,387, or 5% during the current fiscal year. This change was due to debt payments made during the year. The County issued no new debt during the year.

The County maintains an "Aa1" rating from Moody's for general obligation debt.

State statutes limit the amount of general obligation debt a governmental entity may issue to two percent of the real market value of all taxable property within the government's boundaries. The current debt limitation for the County for general obligation debt is $1,224,426, which is significantly in excess of the County's outstanding general obligation debt.

State statutes also limit the amount of full faith and credit obligations to one percent of the real market value of all taxable property within the government's boundaries. The current debt limitation for the County for full faith and credit obligations is $612,213, which is in excess of the County's outstanding full faith and credit debt.

Additional information on the County's long-term debt can be found in note 3.E on pages 50-57 of this report.

Key Economic Factors and Budget Information for the Future

- The unemployment rate for the Portland-metropolitan area at the close of the fiscal year was 7.5%. At the time of preparation of this report, the unemployment rate had dropped to 7.0%. The rate is not expected to significantly improve before the end of the next fiscal year.
- It is anticipated that the costs of providing medical insurance coverage to employees will continue to rise, by approximately 10% in the next fiscal year.
- State General Fund revenues are expected to decrease by more than $1,800,000 statewide. The County receives approximately one-third of its' funding from state and federal revenues, and it is unknown at this time how much these intergovernmental revenues will decrease.
- Due to economic conditions the County's Business Income Tax is estimated to decline by approximately $2,000.
- Members of the Oregon Public Employees' Retirement System are expected to be faced with increases in retirement contributions as early as July 1, 2003. The increases will likely increase the overall personnel expenses between 3.5% to 5%.

All of these factors were considered in preparing the County's budget for fiscal year 2002-2003.

During the current fiscal year, unreserved fund balance in the General Fund decreased to $9,144. This amount is reserved and will not be available for program resources in fiscal year 2002-2003.

Requests for Information

This financial report is designed to provide a general overview of Multnomah County's finances for all those with an interest in the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be directed to the following address:

Multnomah County Finance
PO Box 14700
Portland, OR 97293-0700

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BASIC FINANCIAL STATEMENTS

MULTNOMAH COUNTY, OREGON
Statement of Net Assets
June 30, 2002
(amounts expressed in thousands)

	Primary Government		
	Governmental Activities	Business-Type Activities	Total
ASSETS			
Cash and investments	$ 146,881	$ 6,836	$ 153,717
Receivables (net of allowance for uncollectibles):			
Taxes	17,813	-	17,813
Accounts	53,594	113	53,707
Loans	1,206	-	1,206
Interest	2,015	-	2,015
Special assessments	11	29	40
Contracts	15,232	-	15,232
Due from other funds	960	-	960
Inventories	2,844	-	2,844
Prepaid items	2,118	1,031	3,149
Capital assets:			
Land and construction in progress	31,085	-	31,085
Other capital assets (net of accumulated depreciation)	677,669	2,405	680,074
Total assets	951,428	10,414	961,842
LIABILITIES			
Accounts payable	70,463	4,670	75,133
Accrued salaries and benefits	6,364	116	6,480
Due to other funds	960	-	960
Deferred revenue	24,347	-	24,347
Noncurrent liabilities:			
Due within one year:			
Compensated absences	7,791	14	7,805
Bonds payable	11,055	-	11,055
Capital leases payable	5,112	-	5,112
Loans payable	79	-	79
Due in more than one year:			
Compensated absences	8,370	73	8,443
Bonds payable	366,648	-	366,648
Capital leases payable	41,501	-	41,501
Loans payable	718	-	718
Total liabilities	543,408	4,873	548,281
NET ASSETS			
Invested in capital assets, net of related debt	472,133	2,405	474,538
Restricted for:			
Capital projects	60,776	-	60,776
Debt service	14,197	-	14,197
Unrestricted	(139,086)	3,136	(135,950)
Total net assets	$ 408,020	$ 5,541	$ 413,561

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Statement of Activities
For the Year Ended June 30, 2002

Functions/Programs	Expenses	Program Revenues: Fees, Fines and Charges for Services	Program Revenues: Operating Grants and Contributions	Program Revenues: Capital Grants and Contributions
Primary government:				
Governmental activities:				
General government	$ 17,673	$ 12,712	$ 11,932	$ -
Health services	92,109	13,762	49,429	-
Social services	188,043	543	139,848	-
Public safety and justice	184,213	14,224	43,026	86
Community services	23,877	6	2,524	-
Library	39,529	1,745	1,757	-
Roads and bridges	57,478	910	28,902	-
Interest on long-term debt	21,139	-	-	-
Total governmental activities	624,061	43,902	277,418	86
Business-type activities:				
Dunthorpe-Riverdale service District Number 1	306	249	2	-
Mid County service District Number 14	348	235	335	-
Behavioral health managed care	34,951	32,781	-	-
Total business-type activities	35,605	33,265	337	-
Total primary government	$ 659,666	$ 77,167	$ 277,755	$ 86

General revenues:
Taxes:
Property taxes, levied for general purposes
Property taxes, levied for debt service
Business income taxes
Selective excise and use taxes
Payments in lieu of taxes
Grants and contributions not restricted to specific programs
Interest and investment earnings
Miscellaneous
Loss on sale of capital assets
Transfers
Total general revenues, special items, and transfers
Change in net assets
Net assets - beginning
Net assets - ending

The notes to the financial statements are an integral part of this statement.

	Net (Expenses) Revenues and Changes in Net Assets		
	Governmental Activities	Business-type Activities	Total
	$ 6,971	$ -	$ 6,971
	(28,918)	-	(28,918)
	(47,652)	-	(47,652)
	(126,877)	-	(126,877)
	(21,347)	-	(21,347)
	(36,027)	-	(36,027)
	(27,666)	-	(27,666)
	(21,139)	-	(21,139)
	(302,655)	-	(302,655)
	-	(55)	(55)
	-	222	222
	-	(2,170)	(2,170)
	-	(2,003)	(2,003)
	$ (302,655)	$ (2,003)	$ (304,658)
	$ 195,130	$ -	$ 195,130
	11,114	-	11,114
	26,935	-	26,935
	32,799	-	32,799
	1,810	-	1,810
	60	-	60
	7,982	339	8,321
	4,496	6	4,502
	-	(71)	(71)
	(3)	3	-
	280,323	277	280,600
	(22,332)	(1,726)	(24,058)
	430,352	7,267	437,619
	$ 408,020	$ 5,541	$ 413,561

MULTNOMAH COUNTY, OREGON
Governmental Funds
Balance Sheet
June 30, 2002
(amounts expressed in thousands)

	General Fund	Federal and State Special Revenue Fund	Justice Bond Capital Project Fund	Other Governmental Funds	Total Governmental Funds
ASSETS					
Cash and investments	$ 7,832	$ 1,383	$ 32,447	$ 74,182	$ 115,844
Receivables:					
Taxes	13,866	-	-	3,947	17,813
Accounts	4,693	41,634	6	6,462	52,795
Loans	-	1,206	-	-	1,206
Interest	2,015	-	-	-	2,015
Special assessments	11	-	-	-	11
Contracts	2,304	-	-	12,928	15,232
Inventories	715	139	-	1,153	2,007
Prepaids and deposits	183	1,412	-	277	1,872
Total assets	$ 31,619	$ 45,774	$ 32,453	$ 98,949	$ 208,795
LIABILITIES					
Accounts payable	8,787	35,120	2,031	11,254	57,192
Payroll payable	1,986	2,308	4	1,532	5,830
Due to other funds	-	-	-	960	960
Deferred revenue	11,702	8,346	-	15,431	35,479
Total liabilities	22,475	45,774	2,035	29,177	99,461
FUND BALANCES					
Reserved for capital projects	-	-	30,418	30,368	60,786
Reserved for debt service	-	-	-	13,526	13,526
Reserved for inventories	-	-	-	1,098	1,098
Reserved for prepaid items	-	-	-	217	217
Unreserved, reported in:					
General fund	9,144	-	-	24,563	33,707
Total fund balances	9,144	-	30,418	69,772	109,334
Total liabilities and fund balances	$ 31,619	$ 45,774	$ 32,453	$ 98,949	$ 208,795

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Reconciliation of the Balance Sheet to the Statement of Net Assets
Governmental Funds
As of June 30, 2002
(amounts expressed in thousands)

Fund Balances - Governmental Funds		$ 109,334
Amounts reported for governmental activities in the statement of net assets are different because:		
Capital assets used in governmental activities are not financial resources and therefore are not reported in the governmental funds.		
Governmental capital assets	1,322,143	
Less accumulated depreciation	(618,924)	703,219
Long-term liabilities, including bonds payable are not due and payable in the current period and therefore are not reported in the governmental funds.		
Bonds payable	(377,569)	
Capital leases payable	(45,686)	
Loans payable	(797)	(424,052)
Accrued compensated absences are not due and payable in the current period and therefore are not reported in the funds.		(14,470)
Deferred revenue represents amounts that were not available to fund current expenditures and therefore are not reported in the governmental funds.		11,132
Internal service funds are used by management to charge the costs of certain activities to individual funds. Net assets of the internal service funds that are reported with governmental activities.		22,857
Net Assets of Governmental Activities		$ 408,020

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Governmental Funds
Statement of Revenues, Expenditures, and Changes in Fund Balances
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	General Fund	Federal and State Special Revenue Fund	Justice Bond Capital Project Fund	Other Governmental Funds	Total Governmental Funds
REVENUES					
Taxes	$ 213,153	$ -	$ -	$ 54,488	$ 267,641
Intergovernmental	18,454	225,954	-	45,669	290,077
Licenses and permits	4,183	1,214	-	2,737	8,134
Charges for services	8,697	3,609	37	6,243	18,586
Interest	1,603	12	1,912	3,445	6,972
Other	23,490	4,844	-	30,081	58,415
Total revenues	269,580	235,633	1,949	142,663	649,825
EXPENDITURES					
Current:					
General government	34,714	-	-	18,420	53,134
Health services	15,504	74,736	-	5,607	95,847
Social services	2,331	183,949	-	-	186,280
Public safety and justice	107,136	31,884	10,694	40,408	190,122
Community services	-	2,548	-	19,004	21,552
Library services	-	-	-	42,706	42,706
Roads and bridges	-	-	-	36,829	36,829
Capital outlay	148	211	13,703	17,760	31,822
Debt service:					
Principal	-	-	-	18,711	18,711
Interest	692	-	-	20,358	21,050
Total expenditures	160,525	293,328	24,397	219,803	698,053
Excess (deficiency) of revenues over (under) expenditures	109,055	(57,695)	(22,448)	(77,140)	(48,228)
OTHER FINANCING SOURCES (USES)					
Transfers in	975	60,772	-	66,935	128,682
Transfers out	(116,645)	(3,077)	-	(10,452)	(130,174)
Total other financing sources (uses)	(115,670)	57,695	-	56,483	(1,492)
Net change in fund balances	(6,615)	-	(22,448)	(20,657)	(49,720)
Fund balances - beginning	15,759	-	52,866	90,429	159,054
Fund balances - ending	$ 9,144	$ -	$ 30,418	$ 69,772	$ 109,334

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Reconciliation of the Statement of Revenues, Expenditures, and Changes in Fund Balances to the Statement of Activities
Governmental Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

Net change in fund balances - Governmental Funds		$ (49,720)
Amounts reported for governmental activities in the statement of net assets are different because:		
Governmental funds report capital outlay as expenditures. However in the statement of activities, the cost of those assets is depreciated over their estimated useful lives		
Expenditures for capital assets	45,790	
Less current year depreciation	(30,375)	15,415
Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the governmental funds.		
Change in deferred revenues		147
Repayment of bond principal is an expenditure in the governmental funds, but the repayment reduces long-term liabilities in the statement of net assets. This is the amount by which bonds issued exceeded repayments		
Principal payments (including capital leases)		18,711
Some expenses reported in the statement of activities do not require the use of current financial resouces and therefore are not reported as expenditures in governmental funds		
Long-term compensated absences		(10,440)
Internal service funds are used by management to charge the costs of certain activities to individual funds. The net income of the internal service funds is reported with governmental activities.		3,555
Change in Net Assets of Governmental Activities		$ (22,332)

The notes to the financial statements are an integral part of this statement.

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MAJOR GOVERNMENTAL FUNDS

Major governmental funds are defined as those funds whose revenues, expenditures/expenses, assets or liabilities (excluding extraordinary items) are at least 10 percent of corresponding totals for all governmental funds for the same item. The general fund is always classified as a major fund.

- **General Fund** – accounts for the financial operations of the County which are not accounted for in any other fund. The principal sources of revenues are property taxes and business income taxes. Primary expenditures in the General Fund are made for general government, public safety, and health and social services. The modified accrual basis of accounting is used to record revenues and expenditures.

- **Federal and State Special Revenue Fund** – accounts for the majority of revenues and expenditures related to Federal and State financial assistance programs.

- **Justice Bond Capital Project Fund** – accounts for projects to expand Inverness Jail, construct new jail facilities, upgrade other jail facilities and pay for data processing linkages in the Corrections system. See page 105 for budget and actual comparison.

MULTNOMAH COUNTY, OREGON
General Fund
Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Taxes				
Property:				
Current	$ 167,262	$ 169,083	$ 168,287	$ (796)
Prior	4,383	4,332	4,494	162
Penalties and interest	1,339	1,345	1,893	548
Payments in lieu of taxes	85	85	569	484
Transient lodging	3	3	1	(2)
Business income	37,177	30,240	26,935	(3,305)
Motor vehicle rental	13,590	12,260	10,974	(1,286)
Intergovernmental:				
Federal	10,080	7,567	7,400	(167)
State	10,671	10,766	8,989	(1,777)
Local	2,686	2,742	2,065	(677)
Licenses and permits	3,524	3,524	4,183	659
Charges for services	6,837	7,137	8,697	1,560
Interest	4,425	4,425	1,603	(2,822)
Other:				
Miscellaneous	1,575	5,958	4,883	(1,075)
Service reimbursements	15,967	19,279	18,607	(672)
Total revenues	279,604	278,746	269,580	(9,166)
EXPENDITURES				
Current:				
General government	43,177	38,967	34,714	4,253
Health services	17,090	16,929	15,504	1,425
Social services	2,349	2,474	2,331	143
Public safety and justice	110,621	108,404	107,136	1,268
Capital outlay	147	116	148	(32)
Debt service:				
Interest	900	900	692	208
Total expenditures	174,284	167,790	160,525	7,265
Excess of revenues over expenditures	105,320	110,956	109,055	(1,901)
OTHER FINANCING SOURCES (USES)				
Operating transfers in	1,432	1,265	975	(290)
Operating transfers out	(122,247)	(128,463)	(116,645)	11,818
Total other financing sources (uses)	(120,815)	(127,198)	(115,670)	11,528
Net change in fund balances	(15,495)	(16,242)	(6,615)	9,627
Fund balances - beginning	19,995	20,742	15,759	(4,983)
Fund balances - ending	$ 4,500	$ 4,500	$ 9,144	$ 4,644

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Federal and State Program Fund
Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Intergovernmental	$ 249,766	$ 252,643	$ 225,954	$ (26,689)
Licenses and permits	1,677	1,686	1,214	(472)
Charges for services	3,707	4,020	3,609	(411)
Interest	13	13	12	(1)
Other:				
Miscellaneous	856	3,941	4,307	366
Service reimbursements	1,041	1,050	537	(513)
Total revenues	257,060	263,353	235,633	(27,720)
EXPENDITURES				
Community and family services	167,717	170,003	149,721	20,282
Aging and disability services	33,902	36,461	34,228	2,233
Juvenile and adult community justice services	28,822	30,107	26,435	3,672
Health services	79,880	79,018	74,875	4,143
District attorney	4,695	5,631	5,123	508
Sheriff	56	325	378	(53)
Environmental services	637	637	478	159
Support services	-	299	152	147
Nondepartmental	4,153	4,544	1,938	2,606
Total expenditures	319,862	327,025	293,328	33,697
Deficiency of revenues under expenditures	(62,802)	(63,672)	(57,695)	5,977
OTHER FINANCING SOURCES (USES)				
Bond proceeds	5	5	-	(5)
Transfers in	62,797	66,736	60,772	(5,964)
Transfers out	-	(3,069)	(3,077)	(8)
Total other financing sources (uses)	62,802	63,672	57,695	(5,977)
Net change in fund balances	-	-	-	-
Fund balances - beginning	-	-	-	-
Fund balances - ending	$ -	$ -	$ -	$ -

The notes to the financial statements are an integral part of this statement.

PROPRIETARY FUNDS

The County utilizes nine Proprietary Funds made up of three Enterprise Funds and six Internal Service Funds. Internal Service Funds' statements are noted at pages 112-126.

Enterprise Funds:
These funds are used to finance and account for the acquisition, operation and maintenance of sewage treatment facilities, street lighting facilities and children's mental health claims administration, which are supported by user charges. The County accounts for certain expenditures of the enterprise funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. The difference in the accounting basis used relates primarily to the methods of accounting for depreciation, debt and capital outlay. Funds included are:

- **Dunthorpe-Riverdale Service District No. 1 Fund** - accounts for the operation of the sanitary sewer system in southwest unincorporated Multnomah County. (A blended component unit of Multnomah County.)

- **Mid County Service District No. 14 Fund** - accounts for the operation of street lights throughout unincorporated Multnomah County. (A blended component unit of Multnomah County.)

- **Behavioral Health Managed Care Fund** - accounts for all financial activity associated with the State required behavioral health capitated services.

MULTNOMAH COUNTY, OREGON
Statement of Net Assets
Proprietary Funds
June 30, 2002
(amounts expressed in thousands)

	Business-type Activities - Enterprise Funds				Governmental Activities - Internal Service Funds
	Dunthorpe-Riverdale Service District	Mid County Service District	Behavioral Health Managed Care	Total	
ASSETS					
Current assets:					
Cash and investments	$ 535	$ 849	$ 5,452	$ 6,836	$ 31,037
Receivables (net of allowances for uncollectibles):					
Accounts	1	-	112	113	799
Special assessments	15	14	-	29	-
Inventories	-	-	-	-	837
Due from other funds	-	-	-	-	960
Prepaid items	-	-	1,031	1,031	246
Total current assets	551	863	6,595	8,009	33,879
Noncurrent assets:					
Fixed assets (net of accumulated depreciation)	1,028	1,371	6	2,405	5,535
Total assets	1,579	2,234	6,601	10,414	39,414
LIABILITIES					
Current liabilities:					
Accounts payable	97	21	4,552	4,670	13,271
Payroll payable	-	-	116	116	534
Compensated absences	-	-	14	14	686
Capitalized leases - current	-	-	-	-	927
Bonds payable - current	-	-	-	-	134
Total current liablilities	97	21	4,682	4,800	15,552
Noncurrent liabilities:					
Compensated absences	-	-	73	73	1,005
Total liabilities	97	21	4,755	4,873	16,557
NET ASSETS					
Invested in capital assets, net of related debt	1,028	1,371	6	2,405	4,473
Unrestricted	454	842	1,840	3,136	18,384
Total net assets	$ 1,482	$ 2,213	$ 1,846	$ 5,541	$ 22,857

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Statement of Revenues, Expenses, and Changes in Fund Net Assets
Proprietary Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Business-type Activities - Enterprise Funds				
	Dunthorpe Riverdale Service District	Mid County Service District	Behavioral Health Managed Care	Total	Governmental Activities - Internal Service Funds
OPERATING REVENUES					
Charges for sales and services	$ 249	$ 235	$ 32,781	$ 33,265	$ 111,347
Insurance premiums	-	-	-	-	1,327
Experience ratings and other	-	-	6	6	916
Total operating revenues	249	235	32,787	33,271	113,590
OPERATING EXPENSES					
Cost of sales and services	245	238	32,551	33,034	103,332
Administration	22	20	2,386	2,428	4,515
Depreciation	39	90	14	143	4,165
Total operating expenses	306	348	34,951	35,605	112,012
Operating income (loss)	(57)	(113)	(2,164)	(2,334)	1,578
NONOPERATING REVENUES (EXPENSES)					
Interest revenue	25	33	281	339	1,012
Interest expense	-	-	-	-	(88)
Loss on disposal of fixed assets	-	(71)	-	(71)	(636)
Total nonoperating revenues (expenses)	25	(38)	281	268	288
Income (loss) before contributions and transfers	(32)	(151)	(1,883)	(2,066)	1,866
Capital contributions	2	335	-	337	200
Transfers in	-	-	3	3	1,730
Transfers out	-	-	-	-	(241)
Change in net assets	(30)	184	(1,880)	(1,726)	3,555
Total net assets - beginning	1,512	2,029	3,726	7,267	19,302
Total net assets - ending	$ 1,482	$ 2,213	$ 1,846	$ 5,541	$ 22,857

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Statement of Cash Flows
Proprietary Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Business Type Activities - Enterprise Funds				Governmental Activities - Internal Service Funds
	Dunthorpe Riverdale Service District	Mid County Service District	Behavioral Health Managed Care	Total	
CASH FLOW FROM OPERATING ACTIVITIES					
Receipts from customers	$ 249	$ 232	$ 33,164	$ 33,645	$ 114,173
Payments to suppliers	(730)	(246)	(30,279)	(31,255)	(63,735)
Payments to employees	(13)	(11)	(3,142)	(3,166)	(25,498)
Internal activity - payments to other funds	-	-	(531)	(531)	(16,300)
Net cash provided by (used in) operating activities	(494)	(25)	(788)	(1,307)	8,640
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES					
Transfers from other funds	-	-	3	3	1,730
Transfers to other funds	-	-	-	-	(241)
Net cash provided by noncapital and related financing activities	-	-	3	3	1,489
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES					
Purchases of capital assets	(49)	-	-	(49)	(2,305)
Principal paid on capital debt	-	-	-	-	(1,462)
Interest paid on capital debt	-	-	-	-	(88)
Capital contributions	2	-	-	2	-
Net cash used in capital and related financing activities	(47)	-	-	(47)	(3,855)
CASH FLOWS FROM INVESTING ACTIVITIES					
Interest received	25	33	281	339	1,012
Net cash provided by investing activities	25	33	281	339	1,012
Net increase (decrease) in cash and cash equivalents	(516)	8	(504)	(1,012)	7,286
Balances at beginning of the year	1,051	841	5,956	7,848	23,751
Balances at end of the year	$ 535	$ 849	$ 5,452	$ 6,836	$ 31,037
Reconciliation of operating income to net cash provided (used) by operating activities:					
Operating income (loss)	$ (57)	$ (113)	$ (2,164)	$ (2,334)	$ 1,578
Adjustments to reconcile operating income to net cash provided by operating activities:					
Cash flows reported in other categories:					
Depreciation	39	90	14	143	4,165
Changes in assets and liabilities:					
Receivables, net	(2)	(3)	-	(5)	587
Inventories	-	-	-	-	30
Due from other funds	-	-	-	-	(960)
Prepaid items	-	-	(1,031)	(1,031)	104
Accounts payable	(474)	1	2,319	1,846	2,507
Compensated absences	-	-	5	5	558
Due to other funds	-	-	-	-	(120)
Payroll payable	-	-	69	69	191
Total adjustments	(437)	88	1,376	1,027	7,062
Net cash provided by (used in) operating activities	$ (494)	$ (25)	$ (788)	$ (1,307)	$ 8,640
Noncash financing activities:					
Contributions of capital assets	-	335	-	335	200

The notes to the financial statements are an integral part of this statement.

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FIDUCIARY FUNDS

These funds account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund. The modified accrual basis of accounting is used to record transactions in the agency funds. The accrual basis of accounting is used in the Library Retirement Fund (Pension Trust). The funds included are:

- **Agency Funds** –account for resources held by the County in a purely custodial capacity (assets equal liabilities).

- **Library Retirement Pension Fund** – provides pension benefits for former employees of the Library Association of Portland.

MULTNOMAH COUNTY, OREGON
Statement of Fiduciary Net Assets
Fiduciary Funds
June 30, 2002
(amounts expressed in thousands)

	Agency Funds	Library Retirement Pension Trust Fund	Total
ASSETS			
Cash and investments	$ 13,315	$ -	$ 13,315
Taxes receivable	40,125	-	40,125
Investments, at fair value:			
General investment account	-	11,391	11,391
Principal Financial Group stock	-	2,399	2,399
Total investments	-	13,790	13,790
Total assets	53,440	13,790	67,230
LIABILITIES			
Accounts payable	1,393	-	1,393
Due to other governmental units	39,227	-	39,227
Amounts held in trust	12,820	-	12,820
Total liabilities	53,440	-	53,440
NET ASSETS			
Held in trust for pension benefits and other purposes	$ -	$ 13,790	$ 13,790

The notes to the financial statements are an integral part of this statement.

MULTNOMAH COUNTY, OREGON
Statement of Changes in Fiduciary Net Assets
Fiduciary Fund
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Library Retirement Pension Trust Fund
ADDITIONS	
Contributions - employer	$ 128
Investment earnings:	
Interest	740
Net increase in the fair value of investments	2,399
Total investment earnings	3,139
Total additions	3,267
DEDUCTIONS	
Benefits	694
Administrative expenses	24
Total deductions	718
Change in net assets	2,549
Total net assets - beginning	11,241
Total net assets - ending	$ 13,790

The notes to the financial statements are an integral part of this statement.

Note 1. Summary of Significant Accounting Policies

A. Reporting entity

Multnomah County (County) is a municipal corporation governed by an elected Board of Commissioners, comprised of a Board Chair and four commissioners. The accompanying financial statements present the County and its component units, entities for which the County is considered to be financially accountable. Blended component units, although legally separate entities, are, in substance, part of the County's operations.

Blended component units. The Dunthorpe-Riverdale Sanitary Service District and the Mid County Street Lighting District serve residents within each district's geographical boundaries and are governed by a board comprised of the County's elected Board. The rates for user charges for both districts are approved by the Board. Each District is reported as an enterprise fund.

Complete financial statements for each of the individual component units may be obtained at the County's administrative offices.

B. Government-wide and fund financial statements

The government-wide financial statements (i.e., the statement of net assets and the statement of changes in net assets) report information on all of the nonfiduciary activities of the County (the primary government) and its component units. For the most part, the effect of interfund activity has been removed from these statements. *Governmental activities*, which normally are supported by taxes and intergovernmental revenues, are reported separately from *business-type activities*, which rely to a significant extent on fees and charges for support. Likewise, the *primary government* is reported separately from certain legally separate *component units* for which the County is financially accountable.

The statement of activities demonstrates the degree to which the direct expenses of a given function or segment are offset by program revenues. *Direct expenses* are those that are clearly identifiable with a specific function or segment. *Program revenues* include 1) charges to customers or applicants who purchase, use, or directly benefit from goods, services, or privileges provided by a given function or segment and 2) grants and contributions that are restricted to meeting the operational or capital requirements of a particular function or segment. Taxes and other items not properly included among program revenues are reported instead as *general revenues.*

Separate financial statements are provided for governmental funds, proprietary funds, and fiduciary funds, even thought the latter are excluded from the government-wide financial statements. Major individual governmental funds and major individual enterprise funds are reported as separate columns in the fund financial statements.

C. Measurement focus, basis of accounting, and financial statement preparation

The government-wide financial statements are reported using the *economic resources measurement focus* and the *accrual basis of accounting*, as are the proprietary fund and fiduciary fund financial statements. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Property taxes are recognized as revenues in the year for which they are levied. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements are reported using the *current financial resources measurement focus* and the *modified accrual basis of accounting*. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be *available* when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the government considers revenues to be available if they are collected within 60 days of the end of the current fiscal period. Expenditures generally are recorded when a liability is incurred, as under accrual accounting. However, debt service expenditures, as well as expenditures related to compensated absences and claims and judgments, are recorded only when payment is due.

Property taxes, excise taxes, business income taxes, licenses, and interest associated with the current fiscal period are all considered to be susceptible to accrual and so have been recognized as revenues of the current fiscal period. Only the portion of special assessments receivable due within the current fiscal period is considered to be susceptible to accrual as revenue of the current period. All other revenue items are considered to be measurable and available only when cash is received by the County.

The County reports the following major governmental funds:

The *General Fund* is the County's primary operating fund. It accounts for all financial resources of the general government, except those required to be accounted for in another fund.

The *Federal State Program Fund* accounts for the majority of revenues and expenditures related to federal and state financial assistance programs.

The *Justice Bond Capital Project Fund* accounts for revenues and expenditures related to construction projects which upgrade or expand existing jail facilities, construct new jail facilities, and pay for data processing linkages in the corrections system.

Additionally, the County reports the following fund types:

Special revenue funds are primarily operating funds that account for revenue derived from specific taxes or other revenue sources, which are legally restricted to finance particular functions or activities. When a special revenue fund is not an operating fund, transfers are made from the special revenue fund to the operating funds authorized to make expenditures.

Debt service funds account for the resources accumulated and payments made for principal and interest on long-term debt of governmental funds.

Capital projects funds account for expenditures on major construction projects or equipment acquisition. The principal sources of revenues are proceeds from certificates of participation issued to finance capital acquisitions, proceeds from the sale of County owned property, general obligation bond proceeds, full faith and credit bonds, and revenue bonds.

Proprietary funds account for the operations of predominantly self-supporting activities. Enterprise funds account for services rendered to the public on a user charge basis, and internal service funds account for services provided to other departments or agencies of the government, or to other governments, on a cost reimbursement basis.

Fiduciary funds account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund.

Private-sector standards of accounting and financial reporting issued prior to December 1, 1989, generally are followed in both the government-wide and proprietary fund financial statements to the extent that those standards do not conflict with or contradict guidance of the Governmental Accounting Standards Board. Governments also have the *option* of following subsequent private-sector guidance for their business-type activities and enterprise funds, subject to this same limitation. The County has elected not to follow subsequent private-sector guidance.

As a general rule the effect of interfund activity has been eliminated from the government-wide financial statements. Exceptions to this general rule are payments-in-lieu of taxes and other charges between the government's sewer and lighting functions and various other functions of the County. Elimination of these charges would distort the direct costs and program revenues reported for the various functions concerned.

Amounts reported as *program revenues* include 1) charges to customers or applicants for goods, services, or privileges provided, 2) operating grants and contributions, and 3) capital grants and contributions, including special assessments. Internally dedicated resources are reported as *general revenues* rather than as program revenues. Likewise, general revenues include all taxes.

Proprietary funds distinguish *operating* revenues and expenses from *nonoperating* items. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with a proprietary fund's principal ongoing operations. The principal operating revenues of the sewer and lighting districts, of the Behavioral Health fund, and of the County's internal service funds are charges to customers for sales and services. The sewer district also recognizes as operating revenue the portion of connection fees intended to recover the cost of connecting new customers to the system. Operating expenses for enterprise funds and internal service funds include the cost of sales and services, administrative expenses, and depreciation on capital assets. All revenues and expenses not meeting this definition are reported as nonoperating revenues and expenses.

When both restricted and unrestricted resources are available for use, it is the County's policy to use restricted resources first, then unrestricted resources as they are needed.

D. Assets, liabilities, and net assets or equity

1. Deposits and investments

The County's cash and cash equivalents are considered to be cash on hand, demand deposits, and investments with original maturities of three years or less from the date of acquisition.

State statutes authorize the County to invest in obligations of the U.S. Treasury, commercial paper, corporate bonds, municipal bonds, banker's acceptances,

repurchase agreements, and the State Treasurer's Local Government Investment Pool (LGIP).
Investments for the County, as well as for its component units, are reported at fair value. The LGIP operates in accordance with appropriate state laws and regulations. The fair value of the County's position in the LGIP at June 30, 2002 was 100.1% of the value of the County's shares.

2. ***Receivables and payables***

Activity between funds that are representative of lending/borrowing arrangements outstanding at the end of the fiscal year are referred to as "due to / from other funds." Any residual balances outstanding between the governmental activities and business-type activities are reported in the government-wide financial statements as "internal balances."

Property tax receivables are deemed to be substantially collectible or recoverable through foreclosure. Accordingly, no allowance for doubtful tax accounts is deemed necessary. All other receivables are shown net of an allowance for uncollectibles.

Property taxes are levied and become a lien on July 1. Property taxes are assessed in October and tax payments are due November 15th of the same year. Under the partial payment schedule, the first one-third of taxes are due November 15th, the second one-third on February 15th, and the remaining one-third on May 15. A three percent discount is allowed if full payment is made by November 15 and a two percent discount is allowed if two-thirds payment is made by November 15. Taxes become delinquent if not paid by the due date and interest accrues after each trimester at a rate of one percent per month. Property foreclosure proceedings are initiated four years after the tax due date.

3. ***Inventories and prepaid items***

Inventories of materials and supplies in the governmental funds are valued at average cost and are offset by a reservation of fund balance. Inventories of materials and supplies in the internal service funds are valued at the lower of average cost or market. All inventories are recorded as expenditures when consumed rather than when purchased.

Payments in excess of $10 to vendors which reflect costs applicable to future accounting periods are recorded as prepaid items in both government-wide and fund financial statements.

4. Restricted amounts

Certain revenues derived from specific taxes or other earmarked revenue sources are considered restricted assets. Such revenues include dedicated property taxes, state gas tax, intergovernmental grants, and charges for services which are legally restricted to finance particular functions or activities. In addition, proceeds from general obligations bonds, revenue bonds, and full faith and credit bonds are restricted to support the specific purpose for which the debt was issued. Such net assets are classified as restricted on the Statement of Net Assets and they are recorded in separate funds supporting the specific function or operation.

5. Capital assets

Capital assets, which includes property, equipment, infrastructure assets (e.g., roads, bridges, sidewalks, sewers, street lighting, and similar items), and their improvements, are reported in the applicable governmental or business-type activities columns in the government-wide financial statements. Capital assets are defined by the County as assets with an initial, individual cost of more than $5,000 (amount not in thousands) and an estimated useful life of at least three years. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at estimated fair market value at the date of donation.

The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend assets lives are not capitalized.

Major outlays for capital assets and improvements are capitalized as projects are constructed. Interest incurred during the construction phase of capital assets of business-type activities is included as part of the capitalized value of the assets constructed. The total interest expense incurred by the County during the current fiscal year was $21,050. Of this amount, $12,658 was included as part of the cost of capital assets under construction in connection with current construction projects.

Property, plant, and equipment of the County, as well as the component units, are depreciated using the straight line method over the following estimated useful lives:

• Motor vehicles	3 years
• Sewer systems	50 years
• Street lighting	10 to 30 years
• Equipment, including software	3 to 10 years
• Buildings and improvements	50 years

6. Compensated absences

It is the County's policy to permit employees to accumulate earned but unused vacation, compensatory and sick leave benefits. There is no liability for unpaid accumulated sick leave since the County does not have a policy to pay any amounts when employees separate from service with the County. All vacation pay and compensatory time is accrued when incurred in the government-wide and proprietary funds. A liability for these amounts is reported in governmental funds only if they have matured, for example, as a result of employee resignations and retirements at June 30.

7. Long-term obligations

In the government-wide financial statements, and proprietary fund types in the fund financial statements, long-term debt and other long-term obligations are reported as liabilities in the applicable governmental activities, business-type activities, or proprietary fund type statement of net assets. Bond premiums and discounts, as well as issuance costs, are deferred and amortized over that life of the bonds using the effective interest method. Bonds payable are reported net of the applicable bond premium or discount. Bond issuance costs are reported as deferred charges and amortized over the term of the related debt.

In the fund financial statements, governmental fund types recognize bond premiums and discounts, as well as bond issuance costs, during the current period. The face amount of debt issued is reported as other financing sources. Premiums received on debt issuances are reported as other financing sources while discounts on debt issuances are reported are reported as other financing uses. Issuance costs, whether or not withheld from the actual debt proceeds received, are reported as debt service expenditures.

8. Fund equity

In the fund financial statements, governmental funds report reservation of fund balance for amounts that are not available for appropriation or are legally restricted by outside parties for use for a specific purpose. Designations of fund balance represent tentative management plans that are subject to change.

Note 2. Stewardship, compliance, and accountability

A. Budgetary information

Annual budgets are adopted on a basis consistent with generally accepted accounting principles for all governmental funds except the trust funds. All annual appropriations lapse at fiscal year end.

During the month of February each year, all agencies of the County submit requests for appropriations to the County Chair so that a budget may be prepared. By May 15, the proposed budget is presented to the County Board of Commissioners for approval. The Board holds public hearings and a final budget must be prepared and adopted no later than June 30.

The appropriated budget is prepared by fund and department. The County's department managers may make transfers of appropriations within a department and fund. Transfers of appropriations between departments or funds require the approval of the Board. The legal level of budgetary control, (i.e., the level at which expenditures may not legally exceed appropriations) is the fund and department level. The Board approved several supplemental budgetary appropriations throughout the year, none of which were material.

B. Excess of expenditures over appropriations

For the year ended June 30, 2002, expenditures exceeded appropriations in the Sheriff's Office by $53. The appropriation was budgeted in the General fund, but should have been appropriated in the Federal State Program fund. Accurate categorization of expenses resulted in the excess of expenditures over appropriations. Expenditures also exceeded appropriations in the Emergency Communications fund, in the Sheriff's Office, by $10, and in the Animal Control fund, in Environmental Services, by $1. All overexpenditures were funded by available fund balances, except in the case of the Emergency Communications fund, which had a deficit fund balance of $1. The Emergency Communications fund is a pass-through fund, where all revenues are transferred to other entitities. In fiscal year 2003, pass-through amounts will be reduced to offset and correct the negative fund balance.

Note 3. Detailed notes on all funds

A. Deposits and investments

Multnomah County pools virtually all funds for investment purposes. All appropriate funds are allocated interest based on the average daily cash balance of

the fund and the average monthly yield of the County's investment portfolio. Each fund type's portion of this pool is displayed on the Combined Balance Sheet as "Cash and investments."

At year-end, the carrying amount of the County's deposits was $8,005 and the bank balance was $7,954. The bank balance was covered by federal depository insurance or by collateral held by one or more of the State's authorized collateral pool managers in the name of the County as the County's agent. The balance of $51 represents petty cash accounts that were uninsured and uncollateralized. State law requires that collateral be deposited with a value of 25% of the balances above federal deposit insurance, but in some instances, the State Banking Commission can require banks to provide more than 25% of the balances of municipal corporations' deposits as collateral. The County cannot, however, determine which, if any, institutions have been required to meet a collateral requirement larger than 25%. The County independently monitors its depository institutions for indications that could potentially cause loss of County funds.

Oregon Revised Statutes, Chapter 294, authorizes the County to invest in obligations of the U.S. Treasury, U.S. Government agencies and instrumentalities, bankers' acceptances guaranteed by a qualified financial institution, commercial paper, corporate bonds, repurchase agreements, State of Oregon Local Government Investment Pool, and various interest-bearing bonds of Oregon municipalities.

The County's investment policy requires that the market value plus accrued interest of the securities collateralizing repurchase agreements exceeds the face amount of the repurchase agreement by margins prescribed in writing by the Oregon Short-Term Fund Board, providing the County with a margin against a decline in the market value of the securities. Daily marking-to-market also protects the County against declines in market value. The market value plus accrued interest of the securities purchased under repurchase agreements did not fall below the required level during the year.

The County's investments are governed by a written investment policy that is reviewed annually by both the Oregon Short-Term Fund Board and the Multnomah County Investment Advisory Board, and is adopted annually by the Board of County Commissioners. The policy specifies the County's investment objectives, benchmarks, required diversification by security type and by maturity, and the reporting requirements.

The County is authorized to invest in the LGIP, an external investment pool, within prescribed limits. The investments are booked at fair value and are the same as the value of the pool shares. The LGIP investments are governed by a written investment policy that is reviewed annually by the Oregon Short- Term Fund

Board. The Oregon Short-Term Fund Board is comprised of members of local government and private investment professionals, who are appointed by the Governor of the State of Oregon.

Investments are categorized into these three categories of credit risk:

1) Insured or registered, or securities held by the County or its agent in the County's name.
2) Uninsured and unregistered, with securities held by the counterparty's trust department or agent in the County's name.
3) Uninsured and unregistered, with securities held by the counterparty, or by its trust department or agent, but not in the County's name.

At year end, the County's investment balances were as follows:

	Category			Reported Amount / Fair Value
	1	2	3	
US Government Agencies	$ 99,129	$ 277	$ -	$ 99,406
Repurchase Agreements	1,400	-	-	1,400
Bankers' Acceptances	10,980	-	-	10,980
Corporate Debt / Commercial Paper	39,802	-	-	39,802
Pension Trust Investments:				
General Investment Account	-	-	11,391	11,391
Principal Financial Group Stock			2,399	2,399
Total	$151,311	$277	$13,790	$165,378
Investments not subject to categorization:				
Local Government Investment Pool				7,439
Cash Deposits and Certificates of Deposit				8,005
Total Cash and Investments				$180,822

Due to higher cash flows at certain times during the year, the government's investment in overnight repurchase agreements for which the underlying securities were held by the dealer increased significantly. As a result, the amounts that were in category 3 at those times were substantially higher than at year-end.

B. Receivables

Receivables as of year-end for the County's individual major funds and nonmajor, internal service, and fiduciary funds in the aggregate, including the applicable allowances for uncollectible accounts, are as follows:

MULTNOMAH COUNTY
Accounts Receivable

	General	Federal State Program	Justice Bond Project	Dunthorpe Riverdale Service District	Mid County Service District	Behavioral Health Managed Care	Internal Service Funds	Nonmajor and Other Funds	Total
Receivables:									
Taxes	$13,866	$ -	$ -	$ -	$ -	$ -	$ -	$ 3,947	$17,813
Accounts	4,693	41,634	6	1	-	112	799	6,462	53,707
Loans	-	1,206	-	-	-	-	-	-	1,206
Interest	2,015	-	-	-	-	-	-	-	2,015
Special assessments	11	-	-	16	15	-	-	-	42
Contracts	2,304	-	-	-	-	-	-	12,928	15,232
Gross receivables	22,889	42,840	6	17	15	112	799	23,337	90,015
Less: allowance for uncollectibles	-	-	-	(1)	(1)	-	-	-	(2)
Net total receivables	$22,889	$42,840	$ 6	$16	$14	$112	$799	$23,337	$90,013

Revenues of Dunthorpe Riverdale and Mid County Service Districts are reported net of uncollectible amounts. Total uncollectible amounts related to revenues are all for prior periods.

Governmental funds report deferred revenue in connection with receivables for revenues that are not considered to be available to liquidate liabilities of the current period. Government funds also defer revenue recognition in connection with resources that have been received, but not yet earned. At the end of the current fiscal year, the various components of deferred revenue reported in the governmental funds were as follows:

	Unavailable
Delinquent property taxes receivable (general fund)	$ 9,376
Delinquent property taxes receivable (other governmental funds)	1,757
Grant draw downs prior to meeting all eligibility requirements	7,129
Contracts receivable	15,232
Contract revenue received in advance	413
Loans receivable	1,206
Tax title land sales inventory	355
Special assessments receivable	11
Total deferred revenue for governmental funds	$35,479

C. Capital assets

Capital asset activity for the year ended June 30, 2002 was as follows:

Primary Government

	Beginning Balance	Increases	Decreases	Ending Balance
Governmental activities:				
Capital assets, not being depreciated:				
Land	$ 14,090	$ 129	$ -	$ 14,219
Work in progress	1,626	15,942	(702)	16,866
Total capital assets, not being depreciated	15,716	16,071	(702)	31,085
Capital assets, being depreciated:				
Buildings	308,714	22,075	-	330,789
Improvements other than buildings	433	-	-	433
Machinery and equipment	112,256	9,515	(5,455)	116,316
Bridges	68,780	555	-	69,335
Infrastructure	806,902	780	-	807,682
Total capital assets being depreciated	1,297,085	32,925	(5,455)	1,324,555
Less accumulated depreciation for:				
Buildings	(68,269)	(7,673)	-	(75,942)
Improvements other than buildings	(120)	(11)	-	(131)
Machinery and equipment	(89,695)	(6,451)	4,818	(91,328)
Bridges	(55,629)	(223)	-	(55,852)
Infrastructure	(403,451)	(20,182)	-	(423,633)
Total accumulated depreciation	(617,164)	(34,540)	4,818	(646,886)
Total capital assets being depreciated, net	679,921	(1,615)	(637)	677,669
Governmental activities capital assets, net	$ 695,637	$14,456	$(1,339)	$ 708,754
Business-type activities:				
Capital assets, being depreciated:				
Improvements other than buildings	$ 3,843	$ 385	$ (142)	$ 4,086
Machinery and equipment	50	-	-	50
Total capital assets being depreciated	3,893	385	(142)	4,136
Less accumulated depreciation for:				
Improvements other than buildings	(1,628)	(129)	71	(1,686)
Machinery and equipment	(31)	(14)	-	(45)
Total accumulated depreciation	(1,659)	(143)	71	(1,731)
Total capital assets being depreciated, net	2,234	242	(71)	2,405
Business-type activities capital assets, net	$2,234	$242	$(71)	$2,405

Depreciation expense was charged to functions / programs of the primary government as follows:

Governmental activities:	
General government	$ 5,466
Health services	662
Social services	1,509
Public safety & justice	2,864
Community services	32
Library	3,327
Roads and bridges	20,680
Total depreciation expense – governmental activities	$34,540
Business-type activities:	
Sewer	$ 39
Lighting	90
Behavioral Health Managed Care	14
Total depreciation expense – business-type activities	$143

D. Interfund receivables, payables, and transfers

The composition of interfund balances as of June 30, 2002 is as follows:

Due to / from other funds:

Receivable Fund (Internal Service fund)	Payable Funds (Governmental funds)	Amount
Risk Management	Emergency Communications	$ 50,000
Risk Management	Special Excise Tax	135,000
Risk Management	Assessment and Taxation	775,000
Total transfers in		$960,000

Interfund Transfers:

	Transfers In:					
Transfers Out:	General Fund	Federal State Program Fund	Nonmajor Governmental Funds	Enterprise Type Funds	Internal Service Funds	Total
General fund	$ -	$60,772	$54,679	$3	$1,191	$116,645
Federal State Program fund	-	-	3,077	-	-	3,077
Nonmajor governmental funds	975	-	8,938	-	539	10,452
Internal service funds	-	-	241	-	-	241
Total transfers out	$975	$60,772	$66,935	$3	$1,730	$130,415

E. Long-term debt

General Obligation Bonds

The County issues general obligation bonds to provide funds for the rehabilitation, construction and acquisition of various library and public safety facilities and related equipment. General obligation bonds have been issued for both governmental activities. The original amount of general obligation bonds issued in prior years was $139,700. In February 1999, the County advance refunded a portion of the above general obligation bonds by issuing $66,115 in new general obligation bonds.

General obligation bonds are direct obligations and pledge the full faith and credit of the County and are backed by the County's authority to levy property taxes. These bonds are generally issued as 20-year serial bonds with equal amounts of principal and interest maturing each year. General obligation bonds currently outstanding are as follows:

Purpose	Interest Rates	Amount
Governmental activities	3.70-6.00%	$34,355
Governmental activities - refunding	3.90-5.65%	62,180
		$96,535

Annual debt service requirements to maturity for General obligation bonds are as follows:

Year Ending June 30	Principal	Interest
2003	$ 4,925	$ 4,263
2004	5,165	4,033
2005	5,420	3,787
2006	5,685	3,525
2007	5,960	3,256
2008-2012	34,300	11,909
2013-2017	35,080	3,954
Total	$96,535	$34,727

Revenue Bonds

The County also issues bonds where the government pledges specific revenue sources or income derived from the acquired or constructed assets to pay debt service. In October 1998, the County issued $3,155 of revenue bonds to finance the construction, renovation, improvement and equipping County owned facilities, and entered into a public / private partnership with the Regional Children's Campus (RCC), a 501(c)(3) non profit agency. In November 2000, the County issued $2,000 of revenue bonds to finance the costs of acquiring land and constructing, renovating, improving and equipping certain facilities to be used as a vocational training center for developmentally disabled residents of Multnomah County. The County entered into a public / private partnership with Port City Development., a 501(c)(3) non profit agency. Also in November 2000, the County issued $3,500 of revenue bonds to re-finance the costs of acquisition of real property and constructing facility improvements related to the Oregon Food Bank. The total original amount of bonds issued in prior years was $8,655. Revenue bonds outstanding at year-end are as follows:

Purpose	Interest Rates	Amount
Governmental activities	4.00-5.20%	$ 8,335

Annual debt service requirements to maturity for revenue bonds are as follows:

Year Ending June 30	Principal	Interest
2003	$ 445	$ 377
2004	465	357
2005	490	337
2006	515	314
2007	540	291
2008-2012	3,090	1,066
2013-2016	2,790	269
Total	$8,335	$3,011

Full Faith and Credit Bonds

On December 1, 1999, the County issued $184,548 in taxable Revenue Pension Obligation Bonds to fund the County's unfunded accrued actuarial liability (UAAL). The County estimates that by funding the actuarial liability, the County will receive a present value savings of about $35,776 between the amount calculated by the Oregon Public Employees Retirement System (PERS) to retire the UAAL and the amount of the debt repayment. The total interest cost is 7.67%. Payment of principal and interest, except for a term bond, will be guaranteed by MBIA. The term bonds are rated Aa2 by Moody's Investor Service, Inc.

On April 1, 2000, the County issued $61,215 in full faith and credit bonds to finance the costs of acquiring and installing the integrated enterprise computer system, acquire land, acquire facilities and construct other County facilities and structures. Full faith and credit bonds are direct obligations and pledge the full faith and credit of the County.

On April 1, 1999, the County issued $36,125 in Certificates of Participation at a net interest cost of 4.71% to finance the costs of acquiring land and facilities. Certificates of Participation are direct obligations and pledge the full faith and credit of the County.

Full faith and credit bond obligations outstanding at year-end are as follows:

Purpose	Interest Rates	Amount
Governmental activities	4.00-7.74%	$272,833

Annual debt service requirements to maturity for full faith and credit bonds are as follows:

Year Ending June 30	Principal	Interest
2003	$ 5,685	$ 12,695
2004	6,560	12,400
2005	7,535	12,051
2006	8,345	11,648
2007	9,520	11,168
2008-2012	63,390	45,957
2013-2017	62,670	65,650
2018-2022	72,160	70,405
2023-2027	23,881	141,864
2028-2030	13,087	109,797
Total	$272,833	$493,635

Capital Leases

The County has entered into various lease/purchase agreements to acquire property and equipment. The County also has capital lease obligations recorded in the Data Processing Fund, an Internal Service Fund. These lease agreements qualify as capital leases for accounting purposes and have been capitalized in accordance with generally accepted accounting principles.

Assets acquired through capital leases are as follows:

Asset	Governmental Activities
Buildings	$63,638
Less: Accumulated depreciation	(14,202)
Total	$49,436

Capital lease obligations outstanding at year-end are as follows:

Purpose	Interest Rates	Amount
Governmental activities	3.75-7.25%	$35,098
Governmental activities – refunding	2.75-7.50%	11,515
Total		$46,613

Future minimum lease payments are as follows:

	Governmental Activities	
Year Ending June 30	Principal	Interest
2003	$ 5,112	$ 2,241
2004	3,535	2,027
2005	3,714	1,841
2006	3,914	1,645
2007	4,111	1,433
2008-2012	20,091	3,885
2013-2017	5,700	459
2018	436	11
Total	$46,613	$13,542

Loans Payable

The County has entered into several loans with other governmental agencies for the purpose of making capital improvements. The loan obligations outstanding at year-end are as follows:

Purpose	Interest Rates	Amount
Governmental activities	5.65-7.20%	$797

Annual debt service requirements to maturity for long term loans outstanding at year-end are as follows:

Year Ending June 30	Principal	Interest
2003	$ 79	$ 51
2004	85	44
2005	92	38
2006	95	35
2007	87	27
2008-2012	319	57
2013-2016	40	3
Total	$797	$255

Changes in long-term liabilities

Long-term liability activity for the year ended June 30, 2002 was as follows:

	Beginning Balance	Additions	Reductions	Ending Balance	Due Within One Year
Governmental Activities					
General Obligation Bonds	$106,260	$ -	$ 9,725	$96,535	$ 4,925
Revenue Bonds	8,500	-	165	8,335	445
Full Faith and Credit Bonds	277,713	-	4,880	272,833	5,685
Capital Leases	51,942	-	5,329	46,613	5,112
Loans Payable	870	-	73	797	79
Compensated Absences	11,620	4,541	-	16,161	7,791
Governmental activity long-term liabilities:	$456,905	$ 4,541	$20,172	$441,274	$24,037
Business-Type Activities					
Compensated Absences	$ 82	$ 5	$ -	$ 87	$ 14

Defeased General Obligation Bonds

In prior years, the County defeased certain general obligation bond issues by placing the proceeds of the new bonds in irrevocable trusts to provide for all future debt service on the old bonds. Accordingly, the trust account assets and related liability for the defeased bonds are not included in the County's financial statements. At June 30, 2002, the amount of these bonds outstanding totaled $62,180.

Defeased Certificates of Participation

In prior years, the County defeased certain certificates of participation issues by placing the proceeds of the new certificates in irrevocable trusts to provide for all future debt service on the old certificates. Accordingly, the trust account assets and related liability for the defeased certificates are not included in the County's financial statements. At June 30, 2002, the amount of these certificates outstanding totaled $24,865.

Conduit Financing

On November 1, 1997, the County issued $31,600 in Educational Facilities Revenue Bonds which have not been recorded in the County's financial statements. The proceeds of these bonds were assigned to the University of Portland (the University) to finance capital improvements to the University, pay issue costs and advance refund $17,750 of the Series 1994 issue. On April 1, 2000, the County issued an additional $17,160 in Conduit Educational Revenue Bonds for the

University to finance the construction of a student housing facility, parking garage and street lighting. These bonds are not recorded on the books of the County but are assigned to the University. The responsibilities of the County in this bond transaction were limited to adopting the resolution authorizing the issuance of the bonds, executing the bonds and the bond documents to which it is a party, issuing and delivering the Bonds, assigning certain of its rights to the Trustee as provided in the indenture, and directing the Trustee as to the application of monies received from the University to pay the bonds in accordance with the indenture. The County has no obligation to take any other action relating to the bonds. Since the County does not own any of the assets constructed or assume any liabilities associated with repayment, there is no balance sheet disclosure or recognition of revenues and expenditures within the County's financial statements. As of June 30, 2002, $42,995 of Educational Facilities Revenue Bonds were outstanding.

On December 3, 1998, the County created the Hospital Facilities Authority of Multnomah County, Oregon (the Authority). On March 1, 1999, the Authority issued $26,000 in Hospital Revenue Bonds (Terwilliger Plaza), which have not been recorded as a liability for generally accepted accounting principles purposes. The proceeds of these bonds were used by health care facilities to finance various capital projects and refund outstanding bonds. The Hospital Revenue Bonds have not been recognized as a liability of the County because the bonds are secured solely by the provisions of the Bond indenture and payments are made by the health care facility. Terwilliger Plaza has pledged the gross revenues of the health care facility making up Terwilliger Plaza to secure payment of the bonds. The bonds shall not be payable from a charge upon any funds, assets, nor shall the County be subject to any liability. No holder or holders of the bonds shall ever have the right to exercise the taxing power of the County to pay the bonds or the interest, nor to enforce payment against any property of the County. Upon completion of the project, the assets constructed or purchased are owned by Terwilliger Plaza. Since the County does not own any of the assets or assume any of the liabilities associated with the repayment, there is no balance sheet disclosure or recognition of revenues within the County's financial statements. As of June 30, 2002, $25,200 of these bonds were outstanding.

On December 1, 1999, the County issued $9,830 in Higher Education Variable Rate Demand Revenue Bonds. The proceeds of these bonds were used to provide funds to reimburse Concordia University for the costs of acquiring, constructing and improving the educational facilities of the University (the "Project), fund a debt service reserve fund and pay the costs of issuing the bonds. The Higher Education Revenue Bonds have not been recognized as a liability of the County because the bonds are secured solely by the provisions of the Bond indenture and payments are made by the University. As the County does not own any of the assets constructed or assume any liabilities associated with the project, there is no balance sheet disclosure or recognition of revenues and expenditures within the County's

financial statements. As of June 30, 2002, $9,390 of the Higher Education Variable Rate Demand Revenue Bonds were outstanding.

Note 4. Other information

A. Risk management

The County is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; and natural disasters for which the County carries commercial insurance. The County established risk management programs for liability and workers' compensation, whereby premiums are calculated on payroll expenses in all funds and are paid into the risk management fund. The funds are available to pay claims, claim reserves, and reduce administrative costs of the program. These interfund premiums are used to offset the amount of claims expenditure reported in the risk management fund. As of June 30, 2002, interfund premiums exceeded reimbursable expenditures.

Liabilities of the fund are reported when it is probable that a loss has occurred and the amount of the loss can be reasonably estimated. Liabilities include an amount for claims that have been incurred but not reported (IBNRs). The result of the process to estimate the claims liability is not an exact amount as is depends on many complex factors, such as inflation, changes in legal doctrines, and damage awards. Accordingly, claims are re-evaluated periodically to consider the effect of inflation, recent claim settlement trends (including frequency and amount of payouts), and other economic and social factors. The estimate of the claims liability also includes amounts for incremental claim adjustment expenses related to specific claims and other claim adjustment expenses regardless of whether allocated to specific claims. Estimated recoveries, for example from salvage or subrogation, are another component of the claims liability estimate. An excess coverage insurance policy covers individual workers' compensation claims in excess of $500. Settlements have not exceeded coverages for each of the past three fiscal years. Changes in the balances of claims liabilities during the past two years are as follows:

	Fiscal year ended 6/30/02	Fiscal year ended 6/30/01
Unpaid claims, beginning of fiscal year	$ 8,712	$ 7,854
Incurred claims (including IBNRs)	15,775	18,716
Claim payments	(15,224)	(17,858)
Unpaid claims, end of fiscal year	$ 9,263	$ 8,712

B. Subsequent events

On July 1, 2002, the County issued $20,000 in Tax and Revenue Anticipation Notes to meet current cash flow needs of the County, prior to the receipt of property tax revenues in November. The interest rate on the notes is 2.50% and the yield is 1.58%. The notes mature on June 30, 2003.

On October 7, 2002, a summary judgment was issued in favor of the County and other local governments. The lawsuit was brought by the County and other local governments against the Oregon Public Employees' Retirement System (PERS), and alleged that PERS had set employer contribution rates at an improperly high level as a result of the pension board's failure to follow various statutory directives of the state legislature. Negotiations for implementation of the court order began in late October and are expected to continue until 2003. The outcome of the negotiations and their effect on the County's employer contribution rate are unknown at this time.

C. Contingent liabilities

Amounts received or receivable from grant agencies are subject to audit and adjustment by grantor agencies, principally the federal and state governments. Any disallowed claims, including amounts already collected, may constitute a liability of the applicable funds. The amount, if any, of expenditures that may be disallowed by the grantor cannot be determined at this time, although the government expects such amounts, if any, to be immaterial.

The County is a defendant in various lawsuits. Although the outcome of these lawsuits is not presently determinable, in the opinion of the County's legal counsel the resolution of these matters will not have a material adverse effect on the financial condition of the County.

D. Other postemployment benefits

The County provides postretirement health care benefits, as per the requirements of collective bargaining agreements, for certain retirees and their dependents. The benefits vary by agreement, and depending upon a retiree's years of service. In general, the County pays 50% of the premiums of health care coverage for retirees from age 58 to age 65. The County's regular health care benefit providers underwrite the retiree's policies. Retirees may not convert the benefit into an in-lieu payment to secure coverage under independent plans.

At June 30, 2002, there were 457 retirees that were receiving the postemployment health care benefit. The County finances the plan by creating a reserve account that

meets the actuarially determined amount of benefits that will be payable in the future. For the year ended June 30, 2002, the County paid $1,027 for these benefits, which was net of $1,328 of retiree contributions.

E. Employee retirement systems and pension plans

Pension plans

The County participates in the Oregon Public Employees Retirement System (PERS), a cost-sharing multiple-employer defined benefit public employee pension plan that covers substantially all employees; maintains a single employer defined benefit plan for employees transferred to the County from the former Library Association of Portland; and maintains a defined contribution plan for substantially all County employees.

Oregon Public Employees Retirement System (PERS)

Plan description. The County participates in PERS, a cost-sharing, multiple-employer defined benefit pension plan administered by the PERS Pension board. PERS provides retirement, disability, and death benefits to plan members and their beneficiaries. State statutes authorize the State to establish and amend all plan provisions. PERS issues a publicly available financial report that includes financial statements and required supplementary information. The reports may be obtained by writing:

PERS
PO Box 23700
Tigard, OR 97281-3700

Summary of significant accounting policies – basis of accounting and valuation of investments. The financial statements of PERS are prepared using the accrual basis of accounting. Plan member contributions are recognized in the period in which contributions are due. The County's contributions are recognized when due and a formal commitment to provide the contributions has been made. Benefits and refunds are recognized when due and payable in accordance with the terms of the plan. All plan investments are reported at fair market value.

Funding policy. The contribution requirements of the County are established and may be amended by the State. The County is required by collective bargaining agreements to contribute the required 6.0% of annual covered salary. The County is also required to contribute at an actuarially determined rate; the current rate is 7.94% of annual covered payroll.

Based upon reports of substantial losses within the PERS system, the County believes it is likely that its future contribution rate will increase above the current level of 7.94%. It is also likely the unfunded actuarial surplus will decrease from the last reported balance in 1999. An actuarial report subsequent to 1999 is not yet available and as such the amount of the changes noted above is not known.

Annual pension cost. For 2002, the County's annual pension cost of $30,344 for PERS was equal to the County's required and actual contributions. The required contribution was determined as part of the December 31, 1999 actuarial valuation using the entry age normal actuarial cost method. The actuarial assumptions included (a) 8.0 percent investment rate of return (net of administrative expenses), (b) projected salary increases due to inflation of 3.5 percent per year, and (c) projected wage growth, excluding seniority / merit raises, of 4.25 percent per year. The actuarial value of assets was determined using techniques that smooth the effects of short-term volatility in the market value of investments over a five-year period. The County's unfunded actuarial accrued liability is being amortized using the closed group fixed term method. The remaining amortization period at December 31, 1999, was 28 years.

Three-Year Trend Information for PERS

Year Ended	Annual Pension Cost (APC)	Percentage of APC Contributed	Net Pension Obligation
6/30/00	$32,339	100%	$ -
6/30/01	31,607	100%	-
6/30/02	30,344	100%	-

A Schedule of Funding Progress for PERS is shown on page 62 of this report.

Multnomah County Library Retirement Plan

Plan description, summary of significant accounting policies, and funding requirements. The Multnomah County Library Retirement Plan is a single employer defined benefit plan. Prior to July 1, 1990, the Plan was administered by the Library Association of Portland (the Association), a not-for-profit association. Effective July 1, 1990, the Association was transferred to the County, and the County Board of Commissioners became responsible for amending Plan provisions. The Principal Financial Group is contracted by the County to be the trustee of the Plan, and the County Finance Director is the Plan administrator. The Plan is now closed and all employees transferred are covered by PERS. The Plan does not issue a stand alone financial report. All related financial data is contained in this report, and is prepared using the accrual basis of accounting.

All former Association employees who were 21 years of age and had completed two years of service were eligible to participate in the Plan. Retirement benefits are based on salary and length of service, are calculated using a formula, and are payable in a lump sum or monthly using several payment options. The Plan also provides death benefits.

At June 30, 2002, there were 278 plan members. Current employees have an annual covered payroll of $5,255. The total payroll and covered payroll are the same because the Plan is frozen. There are 121 participants currently receiving benefits. The assets of the Plan consist of unallocated insurance contracts and therefore are appropriately valued at contract value. Employer contributions to the Plan, participant benefits, and participant refunds are recognized using the accrual basis of accounting. The costs of administering the Plan are deducted from the earnings. The annual required contribution, or annual pension cost, of the County was $0, due to the plan being fully funded.

A Schedule of Funding Progress for the Plan is shown on page 62 of this report.

Deferred Compensation Plan

Plan description. The County offers employees a deferred compensation plan (the Plan), a defined benefit plan administered by the County. The Plan is created in accordance with Internal Revenue Code Section 457. The Plan is available to all represented and non-represented County employees, and permits them to defer a portion of their salary until future years. Amounts deferred are not available to employees until termination, retirement, death, or unforeseeable emergency. All assets and income of the Plan are held in trust for the exclusive benefit of the participants and their beneficiaries. No Plan assets have been used for purposes other than the payment of benefits.

At June 30, 2002, the amount deferred and investment earnings thereon, adjusted to fair market value, amount to $81,642. The amounts accumulated under the Plan including investment earnings, are excluded from the financial statements of the County.

Public Employees Retirement System
Schedule of Funding Progress

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) – Entry Age (b)	Unfunded (Funded) AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll [(b-a)/c)]
12/31/95	$201,614	$330,154	$128,540	61%	$142,614	90%
12/31/97	291,095	449,588	158,492	65%	155,915	102%
12/31/99	935,746	859,337	(76,409)	109%	191,152	(40)%

The actuarial valuation dated December 31, 1999, is the most recent information available.

Multnomah County Library Retirement Plan
Schedule of Funding Progress

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) – Entry Age (b)	Unfunded (Funded) AAL (UAAL) (b-a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as a Percentage of Covered Payroll [(b-a)/c)]
1/1/00	$ 10,998	$ 11,321	$ 324	97.1%	$ 5,056	6.4%
1/1/01	11,197	11,240	43	99.6%	5,008	0.9%
1/1/02	13,273	11,552	(1,721)	115.0%	5,255	-33.0%

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NONMAJOR GOVERNMENTAL FUNDS

Special Revenue Funds

- Strategic Investment Program Fund
- Road Fund
- Emergency Communications Fund
- Assessment and Taxation Fund
- Bicycle Path Construction Fund
- County School Fund
- General Reserve Fund
- Land Corner Preservation Fund
- Tax Title Land Sales Fund
- Animal Control Fund
- Willamette River Bridges Fund
- Recreation Fund
- Library Fund
- Justice Services Special Operations Fund
- Inmate Welfare Fund
- Special Excise Tax Fund
- Public Safety Levy Fund

Debt Service Funds

- Capital Lease Retirement Fund
- General Obligation Bond Fund
- PERS Pension Bond Fund
- Revenue Bond Fund

Capital Projects Funds

- Revenue Bond Project Fund
- SB 1145 Fund
- Equipment Acquisition Fund
- Building Project Fund
- Library Construction / 1996 Bonds Fund
- Capital Improvement Fund
- Capital Acquisition Fund
- Deferred Maintenance Fund
- Asset Preservation Fund

MULTNOMAH COUNTY, OREGON
Combining Balance Sheet
Nonmajor Governmental Funds
June 30, 2002
(amounts expressed in thousands)

	Total Nonmajor Special Revenue Funds	Total Nonmajor Debt Service Funds	Total Nonmajor Capital Projects Funds	Total Nonmajor Governmental Funds
ASSETS				
Cash and investments	$ 28,955	$ 13,412	$ 31,815	$ 74,182
Receivables:				
Taxes	3,138	809	-	3,947
Accounts	6,447	-	15	6,462
Contracts	362	10,547	2,019	12,928
Inventories	1,153	-	-	1,153
Prepaid items	217	-	60	277
Total assets and other debits	$ 40,272	$ 24,768	$ 33,909	$ 98,949
LIABILITIES				
Accounts payable	$ 10,122	$ 24	$ 1,108	$ 11,254
Payrolls payable	1,531	-	1	1,532
Due to other funds	960	-	-	960
Deferred revenue	1,781	11,218	2,432	15,431
Total liabilities	14,394	11,242	3,541	29,177
FUND BALANCES				
Fund balances:				
Reserved for capital projects	-	-	30,368	30,368
Reserved for debt service	-	13,526	-	13,526
Reserved for inventories	1,098	-	-	1,098
Reserved for prepaid items	217	-	-	217
Unreserved, undesignated	24,563	-	-	24,563
Total fund balances	25,878	13,526	30,368	69,772
Total liabilities and fund balances	$ 40,272	$ 24,768	$ 33,909	$ 98,949

MULTNOMAH COUNTY, OREGON
Nonmajor Governmental Funds
Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Total Nonmajor Special Revenue Funds	Total Nonmajor Debt Service Funds	Total Nonmajor Capital Projects Funds	Total Nonmajor Governmental Funds
REVENUES				
Taxes	$ 43,255	$ 11,233	$ -	$ 54,488
Intergovernmental	45,551	-	118	45,669
Licenses and permits	2,737	-	-	2,737
Charges for services	5,552	420	271	6,243
Interest	957	573	1,915	3,445
Other	5,789	20,835	3,457	30,081
Total revenues	103,841	33,061	5,761	142,663
EXPENDITURES				
Current:				
General government	12,931	102	5,387	18,420
Health services	5,607	-	-	5,607
Public safety and justice	40,408	-	-	40,408
Community services	16,101	-	2,903	19,004
Library services	41,714	-	992	42,706
Roads and bridges	36,829	-	-	36,829
Capital outlay	1,717	-	16,043	17,760
Debt service:				
Principal	-	18,711	-	18,711
Interest	-	20,358	-	20,358
Total expenditures	155,307	39,171	25,325	219,803
Excess (deficiency) of revenues over (under) expenditures	(51,466)	(6,110)	(19,564)	(77,140)
OTHER FINANCING SOURCES (USES)				
Transfers in	61,630	1,509	3,796	66,935
Transfers out	(6,359)	-	(4,093)	(10,452)
Total other financing sources (uses)	55,271	1,509	(297)	56,483
Net change in fund balances	3,805	(4,601)	(19,861)	(20,657)
Fund balances - beginning	22,073	18,127	50,229	90,429
Fund balances - ending	$ 25,878	$ 13,526	$ 30,368	$ 69,772

NONMAJOR SPECIAL REVENUE FUNDS

These funds account for revenue derived from specific taxes or other earmarked revenue sources, including state gas tax, grants, and charges for services which are legally restricted to finance particular functions or activities. When a special revenue fund is not an operating fund, transfers are made from the special revenue fund to the operating funds authorized to make the expenditures. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- **Strategic Investment Program Fund** - accounts primarily for monies received from corporations receiving property tax abatements and paying fees for specific purposes as a part of the reduced tax agreement to be used for community service.
- **Road Fund** - accounts for revenues primarily from State motor vehicle fees and County gasoline taxes. Expenditures consist of construction, repair, maintenance, and operation of County highways and roads.
- **Emergency Communications Fund** - accounts for monies received from the State which are designated for an emergency communication network in conjunction with the City of Portland.
- **Assessment and Taxation Fund** - accounts for revenues and expenditures for property assessment and tax collection.
- **Bicycle Path Construction Fund** - accounts for revenue and expenditures for bicycle paths.
- **County School Fund** - accounts for forest reserve yield revenues from the State of Oregon which are apportioned to the County school districts.
- **General Reserve Fund** – accounts for a reserve maintained separate from the General Fund at approximately 5% of the total budgeted revenues of the General Fund, to be used only for extreme emergencies related to disaster relief or public life and safety issues.
- **Land Corner Preservation Fund** - accounts for the collection of fees on all recordings of real property transactions and surveying activity. The fund makes expenditures to maintain public land corners.
- **Tax Title Land Sales Fund** - accounts for the receipt and sale of real property foreclosed upon by the County because of unpaid property taxes. Proceeds are subsequently distributed to all taxing districts.
- **Animal Control Fund** - accounts for revenues from dog and cat licenses, control fees and transfers to the General Fund which are utilized for animal control activities.
- **Willamette River Bridges Fund** - accounts for motor vehicle fees and gasoline tax proceeds transferred from the Road Fund for bridge inspections and maintenance.
- **Recreation Fund** - accounts for the collection and disbursement of park revenues from various funding sources on a pass through basis.
- **Library Fund** - accounts for the public library operations.
- **Justice Services Special Operations Fund** - accounts for revenues and expenditures dedicated to justice services in the community corrections department, district attorney's office, and sheriff's office.
- **Inmate Welfare Fund** - accounts for the proceeds from the sale of commissary items. Expenditures are made for supplies for inmates in County jails.
- **Special Excise Tax Fund** - accounts for a transient lodging tax collected from all hotels and motels in the County to be used for convention center expenditures.
- **Public Safety Levy Fund** - accounts for operation of the Inverness Jail and related corrections programs.

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MULTNOMAH COUNTY, OREGON
Combining Balance Sheet
Nonmajor Special Revenue Funds
June 30, 2002
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	County School	General Reserve
ASSETS							
Cash and investments	$ 1,860	$ 7,992	$ 1	$ 2	$ 337	$ 14	$ 9,137
Receivables:							
Taxes	-	-	-	1	-	-	-
Accounts	-	4,016	48	1,076	-	-	-
Contracts	-	-	-	-	-	-	-
Inventories	-	798	-	-	-	-	-
Prepaid items	-	-	-	-	-	-	-
Total assets	$ 1,860	$ 12,806	$ 49	$ 1,079	$ 337	$ 14	$ 9,137
LIABILITIES							
Liabilities:							
Accounts payable	$ 5	$ 5,911	$ -	$ 171	$ -	$ -	$ -
Payroll payable	-	167	-	133	-	-	-
Due to other funds	-	-	50	775	-	-	-
Deferred revenue	-	-	-	-	-	-	-
Total liabilities	5	6,078	50	1,079	-	-	-
FUND BALANCES							
Reserved for inventories	-	798	-	-	-	-	-
Reserved for prepaid items	-	-	-	-	-	-	-
Unreserved, undesignated	1,855	5,930	(1)	-	337	14	9,137
Total fund balances	1,855	6,728	(1)	-	337	14	9,137
Total liabilities and fund balances	$ 1,860	$ 12,806	$ 49	$ 1,079	$ 337	$ 14	$ 9,137

Land Corner Preservation	Tax Title Land Sales	Animal Control	Willamette River Bridges	Recreation	Library	Justice Services Special Operations	Inmate Welfare	Special Excise Tax	Public Safety Levy	Total
$ 331	$ 446	$ -	$ 3,058	$ -	$ 3,055	$ 980	$ 32	$ 5	$ 1,705	$28,955
4	-	-	-	-	1,290	-	-	1,843	-	3,138
-	-	2	794	20	110	218	33	97	33	6,447
-	362	-	-	-	-	-	-	-	-	362
-	355	-	-	-	-	-	-	-	-	1,153
-	-	-	-	-	217	-	-	-	-	217
$ 335	$ 1,163	$ 2	$ 3,852	$ 20	$ 4,672	$ 1,198	$ 65	$ 1,945	$ 1,738	$40,272
$ 1	$ 144	$ -	$ 108	$ 20	$ 871	$ 316	$ 34	$ 1,435	$ 1,106	$10,122
-	2	-	68	-	474	51	4	-	632	1,531
-	-	-	-	-	-	-	-	135	-	960
-	717	-	-	-	1,064	-	-	-	-	1,781
1	863	-	176	20	2,409	367	38	1,570	1,738	14,394
-	300	-	-	-	-	-	-	-	-	1,098
-	-	-	-	-	217	-	-	-	-	217
334	-	2	3,676	-	2,046	831	27	375	-	24,563
334	300	2	3,676	-	2,263	831	27	375	-	25,878
$ 335	$ 1,163	$ 2	$ 3,852	$ 20	$ 4,672	$ 1,198	$ 65	$ 1,945	$ 1,738	$40,272

MULTNOMAH COUNTY, OREGON
Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
Nonmajor Special Revenue Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	County School	General Reserve
REVENUES							
Taxes	$ -	$ 8,433	$ -	$ -	$ -	$ 213	$ -
Intergovernmental	-	27,578	187	4,131	144	-	-
Licenses and permits	-	49	-	24	-	-	-
Charges for services	-	309	-	392	-	-	-
Interest	-	390	3	-	8	2	50
Other	2,008	848	-	515	-	-	-
Total revenues	2,008	37,607	190	5,062	152	215	50
EXPENDITURES							
Current:							
General government	1,587	-	-	10,647	-	-	-
Health services	-	-	-	-	-	-	-
Public safety and justice	-	-	-	-	-	-	-
Community services	-	-	191	-	-	264	-
Library services	-	-	-	-	-	-	-
Roads and bridges	-	32,040	-	-	-	-	-
Capital outlay	-	836	-	-	76	-	-
Total expenditures	1,587	32,876	191	10,647	76	264	-
Excess (deficiency) of revenues over (under) expenditures	421	4,731	(1)	(5,585)	76	(49)	50
OTHER FINANCING SOURCES (USES)							
Transfers in	-	-	-	6,160	54	-	9,087
Transfers out	-	(3,716)	-	(431)	-	-	-
Total other financing sources (uses)	-	(3,716)	-	5,729	54	-	9,087
Net change in fund balances	421	1,015	(1)	144	130	(49)	9,137
Fund balances - beginning	1,434	5,713	-	(144)	207	63	-
Fund balances - ending	$ 1,855	$ 6,728	$ (1)	$ -	$ 337	$ 14	$ 9,137

Land Corner Preservation	Tax Title Land Sales	Animal Control	Willamette River Bridges	Recreation	Library	Justice Services Special Operations	Inmate Welfare	Special Excise Tax	Public Safety Levy	Total
$ -	$ 363	$ -	$ -	$ 119	$20,253	$ -	$ -	$ 13,874	$ -	$ 43,255
40	-	5	1,285	-	881	775	-	-	10,525	45,551
-	-	890	-	-	167	1,607	-	-	-	2,737
552	132	81	-	-	2,438	233	1,415	-	-	5,552
-	63	-	-	-	290	46	1	28	76	957
-	13	-	156	-	1,052	233	68	-	896	5,789
592	571	976	1,441	119	25,081	2,894	1,484	13,902	11,497	103,841
-	697	-	-	-	-	-	-	-	-	12,931
-	-	-	-	-	-	-	-	-	5,607	5,607
-	-	-	-	-	-	3,473	1,515	-	35,420	40,408
-	-	1	-	129	-	-	-	15,516	-	16,101
-	-	-	-	-	41,714	-	-	-	-	41,714
761	-	-	4,028	-	-	-	-	-	-	36,829
-	1	-	573	-	101	91	-	-	39	1,717
761	698	1	4,601	129	41,815	3,564	1,515	15,516	41,066	155,307
(169)	(127)	975	(3,160)	(10)	(16,734)	(670)	(31)	(1,614)	(29,569)	(51,466)
-	-	-	3,662	10	14,504	52	-	-	28,101	61,630
-	-	(975)	-	-	(344)	-	-	-	(893)	(6,359)
-	-	(975)	3,662	10	14,160	52	-	-	27,208	55,271
(169)	(127)	-	502	-	(2,574)	(618)	(31)	(1,614)	(2,361)	3,805
503	427	2	3,174	-	4,837	1,449	58	1,989	2,361	22,073
$ 334	$ 300	$ 2	$ 3,676	$ -	$ 2,263	$ 831	$ 27	$ 375	$ -	$ 25,878

MULTNOMAH COUNTY, OREGON
Strategic Investment Program Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Other:				
Miscellaneous	$ 1,310	$ 1,310	$ 2,008	$ 698
EXPENDITURES				
Environmental services	2,555	2,555	1,587	968
Excess (deficiency) of revenues over (under) expenditures	(1,245)	(1,245)	421	1,666
OTHER FINANCING SOURCES (USES)				
Transfers out	-	(90)	-	90
Net change in fund balances	(1,245)	(1,335)	421	1,756
Fund blances - beginning	1,245	1,335	1,434	99
Fund balances - ending	$ -	$ -	$ 1,855	$ 1,855

MULTNOMAH COUNTY, OREGON
Road Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Taxes:				
Gasoline	$ 7,278	$ 7,278	$ 7,832	$ 554
Forest reserve yield	1,295	1,295	601	(694)
Intergovernmental	26,453	26,453	27,578	1,125
Licenses and permits	43	43	49	6
Charges for services	310	310	309	(1)
Interest	415	415	390	(25)
Other:				
Miscellaneous	711	1,154	848	(306)
Service reimbursements	53	53	-	(53)
Total revenues	36,558	37,001	37,607	606
EXPENDITURES				
Environmental services	36,829	36,830	32,876	3,954
Nondepartmental	361	803	-	803
Total expenditures	37,190	37,633	32,876	4,757
Excess (deficiency) of revenues over (under) expenditures	(632)	(632)	4,731	5,363
OTHER FINANCING SOURCES (USES)				
Transfers out	(3,716)	(3,716)	(3,716)	-
Net change in fund balances	(4,348)	(4,348)	1,015	5,363
Fund balances - beginning	4,348	4,348	5,713	1,365
Fund blances - ending	$ -	$ -	$ 6,728	$ 6,728

MULTNOMAH COUNTY, OREGON
Emergency Communications Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts			Variance with Final Budget Favorable
	Original	Final	Actual	(Unfavorable)
REVENUES				
Intergovernmental	$ 172	$ 172	$ 187	$ 15
Interest	9	9	3	(6)
Total revenues	181	181	190	9
EXPENDITURES				
Sheriff	181	181	191	(10)
Deficiency of revenues under expenditures	-	-	(1)	(1)
Fund balances - beginning	-	-	-	-
Fund balances - ending	$ -	$ -	$ (1)	$ (1)

MULTNOMAH COUNTY, OREGON
Assessment and Taxation Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental	$ 3,465	$ 3,539	$ 4,131	$ 592
Licenses and permits	-	-	24	24
Charges for services	309	309	392	83
Other - miscellaneous	90	521	515	(6)
Total revenues	3,864	4,369	5,062	693
EXPENDITURES				
Support Services	11,072	11,146	10,647	499
Deficiency of revenues under expenditures	(7,208)	(6,777)	(5,585)	1,192
OTHER FINANCING SOURCES (USES)				
Transfers in	7,208	7,208	6,160	(1,048)
Transfers out	-	(431)	(431)	-
Total other financing sources (uses)	7,208	6,777	5,729	(1,048)
Net change in fund balances	-	-	144	144
Fund balances - beginning	-	-	(144)	(144)
Bund balances - ending	$ -	$ -	$ -	$ -

MULTNOMAH COUNTY, OREGON
Bicycle Path Construction Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Intergovernmental	$ 30	$ 30	$ 144	$ 114
Interest	5	5	8	3
Other:				
Miscellaneous	110	110	-	(110)
Total revenues	145	145	152	7
EXPENDITURES				
Environmental services	292	292	76	216
Nondepartmental	110	110	-	110
Total expenditures	402	402	76	326
Excess (deficiency) of revenues over (under) expenditures	(257)	(257)	76	333
OTHER FINANCING SOURCES (USES)				
Transfers in	54	54	54	-
Net change in fund balances	(203)	(203)	130	333
Fund balances - beginning	203	203	207	4
Fund balances - ending	$ -	$ -	$ 337	$ 337

MULTNOMAH COUNTY, OREGON
County School Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Taxes - forest reserve yield	$ 175	$ 262	$ 213	$ (49)
Interest	4	4	2	(2)
Total revenues	179	266	215	(51)
EXPENDITURES				
Nondepartmental	1,754	266	264	2
Deficiency of revenues under expenditures	(1,575)	-	(49)	(49)
OTHER FINANCING SOURCES (USES)				
Transfers in	1,575	-	-	-
Net change in fund balances	-	-	(49)	(49)
Fund balances - beginning	-	-	63	63
Fund balances - ending	$ -	$ -	$ 14	$ 14

MULTNOMAH COUNTY, OREGON
General Reserve Fund
Schedule of Revenues, Expenditures, Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Interest	$ -	$ -	$ 50	$ 50
EXPENDITURES				
Nondepartmental	-	9,128	-	9,128
Excess (deficiency) of revenues over (under) expenditures	-	(9,128)	50	9,178
OTHER FINANCING SOURCES (USES)				
Transfers in	-	9,128	9,087	(41)
Net change in fund balances	-	-	9,137	9,137
Fund balances - beginning	-	-	-	-
Fund balances - ending	$ -	$ -	$ 9,137	$ 9,137

MULTNOMAH COUNTY, OREGON
Land Corner Preservation Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Actual	(Unfavorable)
REVENUES				
Intergovernmental	$ -	$ 40	$ 40	$ -
Charges for services	400	400	552	152
Total revenues	400	440	592	152
EXPENDITURES				
Environmental services	649	764	761	3
Nondepartmental	288	213	-	213
Total expenditures	937	977	761	216
Deficiency of revenues under expenditures	(537)	(537)	(169)	368
Fund balances - beginning	537	537	503	(34)
Fund balances - ending	$ -	$ -	$ 334	$ 334

MULTNOMAH COUNTY, OREGON
Tax Title Land Sales Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Taxes - Sales on foreclosures	$ 850	$ 850	$ 363	$ (487)
Charges for services	-	-	132	132
Interest	40	40	63	23
Miscellaneous	-	13	13	-
Total revenues	890	903	571	(332)
EXPENDITURES				
Environmental Services	1,490	1,490	698	792
Nondepartmental	-	13	-	13
Total expenditures	1,490	1,503	698	805
Deficiency of revenues under expenditures	(600)	(600)	(127)	473
Fund balances - beginning	600	600	427	(173)
Fund balances - ending	$ -	$ -	$ 300	$ 300

MULTNOMAH COUNTY, OREGON
Animal Control Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Intergovernmental	$ -	$ -	$ 5	$ 5
Licenses and permits	1,080	1,080	890	(190)
Charges for services	352	352	81	(271)
Total revenues	1,432	1,432	976	(456)
EXPENDITURES				
Environmental services	-	-	1	(1)
Excess of revenues over expenditures	1,432	1,432	975	(457)
OTHER FINANCING SOURCES (USES)				
Transfers out	(1,432)	(1,432)	(975)	457
Net change in fund balances	-	-	-	-
Fund balances - beginning	-	-	2	2
Fund balances - ending	$ -	$ -	$ 2	$ 2

MULTNOMAH COUNTY, OREGON
Willamette River Bridges Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental	$ 670	$ 670	$ 1,285	$ 615
Other:				
Miscellaneous	10	140	156	16
Total revenues	680	810	1,441	631
EXPENDITURES				
Environmental services	7,291	7,291	4,601	2,690
Nondepartmental	-	130	-	130
Total expenditures	7,291	7,421	4,601	2,820
Deficiency of revenues under expenditures	(6,611)	(6,611)	(3,160)	3,451
OTHER FINANCING SOURCE (USES)				
Transfers in	3,662	3,662	3,662	-
Net change in fund balances	(2,949)	(2,949)	502	3,451
Fund balances - beginning	2,949	2,949	3,174	225
Fund balances - ending	$ -	$ -	$ 3,676	$ 3,676

MULTNOMAH COUNTY, OREGON
Recreation Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Taxes - Gasoline	$ 140	$ 140	$ 119	$ (21)
EXPENDITURES				
Environmental services	185	185	129	56
Deficiency of revenues under expenditures	(45)	(45)	(10)	35
OTHER FINANCING SOURCES (USES)				
Transfers in	10	10	10	-
Net change in fund balances	(35)	(35)	-	35
Fund balance - beginning	35	35	-	(35)
Fund balance - ending	$ -	$ -	$ -	$ -

MULTNOMAH COUNTY, OREGON
Library Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Taxes - property	$ 19,832	$ 19,832	$ 20,253	$ 421
Sales to the public	325	325	318	(7)
Intergovernmental	477	704	881	177
Licenses and permits	152	152	167	15
Charges for services	1,263	1,263	1,259	(4)
Interest	300	300	290	(10)
Other:				
Miscellaneous	105	1,061	1,022	(39)
Non-governmental grants	1,074	1,074	861	(213)
Service reimbursements	270	270	30	(240)
Total revenues	23,798	24,981	25,081	100
EXPENDITURES				
Nondepartmental	-	612	-	612
Library	44,752	43,784	41,815	1,969
Total expenditures	44,752	44,396	41,815	2,581
Deficiency of revenues under expenditures	(20,954)	(19,415)	(16,734)	2,681
OTHER FINANCING SOURCES (USES)				
Transfers in	15,740	14,545	14,504	(41)
Transfers out	-	(344)	(344)	-
Total other financing sources (uses)	15,740	14,201	14,160	(41)
Net change in fund balances	(5,214)	(5,214)	(2,574)	2,640
Fund balances - beginning	5,214	5,214	4,837	(377)
Fund balances - ending	$ -	$ -	$ 2,263	$ 2,263

MULTNOMAH COUNTY, OREGON
Justice Services Special Operations Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental	$ 462	$ 837	$ 775	$ (62)
Licenses and permits	2,409	2,409	1,607	(802)
Charges for services	24	24	233	209
Interest	13	13	46	33
Other:				
Miscellaneous	-	84	101	17
Service reimbursements	90	203	132	(71)
Total revenues	2,998	3,570	2,894	(676)
EXPENDITURES				
Juvenile and adult community justice services	800	800	678	122
District attorney	416	416	350	66
Sheriff	2,508	2,959	2,536	423
Nondepartmental	-	121	-	121
Total expenditures	3,724	4,296	3,564	732
Deficiency of revenues under expenditures	(726)	(726)	(670)	56
OTHER FINANCING SOURCES (USES)				
Transfers in	52	52	52	-
Net change in fund balances	(674)	(674)	(618)	56
Fund balances - beginning	674	674	1,449	775
Fund balances - ending	$ -	$ -	$ 831	$ 831

MULTNOMAH COUNTY, OREGON
Inmate Welfare Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Charges for services	$ 1,532	$ 1,532	$ 1,415	$ (117)
Interest	7	7	1	(6)
Other:				
Miscellaneous	53	81	68	(13)
Total revenues	1,592	1,620	1,484	(136)
EXPENDITURES:				
Juvenile and adult community justice services	48	48	16	32
Sheriff	1,499	1,499	1,499	-
Nondepartmental	65	93	-	93
Total expenditures	1,612	1,640	1,515	125
Deficiency of revenues under expenditures	(20)	(20)	(31)	(11)
Fund balances - beginning	20	20	58	38
Fund balances - ending	$ -	$ -	$ 27	$ 27

MULTNOMAH COUNTY, OREGON
Special Excise Tax Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Taxes	$ 13,691	$ 13,691	$ 13,874	$ 183
Interest	5	5	28	23
Total revenues	13,696	13,696	13,902	206
EXPENDITURES				
Nondepartmental	15,723	15,723	15,516	207
Deficiency of revenues under expenditures	(2,027)	(2,027)	(1,614)	413
Fund balances - beginning	2,027	2,027	1,989	(38)
Fund balances - ending	$ -	$ -	$ 375	$ 375

MULTNOMAH COUNTY, OREGON
Public Safety Levy Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Intergovernmental	$ 9,934	$ 9,934	$ 10,525	$ 591
Interest	300	300	76	(224)
Other:				
Miscellaneous	14	908	896	(12)
Total revenues	10,248	11,142	11,497	355
EXPENDITURES				
Health services	4,911	5,658	5,617	41
Sheriff	32,964	36,584	35,449	1,135
Nondepartmental	3,956	200	-	200
Total expenditures	41,831	42,442	41,066	1,376
Deficiency of revenues under expenditures	(31,583)	(31,300)	(29,569)	1,731
OTHER FINANCING SOURCES (USES)				
Transfers in	30,773	31,383	28,101	(3,282)
Transfers out	-	(893)	(893)	-
Total other financing sources (uses)	30,773	30,490	27,208	(3,282)
Net change in fund balances	(810)	(810)	(2,361)	(1,551)
Fund balances - beginning	810	810	2,361	1,551
Fund balances - ending	$ -	$ -	$ -	$ -

NONMAJOR DEBT SERVICE FUNDS

These funds account for the retirement of general obligation bonds, certificates of participation (capitalized leases) and other lease-purchase arrangements. The modified accrual basis of accounting is used. Funds included are:

- **Capital Lease Retirement Fund** – accounts for lease-purchase principal and interest payments for buildings and major pieces of equipment acquired by the issuance of certificates of participation or other lease-purchase arrangements. Revenues consist of certificates of participation proceeds, service reimbursements and cash transfers from other County funds.

- **General Obligation Bond Fund** – accounts for payment of principal and interest on general obligation bonds. Revenue is derived from property taxes.

- **PERS Pension Bond Fund** – accounts for payment of principal and interest payments on pension obligation bonds that were issued to fund the County's PERS unfunded liability. Revenues consist of charges to departments and interest.

- **Revenue Bond Fund** – accounts for payment of principal and interest on bonds to be issued to construct the Edgefield Children's Center. The revenues are derived from lease of the facility to the Edgefield Children's Center, Inc. Due to project delay, the bonds were not issued and the lease was not executed.

MULTNOMAH COUNTY, OREGON
Combining Balance Sheet
Nonmajor Debt Service Funds
June 30, 2002
(amounts expressed in thousands)

	Capital Lease Retirement	General Obligation Bond	PERS Pension Bond	Revenue Bond	Total
ASSETS					
Cash and investments	$ 1,919	$ 9,346	$ 1,528	$ 619	$ 13,412
Receivables:					
Taxes	-	809	-	-	809
Contracts	-	-	-	10,547	10,547
Total assets	$ 1,919	$ 10,155	$ 1,528	$ 11,166	$ 24,768
LIABILITIES					
Accounts payable	$ -	$ -	$ -	$ 24	$ 24
Deferred revenue	-	671	-	10,547	11,218
Total liabilities	-	671	-	10,571	11,242
FUND BALANCES					
Reserved for debt service	1,919	9,484	1,528	595	13,526
Total liabilities and fund balances	$ 1,919	$ 10,155	$ 1,528	$ 11,166	$ 24,768

MULTNOMAH COUNTY, OREGON
Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
Nonmajor Debt Service Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Capital Lease Retirement	General Obligation Bond	PERS Pension Bond	Revenue Bond	Total
REVENUES					
Taxes	$ -	$ 11,233	$ -	$ -	$ 11,233
Interest	59	345	133	36	573
Charges for services	-	-	-	420	420
Other	12,534	-	8,301	-	20,835
Total revenues	12,593	11,578	8,434	456	33,061
EXPENDITURES					
Current:					
General government	44	3	50	5	102
Debt service:					
Principal	8,291	9,725	530	165	18,711
Interest	6,987	4,589	8,393	389	20,358
Total expenditures	15,322	14,317	8,973	559	39,171
Defiency of revenues under expenditures	(2,729)	(2,739)	(539)	(103)	(6,110)
OTHER FINANCING SOURCES					
Transfers in	1,445	-	-	64	1,509
Net change in fund balances	(1,284)	(2,739)	(539)	(39)	(4,601)
Fund balances - beginning	3,203	12,223	2,067	634	18,127
Fund balances - ending	$ 1,919	$ 9,484	$ 1,528	$ 595	$ 13,526

MULTNOMAH COUNTY, OREGON
Capital Lease Retirement Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Interest	$ 49	$ 49	$ 59	$ 10
Other - service reimbursements	14,157	14,157	12,534	(1,623)
Total revenues	14,206	14,206	12,593	(1,613)
EXPENDITURES				
Nondepartmental	15,339	15,339	15,322	17
Deficiency of revenues under expenditures	(1,133)	(1,133)	(2,729)	(1,596)
OTHER FINANCING SOURCES				
Transfers in	1,445	1,445	1,445	-
Net change in fund balances	312	312	(1,284)	(1,596)
Fund balances - beginning	1,850	1,850	3,203	1,353
Fund balances - ending	$ 2,162	$ 2,162	$ 1,919	$ (243)

MULTNOMAH COUNTY, OREGON
General Obligation Bond Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Taxes:				
Property:				
Current year	$ 14,221	$ 14,221	$ 10,764	$ (3,457)
Prior years'	-	-	381	381
Penalties and interest	-	-	71	71
Sales on foreclosures	-	-	17	17
Interest	580	580	345	(235)
Total revenues	14,801	14,801	11,578	(3,223)
EXPENDITURES				
Nondepartmental	26,333	26,333	14,317	12,016
Deficiency of revenues under expenditures	(11,532)	(11,532)	(2,739)	8,793
Fund balances - beginning	11,532	11,532	12,223	691
Fund balances - ending	$ -	$ -	$ 9,484	$ 9,484

MULTNOMAH COUNTY, OREGON
PERS Pension Bond Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 350	$ 350	$ 133	$ (217)
Other - Service reimbursements	9,819	9,819	8,301	(1,518)
Total revenues	10,169	10,169	8,434	(1,735)
EXPENDITURES				
Nondepartmental	10,348	10,373	8,973	1,400
Deficiency of revenues under expenditures	(179)	(204)	(539)	(335)
Fund balance - beginning	1,400	1,425	2,067	642
Fund balances - ending	$ 1,221	$ 1,221	$ 1,528	$ 307

MULTNOMAH COUNTY, OREGON
Revenue Bond Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 2	$ 2	$ 36	$ 34
Charges for services	549	549	420	(129)
Total revenues	551	551	456	(95)
EXPENDITURES				
Nondepartmental	1,082	1,082	559	523
Deficiency of revenues under expenditures	(531)	(531)	(103)	428
OTHER FINANCING SOURCES				
Transfers in	64	64	64	-
Net change in fund balances	(467)	(467)	(39)	428
Fund balances - beginning	467	467	634	167
Fund balances - ending	$ -	$ -	$ 595	$ 595

NONMAJOR CAPITAL PROJECTS FUNDS

These funds account for expenditures on major construction projects, proceeds from certificates of participation issued to finance capital acquisitions, proceeds from the sale of County property, revenue bond proceeds and library and public safety general obligation bond proceeds. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- **Revenue Bond Project Fund** - accounts for the construction of an expanded Edgefield Children's Center. The proceeds will be from the issue of revenue bonds. Due to project delay the bonds were not issued.

- **SB1145 Fund** - accounts for State revenue to build jail beds to house convicted felons with sentences under twelve months.

- **Equipment Acquisition Fund** - accounts for long-term lease purchases of equipment.

- **Building Project Fund** - accounts for purchases and construction of capital acquisition by entering into lease/purchase agreements.

- **Library Construction Fund/1996 Bonds** - accounts for the renovation of branch libraries and upgrades to Library computer systems and linkages.

- **Capital Improvement Fund** - accounts for the proceeds from the sale of County property and expenditures made to improve County property.

- **Capital Acquisition Fund** - accounts for purchase of personal computers and capital purchases with economic payoffs of less than five years.

- **Deferred Maintenance Fund** – accounts for expenditures for building deferred maintenance projects. Resources are derived from certificates of participation or other financing projects.

- **Asset Preservation Projects Fund** – accounts for the expenditures for building scheduled maintenance projects such as boiler replacement, carpet replacement, roof replacement, etc. Resources are derived from an asset preservation fee that is part of the facilities charges assessed to building tenants.

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MULTNOMAH COUNTY, OREGON
Combining Balance Sheet
Nonmajor Capital Projects Funds
June 30, 2002
(amounts expressed in thousands)

	Revenue Bond Project	SB 1145	Equipment Acquisition	Building Project
ASSETS				
Cash and investments	$ 1,407	$ -	$ 2	$ 5,947
Receivables:				
Accounts	-	-	-	-
Contracts	-	-	-	-
Prepaid items	-	-	-	60
Total assets	$ 1,407	$ -	$ 2	$ 6,007
LIABILITIES				
Accounts payable	$ 20	$ -	$ 2	$ 191
Payroll payable	-	-	-	-
Deferred revenue	-	-	-	-
Total liabilities	20	-	2	191
FUND BALANCES				
Reserved for capital projects	1,387	-	-	5,816
Total liabilities and fund balances	$ 1,407	$ -	$ 2	$ 6,007

Library Construction / 1996 Bonds	Capital Improvement	Capital Acquisition	Deferred Maintenance	Asset Preservation	Total
$ 5,223	$ 5,767	$ 2,082	$ 5,574	$ 5,813	$ 31,815
15	-	-	-	-	15
-	1,653	366	-	-	2,019
-	-	-	-	-	60
$ 5,238	$ 7,420	$ 2,448	$ 5,574	$ 5,813	$ 33,909
$ 330	$ 102	$ 339	$ 47	$ 77	$ 1,108
-	-	1	-	-	1
-	2,066	366	-	-	2,432
330	2,168	706	47	77	3,541
4,908	5,252	1,742	5,527	5,736	30,368
$ 5,238	$ 7,420	$ 2,448	$ 5,574	$ 5,813	$ 33,909

MULTNOMAH COUNTY, OREGON
Combining Statement of Revenues, Expenditures, and Changes in Fund Balances
Nonmajor Capital Projects Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenue Bond Project	SB 1145	Equipment Acquisition	Building Project
REVENUES				
Intergovernmental	$ -	$ -	$ -	$ -
Charges for services	-	-	-	-
Interest	-	-	-	432
Other:				
Miscellaneous	-	-	-	72
Service reimbursements	-	-	-	-
Total revenues	-	-	-	504
EXPENDITURES				
Current:				
General government	-	-	1,254	-
Community services	9	-	-	2,667
Library services	-	-	-	-
Capital outlay	99	-	-	8,219
Total expenditures	108	-	1,254	10,886
Excess (deficiency) of revenues over (under) expenditures	(108)	-	(1,254)	(10,382)
OTHER FINANCING SOURCES (USES)				
Transfers in	-	-	-	-
Transfers out	-	-	-	-
Total other financing sources (uses)	-	-	-	-
Net change in fund balances	(108)	-	(1,254)	(10,382)
Fund balances - beginning	1,495	-	1,254	16,198
Fund balances - ending	$ 1,387	$ -	$ -	$ 5,816

Library Construction / 1996 Bonds	Capital Improvement	Capital Acquisition	Deferred Maintenance	Asset Preservation	Total
$ 15	$ 103	$ -	$ -	$ -	$ 118
-	271	-	-	-	271
657	320	78	208	220	1,915
10	62	-	-	-	144
-	-	3,313	-	-	3,313
682	756	3,391	208	220	5,761
-	1,063	3,070	-	-	5,387
-	-	-	35	192	2,903
992	-	-	-	-	992
5,308	1,221	70	257	869	16,043
6,300	2,284	3,140	292	1,061	25,325
(5,618)	(1,528)	251	(84)	(841)	(19,564)
-	241	-	-	3,555	3,796
-	(4,093)	-	-	-	(4,093)
-	(3,852)	-	-	3,555	(297)
(5,618)	(5,380)	251	(84)	2,714	(19,861)
10,526	10,632	1,491	5,611	3,022	50,229
$ 4,908	$ 5,252	$ 1,742	$ 5,527	$ 5,736	$ 30,368

MULTNOMAH COUNTY, OREGON
Revenue Bond Project Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 50	$ 50	$ -	$ (50)
EXPENDITURES				
Environmental services	2,547	2,547	108	2,439
Deficiency of revenues under expenditures	(2,497)	(2,497)	(108)	2,389
Fund balances - beginning	2,497	2,497	1,495	(1,002)
Fund balances - ending	$ -	$ -	$ 1,387	$ 1,387

MULTNOMAH COUNTY, OREGON
SB 1145 Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental - State	$ 10,666	$ 10,666	$ -	$ (10,666)
Total revenues	10,666	10,666	-	(10,666)
EXPENDITURES				
Sheriff	10,666	10,666	-	10,666
Excess (deficiency) of revenues over (under) expenditures	-	-	-	-
Fund balances - beginning	-	-	-	-
Fund balances - ending	$ -	$ -	$ -	$ -

MULTNOMAH COUNTY, OREGON
Equipment Acquisition Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
EXPENDITURES				
Nondepartmental	$ 1,500	$ 1,500	$ 1,254	$ 246
Deficiency of revenues under expenditures	(1,500)	(1,500)	(1,254)	246
Fund balances - beginning	1,500	1,500	1,254	(246)
Fund balances - ending	$ -	$ -	$ -	$ -

MULTNOMAH COUNTY, OREGON
Building Project Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 1,000	$ 1,000	$ 432	$ (568)
Other - miscellaneous	-	-	72	72
Total revenues	1,000	1,000	504	(496)
EXPENDITURES				
Environmental services	24,100	24,100	9,794	14,306
Support services	1,200	1,200	1,092	108
Total expenditures	25,300	25,300	10,886	14,414
Deficiency of revenues under expenditures	(24,300)	(24,300)	(10,382)	13,918
Fund balances - beginning	24,300	24,300	16,198	(8,102)
Fund balances - ending	$ -	$ -	$ 5,816	$ 5,816

MULTNOMAH COUNTY, OREGON
Library Construction / 1996 Bonds Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental	$ -	$ -	$ 15	$ 15
Interest	650	650	657	7
Other - miscellaneous	-	9	10	1
Total revenues	650	659	682	23
EXPENDITURES				
Environmental services	8,972	2,177	1,527	650
Library	2,996	9,791	4,773	5,018
Non departmental	-	9	-	9
Total expenditures	11,968	11,977	6,300	5,677
Deficiency of revenues under expenditures	(11,318)	(11,318)	(5,618)	5,700
Fund balances - beginning	11,318	11,318	10,526	(792)
Fund balances - ending	$ -	$ -	$ 4,908	$ 4,908

MULTNOMAH COUNTY, OREGON
Capital Improvement Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental	$ -	$ -	$ 103	$ 103
Charges for services	418	418	271	(147)
Interest	500	500	320	(180)
Other - miscellaneous	-	-	62	62
Total revenues	918	918	756	(162)
EXPENDITURES				
Environmental services	8,766	8,760	2,284	6,476
Deficiency of revenues under expenditures	(7,848)	(7,842)	(1,528)	6,314
OTHER FINANCING SOURCES (USES)				
Transfers in	241	235	241	6
Transfers out	(4,093)	(4,093)	(4,093)	-
Total other financing sources (uses)	(3,852)	(3,858)	(3,852)	6
Net change in fund balances	(11,700)	(11,700)	(5,380)	6,320
Fund balances - beginning	11,700	11,700	10,632	(1,068)
Fund balances - ending	$ -	$ -	$ 5,252	$ 5,252

MULTNOMAH COUNTY, OREGON
Capital Acquisition Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 5	$ 5	$ 78	$ 73
Other - service reimbursements	3,278	3,278	3,313	35
Total revenues	3,283	3,283	3,391	108
EXPENDITURES				
Nondepartmental	5	5	-	5
Support services	5,313	5,313	3,140	2,173
Total expenditures	5,318	5,318	3,140	2,178
Excess (deficiency) of revenues over (under) expenditures	(2,035)	(2,035)	251	2,286
Fund balances - beginning	2,035	2,035	1,491	(544)
Fund balances - ending	$ -	$ -	$ 1,742	$ 1,742

MULTNOMAH COUNTY, OREGON
Deferred Maintenance Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 300	$ 300	$ 208	$ (92)
EXPENDITURES				
Environmental services	5,800	5,800	292	5,508
Deficiency of revenues under expenditures	(5,500)	(5,500)	(84)	5,416
Fund balances - beginning	5,500	5,500	5,611	111
Fund balances - ending	$ -	$ -	$ 5,527	$ 5,527

MULTNOMAH COUNTY, OREGON
Asset Preservation Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 100	$ 100	$ 220	$ 120
EXPENDITURES				
Nondepartmental	772	772	-	772
Environmental services	8,908	8,908	1,061	7,847
Total expenditures	9,680	9,680	1,061	8,619
Deficiency of revenues under expenditures	(9,580)	(9,580)	(841)	8,739
OTHER FINANCING SOURCES				
Transfers in	7,052	7,052	3,555	(3,497)
Net change in fund balances	(2,528)	(2,528)	2,714	5,242
Fund balances - beginning	2,528	2,528	3,022	494
Fund balances - ending	$ -	$ -	$ 5,736	$ 5,736

MULTNOMAH COUNTY, OREGON
Justice Bond Capital Project Fund (Major Fund)
Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the year ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Interest	$ 2,500	$ 2,500	$ 1,912	$ (588)
Charges for services	-	-	37	37
Total revenues	2,500	2,500	1,949	(551)
EXPENDITURES				
Environmental services	13,372	13,372	8,328	5,044
Sheriff	42,899	42,899	14,780	28,119
Support services	2,419	2,419	1,289	1,130
Total expenditures	58,690	58,690	24,397	34,293
Deficiency of revenues under expenditures	(56,190)	(56,190)	(22,448)	33,742
Fund balances - beginning	56,190	56,190	52,866	(3,324)
Fund balances - ending	$ -	$ -	$ 30,418	$ 30,418

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ENTERPRISE FUNDS

The County's Enterprise Funds are listed below.

- **Dunthorpe-Riverdale Service District No. 1 Fund**
- **Mid County Service District No. 14 Fund**
- **Behavioral Health Managed Care Fund**

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MULTNOMAH COUNTY, OREGON
Dunthorpe-Riverdale Service District No. 1 Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Assessments - sewer	$ 248	$ 248	$ 249	$ 1
Charges for services	10	10	2	(8)
Interest	15	15	25	10
Total revenues	273	273	276	3
EXPENDITURES				
Environmental services	645	645	317	328
Nondepartmental	40	40	-	40
Total expenditures	685	685	317	368
Deficiency of revenues under expenditures	(412)	(412)	(41)	371
Fund balances - beginning	497	497	482	(15)
Fund balances - ending	$ 85	$ 85	$ 441	$ 356

MULTNOMAH COUNTY, OREGON
Dunthorpe-Riverdale Service District No. 1 Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Dunthorpe Riverdale Operating Fund	$ 276	$ 317
Capital contributions	(2)	
Depreciation expense		39
Capital outlay		(49)
Uncollectible prior year		(2)
Uncollectible current year		1
Revenues and expenses - page 32	$ 274	$ 306

MULTNOMAH COUNTY, OREGON
Mid County Service District No. 14 Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Assessments - street lighting				
Current year	$ 185	$ 185	$ 228	$ 43
Prior year	-	-	5	5
Interest	46	46	33	(13)
Total revenues	231	231	266	35
EXPENDITURES				
Environmental services	745	745	258	487
Nondepartmental	25	25	-	25
Total expenditures	770	770	258	512
Excess (deficiency) of revenues over (under) expenditures	(539)	(539)	8	547
Fund balances - beginning	829	829	822	(7)
Fund balances - ending	$ 290	$ 290	$ 830	$ 540

MULTNOMAH COUNTY, OREGON
Mid County Service District No. 14 Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Mid County Operating Fund	$ 266	$ 258
Deferred revenue current year	13	
Deferred revenue prior year	(11)	
Depreciation expense		90
Loss on disposal of capital assets		71
Revenues and expenses - page 32	$ 268	$ 419

MULTNOMAH COUNTY, OREGON
Behavioral Health Managed Care Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental:				
Federal, state and local	$ 38,030	$ 33,314	$ 33,158	$ (156)
Interest	275	275	281	6
Other:				
Miscellaneous	-	-	6	6
Total revenues	38,305	33,589	33,445	(144)
EXPENDITURES				
Community and family services	39,002	36,119	34,937	1,182
Nondepartmental	3,432	1,599	-	1,599
Total expenditures	42,434	37,718	34,937	2,781
Deficiency of revenues under expenditures	(4,129)	(4,129)	(1,492)	2,637
OTHER FINANCING SOURCES				
Transfers in	697	697	3	(694)
Net change in fund balances	(3,432)	(3,432)	(1,489)	1,943
Fund balances - beginning	3,432	3,432	3,329	(103)
Fund balances - ending	$ -	$ -	$ 1,840	$ 1,840

MULTNOMAH COUNTY, OREGON
Behavioral Health Managed Care Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Behavioral Health Operating Fund	$ 33,445	$ 34,937
Deferred revenue prior year	(377)	
Depreciation expense		14
Revenues and expenses - page 32	$ 33,068	$ 34,951

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INTERNAL SERVICE FUNDS

These funds account for activities and services performed primarily for other organizational units within the County. Charges to the County agencies are calculated to recover costs and maintain capital. The County accounts for certain expenditures of the Internal Service Funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. Such differences relate primarily to the methods of accounting for depreciation and capital outlay. Funds included are:

- **Risk Management Fund** - accounts for the County's risk management activities including insurance coverage.
- **Fleet Management Fund** - accounts for the County's motor vehicle fleet operations.
- **Telephone Fund** - accounts for the County's telephone operations.
- **Data Processing Fund** - accounts for the County's data processing operations.
- **Mail/Distribution Fund** - accounts for the County's mail/distribution operations.
- **Facilities Management Fund** - accounts for the management of all County owned and leased property.

MULTNOMAH COUNTY, OREGON
Internal Service Funds
Combining Statement of Net Assets
June 30, 2002
(amounts expressed in thousands)

	Government Activities - Internal Service Funds						
	Risk Management	Fleet Management	Telephone	Data Processing	Mail / Distribution	Facilities Management	Total Internal Service Funds
ASSETS							
Current assets:							
Cash and investments	$ 20,132	$ 4,409	$ 2,621	$ 2,512	$ 79	$ 1,284	$ 31,037
Accounts receivable	-	176	44	73	8	498	799
Inventories	-	526	-	-	52	259	837
Due from other funds	960	-	-	-	-	-	960
Prepaid items	93	-	-	153	-	-	246
Total current assets	21,185	5,111	2,665	2,738	139	2,041	33,879
Noncurrent assets:							
Capital assets (net of accumulated depreciation)	17	2,797	1,565	1,151	-	5	5,535
Total assets	21,202	7,908	4,230	3,889	139	2,046	39,414
LIABILITIES							
Current liabilities:							
Accounts payable	10,286	293	551	799	10	1,332	13,271
Payroll payable	56	47	13	257	8	153	534
Compensated absences	195	115	24	875	20	462	1,691
Capitalized leases - current	-	-	-	927	-	-	927
Bonds payable - current	-	-	-	134	-	-	134
Total current liabilities	10,537	455	588	2,992	38	1,947	16,557
NET ASSETS							
Invested in capital assets, net of related debt	17	2,797	1,565	89	-	5	4,473
Unrestricted	10,648	4,656	2,077	808	101	94	18,384
Total net assets	$ 10,665	$ 7,453	$ 3,642	$ 897	$ 101	$ 99	$ 22,857

MULTNOMAH COUNTY, OREGON
Combining Statement of Revenues, Expenses and Changes in Fund Net Assets
Internal Service Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Government Activities - Internal Service Funds						
	Risk Management	Fleet Management	Telephone	Data Processing	Mail / Distribution	Facilities Management	Total Internal Service Funds
OPERATING REVENUES							
Charges for sales and services	$ 43,546	$ 5,002	$ 5,101	$ 20,636	$ 1,371	$ 35,691	$ 111,347
Insurance premiums	1,327	-	-	-	-	-	1,327
Experience ratings and other	1,126	34	(1)	175	-	(418)	916
Total operating revenues	45,999	5,036	5,100	20,811	1,371	35,273	113,590
OPERATING EXPENSES							
Cost of sales and services	42,030	3,867	3,268	19,295	934	33,938	103,332
Administration	484	303	608	882	423	1,815	4,515
Depreciation	28	1,526	1,564	1,044	-	3	4,165
Total operating expenses	42,542	5,696	5,440	21,221	1,357	35,756	112,012
Operating income (loss)	3,457	(660)	(340)	(410)	14	(483)	1,578
NONOPERATING REVENUES (EXPENSES)							
Interest revenue	713	157	79	62	1	-	1,012
Interest expense	-	-	-	(88)	-	-	(88)
Loss on disposal of fixed assets	-	(10)	(1)	(578)	(47)	-	(636)
Total nonoperating revenues (expenses)	713	147	78	(604)	(46)	-	288
Income (loss) before contributions and transfers	4,170	(513)	(262)	(1,014)	(32)	(483)	1,866
Capital contributions	-	82	-	118	-	-	200
Transfers in	-	-	-	925	8	797	1,730
Transfers out	-	-	-	-	-	(241)	(241)
Change in net assets	4,170	(431)	(262)	29	(24)	73	3,555
Total net assets - beginning	6,495	7,884	3,904	868	125	26	19,302
Total net assets - ending	$ 10,665	$ 7,453	$ 3,642	$ 897	$ 101	$ 99	$ 22,857

MULTNOMAH COUNTY, OREGON
Combining Statement of Cash Flows
Internal Service Funds
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Government Activities - Internal Service Funds						
	Risk Management	Fleet Management	Telephone	Data Processing	Mail / Distribution	Facilities Management	Total Internal Service Funds
CASH FLOW FROM OPERATING ACTIVITIES							
Receipts from customers	$ 46,008	$ 5,192	$ 5,086	$ 20,782	$ 1,379	$ 35,726	$114,173
Payments to suppliers	(38,688)	(1,451)	(2,972)	(3,042)	(871)	(16,711)	(63,735)
Payments to employees	(3,298)	(2,246)	(688)	(12,567)	(426)	(6,273)	(25,498)
Internal activity - payments to other funds	(453)	(318)	(193)	(3,241)	(62)	(12,033)	(16,300)
Other receipts (payments)	-	-	-	-	-	-	-
Net cash provided by operating activities	3,569	1,177	1,233	1,932	20	709	8,640
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES							
Transfers in	-	-	-	925	8	797	1,730
Transfers out	-	-	-	-	-	(241)	(241)
Net cash provided by noncapital and related financing activities	-	-	-	925	8	556	1,489
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES							
Purchases of capital assets	-	(933)	(962)	(410)	-	-	(2,305)
Principal paid on capital debt	-	-	-	(1,462)	-	-	(1,462)
Interest paid on capital debt	-	-	-	(88)	-	-	(88)
Net cash used in capital and related financing activities	-	(933)	(962)	(1,960)	-	-	(3,855)
CASH FLOWS FROM INVESTING ACTIVITIES							
Interest received	713	157	79	62	1	-	1,012
Net cash provided by investing activities	713	157	79	62	1	-	1,012
Net increase in cash and cash equivalents	4,282	401	350	959	29	1,265	7,286
Balances at beginning of the year	15,850	4,008	2,271	1,553	50	19	23,751
Balances at the end of the year	$ 20,132	$ 4,409	$ 2,621	$ 2,512	$ 79	$ 1,284	$ 31,037
Reconciliation of operation income to net cash provided by (used in) operating activities:							
Operating income (loss)	$ 3,457	$ (660)	$ (340)	$ (410)	$ 14	$ (483)	$ 1,578
Adjustments to reconcile operating income to net cash provided by operating activities:							
Cash flows reported in other categories:							
Depreciation	28	1,526	1,564	1,044	-	3	4,165
Changes in assets and liabilities							
Receivables	9	157	(13)	(29)	9	454	587
Inventories	-	44	-	-	(15)	1	30
Due from other funds	(960)	-	-	-	-	-	(960)
Prepaid items	103	-	-	1	-	-	104
Accounts payable	908	116	23	634	8	818	2,507
Compensated absences	19	(4)	(1)	520	3	21	558
Due to other runds	-	-	-	-	-	(120)	(120)
Payroll payable	5	(2)	-	172	1	15	191
Net cash provided by operating activities	$ 3,569	$ 1,177	$ 1,233	$ 1,932	$ 20	$ 709	$ 8,640
Noncash financing activities:							
Contributions of capital assets	-	82	-	118	-	-	200

MULTNOMAH COUNTY, OREGON
Risk Management Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Charges for services	$ -	$ -	$ 1,347	$ 1,347
Interest	850	850	713	(137)
Other:				
Service reimbursements	39,178	38,887	43,546	4,659
Experience ratings and other	77	77	1,106	1,029
Total revenues	40,105	39,814	46,712	6,898
EXPENDITURES				
Support services	38,985	42,694	40,403	2,291
Nondepartmental	10,175	6,175	2,111	4,064
Total expenditures	49,160	48,869	42,514	6,355
Excess (deficiency) of revenues over (under) expenditures	(9,055)	(9,055)	4,198	13,253
Fund balances - beginning	9,055	9,055	6,450	(2,605)
Fund balances - ending	$ -	$ -	$ 10,648	$ 10,648

MULTNOMAH COUNTY, OREGON
Risk Management Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Risk Management Operating Fund	$ 46,712	$ 42,514
Depreciation expense		28
Revenues and expenses - page 113	$ 46,712	$ 42,542

MULTNOMAH COUNTY, OREGON
Fleet Management Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental - local	$ 824	$ 824	$ 782	$ (42)
Charges for services	79	79	74	(5)
Interest	175	175	157	(18)
Other:				
Miscellaneous	45	45	34	(11)
Service reimbursements	4,262	4,255	4,146	(109)
Total revenues	5,385	5,378	5,193	(185)
EXPENDITURES				
Environmental services	7,168	7,161	5,103	2,058
Nondepartmental	2,268	2,268	-	2,268
Total expenditures	9,436	9,429	5,103	4,326
Excess (deficiency) of revenues over (under) expenditures	(4,051)	(4,051)	90	4,141
Fund balances - beginning	4,051	4,051	4,566	515
Fund balances - ending	$ -	$ -	$ 4,656	$ 4,656

MULTNOMAH COUNTY, OREGON
Fleet Management Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Fleet Management Operating Fund	$ 5,193	$ 5,103
Depreciation expense		1,526
Loss on disposal of capital assets		10
Capital outlay		(933)
Revenues and expenses - page 113	$ 5,193	$ 5,706

MULTNOMAH COUNTY, OREGON
Telephone Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Charges for services	$ 457	$ 457	$ 427	$ (30)
Interest	33	33	79	46
Other - service reimbursements	4,273	4,090	4,673	583
Total revenues	4,763	4,580	5,179	599
EXPENDITURES				
Support services	5,651	5,649	4,838	811
Nondepartmental	810	629	-	629
Total expenditures	6,461	6,278	4,838	1,440
Excess (deficiency) of revenues over (under) expenditures	(1,698)	(1,698)	341	2,039
Fund balances - beginning	1,698	1,698	1,736	38
Fund balances - ending	$ -	$ -	$ 2,077	$ 2,077

MULTNOMAH COUNTY, OREGON
Telephone Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Telephone Operating Fund	$ 5,179	$ 4,838
Depreciation expense		1,564
Capital outlay		(962)
Loss on disposal of capital assets		1
Revenues and expenses - page 113	$ 5,179	$ 5,441

MULTNOMAH COUNTY, OREGON
Data Processing Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Charges for services	$ 50	$ 50	$ 175	$ 125
Interest	40	40	62	22
Other - service reimbursements	20,534	20,940	20,636	(304)
Total revenues	20,624	21,030	20,873	(157)
EXPENDITURES				
Support services	22,641	23,034	22,137	897
Nondepartmental	7	7	-	7
Total expenditures	22,648	23,041	22,137	904
Deficiency of revenues under expenditures	(2,024)	(2,011)	(1,264)	747
OTHER FINANCING SOURCES				
Transfers in	925	912	925	13
Net change in fund balances	(1,099)	(1,099)	(339)	760
Fund balances - beginning	1,099	1,099	1,147	48
Fund balances - ending	$ -	$ -	$ 808	$ 808

MULTNOMAH COUNTY, OREGON
Data Processing Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Data Processing Operating Fund	$ 20,873	$ 22,137
Depreciation expense		1,044
Debt principal payments		(1,462)
Capital outlay		(410)
Loss on disposal of capital assets		578
Revenues and expenses - page 113	$ 20,873	$ 21,887

MULTNOMAH COUNTY, OREGON
Mail/Distribution Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Favorable (Unfavorable)
	Original	Final		
REVENUES				
Intergovernmental:				
Federal, state, and local	$ 30	$ 30	$ 58	$ 28
Interest	5	5	1	(4)
Other - service reimbursements	1,388	1,401	1,313	(88)
Total revenues	1,423	1,436	1,372	(64)
EXPENDITURES				
Environmental services	1,466	1,487	1,357	130
Nondepartmental	37	37	-	37
Total expenditures	1,503	1,524	1,357	167
Excess (deficiency) of revenues over (under) expenditures	(80)	(88)	15	103
OTHER FINANCING SOURCES				
Transfers in	-	8	8	-
Net change in fund balances	(80)	(80)	23	103
Fund balances - beginning	80	80	78	(2)
Fund balances - ending	$ -	$ -	$ 101	$ 101

MULTNOMAH COUNTY, OREGON
Mail / Distribution Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Mail / Distribution Operating Fund	$ 1,372	1,357
Loss on disposal of capital assets		47
Revenues and expenses - page 113	$ 1,372	$ 1,404

MULTNOMAH COUNTY, OREGON
Facilities Management Fund
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year ended June 30, 2002
(amounts expressed in thousands)

	Budgeted Amounts		Actual	Variance with Final Budget favorable
	Original	Final	Amounts	(unfavorable)
REVENUES				
Intergovernmental - local	$ 1,089	$ 1,089	$ 874	$ (215)
Charges for services	918	918	876	(42)
Miscellaneous	103	103	(418)	(521)
Other - service reimbursements	42,044	39,498	33,941	(5,557)
Total revenues	44,154	41,608	35,273	(6,335)
EXPENDITURES				
Environmental services	41,370	38,934	35,753	3,181
Excess (deficiency) of revenues over (under) expenditures	2,784	2,674	(480)	(3,154)
OTHER FINANCING SOURCE (USES)				
Transfers in	692	802	797	(5)
Transfers out	(3,738)	(3,738)	(241)	3,497
Total other financing source (uses)	(3,046)	(2,936)	556	3,492
Net change in fund balances	(262)	(262)	76	338
Fund balances - beginning	262	262	18	(244)
Fund balances - ending	$ -	$ -	$ 94	$ 94

MULTNOMAH COUNTY, OREGON
Facilities Management Fund
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002
(amounts expressed in thousands)

	Revenues	Expenditures
Facilities Management Operating Fund	$ 35,273	$ 35,753
Depreciation expense		3
Revenues and expenses - page 113	$ 35,273	$ 35,756

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CAPITAL ASSETS USED IN THE OPERATION OF GOVERNMENTAL FUNDS

- **Schedule by Source**
- **Schedule by Function and Activity**
- **Schedule of Changes by Function and Activity**

MULTNOMAH COUNTY, OREGON
Capital Assets Used in the Operation of Governmental Funds
Schedule By Source
June 30, 2002

GOVERNMENTAL FUNDS CAPITAL ASSETS		
Land	$	14,219
Work in progress		16,866
Buildings		330,789
Improvements other than buildings		433
Machinery and equipment		116,316
Bridges		69,335
Infrastructure		807,682
Total governmental funds capital assets	$	1,355,640

INVESTMENTS IN GOVERNMENTAL FUNDS CAPITAL ASSETS BY SOURCE		
Investments in governmental funds capital assets by source at June 30, 2001	$	1,312,801
General fund	$	22,226
Road fund		822
Bicycle path construction fund		75
Federal and state program fund		(171)
Animal control fund		(17)
Library fund		7,978
Assessment and taxation fund		(43)
Justice services special operations		63
Justice bond project fund		3,621
Revenue bond project fund		112
Building project fund		6,347
Library construction fund/1993		(6)
Library construction fund/1996		3,490
Capital improvement fund		106
Capital acquisition fund		(8)
Asset preservation projects		35
Deferred maintenance projects		24
Risk management fund		(5)
Fleet management fund		861
Telephone fund		(146)
Data processing fund		(2,460)
Mail distribution fund		(65)
Facilities management fund		-
Total governmental funds capital assets	$	1,355,640

MULTNOMAH COUNTY, OREGON
Capital Assets Used in the Operation of Governmental Funds
By Function and Activity
For the fiscal year ended June 30, 2002
(amounts expressed in thousands)

	Land	Work in Progress	Buildings	Improvements Other than Buildings	Machinery and Equipment	Bridges	Infrastructure	Total
FUNCTION AND ACTIVITY								
General government:								
Legislative	$ 203	$ -	$ 6,888	$ -	$ -	$ -	$ -	$ 7,091
Administrative	866	-	27,851	-	41,054	-	-	69,771
	1,069	-	34,739	-	41,054	-	-	76,862
Health and social services:								
Health	2,229	-	28,253	-	389	-	-	30,871
Social	3,620	267	63,449	-	1,620	-	-	68,956
	5,849	267	91,702	-	2,009	-	-	99,827
Public safety:								
Law enforcement	1,867	15,081	113,475	37	3,524	-	-	133,984
Justice services	50	-	4,245	-	290	-	-	4,585
	1,917	15,081	117,720	37	3,814	-	-	138,569
Community services:								
Community service development	-	-	1,268	108	6	-	-	1,382
Recreation	203	-	-	-	-	-	-	203
Library	3,078	1,518	52,705	140	68,150	-	-	125,591
	3,281	1,518	53,973	248	68,156	-	-	127,176
Roads and bridges:								
Roads and bridges	512	-	-	-	1,283	69,335	807,682	878,812
Bridge shops	39	-	330	-	-	-	-	369
Road shops	7	-	9,909	58	-	-	-	9,974
Administration	-	-	-	-	-	-	-	-
	558	-	10,239	58	1,283	69,335	807,682	889,155
External organizations:								
External use	1,545	-	22,416	90	-	-	-	24,051
	$ 14,219	$ 16,866	$ 330,789	$ 433	$ 116,316	$ 69,335	$ 807,682	$ 1,355,640

MULTNOMAH COUNTY, OREGON
Capital Assets Used in the Operation of Governmental Funds
Schedule of Changes
For the fiscal year ended June 30, 2002
(amounts expressed in thousands)

FUNCTION AND ACTIVITY	Governmental Funds Capital Assets June 30, 2001	Additions	Deductions & Reclassifications	Governmental Funds Capital Assets June 30, 2002
General government:				
Legislative	$ 6,891	$ 69	$ 131	$ 7,091
Administrative	67,694	3,210	(1,133)	69,771
	74,585	3,279	(1,002)	76,862
Health and social services:				
Health	32,223	4,811	(6,163)	30,871
Social	60,856	8,139	(39)	68,956
	93,079	12,950	(6,202)	99,827
Public safety:				
Law enforcement	115,158	19,529	(703)	133,984
Justice services	4,721	55	(191)	4,585
	119,879	19,584	(894)	138,569
Community services:				
Community service development	1,409	40	(67)	1,382
Recreation	203	-	-	203
Library	114,057	11,424	110	125,591
	115,669	11,464	43	127,176
Roads and bridges:				
Roads and bridges	877,358	1,465	(11)	878,812
Bridge shops	369	-	-	369
Road shops	9,974	-	-	9,974
Administration	-	-	-	-
	887,701	1,465	(11)	889,155
External organizations:				
External use	21,888	254	1,909	24,051
	$ 1,312,801	$ 48,996	$ (6,157)	$ 1,355,640

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OTHER SCHEDULES

- Schedule of Property Tax Collections and Outstanding Balances
- Schedule of General Obligation Bonds and Bond Interest Coupon Transactions
- Schedule of Revenue Bonds Outstanding
- Schedule of General Obligation Bonds Outstanding
- Schedule of Loans Outstanding
- Schedule of Full Faith and Credit Bonds Outstanding
- Schedule of Capitalized Lease Purchases Outstanding
- Schedule of Receipts and Deposits – Elected Officials

MULTNOMAH COUNTY, OREGON
Schedule of Property Tax Collections and Outstanding Balances
For the year ended June 30, 2002
(amounts expressed in thousands)

Tax Year	Taxes Receivable June 30, 2001	Current Levy	Add (Deduct) Corrections and Adjustments	Add Interest on Delinquent Taxes	Deduct Discounts Allowed	Deduct Collections Including Interest on Delinquent Taxes	Taxes Receivable June 30, 2002
2001-02	$ -	$ 851,427	$ (4,555)	$ 420	$ (19,700)	$ (797,434)	30,158
2000-01	29,196	-	(2,211)	840	-	(15,009)	12,816
1999-00	11,571	-	(867)	712	-	(5,426)	5,990
1998-99 and prior	8,892	-	81	1,142	-	(6,577)	3,538
	$ 49,659	$ 851,427	$ (7,552)	$ 3,114	$ (19,700)	$ (824,446)	$ 52,502

SUMMARY OF TAXES RECEIVABLE AT JUNE 30, 2002

	Current Levy	Prior years' Levy	Total Property Taxes	Other Taxes	Total
General fund	$ 6,379	$ 4,770	$ 11,149	$ 2,717	$ 13,866
Special revenue funds:					
Library fund	737	553	1,290	-	1,290
Special excise tax fund	-	-	-	1,843	1,843
Land corner preservation fund	-	-	-	4	4
Assessment & taxation fund	-	-	-	1	1
Total special revenue funds	737	553	1,290	1,848	3,138
General obligation bond fund	405	401	806	3	809
Agency funds	22,620	16,607	39,227	898	40,125
Sub-total taxes receivable	30,141	22,331	52,472	5,466	57,938
Special assessments collected through taxes	17	13	30	10	40
Total receivables	$ 30,158	$ 22,344	$ 52,502	$ 5,476	$ 57,978

MULTNOMAH COUNTY, OREGON
Schedule of General Obligation Bonds and Bond Interest Coupon Transactions
For the year ended June 30, 2002
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS

	Outstanding June 30, 2001		2001-02 Transactions			Outstanding June 30, 2002	
	Matured	Unmatured	Issued	Matured	Refunded or Paid	Matured	Unmatured
Dated March 1, 1994	$ -	$ 5,120	$ -	$ 930	$ 930	$ -	$ 4,190
Dated October 1, 1994	-	1,510	-	350	350	-	1,160
Dated October 1, 1996	-	33,860	-	8,115	8,115	-	25,745
Dated February 1,1999	-	65,770	-	330	330	-	65,440
	$ -	$106,260	$ -	$ 9,725	$ 9,725	$ -	$ 96,535

GENERAL OBLIGATION BOND INTEREST COUPONS

Dated March 1, 1994	$ 220	$ 220
Dated October 1, 1994	72	72
Dated October 1, 1996	1,414	1,414
Dated February 1,1999	2,883	2,883
	$ 4,589	$ 4,589

MULTNOMAH COUNTY, OREGON
Schedule of Revenue Bonds Outstanding
June 30, 2002
(amounts expressed in thousands)

REVENUE BONDS

Fiscal Year of Maturity	Series 1998 Dated 10/01/98 4.00 to 4.75% Principal	Series 1998 Dated 10/01/98 4.00 to 4.75% Interest	Series 2000A Dated 11/01/00 4.45 to 5.20% Principal	Series 2000A Dated 11/01/00 4.45 to 5.20% Interest	Series 2000B Dated 11/01/00 4.45 to 5.20% Principal	Series 2000B Dated 11/01/00 4.45 to 5.20% Interest	Total Principal	Total Interest
2003	$ 170	$ 118	$ 100	$ 94	$ 175	$ 165	$ 445	$ 377
2004	175	111	105	89	185	157	465	357
2005	185	104	110	85	195	148	490	337
2006	190	96	120	79	205	139	515	314
2007	200	88	125	74	215	129	540	291
2008	205	80	130	68	225	119	560	267
2009	215	72	135	62	235	109	585	243
2010	225	63	145	55	250	97	620	215
2011	230	53	150	48	265	85	645	186
2012	245	42	160	41	275	72	680	155
2013	255	31	165	33	295	58	715	122
2014	265	19	175	24	310	42	750	85
2015	275	7	185	15	325	26	785	48
2016	-	-	195	5	345	9	540	14
	$ 2,835	$ 884	$ 2,000	$ 772	$ 3,500	$ 1,355	$ 8,335	$ 3,011

MULTNOMAH COUNTY, OREGON
Schedule of General Obligation Bonds Outstanding
June 30, 2002
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS

Fiscal Year of Maturity	Series 1994 Dated 03/01/94 3.70 to 5.25%		Series 1994B Dated 10/01/94 4.25 to 6.00%		Series 1996A Dated 10/01/96 3.90 to 5.65%	
	Principal	Interest	Principal	Interest	Principal	Interest
2003	$ 975	$ 177	$ 365	$ 53	$ 510	$ 154
2004	1,020	130	385	33	535	130
2005	1,070	81	410	11	565	105
2006	1,125	28	-	-	590	77
2007	-	-	-	-	620	48
2008	-	-	-	-	655	16
2009	-	-	-	-	-	-
2010	-	-	-	-	-	-
2011	-	-	-	-	-	-
2012	-	-	-	-	-	-
2013	-	-	-	-	-	-
2014	-	-	-	-	-	-
2015	-	-	-	-	-	-
2016	-	-	-	-	-	-
2017	-	-	-	-	-	-
	$ 4,190	$ 416	$ 1,160	$ 97	$ 3,475	$ 530

Series 1996B Dated 10/01/96 3.90 to 5.65%		Series 1999 Dated 2/01/99 3.90 to 5.65%		Total	
Principal	Interest	Principal	Interest	Principal	Interest
$ 2,735	$ 1,008	$ 340	$ 2,871	$ 4,925	$ 4,263
2,870	881	355	2,859	5,165	4,033
3,010	744	365	2,846	5,420	3,787
3,160	597	810	2,823	5,685	3,525
3,320	440	2,020	2,768	5,960	3,256
3,495	271	2,105	2,685	6,255	2,972
3,680	92	2,875	2,585	6,555	2,677
-	-	6,860	2,387	6,860	2,387
-	-	7,160	2,093	7,160	2,093
-	-	7,470	1,780	7,470	1,780
-	-	7,490	1,451	7,490	1,451
-	-	7,835	1,106	7,835	1,106
-	-	6,780	773	6,780	773
-	-	6,330	466	6,330	466
-	-	6,645	158	6,645	158
$ 22,270	$ 4,033	$ 65,440	$ 29,651	$ 96,535	$ 34,727

MULTNOMAH COUNTY, OREGON
Schedule of Loans Outstanding
June 30, 2002
(amounts expressed in thousands)

GENERAL LONG-TERM LOANS

Fiscal Year of Maturity	Dated 02/15/96 7.2%		Dated 07/05/96 5.65%		Dated 10/01/96 7.2%	
	Principal	Interest	Principal	Interest	Principal	Interest
2003	$ 31	$ 26	$ 2	$ 2	$ 9	$ 3
2004	34	24	2	2	9	2
2005	36	21	2	2	11	1
2006	39	19	2	2	11	1
2007	42	16	2	2	3	-
2008	45	13	2	1	-	-
2009	48	9	2	1	-	-
2010	52	6	2	1	-	-
2011	52	3	3	1	-	-
2012	-	-	3	1	-	-
2013	-	-	3	1	-	-
2014	-	-	3	-	-	-
2015	-	-	3	-	-	-
2016	-	-	3	-	-	-
	$ 379	$ 137	$ 34	$ 16	$ 43	$ 7

Dated 12/01/96 7.2%		Dated 01/15/97 5.9%		Dated 02/08/99 5.9%		Dated 10/26/99 5.9%		Total	
Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
$ 4	$ 1	$ 6	$ 2	$ 14	$ 7	$ 13	$ 10	$ 79	$ 51
4	-	7	1	15	6	14	9	85	44
4	-	7	1	16	5	16	8	92	38
4	-	7	1	17	4	15	8	95	35
2	-	4	-	18	3	16	6	87	27
-	-	-	-	19	2	17	6	83	22
-	-	-	-	21	1	19	4	90	15
-	-	-	-	-	-	13	3	67	10
-	-	-	-	-	-	11	3	66	7
-	-	-	-	-	-	10	2	13	3
-	-	-	-	-	-	12	1	15	2
-	-	-	-	-	-	13	1	16	1
-	-	-	-	-	-	3	-	6	-
-	-	-	-	-	-	-	-	3	-
$ 18	$ 1	$ 31	$ 5	$ 120	$ 28	$ 172	$ 61	$ 797	$ 255

MULTNOMAH COUNTY, OREGON
Schedule of Full Faith and Credit Bonds Outstanding
June 30, 2002
(amounts expressed in thousands)

FULL FAITH AND CREDIT BONDS

Fiscal Year of Maturity	Series 1999 Dated 04/01/99 4.00 to 4.75%		Series 2000A Dated 4/01/00 5.00 to 5.50%		Series 1999 Dated 12/01/99 6.49 to 7.74%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2003	$ 1,265	$ 1,479	$ 3,161	$ 2,849	$ 1,125	$ 8,359	$ 5,551	$ 12,687
2004	1,320	1,424	3,450	2,692	1,790	8,284	6,560	12,400
2005	1,375	1,368	3,625	2,520	2,535	8,163	7,535	12,051
2006	1,430	1,312	3,550	2,348	3,365	7,988	8,345	11,648
2007	1,490	1,254	3,735	2,161	4,295	7,753	9,520	11,168
2008	1,550	1,192	3,935	1,965	5,325	7,450	10,810	10,607
2009	1,615	1,126	2,675	1,759	6,470	7,072	10,760	9,957
2010	1,685	1,057	2,820	1,611	7,740	6,609	12,245	9,277
2011	1,760	982	2,975	1,470	9,150	6,052	13,885	8,504
2012	1,840	902	3,140	1,322	10,710	5,388	15,690	7,612
2013	1,925	817	3,315	1,165	4,479	12,563	9,719	14,545
2014	2,125	722	3,505	995	4,472	13,565	10,102	15,282
2015	2,120	624	3,705	811	4,469	14,618	10,294	16,053
2016	2,250	525	2,015	616	6,845	13,341	11,110	14,482
2017	2,325	420	2,135	510	16,985	4,358	21,445	5,288
2018	2,435	306	2,260	396	19,470	3,096	24,165	3,798
2019	2,555	188	2,395	271	22,200	1,649	27,150	2,108
2020	2,680	64	2,540	140	5,319	19,876	10,539	20,080
2021	-	-	-	-	5,208	21,407	5,208	21,407
2022	-	-	-	-	5,098	23,012	5,098	23,012
2023	-	-	-	-	4,989	24,686	4,989	24,686
2024	-	-	-	-	4,881	26,444	4,881	26,444
2025	-	-	-	-	4,775	28,285	4,775	28,285
2026	-	-	-	-	4,670	30,215	4,670	30,215
2027	-	-	-	-	4,566	32,234	4,566	32,234
2028	-	-	-	-	4,463	34,347	4,463	34,347
2029	-	-	-	-	4,362	36,563	4,362	36,563
2030	-	-	-	-	4,262	38,887	4,262	38,887
	$ 33,745	$ 15,762	$ 54,936	$ 25,601	$184,018	$452,264	$272,699	$493,627

DATA PROCESSING OBLIGATIONS

Fiscal Year of Maturity	Series 2000A Dated 4/01/00 5.00 to 5.50%	
	Principal	Interest
2003	$ 134	$ 8
	$ 134	$ 8

MULTNOMAH COUNTY, OREGON
Schedule of Capitalized Lease Purchases Outstanding
June 30, 2002
(amounts expressed in thousands)

GENERAL LONG-TERM LEASE OBLIGATIONS

Fiscal Year of	Dated 01/22/81 6.00% to 7.25%		Dated 05/01/93 2.75% to 7.50%		Dated 02/01/98 3.75 to 4.90%		Total	
Maturity	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2003	$ 202	$ 129	$ 1,045	$ 605	$ 2,938	$ 1,472	$ 4,185	$ 2,206
2004	220	112	1,100	550	2,215	1,365	3,535	2,027
2005	239	93	1,160	491	2,315	1,257	3,714	1,841
2006	259	73	1,215	428	2,440	1,144	3,914	1,645
2007	281	50	1,275	361	2,555	1,022	4,111	1,433
2008	306	26	1,345	289	2,690	891	4,341	1,206
2009	-	-	1,415	213	2,825	753	4,240	966
2010	-	-	1,120	141	2,960	617	4,080	758
2011	-	-	730	87	3,100	480	3,830	567
2012	-	-	350	55	3,250	333	3,600	388
2013	-	-	370	34	3,405	175	3,775	209
2014	-	-	390	11	355	87	745	98
2015	-	-	-	-	375	70	375	70
2016	-	-	-	-	395	51	395	51
2017	-	-	-	-	410	31	410	31
2018	-	-	-	-	436	11	436	11
	$ 1,507	$ 483	$ 11,515	$ 3,265	$ 32,664	$ 9,759	$ 45,686	$ 13,507

DATA PROCESSING CAPITALIZED LEASE OBLIGATIONS

Fiscal Year of	Dated 02/01/98 3.75% to 4.90%	
Maturity	Principal	Interest
2003	$ 927	$ 35
	$ 927	$ 35

MULTNOMAH COUNTY, OREGON
Schedule of Receipts and Deposits - Elected Officials
For the year ended June 30, 2002
(amounts expressed in thousands)

	Receipts Deposited with Treasurer
Sheriff's office	$ 23,830

Summary of receipts: Civil process serving fees, record sales, patrolling contracts, room and board for prisoners from other agencies and miscellaneous reimbursements.

STATISTICAL INFORMATION SECTION
(UNAUDITED)

This section contains the following tables and information:

- General Governmental Expenditures by Function
- General Governmental Revenues by Source
- General Governmental Tax Revenues by Source
- Property Tax Levies and Collections
- Real Market Value of Taxable Property
- Consolidated Tax Rates
- Consolidated Tax Levies
- Principal Taxpayers
- Special Assessment Billings and Collections
- Computation of Legal Debt Margin
- Construction, Property Value, and Bank Deposits
- Ratio of Net General Obligation Bonded Debt to Real Market Value and Net General Obligation Bonded Debt per Capita
- Ratio of Annual Debt Service Expenditures for General Obligation Bonded Debt to Total General Governmental Expenditures
- Computation of Direct and Overlapping Debt
- Insurance In Force
- Population, Per Capita Income and Unemployment
- Major Employers in Metropolitan Area
- Miscellaneous Statistical Data

MULTNOMAH COUNTY, OREGON
General Governmental Expenditures by Function (1)
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	General Government	Health and Social Services	Public Safety and Justice	Community Services	Roads and Bridges	Capital Outlay	Debt Service	Total
1993	$ 43,612	$133,653	$ 76,324	$ 26,315	$ 27,323	$ 14,872	$ 5,146	$327,245
1994	45,648	147,372	82,938	27,481	28,220	25,081	4,472	361,212
1995	36,108	165,239	93,059	32,765	30,200	24,539	9,849	391,759
1996	35,086	181,526	96,519	35,707	32,596	33,069	8,648	423,151
1997	28,298	190,540	113,263	56,913	34,052	33,717	18,363	475,146
1998	28,474	190,941	140,510	47,764	35,197	36,773	21,242	500,901
1999	35,786	209,788	165,792	75,996	37,604	59,425	21,493	605,884
2000	219,323	237,566	179,799	72,429	35,969	37,141	28,552	810,779
2001	36,612	285,999	183,897	85,143	35,110	30,517	39,932	697,210
2002	53,134	282,127	190,122	64,258	36,829	31,822	39,761	698,053

(1) All Governmental Fund Types (Budgetary basis)
(2) Increase in General Government expenditures due to one time only funding of PERS unfunded liability.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
General Governmental Revenues by Source (1)
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Taxes	Inter-Governmental	Licenses and Permits	Charges for Services	Interest	Special Assessments	Other	Total
1993	$142,569	$ 134,774	$ 2,833	$ 10,763	$ 3,468	$ 45	$ 23,846	$318,298
1994	151,617	149,009	2,591	11,280	4,143	21	29,975	348,636
1995	171,068	162,133	2,683	8,880	5,676	-	28,549 (2)	378,989
1996	185,008	172,031	2,703	12,864	5,476	-	29,888 (3)	407,970
1997	217,295	184,697	2,751	14,882	9,691	-	37,244 (4)	466,560
1998	215,100	223,962	2,934	14,471	12,080	-	37,190 (5)	505,737
1999	250,416	232,715	3,026	15,515	11,688	-	42,337	555,697
2000	254,514	242,966	2,734	15,008	12,201	-	49,575	576,998
2001	266,466	308,993	5,037	20,234	14,760	-	51,994	667,484
2002	267,641	290,077	8,134	18,586	6,972	-	58,415	649,825

(1) All Governmental Fund Types (Budgetary basis).
(2) Includes $12 of special assessments revenue.
(3) Includes $7 of special assessments revenue.
(4) Includes $14 of special assessments revenue.
(5) Includes $3 of special assessments revenue.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
General Governmental Tax Revenues by Source (1)
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Property	Transient Lodging	Business Income	Gasoline	Motor Vehicle Rental	Other	Total
1993	$ 99,609	$ 3,962	$ 23,872	$ 6,854	$ 5,926	$ 2,346	$ 142,569
1994	110,239	3,553	22,912	6,926	6,389	1,598	151,617
1995	122,259	4,538	27,048	7,838	7,410	1,975	171,068
1996	131,620	5,032	30,040	7,770	8,694	1,852	185,008
1997	158,878	6,162	33,255	7,411	9,589	2,000	217,295
1998	157,885	5,841	32,524	7,393	10,205	1,252	215,100
1999	183,155	6,192	40,904	7,470	10,782	1,913	250,416
2000	187,256	5,956	39,934	7,221	12,445	1,702	254,514
2001	197,732	15,228	30,377	7,372	14,593	1,164	266,466
2002	206,143	11,131	26,935	7,951	13,718	1,763	267,641

(1) All Governmental Fund Types (Budgetary basis)

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
Property Tax Levies and Collections (1)
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Tax Levy	Current Collections	Collections as a % of Levy	Prior Year Collections	Total Collections	Total Collections as a % of Levy	Uncollected Taxes	Uncollected Taxes as a % of Levy
1993	$101,479	$ 95,001	93.62 %	$ 4,608	$ 99,609	98.16 %	$ 10,481	10.33 %
1994	110,598	105,430	95.33	4,809	110,239	99.68	10,035	9.07
1995	123,672	117,051	94.65	5,208	122,259	98.86	8,258	6.68
1996	135,104	128,020	94.76	3,600	131,620	97.42	8,152	6.03
1997	162,985	154,723	94.93	4,155	158,878	97.48	8,924	5.48
1998 (2)	158,856	153,321	96.52	4,564	157,885	99.39	8,925	5.62
1999	188,837	179,029	94.81	4,128	183,157	96.99	9,859	5.22
2000	193,076	181,741	94.13	5,514	187,255	96.99	11,294	5.85
2001	205,468	193,397	94.13	4,327	197,724	96.23	12,750	6.21
2002	212,329	199,819	94.11	6,283	206,102	97.07	13,245	6.24

(1) All Governmental Fund Types (Budgetary basis).
(2) Property tax limitation Measure #50 went into effect.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
Real Market Value of Taxable Property (1)
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Personal Property	Public Utility Property	Commercial Property	Residential Property	Total
1993	$ 1,236,666	$ 1,530,373	$ 9,880,502	$ 12,879,169	$ 25,526,710
1994	1,203,111	1,628,475	9,941,135	14,727,420	27,500,141
1995	1,218,157	1,576,140	10,740,016	17,177,183	30,711,496
1996	1,330,246	1,647,640	11,938,742	19,766,868	34,683,496
1997	1,517,728	1,794,013	12,768,546	22,380,651	38,460,938
1998 (2)	1,812,064	2,000,951	14,123,977	24,330,799	42,267,791
1999	2,032,070	2,222,076	N/A	41,278,093 (3)	45,532,239
2000 (4)	2,531,489	2,723,488	N/A	47,072,873	52,327,850
2001	2,626,683	3,106,617	N/A	50,581,943	56,315,243
2002	2,869,911	3,019,095	N/A	55,332,307	61,221,313

(1) Prior to the passage of Measure # 50 in 1997, assessed value equaled real market value.
(2) Under Measure #50, assessed value is used for computation of taxes.
(3) As of June 30, 1999, the breakdown of residential and commercial property values are not available.
(4) Prior to 2000 amounts did not include urban renewal excess in taxable real market value.

Source: Multnomah County Division of Assessment and Taxation

MULTNOMAH COUNTY, OREGON
Consolidated Tax Rates (1)
Last Ten Fiscal Years
(unaudited)

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1993	$ 3.98	$ 5.91	$ 0.71	$ 13.33	$ 0.01	$ 0.22	-	$ 24.16
1994	4.02	5.92	0.62	10.76	0.01	0.19	-	21.52
1995	4.03	5.92	0.49	8.12	0.01	0.07	-	18.64
1996	3.90	5.79	0.68	5.58	-	0.08	0.07	16.10
1997	4.24	5.47	0.55	6.20	-	0.07	0.47	17.00
1998 (2)	4.86	6.03	0.68	7.27	-	0.07	1.08	19.99
1999	5.28	5.98	0.67	6.95	-	0.07	1.00	19.95
2000	5.13	5.97	0.61	6.93	-	0.07	0.97	19.68
2001	5.19	6.06	0.62	7.21	0.01	0.07	1.06	20.22
2002	5.09	5.91	0.60	7.58	0.01	0.07	1.15	20.41

(1) These are average rates and are stated in dollar and cents per $1,000 of assessed value.
(2) Measure #50 went into effect which decreases the assessed valuation and results in an increase in tax rates.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports

MULTNOMAH COUNTY, OREGON
Consolidated Tax Levies
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1993	$ 101,479	$150,788	$ 18,124	$340,345	$ 273	$ 5,539	$ 23	$616,571
1994	110,598	162,870	17,068	295,852	290	5,298	21	591,997
1995	123,672	181,848	15,110	249,441	307	2,145	25	572,548
1996	135,104	200,896	23,515	193,685	155	2,633	2,520	558,508
1997	162,985	210,568	20,970	238,347	169	2,763	18,020	653,822
1998 (1)	158,856	196,846	22,318	237,275	154	2,323	35,347	653,119
1999	188,837	214,148	23,798	248,622	159	2,422	35,911	713,897
2000	193,076	224,449	23,106	260,605	169	2,657	36,426	740,488
2001	205,468	239,905	24,569	285,341	239	2,725	42,052	800,299
2002	212,329	246,579	25,104	316,282	246	3,086	47,801	851,427

(1) Property tax limitation Measure #50 went into effect.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports

MULTNOMAH COUNTY, OREGON
Principal Taxpayers
June 30, 2002
(amounts expressed in thousands)
(unaudited)

Taxpayer Account	Type of Business	2002 Assessed Valuation (1)	Percentage of Total Assessed Valuation
QWest Communications	Telephone utility	$ 424,689	1.02 %
Portland General Electric	Electric utility	322,106	0.77
Fujitsu Microelectronics Inc.	Computers and electronics	314,477	0.75
PacifiCorp (Pacific Power and Light) (2)	Electric utility	245,345	0.59
Wacker Siltronic Corp	Silicon wafers	219,400	0.53
Alaska Airlines, Inc.	Airline	178,411	0.43
Boeing Company	Aircraft parts	177,583	0.43
United Airlines, Inc.	Airline	144,243	0.35
Northwest Natural Gas	Gas Utility	142,913	0.34
I C X Corporation	Equipment Leasing	131,200	0.31
		$ 2,300,367	6.50 %

(1) The 2001-02 Assessed Valuation is $41,739,141; the Real Market Valuation is $61,221,313.
(2) Pacific Power and Light is a subsidiary of PacifiCorp.

Source: Multnomah County Division of Assessment and Taxation

MULTNOMAH COUNTY, OREGON
Special Assessment Billings and Collections
Last Ten Fiscal Years
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Assessments Outstanding July 1	Assessments Billed	Assessments Collected	Assessments Outstanding June 30
1993	$ 122	$ -	$ 38	$ 84
1994	84	-	36	48
1995	48	-	12	36
1996	36	-	7	29
1997	29	-	14	15
1998	15	-	3	12
1999	12	-	-	12
2000	12	-	-	12
2001	12	-	1	11
2002	11	-	-	11

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
Computation of Legal Debt Margin
June 30, 2002
(amounts expressed in thousands)
(unaudited)

ORS 287.054 provides a debt limit on general obligation bonds of 2% of the real market value of all taxable property within the County's boundaries.

Real market value (2001-02)	$ 61,221,313
Debt limit rate	2.00%
Debt limit	1,224,426
Less bonded debt at June 30	96,535
Legal debt margin	$ 1,127,891

ORS 287.053 provides a debt limit on full faith & credit bonds of 1% of the real market value of all taxable property within the County's boundaries.

Real market value (2001-02)	$ 61,221,313
Debt limit rate	1.00%
Debt limit	612,213
Less bonded debt at June 30	272,833
Legal debt margin	$ 339,380

MULTNOMAH COUNTY, OREGON
Construction, Property Value, and Bank Deposits
Last Ten Fiscal Years
(unaudited)

Fiscal Year	Commercial		Residential		Total		Bank Deposits
	Number of Units (1)	Value in $(000)	Number of Units (1)	Value in $(000)	Number of Units	Value in $(000)	in $(000) (2)
1993	2,367	$211,211	6,026	$254,668	8,393	$465,879	$ 11,222,080
1994 (3)	1,106	228,787	2,757	117,655	3,863	346,442	9,536,224
1995	920	323,947	2,273	115,317	3,193	439,264	11,552,255
1996	-	- (4)	4,453	320,871	4,453	320,871	11,414,176
1997	-	-	4,331	350,666	4,331	350,666	14,543,385
1998	-	-	3,984	353,060	3,984	353,060	13,045,877
1999	-	-	3,641	315,125	3,641	315,125	14,673,872
2000	-	-	2,591	266,445	2,591	266,445	15,837,718
2001	-	-	2,896	352,975	2,896	352,975	13,126,825
2002 (5)	-	-	N/A	N/A	N/A	N/A	16,310,440

(1) Information maintained on a calendar year basis.
(2) June 30, each year.
(3) January through June.
(4) Information on commercial properties no longer available as of January 1996.
(5) Information is not yet available.

Sources: Center for Population Research & Census, Portland State University and State of Oregon Banking Commission

MULTNOMAH COUNTY, OREGON
Ratio of Net General Obligation Bonded Debt to
Real Market Value and Net General Obligation Bonded Debt per Capita
Last Ten Fiscal Years (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Population (2)	Real Market Value (3)	Gross Bonded Debt	Less Debt Service Fund (4)	Net Bonded Debt	Ratio of Net Bonded Debt to Real Market Value	Net Bonded Debt per Capita (5)
1994	620,000	$ 27,500,141	$ 22,000	$ 1,935	$ 20,065	0.07 %	$ 32
1995	626,500	30,711,496	29,705	7,021	22,684	0.07	36
1996	636,000	34,683,496	28,710	7,905	20,805	0.06	33
1997	639,000	38,460,938	136,375	14,977	121,398	0.32	190
1998	642,000	42,267,791	128,470	16,240	112,230	0.27	175
1999	646,850	45,532,239	124,170	14,014	110,156	0.24	170
2000	662,400	52,327,850	115,555	11,774	103,781	0.20	157
2001	666,350	56,315,243	106,260	12,223	94,037	0.17	141
2002	666,350 (6)	61,221,313	96,535	9,484	87,051	0.14	131

(1) 1994 was the first year in which Multnomah County had general obligation bonded debt in the last ten fiscal years.
(2) Population not expressed in thousands.
(3) Prior to 1998, Real Market Value approximated Assessed Value.
(4) Amount available for repayment of general obligation bonds.
(5) Net Bonded Debt stated in dollars.
(6) Population data for 2001 is the most recent data available.

Source: Current financial statements

MULTNOMAH COUNTY, OREGON
Ratio of Annual Debt Service Expenditures for
General Obligation Bonded Debt to Total General Governmental Expenditures
Last Ten Fiscal Years (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Principal	Interest	Total Debt Service	Total General Government Expenditures	Ratio of Debt Service to General Government Expenditures
1995	$ 1,295	$ 1,348	$ 2,643	$ 391,759	0.67 %
1996	995	1,474	2,469	423,151	0.58
1997	1,035	2,911	3,946	475,146	0.83
1998	7,905	6,740	14,645	500,901	2.92
1999	8,235	6,347	14,582	605,884	2.41
2000	8,615	5,934	14,549	810,779	1.79
2001	9,295	5,002	14,297	697,210	2.05
2002	9,725	4,589	14,314	698,053	2.05

(1) 1995 was the first year that Multnomah County began retiring general obligation bonded debt in the last ten fiscal years.

Source: Current financial statements

MULTNOMAH COUNTY, OREGON
Computation of Direct and Overlapping Debt
June 30, 2002
(amounts expressed in thousands)
(unaudited)

Overlapping District	Percent Overlapping	Overlapping Gross (1) Bonded Debt	Overlapping Net (2) Direct Debt
Mt. Scott Water District	0.69 %	$ 10	10
Clackamas County RFPD #1	0.05	5	5
Clackamas County School District 7J	0.36	344	344
City of Lake Oswego	0.36	1,794	1,794
City of Milwaukie	0.44	16	16
Columbia County School District 1J	22.86	763	763
Port of Portland	45.24	31,949	31,949
Metro	49.19	87,481	87,481
Tri-County Metropolitan Transp. Service District	48.95	52,768	52,768
Sauvie Island RFPD 30	95.53	241	241
Multnomah County School District 1J	99.29	226,045	226,045
Multnomah County School District 3	100.00	26,785	26,785
Multnomah County School District 7	100.00	70,040	70,040
Multnomah County School District 28J	92.82	34,569	34,569
Multnomah County School District 39	100.00	6,315	6,315
Multnomah County School District 40	100.00	55,190	55,190
Multnomah County School District 51J	96.27	9,920	9,920
Multnomah County School District 10J	84.56	71,215	71,215
Orient School District 6 Bonds	57.41	917	917
Mount Hood Community College	84.54	778	778
Portland Community College	45.32	91,247	91,247
City of Fairview	100.00	5,206	2,475
City of Gresham	100.00	6,561	6,445
City of Portland	99.59	286,770	235,410
City of Troutdale	100.00	15,708	15,708
City of Wood Village	100.00	805	350
Tualatin Valley Fire and Rescue	1.98	167	167
Washington County School District 48J	0.44	1,269	850
Hillsboro 1J	0.00	7	7
North Plains School District 1J	0.13	1	1
		$ 1,084,886	$ 1,029,805

(1) Gross Direct Debt includes all Unlimited General Obligation bonds and Limited Tax General Obligation bonds.

(2) Net Direct Debt includes Gross Direct Debt less self-supporting General Obligation and Limited Tax debt.

Source: Municipal Debt Advisory Commission, Oregon State Treasury

MULTNOMAH COUNTY, OREGON
Insurance in Force
June 30, 2002
(unaudited)

Insurance Company	Coverage	Expiration
Industrial Risk Insurers Policy #31368142	Buildings & Equipment	10/2/2002
Industrial Risk Insurers Policy #31368142	Comprehensive Boiler and Machinery	10/2/2002
St. Paul Insurance Policy #384PH8074	Hull and Machinery Marine Policy	10/2/2002
St. Paul Insurance Policy #IM08400175	County Vehicles and Equipment	10/2/2002
Industrial Risk Insurers Policy #31368143	Justice Center Equipment	10/2/2002
Republic Western Policy #RSU 3601134	Excess Workers' Compensation	7/1/2002
Hartford Insurance Co. Policy #52BPEAD4206	Blanket Faithful Performance Bond	1/1/2003
Hartford Insurance Co.	Faithful Performance Bond-Public Official	
Bond #5088331	Tax Collector	1/1/2003
Bond #5088332	Finance Director	1/1/2003
Bond #5091060	Treasury Manager	1/1/2003
Hartford Insurance Co. Bond #5088334	Faithful Performance Bond-Public Guardian	1/1/2003
Hartford Insurance Co. Bond #SUN404213	DEQ License Bond (Multnomah County)	1/1/2003
Hartford Insurance Co. Bond #5087364	DEQ License Bond (Mechanic/Equipment Operator-G.Oliver)	1/1/2005
Hartford Insurance Co. Bond #SUN404214	DEQ License Bond (Mechanic/Equipment Operator/Fleet Inspector)	1/1/2003
Hartford Insurance Co. Bond #SUN403141	DEQ License Bond (Fleet Inspector)	1/1/2005
Hartford Insurance Co. Bond #52BSBAL9783	DEQ License Bond (Mechanic/Equipment Operator)	6/20/2003
Hartford Insurance Co. Bond #52BSBAA9079	DEQ License Bond (Mechanic/Equipment Operator)	1/24/2003

Source: County Insurance Agent

MULTNOMAH COUNTY, OREGON
Population, per Capita Income and Unemployment
Last Ten Fiscal Years
(unaudited)

Fiscal Year	Population	Per Capita Income (1)	Median Household Effective Buying Income (1)	Unemployment Rate (3)
1993	615,000	$ 22	$ 36	6.00%
1994	620,000	23	39	4.30%
1995	626,500	24	34	3.70%
1996	636,000	26	35 (2)	4.50%
1997	639,000	27	37	4.30%
1998	642,000	29	39	4.30%
1999	646,850	31	41	4.50%
2000	662,400	32	43	3.90%
2001	666,350	N/A	N/A	5.90%
2002	666,350 (4)	N/A	N/A	N/A

(1) Amounts expressed in thousands.
(2) The definition of effective buying income changed in 1995 and is not comparable with previous years.
(3) Portland Metropolitan Statistical Area.
(4) Population data for July 1, 2002 is not available at this time.

N/A means not available at current time.

Source: Employment Division, Oregon State Department of Human Resources

MULTNOMAH COUNTY, OREGON
Major Employers in Metropolitan Area
June 30, 2002
(unaudited)

Employer	Product or Service	Employment
MANUFACTURING EMPLOYERS		
Intel Corporation	Semiconductor integrated circuits	15,000
Freightliner Corporation	Medium and heavy-duty trucks	2,878
Nike, Incorporated	Sports footwear and apparel	2,850
Tektronix, Incorporated	Electronic testing instruments	2,537
Precision Castparts	Steel castings	2,320
Hewlett-Packard Co.	Computer printers	1,700
Wacker Siltronic Corporation	Silicon semiconductor materials	1,500
NON-MANUFACTURING EMPLOYERS		
Providence Health Care System	Hospitals and clinics	12,800
Fred Meyer, Inc. (Krogers, Inc.)	Grocery and retail variety chain	10,744
Legacy Health System	Hospital and health services	7,158
Kaiser Foundation Health Plan of the NW	Hospitals and clinics	6,725
Safeway Stores	Grocery chain stores	6,000
U. S. Bancorp	Bank and holding company	4,242
Meier and Frank Company	Department stores	3,500
United Parcel Service	Small package transport	3,100
McDonalds Corporation	Restaurants	3,000
Southwest Washington Medical Center	Medical care	2,900
Portland General Corp.	Electric utility	2,787
Albertson's	Retail Grocery Chain	2,700
Wells Fargo & Co.	Bank	2,588
Volt Services	Temporary Employment	2,500
QWest Communications	Communications utility	2,300
Regence Blue Cross & Blue Shield of Oregon	Health insurance & HMO	2,105
Shari's Management Corp.	Restaurant chain	2,000
Portland Trail Blazers/Oregon Arena Corp.	National basketball association team, operator of Rose Quarter	2,000
Horizon Air	Airline	1,800
Nordstrom	Retail specialty stores	1,700
Home Depot	Home Improvement	1,700
Standard Insurance	Insurance	1,660
Target Stores	Retail stores	1,600
Rite Aid Corp.	Retail drug stores	1,600
Bank of America Oregon	Full commercial banking services	1,594
PUBLIC EMPLOYERS		
Federal Government	Government	18,700
Oregon Health Sciences University	Health care & education	11,000
Portland Public Schools	Education	8,656
City of Portland	Government	8,302
State of Oregon	Government	6,883
Multnomah County	Government	5,269
Portland Community College	Education	4,123
Portland State University	Education	3,800
Beaverton School District	Education	3,439
Tri Met	Transportation	2,636
Bonneville Power	Power utility	2,500

Source: Portland Chamber of Commerce, State of Oregon Employment Division and Regional Financial Advisors, Inc.

MULTNOMAH COUNTY, OREGON
Miscellaneous Statistical Data
June 30, 2002
(unaudited)

GENERAL	
Date of incorporation	1854
Date present charter adopted	1967
Date present charter amended	2001
Form of government	Home Rule Charter
Area - square miles	465

MULTNOMAH COUNTY EMPLOYEES	
Management and exempt	735
Bargaining units:	
General employees (Local 88)	3,012
Electricians (Local 48)	22
Operating engineers (Local 701)	13
Paint makers (Local 1094)	3
Corrections (Teamsters 223)	497
Deputy sheriffs association	89
Oregon nurses association	263
Juvenile group workers (Local 86)	69
Prosecuting attorneys association	85
Total bargaining units	4,053
Temporary County employees	481
Total County employees	5,269

MILES OF STREETS (UNINCORPORATED AREA)	
Paved	365
Unpaved	11

ELECTIONS	
Number of registered voters	383,915
Number of votes cast in last general election	300,065
Percentage of registered voters voting in last general election	78.16%

SEWAGE DISPOSAL	
Number of accounts	528

FIRE PROTECTION	
Number of stations	27
Number of employees:	
Sworn	662
Non-sworn	67

SHERIFF PROTECTION	
Number of arrests (Parts 1, 2 & 3 crimes)	3,165
Vehicular patrol units	36
Number of employees (sworn and civilian)	896
Jails:	
Facilities	5
Population	1,775

RECREATION	
Parks:	
Number of acres	10,940
Number of facilities	560
Number of playgrounds	148
Number of golf courses	6

EDUCATION	
Number of schools:	
Elementary	138
Secondary	22
Employees:	
Instructional	5,396
Administrative and support	4,123
Number of students (estimated)	94,131

STREET LIGHTING	
Number of Lights	3,837

REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS REQUIRED BY STATUTES

- Report of Independent Certified Public Accountants on the County's Compliance and Certain Items Based on an Audit of Basic Financial Statements Performed in Accordance with Federal and Oregon Audit Standards

- Report of Independent Certified Public Accountants on the County's Compliance and Internal Control Over Financial Reporting Based on an Audit of Basic Financial Statements Performed in Accordance with Government Audit Standards

Grant Thornton

Accountants and Management Consultants

Report of Independent Certified Public Accountants on the County's Compliance and Certain Items Based on an Audit of Basic Financial Statements Performed in Accordance with Federal and Oregon Auditing Standards

The Board of County Commissioners
Multnomah County, Oregon

We have audited the basic financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2002, and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America, the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State, and *Government Auditing Standards,* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Multnomah County, Oregon is the responsibility of the County's management. As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we performed tests of the County's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs Funded from Outside Sources - Reports related to such programs are presented separately under separate cover.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

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Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to condition and adequacy of accounting records.

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds (see requirements for public fund deposits as specified in Oregon Revised Statutes (ORS) 295)

Indebtedness - Relating to debt and the limitation on the debt amount, liquidation of debt within the prescribed period of time, and compliance with provisions of indentures or agreements, including restrictions on the use of moneys available to retire indebtedness

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year (see ORS 294.305 to 294.565)

Taxes on Motor Vehicle Use and Fuel and the use of a Road Fund - Pertaining to (as contained in Article IX, Section 3a of the Oregon Constitution) the use of revenue from taxes on motor vehicle use and fuel and the use of a road fund (as contained in ORS 294 and 373)

Insurance - Relating to insurance and fidelity bond coverage

Investments - Pertaining to the investment of public funds (see ORS Chapter 294.035 to 294.046)

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the awarding of public contracts and the construction of public improvements

The result of our tests indicate that, with respect to the items tested, Multnomah County, Oregon complied, in all material respects, with the provisions referred to above except the County had overexpenditures as listed in Note 2B of Notes to the Basic Financial Statements. With respect to items not tested, nothing came to our attention that caused us to believe that the County had not complied, in all material respects, with those provisions.

This report is intended solely for the information and use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 6, 2002

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Accountants and Management Consultants

Report of Independent Certified Public Accountants on the County's Compliance and on Internal Control Over Financial Reporting Based on an Audit of Basic Financial Statements Performed in Accordance with *Government Auditing Standards*

The Board of County Commissioners
Multnomah County, Oregon

We have audited the basic financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2002, and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether Multnomah County, Oregon's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards.*

Internal Control Over Financial Reporting

In planning and performing our audit, we considered Multnomah County, Oregon's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weakness.

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This report is intended solely for the information and use of management, the Board of County Commissioners and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 6, 2002

Grant Thornton LLP

Grant Thornton

Financial Statements and Reports of
Independent Certified Public Accountants

Dunthorpe-Riverdale Service District No. 1 – A Component Unit of Multnomah County, Oregon

June 30, 2002 and 2001

INTRODUCTORY SECTION

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon

BOARD OF COUNTY COMMISSIONERS* AS OF JUNE 30, 2002
501 SE Hawthorne, 6th floor
Portland, Oregon 97214

	Term Expires
Diane Linn, Chair of the Board	December 31, 2002
Serena Cruz, Commissioner	December 31, 2002
Lisa Naito, Commissioner	December 31, 2004
Lonnie Roberts, Commissioner	December 31, 2004
Maria Rojo de Steffey, Commissioner	December 31, 2004

REGISTERED AGENT

David A. Boyer

REGISTERED OFFICE

501 SE Hawthorne, 4th floor
PO Box 14700
Portland, Oregon 97293-0700

* Governing body of Dunthorpe-Riverdale Service District No. 1 reported on herein.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
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MULTNOMAH COUNTY, OREGON

COUNTY COMMISSIONERS	DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES FINANCE DIVISION	
DIANE LINN, CHAIR	MULTNOMAH BUILDING	FORD BUILDING
MARIA ROJO DE STEFFEY, DISTRICT #1	501 SE HAWTHORNE BLVD. 4TH FLOOR	2505 SE 11TH 1ST FLOOR
SERENA CRUZ, DISTRICT #2	PO BOX 14700	PORTLAND, OR 97202
LISA NAITO, DISTRICT #3	PORTLAND, OR 97293-0700	PHONE (503) 988-5299
LONNIE ROBERTS, DISTRICT #4	PHONE (503) 988-3312	FAX (503) 988-6265
	FAX (503) 988-3292	TDD (503) 988-5170

December 6, 2002

Honorable County Chair and
Board of County Commissioners
Multnomah County, Portland, Oregon

We are pleased to submit the Basic Financial Statements for Dunthorpe-Riverdale Service District No. 1, Portland, Oregon (the District), for the fiscal years ended June 30, 2002 and 2001. This report includes the opinion of our independent auditors, Grant Thornton LLP, Certified Public Accountants.

We prepared this report for the Secretary of the State of Oregon as required under ORS 297.425. The District's financial statements are also included in the Comprehensive Annual Financial Report of Multnomah County (the County) as a blended component unit. This is necessary because the Board of County Commissioners of Multnomah County maintains overall financial accountability for the District.

Generally Accepted Accounting Principles (GAAP) requires that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis (MD&A). This letter of transmittal is designed to complement MD&A and should be read in conjunction with it. Dunthorpe-Riverdale's MD&A can be found immediately following the independent auditors' report.

Dunthorpe-Riverdale Service District No. 1 was organized in 1964 under the provisions of ORS 451. The District's purpose was to finance the construction and operation of sanitary sewer systems in the southwest areas of the County, bordering the Willamette River and a small portion of northern Clackamas County. By 1970 the District had eliminated a major source of pollution in the Willamette River.

The District is accounted for as an enterprise fund. Enterprise funds generate revenue for operations by charging user fees to recover all costs of goods and services to the public. The measurement focus is on a flow of economic resources and the accrual basis of accounting is used. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the

liabilities are incurred.

Oregon Local Budget Law requires the use of budgetary control. See pages 12-13 for the Schedule of Revenues, Expenditures, and Changes in Fund Balances – Budget and Actual and the Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses.

The District consists of approximately 560 households at June 30, 2002, which is a slight increase over the prior year of 553. In recent years slowed sub-division growth has contributed to the District's operating losses. Slower growth combined with decreases in interest income and increased utility costs have resulted in an overall decrease in the District's net assets at June 30, 2002. The District continues to maintain a strong working capital position. Following is a summary of some key financial data which is summarized from current and prior years' financial statements:

	Year ended June 30				
	2002	2001	2000	1999	1998
Operating revenue	$ 249,008	$ 249,149	$ 254,791	$ 244,209	$ 237,930
Depreciation expense	39,070	33,474	28,374	28,374	28,374
Operating income (loss)	(57,174)	(36,880)	12,642	20,252	19,565
Change in net assets	(29,592)	29,415	71,052	74,090	70,456
Capital contributions	2,500	2,500	5,000	10,000	10,000
Net working capital	454,016	494,122	941,233	841,807	739,343
Total assets	1,578,629	2,081,995	1,585,289	1,457,743	1,377,018
Total net assets	1,481,784	1,511,376	1,481,961	1,410,909	1,336,819

I would like to acknowledge the help of the Finance Division staff, especially Bill Moravics, Financial Specialist II, and Mindy Harris, Accounting Manager, who aided me in the preparation of this report. I appreciate their excellent work. I also want to thank the staff in the Department of Business and Community Services for their contributions during the year.

Respectfully submitted,

David A. Boyer, CCM
Finance Director

FINANCIAL SECTION

Grant Thornton

Accountants and Management Consultants

Report of Independent Certified Public Accountants

The Board of County Commissioners
Dunthorpe-Riverdale Service District No.1

We have audited the accompanying basic financial statements of Dunthorpe-Riverdale Service District No.1, a component unit of Multnomah County, Oregon, as of June 30, 2002 and 2001, as listed in the table of contents. These financial statements are the responsibility of Dunthorpe-Riverdale Service District No.1' s management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of Dunthorpe-Riverdale Service District No.1 as of June 30, 2002 and 2001, and the respective changes in financial position and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

The management's discussion and analysis on page 2 through 5 is not a required part of the basic financial statements but is supplementary information required by the Governmental Accounting Standards Board. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise Dunthorpe-Riverdale Service District No.1's basic financial statements. The introductory section and supplementary information section as listed in the table of contents are not a required part of the basic financial statements. The supplementary information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, are fairly stated in all material respects in relation to the basic financial statements taken as a whole. The introductory section has not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we express no opinion on it.

GRANT THORNTON LLP

Portland, Oregon
December 6, 2002

By Gary C. Hemsley

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MULTNOMAH COUNTY, OREGON

COUNTY COMMISSIONERS	DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES FINANCE DIVISION	
DIANE LINN, CHAIR	MULTNOMAH BUILDING	FORD BUILDING
MARIA ROJO DE STEFFEY, DISTRICT #1	501 SE HAWTHORNE BLVD. 4TH FLOOR	2505 SE 11TH 1ST FLOOR
SERENA CRUZ, DISTRICT #2	PO BOX 14700	PORTLAND, OR 97202
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LONNIE ROBERTS, DISTRICT #4	PHONE (503) 988-3312	FAX (503) 988-6265
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MANAGEMENT DISCUSSION AND ANALYSIS

As management of Dunthorpe-Riverdale Service District No. 1, we offer readers of the District's basic financial statements this narrative overview and analysis of the financial activities of the District for the fiscal year ended June 30, 2002. We encourage readers to consider the information presented here in conjunction with the additional information that we have furnished in our letter of transmittal, which can be found on pages iii-iv of this report.

Financial Highlights

- Dunthorpe Riverdale's assets exceeded its liabilities at June 30, 2002, by $1,481,784. Of this amount, $454,016 may be used to meet the District's ongoing obligations to citizens and creditors.
- The District's total net assets decreased by $29,592. The primary reason for this decrease is increased utility costs without a corresponding increase in service charges.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to Dunthorpe-Riverdale's basic financial statements. The District's basic financial statements are: 1) fund financial statements, and 2) notes to the financial statements. This report also contains other supplementary information in addition to the basic financial statements themselves.

Fund financial statements. A *fund* is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The District, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Proprietary funds. Proprietary funds are used to account for a government's ongoing organizations and activities that are similar to businesses found in the private sector. These funds are considered self-supporting in that the services rendered by them are generally financed through user charges or on a cost reimbursement basis. Of the two types of proprietary funds Dunthorpe-Riverdale is accounted for as an enterprise fund.

Enterprise Funds. Enterprise funds are used to account for operations (a) where the intent of the government is to fully recover costs of providing goods or services to the general public through user charges; or (b) where the governing body has decided that periodic determination of net income is appropriate for capital maintenance, public policy, management control, accountability or other purpose.

The District operates like a business receiving user charges for services rendered. It does not receive any funds from the state. The District's statute anticipates that it collects fees necessary to prudently operate.

The main source of revenue, sewer user assessments are collected through property taxes. The fees are $39 per month per household and generate approximately $250,000 per year.

The basic enterprise fund financial statements can be found on pages 6-8 of this report.

Notes to basic financial statements. The notes provide additional information that is essential to a full understanding of the data provided in the fund financial statements. The notes to the financial statements can be found on pages 8-11 of this report.

Other information. In addition to the basic financial statements and accompanying notes, this report also presents certain supplementary information. Supplementary information can be found on page 12-16 of this report.

Financial Analysis of the District

Net assets may serve over time as a useful indicator of a government's financial position. As noted earlier, Dunthorpe-Riverdale's total assets exceeded liabilities by $1,481,784 at the close of the most recent fiscal year.

At June 30, 2002, the District had $1,027,768 or 69% of total net assets invested in capital assets. The investment in capital assets is the district's sanitary sewer system, with no related debt. The District uses these capital assets to provide services to it's member households, consequently, these assets are not available for future spending.

Dunthorpe-Riverdale's Net Assets
June 30, 2002

Current assets	$ 550,861
Capital assets, net	1,027,768
Total assets	1,578,629
Current liabilities	96,845
Net assets:	
Invested in capital assets	1,027,768
Unrestricted	454,016
Total net assets	$1,481,784

At the end of the current fiscal year, the District is able to report positive balances in the above categories of net assets. The same situation held true for the prior fiscal year.

Dunthorpe-Riverdale's Changes in Net Assets
June 30, 2002

Charges for services	$ 249,008
Depreciation expense	(39,070)
Other operating expenses	(267,112)
Operating loss	(57,174)
Investment earnings	25,082
Capital contributions	2,500
Changes in net assets	(29,592)
Beginning net assets	1,511,376
Ending net assets	$1,481,784

The District's net assets decreased by $29,592 during the current fiscal year. The primary reasons for the decrease in net assets are:

- Utility expenses increased $14,499 from $231,853 to $246,352 in fiscal years 2001 and 2002, respectively, due to increased utility rates.
- Investment earnings decreased $38,713 from $63,795 to $25,082, as a result of declining interest rates and the use of approximately $570,000 of cash and investments shortly after June 30,2001.
- Depreciation expense increased $5,596 from $33,474 to $39,070 due to new sewer system improvements.

Capital assets. The District's investment in capital assets as of June 30, 2002, amounts to $1,027,768 (net of accumulated depreciation). This entire investment in capital assets is the sanitary sewer system. The net increase in the District's investment in capital assets for the current fiscal year was 1% ($10,514). Additional information on the District's capital assets can be found in note A.7 on page 10 of this report.

Cash and investments. The District's cash is primarily pooled with the State Treasurer's investment pool, in order to obtain maximum return on investments.

Requests for Information

This financial report is designed to provide a general overview of Dunthorpe-Riverdale's finances for all those with an interest in the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be directed to the following address:

Multnomah County Finance
PO Box 14700
Portland, OR 97293-0700

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Statements of Net Assets

	June 30	
	2002	**2001**
ASSETS		
Current assets:		
Cash and investments	$ 535,071	$ 1,051,021
Receivables (net of allowance for uncollectibles):		
Accounts	854	99
Special assessments	14,936	13,621
Total current assets	550,861	1,064,741
Noncurrent assets:		
Capital assets	1,978,282	1,928,698
Less: accumulated depreciation	(950,514)	(911,444)
Total noncurrent assets	1,027,768	1,017,254
Total assets	1,578,629	2,081,995
LIABILITIES		
Current liabilities:		
Accounts payable	96,845	570,619
Total current liabilities	96,845	570,619
NET ASSETS		
Invested in capital assets	1,027,768	1,017,254
Unrestricted	454,016	494,122
Total net assets	$ 1,481,784	$ 1,511,376

The accompanying notes to the financial statements are an integral part of these statements.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Statements of Revenues, Expenses and Changes in Net Assets

	Year Ended June 30	
	2002	2001
OPERATING REVENUES		
Sewer user assessments	$ 249,008	$ 249,149
OPERATING EXPENSES		
Cost of sales and services	245,102	233,921
Depreciation	39,070	33,474
Administrative support	22,010	18,634
Total operating expenses	306,182	286,029
Operating loss	(57,174)	(36,880)
NONOPERATING REVENUES		
Interest revenue	25,082	63,795
Income (loss) before contributions and transfers	(32,092)	26,915
Capital contributions	2,500	2,500
Change in net assets	(29,592)	29,415
Total net assets - beginning	1,511,376	1,481,961
Total net assets - ending	$ 1,481,784	$ 1,511,376

The accompanying notes to the financial statements are an integral part of these statements.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Statements of Cash Flows

	Year ended June 30	
	2002	2001
CASH FLOW FROM OPERATING ACTIVITIES		
Receipts from customers	$ 248,701	$ 247,257
Payments to suppliers	(729,449)	229,230
Payments to employees	(13,183)	(11,714)
Internal activity - payments to other funds	(17)	(217)
Net cash provided by (used in) operating activities	(493,948)	464,556
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES		
Purchases of capital assets	(49,584)	(510,000)
Capital contributions	2,500	2,500
Net cash used in capital and related financing activities	(47,084)	(507,500)
CASH FLOWS FROM INVESTING ACTIVITIES		
Interest received	25,082	63,795
Net cash provided by investing activities	25,082	63,795
Net increase (decrease) in cash and cash equivalents	(515,950)	20,851
Balances at beginning of the year	1,051,021	1,030,170
Balances at end of the year	$ 535,071	$ 1,051,021
Reconciliation of operating loss to net cash provided by (used in) operating activities		
Operating loss	$ (57,174)	$ (36,880)
Adjustments to reconcile operating loss to net cash provided by (used in) operating activities:		
Depreciation	39,070	33,474
Changes in assets and liabilities:		
Receivables, net	(2,070)	671
Accounts payable	(473,774)	467,291
Total adjustments	(436,774)	501,436
Net cash provided by (used in) operating activities	$ (493,948)	$ 464,556

The accompanying notes to the financial statements are an integral part of these statements.

Note A – Summary of Significant Accounting Policies

1. Reporting Entity

Dunthorpe-Riverdale Service District No. 1 (the District) was organized in 1964 under the provisions of Oregon Revised Statutes (ORS) Chapter 451 to finance the construction and operation of sanitary sewer systems in the southwest unincorporated area of Multnomah County (the County), bordering the Willamette River and a small portion of northern Clackamas County. The Multnomah County Board of Commissioners is the governing body of the District, as provided for by ORS 451.485.

Dunthorpe-Riverdale is a component unit of Multnomah County and its financial activities are included in the basic financial statements of the County.

2. Basis of Accounting

The basic financial statements are prepared on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred. The measurement focus is on the flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operations are included on the Statement of Net Assets.

The financial statements of the District have been prepared in accordance with Generally Accepted Accounting Principles (GAAP). GAAP statements include all relevant Governmental Accounting Standards Board (GASB) pronouncements. The financial statements have incorporated all applicable GASB pronouncements as well as Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions, and Accounting Research Bulletins of the Committee on Accounting Procedure issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements.

In June 1999, (GASB) issued Statement No. 34, Basic Financial Statements and Management's Discussion and Analysis for State and Local Governments. This statement establishes new financial reporting requirements and creates new information for annual reports to be more comprehensive and easier to understand and use. Dunthorpe-Riverdale implemented the requirements of the Statement for fiscal year 2001-02.

Note A – Summary of Significant Accounting Policies - continued

3. **Fund Accounting**

The accompanying basic financial statements have been prepared for purposes of Oregon statutory reporting requirements. The accompanying financial statements are structured into the fund type as described below.

4. **Enterprise Fund**

Enterprise funds are used to account for revenues and expenses generally resulting from providing services for fees in connection with the fund's principal ongoing operations. Since the Multnomah County Board of Commissioners maintains overall financial accountability for the District, the financial statements of the District are included in the Comprehensive Annual Financial Report (CAFR) of the County, as an enterprise fund.

5. **Cash and Investments**

Cash is held by the County Finance Division and is commingled with the cash, time deposits and investment accounts of the County. The District is allocated investment earnings based on its proportionate cash balance monthly. See the Multnomah County June 30, 2002 CAFR for: basis of investments, types of investments and information regarding the risk categories of investments held.

6. **Receivables**

	June 30	
	2002	2001
Sewer user assessments	$15,986	$16,071
Less: allowance for doubtful accounts	(1,050)	(2,450)
Subtotal	14,936	13,621
Receivables from pump maintenance	781	-
Receivables from foreclosures	73	99
Receivables, net	$15,790	$13,720

The allowance for doubtful accounts represents the District's best estimate of receivable amounts that will not be collected. In determining the allowance, the District considers historical write-offs as well as current economic factors.

Note A – Summary of Significant Accounting Policies - continued

7. **Capital Assets**

Capital assets are summarized as follows:

	June 30	
	2002	2001
Sewer system at beginning of year	$ 1,928,698	$ 1,418,698
Additions	49,584	510,000
Total capital assets at end of year	1,978,282	1,928,698
Less accumulated depreciation	(950,514)	(911,444)
Investment in capital assets	$ 1,027,768	$ 1,017,254

Capital assets consist of sewer lines and pumping facilities and are stated at historical cost or estimated historical cost at time of acquisition, or fair value on date donated for donated assets. Capital assets valued at estimated historical costs are minor. Normal maintenance and repairs are expensed as incurred. Expenditures for major additions, improvements and replacements are capitalized. Gain or loss on retirement or disposal is reflected in income.

Depreciation on property and equipment is provided on the straight-line method at rates based on the estimated lives of the related assets, which are 50 years for sewer lines and pumping facilities. Depreciation on capital assets is charged to net assets.

8. **Budgets**

The District's budget is prepared in accordance with Oregon local budget law. All annual appropriations lapse at fiscal year end. During the month of February each year, the District submits requests for appropriations to the County Chair so that a budget may be prepared. By May 15, the proposed budget is presented to the County Board of Commissioners for approval. The Board holds public hearings and a final budget must be prepared and adopted no later than June 30.

9. **Cash Flows**

For purposes of the statements of cash flows, the District considers all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

Note A – Summary of Significant Accounting Policies – continued

10. **Transactions with Multnomah County**

The County Department of Business and Community Services provides operating management and planning for the District. General administrative functions are performed by other units of the County. All services are performed on a cost reimbursement basis. Reimbursements to the County were $8,599 and $6,921 for fiscal 2002 and 2001, respectively, and are included in Administrative support on the Statement of Revenues, Expenses and Changes in Net Assets.

11. **Intergovernmental Agreements**

The City of Portland provides sewer line and pump maintenance, transportation and sewage treatment for the District.

12. **Risk Management**

See note 4.A. - Risk Management in Multnomah County's June 30, 2002 Comprehensive Annual Financial Report for information pertaining to risk management activities.

13. **Use of Estimates**

In preparing the basic financial statements in conformity with generally accepted accounting principles, management is required to make estimates and assumptions that affect the reported amounts of assets and liabilities and the disclosures of contingent assets and liabilities at the date of the financial statements and revenues and expenses during the reporting period. Actual results could differ from those estimates.

14. **Reclassifications**

Certain reclassifications were made to the 2001 financial statements to conform to the current year presentation.

Note B – Pension Plans

See note 4.E. – Employee retirement systems and pension plans in Multnomah County's June 30, 2002 Comprehensive Annual Financial Report for information pertaining to pension plans.

SUPPLEMENTARY INFORMATION

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon

The following Schedule of Revenues, Expenditures and Changes in Fund Balances – Budget and Actual for the District is prepared on a budgetary basis which differs from generally accepted accounting principles and the accompanying component unit financial statements in the following respects:

- Special assessment and property tax revenue is recognized as it becomes measurable and available;
- Capital outlay is reflected as an expenditure;
- Contributed capital, other than non-current assets, is reflected as revenue;
- Depreciation is not recorded; and,
- Expenses related to uncollectible accounts receivable are not recorded.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Assessments - sewer				
Current year	$ 248,000	$ 248,000	$ 238,708	$ (9,292)
Prior year	-	-	7,847	7,847
Assessments - other	-	-	2,605	2,605
Charges for services	10,000	10,000	2,500	(7,500)
Interest	15,000	15,000	25,082	10,082
Other	-	-	211	211
Total revenues	273,000	273,000	276,953	3,953
EXPENDITURES				
Environmental services	645,000	645,000	318,095	326,905
Nondepartmental	40,000	40,000	-	40,000
Total expenditures	685,000	685,000	318,095	366,905
Excess (deficiency) of revenues over (under) expenditures	(412,000)	(412,000)	(41,142)	370,858
Fund balances - beginning	496,944	496,944	482,786	(14,158)
Fund balances - ending	$ 84,944	$ 84,944	$ 441,644	$ 356,700

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002

	Revenues	Expenditures
Dunthorpe Riverdale Operating Fund	$ 276,953	$ 318,095
Contributions - connection fees	(2,500)	
Deferred revenue current year	13,422	
Deferred revenue prior year	(13,785)	
Depreciation expense		39,070
Capital outlay		(49,583)
Uncollectible prior year		(2,450)
Uncollectible current year		1,050
Revenues and expenses - page 7	$ 274,090	$ 306,182

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Schedules of Special Assessment Transactions
For the years ended June 30, 2002 and 2001

Fiscal Year 2002

	Amounts Uncollected June 30, 2001	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2002
General Fund Special Assessment							
2001-2002	$ -	$ 253,231	$ (5,895)	$ 146	$ (1,327)	$ (237,369)	$ 8,786
2000-2001	9,194			326	(696)	(4,788)	4,036
1999-2000	3,930			294	(295)	(1,895)	2,034
1998-1999 and prior years	2,947			467	(60)	(2,224)	1,130
	$ 16,071	$ 253,231	$ (5,895)	$ 1,233	$ (2,378)	$ (246,276)	$ 15,986

Fiscal Year 2001

	Amounts Uncollected June 30, 2000	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2001
General Fund Special Assessment							
2000-2001	$ -	$ 257,172	$ (6,093)	$ 148	$ (581)	$ (241,452)	$ 9,194
1999-2000	8,642			217	(1,325)	(3,604)	3,930
1998-1999	3,546			131	(789)	(980)	1,908
1997-1998 and prior years	2,611			416	(246)	(1,742)	1,039
	$ 14,799	$ 257,172	$ (6,093)	$ 912	$ (2,941)	$ (247,778)	$ 16,071

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A Component Unit of
Multnomah County, Oregon
Reconciliation of Budgetary Revenues to Interest and Tax Assessment/Collections
For the Year Ended June 30, 2002

	Year ended June 30	
	2002	**2001**
Revenues, per Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual:		
Current year assessments - sewer	$ 238,708	$ 242,579
Prior year assessments - sewer	7,847	5,758
Subtract:		
Sixty day tax/assessment accrual, net	(279)	(559)
Interest and Tax/Assessment Collections, per Schedule of Special Assessment Transactions	$ 246,276	$ 247,778

REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS REQUIRED BY STATUTES

Report of Independent Certified Public Accountants
on the District's Compliance and Certain Items Based on
an Audit of Basic Financial Statements Performed
in Accordance with Oregon Auditing Standards

The Board of County Commissioners
Dunthorpe-Riverdale Service District No. 1

We have audited the basic financial statements of Dunthorpe-Riverdale Service District No. 1 as of and for the year ended June 30, 2002 and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the basic financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Dunthorpe-Riverdale Service District No. 1 is the responsibility of District's management. As part of obtaining reasonable assurance about whether the basic financial statements are free of material misstatement, we performed tests of the District's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs funded from outside sources - Pertaining to programs funded with state and federal revenues, if any.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies, if any, in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

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Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to the condition and adequacy of accounting records

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year.

Insurance - Relating to insurance and fidelity bond coverage

Investments - Pertaining to the investment of public funds

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the advantage of public contracts and the construction of public improvements

The results of our tests indicate that, with respect to the items tested, Dunthorpe-Riverdale Service District No. 1 complied in all materials respects with the provisions referred to above except Dunthorpe-Riverdale Service District No.1 did not a publish budget hearing notification. With respect to items not tested, nothing came to our attention that caused us to believe that the District had not complied, in all material respects, with those provisions.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6, 2002

Report of Independent Certified Public Accountants
on the District's Internal Control Structure Based on
an Audit of Basic Financial Statements

The Board of County Commissioners
Dunthorpe-Riverdale Service District No. 1

We have audited the basic financial statements of the Dunthorpe-Riverdale Service District No. 1 as of and for the year ended June 30, 2002 and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the basic financial statements are free of material misstatement.

The management of the Dunthorpe-Riverdale Service District No. 1 is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of basic financial statements in accordance with generally accepted accounting principles. Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

In planning and performing our audit of the basic financial statements of Dunthorpe-Riverdale Service District No. 1, for the year ended June 30, 2002, we obtained an understanding of the internal control structure. With respect to the internal control structure, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk in order to determine our auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide an opinion on the internal control structure. Accordingly, we do not express such an opinion.

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Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. Those standards define a material weakness as a condition in which the design or operation of one or more of the specific internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operation that we consider to be material weaknesses as defined above.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6, 2002

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Grant Thornton

Financial Statements and Reports of
Independent Certified Public Accountants

Mid County Service District No. 14
A Component Unit of
Multnomah County, Oregon

June 30, 2002 and 2001

INTRODUCTORY SECTION

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon

BOARD OF COUNTY COMMISSIONERS* AS OF JUNE 30, 2002
501 SE Hawthorne, 6th floor
Portland, Oregon 97214

	Term Expires
Diane Linn, Chair of the Board	December 31, 2002
Serena Cruz, Commissioner	December 31, 2002
Lisa Naito, Commissioner	December 31, 2004
Lonnie Roberts, Commissioner	December 31, 2004
Maria Rojo de Steffey, Commissioner	December 31, 2004

REGISTERED AGENT

David A. Boyer

REGISTERED OFFICE

501 SE Hawthorne, 4th floor
PO Box 14700
Portland, Oregon 97293-0700

* Governing body of Mid County Service District No. 14 reported on herein.

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
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MULTNOMAH COUNTY, OREGON

COUNTY COMMISSIONERS	DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES FINANCE DIVISION	
DIANE LINN, CHAIR	MULTNOMAH BUILDING	FORD BUILDING
MARIA ROJO DE STEFFEY, DISTRICT #1	501 SE HAWTHORNE BLVD. 4TH FLOOR	2505 SE 11TH 1ST FLOOR
SERENA CRUZ, DISTRICT #2	PO BOX 14700	PORTLAND, OR 97202
LISA NAITO, DISTRICT #3	PORTLAND, OR 97293-0700	PHONE (503) 988-5299
LONNIE ROBERTS, DISTRICT #4	PHONE (503) 988-3312	FAX (503) 988-6265
	FAX (503) 988-3292	TDD (503) 988-5170

December 6, 2002

Honorable County Chair and
Board of County Commissioners
Multnomah County, Portland, Oregon

We are pleased to submit the Basic Financial Statements for Mid County Service District No. 14, Portland, Oregon (the District), for the fiscal years ended June 30, 2002 and 2001. This report includes the opinion of our independent auditors, Grant Thornton LLP, Certified Public Accountants.

We prepared this report for the Secretary of the State of Oregon as required under ORS 297.425. The District's financial statements are also included in the Comprehensive Annual Financial Report of Multnomah County (the County) as a blended component unit. This is necessary because the Board of County Commissioners of Multnomah County maintains overall financial accountability for the District.

Generally Accepted Accounting Principles (GAAP) requires that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis (MD&A). This letter of transmittal is designed to complement MD&A and should be read in conjunction with it. Mid County's MD&A can be found immediately following the independent auditors' report.

Mid County Service District No. 14 was organized in 1968 as Tulip Acres Lighting District under the provisions of ORS Chapter 451. The District now provides street lighting to the unincorporated urban areas of the County, and the cities of Maywood Park, Troutdale and Fairview.

The District is accounted for as an enterprise fund. Enterprise funds generate revenue for operations by charging user fees to recover all costs of providing goods and services to the public. The measurement focus is on a flow of economic resources and the accrual basis of accounting is used. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred.

Oregon Budget Law requires the use of budgetary control. See pages 13-14 for the Schedule of

Revenues, Expenditures, and Changes in Fund Balances – Budget and Actual and the Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses.

Operating revenues, consisting primarily of $35 per household user assessments, have generally followed the population. Historically operating revenues have increased approximately 4.2% annually and operating expenses have increased approximately 6.2% over the same time period. During fiscal year 2002, operating revenues increased 25% over the prior year. The increase was a result of an audit the County performed that identified eligible district properties that had been excluded from the previous year's tax roll. In fiscal year 2001, the County adopted GASB No. 33, Accounting for Financial Reporting for Nonexchange Transactions. Accordingly, the County began recognizing capital contributions to proprietary funds as revenues instead of contributed capital. The effect of this pronouncement is evident by the large change in net assets and capital contributions from 2000 to 2001 as noted below. The District continues to maintain a strong working capital position and has no long-term debt. Following is a summary of some key financial data which is summarized from current and prior years' financial statements:

	Year ended June 30				
	2002	2001	2000	1999	1998
Operating revenue	$ 234,731	$ 188,369	$ 189,116	$ 182,251	$ 179,130
Depreciation expense	90,083	95,224	85,750	76,984	70,842
Operating loss	(113,189)	(160,761)	(108,996)	(118,597)	(69,228)
Change in net assets	183,701	309,705	(42,850)	290,650	120,068
Capital contributions	335,404	420,894	28,948	371,233	159,444
Net working capital	841,762	832,215	847,621	836,376	832,552
Total assets	2,233,728	2,048,869	1,734,649	1,806,696	1,484,730
Total net assets	2,213,013	2,029,312	1,719,607	1,762,457	1,471,807

I would like to acknowledge the help of the Finance Division staff, especially Bill Moravics, Financial Specialist II, and Mindy Harris, Accounting Manager, who aided me in the preparation of this report. I appreciate their excellent work. I also want to thank the staff in the Department of Business and Community Services for their contributions during the year.

Respectfully submitted,

David A. Boyer, CCM
Finance Director

FINANCIAL SECTION

Grant Thornton

Accountants and Management Consultants

Report of Independent Certified Public Accountants

The Board of County Commissioners
Mid County Service District No. 14

We have audited the accompanying basic financial statements of Mid County Service District No.14, a component unit of Multnomah County, Oregon, as of and for the years ended June 30, 2002 and 2001, as listed in the table of contents. These financial statements are the responsibility of Mid County Service District No.14's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

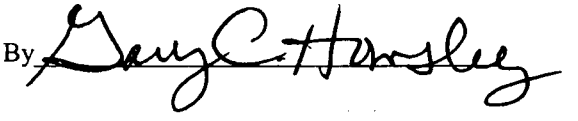
In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of Mid County Service District No.14 as of June 30, 2002 and 2001, and the respective changes in financial position and cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

The management's discussion and analysis on pages 2 through 5 is not a required part of the basic financial statements but is supplementary information required by the Governmental Accounting Standards Board. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise Mid County Service District No.14's basic financial statements. The introductory section and supplementary information section as listed in the table of contents are not a required part of the basic financial statements. The supplementary information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, are fairly stated in all material respects in relation to the basic financial statements taken as a whole. The introductory section has not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we express no opinion on it.

GRANT THORNTON LLP

Portland, Oregon
December 6, 2002

By 

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MULTNOMAH COUNTY, OREGON

COUNTY COMMISSIONERS	DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES FINANCE DIVISION	
DIANE LINN, CHAIR	MULTNOMAH BUILDING	FORD BUILDING
MARIA ROJO DE STEFFEY, DISTRICT #1	501 SE HAWTHORNE BLVD. 4TH FLOOR	2505 SE 11TH 1ST FLOOR
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LONNIE ROBERTS, DISTRICT #4	PHONE (503) 988-3312	FAX (503) 988-6265
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MANAGEMENT DISCUSSION AND ANALYSIS

As management of Mid County Service District No. 14, we offer readers of the District's basic financial statements this narrative overview and analysis of the financial activities of the District for the fiscal year ended June 30, 2002. We encourage readers to consider the information presented here in conjunction with the additional information that we have furnished in our letter of transmittal, which can be found on pages iii-iv of this report.

Financial Highlights

- Mid County's assets exceeded its liabilities at June 30, 2002, by $2,213,013. Of this amount, $841,762 is unrestricted and may be used to meet the District's ongoing obligations to citizens and creditors.
- The District's total net assets increased by $183,701. The majority of the increase is due to capital contributions from annexations of new unincorporated areas of the County.
- The District's operating revenues increased $46,362 as a result of additional properties included on the current years tax roll.
- Disposal of fixed assets, with a cost of $141,765, resulted in a loss of $71,167, due to current year street lighting replacements.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to Mid County's basic financial statements. The District's basic financial statements are: 1) fund financial statements, and 2) notes to the financial statements. This report also contains other supplementary information in addition to the basic financial statements themselves.

Fund financial statements. A *fund* is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The District, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Proprietary funds. Proprietary funds are used to account for a government's ongoing organizations and activities that are similar to businesses found in the private sector. These funds are considered self-supporting in that the services rendered by them are generally financed through user charges or on a cost reimbursement basis. Of the two types of proprietary funds, Mid County is accounted for as an enterprise fund.

Enterprise Funds. Enterprise funds are used to account for operations (a) where the intent of the government is to fully recover costs of providing goods or services to the general public through user charges; or (b) where the governing body has decided that periodic determination of net income is appropriate for capital maintenance, public policy, management control, accountability or other purpose.

The District operates like a business receiving user charges for services rendered. It does not receive any funds from the state. The District's statute anticipates that it collects fees necessary to prudently operate.

The main source of revenue, street lighting assessments, are collected through property taxes. The fees are $35 per month per household and generate approximately $240,000 per year.

The basic enterprise fund financial statements can be found on pages 6-8 of this report.

Notes to the basic financial statements. The notes provide additional information that is essential to a full understanding of the data provided in the fund financial statements. The notes to the financial statements can be found on pages 9-12 of this report.

Other information. In addition to the basic financial statements and accompanying notes, this report also presents certain supplementary information. Supplementary information can be found on page 13-17 of this report.

Financial Analysis of the District

Net assets may serve over time as a useful indicator of a government's financial position. As noted earlier, Mid County's total assets exceeded liabilities by $2,213,013 at the close of the most recent fiscal year.

At June 30, 2002, the District had $1,371,251 or 62% of total net assets invested in capital assets. The investment in capital assets is the district's street lighting system, with no related debt. The District uses these capital assets to provide services to it's member households, consequently, these assets are not available for future spending.

Mid County's Net Assets
June 30, 2002

Current Assets	$ 862,477
Capital Assets	1,371,251
Total assets	2,233,728
Current liabilities	20,715
Net assets:	
Invested in capital assets	1,371,251
Unrestricted	841,762
Total net assets	$2,213,013

At the end of the current fiscal year, the District is able to report positive balances in the above categories of net assets. The same situation held true for the prior fiscal year.

Mid County's Changes in Net Assets
June 30, 2002

Operating revenues	$ 234,731
Depreciation expense	(90,083)
Other operating expenses	(257,837)
Operating loss	(113,189)
Investment earnings	32,653
Loss on disposal of capital assets	(71,167)
Capital contributions	335,404
Changes in net assets	183,701
Beginning net assets	2,029,312
Ending net assets	$2,213,013

The District's net assets increased by $183,701 during the current fiscal year.

The primary reasons for the increase in net assets are:

- Capital contributions of $335,404 resulting from property annexations.
- Increased operating revenues from current year tax levy increase of $46,362.
- Investment earnings went down from $53,556 to $32,653, in fiscal years 2001 and 2002, respectively, as a result of declining interest rates.

Capital assets. The District's investment in capital assets as of June 30, 2002, amounts to $1,371,251 (net of accumulated depreciation). This entire investment in capital assets is the street lighting system. The total increase in the District's investment in capital assets for the current fiscal year was 15% ($174,154).

Additional information on the District's capital assets can be found in note A.7 on page 11 of this report.

Cash and investments. The District's cash is primarily pooled with the State Treasurer's investment pool, in order to obtain maximum return on investments.

Requests for Information

This financial report is designed to provide a general overview of Mid County's finances for all those with an interest in the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be directed to the following address:

Multnomah County Finance
PO Box 14700
Portland, OR 97293-0700

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Statements of Net Assets

	June 30	
	2002	2001
ASSETS		
Current assets:		
Cash and investments	$ 848,715	$ 840,749
Receivables (net of allowance for uncollectibles):		
Accounts	71	76
Special assessments	13,691	10,947
Total current assets	862,477	851,772
Noncurrent assets:		
Capital assets	2,107,317	1,913,678
Less: accumulated depreciation	(736,066)	(716,581)
Total noncurrent assets	1,371,251	1,197,097
Total assets	2,233,728	2,048,869
LIABILITIES		
Current liabilities:		
Accounts payable	20,715	19,557
Total current liabilities	20,715	19,557
NET ASSETS		
Invested in capital assets	1,371,251	1,197,097
Unrestricted	841,762	832,215
Total net assets	$ 2,213,013	$ 2,029,312

The accompanying notes to the financial statements are an integral part of these statements.

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Statements of Revenues, Expenses and Changes in Net Assets

	Year Ended June 30	
	2002	2001
OPERATING REVENUES		
Street lighting assessments	$ 234,731	$ 188,369
OPERATING EXPENSES		
Cost of sales and services	238,289	215,398
Depreciation	90,083	95,224
Administrative support	19,548	38,508
Total operating expenses	347,920	349,130
Operating loss	(113,189)	(160,761)
NONOPERATING REVENUES (EXPENSES)		
Interest revenue	32,653	53,556
Loss on disposal of capital assets	(71,167)	(3,984)
Total nonoperating revenues (expenses)	(38,514)	49,572
Loss before contributions and transfers	(151,703)	(111,189)
Capital contributions	335,404	420,894
Change in net assets	183,701	309,705
Total net assets - beginning	2,029,312	1,719,607
Total net assets - ending	$ 2,213,013	$ 2,029,312

The accompanying notes to the financial statements are an integral part of these statements.

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Statements of Cash Flows

	Year ended June 30	
	2002	2001
CASH FLOW FROM OPERATING ACTIVITIES		
Receipts from customers	$ 232,056	$ 187,409
Payments to suppliers	(245,668)	(225,713)
Payments to employees	(11,075)	(20,310)
Internal activity - payments to other funds	-	(1,502)
Net cash used in operating activities	(24,687)	(60,116)
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES		
Purchases of capital assets	-	(3,424)
Net cash used in capital and related financing activities	-	(3,424)
CASH FLOWS FROM INVESTING ACTIVITIES		
Interest received	32,653	53,556
Net cash provided by investing activities	32,653	53,556
Net increase (decrease) in cash and cash equivalents	7,966	(9,984)
Balances at beginning of the year	840,749	850,733
Balances at end of the year	$ 848,715	$ 840,749
Reconciliation of operating loss to net cash used in operating activities		
Operating loss	$ (113,189)	$ (160,761)
Adjustments to reconcile operating loss to net cash used in operating activities:		
Depreciation	90,083	95,224
Changes in assets and liabilities:		
Receivables, net	(2,739)	907
Accounts payable	1,158	4,514
Total adjustments	88,502	100,645
Net cash used in operating activities	$ (24,687)	$ (60,116)
Noncash financing activities		
Contributions of capital assets	$ 335,404	$ 420,894

The accompanying notes to the financial statements are an integral part of these statements.

Note A – Summary of Significant Accounting Policies

1. Nature of Business

Mid County Service District No. 14 (the District) was organized in 1968 under the provisions of Oregon Revised Statutes (ORS) Chapter 451 to provide street lighting in unincorporated urban areas of Multnomah County (the County) and the cities of Maywood Park, Troutdale and Fairview. The Multnomah County Board of Commissioners is the governing body of the Service District, as provided for by ORS 451.485.

Mid County is a component unit of Multnomah County and its financial activities are included in the basic financial statements of the County.

2. Basis of Accounting

The basic financial statements are prepared on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred. The measurement focus is on the flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operations are included on the Statement of Net Assets.

The financial statements of the District have been prepared in accordance with Generally Accepted Accounting Principles (GAAP). GAAP statements include all relevant Governmental Accounting Standards Board (GASB) pronouncements. The financial statements have incorporated all applicable GASB pronouncements as well as Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions, and Accounting Research Bulletins of the Committee on Accounting Procedure issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements.

In June 1999, GASB issued Statement No. 34, Basic Financial Statements and Management's Discussion and Analysis for State and Local Governments. This statement establishes new financial reporting requirements and creates new information for annual reports to be more comprehensive and easier to understand and use. Mid County implemented the requirements of the Statement for fiscal year 2001-02.

Note A – Summary of Significant Accounting Policies - continued

3. **Fund Accounting**

The accompanying basic financial statements have been prepared for purposes of Oregon statutory reporting requirements. The accompanying financial statements are structured into the fund type as described below.

4. **Enterprise Fund**

Enterprise funds are used to account for revenues and expenses generally resulting from providing services for fees in connection with the fund's principal ongoing operations. Since the Multnomah County Board of Commissioners maintains overall financial accountability for the District, the financial statements of the District are included in the Comprehensive Annual Financial Report (CAFR) of the County, as an enterprise fund.

5. **Cash and Investments**

Cash is held by the County Finance Division and is commingled with the cash, time deposits and investment accounts of the County. The District is allocated investment earnings based on its proportionate cash balance monthly. See the Multnomah County June 30, 2002 CAFR for: basis of investments, types of investments and information regarding the risk categories of investments held.

6. **Receivables**

	June 30	
	2002	2001
Street lighting assessments	$ 14,541	$ 12,947
Less: allowance for doubtful accounts	(850)	(2,000)
Subtotal	13,691	10,947
Receivables from foreclosures	71	76
Receivables, net	$ 13,762	$ 11,023

The allowance for doubtful accounts represents the District's best estimate of receivable amounts that will not be collected. In determining the allowance, the District considers historical write offs as well as current economic factors.

Note A – Summary of Significant Accounting Policies - continued

7. **Capital Assets**

Capital assets are summarized as follows:

	June 30	
	2002	2001
Street lights and poles at beginning of year	$ 1,913,678	$ 1,502,937
Additions	335,404	
Disposals	(141,765)	(13,578)
Total capital assets at end of year	2,107,317	1,913,678
Less accumulated depreciation	(736,066)	(716,581)
Investment in capital assets	$ 1,371,251	$ 1,197,097

Capital assets are stated at historical cost or estimated historical cost at time of acquisition, or fair value for donated assets. Capital assets valued at estimated historical costs are minor. Normal maintenance and repairs are expensed as incurred. Expenditures for major additions, improvements and replacements are capitalized. Gain or loss on retirement or disposal is reflected in income.

Depreciation on property and equipment is provided on the straight-line method at rates based on the estimated lives of the related assets, which are 10 to 30 years for street lighting systems and equipment. Depreciation on assets acquired through contributions is charged to net assets.

8. **Budgets**

The District's budget is prepared in accordance with Oregon local budget law. All annual appropriations lapse at fiscal year end. During the month of February each year, the District submits requests for appropriations to the County Chair so that a budget may be prepared. By May 15, the proposed budget is presented to the County Board of Commissioners for approval. The Board holds public hearings and a final budget must be prepared and adopted no later than June 30.

9. **Cash Flows**

For purposes of the statements of cash flows, the District considers all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

Note A – Summary of Significant Accounting Policies – continued

10. **Transactions with Multnomah County**

The County Department of Business and Community Services provides operating management and planning for the District. General administrative functions are performed by other units of the County. All services are performed on a cost reimbursement basis. Reimbursements to the County were $8,940 and $13,946 for fiscal 2002 and 2001, respectively, and are included in Administrative support on the Statement of Revenues, Expenses and Changes in Net Assets.

11. **Annexations and Intergovernmental Agreements**

In 1983 the Board of County Commissioners passed Resolution A which stated the County's intention to phase out municipal services provided to urbanized unincorporated areas of the County. As a result, large areas of the District have been annexed by other jurisdictions and further annexations are expected. The District periodically records the transfer of street lights to the cities of Gresham, Troutdale and Portland.

At the ultimate dissolution of the District, its remaining assets will be distributed to the successors in proportion to the number of customers absorbed by each entity.

12. **Risk Management**

See note 4.A. - Risk Management in Multnomah County's June 30, 2002 Comprehensive Annual Financial Report for information pertaining to risk management activities.

13. **Use of Estimates**

In preparing the basic financial statements in conformity with generally accepted accounting principles, management is required to make estimates and assumptions that affect the reported amounts of assets and liabilities and the disclosures of contingent assets and liabilities at the date of the financial statements and revenues and expenses during the reporting period. Actual results could differ from those estimates.

14. **Reclassifications**

Certain reclassifications were made to the 2001 financial statements to conform to the current year presentation.

Note B – Pension Plans

See note 4.E. – Employee retirement systems and pension plans in Multnomah County's June 30, 2002 Comprehensive Annual Financial Report for information pertaining to pension plans.

SUPPLEMENTARY INFORMATION

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon

The following Schedule of Revenues, Expenditures and Changes in Fund Balances – Budget and Actual for the District is prepared on a budgetary basis which differs from generally accepted accounting principles and the accompanying component unit financial statements in the following respects:

- Street lighting assessment revenue is recognized as it becomes measurable and available;
- Capital outlay is reflected as an expenditure;
- Contributed capital, other than non-current assets, is reflected as revenue;
- Depreciation is not recorded; and,
- Expenses related to uncollectible accounts receivable are not recorded.

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual
For the Year Ended June 30, 2002

	Budgeted Amounts		Actual	Variance with Final Budget Favorable
	Original	Final	Amounts	(Unfavorable)
REVENUES				
Assessments - street lighting				
Current year	$ 185,000	$ 185,000	$ 227,192	$ 42,192
Prior year	-	-	6,268	6,268
Interest	45,500	45,500	32,653	(12,847)
Other	-	-	185	185
Total revenues	230,500	230,500	266,298	35,798
EXPENDITURES				
Environmental services	745,000	745,000	258,987	486,013
Nondepartmental	25,000	25,000	-	25,000
Total expenditures	770,000	770,000	258,987	511,013
Excess (deficiency) of revenues over (under) expenditures	(539,500)	(539,500)	7,311	546,811
Fund balances - beginning	828,900	828,900	823,065	(5,835)
Fund balances - ending	$ 289,400	$ 289,400	$ 830,376	$ 540,976

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Reconciliation of Budgetary Revenues and Expenditures to Proprietary Revenues and Expenses
For the Year Ended June 30, 2002

	Revenues	Expenditures
Mid County Operating Fund	$ 266,298	$ 258,987
Deferred revenue current year	12,236	
Deferred revenue prior year	(11,150)	
Depreciation expense		90,083
Loss on disposal of capital assets		71,167
Uncollectible prior year		(2,000)
Uncollectible current year		850
Revenues and expenses - page 7	$ 267,384	$ 419,087

MID COUNTY SERVICE DISTRICT NO. 14

A Component Unit of
Multnomah County, Oregon

Schedules of Special Assessment Transactions

For the years ended June 30, 2002 and 2001

Fiscal Year 2002

	Amounts Uncollected June 30, 2001	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2002
General Fund Special Assessment							
2001-2002	$ -	$ 241,160	$ (5,580)	$ 142	$ (1,290)	$ (225,890)	$ 8,542
2000-2001	7,094			251	(537)	(3,694)	3,114
1999-2000	3,018			226	(227)	(1,455)	1,562
1998-1999 and prior years	2,835			428	(28)	(1,912)	1,323
	$ 12,947	$ 241,160	$ (5,580)	$ 1,047	$ (2,082)	$ (232,951)	$ 14,541

Fiscal Year 2001

	Amounts Uncollected June 30, 2000	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2001
General Fund Special Assessment							
2000-2001	$ -	$ 194,464	$ (4,582)	$ 114	$ (449)	$ (182,453)	$ 7,094
1999-2000	6,636			167	(1,018)	(2,767)	3,018
1998-1999	2,731			101	(607)	(755)	1,470
1997-1998 and prior years	2,916			433	(330)	(1,654)	1,365
	$ 12,283	$ 194,464	$ (4,582)	$ 815	$ (2,404)	$ (187,629)	$ 12,947

MID COUNTY SERVICE DISTRICT NO. 14
A Component Unit of
Multnomah County, Oregon
Reconciliation of Budgetary Revenues to Interest and Tax Assessment/Collections
For the Year Ended June 30, 2002

	Year ended June 30	
	2002	2001
Revenues, per Schedule of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual:		
Current year special assessments	$ 227,192	$ 183,323
Prior years' special assessments	6,268	4,603
Subtract:		
Sixty day tax/assessment accrual, net	(509)	(297)
Interest and Tax/Assessment Collections, per Schedule of Special Assessment Transactions	$ 232,951	$ 187,629

REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS REQUIRED BY STATUTES

Report of Independent Certified Public Accountants
on the District's Compliance and Certain Items Based on
an Audit of Basic Financial Statements Performed
in Accordance with Oregon Auditing Standards

The Board of County Commissioners
Mid County Service District No. 14

We have audited the basic financial statements of Mid County Service District No. 14 as of and for the year ended June 30, 2002 and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Mid County Service District No. 14 is the responsibility of District's management. As part of obtaining reasonable assurance about whether the basic financial statements are free of material misstatement, we performed tests of the District's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs funded from outside sources - Pertaining to programs funded with state and federal revenues, if any.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies, if any, in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

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Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to the condition and adequacy of accounting records

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year.

Insurance - Relating to insurance and fidelity bond coverage

Investments - Pertaining to the investment of public funds

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the advantage of public contracts and the construction of public improvements

The results of our tests indicate that, with respect to the items tested, Mid County Service District No. 14 complied in all materials respects with the provisions referred to above except Mid County Service District No. 14 did not publish a budget hearing notification. With respect to items not tested, nothing came to our attention that caused us to believe that the District had not complied, in all material respects, with those provisions.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6, 2002

Report of Independent Certified Public Accountants
on the District's Internal Control Structure Based on
an Audit of Basic Financial Statements

The Board of County Commissioners
Mid County Service District No. 14

We have audited the basic financial statements of the Mid County Service District No. 14 as of and for the year ended June 30, 2002 and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the basic financial statements are free of material misstatement.

The management of the Mid County Service District No. 14 is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of basic financial statements in accordance with generally accepted accounting principles. Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

In planning and performing our audit of the basic financial statements of Mid County Service District No. 14, for the year ended June 30, 2002, we obtained an understanding of the internal control structure. With respect to the internal control structure, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk in order to determine our auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide an opinion on the internal control structure. Accordingly, we do not express such an opinion.

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Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. Those standards define a material weakness as a condition in which the design or operation of one or more of the specific internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operation that we consider to be material weaknesses as defined above.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6,2002

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Schedule of Expenditures of Federal Awards and Reports of Independent Certified Public Accountants

Multnomah County, Oregon

Year ended June 30, 2002

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Report of Independent Certified Public Accountants
on the County's Compliance and Internal Control Over
Financial Reporting Based on an Audit of Basic Financial Statements
Performed in Accordance with *Government Auditing Standards*

The Board of County Commissioners
Multnomah County, Oregon

We have audited the basic financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2002, and have issued our report thereon dated December 6, 2002. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether Multnomah County, Oregon's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards.*

Internal Control Over Financial Reporting

In planning and performing our audit, we considered Multnomah County, Oregon's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weakness.

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This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6, 2002

Report of Independent Certified Public Accountants
on the County's Compliance with Requirements Applicable to Each Major
Program and Internal Control Over Compliance in Accordance with
OMB Circular A-133

The Board of County Commissioners
Multnomah County, Oregon

Compliance

We have audited the compliance of Multnomah County, Oregon with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133, Compliance Supplement,* that are applicable to each of its major federal programs for the year ended June 30, 2002. Multnomah County's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of Multnomah County, Oregon's management. Our responsibility is to express an opinion on Multnomah County, Oregon's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States, and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations.* Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Multnomah County, Oregon's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on Multnomah County, Oregon's compliance with those requirements.

In our opinion, Multnomah County, Oregon complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2002.

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Internal Control Over Compliance

The management of Multnomah County, Oregon is responsible for establishing and maintaining effective internal control over the compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered Multnomah County, Oregon's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2002, and have issued our report thereon dated December 6, 2002. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners, the Secretary of State, Division of Audits, of the State of Oregon and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 6, 2002

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards

Year ended June 30, 2002

GRANTOR AND PROGRAM TITLE		FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
U.S. Department of Agriculture				
Passed through State Department of Administrative Services:				
Schools and Roads: Grants to States		10.665	PL 106-393	$ 1,001,189
Passed through State Department of Education:				
School Breakfast Program		10.553	2613007	44,980
National School Lunch Program		10.555	2613007	69,136
Summer Food Service Program for Children		10.559	3195	15,000
Passed through State Department of Human Services / Adult and Family Services Division:				
State Administrative Matching Grants for Food Stamp Program		10.561	100810/1936002309	32,372
Passed through State Department of Human Services / Health Division:				
State Administrative Matching Grants for Food Stamp Program		10.561	85087-4/85087-6	953,710
Special Supplemental Nutrition Program for Women, Infants and Children (WIC Program)	*	10.557	936002309/92598	1,997,423
Passed through State Department of Human Services / Senior & Disabled Services Division:				
Nutrition Services Incentive		10.570	85087-4	374,044
Total Department of Agriculture				4,487,854
U.S. Department of Commerce				
Direct Programs:				
Technology Opportunities		11.552		12,883
Total Department of Commerce				12,883
U.S. Department of Housing and Urban Development				
Direct Programs:				
Community Development Block Grants/Entitlement Grants		14.218		308,385
Supportive Housing Program	*	14.235		2,221,593
Passed Through City of Portland - Bureau of Community Development:				
Community Development Block Grants/Entitlement Grants		14.218	0210215	528,836
Community Development Block Grants/Entitlement Grants		14.218	33278 Exhibit D	18,269
Supportive Housing Program	*	14.235	0210215	20,140
Home Investment Partnership Program		14.239	0210215	7,792
Lead-Based Paint Hazard Control in Privately-Owned Housing		14.900	33318	48,904
Passed Through Housing Authority of Portland:				
Supportive Housing Program	*	14.235	110872	68,562
Public Housing Capital Fund (CFP)		14.872	MULTN002	99,240
Total Department of Housing nd Urban Development				3,321,721
U.S. Department of Interior				
Direct Programs:				
Distribution of Receipts to State and Local Governments		15.227		1,183,360
Total Department of Interior				1,183,360
U.S. Department of Justice				
Direct Programs:				
Sex Offender Management Discretionary Grant		16.203		67,532
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program		16.580		326,269
Local Law Enforcement Block Grants Program		16.592		466,722
Executive Office for Weed and Seed	*	16.595		237,253
Bullet Proof Vest Partnership Program		16.607		114,555
Community Prosecution and Project Safe Neighborhoods		16.609		80,727

*Indicates Major Program

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards

Year ended June 30, 2002

GRANTOR AND PROGRAM TITLE		FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Passed Through State Department of Justice:				
Title V: Delinquency Prevention Program		16.548	TV2002-04/TV2002-05	$ 103,189
Crime Victim Assistance		16.575	98-00772/99-00912	11,418
Crime Victim Assistance		16.575	00-01143/00-1410/01-1643	135,340
Passed Through State Police:				
Juvenille Accountability Incentive Block Grants (JAIBG)		16.523	00-624	907,514
Violence Against Women Formula Grants		16.588	01-759	53,619
Byrne Formula Grant Program		16.579	01-046/00-24	150,721
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program		16.580	LAO2001-85	1,681
Passed Through City of Portland:				
Grants to Encourage Arrest Policies and Enforecment of Protection Orders		16.590	011099/02286	128,608
Passed Through City of Portland/North East Coalition of Neighborhoods:				
Executive Office for Weed and Seed	*	16.595	Multnomah County	4,359
Passed Through Portland Police Bureau:				
Local Law Enforcement Block Grants Program		16.592	46-1483	142,250
Local Law Enforcement Block Grants Program		16.592	2002-LB-BX-1959	183,578
Total Department of Justice				3,115,335
Department of Labor Employment and Training Administration				
Direct Programs:				
Employment Services and Job Training- Pilot and Demonstration and Research Programs		17.249		664,494
Total Department of Labor Employment and Training Administration				664,494
U.S. Department of Transportation				
Passed Through Oregon Sheriff's Association Inc.:				
Saftey Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons		20.605	Multnomah County	30,587
Passed Through Oregon State Marine Board:				
Boating Safety Financial Assistance		20.005	1936002309001	213,970
Passed Through Atate Department of Transportation:				
Highway Planning and Construction		20.205	Multnomah County	67,068
Highway Planning and Construction		20.205	Multnomah County	920,416
National Motor Carrier Safety		20.218	936002309	139,435
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants		20.601	936002309	73,133
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants		20.601	J 8 01-12-32	6,997
Passed Through City of Portland:				
Highway Planning and Construction		20.205	Multnomah County	1,697
TOTAL DEPARTMENT OF TRANSPORTATION				1,453,303
General Service Administration				
Passed Through Oregon Department of Administrative Services:				
Donation of Federal Surplus Personal Property		39.003		442
Total General Service Administration				442
National Foundation for the Arts and Humanities				
Passed Through Oregon State Library:				
State Library Program		45.310	01-5-3.3	30,999
State Library Program		45.310	02-9-2.7	22,633
State Library Program		45.310	01-10-3.1	58,122
State Library Program		45.310	45.310	55,983
State Library Program		45.310	02-L-4	20,325
State Library Program		45.310	01-5-2.7A	82,177
State Library Program		45.310	01-5-2.7A	48,517
Total National Foundation for the Arts and Humanities				318,756

*Indicates Major Program

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards

Year ended June 30, 2002

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
U.S. Department of Energy			
Passed Through Oregon Housing and Community Services Division:			
Weatherization Assistance for Low-Income Persons	81.042	210009	$ 348,897
Total Department of Energy			348,897
U.S. Department of Emergency Management			
Direct:			
Project Impact-Building Disaster Resistant Communities	83.551		155,956
Passed Through Oregon Emergency Management			
Emergency Management Performance Grants (EMPG)	83.552	1936002309	51,250
Passed Through United Way:			
Emergency Food and Shelter National Board Program	83.523	19-7080-00/20-780-00	556,994
Total Federal Emergency Management Agency			764,200
U.S. Department of Education			
Direct Programs:			
Twenty-First Century Community Learning Centers	84.287		99,001
Passed Through Oregon Department of Human Resources:			
Safe and Drug-Free Schools and Communities: State Grants	84.186	926001	260,286
Passed Through Portalnd Public Schools:			
Safe and Drug-Free Schools and Communities:National Programs	84.184	0010703	1,240,339
Safe and Drug-Free Schools and Communities:National Programs	84.184	0011029	215,545
Total Department of Education			1,815,171
U.S. Department of Health & Human Services			
Direct Programs:			
Health Center Grants for Homeless Populations	93.151		1,307,118
Community Health Centers	93.224		3,149,793
Consolidated Knowledge Development and Application (KD&A) Program	93.230		947,864
Drug Abuse Research Programs	93.279		738,510
Centers for Disease Control and Prevention: Investigation and Technical Assistance	93.283		175,290
Early Learning Fund	93.577		174,490
Refugee and Entrant Assistance: Discretionary Grants	93.576		106,608
HIV Emergency Relief Project Grants	93.914		4,360,525
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918		766,861
Healthy Start Initiative	93.926		750,264
Special Projects of National Significance	93.928		425,971
Passed Through Oregon Department of Business Consumer Services:			
Centers for Medicare and Medicaid Services (CMS) Research Demonstrations and Evaluations	93.779	44199-20	14,500
Passed Through Oregon Commission on Children and Families:			
Promoting Safe and Stable Families	93.556	1936002309	134,456
Child Care and Development Block Grant (CCDF)	93.575	1936002309	340,951
Social Services Block Grant (SSBG)	93.667	1936002309	715,269
Passed Through Oregon Housing and Community Services Division:			
Temporary Assistance for Needy Families	93.558	210009	72,746
Low-Income Home Energy Assistance (LHEAP) *	93.568	210009	4,533,325
Community Services Block Grant (CCDF)	93.569	210009	674,724
Passed Through Oregon Health Sciences University:			
Child Care and Development Block Grant	93.575	c-2002-0025	56,295
Passed Though State Department of Human Resources:			
Special Programs for the Aging - Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect and Exploitation	93.041	85087-4	11,166
Special Programs for the Aging - Title III, Part D-Disease Prevention and Health Promotion Services	93.043	85087-4	98,991

*Indicates Major Program

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards

Year ended June 30, 2002

GRANTOR AND PROGRAM TITLE		FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Special Programs for the Aging - Title III, Part B-Grants for Supportive Services & Senior Centers		93.044	85087-4	$ 806,595
Special Programs for the Aging - Title III, Part C-Nutrition Services		93.045	85087-4	879,474
National Family Care Giver Support		93.052	85087-4	125,622
Project Grants & Cooperative Agreements for Tuberculosis Control Programs		93.116	936002309	165,453
Acquired Immunodeficiency Syndrome (AIDS) Activity		93.118	936002309	839,049
Projects for Assistance in Transition From Homelessness (PATH)		93.150	926001	231,250
Community Prevention Coalitions (Partnership) Demonstration Grant		93.194	986330	57,500
Cooperative Agreements for Drug Abuse Treatment Improvements Projects in Target Cities (Target Cities)		93.196	926001	(4,999)
Family Planning Services		93.217	936002309	310,392
Immunization Grants		93.268	936002309	918,159
Centers for Disease Control and Prevention: Investigation and Technical Assistance		93.283	936002309	289,465
Centers for Disease Control and Prevention: Investigation and Technical Assistance		93.283	101451	13,187
Temporary Assistance for Needy Families		93.558	0101ORTANF/277038	29,649
Temporary Assistance for Needy Families		93.558	926001	326,171
Child Support Enforcement		93.563	102324	1,556,964
Refugee and Entrant Assistance - Discretionary Grants		93.566	936002309	425,790
Community - Based Family Resource and Support Grants		93.590	9801ORFRPG/277038	21,291
Social Services Block Grant		93.667	936002309	21,331
Medical Assistance Program (Medicaid; Title XIX)	*	93.778	92598	342,709
Medical Assistance Program (Medicaid; Title XIX)	*	93.778	926001	1,055,433
Medical Assistance Program (Medicaid; Title XIX)	*	93.778	85087-4/85087-6	12,799,868
Medical Assistance Program (Medicaid; Title XIX)	*	93.778	043211	404,675
Medical Assistance Program (Medicaid; Title XIX)	*	93.778	111369	447,174
HIV Care Formula Grants		93.917	936002309	121,710
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs		93.919	936002309	219,856
Human Immunodeficiency Virus (HIV) Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance		93.944	936002309	79,570
Block Grants for Community Mental Health Services	*	93.958	926001	530,516
Block Grants for Prevention and Treatment of Substance Abuse		93.959	926001	1,629,294
Maternal and Child Health Services Block Grant to the States		93.994	936002309	623,293
Passed Through State of Oregon Judicial Department				
Alcohol Research Programs		93.273	0210273	31,894
Passed Through Oregon Research Institute:				
Alcohol Research Programs		93.273	RO1AA11510	260,056
Passed Through City of Portland:				
Community Prevention Coalitions (Partnership) Demonstration Grant		93.194	0210019	95,539
Passed Through Oregon Office of Drug Abuse Programs:				
Consolidated Knowledge Development and Applicationn (KD&A) Program		93.230	86-330	26,428
Passed Through University of Washington:				
AIDS Education and Training Centers		93.145	0210068/0210069	70,454
Total Department of Health and Human Services				45,306,529
Other Federal Assistance (No CFDA)				
Department of Agriculture				
Direct Programs:				
U.S. Forest Service Patrol Contract		10.01-LE-11060600-498		32,330
U.S. Forest Service Patrol Contract		10.900847		54,545
Total Department of Agriculture				86,875
U.S. Department of Energy				
Direct Programs:				
Youth Employment Project/Bonneville Power Administration		81.93BP99731		5,007
Total Department of Energy				5,007

*Indicates Major Program

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards

Year ended June 30, 2002

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Department of Veteran Affairs			
Direct Programs:			
Willamette National Cemetary	64.900167		$ 16,000
Total Department of Veteran Affairs			16,000
Total Other Federal Assistance			107,882
Total Federal Assistance			$ 62,900,827

*Indicates Major Program

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE A – GENERAL

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal awards programs of Multnomah County, Oregon (the County) for the year ended June 30, 2002. The County's reporting entity is defined in Note 1 to the County's June 30, 2002 basic financial statements.

NOTE B – BASIS OF ACCOUNTING

The accompanying Schedule of Expenditures of Federal Awards is presented using a basis of accounting which is consistent with the basic financial statements, as described in Note 1 to the County's basic financial statements.

NOTE C – RELATIONSHIP TO BASIC FINANCIAL STATEMENTS

Federal financial assistance revenues reported in the County's basic financial statements are included with operating grants and contributions.

NOTE D – NONCASH AWARDS

The accompanying Schedule of Expenditures of Federal Awards includes a noncash award from the Department of Health and Human Resources in the form of vaccines (CFDA #93.268 – Childhood Immunization Grants). The value of the Childhood Immunization Grant award was determined by the granting agency. The amount expended and advanced at June 30, 2002 is calculated on a proportionate basis.

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

NOTE E – SUBRECIPIENTS

Of the federal expenditures presented in the schedule, Multnomah County provided federal awards to subrecipients as follows:

Program Title	Federal CFDA Number	Amount Provided to Subrecipients
Community Development Block Grants/Entitlement Grants	14.218	$ 809,654
Supportive Housing Program	14.235	2,146,277
Employment Services and Job Training Pilots Demonstration and Research Programs	17.249	627,528
Executive Office for Weed & Seed	16.595	131,507
Weatherization Assistance for Low Income Persons	81.042	965
Safe and Drug Free Schools and Communities - State Grant	84.186	229,800
Twenty-First Century Community Learning Centers	84.287	94,084
Special Programs for the Aging – Title VII, Chapter 3 – Programs for Prevention of Elder Abuse, Neglect and Exploitation	93.041	3,000
Special Programs for the Aging – Title III, Part D – Disease Prevention and Health Promotion Services	93.043	87,766
Special Programs for the Aging – Title III, Part B – Grants for Supportive Services and Senior Centers	93.044	182,039
Health Center Grants for Homeless Populations	93.151	235,379
Consolidated Knowledge Development and Application Program	93.230	201,922
Community Health Centers	93.224	2,052
Drug Abuse Research Programs	93.279	78,860
Center for Disease Control and Prevention: Investigations and Technical Assistance	93.283	81,453
Low-Income Home Energy Assistance Program	93.568	241,614
Community Services Block Grant	93.569	472,000
Child Care and Development Block Grant (Discretionary Fund of the Child Care and Development Fund)	93.575	199,299
Social Services Block Grant	93.667	321,202
Medicaid Assistance Program (Medicaid, Title XIX)	93.778	481,170
HIV Emergency Relief Project Grants	93.914	3,110,876
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918	6,210
Healthy Start Initiative (Targeted Infant Mortality Initiative)	93.296	101,201
Special Projects of National Significance	93.928	53,764
Block Grant for Community Mental Health Services	93.958	745,645
Block Grants for Prevention and Treatment of Substance Abuse (SAPT)	93.959	3,640,144
Bonneville Power Administration	81.93BP99731	5,007
Total subrecipient pass through		$14,290,418

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

SECTION I – SUMMARY OF AUDITOR'S RESULTS

Financial Statements

Type of auditor's report issued:	Unqualified	
Internal control over financial reporting:		
• Material weakness(es) identified?	___yes	_x_ no
• Reportable condition(s) identified that are not considered to be material weaknesses?	___yes	_x_ none reported
Noncompliance material to financial statements noted?	___yes	_x_ no

Federal Awards

Internal control over major programs:		
• Material weakness(es) identified?	___yes	_x_ no
• Reportable condition(s) identified that are not considered to be material weaknesses?	___yes	_x_ none reported
Type of auditor's report issued on compliance for major programs:	Unqualified	
Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?	_x_ yes	___ no

Identification of major programs:

CFDA Number	Name of Federal Program
10.557	Department of Agriculture, Special Supplemental Nutrition Program for Women, Infants and Children
14.235	Department of Housing and Urban Development Supportive Housing Program
16.595	Department of Justice, Executive Office for Weed and Seed
93.568	Department of Health and Human Services, Low-Income Home Energy Assistance
93.778	Department of Health and Human Services, Medical Assistance Program Medicaid, Title XIX
93.958	Department of Health and Human Services, Block Grant for Community Mental Health Services

Dollar threshold used to distinguish between type A and type B programs:	$1,887,025	
Auditee qualified as low-risk auditee?	_x_ yes	___ no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

SECTION II – FINANCIAL STATEMENT FINDINGS

There were no financial statement findings.

SECTION III – FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

There were no federal award findings or questioned costs.

Prior year findings and corrective action plan are listed on the following pages.

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN

FINDING 01-1

Program:	CFDA #16.595 Executive Office for Weed and Seed CFDA #93.568 Low-Income Energy Assistance Program CFDA #14.235 Supportive Housing Program
Federal Agency:	Department of Justice Department of Health and Human Services Department of Housing and Urban Development
Award Year:	2000-2001
Requirement:	The office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods.
Finding:	The salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The County should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.
County Response:	Billings are now based on actual grant-related activities. The Department of Health and Human Services Low Income Energy Assistance Program. Personnel charges are for personnel working solely in the weatherization program. The HUD Supportive Housing Program only bills personnel in the administrative cost charges. The actual county administrative costs for this program are approximately 9 percent. The award allows for a 5 percent administrative charge. The grant is always charged below actual cost.

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN

FINDING 01-2

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	2000-2001
Requirement:	The grantee agrees to require that organizations which receive grant funds certify, as part of the contract, that they have appropriate hiring policies and screening procedures for employees who will be working with youth and other residents as part of the Weed and Seed strategy.
Finding:	County contracts with organizations receiving grant funds did not contain language specifically required by grant special conditions.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	Include required language in contracts as necessary.
County Response:	The contracts have been amended to include language regarding employment screening requirements.

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W www.grantthornton.com

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-2
Est. Start Time: 9:50 AM
Date Submitted: 02/03/03

Requested Date: 2/27/03 **Time Requested:** 5 minutes
Time certain 9:50 AM requested

Department: Business & Community Services **Division:** Finance

Contact/s: Mindy Harris

Phone: 503-988-3786 **Ext.:** 83786 **I/O Address:** 503/4

Presenters: Dave Boyer, Finance Director
Mindy Harris, Accounting Manager

Agenda Title: Presentation of Certificate of Achievement for Excellence in Financial Reporting Award for the Comprehensive Annual Financial Report for June 30, 2001

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

---

1. **What action are you requesting from the Board? What is the department/agency recommendation**? Presentation of the Government Finance Officers' Association Certificate of Achievement for Excellence in Financial Reporting for the year ending June 30, 2001.

2. **Please provide sufficient background information for the Board and the public to understand this issue.** Multnomah County's June 30, 2001 Comprehensive Annual Financial Report meets the national standards of excellence to receive the Certificate of Achievement for Excellence in Financial Reporting from the National Government Finance Officers Association. The Certificate of Achievement is the highest form of national recognition in governmental accounting and financial reporting. The County has

received this prestigious award for 18 consecutive years. Nationally, approximately 2,500 of 82,000 (3%) governments receive this award.
In order to earn this award, a 10 page application form listing all the criteria that the County must meet had to be completed and an impartial panel of CPA's, government finance officers and educators evaluated the report. The evaluators must unanimously determine that the report meets all criteria, and effectively and accurately communicates information about the County's financial status and activities.

3. **Explain the fiscal impact (current year and ongoing).** No fiscal impact.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** None.

5. **Explain any citizen and/or other government participation that has or will take place.** None.

Required Signatures:

Department/Agency Director: *M. Cecilia Johnson* **Date: 01/31/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

BOGSTAD Deborah L

From: HARRIS Mindy L

Sent: Wednesday, February 19, 2003 2:25 PM

To: BOGSTAD Deborah L

Subject: FW:

Hi Deb, Dave asked me to forward to you the names of all the General Ledger staff, so that Diane & the board can recognize them individually at next week's meeting. Here you go – and thanks! Mindy

Mindy Harris, Accounting Manager
Cara Fitzpatrick, General Ledger Supervisor
Michelle Barwick
Susan Luce
Jocelyn Mendoza
Tory Mitchell
Bill Moravics
Alice Street
LeeAnn Thompson

Total years of service for the group – 72.

Thanks again.

-----Original Message-----
From: BOYER Dave A
Sent: Wednesday, February 19, 2003 2:11 PM
To: HARRIS Mindy L
Cc: FITZPATRICK Cara
Subject: RE:

No problem. Excellent idea. Let Deb know
Dave B

> -----Original Message-----
> **From:** HARRIS Mindy L
> **Sent:** Wednesday, February 19, 2003 2:08 PM
> **To:** BOYER Dave A
> **Cc:** FITZPATRICK Cara
> **Subject:** FW:
>
> Hi Dave, how would you feel about asking the BCC to publicly acknowledge all staff members by name, instead of just Cara & me? It would be particularly appropriate to do so this year, this being the first year that every single member of the staff was responsible for a portion of the CAFR, and it truly was a group project for the first time.
>
> -----Original Message-----
> **From:** NAITO Lisa H
> **Sent:** Wednesday, February 19, 2003 2:00 PM
> **To:** CHAIR Mult; #ALL DISTRICT 1; #ALL DISTRICT 2; #ALL DISTRICT 3; #ALL DISTRICT 4
> **Cc:** BOYER Dave A
> **Subject:**

Greetings!

I am the Commissioner representing the Board on the Audit Committee and attended the meeting yesterday. I regret I am not able to attend the Board meeting on the February 27th and wanted to let you know my reactions to the Comprehensive Annual Financial Report for the Fiscal Year ending June 30, 2002. The Report will be presented at that Board meeting.

Certified public accountants Grant Thornton LLP gave us an unqualified opinion on our financial reports. Additionally, we received a Certificate of Achievement for Excellence in Financial Reporting for the Report for the fiscal year ending June 30, 2001. This is excellent news considering the new guidelines for financial reporting required by the Governmental Standards Board (GASB) Statement 34. Very few jurisdictions have complied with these new requirements yet.

At the Board meeting, please publicly acknowledge the hard work of Mindy Harris and Cara Fitzpatrick in helping the County achieve this high standard of financial reporting. Great job!

As usual, there were minor issues that came up during the audit expressed in a letter by Grant Thornton to the Board. These were discussed at the Audit Committee. I believe staff has plans to rectify all of these matters.

Thank you,

Lisa

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-3
Est. Start Time: 9:55 AM
Date Submitted: 02/03/03

Requested Date: 2/27/2003 **Time Requested:** 10 minutes
Time certain 9:55 AM requested

Department: Business & Community Services **Division:** Finance

Contact/s: Mindy Harris

Phone: 503 988-3786 **Ext.:** 83786 **I/O Address:** 503/4

Presenters: Dave Boyer, Mindy Harris

Agenda Title: Approval of changes to Multnomah County Deferred Compensation Plan Document

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

---

1. **What action are you requesting from the Board? What is the department/agency recommendation**? The Department of Business and Community Services recommends that the Board approve the changes to the Multnomah County Deferred Compensation Plan Document.

2. **Please provide sufficient background information for the Board and the public to understand this issue**. The Multnomah County Deferred Compensation Committee recently approved numerous changes to the Plan Document, in order to bring the Plan into compliance with the Internal Revenue Code as amended by the Economic Growth and Tax Relief Reconciliation Act of 2001. The significant changes to the Plan Document are:
   a. New eligible participant account types defined
   b. "Catch-up" contribution changes included

c. New benefits distribution language added
d. Alternate Payee language added
e. Flexibility in payments included
f. Death benefits defined
g. Rollover language added
h. Purchase of Service Credits allowed

3. **Explain the fiscal impact (current year and ongoing).** No fiscal impact.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** Adoption of all changes to the Plan Document will bring the Plan into legal compliance with Internal Revenue Code. No policy issues.

5. **Explain any citizen and/or other government participation that has or will take place.** All changes to the Plan Document have been reviewed and approved by the Multnomah County Deferred Compensation Committee, a committee of five plan participants appointed by the Board. No citizen or other government participation is appropriate.

Required Signatures:

Department/Agency Director: *M. Cecilia Johnson* **Date: 01/31/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

MULTNOMAH COUNTY, OREGON
DEFERRED COMPENSATION
INFORMATION AND PLAN DOCUMENT

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MULTNOMAH COUNTY, OREGON
DEFERRED COMPENSATION
PLAN INFORMATION

MULTNOMAH COUNTY
DEFERRED COMPENSATION COMMITTEE

Name	Title	Interoffice Address	Phone
Committee Members			
Dave Boyer	Finance Director Committee Chair	503/4/Finance	503-988-3903
Mindy Harris	Deferred Compensation Plan Administrator	503/4/Finance	503-988-3786
Kathy Short	Assistant County Attorney	503/5	503-988-3138
Calvin Smith	Union Representative	503/4/Finance	503-988-3440
Kathleen Waddell	Sheriff's Office Representative	313	503-255-3600
Finance Division Staff			
Marsha Ehlers		503/4/Finance	503-988-6959

MULTNOMAH COUNTY, OREGON
DEFERRED COMPENSATION
PROVIDER REPRESENTATIVES

ING Financial Services

Melinda Lewis
200 Market Building, Suite 1670
200 SW Market Street
Portland, OR 97201
503-937-0343
Fax 503-937-0371

Cathy Thoma
200 Market Building, Suite 1670
200 SW Market Street
Portland, OR 97201
503-937-0341
Fax 503-937-0371

Michael Maria, Regional Manager
200 Market Building, Suite 1670
200 SW Market Street
Portland, OR 97201
503-937-0379
Fax 503-937-0371

Lisa Troutman
200 Market Building, Suite 1670
200 SW Market Street
Portland, OR 97201
503-937-0357
Fax 503-937-0371

Hartford Life Insurance and Annuity Company

Bryce Anderson
8305 SW Monterey Ave., Suite 100
Portland, OR 97266
503-652-8044
1-800-928-8044
Fax 503-659-4088

Tim Moore
8305 SW Monterey Ave., Suite 100
Portland, OR 97266
503-652-8044
1-800-928-8044
Fax 503-659-4088

Michael Taylor, Regional Manager
1001 SW Fifth Ave., Suite 1205
Portland, OR 97204-1161
503-242-0968

PACE Credit Union

Betsy Dart (also representing Hartford Life)
PACE Credit Union
3010 SE Belmont
Portland, OR 97214
503-234-9851 X 107

Ray Friedmann
PACE Credit Union
3010 SE Belmont
Portland, OR 97214
503-234-9851 X 156

MULTNOMAH COUNTY, OREGON
EMPLOYEES' DEFERRED COMPENSATION PLAN
As Amended

Article I. Introduction

Multnomah County, Oregon, ("County")hereby amends the Multnomah County, Oregon Employees' Deferred Compensation Plan ("Plan") that was established February 15, 1980, pursuant to Section 457 of the Internal Revenue Code, as amended ("Code"). The purpose of the Plan is to attract and retain eligible employees by permitting them to enter into agreements with the County which will provide for the payment of deferred compensation on retirement or separation from service as well as death benefits in the event of death before or after retirement.

Nothing contained in the Plan shall be deemed to constitute an employment agreement for services between the Participant and the County nor shall it be deemed to give a Participant any right to be retained in the employ of the County. Nothing herein shall be construed to modify the terms of any employment agreement for services between a Participant and the County as this Plan is intended to be a supplement hereto.

Article II. DEFINITIONS

Section 2.01 *Administrator.* The administrator of the Plan is the person designated by the County to administer the Plan and perform fiduciary functions for the Plan on behalf of the County.

Section 2.02 *Approved Institution.* Any organization that has been approved by the Committee to provide services or Investment Product(s) to the County under the Plan. The Committee is to procure services according to Public Bid laws and County rules.

Section 2.03 *Beneficiary.* Beneficiary or Beneficiaries of certain benefits of the Plan designated by the Participant in the Participation Agreement. Nothing herein shall prevent the Participant from designating more than one Beneficiary or primary or secondary Beneficiaries or changing the designation of a Beneficiary. If two or more, or fewer than all designated Beneficiaries survive the Participant, payments shall be made equally to all such Beneficiaries, unless otherwise provided in the Beneficiary designation. Elections made by a Participant in the Participation Agreement shall be binding on any such Beneficiaries except for the right of a Beneficiary as provided in Sections 5.04 and 5.05.

Section 2.04 *Committee.* Deferred Compensation Committee appointed pursuant to Section 3.01 of this Plan.

Section 2.05 *Compensation.* The portion of total annual remuneration for employment paid by the County that is eligible for deferred compensation under Code 457.

Section 2.06 *Deferred Compensation.* The amount of Compensation not yet received, as designated in the Participation Agreement which is made a part hereof, which the Participant and the County mutually agree shall be deferred in accordance with the provision in this Plan, subject to the following limitations:

(a) Except as provided in Section 2.06(e), the maximum amount which may be deferred by a Participant in any taxable year shall not exceed the lesser of the dollar amount provided under Code Section 457(b)(2) or 100% of the Participant's includible compensation.

(b) The County allows Participants to defer accumulated vacation and compensatory time pay.

(c) A Participant who participates in the Plan and in another 457(b) plan of another employer shall be responsible for complying with the deferral limits of this Article. In the event of an excess amount, the Participant shall notify the Administrator so that the excess may be distributed as soon as practicable.

(d) In the event that the limit on deferral contributions is exceeded, the Administrator shall direct the Approved Institution as to the proper correction method permissible under applicable law, including calculation of any earnings or losses and the proper tax reporting with respect to such distributions.

(e) Catch-up Limitation: For each one of the Participant's last three taxable years ending prior to but not including the year of such Participant's Normal Retirement Age, as elected by the Participant pursuant to or otherwise defined in Section 2.13, the limitation set forth in Section 2.06(a) shall be the lesser of:

(i) the maximum allowed by the Code for catch up contributions; or

(ii) the sum of the Normal Limitation set forth in Section 2.06(a), plus so much of the Normal Limitation which has been underutilized in all prior taxable Plan Years since the plan inception date.

(iii) "Age 50 Plus Catch-Up Contribution" means the catch-up contribution for workers who attain age 50 by the end of the calendar year, as permitted under Code Section 414(v).

(f) For purposes of Section 2.06(e), a prior taxable Plan Year can be taken into account:

(i) If the Participant was eligible to participate in the Plan or any 457 plan of another employer; and

(ii) If the compensation deferred under such plan during such prior taxable years was subject to a maximum deferral limitation as required by Section 457 of the Code.

(g) The Participant is responsible for providing documentation of eligibility and participation in other 457 plans prior to utilizing the catch up provisions.

Section 2.07 *Designated Institution.* As designated by a Participant in the Participation Agreement, any Approved Institution whose Investment Product is used for purposes of measuring the benefits due that Participant pursuant to the Plan.

Section 2.08 *Eligible Employee(s).* Any individual who is an elected official of the County or who is employed by the County in a budgeted position, who performs services for the County for which compensation is paid for six continuous months and who meets the criteria set forth in Section 4.01. A non-represented employee shall become eligible after completing 30 days of continuing service. An employee who terminates, surrenders his or her account, and later starts a new period of employment must again satisfy the six months/30 day requirement. An employee who terminates, has maintained his or her account, and later starts a new period of employment or who transfers from another plan shall become eligible immediately.

Section 2.09 *Employment.* Agreement between the County and an individual who occupies a budgeted position with the County and who performs services for which compensation is paid.

Section 2.10 *Includible Compensation.* The amount of Compensation includible in the Participant's federal taxable income, reduced by amounts of Compensation deferred under this Plan pursuant to Section 457 of the Code.

Section 2.11 *Investment Product.* Any product issued by or obtained from an Approved Institution for the purpose of satisfying the County's obligations under the Plan.

Section 2.12 *Major Plan Revisions.* Major Plan Revisions shall be defined as any amendment to the Plan that impacts Participants' eligibility, contributions, benefits, or participation.

Section 2.13 *Normal Retirement Age.* The Normal Retirement Age shall be as described in Section 2.13(a) below subject to the alternative provision of 2.13(b)(i) as elected in writing by the Participant or pursuant to the automatic provision of 2.13(b)(ii):

(a) Age 70-1/2, or

(b) Any of the following as elected by the Participant at any time prior to Separation from Service or prior to the use of Catch-up Limitation provision described in Section 2.04(b) by the execution of a revised Participant Agreement.

(i) Any age which is (1) not earlier than the earliest age at which the Participant has the right to retire and receive unreduced retirement benefits under the Oregon Public Employees Retirement System (PERS) and (2) not later than the date the Participant attains age 70-1/2; or

(ii) For a Participant who continues in the service of the County after the Normal Retirement Age provided in Section 2.13(a) or after the age selected pursuant to Section 2.13(b)(i), such Normal Retirement Age may be a later age as elected by the Participant; provided however, such age may not be later than the Participant's actual date of Separation from Service with the County.

Section 2.14 *Participant.* Any individual who has executed a Participation Agreement while serving as an Eligible Employee.

Section 2.15 *Participant Account.* The total of the Participant Deferral Account, the Participant 457 Rollover Account, and the Participant Non-457 Rollover Account for each Participant under the Plan.

Section 2.16 *Participant Deferral Account.* The portion of the Participant Account established and maintained by the Administrator for each Participant with respect to his or her deferral of Compensation to the Plan, including any amounts transferred into the Plan.

Section 2.17 *Participant 457 Rollover Account.* The portion of the Participant Account established and maintained by the Administrator for each Participant with respect to Rollover Contributions rolled over from another employer's Code Section 457(b) plan.

Section 2.18 *Participant Non-457 Rollover Accounts.* The portion of the Participant Account established and maintained by the Administrator for each Participant with respect to Rollover Contributions rolled over from all rollover eligible plans other than from another employer's Code Section 457(b) plan.

Section 2.19 *Participation Agreement.* A written agreement between the County and a Participant setting forth certain provisions and elections relative to the Plan, establishing the amount of Deferred Compensation and the manner and methods of paying benefits under the Plan, incorporating the terms and conditions of the Plan and establishing the Participant's participation in the Plan.

Section 2.20 *Plan Year.* The calendar year.

Section 2.21 *Retirement.* The severance of the Participant's employment with the County on or after attainment of the Participant's Normal Retirement Age.

Section 2.22 *Separation from Service.* The severance of the Participant's employment in a budgeted position with the County for any reason.

Article III. ADMINISTRATION

Section 3.01 This Plan shall be administered by a Deferred Compensation Committee ("Committee"). The Committee shall consist of the County's Finance Director, Finance Division's Deferred Compensation Administrator, and three members, who are employees of the County appointed by the County Chair with the approval of the Board of County Commissioners. The County may remove a Committee member for any reason by giving such member ten (10) days written notice and may thereafter fill any vacancy thus created. The Committee shall represent the County in all matters concerning the administration of this Plan.

Section 3.02 The Committee shall have full power and authority to adopt rules and regulations for the administration of the Plan, provided they are consistent with the provisions of this Plan and/or Section 457 of the Code and any Treasury regulations promulgated thereunder; to interpret, alter, amend, or revoke any rules and regulations so adopted; to enter into contracts on behalf of the County with respect to this Plan; to make discretionary decisions under this Plan such as called for in Article VII; to demand satisfactory proof of the occurrence of any event that is a condition precedent to the commencement of any payment or discharge of any obligation under the Plan; and to perform any and all administrative duties under this Plan.

Section 3.03 A Committee member shall be eligible to participate in the Plan, but such person shall not be entitled to participate in discretionary decisions under Article VII relating to such person's own participation in the Plan.

Section 3.04 The Committee acting on behalf of the County may contract with an Approved Institution (a) to issue to the County an Investment product as described in Article V of the Plan or (b) to provide services under the Plan to the County including, but not limited to, the enrollment of Eligible Employees as Participants on behalf of the County, the maintenance of individual or other accounts and other records, the making of periodic reports and the disbursement of benefits to Participants and Beneficiaries. In selecting an Approved Institution, the Committee must adhere to Public Bid laws and County rules.

Section 3.05 The County will arrange for the purchase of an Investment Product and invest amounts of Deferred Compensation therein in order to provide a fund from which it can satisfy its obligation to make benefit payments pursuant to this Plan. All amounts of Compensation deferred under this Plan, all property and rights which may be purchased with such amounts and all income attributable to such amounts, property or rights shall be held in trust (or a custodial account or annuity contract described in 26 USC Section 401(f)) for the exclusive benefit of Participants and their Beneficiaries. All such amounts deferred shall not be considered to be assets of the County nor subject to the claims of the County's general creditors.

Section 3.06 The County shall be liable to pay benefits under this Plan only to the extent of amounts that would have been available under the Investment Product as measured by elections made in the Participation Agreement, and the County shall not be

responsible for the investment or performance results of such Investment Product. Furthermore, if an Investment Product is so acquired to measure benefits payable under this Plan, the value of any benefit shall be determined by the actual cash value of the Investment Product at the time of benefit payment, unaffected by any independent or arbitrary standard of calculation with respect to such Investment Product.

Article IV. PARTICIPATION IN THE PLAN

Section 4.01 Eligibility.

(a) Except as provided in Section 4.01(b) below, any Eligible Employee as defined in Section 2.08 and who executes a Participation Agreement with the County is eligible to participate in the Plan.

(b) A Participant will become ineligible upon a court order to withhold earnings for any reason, including but not limited to child support in arrears, bankruptcy, and/or garnishment with private companies. The Participant becomes eligible after such time as the court order has been satisfied and an update form or participation agreement has been received by the Finance Division.

Section 4.02 Enrollment in the Plan.

(a) An Eligible Employee may become a Participant and agree to defer Compensation not yet received by entering into a Participation Agreement not later than the close of the previous pay period.

(b) At the time of entering into or modifying the Participation Agreement hereunder to defer Compensation or at the time of re-entry following a withdrawal under Article VII, a Participant must agree to defer a minimum amount of one percent (1%) of their Deferred Compensation eligible earnings.

(c) A Participant may modify the Participation Agreement no more than 6 times per Plan year, except as provided in Article VII hereof with respect to withdrawals. Notice of such modifications must be given prior to the close of the previous pay period.

(d) A Participant may at any time revoke the Participation Agreement to defer Compensation with respect to Compensation not yet earned. The revocation is effective and the Participant's full Compensation will be restored in the pay period subsequent to the pay period such revocation is approved. Amounts previously deferred shall be paid only as provided in this Plan.

(e) A Participant who has withdrawn from the Plan, as set forth in Article VII, or has revoked the Participation Agreement, as set forth in (d), above, or who returns to perform services for the County after a Separation from Service, may again become a Participant in the Plan and agree to defer Compensation not yet received by entering into a new Participation Agreement prior to the first day of the pay period for which it is to become effective.

(f) Pursuant to procedures determined by the Committee, a Participant may request that the County change the designation of the Approved Institution currently utilized by the County for the 457 Plan.

(g) A Participant's Participation Agreement will be deemed revoked upon the Participant's death, if the date of death is prior to commencement of benefits.

Article V. DISTRIBUTION, DETERMINATION AND TRANSFERS OF BENEFITS

Section 5.01 Distributions under the Plan.

(a) A Participant Account may be paid to a Participant (or, if applicable, the Beneficiary) after one of the following events has occurred:

(i) Upon the Participant's Separation from Service;

(ii) In the event of the Participant's death;

(iii) An Unforeseeable Emergency, within the meaning of and subject to Article VII; or

(iv) The election of a small balance distribution, within the meaning of and subject to Section 5.02(b).

(b) In accordance with Section 8.02, a Participant Account that has been transferred to an alternate payee (as defined in Code Section 414(p)(8)) according to a Qualified Domestic Relations Order may be distributed to the alternate payee before the occurrence of a distributable event as described in Section 5.01(a).

Section 5.02 Form of distribution of benefits.

(a) Subject to the restrictions on choice of benefit contained in Sections 5.02(a), 5.03(c), 5.03(d), and 5.04, the options available for selection by the Participant or Beneficiary as the manner and method of payment are:

(i) Lump sum;

(ii) Periodic payments for a designated period;

(iii) Periodic payments for life;

(iv) Periodic payments for life with a guaranteed minimum number of payments;

(v) Periodic payments for life of the Participant with continuation of the payments or a percentage of the payments for the lifetime of the Participant's spouse; or

(vi) Such other option which is approved by the Code and as the County may, in its sole discretion, offer to the Participant prior to the commencement of benefits;

(b) The County shall cash out any account under $3,500 at any time after Separation from Service. Payment received will be the balance of the account less any applicable taxes.

(c) Periodic payment may be monthly, quarterly, semiannually, or annually. The amount of each payment may be fixed or may fluctuate with the performance of the Investment Product.

Section 5.03 Determination of Benefits Payable to the Participant.

(a) Upon attainment of a distributable event described in Section 5.01(a), but in no event later than the requirement to commence minimum distribution payments in accordance with Code Section 401(a)(9), a Participant may elect a benefit distribution option as permitted in Section 5.02(a) pursuant to which benefits will be paid. In the event that a Participant does not make an election of benefit distribution, any benefits payable to the Participant will be made according to the minimum distributions regulations in Code Section 401(a)(9).

(b) The County permits a Participant, former Participant or Beneficiary who made an irrevocable election pursuant to Code Section 457 (e)(9) prior to the time such benefits first became payable or otherwise made available, to change their benefit election up to one time per calendar year quarter, in accordance with procedures established by the County, to the extent permitted under the Investment Product and applicable law.

(c) Minimum distribution payments under Code Section 401(a)(9) must begin by April 1 of the year following the later of:

(i) The year in which the Participant attains the age 70 ½ , or

(ii) The year in which the Participant retires from employment with the County.

Section 5.04 Determination of Benefits Upon Death.

(a) Death benefits payable to a Beneficiary shall be made in a form as selected by the Beneficiary in Section 5.02 above. In the event that a Beneficiary does not make an election to the form of death benefit payments, such Beneficiary's death benefit will be paid as determined by the Administrator.

(b) Notwithstanding any provision in the Plan to the contrary, distributions upon the death of a Participant shall be made in accordance with the following requirements and shall otherwise comply with Code Section 401(a)(9) and the regulations thereunder, including the minimum distribution incidental benefit rules.

(c) If minimum payments under Code Section 401(a)(9) have not begun upon the death of a Participant and the designated Beneficiary is not the Participant's surviving spouse, death benefit payments must, in accordance with the designated Beneficiary's election or, if an election is not made, in accordance with the relevant provisions in the Plan:

(i) Begin to be distributed to the designated Beneficiary no later than December 31 of the calendar year immediately following the calendar year of the Participant's death payable over a period not to exceed the life expectancy of the Beneficiary; or

(ii) Be distributed no later than December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(d) If the designated Beneficiary is the Participant's surviving spouse and minimum payments under Code Section 401(a)(9) have not begun upon the death of a Participant, minimum payments to the surviving spouse must begin by the later of the:

(i) December 31 of the calendar year immediately following the calendar year in which the Participant dies, or

(ii) December 31 of the calendar year in which the Participant would have attained age 70 ½ .

The payments to the surviving spouse must be made over a period not to exceed the surviving spouse's life expectancy. However, a surviving spouse may elect to receive the death benefit payments no later than December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(e) If minimum payments under Code Section 401(a)(9) have begun prior to the death of the Participant, the remaining portion of the Participant Account shall be distributed to the Beneficiary(ies) at least as rapidly as under the method of distribution in effect prior to the death of the Participant.

Section 5.05 Distribution for Minor Beneficiary.

In the event a distribution is made to a minor, then the Administrator may direct that such distribution be paid to the legal guardian, or if none, to a parent of such Beneficiary or a responsible adult with whom the Beneficiary maintains his residence, or to the custodian for such Beneficiary under the Uniform Gift [Transfers] to Minors Act, if permitted by the laws of the state in which the Beneficiary resides. Such a payment to the legal guardian, custodian or parent of a minor Beneficiary shall fully discharge the Approved Institution, and other providers of the Plan, Administrator, County, and Plan from further liability on account thereof.

Section 5.06 Location of Participant or Beneficiary Unknown.

In the event that all, or any portion, of the distribution payable to a Participant or his Beneficiary hereunder shall, at the Participant's Separation from Service, remain unpaid solely by reason of the inability of the Administrator, after sending a registered letter, return receipt requested, to the last known address, and after further diligent effort, to ascertain the whereabouts of such Participant or his Beneficiary, the

amount so distributable shall be treated as a forfeiture pursuant to the Plan and maintained in a forfeiture account, with investment direction provided by the Administrator, under the Plan. In the event a Participant or Beneficiary is located subsequent to his benefit being held in such account, such benefit shall be restored, including any applicable interest, and paid to the Participant, or (if applicable) Beneficiary, in accordance with Article V of this Plan.

Section 5.07 Rollovers from the Plan.

(a) Notwithstanding any provision of the Plan to the contrary, a Participant shall be permitted to elect to have any "eligible rollover distribution" rolled directly to an "eligible retirement plan" specified by the Participant. The Participant shall, in the time and manner prescribed by the Administrator, specify the amount to be rolled over and the "eligible retirement plan" to receive the rollover. Any portion of a distribution which is not rolled over shall be distributed to the Participant.

(b) For purposes of this Section, the term "eligible rollover distribution" means any distribution of amounts other than in a distribution form of substantially equal periodic payments over life or life expectancy of the Participant (or joint life or joint life expectancies of the Participant and the designated Beneficiary) or a distribution over a period certain of ten years or more. Amounts required to be distributed under Code Section 401(a)(9) are not eligible rollover distributions.

(c) For purposes of this Section, the term "eligible retirement plan" means any other Code Section 457(b) plan maintained by an employer which satisfies the definition of Code Section 457(e)(1)(A), a Code Section 403(b) program, a Code Section 401(a) plan, and individual retirement account as described in Code Section 408(a), and an individual retirement annuity as described in Code Section 408(b).

(d) The election described in Section 5.07(a) also applies to the surviving spouse in accordance with Code Section 401(a)(9) after a Participant's death or a spouse or former spouse who is the alternate payee under a qualified domestic relations order as defined in Code Section 414(p).

Section 5.08 Purchasing Service Credits Under a State or Local Retirement System.

A Participant may direct the Administrator to transfer amounts under his Participant Account tax-free under the Plan in accordance with Code Section 457(e)(17) to the fiduciary of a state or local retirement system in order to enable the Participant to purchase years of service credits under the system or repay amounts previously cashed out under the system even if the Participant is not eligible for a distribution under Section 5.01. The Administrator shall take such reasonable measures as required to ensure that the intended recipient plan will accept such transferred amounts.

Section 5.09 Transfers to other Code Section 457(b) Plans upon Separation from Service.

If the Participant separates from service to accept employment with or perform services for another employer which satisfies the definition of Code Section 457(e)(1)(A) and which maintains a Code Section 457(b) plan, the amounts deferred under the Plan shall, at the Participant's election, be transferred to such other Code Section 457(b) plan, provided:

(a) The Code Section 457(b) plan to which the Participant's benefit is being transferred provides for the acceptance of such amounts, and

(b) The Participant has a benefit amount immediately after the transfer which at least equals the amount under the Plan immediately before the transfer.

Article VI. TRANSFERS FROM OTHER CODE SECTION 457(b) PLANS

The County accepts transfers of amounts previously deferred under another Code Section 457(b) Plan maintained by another employer which satisfies the definition of Code Section 457(e)(1)(A).

Section 6.01 Rollovers to the Plan.

(a) Amounts that are considered "eligible rollover distributions" in accordance with Code Section 402(c)(4) may be rolled over by a Participant from an "eligible retirement plan." The amounts rolled over from an eligible retirement plan other than a Code Section 457(b) plan maintained by an employer which satisfies the definition of Code Section 457(e)(1)(A) shall be allocated to the Participant Non-457 Rollover Account. The amounts rolled over from another Code Section 457(b) plan maintained by an employer which satisfies the definition of Code Section 457(e)(1)(A) shall be allocated to the Participant 457 Rollover Account. Amounts in the Participant Non-457 Rollover Account shall be accounted for separately from the amounts in the Participant 457 Rollover Account.

(b) For purposes of this Section, the term "eligible retirement plan" shall mean any other Code Section 457(b) plan maintained by an employer which satisfies the definition of Code Section 457(e)(1)(A), a Code Section 403(b) program, a Code Section 401(a) plan, an individual retirement account as described in Code Section 408(a), and an individual retirement annuity as described in Code Section 408(b). For purposes of this Section, the term "amounts rolled over from an eligible retirement plan" means:

(i) Amounts rolled to the Plan directly from another eligible retirement plan;

(ii) Distributions received from an Eligible Individual from another eligible retirement plan that are eligible for tax deferred rollover to a Code Section 457(b) plan maintained by an employer which satisfies the definition of Code Section 457(e)(1)(A) and that are rolled over by the Eligible Individual to the Plan within sixty (60) days, following his receipt thereof; or

(iii) Amounts rolled over to the Plan under Sections 6.01(b)(i) and (ii) by an Eligible Individual who is also a surviving spouse in accordance with Code Section 401(a)(9) or a spouse or former spouse who is the alternate payee as defined in Code Section 414(p).

Article VII. WITHDRAWALS

Section 7.01 Unforeseeable Emergency Withdrawals.

(a) In the case of an unforeseeable emergency prior or subsequent to the commencement of benefit payments, a Participant may apply to the Committee for withdrawal of an account reasonably necessary to satisfy the emergency need. If such application for withdrawal is approved by the Committee the withdrawal will be effective at the later of the date specified in the Participant's application or the date of approval by the Committee. The approved amount shall be payable in a lump sum less all applicable taxes within thirty (30) days of such effective date or in some other manner consistent with the emergency need as determined by the Committee.

(b) For the purposes of this Plan, the term "unforeseeable emergency" means a severe financial hardship to the Participant resulting from a sudden and unexpected illness or accident of the Participant or of a dependent (as defined in Section 152(a) of the Code) of the Participant, loss of the Participant's property due to casualty or other similar extraordinary and unforeseeable circumstances arising as a result of events beyond the control of the Participant. Withdrawals for foreseeable expenditures normally budgetable, such as a down payment on a home, payment of credit debit, payment of income taxes, purchase of or maintenance costs for an auto, or college expenses, will not be permitted. The Committee shall not permit withdrawal for unforeseeable emergency to the extent that such hardship is or may be relieved:

(i) Through reimbursement of compensation by insurance or otherwise;

(ii) By liquidation of the Participant's assets, to the extent that liquidation of such assets would not itself cause severe financial hardship; or

(iii) By cessation of deferrals under the plan.

(c) The Participant shall apply for withdrawal under procedures fixed by the Committee and by using the approved hardship withdrawal request form. The Committee may require a minimum advance notice and may limit the frequency of emergency withdrawals.

(d) The Committee shall require a Participant to produce comprehensive supporting documentation of the emergency including but not limited to, tax returns, bank statements, hospital bills, and other financial statements as requested.

(e) In no event shall the amount of a withdrawal for unforeseeable emergency exceed the amount of benefits which would have been available to the Participant at the time of withdrawal. Notwithstanding any other provision of this Plan, if a Participant makes a withdrawal hereunder, the value of benefits under the Plan

shall be appropriately reduced to reflect such withdrawal, and the remainder of any benefits shall be payable in accordance with applicable provisions of the Plan.

(f) Participation in the Plan may be suspended for up to 12 months after the date of the hardship withdrawal.

Section 7.02 Other Withdrawals.

(a) A Participant may request withdrawal of all benefits if all of the following conditions are met:

(i) The amount of benefits at the time of withdrawal does not exceed the maximum allowed by the Internal Revenue Code for de minimis withdrawals; and

(ii) The Participant has made no contributions to the Plan for the two year period prior to withdrawal; and

(iii) There have been no prior withdrawals under this option for the Participant.

(b) A Participant may re-enroll in the Plan after a period of twelve (12) months from the date of such distribution.

Article VIII. ASSIGNABILITY CLAUSE

Section 8.01

Except as provided in Section 8.02, neither the Participant nor any other person shall have any right to commute, sell, assign, pledge, transfer or otherwise convey or encumber the right to receive any payments hereunder, which payments and rights thereto are expressly declared to be unassignable and non-transferrable. Nor shall any unpaid benefits be subject to attachment, garnishment or execution for the payment of any debts, judgments, alimony or separate maintenance owned by the Participant or any other person or be transferable by operation by law in the event of bankruptcy or insolvency of the Participant or any other person.

Section 8.02

In accordance with Code Section 414(p)(1) as modified by Code Section 414(p)(11), a Participant's benefit may be the subject of a domestic relations order between the Participant and the alternate payee (as defined in Code Section 414(p)(8)) if the order is determined to be a Qualified Domestic Relations Order. The Administrator shall adopt reasonable procedures to determine the qualified status of domestic relations orders and to administer the distributions thereunder. Distributions may be made immediately to an alternate payee pursuant to a qualified domestic relation order before the date on which the Participant attains the earliest retirement age as defined in Code Section 414(p)(4)(B), or before the occurrence of a distributable event as described in Section 5.01(a)..

Article IX. AMENDMENT OR TERMINATION OF PLAN

Section 9.01

The County may terminate this Plan effective the first day of any month after notice to the Participants. Upon termination the following shall apply except as provided in Sections 9.02 and 9.03:

(a) Amounts deferred through the last month before the effective date of the termination shall remain deferred and be credited to the accounts in accordance with the Plan.

(b) Deferral elections shall terminate as of the effective date of termination and no further deferrals shall be allowed.

(c) Amounts in an account shall remain to the credit of the account, shall continue to be adjusted for investment results and shall be paid out in accordance with this Plan, which shall continue for that purpose.

Section 9.02

The County may elect any time after termination under Section 9.01 to distribute all accounts to Participants as follows:

(a) The first day of the second month after notice of the election to distribute shall be the payment date for all Participants whose regular payment date is not earlier than that date.

(b) Distribution may be made in accordance with the payment options selected by the Participants under Section 5.02.

Section 9.03

If the Internal Revenue Service rules that any amounts deferred under this Plan will be subject to current income tax all amounts to which the ruling is applicable shall be paid as soon as practicable to the Participants. The Participant is responsible for any tax liability.

Section 9.04

The Plan may be amended as follows:

(a) The Committee is authorized to amend the Plan if it determines that amendments are necessary to comply with Code 457 and would not do any of the following:

(i) Affect investment options available to Participants.

(ii) Affect compliance of the Plan with the Internal Revenue Code.

(iii) Expose the County to potential liability.

(b) An amendment shall be effective the first day of any month by notice to the Participants. An amendment may be retroactive except that the right of employees to defer compensation may not be reduced for any period before the first of the month after the notice is given. The Committee shall communicate to the County within six months any amendment it adopts.

(c) The Committee shall request approval by the Multnomah County Board of County Commissioners of any Plan amendments that the Committee deems to be Major Plan Revisions under the definition in Section 2.12.

Article X. CLAIMS PROCEDURE

Section 10.01

A person claiming a benefit, requesting an interpretation or ruling under this Plan, or requesting information under the Plan shall present the request in writing to the Committee, which shall respond in writing as soon as practicable.

Section 10.02

If the claim or request is approved, the notification of the approval shall be in writing. If the claim or request is denied, the written notice of denial shall state:

(a) The reasons for denial, with specific reference to the Plan provisions on which the denial is based.

(b) If applicable, a description of any additional material or information required and an explanation of why it is necessary.

Section 10.03

Any person whose claim or request is denied or who has not received a response within 30 days may request review by notice in writing to the Committee. The original decision shall be reviewed by the Committee which may, but shall not be required to, grant the claimant a hearing. On review, whether or not there is a hearing, the claimant may have representation, examine pertinent documents and submit issues and comments in writing.

Section 10.04

The decision on review shall ordinarily be made within 60 days. If an extension of time is required for a hearing or other special circumstances, the claimant shall be so notified and the time limit shall be 120 days. The decision shall be in writing and shall state the reasons and the relevant Plan provisions. All decisions on review shall be final and bind all parties concerned.

Article XI. GENERAL PROVISIONS

Section 11.01

Any notice under this Plan shall be in writing and shall be effective when actually delivered to the County at the appropriate County office or to a Participant or Beneficiary at the last address shown in the records of the County or the Committee. Notices to the Committee shall be sent to the County's address.

Section 11.02

Except for the limited provisions for death benefits, no interest of a Participant or any Beneficiary or representative of a Participant may be directly or indirectly transferred, encumbered, seized by legal process or in any other way subjected to the claims of any creditor. Subject to this limitation, this Plan shall inure to the benefit of and be binding on all Participants and their Beneficiaries, successors and personal representatives.

Section 11.03

Following separation of service, payments under this Plan shall not constitute employment by the County for any purpose.

Section 11.04

The Committee may decide that because of the mental or physical condition of a Participant or Beneficiary entitled to payments, or because of other relevant factors, it is in the Participant's or Beneficiary's best interest to make payments to others for the benefit of the person entitled to payment. In that event the Committee may in its discretion direct that payments be made as follows:

(a) To a parent or spouse or a child of legal age;

(b) To a legal guardian; or

(c) To one furnishing maintenance, support, or hospitalization.

Section 11.05

This Plan shall be construed under the laws of the State of Oregon.

Section 11.06

This Plan shall not give any person the right of continued employment with the County.

Section 11.07

The County and the Committee shall have no liability for action taken in good faith under this Plan. The County shall indemnify and defend any Committee member from any claim or liability that:

(a) Arises from any action or inaction in the administration of this Plan absent willful misconduct or bad faith; and

(b) Is not covered by insurance.

Section 11.08

The Committee shall determine the costs of administration of this Plan for deferrals, investments, distributions and other matters. The County may elect to pay any or all costs or may require the Approved Institutions to reimburse the County for administration costs. Otherwise the costs shall be deducted ratably by the Committee from amounts deferred by all Participants.

Section 11.09

The Deferred Compensation Plan and Agreement is to comply with and be administered in a manner consistent with Section 457, as amended, of the Internal Revenue Code, and any Treasury regulations issued thereafter.

Article XII. EFFECTIVE DATE

This Plan was effective February 15, 1980. The Plan was adopted June 5, 1980 by resolution of the Board of County Commissioners; amended July 16, 1981, November 7, 1985, June 30, 1987, March 8, 1989, March 26, 1990, October 31, 1990, October 11, 1991, February 27, 1996, November 19, 1996, May 28, 1997, April 10, 1998, April 24, 2001, and December 23, 2002.

APPLICABLE LAW

The Plan shall be construed under the laws of the State of Oregon.

This Plan is assigned and attested by its duly authorized officers on the 23rd day of December, 2002.

Deferred Compensation Committee:

_____
David A. Boyer, CCM, Committee Chair

_____
Mindy Harris, Plan Administrator

_____
Kathleen Waddell, Sheriff's Office Representative

_____
Kathryn Short, Assistant County Attorney

_____
Calvin Smith, Local 88 Representative

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:	
Meeting Date:	**February 27, 2003**
Agenda Item #:	**R-4**
Est. Start Time:	**10:05 AM**
Date Submitted:	**02/03/03**

Requested Date: 2/27/2003 **Time Requested:** 5 minutes
Time certain 10:05 requested

Department: Business & Community Services **Division:** Finance

Contact/s: Mindy Harris

Phone: 503 988-3786 **Ext.:** 83786 **I/O Address:** 503/4

Presenters: Dave Boyer, Mindy Harris

Agenda Title: Presentation of 2002 Leadership Recognition Award for the Multnomah County Deferred Compensation Plan, from the National Association of Government Defined Contribution Administrators

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation**? Presentation of Multnomah County Deferred Compensation Plan Leadership Recognition Award.

2. **Please provide sufficient background information for the Board and the public to understand this issue**. The Multnomah County Deferred Compensation Committee and the County's three investment providers have developed an educational program for employees to educate and assist them in saving for retirement. This award recognizes the educational program for outstanding achievement in the field of government defined contribution administration.

3. **Explain the fiscal impact (current year and ongoing)**. No fiscal impact.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** No legal or policy issues.

5. **Explain any citizen and/or other government participation that has or will take place.** No citizen or other government participation is appropriate.

Required Signatures:

Department/Agency Director: *M. Cecilia Johnson* **Date: 01/31/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

AGENDA PLACEMENT REQUEST

Board Clerk Use Only:
Meeting Date: February 27, 2003

Bud Mod #:

Agenda Item #: R-5

Estimated Start Time: 9:55 AM

Date Submitted: 02/18/03

Requested Date: February 27, 2003

Time Requested: 5 minutes

Department: Sheriff

Division: Executive Office

Contact/s: Lt. Bruce McCain

Phone: 503-988-4325 **Ext.:** 84325 **I/O Address:** 503/350

Presenters: Lt. Bruce McCain; Sheriff Bernie Giusto

Agenda Title: NOTICE OF INTENT to Request Grant Funding from the Transportation Security Administration for Enhanced Facility and Operational Security for Marine Terminals and Waterways in Multnomah County.

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

Please answer all relevant questions; leave others blank. Please do not alter form.

1. What action are you requesting from the Board? What is the department/agency recommendation?

Authorize the Sheriff to seek grant funding from the Transportation Security Administration.

2. Please provide sufficient background information for the Board and the public to understand this issue.

The Transportation Security Administration (TSA) is planning to award competitive Port Security Grants to critical national seaports/terminal to support efforts for Port Security in two categories: 1) Security Assessments and Mitigation Strategies; 2) Enhanced Facility and Operational Security. TSA is coordinating with the Maritime Administration (MARAD) and the U.S. Coast Guard (USCG) in this effort.

Several criteria define a port as a "critical seaport/terminal," including nationally important economic ports or terminals responsible for a large volume of cargo movement or movement of products that are vital to U.S. economic interests as required by national security. The Portland maritime area meets these criteria.

The Port of Portland alone operates four major maritime terminals on the Willamette and Columbia rivers. The Port's maritime operation is the second largest exporter of wheat in the United States. The Columbia River is the third largest grain exporting center in the world. In addition, the Port of Portland is the eighth largest U.S. Port in terms of total tonnage, the

fourteenth largest container port, and the number one auto port on the West Coast, handling the third highest volume of autos in the country. The Port's volume and type of cargo movement clearly established Portland as a critical seaport vital to U.S. economic interests.

In addition to the Port of Portland's facilities, there are also several large petroleum "tank farms" along the west bank of the Willamette River and several private industrial sites with direct access to and from the river. Each and all sites are vital to the local and national economy and present potential targets to adversaries seeking to harm and disrupt U.S. interests.

The Multnomah County Sheriff's Office (MCSO) is responsible for law enforcement on the river waterways in Multnomah County. The MCSO River Patrol Unit provides a variety of services to the community, including: law enforcement, emergency medical and boater assistance, boating/water safety and education, boat safety inspections/boardings and fire fighting on almost 100 miles of river on the Willamette, Columbia and Sandy Rivers. MCSO's River Patrol also provides security around the fifteen bridges span the Willamette and Columbia rivers, and patrol up to the Bonneville Dam near Hood River.

However, in the post-9/11 world, MCSO's River Patrol has taken on an increasing role in providing additional port security, working in partnership with the USCG, U.S. Customs and other agencies to ensure the safety and security of the many miles of shoreline in our major metropolitan area. The proposed project seeks funds to assist MCSO River Patrol in its emerging role as a leading provider of security to a nationally vital maritime port.

3. Explain the fiscal impact (current year and ongoing).

The proposed project will be funded with 100% federal grant money on a one-time basis. If awarded, the Sheriff's Office will receive $825,000 to purchase three boats and boathouses. A budget modification will be submitted at the time the grant is awarded.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**

Transportation Security Administration (TSA)

❖ **Specify grant requirements and goals.**

The grant does not provide for personnel costs or money to supplant current operations. However, MCSO's current fleet and facilities are not capable of providing the level of service anticipated in the coming months and years. MCSO is seeking funding for three new 32-foot aluminum all-weather boats, and construction of three boathouses to house each vessel.

Grant application is performed entirely on-line. First requirement is establishing that applicant is eligible as "Critical National Seaport/Terminal." Description of port vulnerabilities is required. That data will be provided from a port security assessment performed in 2002. Applicant must demonstrate how request will affect vulnerabilities.

All applications are initially screened at the local field level by the USCG Captain of the Port. If the application meets eligibility requirements, it is forwarded to the national level where it is evaluated against objective criteria.

❖ **Explain grant funding detail – is this a one time only or long term commitment?**

One time only request for capital purchase of boats and construction of boathouses.

❖ **What are the estimated filing timelines?**

The entire process is on a "fast-track." Only online submissions are permitted. Any document mailed or submitted otherwise will be discarded. Funding was appropriated by Congress in January, filing deadline is February 27, 2003, with funding expected in May, 2003.

❖ **If a grant, what period does the grant cover?**

One year from funding date.

❖ **When the grant expires, what are funding plans?**

Because this is a request for one-time only money, ongoing costs to county are costs to operate and maintain equipment.

❖ **How will the county indirect and departmental overhead costs be covered?**

There is no requirement for any local match, although local match will be considered as one factor in evaluating application. In-kind match such as staff, fuel, maintenance, etc. will be included in the grant application. MCSO has existing staff to monitor and administer the grant.

4. Explain any legal and/or policy issues involved.

None noted.

5. Explain any citizen and/or other government participation that has or will take place.

Although not required, and might not be acknowledged by TSA, the Sheriff has secured a commitment of support for the project from:

- Senator Gordon Smith
- Senator Ron Wyden
- Rep. Earl Blumenauer

Required Sign Off (NOTE: electronic check indicates approval)

Department/Agency Director ☒ Sheriff Bernie Giusto (type name of approver)

Agenda Review Team ☒ By: Karyne Dargan (type name of approver) Date: 02/18/03

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: **February 27, 2003**
Agenda Item #: **R-6**
Est. Start Time: **10:00 AM**
Date Submitted: **02/18/03**

Requested Date: February 27, 2003

Time Requested: 10 Minutes

Department: Sheriff's Office

Division: Business Services

Contact/s: Larry Aab

Phone: (503) 988-4489 **Ext.:** 84489 **I/O Address:** 503/350

Presenters: Joyce Griffin

Agenda Title: Resolution Establishing Fees and Charges for Chapter 15, Sheriff, of the Multnomah County Code and Repealing Resolution No. 99-105

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

---

1. **What action are you requesting from the Board? What is the department/agency recommendation**? Request Board approval of resolution increasing fees pertaining to processing towed vehicle release authorization form. Approval will also repeal Resolution 99-105 and substitute it with the one currently before the Board.

2. **Please provide sufficient background information for the Board and the public to understand this issue**. Multnomah County collects various administrative fees for a variety of records keeping and other miscellaneous duties. This resolution will increase the administrative fee to recover actual costs for processing each towed vehicle release authorization form to $35.00.

3. **Explain the fiscal impact (current year and ongoing)**. It is estimated that this fee will produce about $15,000 in new revenue for the county. This estimate is based on an annualized amount. Revenues for the current fiscal year should be approximately $5,000

- $7,000. The Sheriff's Office does not intend to request the revenue for the remainder of FY 03, but will include it in its budgeted revenue for 04.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** None known

5. **Explain any citizen and/or other government participation that has or will take place.** Not Applicable

Required Signatures:

Department/Agency Director: *Bernie Giusto, Sheriff* **Date: 02/18/03**

Budget Analyst
By: **Date:**

Dept/Countywide HR
By: **Date:**

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 03-027

Establishing Fees and Charges For Chapter 15, Sheriff, of the Multnomah County Code and Repealing Resolution No. 99-105

The Board of County Commissioners Finds:

a. Chapter 15, Sheriff, of the Multnomah County Code provides that the Board shall establish certain fees and charges by resolution.

b. On May 27, 1999, by Resolution 99-105, the Board established fees and charges for MCC Chapter 15, Sheriff.

c. The Board wishes to add an administrative fee to recover actual costs for processing each towed vehicle release authorization form.

d. All other fees and charges established by Resolution 99-105 remain the same.

The Multnomah County Board Of Commissioners Resolves:

1. The fees and charges for Chapter 15, Sheriff, of the Multnomah County Code are set as follows:

Section 15.002. Fees of Multnomah County Sheriff's Office:

(A)	Record copy request—may include agency reports, accident reports, law enforcement reports, law enforcement deputy file/notebook entries, administrative documents (e.g. memoranda, special orders, etc.) booking records, visitors cards, etc.:	$ 10.00
	(1) Additional pages:	$ 2.00 per page
	(2) If the record is on file, the fee charged under section (A) includes a copy of a document five or fewer pages in length.	
	(3) The fee charged under section (A) is not refundable, even though the record requested is not on file or otherwise cannot be located.	
	(4) The sheriff will not charge the fee as provided in section (A) to an alleged victim of a crime listed on a law enforcement record	
(B)	Archive Record Request	$13.00 per ½ hour search time
(C)	Standard File Search:	$35.00 per search
(D)	Custom File Search: Actual costs for programming and computer usage time.	

(E)	Administrative Procedures Manual Publications:	$50.00 per subscription $25.00 for annual updates
(F)	Filing letter of subrogation:	$10.00
(G)	Photograph reprinting, per incident/accident:	
	First 4x5 print:	$ 8.50
	Each additional print:	$ 0.50
	Other sizes:	$ 8.00 per request plus actual cost
(H)	Notary services (see ORS 194.164(1) and OAR 160-100-400):	
	(1) Taking an acknowledgment	$ 5.00
	(2) Taking a verification upon an oath or affirmation	$ 5.00
	(3) Certifying a copy of a document:	$ 5.00
	(4) Witnessing or attesting a signature:	$ 5.00
	(5) Protesting commercial paper, except a check drawn on an insolvent financial institution	$ 5.00
	(6) Administering an oath or affirmation, without signature:	$ 1.00
	(7) Taking a deposition, each page	$ 1.00
	(8)All other notarial acts not specified in subsections (1) through (7) above:	$ 1.00
(I)	Vehicle Towing	
	(1) Tow charge reimbursement:	Cost of Tow
	(2) Administrative fee for processing each towed vehicle release authorization form:	$ 35.00
(J)	Video Imaging reproduction:	
	(1) Color image	$ 8.50
	(2) Black and white image	$ 6.00
(K)	Video tape reproduction	$ 45.00
(L)	Audio tape reproduction	$ 45.00
(M)	Explosives permit approval	$ 15.00
(N)	Firearms licenses (see ORS 166.291(5))	
	(1) Concealed handgun license – new:	$ 65.00
	(2) Concealed handgun license-duplicate or change of address	$ 15.00
	(3) Concealed handgun license-renewal:	$ 50.00
	(4) Safety education course	$ 20.00
	(5) Dealer fee for background check:	$ 15.00
	(6) The fee required under subsection (5) above shall be the obligation of the firearm dealer and shall be charged with respect to requests for background checks received by the sheriff on or after the effective date of Ordinance No. 646. The sheriff shall pursue all appropriate legal remedies upon a failure of a dealer to submit a required fee, but shall not refuse to conduct a background check for that reason. The fee shall be annually reviewed by the sheriff, who shall report to the board on the sufficiency of the fee to cover the costs of conducting the required checks.	

(O) Civil Process fee (see ORS 21.410; 23.360(2))	
(1) Service upon judgment debtor of court order authorizing sale of residence or property homestead:	$ 20.00
(2) Mailing letter of intent to sell, levying on real property, preparing notice of judicial sale, submit notice to publication and mailing notice to judgment debtor and others as requested:	$131.00
(3) Seizure and sale of personal property	$130.00
(4) Service of notice process:	$ 25.00
(5) Enforcement of other writs:	$ 47.00
(6) Service of provisional process order:	$ 47.00
(7) Processing distraint warrant:	$ 6.25
(8) Eviction:	$ 47.00
(9) Delivery of writ of garnishment:	$ 9.50
(10) Posting premises levied upon with notice of sale:	$ 20.00
(11) Publication of Notice of Sale:	At current publication rate
(12) Mailing of presale notice:	At current postage rate
(13) Posting of after-sale notice:	$ 5.00
(14) Mailing of after-sale notice to judgment debtor:	$ 4.25

Section 15.105. Application for License (towing services).	
Towing – application fee:	$ 45.00
Section 15.106. Proof of Insurance Required	
Personal injury/one person	$100,000.00
Personal injury/one accident or incident	$300,000.00
Property damage	$100,000.00
Cargo	$ 7,500.00
Section 15.110. Denial or Revocation of License.	
Towing--reapplication fee	$ 35.00
Section 15.111. Renewal of License.	
Renewal fee per towing vehicle:	$ 45.00
Section 15.112. Notification of Change of Circumstances.	
Towing – inspection fee	$ 25.00
Section 15.201. Applications. (Wrecker)	$ 15.00
Section 15.306. Secondhand Dealer Permit Fees:	
Occasional:	$ 25.00
Occasional – renewal:	$ 25.00
All others:	$150.00
All others – renewal:	$ 75.00
Temporary	Free
Section 15.401. Liquor license fees:	
Original application:	$100.00
Change in ownership/location/privilege:	$ 75.00
Renewal	$ 50.00

Section 15.703. Alarm permit fees:

New robbery or burglary permit	$ 12.00
Failure to obtain permit within 30 days of system becoming operative, or delinquency in renewing permit	$ 25.00

Section 15.704. Excessive false alarms, fines:

2nd and 3rd false alarms, each:	$ 50.00
4th and any additional, each:	$100.00
Late fee (30 days delinquent)	$ 25.00

Section 15.755. Denial or revocation of license (Adult Entertainment):

Reinstatement application fee for revoked license: $ 35.00

Section 15.757. License Fees and Renewal (Adult Entertainment):

Adult bookstore or adult theater annual license fee: $180.00

Section 15.856. Disposition of Vehicle (Off-Road Vehicles):

Disposition by court $ 50.00

2. Resolution No. 99-105 is repealed, and this resolution takes effect on March 17, 2003.

ADOPTED this 27th day of February, 2003.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
Jacqueline A. Weber, Assistant County Attorney

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: **February 27, 2003**
Agenda Item #: **R-7**
Est. Start Time: **10:00 AM**
Date Submitted: **02/19/03**

Requested Date: February 27, 2003 **Time Requested:** 45 minutes

Department: Non Departmental **Division:** Auditor

Contact/s: Judy Rosenberger

Phone: 503/988-3320 **Ext.:** 83320 **I/O Address:** 503/601

Presenters: Suzanne Flynn

Agenda Title: Service Efforts and Accomplishments: Social and Health Services FY2002

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

---

1. **What action are you requesting from the Board? What is the department/agency recommendation?**

2. **Please provide sufficient background information for the Board and the public to understand this issue.**

3. **Explain the fiscal impact (current year and ongoing).**

   NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

   If a budget modification, explain:
   - **What revenue is being changed and why?**
   - **What budgets are increased/decreased?**
   - **What do the changes accomplish?**

- ❖ Do any personnel actions result from this budget modification? Explain.
- ❖ Is the revenue one-time-only in nature?
- ❖ If a grant, what period does the grant cover?
- ❖ When the grant expires, what are funding plans?

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- ❖ Why was the expenditure not included in the annual budget process?
- ❖ What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?
- ❖ Why are no other department/agency fund sources available?
- ❖ Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.
- ❖ Has this request been made before? When? What was the outcome?

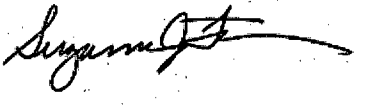
If grant application/notice of intent, explain:

- ❖ Who is the granting agency?
- ❖ Specify grant requirements and goals.
- ❖ Explain grant funding detail – is this a one time only or long term commitment?
- ❖ What are the estimated filing timelines?
- ❖ If a grant, what period doest the grant cover?
- ❖ When the grant expires, what are funding plans?
- ❖ How will the county indirect and departmental overhead costs be covered?

4. Explain any legal and/or policy issues.

5. Explain any citizen and/or other government participation that has or will take place.

<u>Required Signatures:</u>

Department/Agency Director:  Date: February 19,2003

Budget Analyst

By: Date:

Dept/Countywide HR

By: Date:

Service Efforts and Accomplishments
Social and Health Services FY2002

February 2003

Suzanne Flynn
Multnomah County Auditor

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SUZANNE FLYNN
Multnomah County Auditor
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Portland, Oregon 97214

Telephone (503) 988-3320
Telefax (503) 988-3019

www.co.multnomah.or.us/auditor

February 24, 2003

To: Citizens of Multnomah County
Subject: Multnomah County Service Efforts and Accomplishments: Social and Health Services FY2002

This is the third year that the Auditor's Office has reported on the County's performance in the Service Efforts and Accomplishments Report and the second report completed for the County's social and health services. These services are a substantial portion of the County's responsibility, accounting for 59% of all expenditure and 45% of staff in FY2002. This report represents two important accomplishments:

- The report includes services for the elderly and those for clients with disabilities which we were unable to include in the last report.
- One of this Office's goals in implementing this type of annual reporting is to use performance measures that could survive departmental reorganization and changes in service direction. Despite the creation of two new agencies, the Department of County Human Services and the Office of School and Community Partnerships, reorganized from the previous Department of Community and Family Services and Department of Aging and Disability Services, we were able to use many of the same performance measures.

This report was the joint effort of the Auditor's Office and the Departments of County Human Services, Health, and Library, and the Office of School and Community Partnerships. I would like to commend the County and these departments in particular for their willingness and ability to participate in such a challenging task. Together we have created a report that adds considerably to the County's accountability to its citizens.

Sincerely,

Suzanne Flynn

Audit Team:
Janis Hull, Senior Management Auditor
Sarah Landis, Senior Management Auditor
Mark Ulanowicz, Senior Management Auditor
Rie Anderson, Audit Intern

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a) Place the cursor over the line that contains the section heading.
b) Wait until the open hand symbol changes to pinter.
c) Click once to activate the link

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Purpose

This Service Efforts and Accomplishments (SEA) report presents the resources, workloads, and results of Multnomah County's social and health services. The Auditor's Office reports this information in order to:

- Increase government accountability
- Provide information to citizens about their government

Implementation of SEA Reporting

In FY1999, the Auditor's Office studied the possibility of SEA reporting in Multnomah County and concluded that such reporting was feasible given the considerable progress the County had already made in performance measurement. The study identified gaps in the current performance measurement system that would be filled with SEA reporting, identified the role of the Auditor's Office, and recognized the need for citizen engagement in performance reporting. In FY2000, the Office began a schedule for SEA reporting that rotates between social and health services one year, and public safety the next.

Social and Health Services	Public Safety Services
• Department of County Human Services	• Department of Community Justice
• Health Department	• District Attorney's Office
• Library	• Sheriff's Office
• Office of School and Community Partnerships	

The FY2002 report contains information on the social and health services provided by the Department of County Human Services, the Health Department, the Library, and the Office of School and Community Partnerships. The County's social services were reorganized in FY2002 and this report reflects those changes. There are a number of measures marked "under development" for which data are not available this year. Future reports should demonstrate progress in producing these data and measuring these outcomes. This is the second report for all programs except those for the aging and physically disabled. It is the third report for the Health Department.

Methodology and Scope

Service efforts and accomplishments reporting is a concept developed by the Government Accounting Standards Board (GASB). It is intended to supplement financial reporting to give a full account of government performance. Current GASB standards do not require state and local governments to report on service efforts and accomplishments; however, GASB is considering the adoption of standards and has already established guidelines for SEA reporting. In producing this report, we followed GASB's guidelines as well as the General Standards section of *Government Auditing Standards.*

The Multnomah County Auditor's Office worked closely with staff and management in each department or agency to develop a framework for reporting, decide on measures, and gather data for the report. The reporting framework and performance measures reflect the mission, goals, and service priorities of each department.

To the extent possible, we tailored the report to reflect what we have learned about citizen interest. During our feasibility study, we conducted a number of focus groups to find out what citizens want to see in a performance report. The following elements were the most common:

- *Report from the public's point of view.* Organize the information by function rather than department. Include services, regardless of size, which are of great interest to the public.
- *Describe goals and service populations.*
- *Show revenues, expenditures, and the amount of services purchased.* Include information on County spending priorities and the number of people served.
- *Include broad measures.* Provide figures on County progress toward state and local benchmarks and cross-departmental measures.
- *Show efficiency measures.* Include information at the County and department level on per capita costs of services.
- *Include trends and comparisons.* Show how the County has done over time and compared to other jurisdictions.

Results are presented by department or agency. Within each, services are broken down by function or major service area. Four types of measures are provided:

1. *Spending and staffing measures* show how much each department spent, how many people it employed, and how much growth it experienced over the last five years.
2. *Workload measures* indicate how much of a service was produced or how many people were served.
3. *Outcome measures* demonstrate the intended results and effectiveness of a service or program.
4. *Efficiency and cost measures* show how much it costs to provide a service or how economically it is being provided over the course of time or compared to other jurisdictions.

Library Comparability Measures. We gathered data from four library systems that we determined to be comparable to Multnomah County's Library. These libraries were chosen based on their similarity to Multnomah County on a number of characteristics: each jurisdiction operates a central and branch libraries; each has population of 500,000 - 800,000, and each serves a major metropolitan area. We contacted staff in each jurisdiction to gather data.

Citizen Survey. In late spring 2001, the Auditor's Office conducted its first independent citizen survey to add citizen satisfaction information to the annual SEA report. The survey was designed to get information on citizen satisfaction with the quality of services and overall feelings about neighborhood livability. This SEA report contains the results of the second year survey as well.

Many of the services that the County provides are for specific populations and a citizen survey is not the best method of measuring satisfaction. However, these services are also provided to help protect the general social, economic, and physical health of the larger community. Trending measures of the community's health provides some indication of how County government contributes to the feelings of regional and neighborhood livability.

The Auditor's Office contracted with the Portland State University Survey Research Laboratory to conduct a telephone survey of residents from randomly selected households in Multnomah County. Adults, age 18 or older, from the six service districts used by the Office of School and Community Partnerships were interviewed by telephone for this year's report in the spring of 2002. Of the 4,417 households with which contact was made, 2,000 completed the interview and 2,417 refused to be interviewed. This yields a response rate of 45%. The results of this survey are reported in the Citizen Survey section of this report. The appendix contains the complete survey interview.

Data and Measure Limitations

Performance measures, such as those presented in this report, provide good indicators of accomplishments or changes in performance. They do not tell us why a program is succeeding or failing. We did not attempt to determine the causes behind specific performance trends; such a task would require extensive evaluation work that is beyond the scope of this report. We encourage readers to consider SEA information in this light. Program staff, County management, or elected officials may be contacted for more information about the results presented here.

Social and health services are provided to help protect vulnerable people; reduce poverty; enhance and protect the social, economic, and physical health of the community; provide leisure and learning opportunities; and give children and youth the skills they need to succeed. Outcomes for these activities are notoriously difficult to measure: results can take years to emerge, causal factors are hard to isolate, privacy and cost issues curtail data collection efforts, and clients are very difficult to track over time. Given these limitations, we have provided measures that show performance

in specific programs or among certain populations, but do not assess progress toward broad, long-term goals, such as reducing poverty. In other cases, we were able to show results on community-wide benchmarks, such as teen pregnancy rate, but were not able to evaluate whether specific County programs impacted these results.

We found many existing measures in departments that provide a good start toward SEA reporting. Some required revision, recalculation, or better data sources. We made recommendations to each of the departments to address these issues prior to the next SEA reporting cycle.

Most of the data in the report were provided to the Auditor's Office by department staff and were collected internally, from contractors, or from another government such as the State of Oregon. Due to time and resource constraints, not all data could be verified for accuracy by the Auditor's Office.

Our goal is to make the SEA report as accessible and meaningful to the citizens of Multnomah County as possible while accurately reflecting the work of the County and meeting GASB's guidelines. We will continue to work with departments to develop, implement, and report on SEA, focusing next year on the County's public safety services.

Acknowledgements

We are grateful to the staff in each department or agency who worked so hard to put this report together, and to department directors John Ball of County Human Services, Lillian Shirley of the Health Department, Ginnie Cooper of the Library, and Lolenzo Poe of the Office of School and Community Partnerships.

County Human Services: Caren Baumgart, Mary Shortall, Roseanne Costanzo, Tom Shepard, Dan Aledo, Rex Surface, Barbara Brady, Jean Bucciarelli, Paul DuCommun, Teresa Posner, Dave Helgeson

Health: Diane McBride, Bonnie Kostelecky

Library: Shani Fox, Fun Martin, Lucien Kress, Brenda Clark

Office of School and Community Partnerships: Barbara Hershey, Kathy Knapp, Peggy Samolinski

Multnomah County GIS: Andrea Westersund

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Multnomah County

Service Efforts and Accomplishments FY2002

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Overview

In Brief . . .

Accomplishments

- The SUN Schools Initiative began a project to forge beneficial partnerships with area businesses
- Department Director and key policy makers from the City, County, and schools presented information about SUN Schools at the Coalition for Community Schools national forum
- Touchstone program expanded to Reynolds and Centennial School Districts
- Began development of 53 units of affordable housing for people with low income, chronic mental illness, in recovery for substance abuse, former foster kids, and homeless families

Issues

- Reorganization of social services resulted in a lack of continuity of some programs and measures
- A change to a new data system resulted in the inability to compare current and historical data for this report
- Ongoing budget cuts and future funding uncertainty will impact the level and quality of services

The Office of School and Community Partnerships (OSCP) works to eliminate proverty, promote school success, and invest in healthy and safe families, neighborhoods, and communities.

OSCP was formed recently, in January 2002, as a result of department reorganization.

Office of School and Community Partnerships

Reduce Poverty

- Weatherization and energy cost assistance for people with low income
- Access to and development of affordable housing for low income households
- Shelter, housing assistance, support, and transition programs for homeless youth and families
- Skill-building for economic self-sufficiency
- Resource centers in housing complexes
- Caring Communities program to strengthen supports for families and individuals

Increase School Success

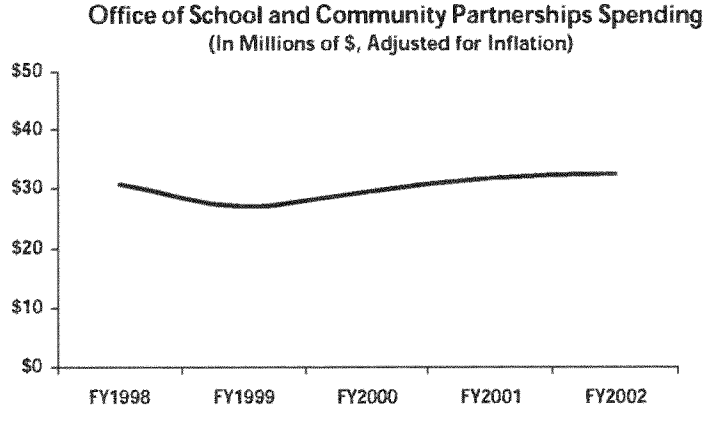
- Delinquency prevention and intervention
- Services for gang-affected youth
- Intensive case management for high-risk families and children
- Services to promote school attendance and graduation
- Child development programs and parenting support
- Diversion from Juvenile Court
- Extended school days and neighborhood hubs in schools through SUN Schools initiative

Spending and Staffing

Spending

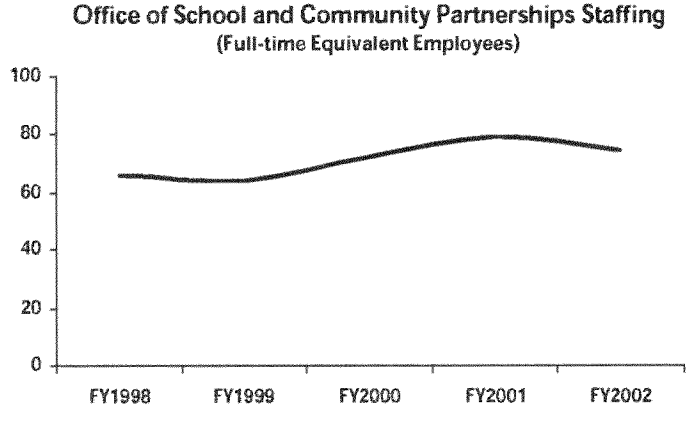
Spending for services in the newly formed Office of School and Community Partnerships was approximately $32.7 million in Fiscal Year 2002 (July 1, 2001 - June 30, 2002). This is an increase of 5.7% since FY1998 for these types of programs (see note below).

- $49 was spent per county resident.
- Approximately half of OSCP's revenue came from state and federal sources. The other half came from the County general fund.
- OSCP served over 74,000 clients in FY2002.



Staffing

The number of hours worked by staff in OSCP programs and administration was equal to 74 full-time positions in FY2002. This is an increase of 12% over FY1998, but a decrease of 6% from FY2001.

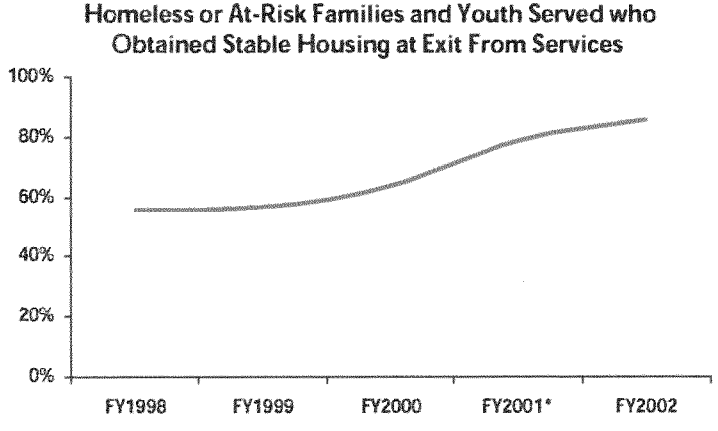


Note: The data for spending and staffing are derived from dividing program and administrative costs and staff from the former Department of Community and Family Services into two new agencies: OSCP and the Department of County Human Services. Figures are estimates, not actuals.

Reduce Poverty

OSCP provides or contracts for services to address and remedy the causes and effects of poverty. Services include rent assistance, transitional and emergency housing for homeless youth and families, and assistance with energy bills and home weatherization. OSCP works with communities to overcome issues associated with poverty and become healthy, safe places to live.

This chart shows the percent of clients who participated in programs that was able to find stable housing by the time they left services. The rate has increased from 56% in FY1998 to 86% in FY2002.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
People in households that received rental assistance to prevent eviction	N/A	N/A	N/A	1,056*	2,220
Households weatherized	468	504	443	504	514
Households that received assistance with energy bills	N/A	12,432	11,754	15,723	15,813

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of families completing goals to strengthen family functioning	N/A	N/A	N/A	63%*	59.4%
Percent of households whose income is above poverty at exit from services	Measure Under Development				

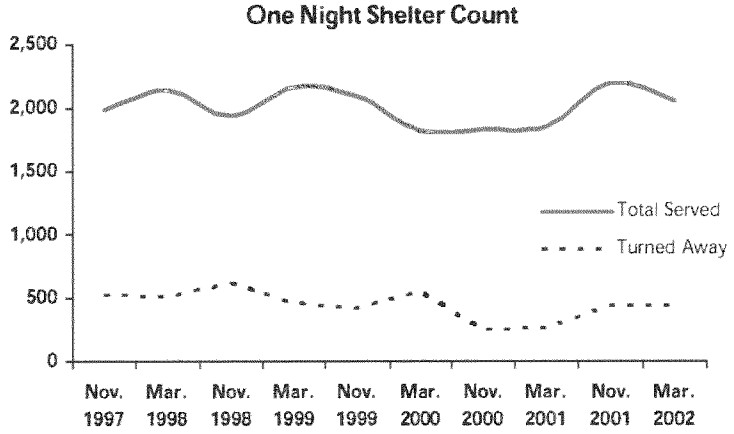
Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per household weatherized (adjusted for inflation)	N/A	N/A	$2,102	$2,165	$2,761
Estimated savings per household weatherized	Measure Under Development				

***Represents seven months of data only**

Reduce Poverty

In addition to programs to prevent poverty, OSCP also contracts with local organizations to provide emergency housing assistance and shelter for homeless youth and families. Once clients are stabilized in shelter, services are available to help them obtain long-term stable housing and integrate into the community.

The chart represents a snapshot of clients assisted with shelter and emergency housing in Multnomah County during the two nights per year that data are uniformly collected.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
People in homeless families provided with emergency housing	N/A	N/A	N/A	787*	1,430
Homeless youth provided with emergency housing	N/A	N/A	N/A	619**	569
People in homeless families who received transitional housing	N/A	N/A	N/A	599*	853
Homeless youth who received transitional housing	N/A	N/A	N/A	140**	129

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of people turned away from shelter or emergency beds during the one night shelter count	20.2%	21.0%	19.7%	12.2%	17.3%

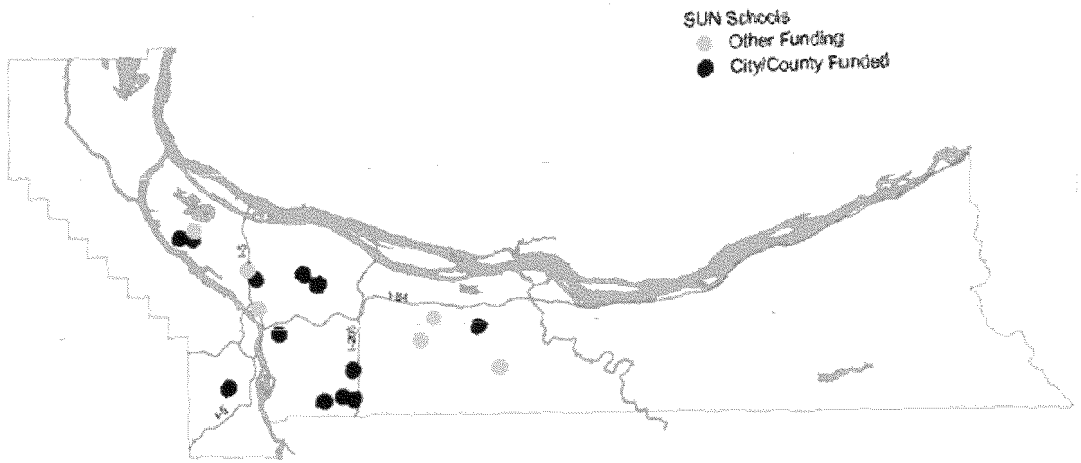
Cost/Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per homeless youth bed per night (adjusted for inflation)	N/A	N/A	N/A	$70.50	$63.61
Cost per homeless family bed per night (adjusted for inflation)	N/A	N/A	N/A	$28.80	$24.16

***Represents seven months of data only**

****Because of changes in the reporting system, these numbers could be a slight undercount of actual clients served**

Increase School Success

SUN School Locations



The goal of school-focused services is to enhance school success by working with families and children from an early age through high school:

- Schools Uniting Neighborhoods (SUN) Schools provide after-school academic and recreational programs, expanded social and health services, and increased community involvement.
- Early childhood programs include parent education, support for in-home childcare providers, and child care information and referral.
- Touchstone serves high-risk youth and their families at schools and community centers to help meet their needs and improve success in school.
- Prevention services work to strengthen families, and improve graduation and attendance rates, particularly among Native American and Latino youth.
- The Caring Communities program seeks to coordinate services and strengthen community support for both families and children so that they can be successful in school.

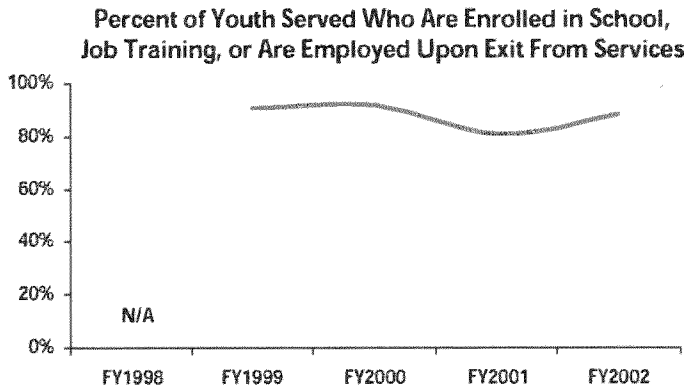
Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Families served with intensive case-management in schools	N/A	N/A	N/A	387*	567
Families served in early childhood programs	N/A	N/A	N/A	798*	1,004
SUN school locations (City/County funded)	New Project		8	9	12
Students enrolled in extended day activities through SUN schools		New Project			3,798

***Represents seven months of data only**

Increase School Success

The Office also contracts for services to work directly with youth who are at risk of not completing school or becoming involved in the criminal justice system. Services are provided by community-based organizations located in the youths' neighborhoods to help them address gang activity, employment and skill building, school attendance, and delinquency.

The percent of youth served who make positive progress by going to school, training, or who are employed has dropped slightly in recent years. However, the rate remained above 80% each year.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Youth served:					
Gang-affected youth	N/A	N/A	N/A	57*	145
At-risk youth (Youth Investment)	N/A	N/A	N/A	464*	504
Youth diverted from Juvenile Justice System	N/A	N/A	N/A	568*	824
Stay-in school programs (Student Retention)	N/A	N/A	N/A	371*	685

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of youth served who graduated or advanced a grade at the end of the school year	N/A	N/A	N/A	N/A	87%

***Represents seven months of data only**

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Department of County Human Services

Overview

In Brief . . .

Accomplishments

- Completed merger of services from two County departments to form the Department of County Human Services
- Continued implementation of the mental health care redesign
- Developmental Disabilities services began an innovative program in which personal agents work with families and clients on service plans based on choice, inclusion, and self-determination
- Developed new services to provide respite for families trying to keep an elderly or disabled relative at home as long as possible
- With the help of community organizations, completed a plan for domestic violence victim services to guide the funding and development of future services

Issues

- Ongoing budget cuts and future funding uncertainty will have an impact on the level and quality of services available to clients

The Department of County Human Services (DCHS) works to connect people to the services and support they need to live with dignity and independence. The Department serves the elderly; people with severe emotional, developmental, or physical disabilities; victims of domestic violence; and people with alcohol and drug problems. It provides most services through contracts with community-based organizations.

DCHS was formed recently, in February 2002, as a result of department reorganization.

Department of County Human Services

Assure Access to necessary, quality services

- Provides information and referrals to seniors and people with disabilities
- Plans, implements, and monitors services for clients
- Reaches out to unserved members of the community
- Contracts with community-based services
- Helps clients with benefits eligibility
- Coordinates care for clients with multiple service providers
- Works with community members to help identify seniors in need of assistance

Protect Vulnerable People in crisis situations

- Serves developmentally disabled clients in crisis
- Prevents, intervenes, and treats drug and alcohol problems
- Provides supports for victims of domestic violence
- Assesses and treats mental health needs
- Investigates abuse of developmentally disabled, physically disabled, and elderly clients
- Acts as the public guardian for clients who are mentally incapacitated with no others to act on their behalf
- Regulates and monitors adult care homes

Promote Well-Being by helping people acheive independence and providing support

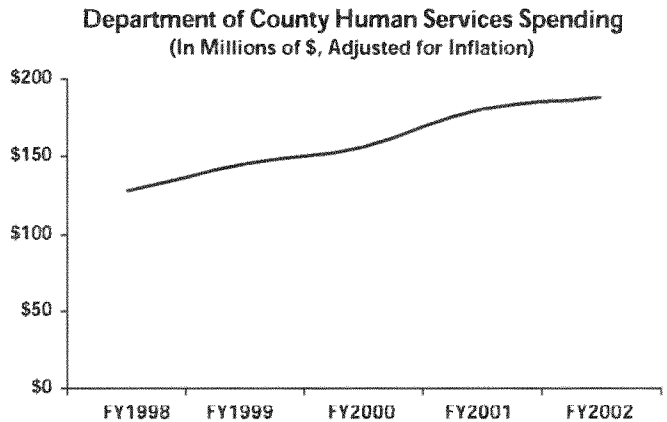
- Provides prevention and recovery services for those with mental health problems
- Assists clients with developmental disabilities through community living
- Offers care options for the elderly and disabled
- Provides meals, clothing, housing, and other basic needs
- Helps clients in their homes
- Assists clients in finding employment

Spending and Staffing

Spending

Spending for services in the new Department of County Human Services was approximately $188.5 million in Fiscal Year 2002 (July 1, 2001 – June 30, 2002), an increase of 48% since FY1998.

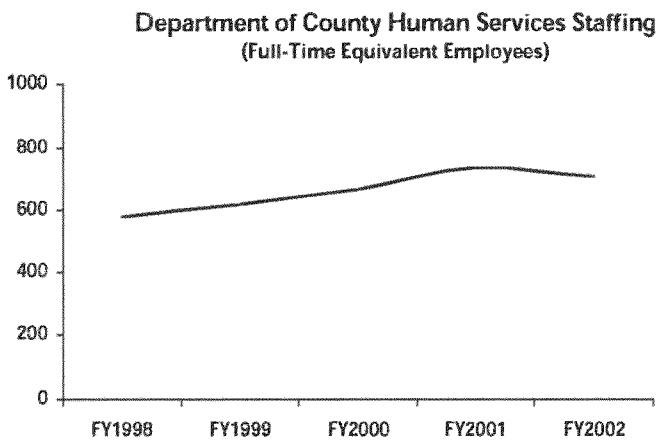
- $283 was spent per county resident.
- Approximately 83% of DCHS's revenue came from state and federal sources. The remaining 17% came from the County general fund and other sources.
- Mental Health and Addiction Services accounted for 43% of spending, Developmental Disabilities Services for 31%, Aging and Disabilities Services 19%, and Domestic Violence 1%. Six percent was administrative expense.



Staffing

The number of hours worked by staff in DCHS programs and administration was equal to 708 full-time positions in FY2002 (see note below). This is an increase of 22% over FY1998, but a decrease of 4% from FY2001. Just under half (49%) of staff work for Aging and Disabilities Services, 29% for Mental Health and Addiction Services, 14% for Developmental Disabilities, and less than .5% for Domestic Violence. The remainder (8%) of staff served in administrative functions.

Note: The data for spending and staffing are derived from dividing program and administrative costs and staff from the former Department of Community and Family Services and the Department of Aging and Disabilities Services into two new agencies: DCHS and the Office of School and Community Partnerships. Figures are estimates, not actuals.



Assure Access

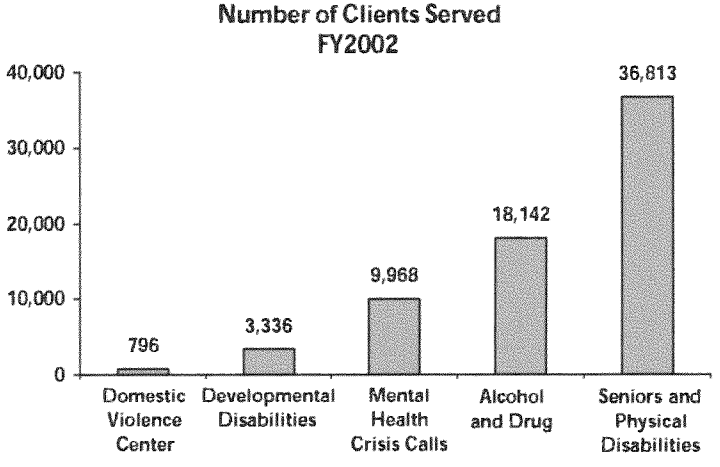
The County's social service system is based on the following principles:

- Promote independence, choice, and dignity
- Strengthen people's ability to be self-sufficient
- Work to keep people in their own homes and communities
- Promote rehabilitation and recovery rather than dependency
- Provide access to a range of flexible services that meet client needs
- Work with communities to increase access, diversity, and choice
- Provide culturally competent services
- Locate services in the community

The County believes that government should work with community agencies that have experience meeting clients' needs. These agencies provide services in community and cultural centers, schools, churches, medical facilities, and other neighborhood sites so that those who need them can access them easily.

The County also believes that community organizations are stronger when they are not reliant on government funding only. By working with agencies that can also raise private dollars, the County maximizes the tax dollars spent on social services.

Following is a sample of the type of clients served by DCHS in FY2002.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Domestic violence clients served	N/A	N/A	N/A	N/A	796
Clients with developmental disabilities served	2,779	2,975	3,050	2,577	3,336
Mental health crisis calls	N/A	N/A	N/A	N/A	9,968
Alcohol and drug treatment clients served	N/A	N/A	N/A	17,983	18,142
Senior and physically disabled clients served	32,625	33,688	N/A	35,552	36,813

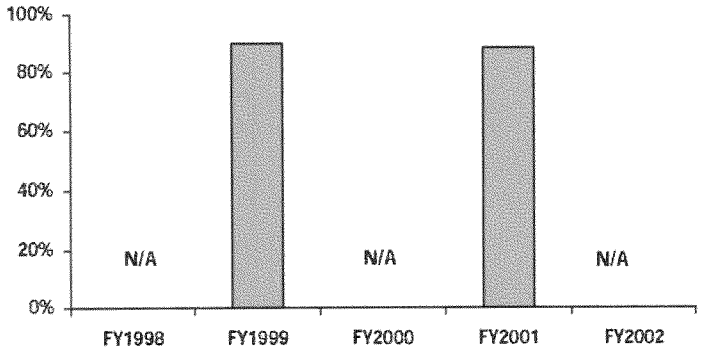
Assure Access

One example of how DCHS assures that clients have access to quality services is by contracting for services and monitoring these contracts. For example, DCHS contracts for adult foster care with private providers, and then monitors these homes to ensure they are safe and high quality. Recent surveys indicate that residents have a high level of satisfaction with the care provided in the homes they live in.

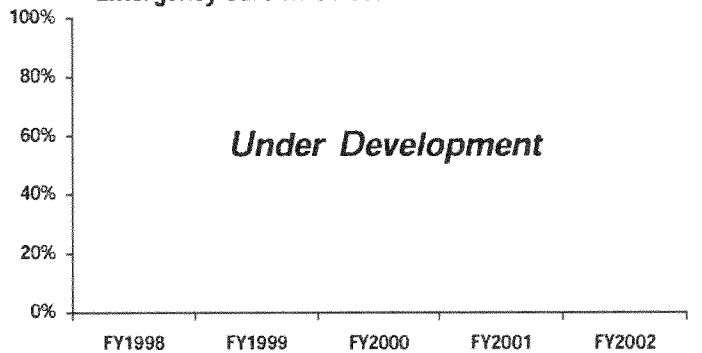
The mental health crisis care system underwent a significant transformation in 2001 to make needed improvements in services. DCHS created a new system that includes a central crisis hotline, 24 hour walk-in clinics, and a mobile crisis response team.

As a result of this redesign, many of the measures for mental health in this report are new.

Residents Satisfied with Adult Care Homes



Mental Health Crisis Clients Needing Emergency Care who Receive Services Within 24 Hours



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Residential homes for people with developmental disabilities	N/A	N/A	117	117	117
Developmentally disabled clients using Self-Directed Supports Initiative	192	401	419	0	267
Referrals to service from community Gatekeepers for seniors and people with disabilities	796	1162	842	927	872
Daytime calls to Helpline for seniors and people with disabilities	9,498	11,185	15,943	15,994	14,608
After hours calls to Helpline	4,644	2,550	4,659	5,224*	4,747*
Calls to domestic violence crisis line	21,776	25,030	20,464	25,822	N/A
Children/adolescents mental health clients covered by the Oregon Health Plan	N/A	N/A	N/A	49,103	53,964
Adult mental health clients covered by the Oregon Health Plan	N/A	N/A	N/A	56,265	63,419

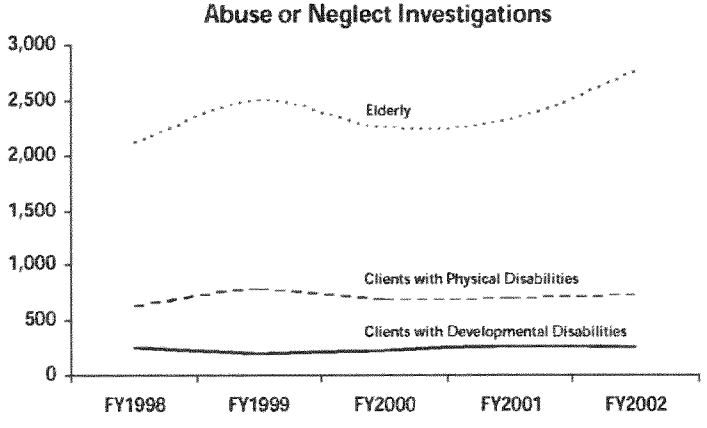
Results	FY1998	FY1999	FY2000	FY2001	FY2002
Caller satisfaction with Helpline	N/A	78%	N/A	85%	N/A
Percent of mental health clients surveyed who got an appointment at a convenient time	N/A	N/A	N/A	88%	N/A
Percent of mental health clients surveyed who got an appointment at a convenient place	N/A	N/A	N/A	87%	N/A

***includes Washington and Clackamas Counties**

Protect Vulnerable People

DCHS has a number of programs and safeguards in place to protect many of the community's most vulnerable people and those who are in crisis. These services include abuse and neglect investigations, involuntary mental health commitment evaluations, crisis diversion, shelter and housing for domestic violence victims, alcohol and drug treatment, and guardianship of those with serious mental incapacitation.

The number of abuse and neglect investigations for elderly clients has risen in recent years, while the number for people with physical and developmental disabilities has remained relatively stable.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Involuntary mental health commitments	186	185	282	272	313
Developmentally disabled clients referred to crisis diversion	45	53	23	94	188
Clients in the Public Guardian program	182	181	169	171	167
Alcohol and drug client assessments provided	4,720	4,735	4,462	4,987	4,785
Alcohol and drug treatments provided					
Sobering episodes	12,322	11,769	12,722	12,894	11,833
Detoxification episodes	3,268	3,206	3,310	2,704	2,733
Residential treatment episodes	1,939	1,580	2,054	1,903	1,815
Outpatient treatment episodes	N/A	N/A	N/A	21,577	22,115
Driving under the influence of intoxicants (DUII) treatment episodes	4,476	5,305	5,417	2,915	2,485
Methadone maintenance episodes	2,872	2,946	3,611	3,364	3,520

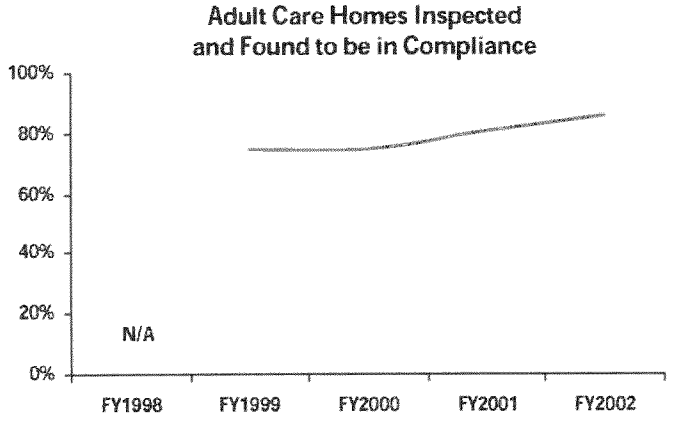
Protect Vulnerable People

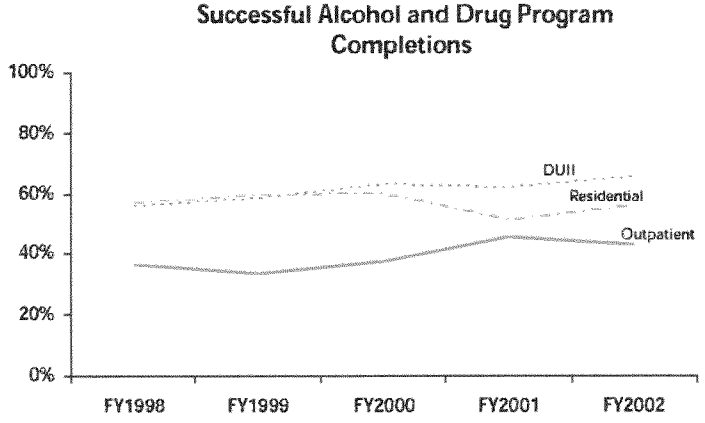
Adult Foster Care

DCHS also plays an oversight role in some services, making sure local agencies deliver high quality care to people in need. For example, the department monitors and licenses community-based homes that provide a stable, safe, and homelike environment for adults in need of 24-hour care. The percent of homes found to be in compliance during their first license renewal inspection has risen from 75% to 86% over the last four years.

Alcohol and Drug

The Department provides assessment and referral to treatment for people with serious drug and alcohol problems, including people charged with Driving Under the Influence of Intoxicants (DUII) crimes. Treatment is provided through community contractors. Research indicates that every dollar spent on alcohol and drug treatment saves more than five dollars in future expenses related to criminal justice, welfare, and victim costs. Treatment success rates have remained stable over the past five years.





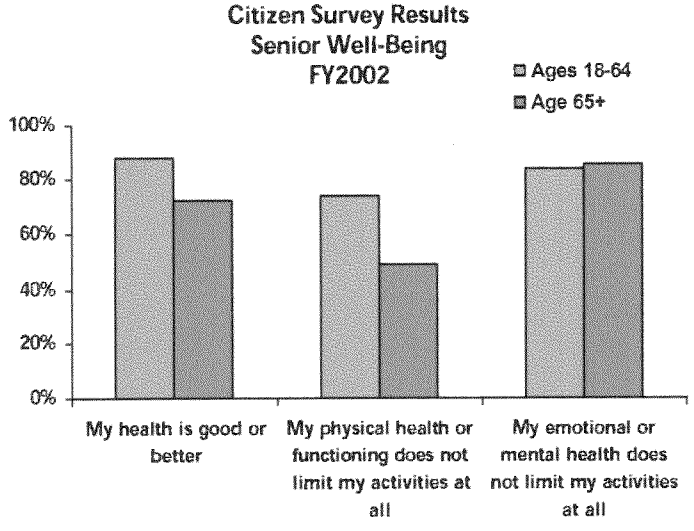
Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of clients with developmental disabilities referred to crisis diversion	2%	2%	1%	4%	6%
Safe bed nights provided at domestic violence shelters and housing	N/A	N/A	N/A	N/A	20,682

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per domestic violence shelter bed night	N/A	N/A	N/A	N/A	$36
Average cost for outpatient alcohol and drug treatment per day	N/A	N/A	N/A	N/A	$1,155
Average cost for residential alcohol and drug treatment per day	N/A	N/A	N/A	N/A	$7,200

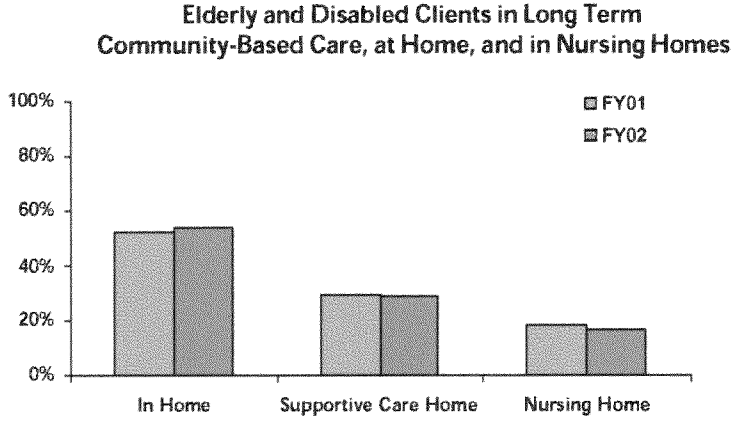
Promote Well-Being

Seniors and People with Disabilities

The Department works to ensure that seniors and people with disabilities continue to have a high quality of life. The most recent citizen survey showed that a high number of seniors consider themselves to be in good physical and emotional health, but only half feel that the state of their health does not impede many of their activities.



The County works toward helping people remain in their homes and communities for as long as possible. It contracts for a number of services, including in-home assistance, meal delivery, recreational and educational activities, and transportation. Serving clients in supportive community-based care costs approximately 63% less than serving them in nursing facilities. Serving them at home costs approximately 79% less.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Seniors and clients with disabilities helped with daily living assistance in their homes	N/A	N/A	N/A	43,562	47,678
Home delivered and congregate meals	711,728	704,199	703,395	619,754	576,608
Activities at Senior Centers	N/A	N/A	N/A	N/A	107,825

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of seniors who reported that their neighborhood is a good place to live	N/A	N/A	N/A	94.7%	95.4%

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per meal served (County funding only, approx. 35-50% of total cost per meal, adj. for inflation)	$2.01	$1.93	$1.88	$1.85	$2.15

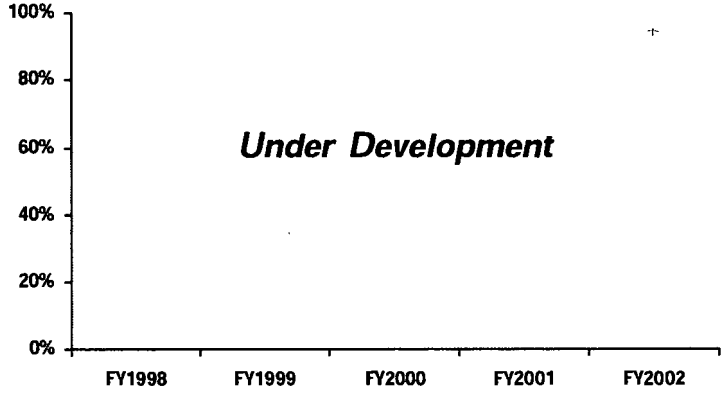
Promote Well-Being

Mental Health

DCHS manages resources for services to adults, adolescents, and children with mental illnesses and emotional and addictive disorders. The Department is responsible for providing or contracting for a continuum of crisis intervention and treatment services, and providing protective services, assessment, and referral.

The mental health system has recently undergone a major redesign that shifts the focus away from costly hospitalization once a person's problems have escalated, and toward early intervention, prevention, and crisis management.

Adult Mental Health Clients Who Improved Their Level of Functioning



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
School-based informal mental health visits	9,102	7,868	8,339	4,529	7,567
Early childhood mental health program clients	1,400	1,523	3,038	7,000	7,226

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Child/adolescent mental health clients achieving a positive change in level of functioning (excludes contractors)	N/A	N/A	N/A	N/A	86%
Percent of adult mental health clients satisfied with services received		85%	85%	88%	N/A
Percent of child and adolescent mental health clients satisfied with services received		90%	86%	86%	N/A
Percent of mental health clients who reside in stable housing		Measure Under Development			

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of mental health managed care dollars spent on direct care		Measure Under Development			

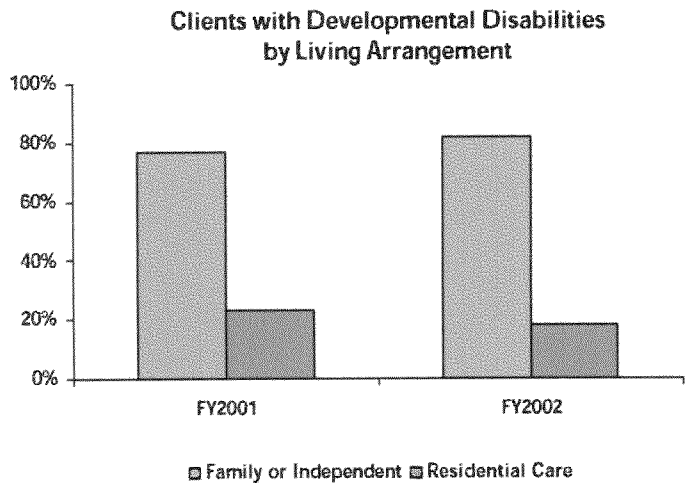
Promote Well-Being

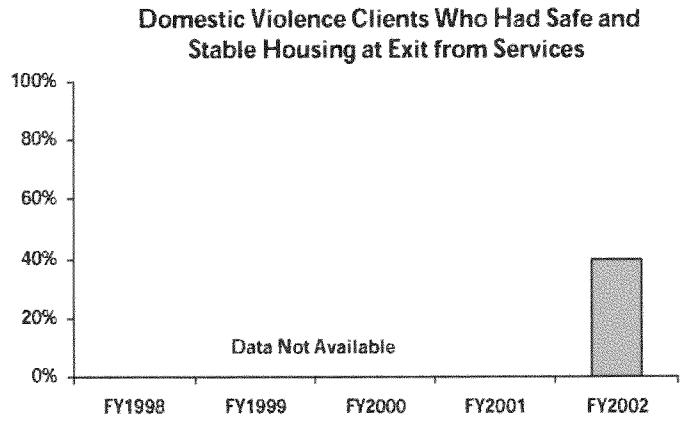
Developmental Disabilities

The Department's services for people with developmental disabilities and their families include service coordination, assistance with benefits, housing, and help when crises develop. DCHS works to help clients live independently or with their parents in the community for as long as possible. The percentage of clients remaining in their own homes or with family increased from 77% in FY2001 to 82% in FY2002.

Domestic Violence

DCHS helps to coordinate an effective response to domestic violence within the County. It contracts for prevention programs and for direct services, such as shelter, housing, and counseling. The measure below indicates the success of programs in helping domestic violence victims find safe and stable housing when they left services. Trend data will be available in the future.





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Multnomah County

Service Efforts and Accomplishments FY2002

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Overview

In Brief . . .

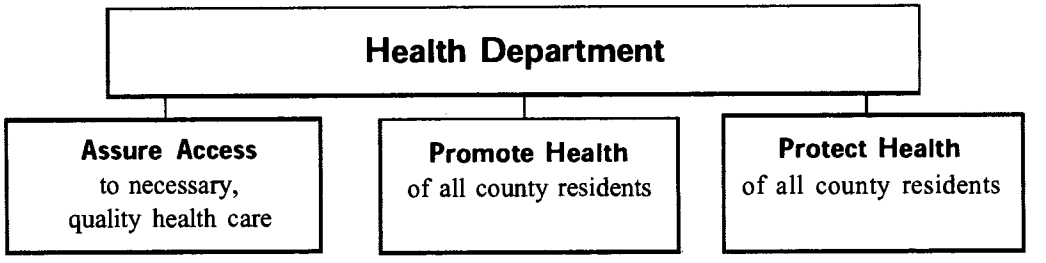
Accomplishments

- Started a program to prepare for and respond to bioterrorism and natural disasters
- Increased access by redesigning prenatal appointment schedule
- Redesigned pharmacies to respond better to increases in demand without adding staff
- Partnered with adjoining counties to reduce the mosquito population using natural pesticides
- Expanded Tuberculosis preventative therapy to the high-risk homeless population
- Negotiated better reimbursement rates for treating Medicaid clients

Issues

- Ongoing effort to prepare for Bioterrorism and natural disasters
- Assuring access to health care for vulnerable populations in light of increasing health care costs
- Rapid response to the expected arrival of West Nile Virus and other emerging infections

The Health Department works to assure, promote, and protect the health of the people of Multnomah County in partnership with the diverse communities it serves.



- Provides medical and dental services in County clinics, jails, schools, and in the homes of high-risk families
- Provides pharmacy, lab, and language services
- Screens residents for eligibility for the Oregon Health Plan and other insurance subsidies
- Provides health information and referral over the phone

- Provides health education in the community, schools, and workplaces
- Educates high-risk families about healthy activities and habits
- Conducts tobacco use prevention activities
- Trains teens in pregnancy prevention and abstinence
- Provides food handler education and certification
- Monitors health conditions and emerging health issues

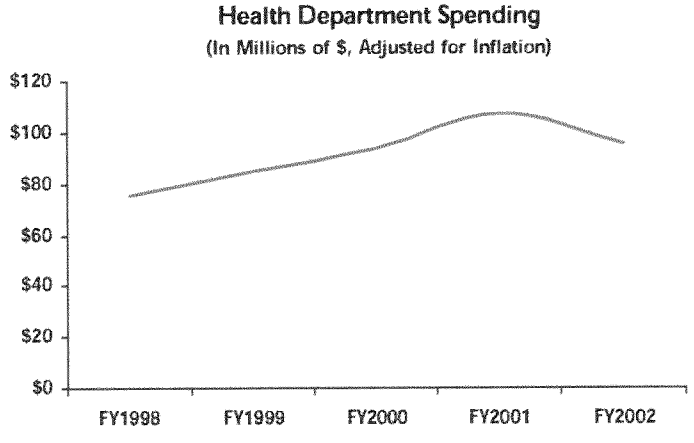
- Investigates, treats, and controls the spread of communicable diseases
- Works to control the mosquito and rat populations
- Provides nutrition education and food vouchers to at-risk women with young children
- Provides vaccines and immunizations
- Inspects restaurants, swimming pools, schools, hotels, and care facilities
- Oversees county ambulance services
- Investigates environmental health issues
- Tests for lead poisoning and provides education

Spending and Staffing

Spending

The Health Department spent $96 million on services in Fiscal Year 2002 (July 1, 2001 – June 30, 2002), an increase of 27% over FY1998, but a 11% decrease from FY2001. Funds from state and federal sources have grown from 47% to 58% of total Department funding.

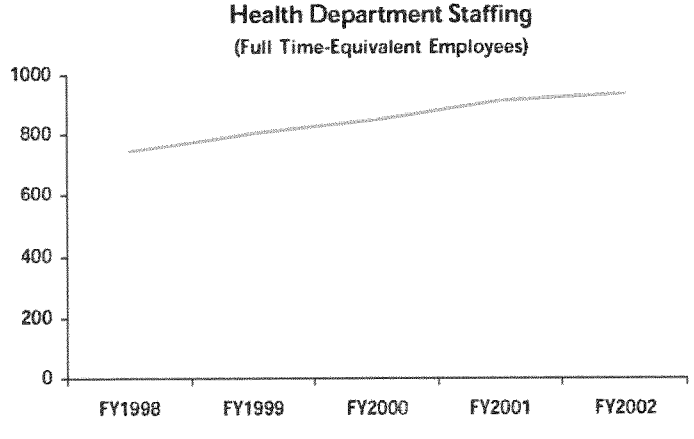
- Growth in state and federal funding accounts for about 96% of the increase.
- Funding sources for the Health Department in FY2002 were approximately $56 million federal and state, $6.5 million from user fees and other, and $33.5 million from local sources.
- $144 dollars were spent per County resident.



Staffing

The number of hours worked by Health Department employees was equal to 935 full-time positions in FY2002. This was an increase of 26% since FY1998. According to the Department, the increase in staff was largely the result of additional grant and fee revenue.

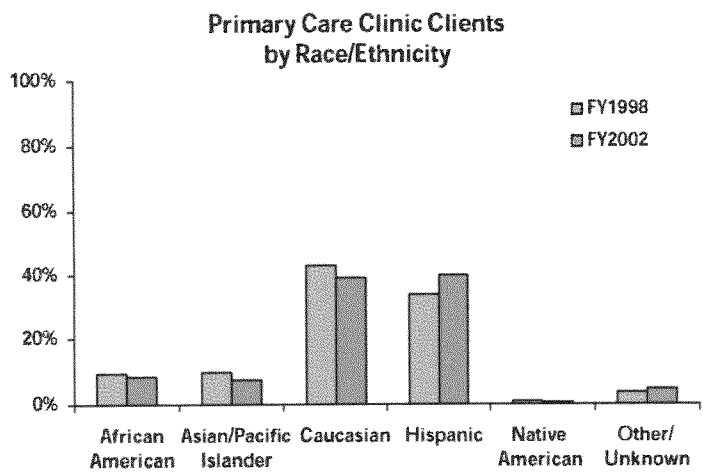
Staff includes medical doctors, dentists, nurses, outreach workers, sanitarians, interpreters, epidemiologists, environmental health experts, health educators, nutritionists, and others.

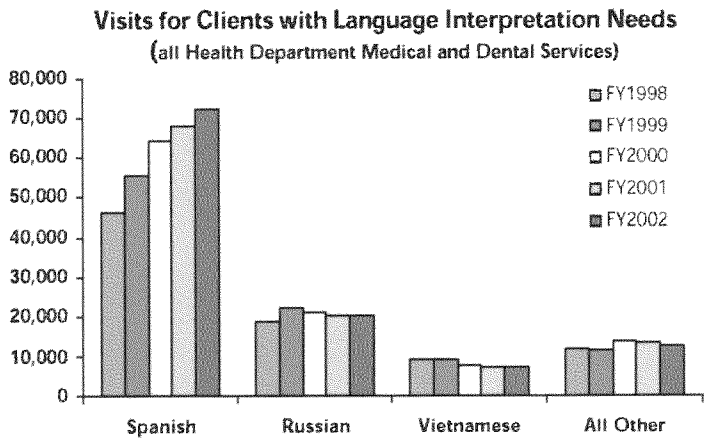


Assure Access

The goal of the Health Department is to assure that every member of the community has a healthy environment and access to the prevention and treatment services necessary for good health. The Department manages resources and helps develop service systems in the community, especially for those who cannot afford care. The Department also provides services directly in County clinics.

Health Department clinic clients and their needs have been changing over the last few years. The 38% growth in the Hispanic client population in the last five years has contributed to a 57% growth in the number of Health Department clinic visits requiring Spanish language interpretation services.





Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Corrections health visits (adult and juvenile)	91,201	101,141	105,931	98,902	94,099
Dental clinic visits	28,738	29,039	31,927	32,702	32,503
School medical clinic visits	28,526	30,206	29,788	33,834	35,443
Home and community health visits	29,448	32,076	31,196	35,667	38,363
Other medical (Primary Care, Tuberculosis, Sexually Transmitted Disease, and HIV Clinics)	124,660	135,109	140,636	141,764	140,231

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
*Cost per visit in Primary Care Clinic (adjusted for inflation)			$174.39	$200.26	$207.61
*Cost of on-call interpretation for Primary Care Clinic visit (adjusted for inflation)	$18.33	$31.98	$29.00	$15.29	$17.12
*Cost per prescription dispensed to County clients (adjusted for inflation)	$24.14	$26.47	$27.53	$29.19	$24.28

***Measures do not include all administrative and overhead costs**

Assure Access

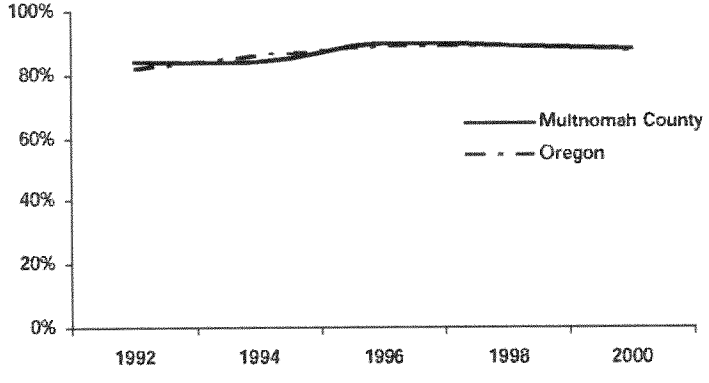
Insurance Coverage

Insurance coverage is an important indicator of access to health care. The Health Department routinely screens clients for the Oregon Health Plan and other insurance plans that help at-risk citizens obtain needed health care. The percent of Multnomah County residents with health insurance has declined only slightly since rising six percentage points in 1996.

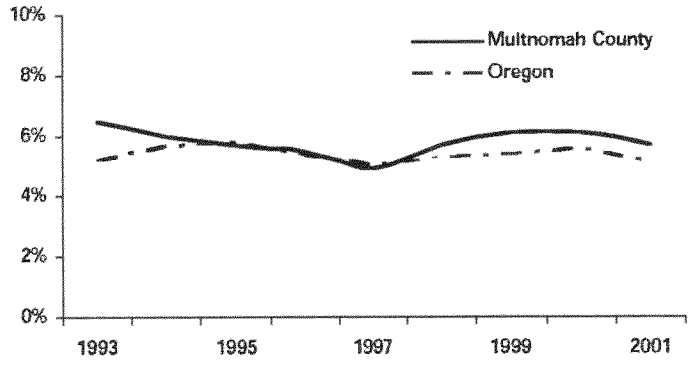
Prenatal Care

The percentage of women who receive prenatal care is another indicator of access to health care and is one of the County's performance benchmarks. The rate of pregnant women in Multnomah County who have inadequate prenatal care decreased since 1993 to 5.7 % in 2001, but is still above its recent low point of 4.9 % in 1997.

Residents with Health Insurance



Rates of Inadequate Prenatal Care
(Late entry or less than 5 prenatal visits)



Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of health clinic clients who rated the quality of care they received as excellent or good	N/A	98%	98%	N/A	N/A

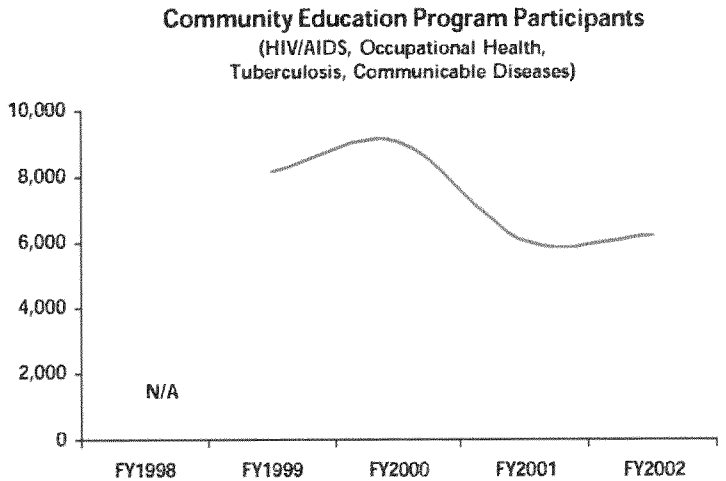
Promote Health

The Health Department also promotes the health of all residents of Multnomah County. Health promotion relies on reaching out to individuals, groups, and communities. The Department helps people adopt healthy habits by increasing their knowledge and skills through education in schools, the workplace, and other locations. Topics include disease risk reduction, pregnancy prevention, safe food handling, lead poisoning prevention, and workplace health.

Promoting healthy behaviors and preventing disease can have large economic benefits. For example, one 1997 national study shows that, for every dollar spent on prevention, the following savings are possible:

Prenatal Care	$3.38
Sexually transmitted diseases	$3.00
Measles, Mumps, Rubella	$14.40
WIC nutrition programs	$2.45

The drop in the number of community education program participants was primarily the result of a change in the best practices model, from group informational sessions on HIV/AIDS to work with individuals on risk reduction.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Participants in children's dental education programs	25,670	27,943	27,917	26,066	27,471
Abstinence and family planning teen program participants	6,770	6,345	6,846	5,908	6,420
HIV reductions contacts with injection drug users (outreach, education, needle exchange)	7,895	7,896	8,473	6,889	6,280

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of family planning clients in School-Based Health Clinics who do not get pregnant during the year	96%	96%	96%	93%	97%
New HIV positive tests	144	98	103	115	N/A

Promote Health

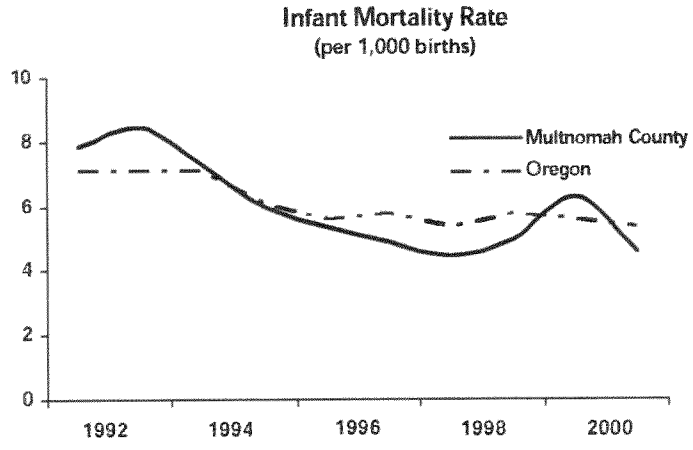
The Health Department targets efforts on affecting people's health behaviors to improve the overall health of the community. They focus on health issues that can lead to other social and health problems, such as teen pregnancy, prenatal and neonatal care, smoking, and lifestyle habits that negatively affect health.

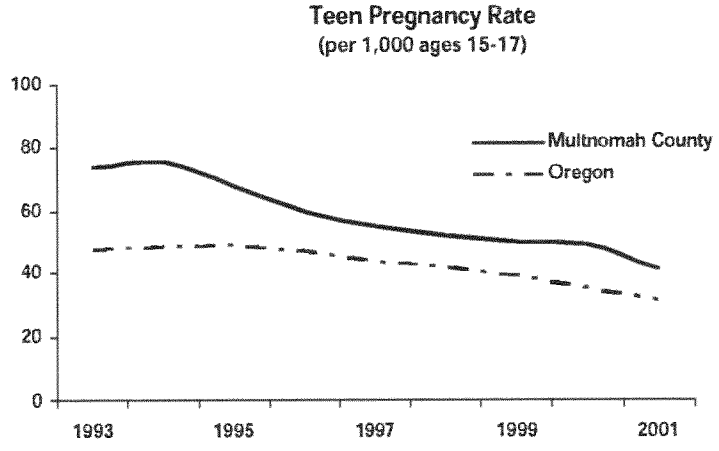
Infant Health

The Department provides prenatal and infant health services through its Primary Care Clinics, community health nurses and workers, teen programs, and Women, Infant, and Children (WIC) program. The infant mortality rate is an indicator of progress in meeting the health needs of mothers. The infant mortality rate in Multnomah County has generally declined over time, with significant improvements among African American infants.

Teen Pregnancy

The Department has a number of programs that work with teens in schools and the community. It trains high school teens to provide health outreach and abstinence education to students in middle schools, educates young mothers on the benefits of postponing having another child until they are older, and provides family planning services. The teen pregnancy rate for Multnomah County has been decreasing faster than for the state as a whole, but is still slightly above the state.





Promote Health

Health Risk Factors

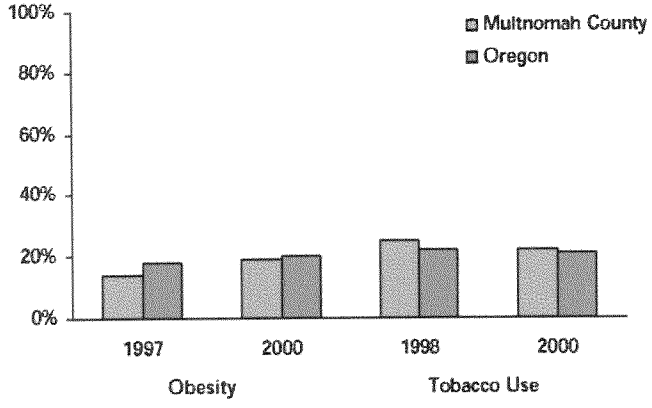
Results from a survey on health risk factors show that respondents from Multnomah County compare closely with the state as a whole. However,

- County respondents' obesity rates have increased faster than residents of the state as a whole.
- County respondents' rates of tobacco use decreased faster than residents of the state as a whole.

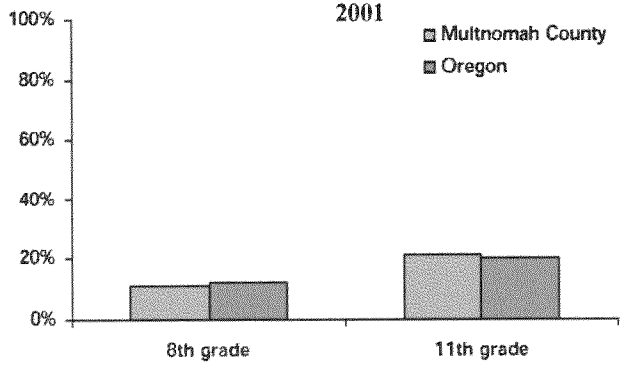
Tobacco Use

The Health Department works to reduce and prevent tobacco use among youth and adults. They have projects underway to reduce youth access to tobacco, enforce the smoke free workplace law, promote smoke free environments, reduce tobacco advertising and promotion, and provide linkages to cessation programs.

Health Risk Factor Survey Results



Multnomah County and Oregon Youth Who Smoke Cigarettes by Grade 2001



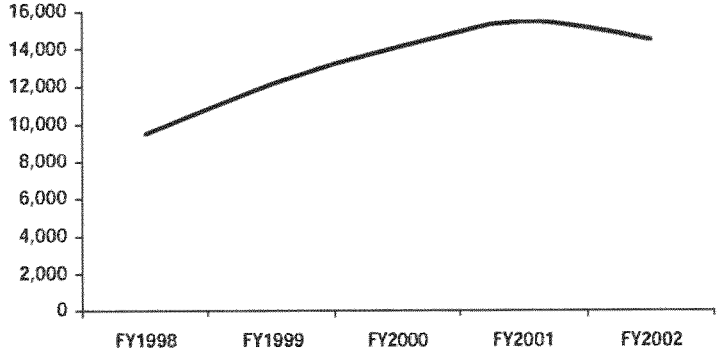
Protect Health

The Health Department helps protect Multnomah County residents from diseases, injuries, and the effects of natural disasters. The Department investigates the outbreak of diseases and community conditions that affect health and carries out activities that control diseases and their impacts. Many of these services are invisible to the public such as inspections of food safety, control of communicable diseases, and regulation of businesses and workplaces that affect people's health.

As part of its protection work, the Health Department also provides prevention services such as a nutrition program for low-income pregnant women and children, dental services for children, vaccinations for overseas travelers, immunizations for children, and flu shots.

It also oversees the County's emergency medical response and ambulance system.

Children Immunized by the Health Department

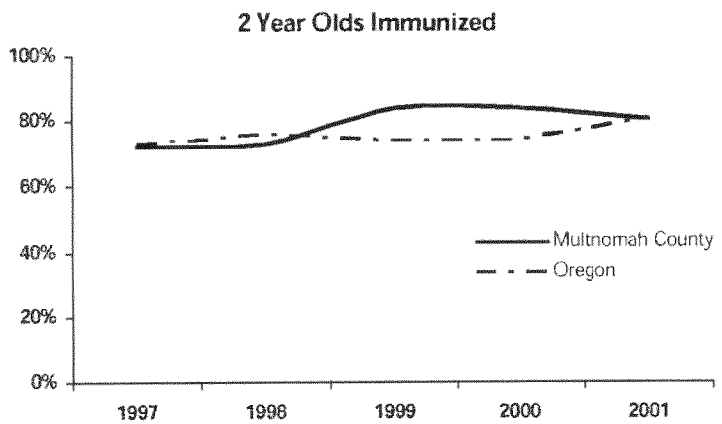


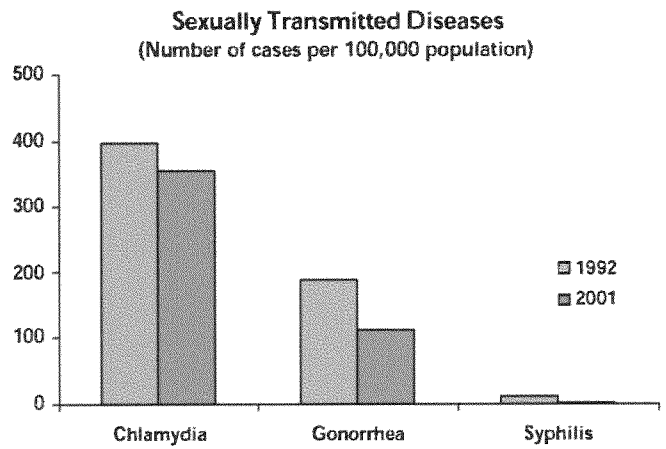
Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Environmental health inspections (restaurants, swimming pools, schools, hotels, care facilities, and drinking water)	N/A	8,757	10,503	9,847	10,245
Children participating in dental fluoride and sealant programs	35,187	37,530	35,071	37,235	36,903
Women, infants, and children served in the WIC program	24,112	23,589	22,337	24,091	25,158
Flu vaccinations at health clinics	3,158	3,197	3,017	2,251	2,640
Investigations of lead poisoning in children	N/A	24	20	22	28

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of ambulance calls that arrived in under 8-minutes	90%	91%	93%	90%	91%

Protect Health

Programs that protect health benefit both individuals and the community as a whole. Two primary concerns for health protection are increasing the rate of immunization among children and controlling the spread of sexually transmitted diseases. The Department has made long-term progress in reducing the spread of sexually transmitted diseases. The percentage of 2 year olds immunized within the county has declined slightly in recent years.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of reported cases of the following sexually transmitted diseases interviewed for sexual contacts					
Gonorrhea	90%	90%	71%	86%	86%
Syphilis	100%	95%	50%	80%	100%
Chlamydia	74%	50%	51%	64%	69%

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Multnomah County

Service Efforts and Accomplishments FY2002

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Overview

In Brief . . .

Accomplishments

- Celebrated 100 years of free library service to the public
- Completed renovation on three branch libraries
- Opened two new and two replacement libraries
- Circulation topped 16 million
- Increased the Summer Reading participation to over 39,000
- Opened a new computer lab at the North Portland Branch Library and ensured word processing capability at all library locations
- Honored by the American Institute of Architects and the American Library Association for library architectural design
- Received Robert B. Duncan Intellectual Freedom Award

Issues

- The library's funding relies heavily on voter-approved levies and local economic conditions
- Due to the mid-year budget cut, the Library made reductions to cope with the funding gap: Parkrose Library closed, Monday hours eliminated, book budget reduced, children and adult programming reduced, and the Teen Intern program eliminated

The Multnomah County Library serves county residents by providing books and other materials to meet their informational, educational, cultural, and recreational needs. The Library upholds the principles of intellectual freedom and the public's right to know by providing people of all ages with access and guidance to information that reflects all points of view.

Library

Books and Reading

- Downtown Central Library and 16 branch libraries
- Multiple types of materials, such as books, audio, video and DVD, magazines, and electronic resources
- Materials for diverse library users such as youth, adults, non-English speakers, and people with special needs
- Best sellers, popular topics, and classics

General Information and Information Literacy

- On-line and in-person answers to information requests
- 24 hour Internet access to library catalog of books and other media
- Electronic resources and databases
- Reference materials
- Computers at all locations for public use
- Training on how to use library information resources
- Help for users in determining the best media resource for their needs
- Assistance in finding books and information

Childhood and Lifelong Learning

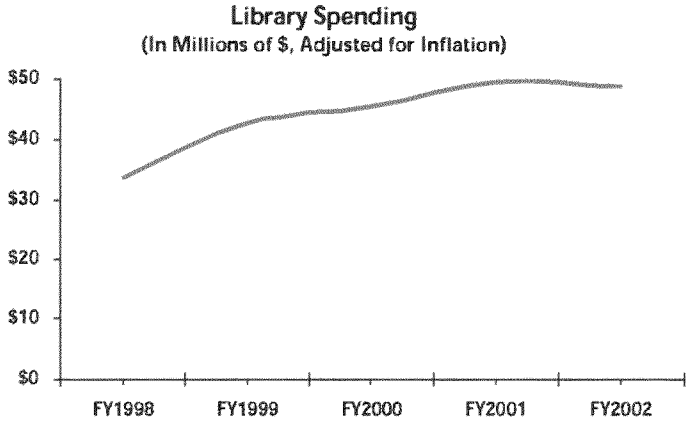
- Technology training for seniors and students
- Summer Reading program for children
- Early childhood learning support
- Help with job hunting, investing, homework, and other topics of interest
- Services to schools to help students and teachers get the most from the Library
- Services for residents not able to come to the libraries

Spending and Staffing

Spending

The Library spent $48.7 million in Fiscal Year 2002 (July 1, 2001-June 30, 2002), an increase of 45% over FY1998. This does not include construction costs for new and renovated libraries.

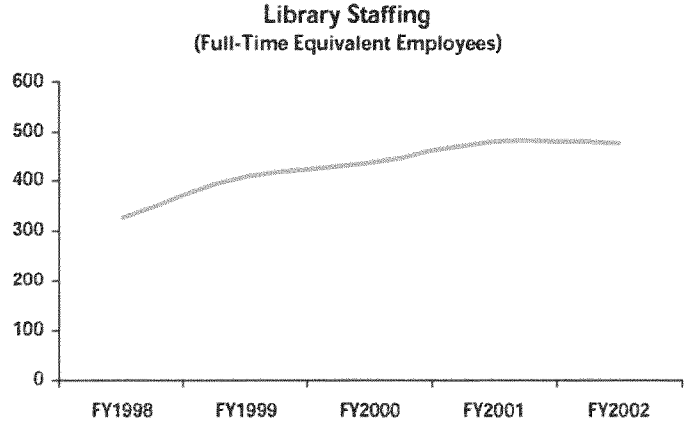
- The primary sources of Library funding are voter approved levies and the issuance of bonds.
- $73 dollars were spent per county resident.
- The passage of a five year levy in 1997 resulted in increased spending beginning in FY1999 to improve and expand services. The levy offset budget constraints imposed by ballot measures 47 and 50.
- 15.6% of the Library's operating expenditure (excluding debt service) was spent on books and materials.



Staffing

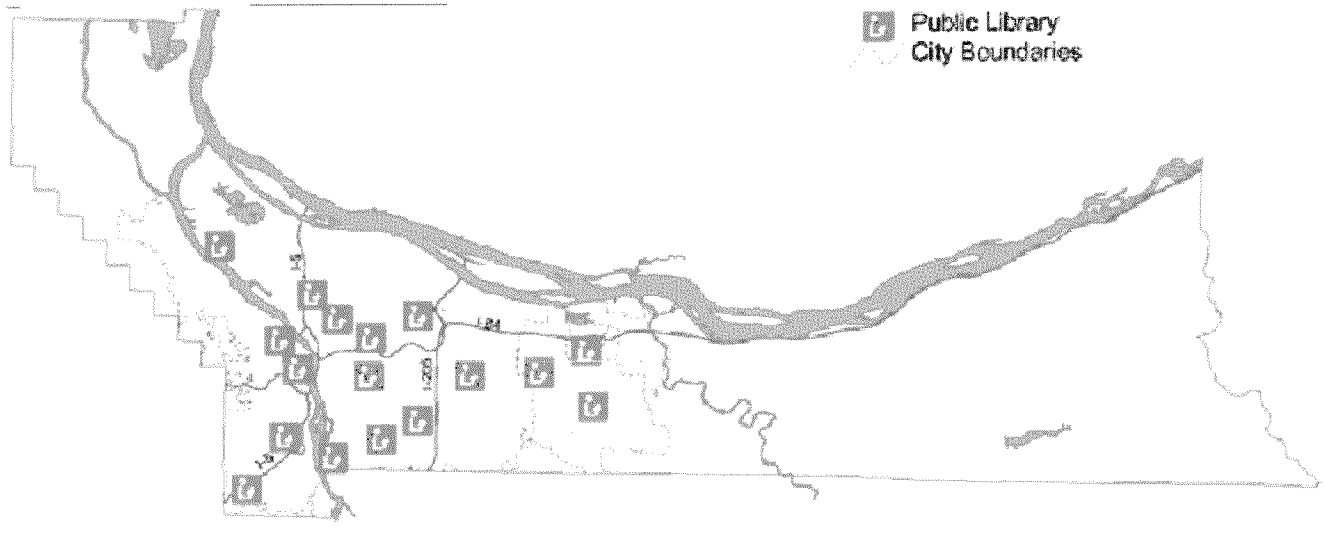
The number of hours worked by Library employees was equal to 475 full-time positions in FY2002. This is an increase of 45% since FY1998, but a slight decrease from FY2001.

- 52,914 hours were worked by volunteers in County libraries in FY2002, an increase of 26% over five years.
- Staffing increases in FY1999 reflect service enhancements funded by the 1997 levy.



Books and Reading

Location of Multnomah County Libraries



One of the Library's primary purposes is to provide a wide range of books and other materials to the residents of Multnomah County. The Library has a large collection that includes popular titles, classics, children's books, training and research materials, and many other resources.

In addition, the Library supports opportunities to increase reading and literacy in the community by providing outreach, reading programs, and materials in alternate formats and languages to meet the needs of county residents.

Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Books and materials in languages other than English	21,577	22,038	26,697	30,042	36,909
Books and materials in large print, audio and video tape, DVD, or CD	156,643	183,729	213,186	249,345	302,470
New library cards issued annually	65,592	67,626	68,752	68,559	70,219
Hours open (all libraries)	27,994	36,302	37,677	42,698	47,379
Book holds filled	650,273	787,465	893,039	1,053,627	1,396,277
Books circulated	8,486,034	9,450,963	12,152,743	14,008,166	16,133,945

Books and Reading

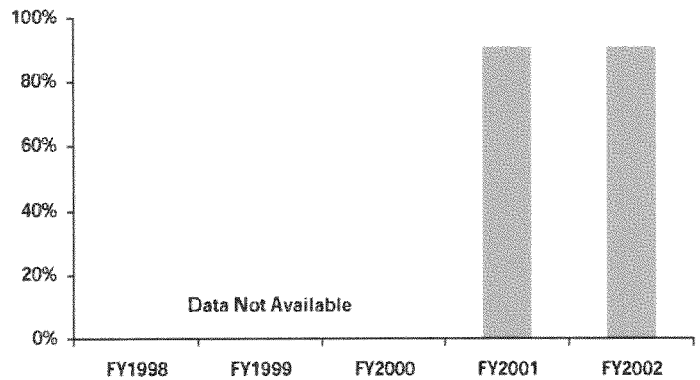
Satisfaction with Availability of Books and Materials

The Multnomah County Auditor's Office started a Citizen Survey in FY2001. The annual survey of county residents shows a high level of satisfaction with the availability of books and materials at County libraries. The percent of survey respondents who were satisfied or very satisfied with availability was 91% in FY2001 and FY2002.

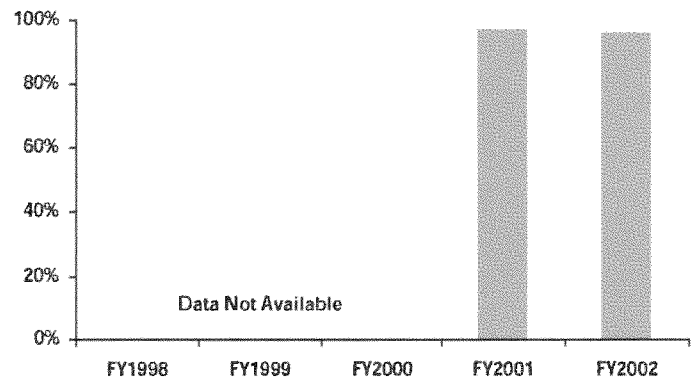
Overall Satisfaction with Libraries

Citizens also responded to a general question about their overall satisfaction with County libraries. Of those surveyed, 97% and 96% of survey respondents answered satisfied or very satisfied with the Library's overall quality in FY2001 and FY2002, respectively.

Survey Respondents Satisfied or Very Satisfied with Availaility of Books and Materials



Survey Respondents Who are Satisfied or Very Satifsied with Overall Library Quality



Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of browsers who found something to check out	92%	90%	92%	91%	91%
Percent of visitors who found the specific subject or author they were seeking	72%	84%	84%	93%	88%
Percent of visitors who found the specific title they were seeking	61%	66%	72%	76%	64%
Percent of unavailable items delivered within 7 days	58%	58%	56%	60%	61%
Active borrowers (used library card in last three years)	N/A	393,610	444,219	515,184	509,949
Books and materials turnover rate	N/A	N/A	N/A	8.2	8.6
Frequency of visits to Library per capita	4.2	4.5	6.1	5.0	5.8

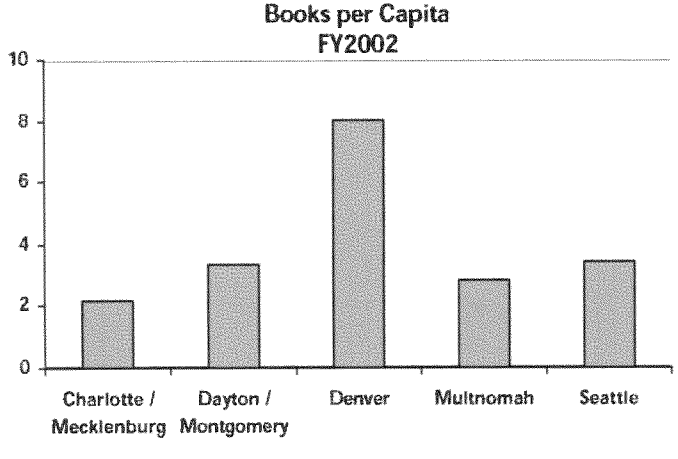
Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Circulation per employee	25,980	23,087	27,930	29,245	33,981
Express check-out (automated) use	New Program		548,453	620,362	1,051,862

Books and Reading

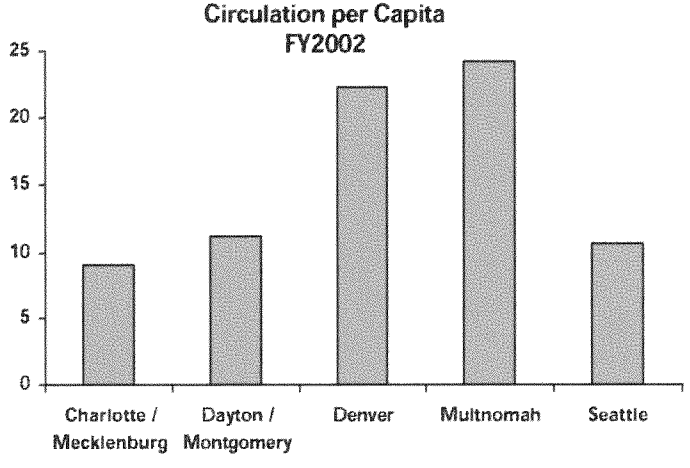
Multnomah County and Comparable Libraries

Libraries around the country provide a core set of services, such as checking books in and out, that are relatively easy to compare. For the purposes of this report, the public library systems in Denver, CO; Seattle, WA; Charlotte/Mecklenburg County, NC; and Dayton/ Montgomery County, OH were used as comparison sites based on similarities in the population served and library system characteristics. While many services are similar, no two library systems are exactly alike; for example, Dayton/Montgomery County has four overlapping library systems within the service area. Differences in services and how each library defines services affect the results presented here. Results should be interpreted cautiously.

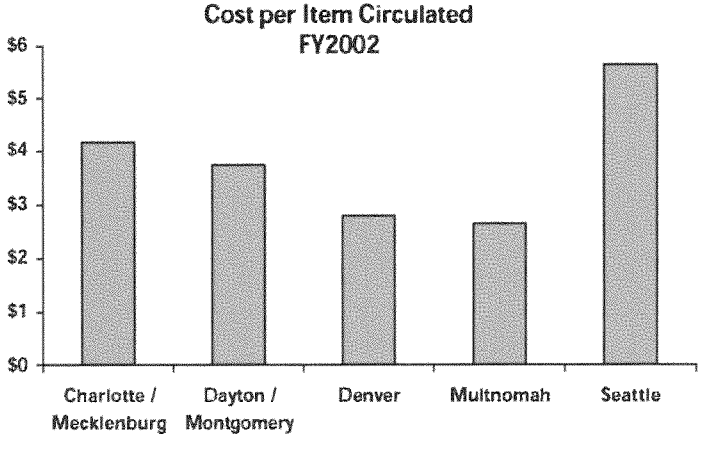
The number of books per capita in Multnomah County was 2.8 in FY2002. Approximately half of Denver's books and materials were government publications while others had a lower percentage of government publications.



The Multnomah County Library's circulation per capita was 24.2, the highest among jurisdictions compared.



Cost per item circulated was $2.60, the lowest among jurisdictions compared. This cost does not include debt service costs and major construction costs.

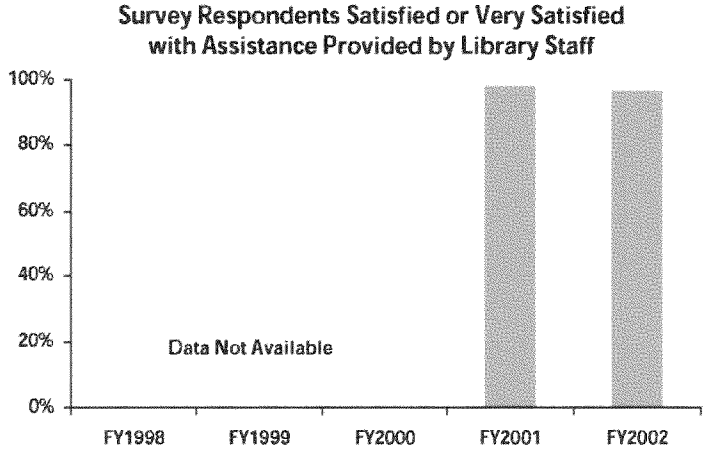


General Information and Information Literacy

The Library provides a number of programs, resources, and services to meet the information needs of county residents. These services include:

- Internet, fax, and telephone access to resources
- Staff assistance with homework, reference questions, and electronic services
- Computer stations for public use
- Classes to help adults and youth learn to use information and technology

The Library maintained a high level of citizen satisfaction with library assistance in the two years surveyed.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Reference transactions	663,039	748,476	773,576	1,054,769	1,168,488
Telephone reference transactions	77,863	91,604	87,183	79,166	73,644
In-library use of materials	2,017,704	2,562,598	2,472,652	2,434,792	N/A
Web site hits	1,067,797	9,203,676	42,323,312	43,346,524	44,568,574
Students taught technology information skills and library resources in schools	12,711	14,093	15,749	14,392	17,985
Information literacy classes held	New Program	102	340	403	450
Website hits for homework help	New Program		119,547	348,259	2,635,053

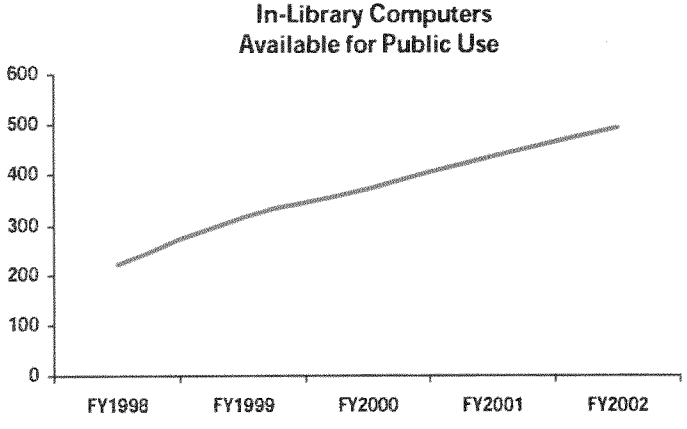
General Information and Information Literacy

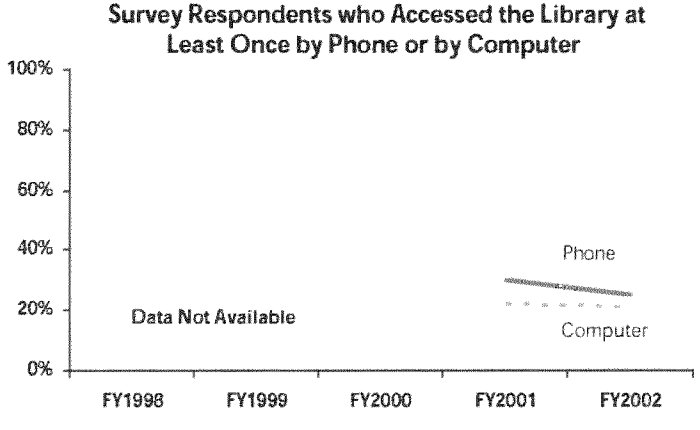
Public Access to Computers

The Library provides computers at the Central Library and in each branch for the public to use. Visitors use these computers to access the Internet, do research, and get information about the Library's resources. The number of public computers has increased and will continue to grow as new libraries are built and new stations are added to renovated libraries.

Remote Users

Technology provides more ways for people to interact with the Library in addition to personal contact. In FY2002 the percentage of respondents to the annual citizen survey who had contacted the Library by phone at least once was 25%. The number who contacted the Library at least once via computer was 21%.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Remote user satisfaction			Measure Under Development		
Satisfaction with information literacy classes	N/A	N/A	N/A	N/A	99%

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Reference transactions completed per staff person	6,928	5,963	5,696	6,553	7,346

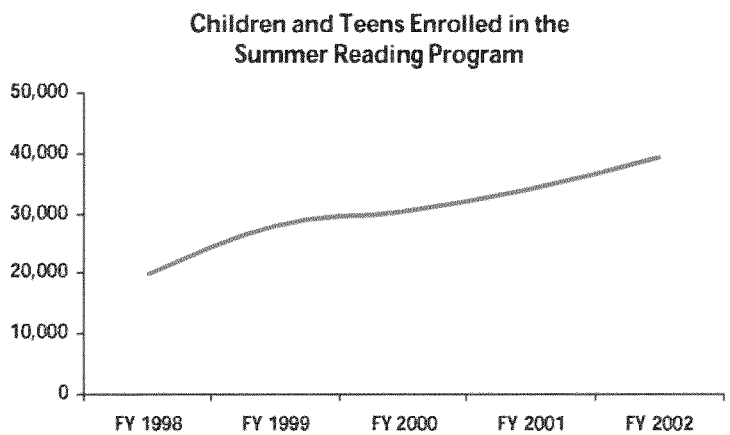
Childhood and Lifelong Learning

The Library provides opportunities for people to learn throughout their lifetimes. These include:

- Books and training available to parents and childcare facilities to establish early reading habits in young people
- Partnerships with schools to help children learn through School Corps, Homework Help, Books 2U, Internet resources, etc.
- Campaigns in schools each fall to ensure that youth have library cards and know how to use them
- Programs on investing and job-hunting available for adults
- Accommodations for people with special needs
- Services to nursing home residents and homebound individuals who cannot travel to libraries

Summer Reading Program

The number of children enrolled in the Summer Reading program has grown over 98% since FY1998. The program is part of the Library's strategy to encourage reading among youth to help them succeed in school.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Books distributed to child care facilities	48,645	60,517	83,005	92,575	95,257
Contacts with youth in targeted programs in and outside libraries	414,962	570,940	465,727	261,910	542,602
Electronic resources website page hits	184,230	1,381,289	2,926,152	3,104,347	4,498,857
Youth interest website page hits	New Program		99,955	2,776,801	2,382,437
Technology classes offered for seniors			New Program	79	69
Visits to nursing homes	431	693	672	1,188	1,158
Books distributed through adult outreach services	234,871	158,753	142,651	183,610	202,322

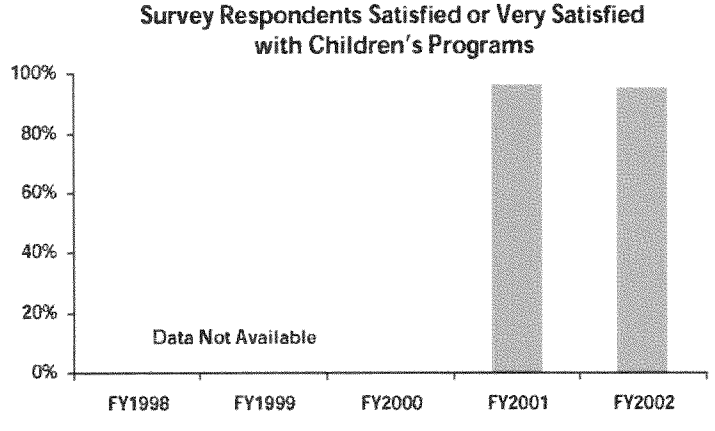
Childhood and Lifelong Learning

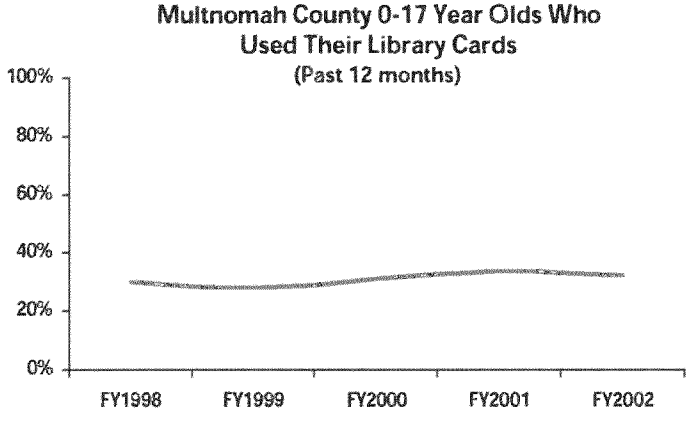
Citizen Satisfaction with Children's Programs

The Library's programs for children achieved high satisfaction ratings by respondents to the citizen survey. 96% and 95% of respondents gave a high mark in this area in FY2001 and FY2002, respectively.

Library Card Use by Children and Teens

The Library works closely with schools and provides a number of in-library, outreach, and on-line services designed to help youth access the Library and encourage them to use their library cards. Over the last 5 years, between 28% and 34% of youth in the county used their library cards in the previous 12 months.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Young cardholders added annually	N/A	N/A	N/A	19,061	18,510
Percent of books and materials in languages other than English	1.5%	1.4%	1.5%	1.6%	1.9%
Percent of circulation that is materials for children and young adults	31%	31%	28%	27%	27%
Percent of active cardholders over 55 years old (used card within past 18 months)	N/A	N/A	N/A	12%	12%

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per adult outreach services circulation (adjusted for inflation)	$1.23	$2.18	$2.47	$1.91	$2.04

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Multnomah County

Service Efforts and Accomplishments FY2002

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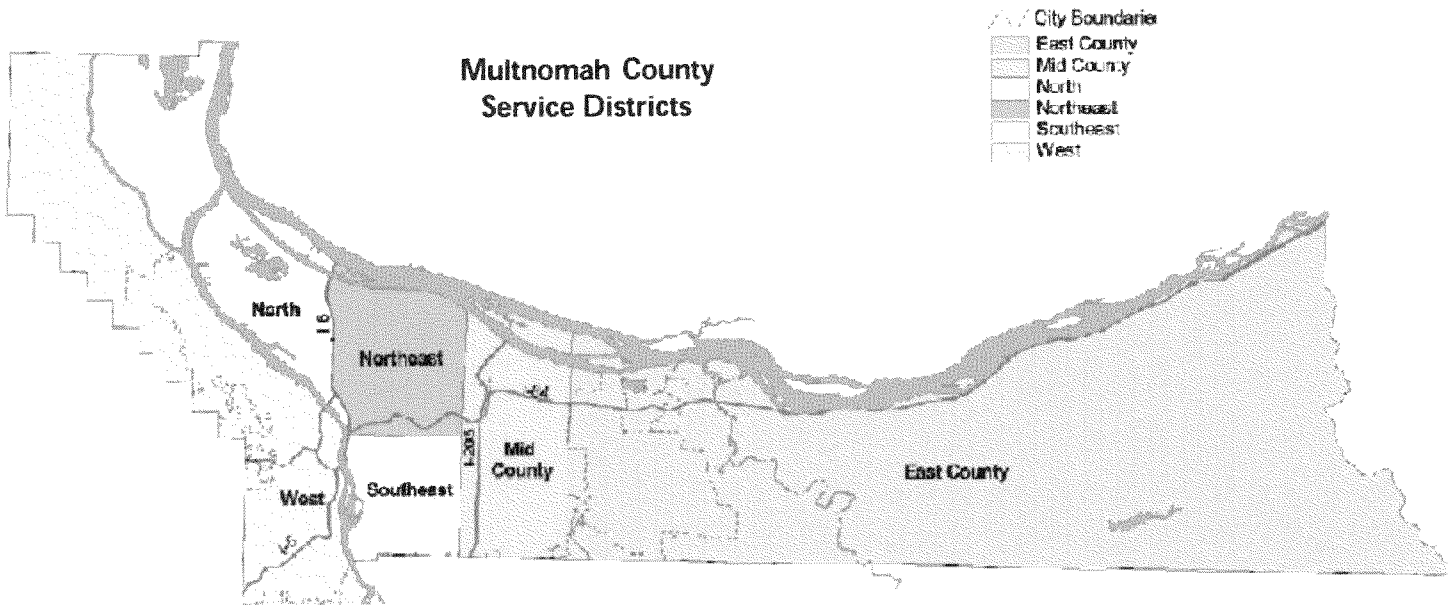
Community-Based Service Delivery

The purpose of the Multnomah County citizen survey is to obtain information from citizens about their satisfaction with County services and their views on how well the County is doing. While many individual survey items are not directly linked to specific County services, they are designed to measure qualities that are indirectly linked. We also hope to improve insight into how County government may contribute to citizens' overall feelings of regional and neighborhood livability.

The County's health and social service delivery offices are located throughout the County to allow easier access to citizens who need them. Services are provided in schools, non-profit programs, senior centers, branch offices, churches, clinics, and cultural and family centers. The Library has 16 branches, the Central Library in downtown Portland, and the Title Wave Used Bookstore. In the social and health services system each department has geographically dispersed locations to better serve citizens.

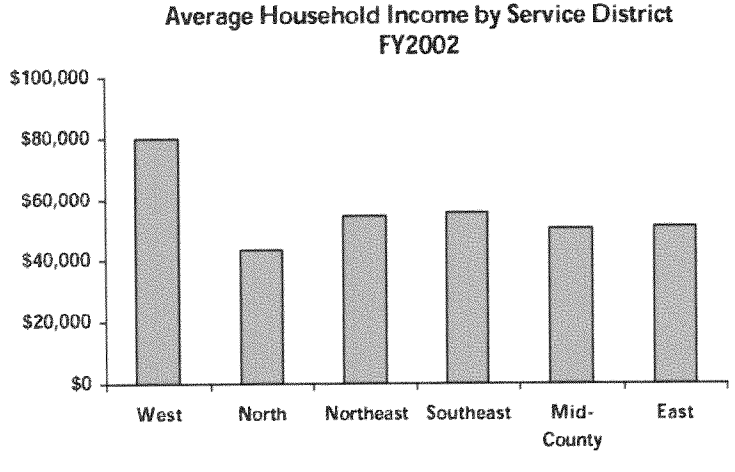
To analyze the survey data, we looked at citizen responses county wide and by service district. The service district boundaries were adapted from the Office of School and Community Partnerships service boundaries. These are also closely aligned with the Aging and Disability Services Division and Health Department service boundaries.

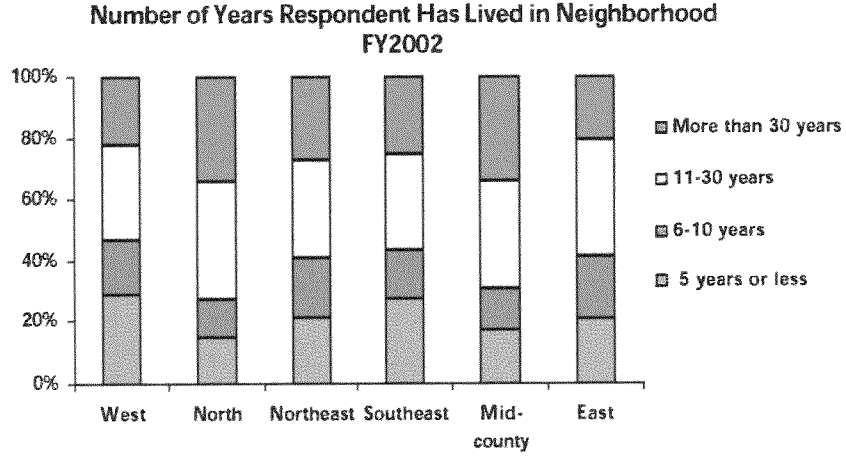


Respondent Characteristics

There were several questions on the citizen survey that related to individual or household characteristics. From this we learned that of those who responded:

- 22% lived in Multnomah County five years or less and 27% more than 30 years. Citizens in the West and Southeast Districts had a higher percentage that lived there five years or less and citizens in the North and Mid-County Districts had a higher percentage that lived there more than 30 years.
- For hours worked per week, the West had a higher percentage of respondents who worked over 40 hours per week (26%).
- For total income, the West had the highest percentage of respondents who made $60,000 or more and North had the highest percentage who were living at the poverty threshold or below. With the exception of West, service districts were similar in the number of respondents with a household income between the poverty threshold and median income (approximately 1/3 of respondents).
- Most citizens (85%) rated themselves as having good, very good, or excellent health.
- 30% had some or a great deal of limited activity due to their physical health or functioning.
- 15% had some or a great deal of limited activity due to their emotional or mental health problems.

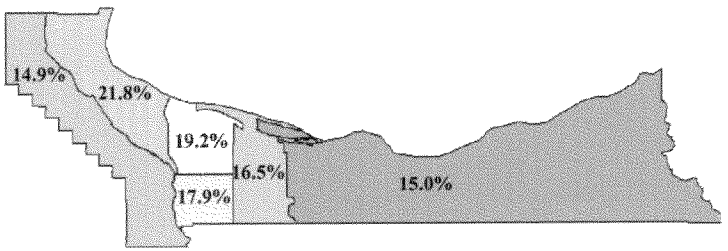




Animal Services

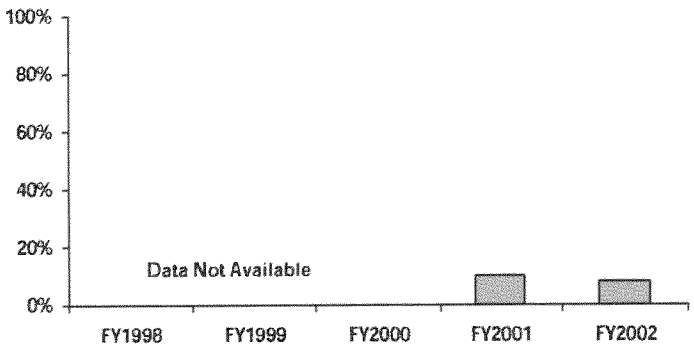
The mission of the Animal Services Division is to protect people and animals through the promotion and enforcement of responsible animal ownership. The Field Services program is responsible for providing timely response and effective resolution to animal-related emergencies and neighborhood problems involving animal ownership. One of the most frequent problems neighbors report is with barking dogs.

Percent Having Problems with Barking Dogs FY2002



In addition to barking dog problems, 25% of respondents identified a problem with animals running loose in their neighborhood and 7% identified a problem with a vicious animal. Despite the problems of barking (17%) and loose animals in neighborhoods, only 8% had reported a problem to Animal Services. Of the 165 respondents who had reported a problem to Animal Services, more than half (58%) were somewhat or very satisfied with the service they received.

Percent Reporting Problems to Animal Services

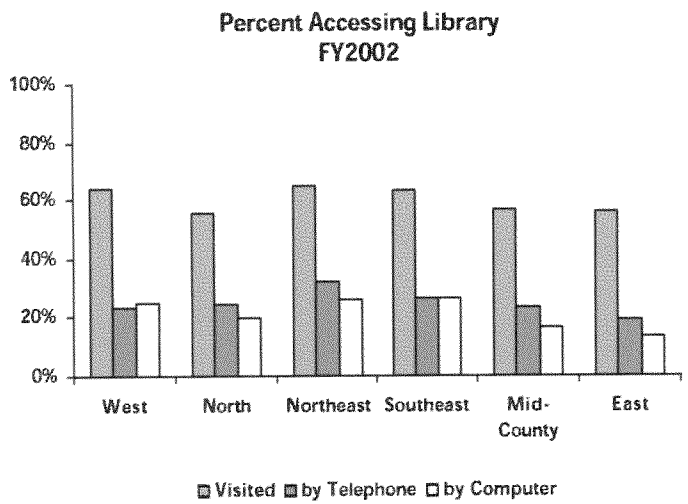


Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who had a problem with barking dogs in neighborhood	N/A	N/A	N/A	30%	17%
Percent who had a problem with animals running loose in neighborhood	N/A	N/A	N/A	34%	25%
Percent who had a problem with vicious animal in neighborhood	N/A	N/A	N/A	9%	7%

Library

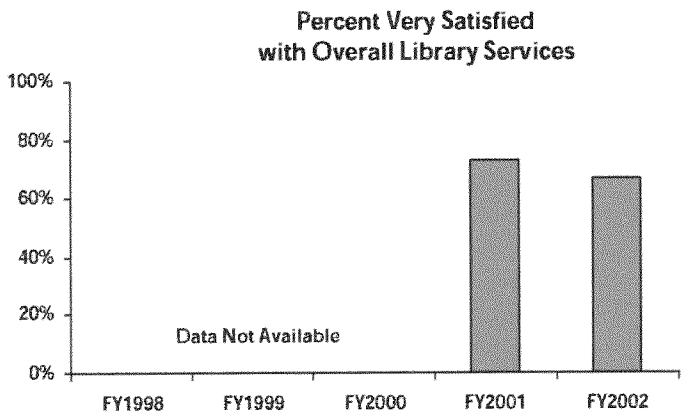
Accessing Library Services

The percent of respondents accessing the Library by computer is only slightly lower (4%) than those accessing the Library by telephone.



Satisfaction With Library Services

Overall, respondents were very satisfied with Library services. Libraries were also rated highly for location, assistance provided by library staff, and children's programs.



Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent very satisfied with hours library is open	N/A	N/A	N/A	59%	51%
Percent very satisfied with location	N/A	N/A	N/A	75%	76%
Percent very satisfied with availability of books and materials	N/A	N/A	N/A	58%	57%
Percent very satisfied with assistance provided by library staff	N/A	N/A	N/A	79%	77%
Percent very satisfied with children's programs	N/A	N/A	N/A	70%	70%

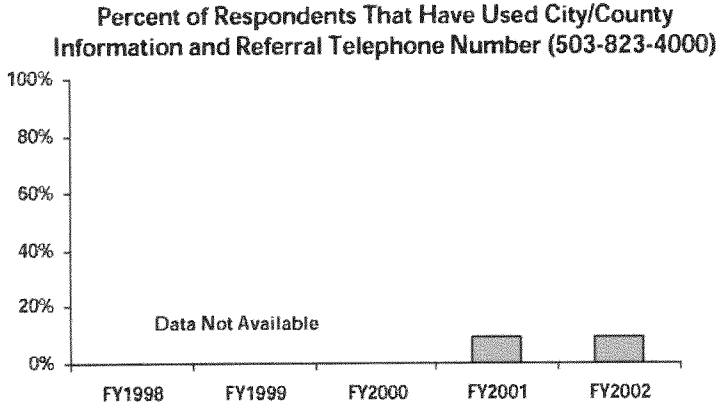
Access to County Services

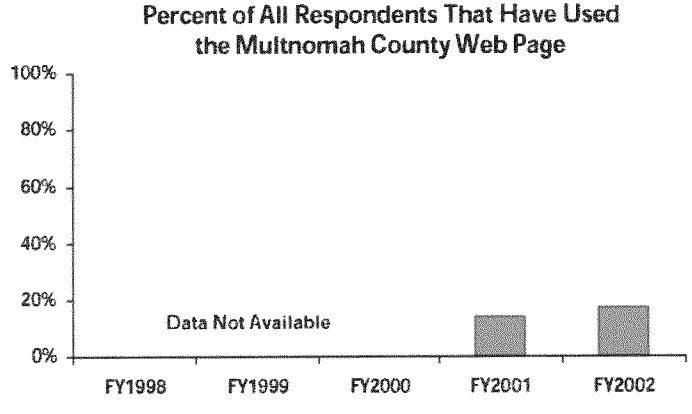
Telephone Information Referral

Since November 2000, Portland and Multnomah County have shared one Information and Referral (I&R) telephone number. The combining of I&R functions is to allow better accessibility for citizens. With one contact number, citizens do not need to know which services are City and which are County. While only 9% of respondents had used the I&R number, 24% were aware of it.

Web Page

A higher percent of respondents had used the Multnomah County web page (17%) than the I&R telephone number. Furthermore, a higher percentage indicated they were aware of the County's web page at 53%.





Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who attempted to find out about or use any City or County service	N/A	N/A	N/A	28%	24%
Percent who knew there is a City/County Telephone Information and Referral number	N/A	N/A	N/A	27%	24%
Percent with access to the Internet either from home or other location	N/A	N/A	N/A	75%	75%
Percent who knew the County had a web page	N/A	N/A	N/A	38%	53%

Sense of Safety

Feeling Safe Walking in the Neighborhood

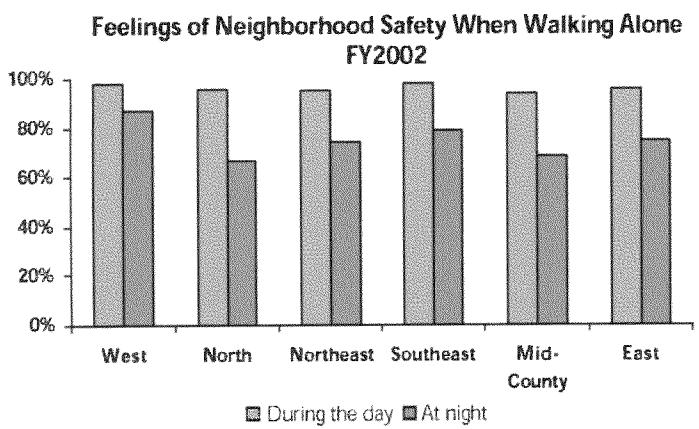
Feelings of safety in a neighborhood can be affected by several environmental factors such as law enforcement presence, street lighting, and knowing neighbors. While some of these factors may be directly linked to County services, others are not.

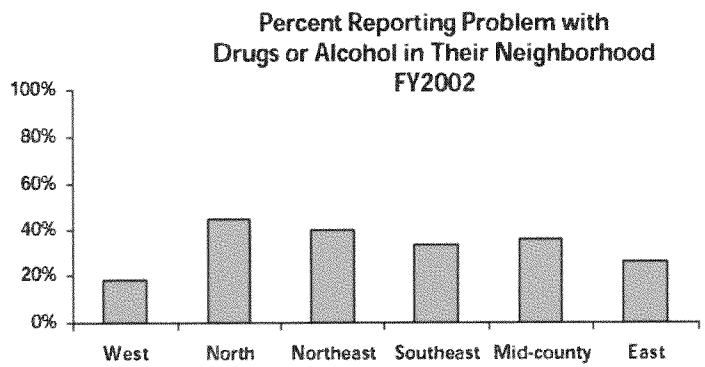
While most people surveyed reported feeling fairly safe walking alone in their neighborhood during the day, respondents in North and Mid-County felt noticeably less safe at night.

Problems in the Neighborhood

The County provides programs to help families and communities deal with social problems. In particular, the County targets low-income families dealing with a variety of issues including drug and alcohol problems, domestic abuse, homlessness, and reintegration of criminal offenders into communities.

North and Northeast reported more problems with drugs and alcohol. North also reported more problems with neighbors fighting. Northeast and Southeast reported more problems with graffiti.





Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who agree they can count on adults in the neighborhood to watch out that children are safe	N/A	N/A	N/A	85%	86%
Percent who had a problem with children not being in school during the day	N/A	N/A	N/A	17%	19%
Percent who had a problem with fighting in their neighborhood	N/A	N/A	N/A	16%	16%
Percent who had problems with graffiti in their neighborhood	N/A	N/A	N/A	27%	22%
Percent who had problems with children hanging around	N/A	N/A	N/A	18%	16%
Percent who were aware of homeless adults in their neighborhood	N/A	N/A	N/A	24%	22%
Percent who were aware of homeless children in their neighborhood	N/A	N/A	N/A	4%	2%

Sense of Community

Community Building

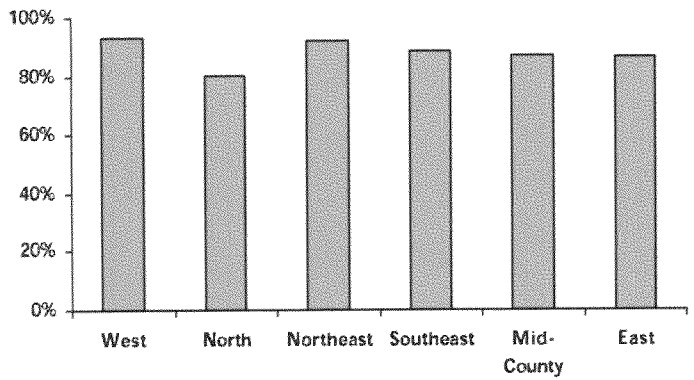
While local governments provide programs to improve neighborhood livability, they are limited by personal and community motivation. With few exceptions, government can only assist those who will accept or seek assistance.

Respondents in each of the service districts clearly feel their neighbors would be willing to help each other.

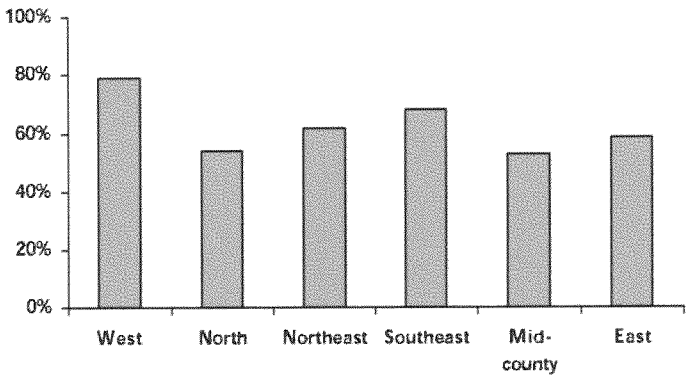
Community-Based Services

One of the ways Multnomah County programs attempt to effectively deliver services is by showing a presence in communities. The County has school-based programs, senior centers, and family centers located throughout the County. The County wants citizens to be aware of its presence and be accessible to those who need services.

Percent of Respondents Who Believe People in Their Neighborhood Would be Willing to Help Each Other FY2002



Percent who Strongly Agree Their Neighborhood is a Good Place to Live FY2002



Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who strongly agree or agree there is a sense of community	N/A	N/A	N/A	78%	80%
Percent who strongly agree or agree people move in and out of the neighborhood a lot	N/A	N/A	N/A	36%	34%
Percent who strongly agree or agree that if children were doing something wrong neighbors would do something about it	N/A	N/A	N/A	78%	84%
Percent who strongly agree or agree adults in the community know the kids	N/A	N/A	N/A	69%	76%
Percent who strongly agree or agree very few of their neighbors know them	N/A	N/A	N/A	51%	48%
Percent who strongly agree or agree they can recognize most of the people on their block	N/A	N/A	N/A	81%	83%
Percent who strongly agree or agree they regularly talk with people in their neighborhood	N/A	N/A	N/A	73%	76%

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Appendix

In the spring of 2002, the Multnomah County Auditor's Office worked with the Portland State University Survey Research Laboratory to conduct its second telephone survey of Multnomah County residents. Survey participants were adults from randomly selected households. A total of 15,000 telephone numbers were selected using ASDE Survey Sampler software. Of those numbers, 54% were directory-listed numbers, and 46% were not directory listed. The non-listed numbers were created by randomly generating the final four digits based on the exchanges in use in Multnomah County. A minimum of five attempts, and as many as ten, were made to reach each working number in the sample. Interviewers were available to interview in Spanish as well as English.

Service delivery districts were developed based on the Office of School and Community Partnerships service district boundaries. In total, 2,000 interviews were completed. A total of 38,628 calls were made to 14,522 telephone numbers. Of the 14,522 telephone numbers, 5,906 were not valid numbers for the study because they were not in Multnomah County; did not speak Spanish or English; or were group homes, non-working numbers, non-residential, cell phones, or pay phones. This left a total of 6,944 eligible phone numbers to be contacted. Of those, 2,000 completed the interview and 2,417 refused to be interviewed. The remainder were persistently unavailable. This yields an interview completion or response rate of 45%. This is up from last years response rate of 33%.

Several quality control measures were used for the telephone survey. These included:

- Making all calls from a supervised, centralized interviewing facility
- Using a Computer-Assisted Telephone Interviewing (CATI) system
- Conducting detailed training of interviewers and supervisors on the survey instrument
- Monitoring selected calls made by each interviewer
- Closely monitoring reports concerning interviewer performance

The sampling error (at a 95% confidence level) for this survey is +/- 2%.

Citizen Survey

ANIMAL CONTROL

Q1A - In the past year, have you had any problems in your neighborhood with barking dogs?
0 No
1 Yes
8 Don't know
9 Refused

Q1B - In the past year, have you had any problems in your neighborhood with animals running loose?
0 No
1 Yes
8 Don't know
9 Refused

Q1C - In the past year, have you had any problems in your neighborhood with a vicious animal?
0 No
1 Yes
8 Don't know
9 Refused

Q1D - In the past year, have you reported an animal problem in your neighborhood to Multnomah Animal Control?
0 No
1 Yes
8 Don't know
9 Refused

Q1E - Thinking about the last time you called Multnomah Animal Control, how satisfied were you with the service you received?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

LIBRARY

Q2A - In the past 12 months, have you visited a Multnomah County library?
0 No
1 Yes
8 Don't know
9 Refused

Thinking about the Multnomah County Library you usually go to:

Q2B - In general, how satisfied are you with the hours it is open?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Citizen Survey

Q2C - In general, how satisfied are you with its location?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2D - In general, how satisfied are you with the availability of books and materials?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2E- In general, how satisfied are you with the assistance provided by library staff?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2F - In general, how satisfied are you with the children's programs?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2G - In the past 12 months, have you contacted a Multnomah County library by telephone?
0 No
1 Yes
8 Don't know
9 Refused

Q2H - In the past 12 months, have you accessed a Multnomah County library by computer?
0 No
1 Yes
8 Don't know
9 Refused

Q2I - Overall, how satisfied are you with Multnomah County libraries?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Citizen Survey

COUNTY SERVICES

Q3 - In the past year, have you attempted to find out about or use any city or county service besides animal control or the library?
0 No
1 Yes
8 Don't know
9 Refused

Q3A - What service or services were you looking for?

Q3B - How difficult or easy was it to find the service?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q3C - Did you know there is a City/County Telephone Information and Referral number?
0 No
1 Yes
8 Don't know
9 Refused

Q3D - Have you used the City/County Information and Referral number in the past year?
0 No
1 Yes
8 Don't know
9 Refused

Q3E - How satisfied were you with the information you received?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q4 - Do you have access to the Internet either from home or from another location?
0 No
1 Yes
8 Don't know
9 Refused

Q4A - Did you know Multnomah County has a web page?
0 No
1 Yes
8 Don't know
9 Refused

Q4B - Have you ever used the Multnomah County web page?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q4C - How satisfied were you with the web page?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q5A - Are you or a family member currently using senior or aging services?
0 No
1 Yes
8 Don't know
9 Refused

Q5B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q6A - Are you or a family member currently using disability services?
0 No
1 Yes
8 Don't know
9 Refused

Q6B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q7A - Are you or a family member currently using adult foster care?
0 No
1 Yes
8 Don't know
9 Refused

Q7B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q8A - Are you or a family member currently using the Neighborhood District Attorney Program?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q8B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q9A - Are you or a family member currently using the Connections Program for Young Parents?
0 No
1 Yes
8 Don't know
9 Refused

Q9B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q10A - Are you or a family member currently using a County clinic, for example, a TB, Methadone, HIV, or dental clinic?
0 No
1 Yes
8 Don't know
9 Refused

Q10B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q11A - Are you or a family member currently using the Head Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Q11B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q12A - Are you or a family member currently using the Healthy Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q12B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q13A - Are you or a family member currently using mediation for family court services?
0 No
1 Yes
8 Don't know
9 Refused

Q13B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q14A - Are you or a family member currently using the Head Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Q14B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q15A - Are you or a family member currently using the Foodhandler's Card Program?
0 No
1 Yes
8 Don't know
9 Refused

Q15B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q16A - In the past year, have you been a victim of a crime?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q16B - Yes
Did you report the Crime?
0 No
1 Yes
8 Don't know
9 Refused

Q16C - Yes
Did you have contact with the District Attorney's Office?
0 No
1 Yes
8 Don't know
9 Refused

Q16D - Yes
Did they inform you of services that may help you?
0 No
1 Yes
8 Don't know
9 Refused

Q16E - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q17A - In the past year, have you been supervised by a County parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17B - Yes
In the past year, did you have regular contact with your parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17C - Yes
In the past year, do you feel you have received fair treatment by your parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17D - Yes
In the past year, how often has your parole or probation officer treated you with respect?
1 Always
2 Most of the time
3 Some of the time
4 Never
8 Don't know
9 Refused

Q18A - In the past year, have you been incarcerated in a Multnomah County jail?
0 No
1 Yes
8 Don't know
9 Refused

Q18B - Yes
Do you feel you received fail treatment from jail personnel?
0 No
1 Yes
8 Don't know
9 Refused

Q18C - Yes
How often do you feel jail personnel treated you with respect?
1 Always
2 Most of the time
3 Some of the time
4 Never
8 Don't know
9 Refused

Q18D - Yes
Did you receive any transitional services to assist you with reentry into the community, for example, education, employment, housing, or assistance with basic needs?
0 No
1 Yes
8 Don't know
9 Refused

Q18E - Yes
What service or services did you receive?

Q18F - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q19A - In the past year, have you applied for a marriage license or domestic partner registration?
0 No
1 Yes
8 Don't know
9 Refused

Q19B - Yes
How difficult or easy was it to find the applications?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q19C - Yes
How difficult or easy was it to understand the application process?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q20A - Do you use any of these bridges at least once a week?

The bridges I'm asking about are the Sellwood, Hawthorne, Morrison, Burnside, Broadway, and Sauvie Island Bridges.
0 No
1 Yes
8 Don't know
9 Refused

Q20B - In the past year, have you experienced a bridge closure or delay due to a special event or construction?

This does not include raising or lowering the bridge for single ships.
0 No
1 Yes
8 Don't know
9 Refused

Q20C - Yes
Do you feel you were adequately notified in advance of the changes in traffic flow due to the event or construction
0 No
1 Yes
8 Don't know
9 Refused

NEIGHBORHOOD

Q21A - I think my neighborhood is a good place for me to live.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21B - I feel there is a sense of community in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21B - I feel there is a sense of community in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q21C -If children in my community were doing something wrong, neighbors would do something about it.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21D - Adults in my community know the kids in their neighborhood.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21E - Very few of my neighbors know me.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21F - People around here are willing to help their neighbors.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21G - I Can recognize most of the people who live on my block.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21H - You can count on adults in this neighborhood to watch out that children are safe.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21I - People move in and out of my neighborhood a lot.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q21J - I regularly stop and talk with the people in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q22A - In your neighborhood how much of a problem is kids who are not in school during the day?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22B - How much of a problem is alcohol or drug abuse in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22C - How much of a problem is neighbors' fighting in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22C1 - How often is this fighting within a family?
0 Never
1 Sometimes
2 Often
8 Don't know/No Opinion
9 Refused

Q22D - How much of a problem is graffiti in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22E - How much of a problem in your neighborhood are kids hanging around after school and on weekends?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q23A - Are you aware of any homeless adults in your neighborhood?
1 No
2 Yes
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q23B - Are you aware of any homeless children in your neighborhood?
1 No
2 Yes
8 Don't know/No Opinion
9 Refused

Q24A - In general, how safe do you feel walking alone in your neighborhood during the day?
1 Very unsafe
2 Somewhat unsafe
3 Somewhat safe
4 Very safe
8 Don't know/No Opinion
9 Refused

Q24B - In general, how safe do you feel walking alone in your neighborhood at night?
1 Very unsafe
2 Somewhat unsafe
3 Somewhat safe
4 Very safe
8 Don't know/No Opinion
9 Refused

OTHER
Q25 - In what year were you born?

Q26 - Gender?
0 Male
1 Female
8 Don't know
9 Refused

Q27 - How would you describe your current marital status??
1 Single
2 Married
3 Living with a partner
4 Separated
5 Divorced
6 Widowed
8 Don't know
9 Refused

Q28 - Would you describe yourself as any of the following: Spanish, Hispanic or Latin?
0 No
1 Yes
8 Don't know/No Opinion
9 Refused

Q29A - How would you describe your race?
1 White
2 American Indian or Alaskan Native
3 Asian or Pacific Islander
4 Black or African-American
5 Multi-racial
6 Other
8 Don't know/No Opinion
9 Refused

Q29B - OTHER
How would you describe your race?

Citizen Survey

Q30 - What is the highest grade or level of school you have completed?

01 Less than 8 years
02 Some high school (2-12 years), without a diploma
03 High school diploma or GED
04 Associate Degree (for example: AA, AS)
05 Some college, but no degree
06 Bachelor's degree (for example BA, AB, BS)
07 Some graduate study, but no degree
08 Graduate or professional degree (Master's, Professional (e.g., law, medicine), Doctorate)
89 Don't know/No Opinion
99 Refused

Q31 - About how many hours a week on average, if any, do you work?

Q32 - In general, would you say your health is:

1 Excellent
2 Very good
3 Good
4 Fair
5 Poor
8 Don't know
9 Refused

Q33 -On a typical day, to what extent does your physical health or functioning limit your activities?

1 Not at all
2 Some
3 A great deal
8 Don't know
9 Refused

Q34 -On a typical day, to what extent do emotional or mental health problems limit your activities?

1 Not at all
2 Some
3 A great deal
8 Don't know
9 Refused

Q35 -How many children and adults, including yourself, are living in your household right now?

Q36 -Of the people in your household, how many are children aged 18 or younger?

Q37 - Do you live in a:

1 single family home,
2 a 2-,3-, or 4-plex
3 or a larger apartment or condominium complex?
4 Other
8 Don't know

9 Refused

Q38 - Do you rent or own?
1 Rent
2 Own
8 Don't know
9 Refused

Q39 - What language do you usually speak at home?
1 English
2 Spanish
3 Other
8 Don't know
9 Refused

Q40 - Other
What language do you speak at home:

Q41 - Which of the following four statement best describes your ability to get along on your household income:

Household=all people living in household
1 You can't make ends meet
2 You have just enough, no more
3 You have enough, with a little extra sometimes
4 You always have money left over
8 Don't know
9 Refused

Q42 - Adding together the income of all the people in your household, could you please tell me approximately what your total household income was last year, from all sources, before

Appendix

External Data Sources & Data Definition

This Appendix list only the external data sources used in this report. Most of the other data used came directly from the departments, their contractors, or county wide information systems. We did not list these sources here. For information or data sources not listed here, please contact the departments directly.

All population figures for Fiscal Year 2002 are from *July 1, 2001: Oregon Population Report*, Population Research Center, Portland State University.

Department of County Human Services

Pages, 13, 15, and 16
All school and drug treatment data except for DUII: State Client Process Monitoring System (CPMS)

Pages 13 and 17:
Number of senior and disabled clients, percent in different care options, living assistance in homes: State Seniors and Disabled Services Management Information System (MMIS)

Page14:
Calls to domestic violence crisis line: Oregon Department of Human Services

Health Department

Page 24
Insurance Coverage: Oregon Population Survey and U.S Census Bureau 2000 (via the Portland/Multnomah County Progress Board).
Inadequate Prenatal Care: Birth Certificate Data, Oregon Health Division

Page 25
Economic Benefits: *Public Health: What it is and How it Works.* Turnock, Bernard J. 1997.
New HIV Positive Tests (calendar Year): Oregon Health Division

Page 26
Infant Mortality Rate: Oregon Health Division.
Teen Pregnancy: Oregon Health Division.

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Page 27
Health Risk Behaviors data on Obesity: Oregon Behavioral Risk Factor Surveillance System 2000.
Adult Cigarette Smoking: Oregon Behavioral Risk Factor Surveillance System 2000.
Youth Smoking: Oregon Healthy Teens Survey 2001.

Page 28
Ambulance Response Time: 911 Call Center

Page 29
2-Year-Old Immunization Rate: *2001 CDC Survey of Two-Year Olds*, Oregon Health Division.
Percent Sexually Transmitted Diseases Interviewed: Oregon Health Division, STD Section.
Sexually Transmitted Disease Contacts: DHA/MIS/Interview Activity Report.

Library
All citizen satisfaction survey information is from FY2001 and FY2002 *Multnomah County Auditor's Office Citizen Survey.*

Page 33
Hours Open: *Oregon State Library Statistical Reports,* 2000.

Page 35
Dayton Metro Library, Ohio: Dayton Metro Library Circulation Division Manager.
Denver Public Library, Colorado: Denver Public Library Business Analyst.
Public Library of Charlotte/Mecklenburg County, North Carolina: Charlotte/Mecklenburg Finance Director.
Seattle Public Library, Washington: Seattle Public Library Director's Office.

Page 39
Circulation of Children's and Young Adult's Materials: Oregon State Library Statistical Reports, 2000.

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Multnomah County

Service Efforts and Accomplishments FY2002

Service Efforts and Accomplishments FY2002

Social and Health Services

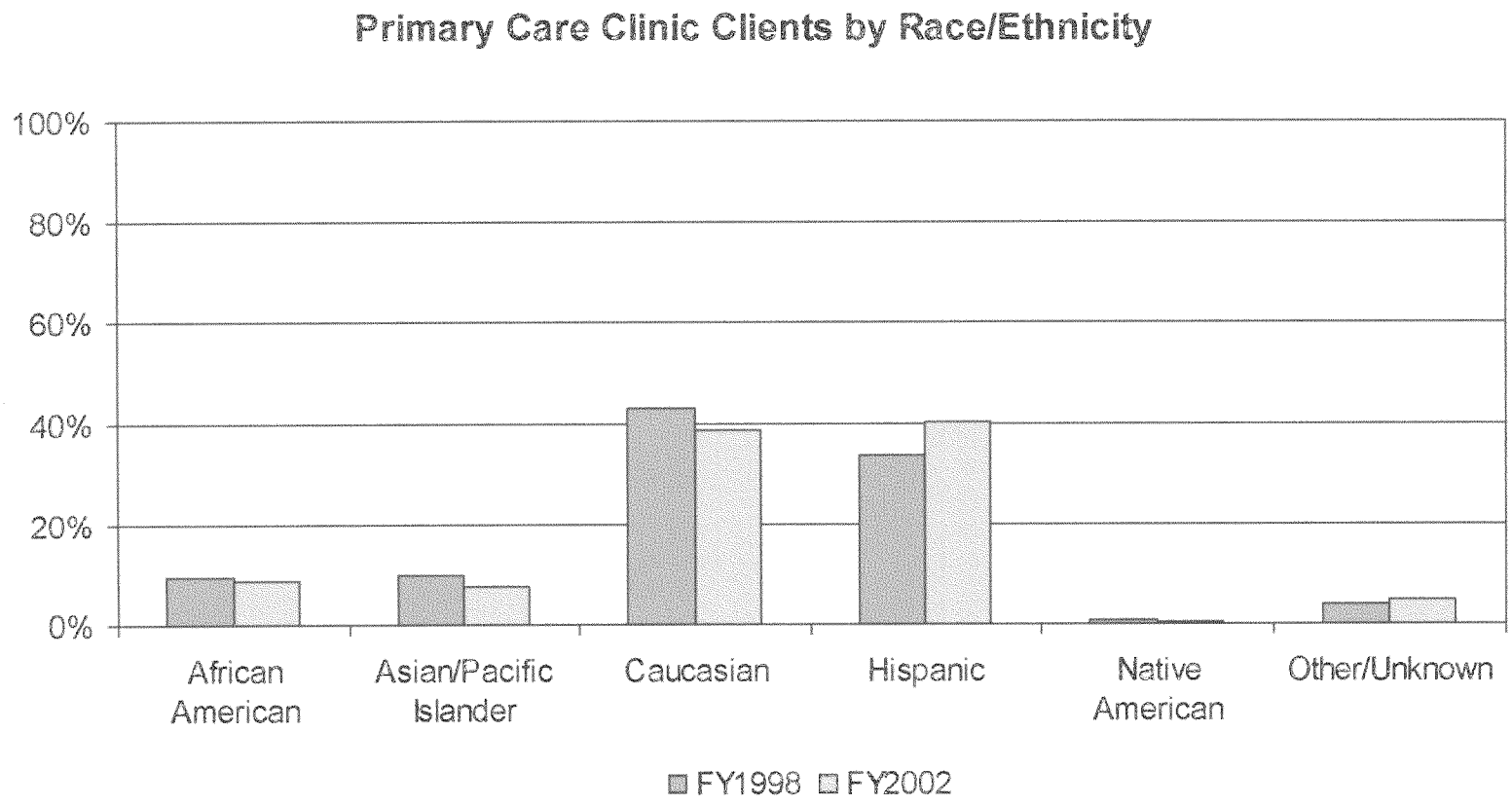
SEA Accomplishments

- Included services for the elderly and physically disabled
- Able to adjust report to new organization

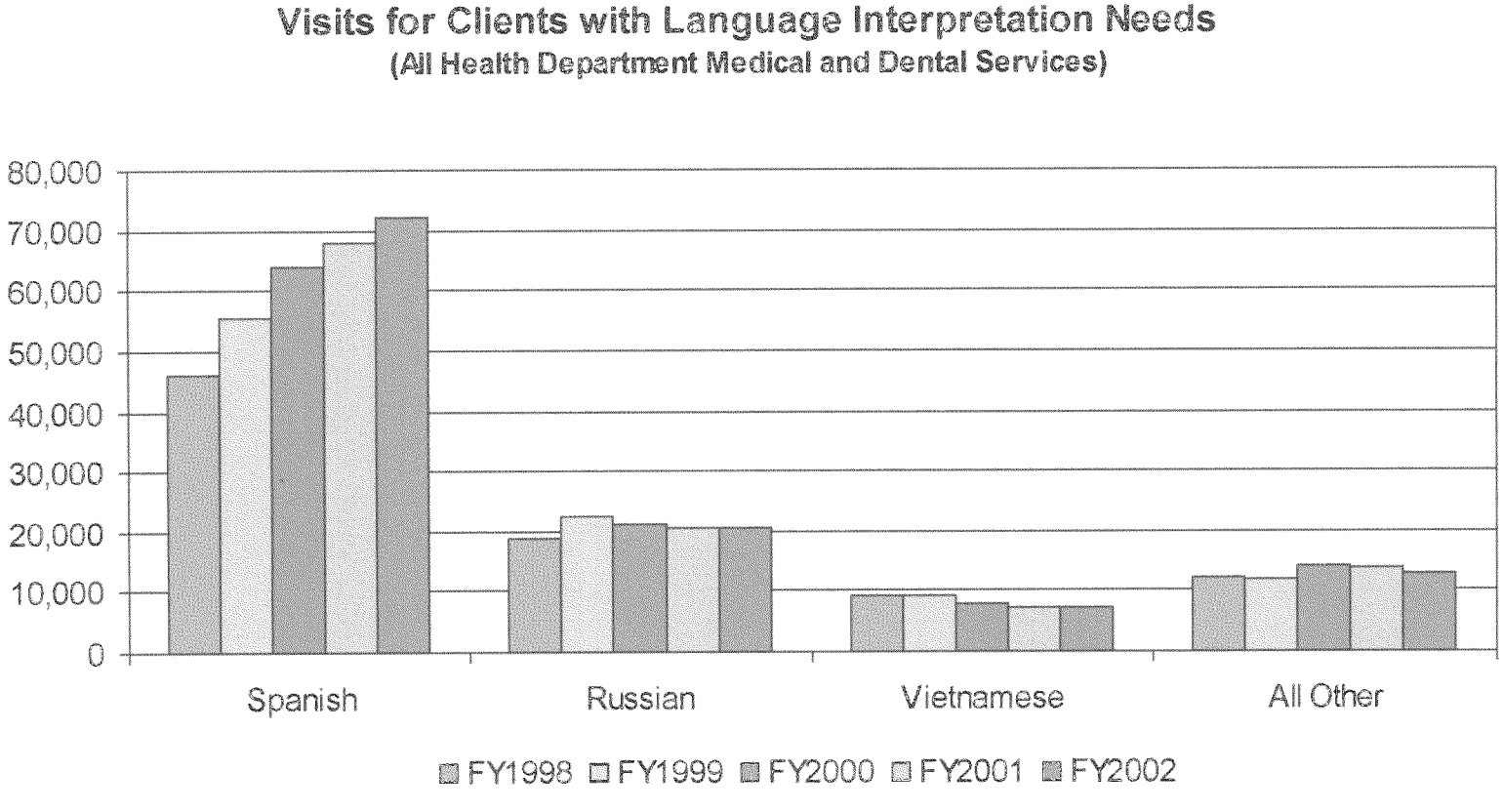
Why These Measures?

- Trend showed change
- Representative of a policy area
- Data were available

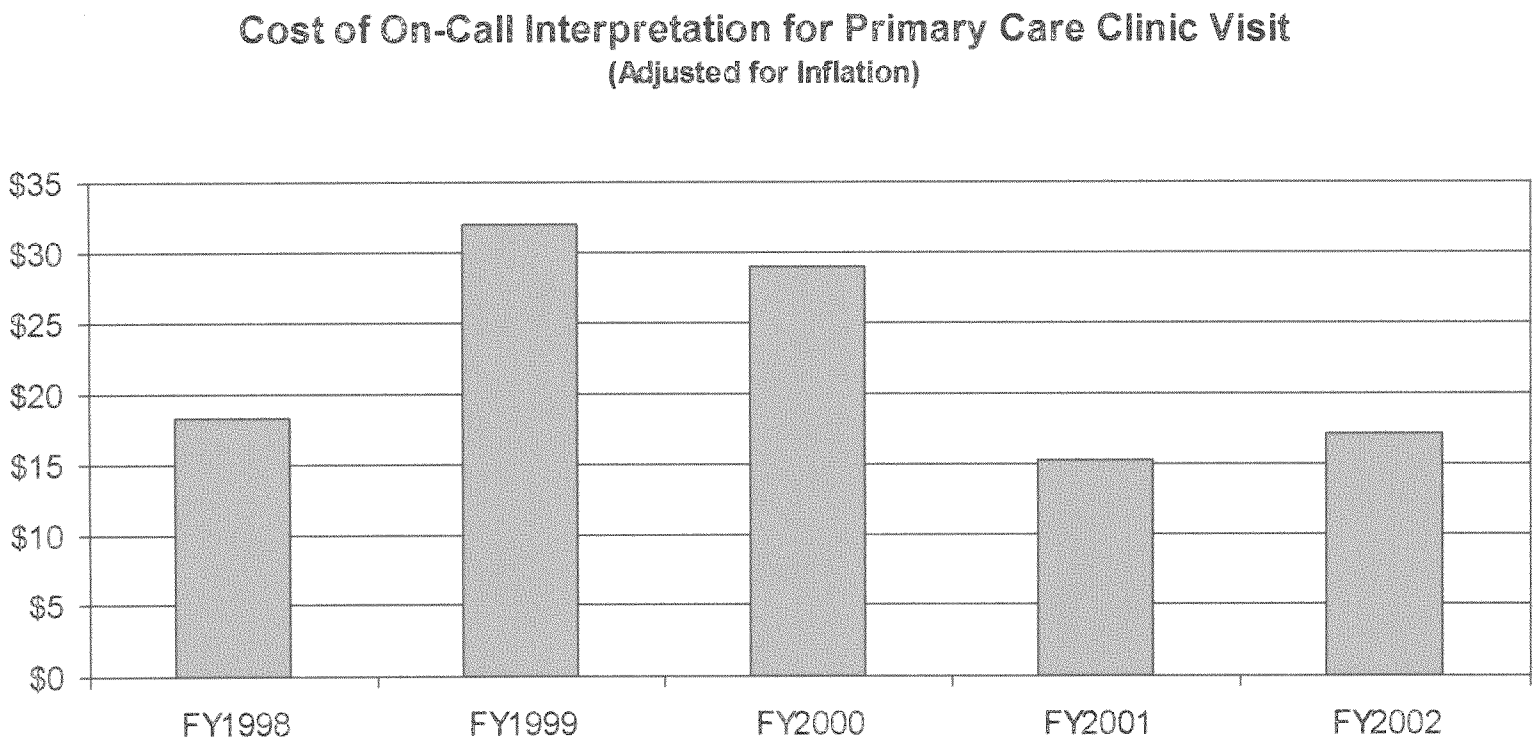
Access to Quality Services



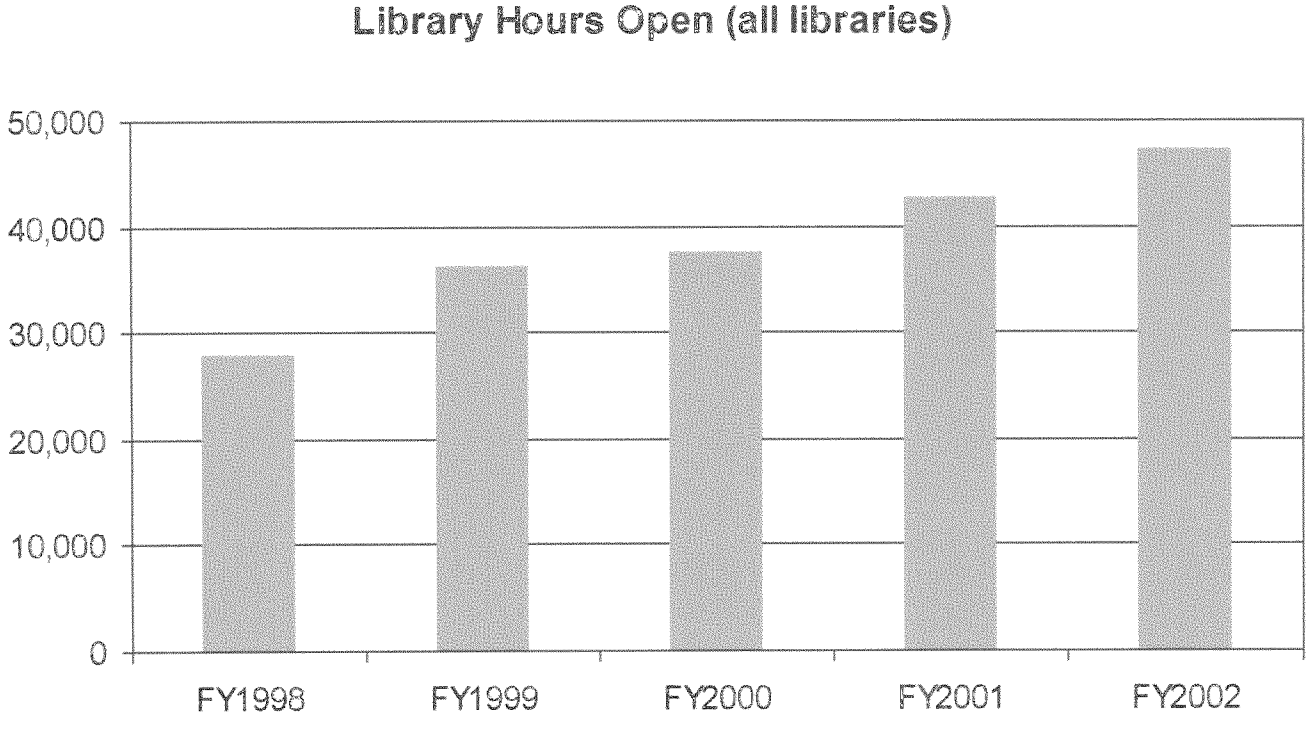
Access to Quality Services



Access to Quality Services

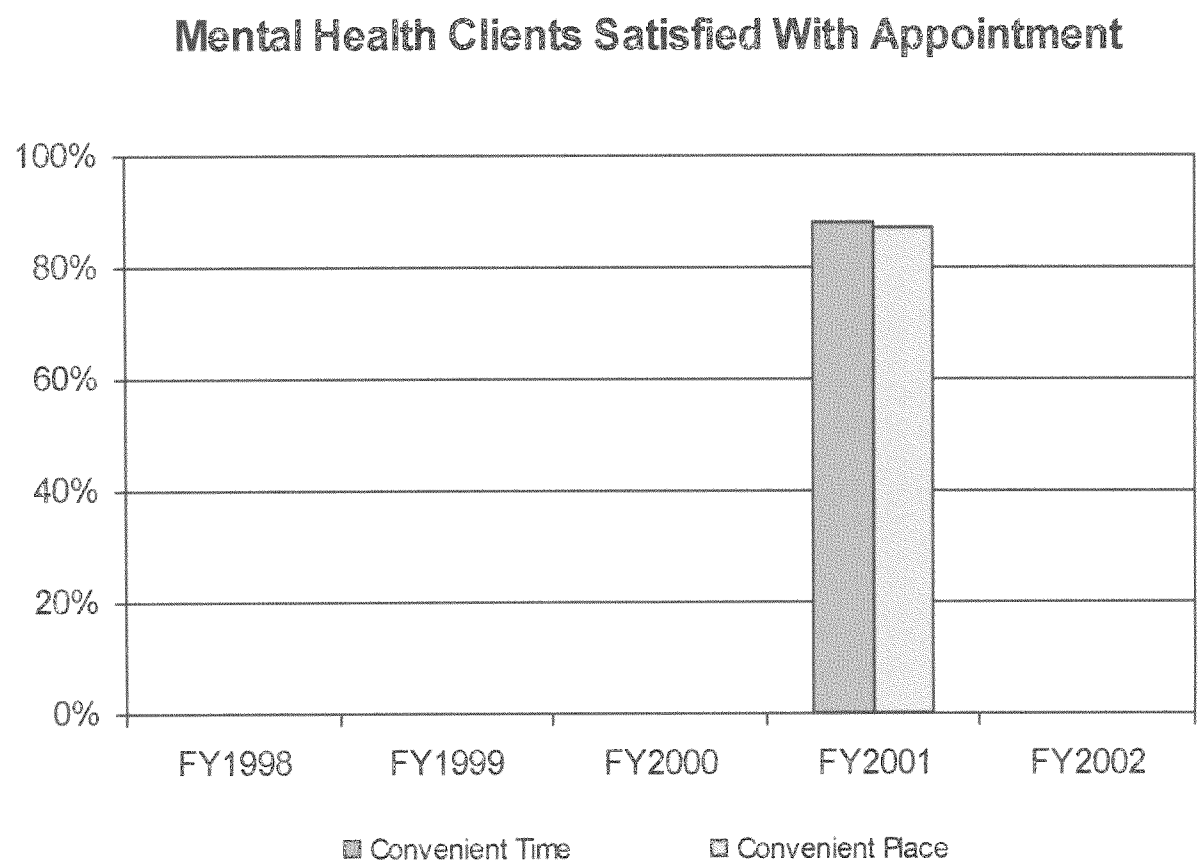


Access to Quality Services



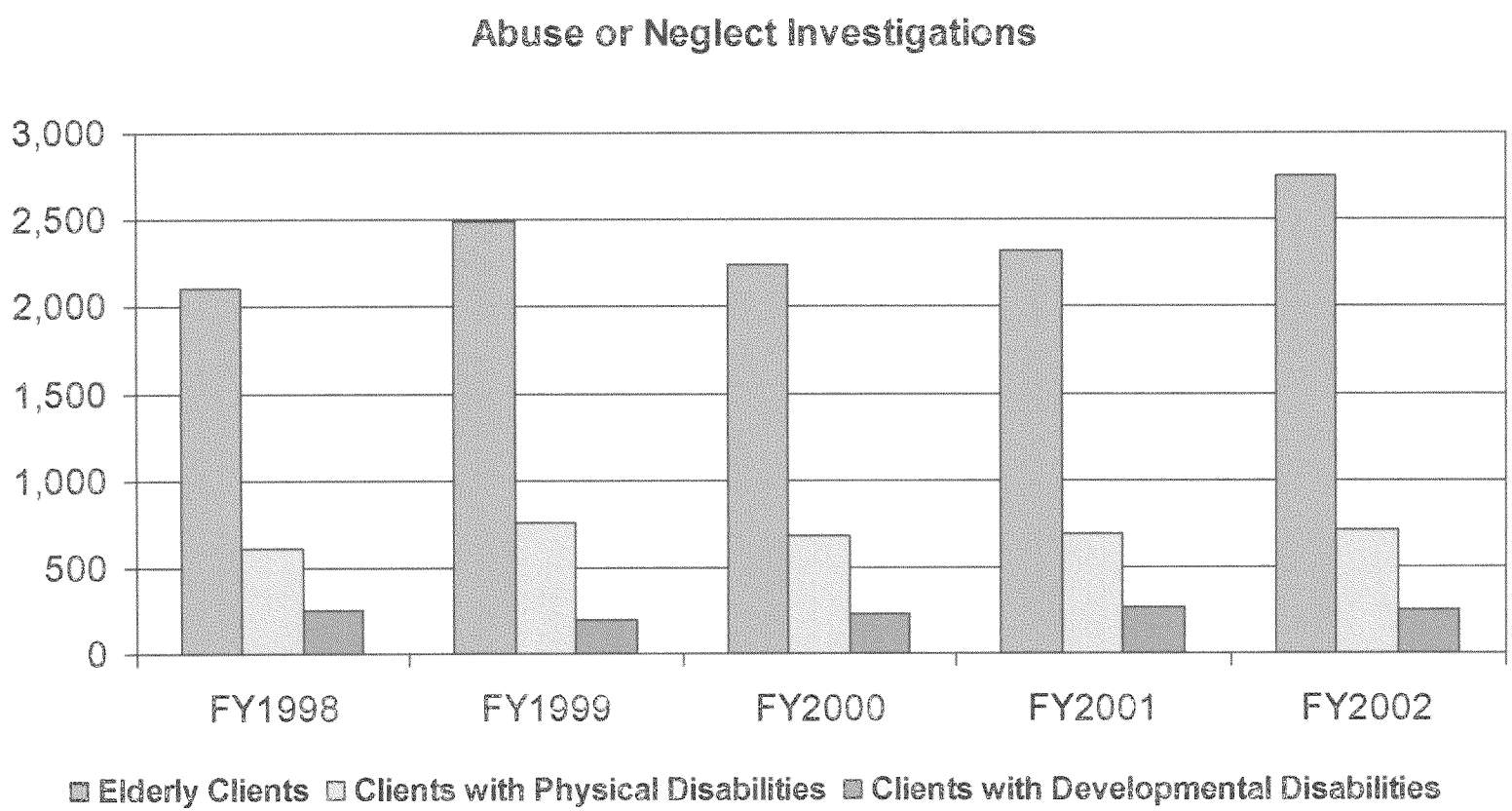
DCHS

Access to Quality Services



DCHS

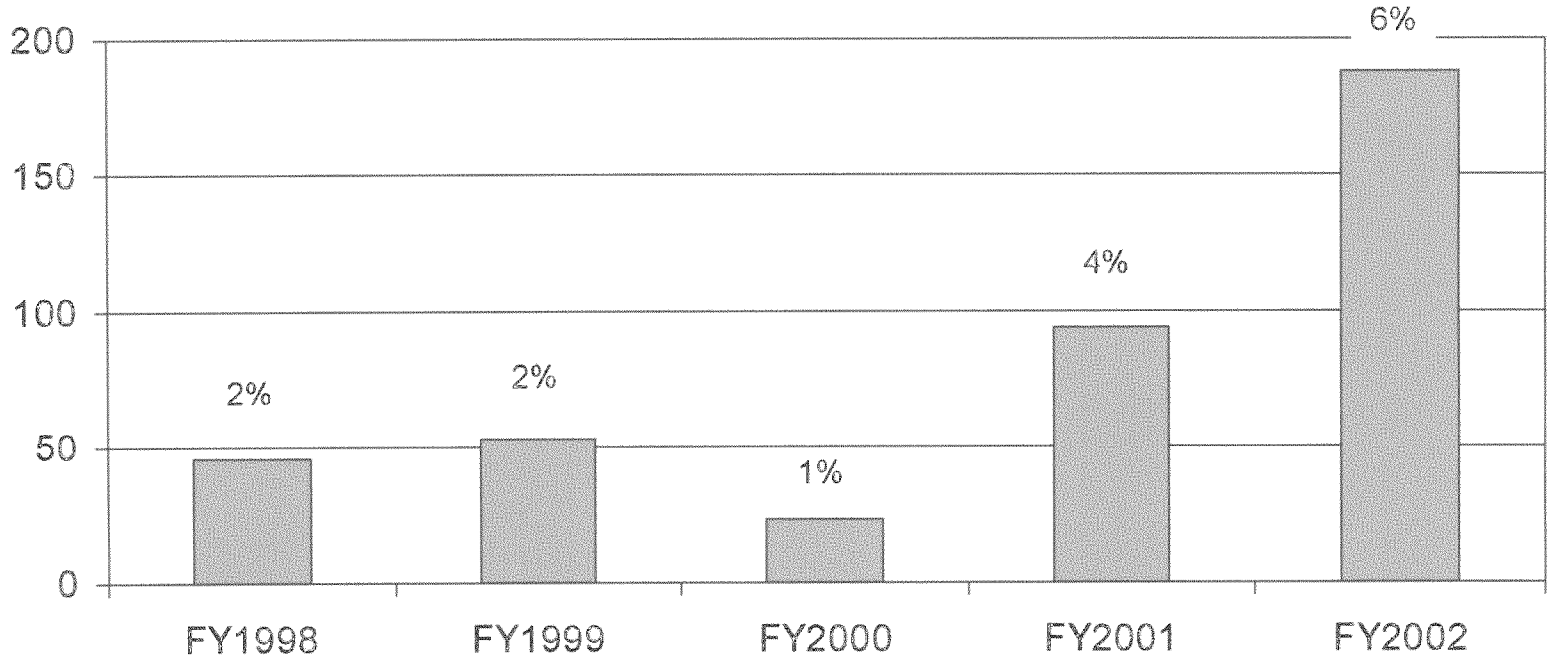
Protect Vulnerable People



DCHS

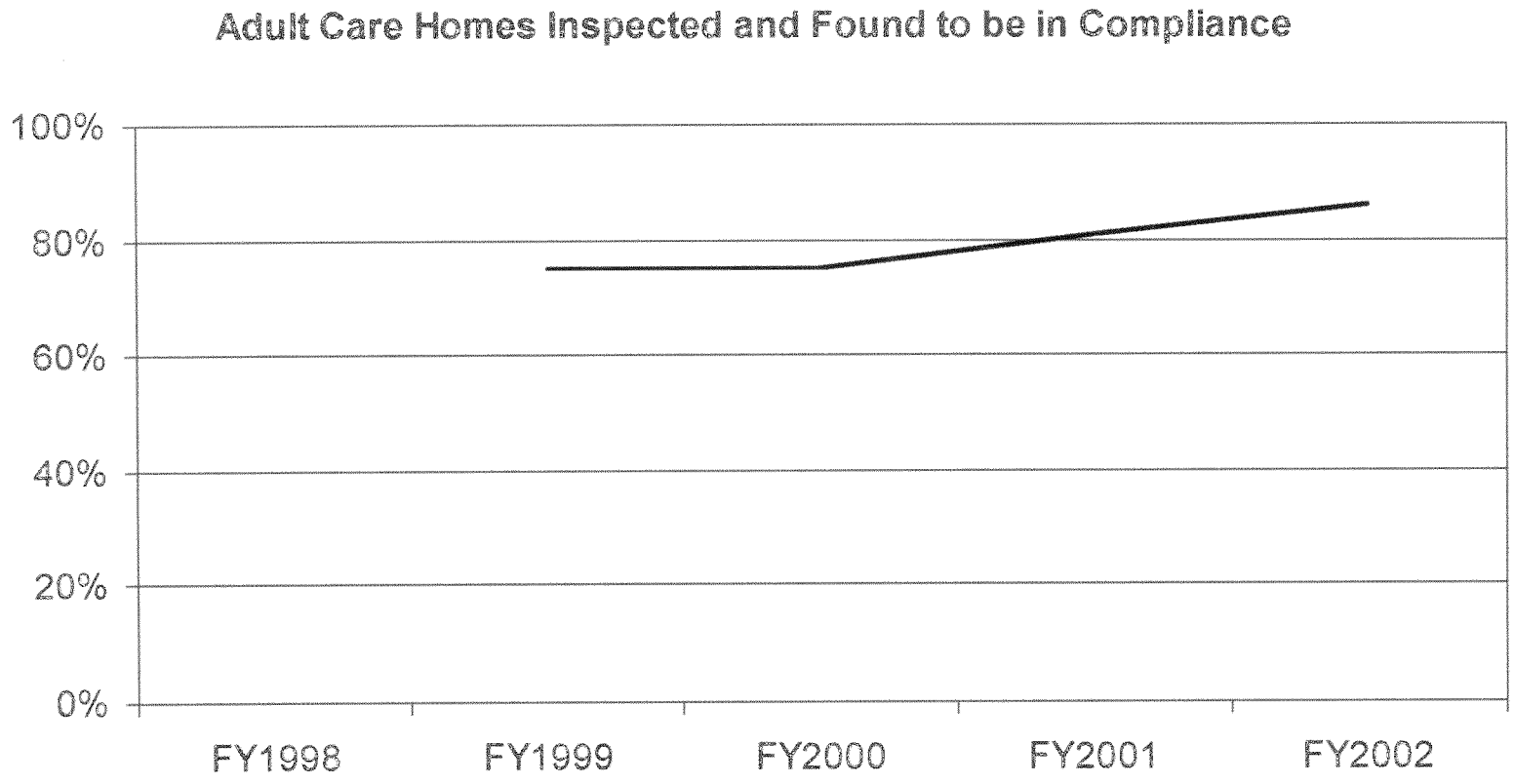
Protect Vulnerable People

Clients with Developmental Disabilities Referred to Crisis Diversion
(And as % of Total Clients Served)



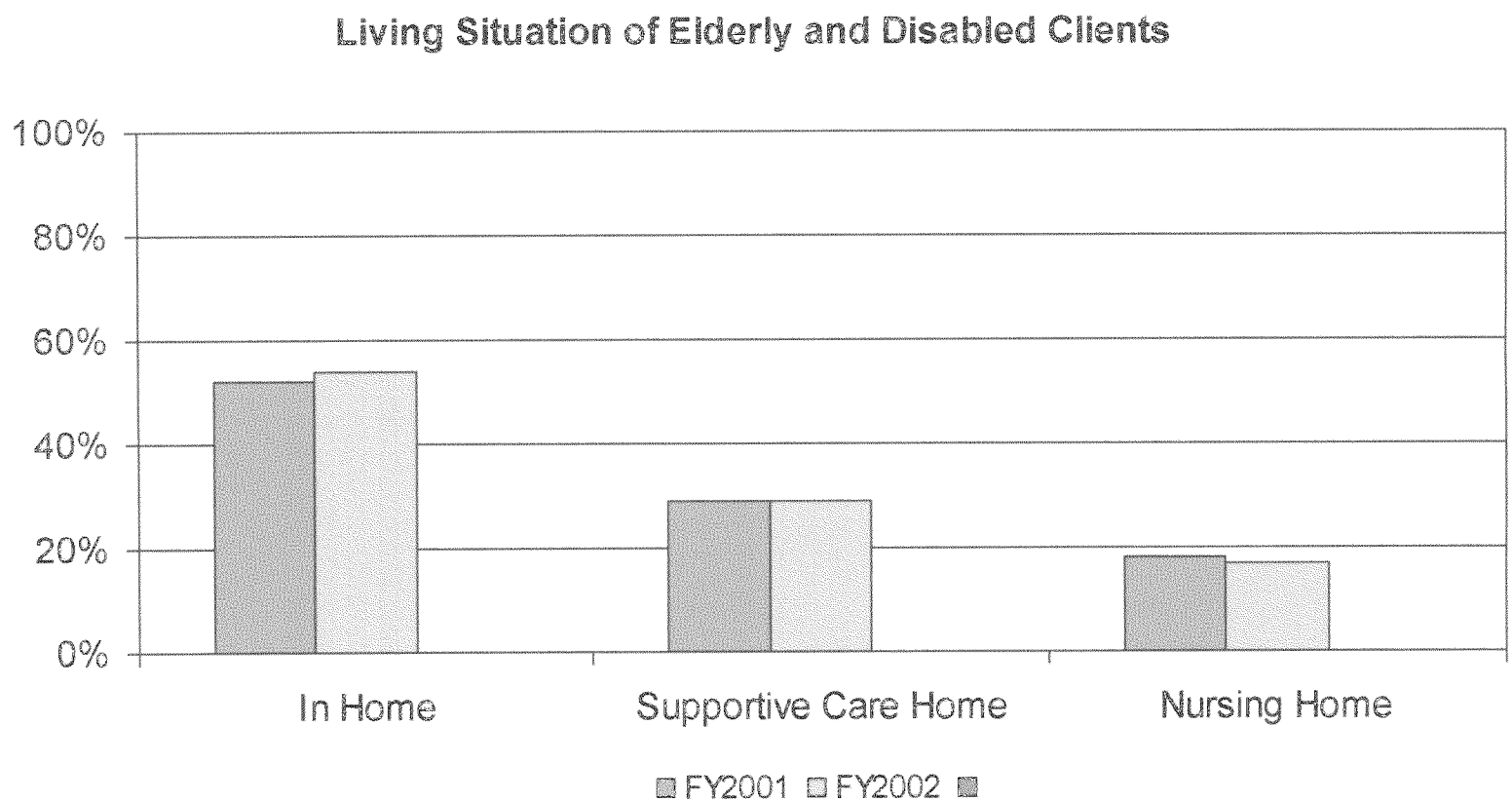
DCHS

Protect Vulnerable People



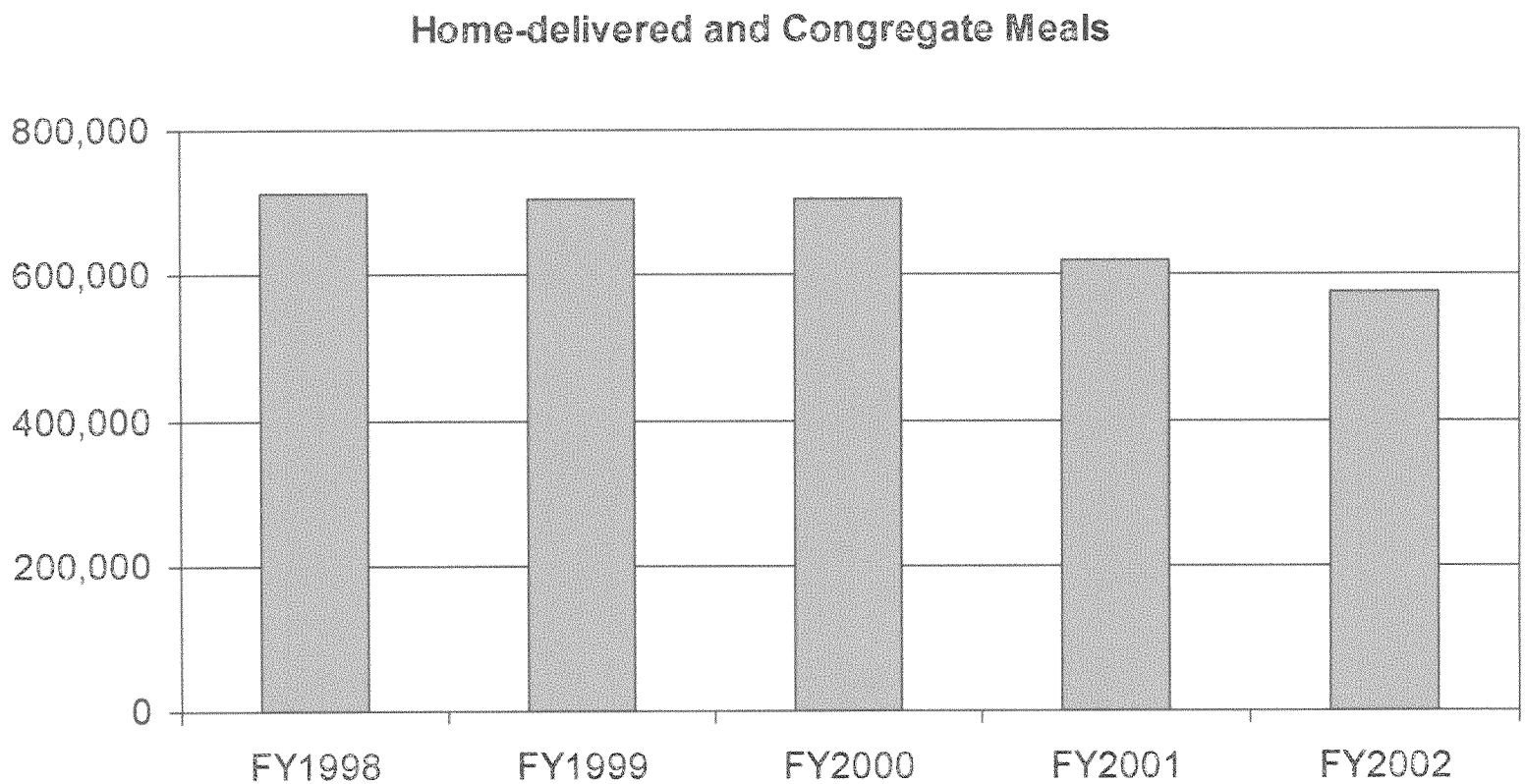
DCHS

Promote Well-Being



DCHS

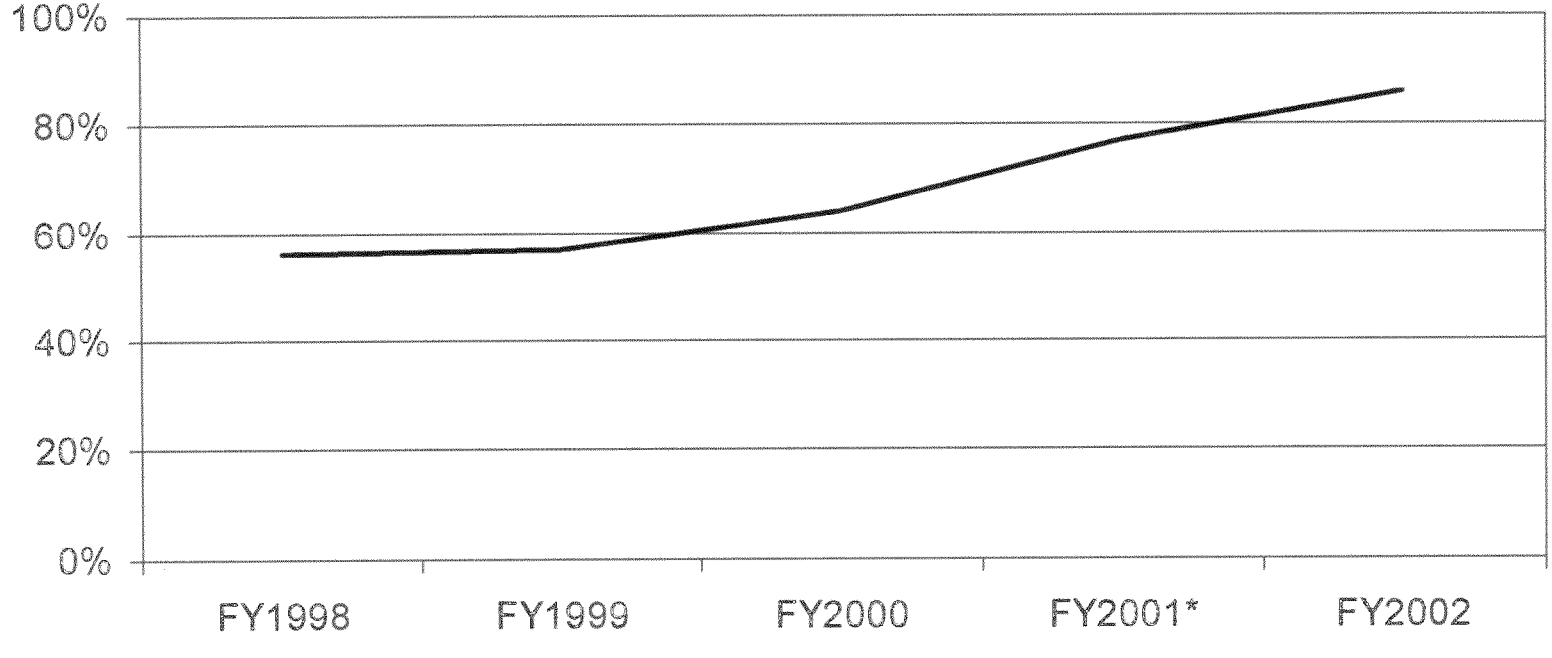
Promote Well-Being



OSCP

Promote Well-Being

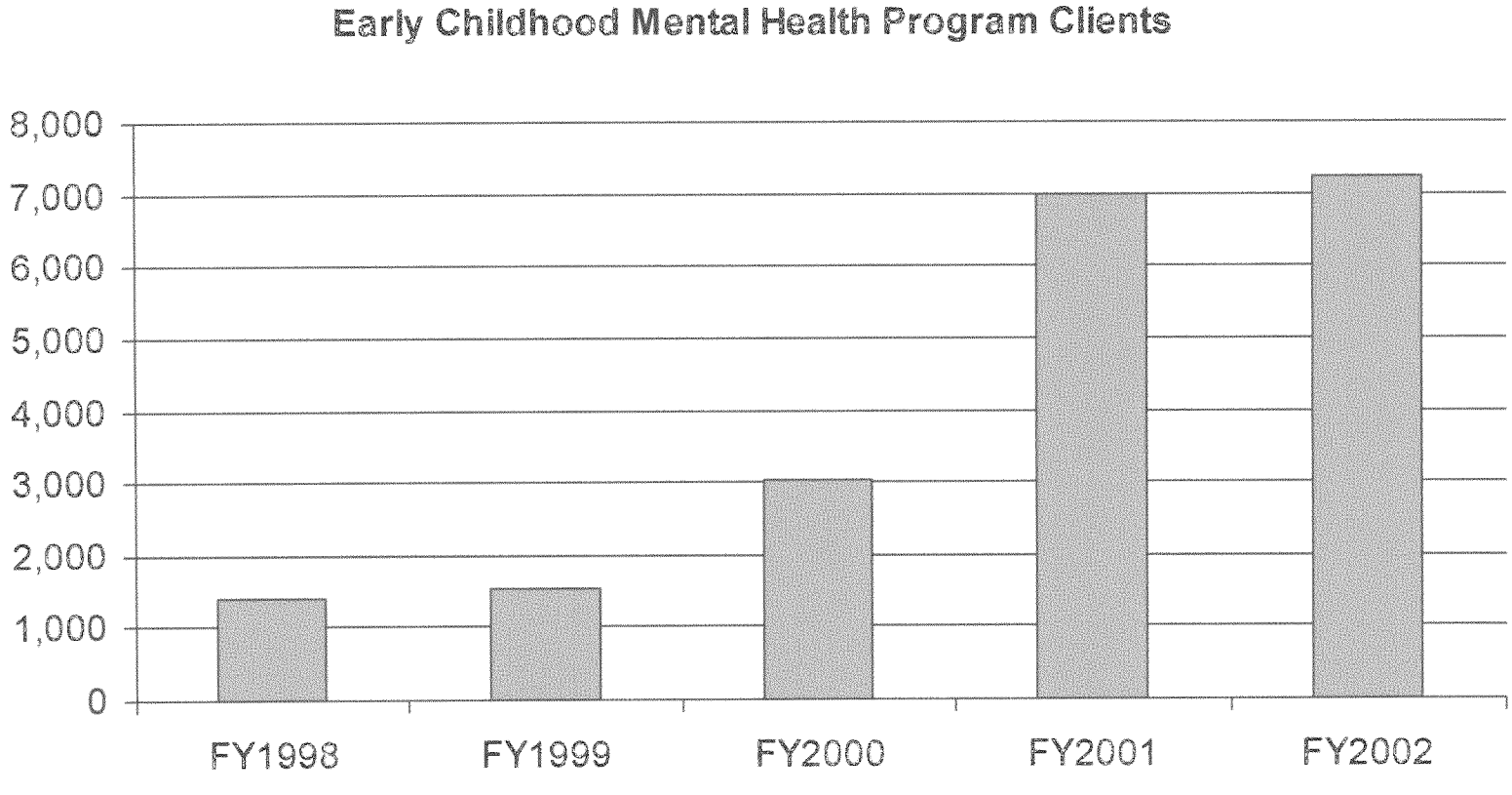
Homeless or At-Risk Families and Youth Served who Obtained Stable Housing at Exit From Services



*Represents seven months of data only

DCHS

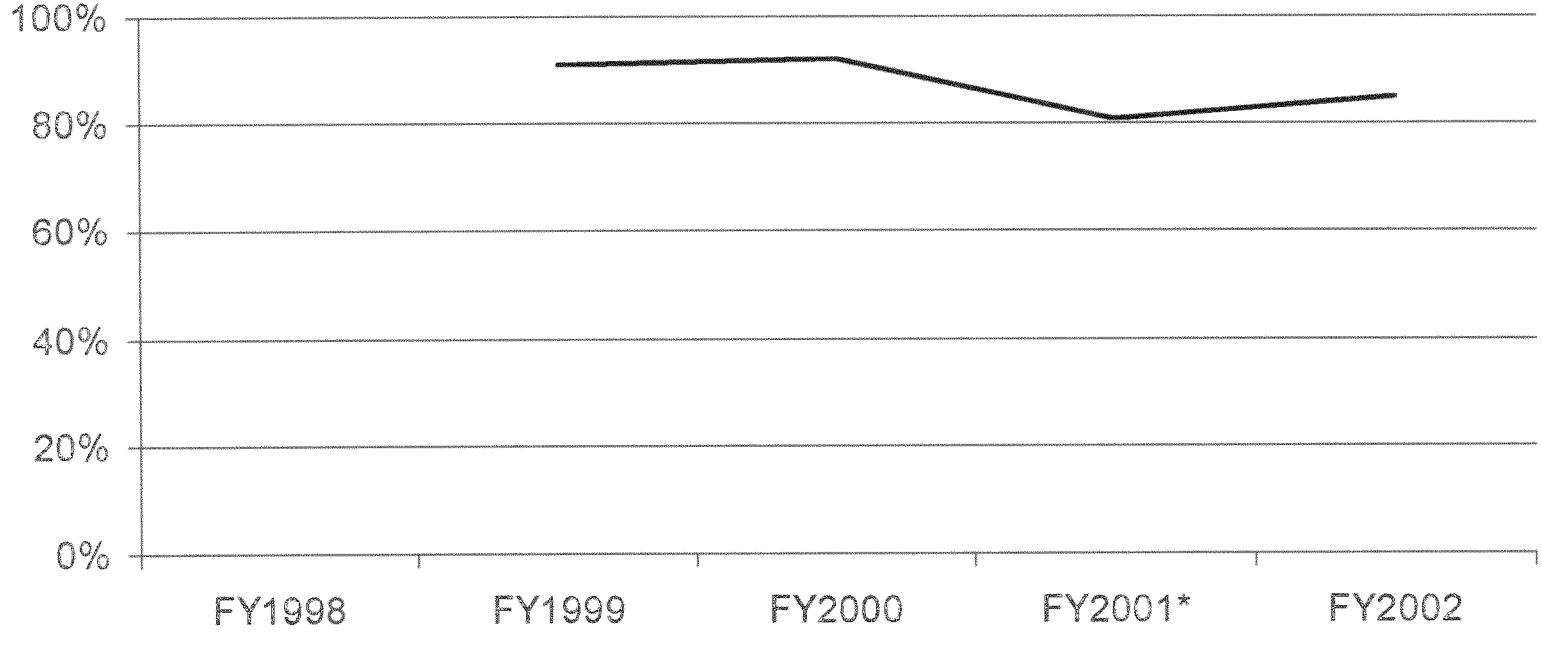
Services for Children



OSCP

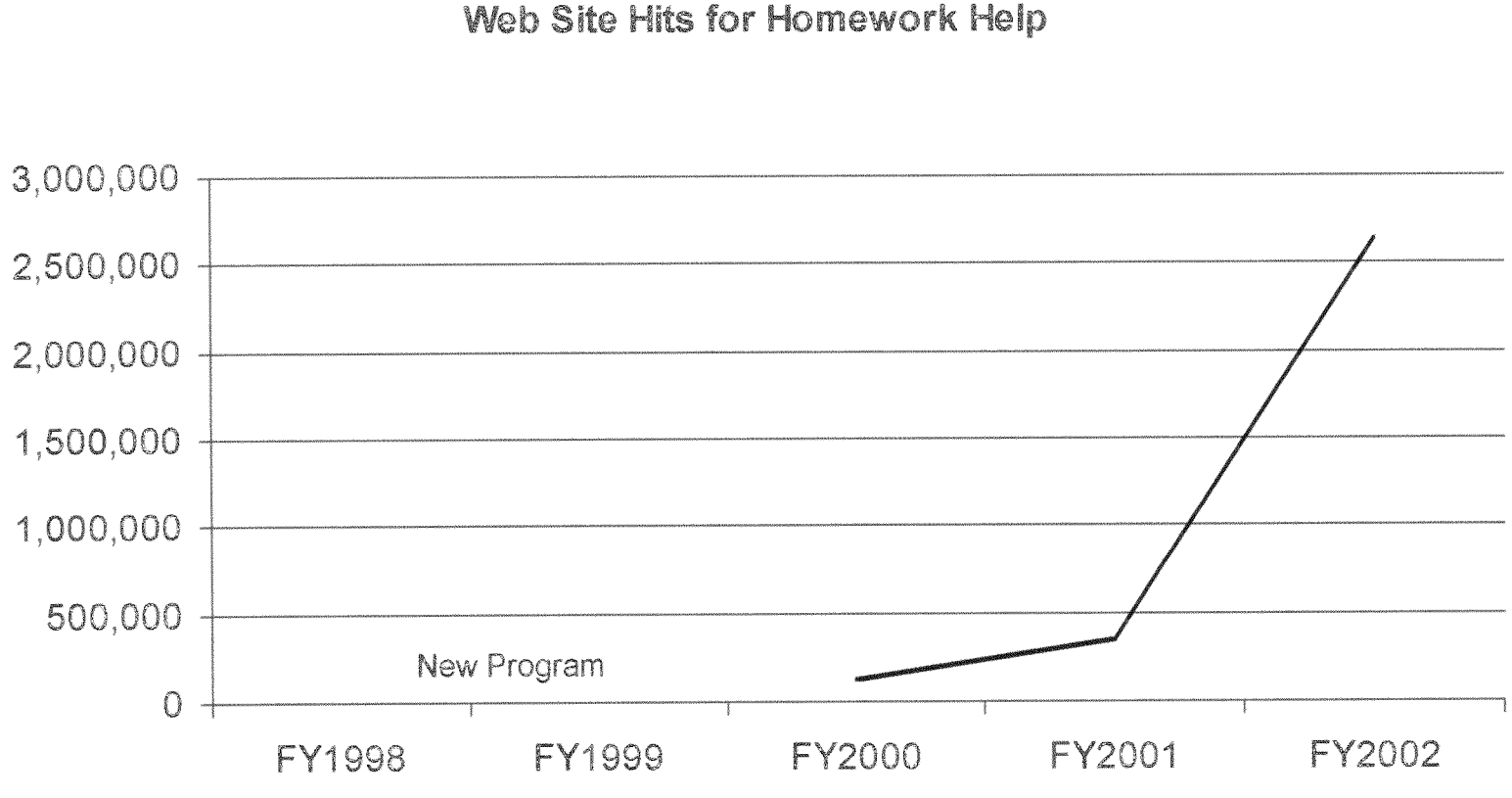
Services for Children

Youth Served Who Are Enrolled in School, Job Training, or Are Employed Upon Exit From Services



*Represents seven months of data only

Services for Children



Service Efforts and Accomplishments Reporting…

- Primarily intended to increase government accountability to citizens – "What was accomplished with the money?"
- Can also be used by policy makers and management as broad indicators
- Does not supply all of the information needed to manage the County's resources

Service Efforts and Accomplishments
Social and Health Services FY2002

February 2003

Suzanne Flynn
Multnomah County Auditor

Service Efforts and Accomplishments
Social and Health Services FY2002

February 2003

Suzanne Flynn
Multnomah County Auditor

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February 24, 2003

To: Citizens of Multnomah County
Subject: Multnomah County Service Efforts and Accomplishments: Social and Health Services FY2002

This is the third year that the Auditor's Office has reported on the County's performance in the Service Efforts and Accomplishments Report and the second report completed for the County's social and health services. These services are a substantial portion of the County's responsibility, accounting for 59% of all expenditure and 45% of staff in FY2002. This report represents two important accomplishments:

- The report includes services for the elderly and those for clients with disabilities which we were unable to include in the last report.
- One of this Office's goals in implementing this type of annual reporting is to use performance measures that could survive departmental reorganization and changes in service direction. Despite the creation of two new agencies, the Department of County Human Services and the Office of School and Community Partnerships, reorganized from the previous Department of Community and Family Services and Department of Aging and Disability Services, we were able to use many of the same performance measures.

This report was the joint effort of the Auditor's Office and the Departments of County Human Services, Health, and Library, and the Office of School and Community Partnerships. I would like to commend the County and these departments in particular for their willingness and ability to participate in such a challenging task. Together we have created a report that adds considerably to the County's accountability to its citizens.

Sincerely,

Suzanne Flynn

Audit Team:
Janis Hull, Senior Management Auditor
Sarah Landis, Senior Management Auditor
Mark Ulanowicz, Senior Management Auditor
Rie Anderson, Audit Intern

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Purpose

This Service Efforts and Accomplishments (SEA) report presents the resources, workloads, and results of Multnomah County's social and health services. The Auditor's Office reports this information in order to:

- Increase government accountability
- Provide information to citizens about their government

Implementation of SEA Reporting

In FY1999, the Auditor's Office studied the possibility of SEA reporting in Multnomah County and concluded that such reporting was feasible given the considerable progress the County had already made in performance measurement. The study identified gaps in the current performance measurement system that would be filled with SEA reporting, identified the role of the Auditor's Office, and recognized the need for citizen engagement in performance reporting. In FY2000, the Office began a schedule for SEA reporting that rotates between social and health services one year, and public safety the next.

Social and Health Services	Public Safety Services
• Department of County Human Services	• Department of Community Justice
• Health Department	• District Attorney's Office
• Library	• Sheriff's Office
• Office of School and Community Partnerships	

The FY2002 report contains information on the social and health services provided by the Department of County Human Services, the Health Department, the Library, and the Office of School and Community Partnerships. The County's social services were reorganized in FY2002 and this report reflects those changes. There are a number of measures marked "under development" for which data are not available this year. Future reports should demonstrate progress in producing these data and measuring these outcomes. This is the second report for all programs except those for the aging and physically disabled. It is the third report for the Health Department.

Methodology and Scope

Service efforts and accomplishments reporting is a concept developed by the Government Accounting Standards Board (GASB). It is intended to supplement financial reporting to give a full account of government performance. Current GASB standards do not require state and local governments to report on service efforts and accomplishments; however, GASB is considering the adoption of standards and has already established guidelines for SEA reporting. In producing this report, we followed GASB's guidelines as well as the General Standards section of *Government Auditing Standards.*

The Multnomah County Auditor's Office worked closely with staff and management in each department or agency to develop a framework for reporting, decide on measures, and gather data for the report. The reporting framework and performance measures reflect the mission, goals, and service priorities of each department.

To the extent possible, we tailored the report to reflect what we have learned about citizen interest. During our feasibility study, we conducted a number of focus groups to find out what citizens want to see in a performance report. The following elements were the most common:

- *Report from the public's point of view.* Organize the information by function rather than department. Include services, regardless of size, which are of great interest to the public.
- *Describe goals and service populations.*
- *Show revenues, expenditures, and the amount of services purchased.* Include information on County spending priorities and the number of people served.
- *Include broad measures.* Provide figures on County progress toward state and local benchmarks and cross-departmental measures.
- *Show efficiency measures.* Include information at the County and department level on per capita costs of services.
- *Include trends and comparisons.* Show how the County has done over time and compared to other jurisdictions.

Results are presented by department or agency. Within each, services are broken down by function or major service area. Four types of measures are provided:

1. *Spending and staffing measures* show how much each department spent, how many people it employed, and how much growth it experienced over the last five years.
2. *Workload measures* indicate how much of a service was produced or how many people were served.
3. *Outcome measures* demonstrate the intended results and effectiveness of a service or program.
4. *Efficiency and cost measures* show how much it costs to provide a service or how economically it is being provided over the course of time or compared to other jurisdictions.

Library Comparability Measures. We gathered data from four library systems that we determined to be comparable to Multnomah County's Library. These libraries were chosen based on their similarity to Multnomah County on a number of characteristics: each jurisdiction operates a central and branch libraries; each has population of 500,000 - 800,000, and each serves a major metropolitan area. We contacted staff in each jurisdiction to gather data.

Citizen Survey. In late spring 2001, the Auditor's Office conducted its first independent citizen survey to add citizen satisfaction information to the annual SEA report. The survey was designed to get information on citizen satisfaction with the quality of services and overall feelings about neighborhood livability. This SEA report contains the results of the second year survey as well.

Many of the services that the County provides are for specific populations and a citizen survey is not the best method of measuring satisfaction. However, these services are also provided to help protect the general social, economic, and physical health of the larger community. Trending measures of the community's health provides some indication of how County government contributes to the feelings of regional and neighborhood livability.

The Auditor's Office contracted with the Portland State University Survey Research Laboratory to conduct a telephone survey of residents from randomly selected households in Multnomah County. Adults, age 18 or older, from the six service districts used by the Office of School and Community Partnerships were interviewed by telephone for this year's report in the spring of 2002. Of the 4,417 households with which contact was made, 2,000 completed the interview and 2,417 refused to be interviewed. This yields a response rate of 45%. The results of this survey are reported in the Citizen Survey section of this report. The appendix contains the complete survey interview.

Data and Measure Limitations

Performance measures, such as those presented in this report, provide good indicators of accomplishments or changes in performance. They do not tell us why a program is succeeding or failing. We did not attempt to determine the causes behind specific performance trends; such a task would require extensive evaluation work that is beyond the scope of this report. We encourage readers to consider SEA information in this light. Program staff, County management, or elected officials may be contacted for more information about the results presented here.

Social and health services are provided to help protect vulnerable people; reduce poverty; enhance and protect the social, economic, and physical health of the community; provide leisure and learning opportunities; and give children and youth the skills they need to succeed. Outcomes for these activities are notoriously difficult to measure: results can take years to emerge, causal factors are hard to isolate, privacy and cost issues curtail data collection efforts, and clients are very difficult to track over time. Given these limitations, we have provided measures that show performance

in specific programs or among certain populations, but do not assess progress toward broad, long-term goals, such as reducing poverty. In other cases, we were able to show results on community-wide benchmarks, such as teen pregnancy rate, but were not able to evaluate whether specific County programs impacted these results.

We found many existing measures in departments that provide a good start toward SEA reporting. Some required revision, recalculation, or better data sources. We made recommendations to each of the departments to address these issues prior to the next SEA reporting cycle.

Most of the data in the report were provided to the Auditor's Office by department staff and were collected internally, from contractors, or from another government such as the State of Oregon. Due to time and resource constraints, not all data could be verified for accuracy by the Auditor's Office.

Our goal is to make the SEA report as accessible and meaningful to the citizens of Multnomah County as possible while accurately reflecting the work of the County and meeting GASB's guidelines. We will continue to work with departments to develop, implement, and report on SEA, focusing next year on the County's public safety services.

Acknowledgements

We are grateful to the staff in each department or agency who worked so hard to put this report together, and to department directors John Ball of County Human Services, Lillian Shirley of the Health Department, Ginnie Cooper of the Library, and Lolenzo Poe of the Office of School and Community Partnerships.

County Human Services: Caren Baumgart, Mary Shortall, Roseanne Costanzo, Tom Shepard, Dan Aledo, Rex Surface, Barbara Brady, Jean Bucciarelli, Paul DuCommun, Teresa Posner, Dave Helgeson

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Library: Shani Fox, Fun Martin, Lucien Kress, Brenda Clark

Office of School and Community Partnerships: Barbara Hershey, Kathy Knapp, Peggy Samolinski

Multnomah County GIS: Andrea Westersund

Multnomah County

Service Efforts and Accomplishments FY2002

Overview

In Brief . . .

Accomplishments

- The SUN Schools Initiative began a project to forge beneficial partnerships with area businesses
- Department Director and key policy makers from the City, County, and schools presented information about SUN Schools at the Coalition for Community Schools national forum
- Touchstone program expanded to Reynolds and Centennial School Districts
- Began development of 53 units of affordable housing for people with low income, chronic mental illness, in recovery for substance abuse, former foster kids, and homeless families

Issues

- Reorganization of social services resulted in a lack of continuity of some programs and measures
- A change to a new data system resulted in the inability to compare current and historical data for this report
- Ongoing budget cuts and future funding uncertainty will impact the level and quality of services

The Office of School and Community Partnerships (OSCP) works to eliminate poverty, promote school success, and invest in healthy and safe families, neighborhoods, and communities.

OSCP was formed recently, in January 2002, as a result of department reorganization.

Office of School and Community Partnerships

Reduce Poverty

- Weatherization and energy cost assistance for people with low income
- Access to and development of affordable housing for low income households
- Shelter, housing assistance, support, and transition programs for homeless youth and families
- Skill-building for economic self-sufficiency
- Resource centers in housing complexes
- Caring Communities program to strengthen supports for families and individuals

Increase School Success

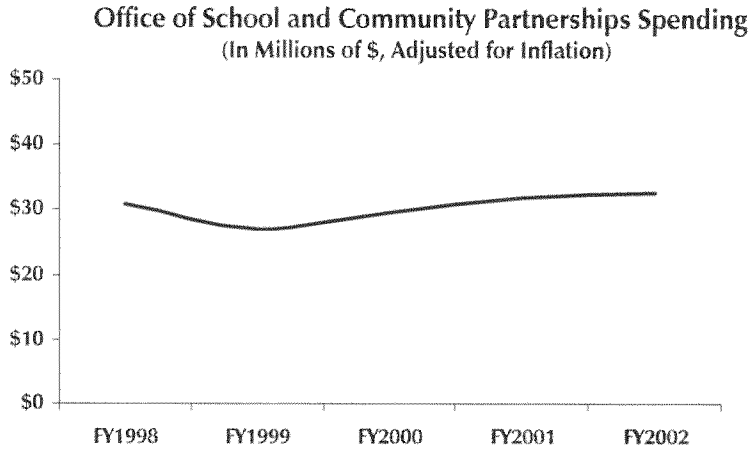
- Delinquency prevention and intervention
- Services for gang-affected youth
- Intensive case management for high-risk families and children
- Services to promote school attendance and graduation
- Child development programs and parenting support
- Diversion from Juvenile Court
- Extended school days and neighborhood hubs in schools through SUN Schools initiative

Spending and Staffing

Spending

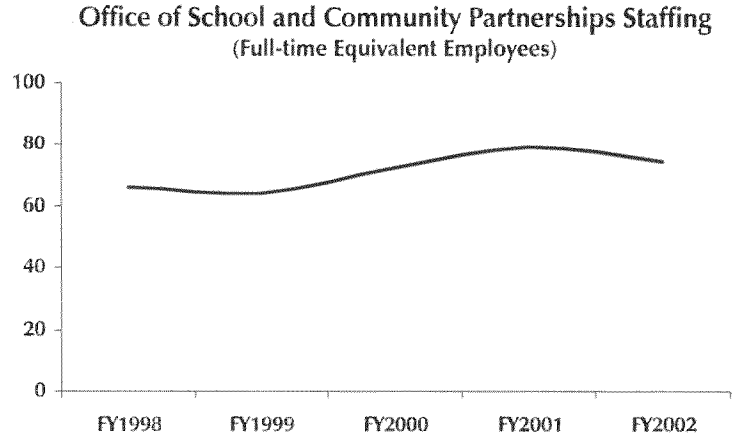
Spending for services in the newly formed Office of School and Community Partnerships was approximately $32.7 million in Fiscal Year 2002 (July 1, 2001 - June 30, 2002). This is an increase of 5.7% since FY1998 for these types of programs (see note below).

- $49 was spent per county resident.
- Approximately half of OSCP's revenue came from state and federal sources. The other half came from the County general fund.
- OSCP served over 74,000 clients in FY2002.



Staffing

The number of hours worked by staff in OSCP programs and administration was equal to 74 full-time positions in FY2002. This is an increase of 12% over FY1998, but a decrease of 6% from FY2001.

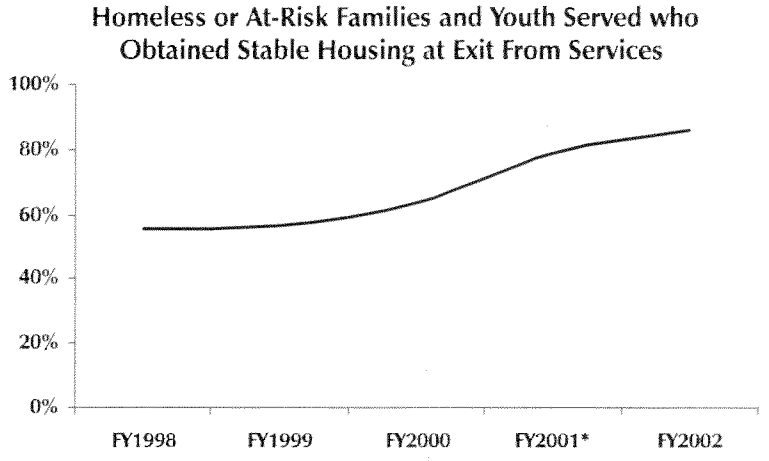


Note: The data for spending and staffing are derived from dividing program and administrative costs and staff from the former Department of Community and Family Services into two new agencies: OSCP and the Department of County Human Services. Figures are estimates, not actuals.

Reduce Poverty

OSCP provides or contracts for services to address and remedy the causes and effects of poverty. Services include rent assistance, transitional and emergency housing for homeless youth and families, and assistance with energy bills and home weatherization. OSCP works with communities to overcome issues associated with poverty and become healthy, safe places to live.

This chart shows the percent of clients who participated in programs that was able to find stable housing by the time they left services. The rate has increased from 56% in FY1998 to 86% in FY2002.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
People in households that received rental assistance to prevent eviction	N/A	N/A	N/A	1,056*	2,220
Households weatherized	468	504	443	504	514
Households that received assistance with energy bills	N/A	12,432	11,754	15,723	15,813

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of families completing goals to strengthen family functioning	N/A	N/A	N/A	63%*	59.4%
Percent of households whose income is above poverty at exit from services	Measure Under Development				

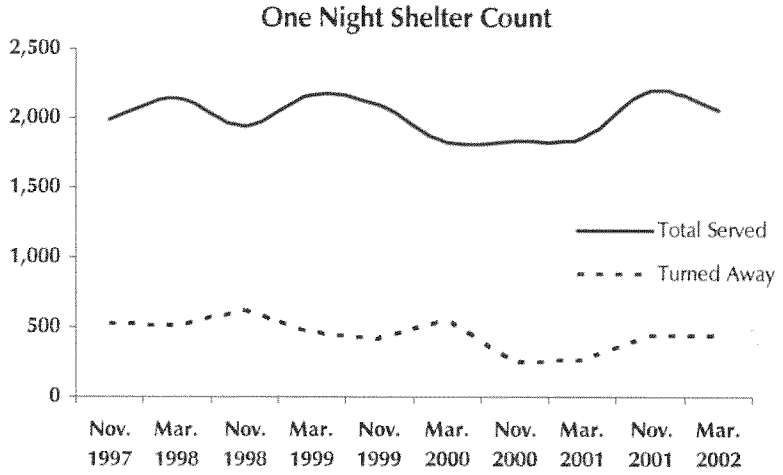
Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per household weatherized (adjusted for inflation)	N/A	N/A	$2,102	$2,165	$2,761
Estimated savings per household weatherized	Measure Under Development				

***Represents seven months of data only**

Reduce Poverty

In addition to programs to prevent poverty, OSCP also contracts with local organizations to provide emergency housing assistance and shelter for homeless youth and families. Once clients are stabilized in shelter, services are available to help them obtain long-term stable housing and integrate into the community.

The chart represents a snapshot of clients assisted with shelter and emergency housing in Multnomah County during the two nights per year that data are uniformly collected.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
People in homeless families provided with emergency housing	N/A	N/A	N/A	787*	1,430
Homeless youth provided with emergency housing	N/A	N/A	N/A	619**	569
People in homeless families who received transitional housing	N/A	N/A	N/A	599*	853
Homeless youth who received transitional housing	N/A	N/A	N/A	140**	129

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of people turned away from shelter or emergency beds during the one night shelter count	20.2%	21.0%	19.7%	12.2%	17.3%

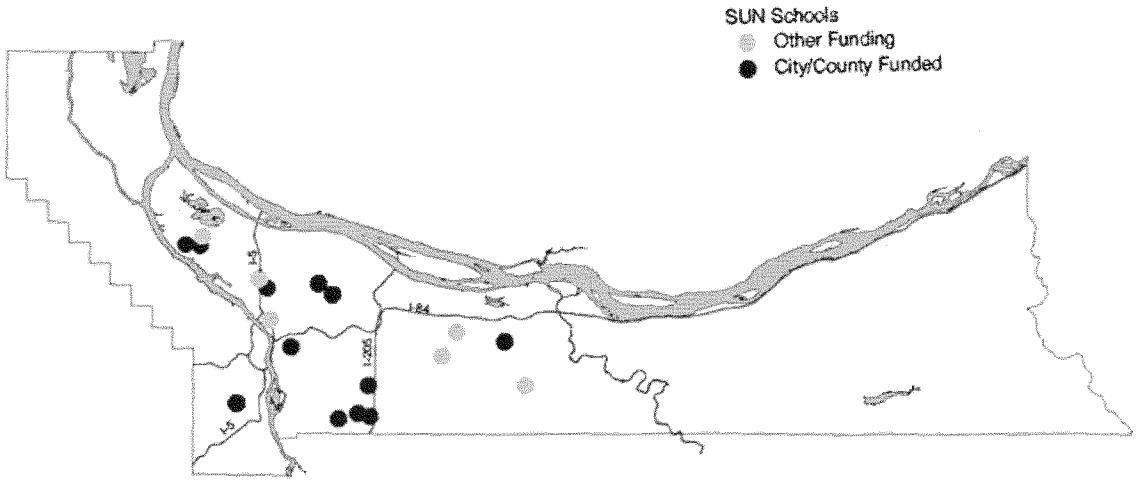
Cost/Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per homeless youth bed per night (adjusted for inflation)	N/A	N/A	N/A	$70.50	$63.61
Cost per homeless family bed per night (adjusted for inflation)	N/A	N/A	N/A	$28.80	$24.16

***Represents seven months of data only**

****Because of changes in the reporting system, these numbers could be a slight undercount of actual clients served**

Increase School Success

SUN School Locations



The goal of school-focused services is to enhance school success by working with families and children from an early age through high school:

- Schools Uniting Neighborhoods (SUN) Schools provide after-school academic and recreational programs, expanded social and health services, and increased community involvement.
- Early childhood programs include parent education, support for in-home childcare providers, and child care information and referral.
- Touchstone serves high-risk youth and their families at schools and community centers to help meet their needs and improve success in school.
- Prevention services work to strengthen families, and improve graduation and attendance rates, particularly among Native American and Latino youth.
- The Caring Communities program seeks to coordinate services and strengthen community support for both families and children so that they can be successful in school.

Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Families served with intensive case-management in schools	N/A	N/A	N/A	387*	567
Families served in early childhood programs	N/A	N/A	N/A	798*	1,004
SUN school locations (City/County funded)	New Project		8	9	12
Students enrolled in extended day activities through SUN schools		New Project			3,798

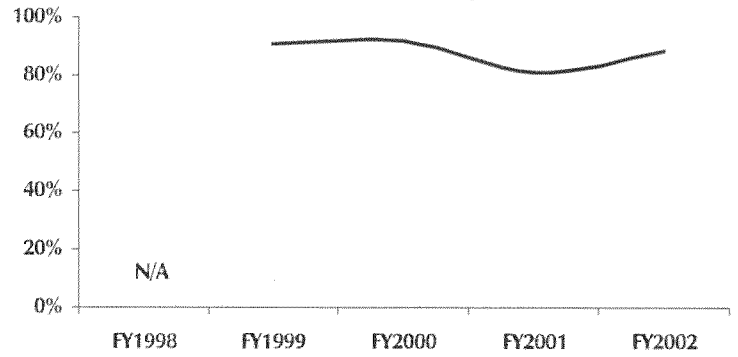
***Represents seven months of data only**

Increase School Success

The Office also contracts for services to work directly with youth who are at risk of not completing school or becoming involved in the criminal justice system. Services are provided by community-based organizations located in the youths' neighborhoods to help them address gang activity, employment and skill building, school attendance, and delinquency.

The percent of youth served who make positive progress by going to school, training, or who are employed has dropped slightly in recent years. However, the rate remained above 80% each year.

Percent of Youth Served Who Are Enrolled in School, Job Training, or Are Employed Upon Exit From Services



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Youth served:					
Gang-affected youth	N/A	N/A	N/A	57*	145
At-risk youth (Youth Investment)	N/A	N/A	N/A	464*	504
Youth diverted from Juvenile Justice System	N/A	N/A	N/A	568*	824
Stay-in school programs (Student Retention)	N/A	N/A	N/A	371*	685

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of youth served who graduated or advanced a grade at the end of the school year	N/A	N/A	N/A	N/A	87%

***Represents seven months of data only**

Multnomah County

Service Efforts and Accomplishments FY2002

Multnomah County

Service Efforts and Accomplishments FY2002

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Overview

In Brief . . .

Accomplishments

- Completed merger of services from two County departments to form the Department of County Human Services
- Continued implementation of the mental health care redesign
- Developmental Disabilities services began an innovative program in which personal agents work with families and clients on service plans based on choice, inclusion, and self-determination
- Developed new services to provide respite for families trying to keep an elderly or disabled relative at home as long as possible
- With the help of community organizations, completed a plan for domestic violence victim services to guide the funding and development of future services

Issues

- Ongoing budget cuts and future funding uncertainty will have an impact on the level and quality of services available to clients

The Department of County Human Services (DCHS) works to connect people to the services and support they need to live with dignity and independence. The Department serves the elderly; people with severe emotional, developmental, or physical disabilities; victims of domestic violence; and people with alcohol and drug problems. It provides most services through contracts with community-based organizations.

DCHS was formed recently, in February 2002, as a result of department reorganization.

Department of County Human Services

Assure Access to necessary, quality services

- Provides information and referrals to seniors and people with disabilities
- Plans, implements, and monitors services for clients
- Reaches out to unserved members of the community
- Contracts with community-based services
- Helps clients with benefits eligibility
- Coordinates care for clients with multiple service providers
- Works with community members to help identify seniors in need of assistance

Protect Vulnerable People in crisis situations

- Serves developmentally disabled clients in crisis
- Prevents, intervenes, and treats drug and alcohol problems
- Provides supports for victims of domestic violence
- Assesses and treats mental health needs
- Investigates abuse of developmentally disabled, physically disabled, and elderly clients
- Acts as the public guardian for clients who are mentally incapacitated with no others to act on their behalf
- Regulates and monitors adult care homes

Promote Well-Being by helping people acheive independence and providing support

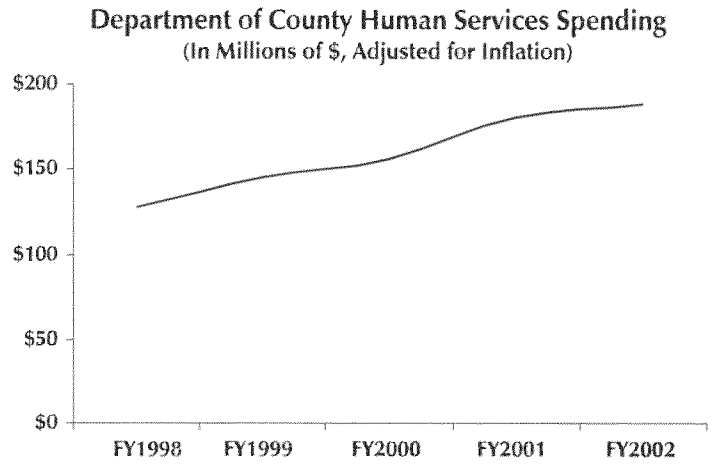
- Provides prevention and recovery services for those with mental health problems
- Assists clients with developmental disabilities through community living
- Offers care options for the elderly and disabled
- Provides meals, clothing, housing, and other basic needs
- Helps clients in their homes
- Assists clients in finding employment

Spending and Staffing

Spending

Spending for services in the new Department of County Human Services was approximately $188.5 million in Fiscal Year 2002 (July 1, 2001 – June 30, 2002), an increase of 48% since FY1998.

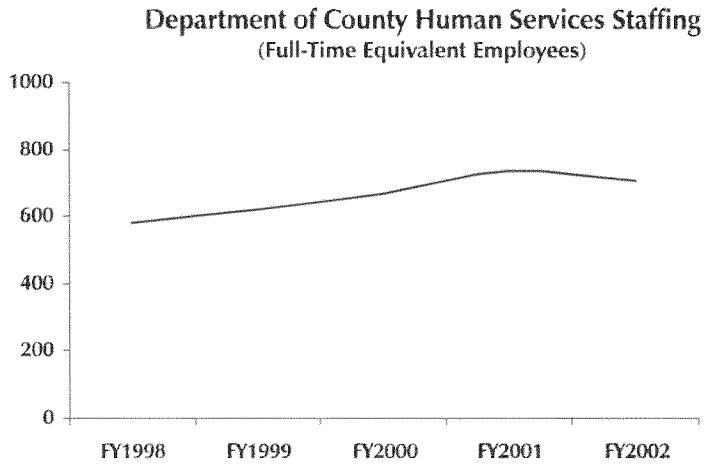
- $283 was spent per county resident.
- Approximately 83% of DCHS's revenue came from state and federal sources. The remaining 17% came from the County general fund and other sources.
- Mental Health and Addiction Services accounted for 43% of spending, Developmental Disabilities Services for 31%, Aging and Disabilities Services 19%, and Domestic Violence 1%. Six percent was administrative expense.



Staffing

The number of hours worked by staff in DCHS programs and administration was equal to 708 full-time positions in FY2002 (see note below). This is an increase of 22% over FY1998, but a decrease of 4% from FY2001. Just under half (49%) of staff work for Aging and Disabilities Services, 29% for Mental Health and Addiction Services, 14% for Developmental Disabilities, and less than .5% for Domestic Violence. The remainder (8%) of staff served in administrative functions.

Note: The data for spending and staffing are derived from dividing program and administrative costs and staff from the former Department of Community and Family Services and the Department of Aging and Disabilities Services into two new agencies: DCHS and the Office of School and Community Partnerships. Figures are estimates, not actuals.



Assure Access

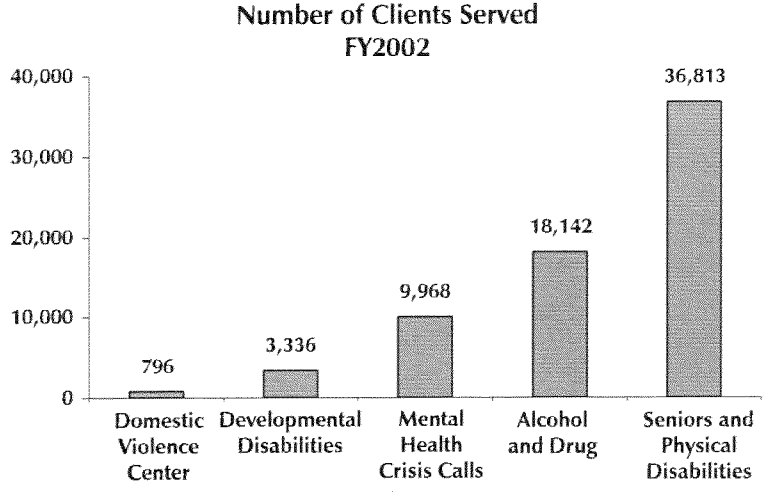
The County's social service system is based on the following principles:

- Promote independence, choice, and dignity
- Strengthen people's ability to be self-sufficient
- Work to keep people in their own homes and communities
- Promote rehabilitation and recovery rather than dependency
- Provide access to a range of flexible services that meet client needs
- Work with communities to increase access, diversity, and choice
- Provide culturally competent services
- Locate services in the community

The County believes that government should work with community agencies that have experience meeting clients' needs. These agencies provide services in community and cultural centers, schools, churches, medical facilities, and other neighborhood sites so that those who need them can access them easily.

The County also believes that community organizations are stronger when they are not reliant on government funding only. By working with agencies that can also raise private dollars, the County maximizes the tax dollars spent on social services.

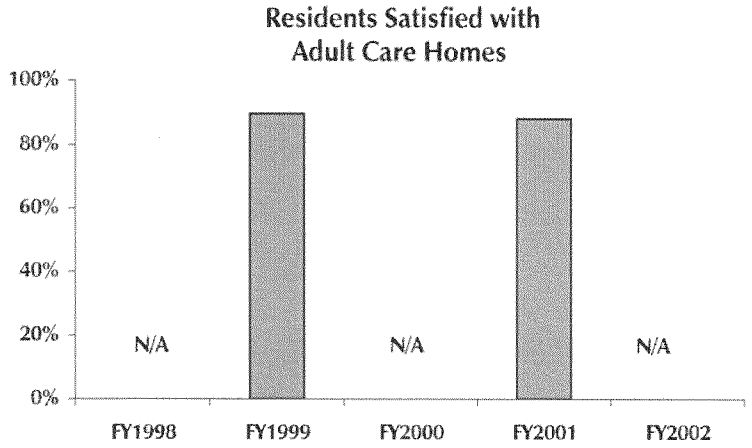
Following is a sample of the type of clients served by DCHS in FY2002.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Domestic violence clients served	N/A	N/A	N/A	N/A	796
Clients with developmental disabilities served	2,779	2,975	3,050	2,577	3,336
Mental health crisis calls	N/A	N/A	N/A	N/A	9,968
Alcohol and drug treatment clients served	N/A	N/A	N/A	17,983	18,142
Senior and physically disabled clients served	32,625	33,688	N/A	35,552	36,813

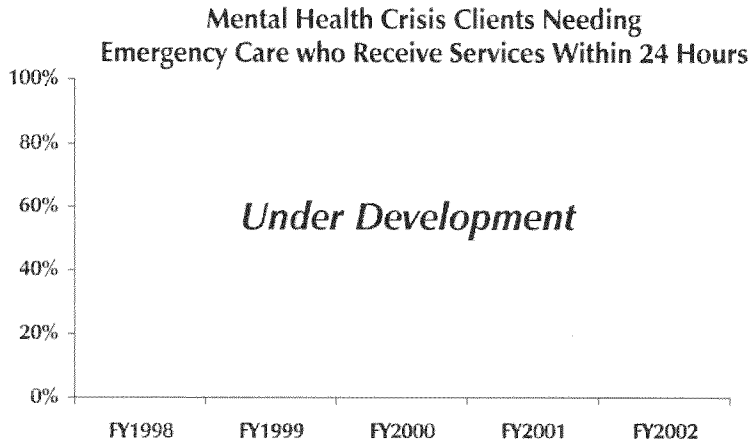
Assure Access

One example of how DCHS assures that clients have access to quality services is by contracting for services and monitoring these contracts. For example, DCHS contracts for adult foster care with private providers, and then monitors these homes to ensure they are safe and high quality. Recent surveys indicate that residents have a high level of satisfaction with the care provided in the homes they live in.



The mental health crisis care system underwent a significant transformation in 2001 to make needed improvements in services. DCHS created a new system that includes a central crisis hotline, 24 hour walk-in clinics, and a mobile crisis response team.

As a result of this redesign, many of the measures for mental health in this report are new.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Residential homes for people with developmental disabilities	N/A	N/A	117	117	117
Developmentally disabled clients using Self-Directed Supports Initiative	192	401	419	0	267
Referrals to service from community Gatekeepers for seniors and people with disabilities	796	1162	842	927	872
Daytime calls to Helpline for seniors and people with disabilities	9,498	11,185	15,943	15,994	14,608
After hours calls to Helpline	4,644	2,550	4,659	5,224*	4,747*
Calls to domestic violence crisis line	21,776	25,030	20,464	25,822	N/A
Children/adolescents mental health clients covered by the Oregon Health Plan	N/A	N/A	N/A	49,103	53,964
Adult mental health clients covered by the Oregon Health Plan	N/A	N/A	N/A	56,265	63,419

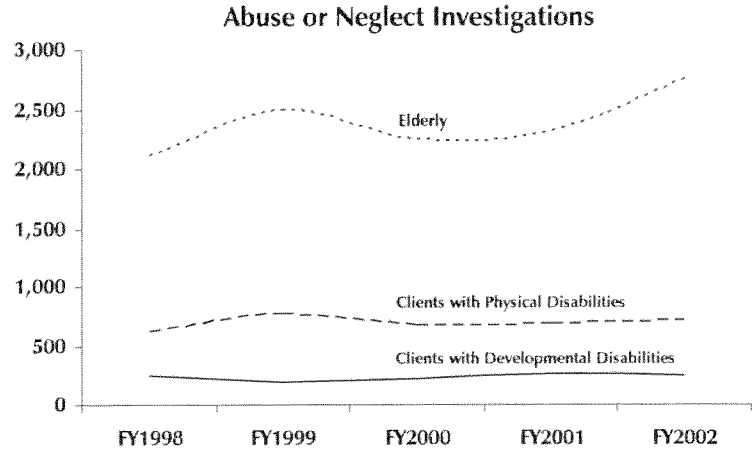
Results	FY1998	FY1999	FY2000	FY2001	FY2002
Caller satisfaction with Helpline	N/A	78%	N/A	85%	N/A
Percent of mental health clients surveyed who got an appointment at a convenient time	N/A	N/A	N/A	88%	N/A
Percent of mental health clients surveyed who got an appointment at a convenient place	N/A	N/A	N/A	87%	N/A

***includes Washington and Clackamas Counties**

Protect Vulnerable People

DCHS has a number of programs and safeguards in place to protect many of the community's most vulnerable people and those who are in crisis. These services include abuse and neglect investigations, involuntary mental health commitment evaluations, crisis diversion, shelter and housing for domestic violence victims, alcohol and drug treatment, and guardianship of those with serious mental incapacitation.

The number of abuse and neglect investigations for elderly clients has risen in recent years, while the number for people with physical and developmental disabilities has remained relatively stable.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Involuntary mental health commitments	186	185	282	272	313
Developmentally disabled clients referred to crisis diversion	45	53	23	94	188
Clients in the Public Guardian program	182	181	169	171	167
Alcohol and drug client assessments provided	4,720	4,735	4,462	4,987	4,785
Alcohol and drug treatments provided					
Sobering episodes	12,322	11,769	12,722	12,894	11,833
Detoxification episodes	3,268	3,206	3,310	2,704	2,733
Residential treatment episodes	1,939	1,580	2,054	1,903	1,815
Outpatient treatment episodes	N/A	N/A	N/A	21,577	22,115
Driving under the influence of intoxicants (DUII) treatment episodes	4,476	5,305	5,417	2,915	2,485
Methadone maintenance episodes	2,872	2,946	3,611	3,364	3,520

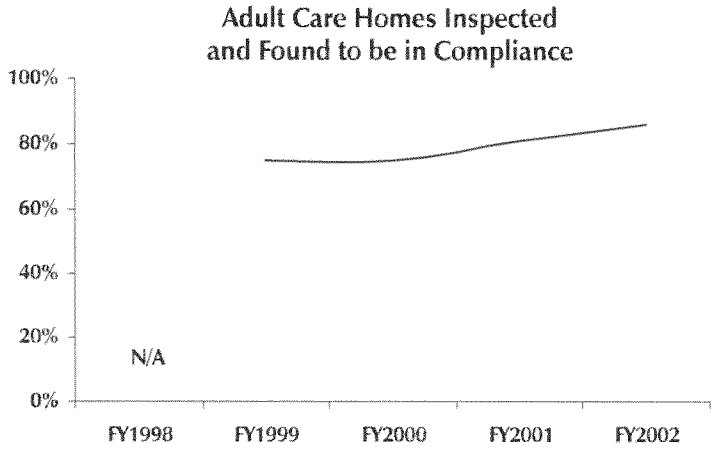
Protect Vulnerable People

Adult Foster Care

DCHS also plays an oversight role in some services, making sure local agencies deliver high quality care to people in need. For example, the department monitors and licenses community-based homes that provide a stable, safe, and homelike environment for adults in need of 24-hour care. The percent of homes found to be in compliance during their first license renewal inspection has risen from 75% to 86% over the last four years.

Alcohol and Drug

The Department provides assessment and referral to treatment for people with serious drug and alcohol problems, including people charged with Driving Under the Influence of Intoxicants (DUII) crimes. Treatment is provided through community contractors. Research indicates that every dollar spent on alcohol and drug treatment saves more than five dollars in future expenses related to criminal justice, welfare, and victim costs. Treatment success rates have remained stable over the past five years.



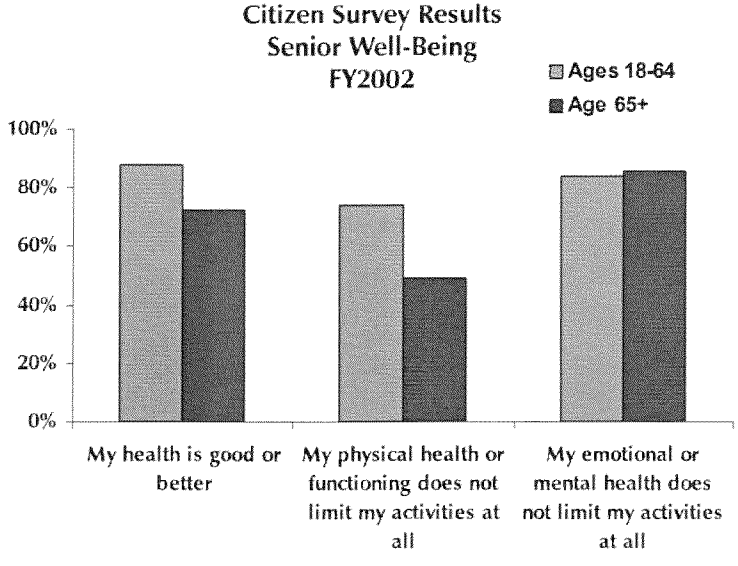
Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of clients with developmental disabilities referred to crisis diversion	2%	2%	1%	4%	6%
Safe bed nights provided at domestic violence shelters and housing	N/A	N/A	N/A	N/A	20,682

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per domestic violence shelter bed night	N/A	N/A	N/A	N/A	$36
Average cost for outpatient alcohol and drug treatment per day	N/A	N/A	N/A	N/A	$1,155
Average cost for residential alcohol and drug treatment per day	N/A	N/A	N/A	N/A	$7,200

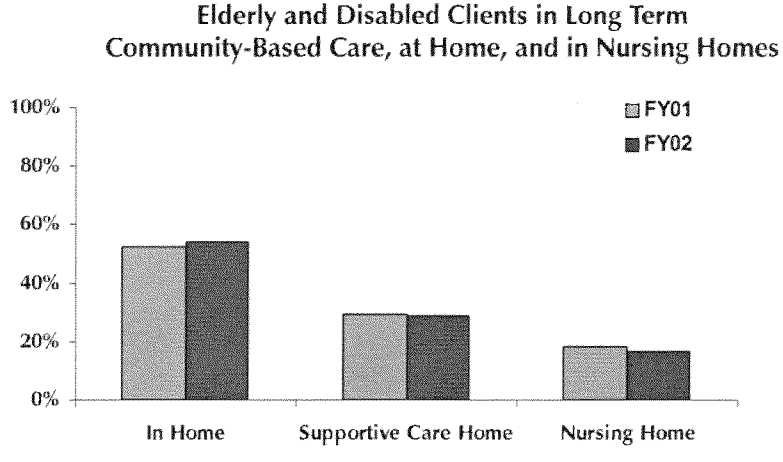
Promote Well-Being

Seniors and People with Disabilities

The Department works to ensure that seniors and people with disabilities continue to have a high quality of life. The most recent citizen survey showed that a high number of seniors consider themselves to be in good physical and emotional health, but only half feel that the state of their health does not impede many of their activities.



The County works toward helping people remain in their homes and communities for as long as possible. It contracts for a number of services, including in-home assistance, meal delivery, recreational and educational activities, and transportation. Serving clients in supportive community-based care costs approximately 63% less than serving them in nursing facilities. Serving them at home costs approximately 79% less.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Seniors and clients with disabilities helped with daily living assistance in their homes	N/A	N/A	N/A	43,562	47,678
Home delivered and congregate meals	711,728	704,199	703,395	619,754	576,608
Activities at Senior Centers	N/A	N/A	N/A	N/A	107,825

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of seniors who reported that their neighborhood is a good place to live	N/A	N/A	N/A	94.7%	95.4%

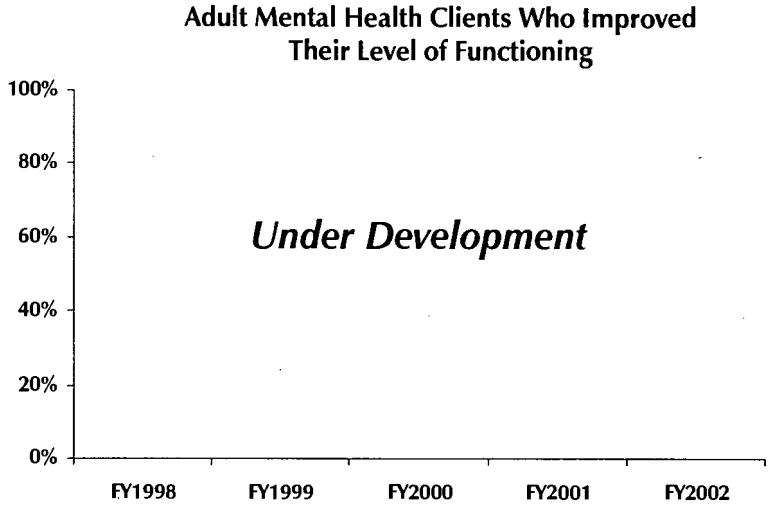
Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per meal served (County funding only, approx. 35-50% of total cost per meal, adj. for inflation)	$2.01	$1.93	$1.88	$1.85	$2.15

Promote Well-Being

Mental Health

DCHS manages resources for services to adults, adolescents, and children with mental illnesses and emotional and addictive disorders. The Department is responsible for providing or contracting for a continuum of crisis intervention and treatment services, and providing protective services, assessment, and referral.

The mental health system has recently undergone a major redesign that shifts the focus away from costly hospitalization once a person's problems have escalated, and toward early intervention, prevention, and crisis management.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
School-based informal mental health visits	9,102	7,868	8,339	4,529	7,567
Early childhood mental health program clients	1,400	1,523	3,038	7,000	7,226

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Child/adolescent mental health clients achieving a positive change in level of functioning (excludes contractors)	N/A	N/A	N/A	N/A	86%
Percent of adult mental health clients satisfied with services received		85%	85%	88%	N/A
Percent of child and adolescent mental health clients satisfied with services received		90%	86%	86%	N/A
Percent of mental health clients who reside in stable housing		Measure Under Development			

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of mental health managed care dollars spent on direct care		Measure Under Development			

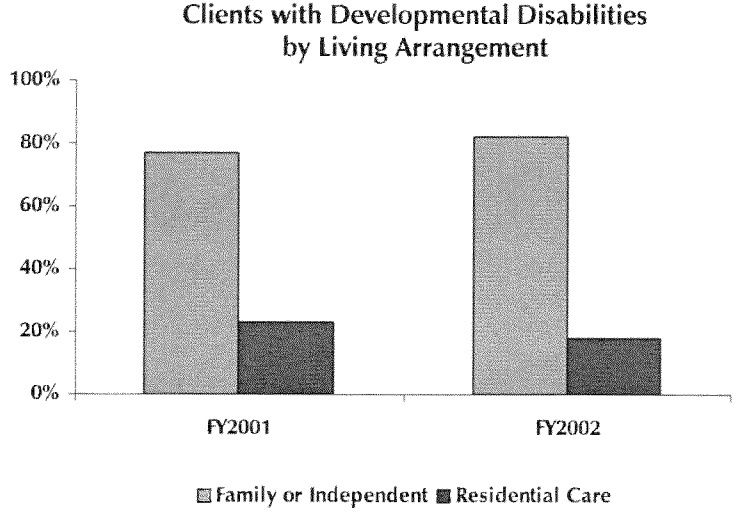
Promote Well-Being

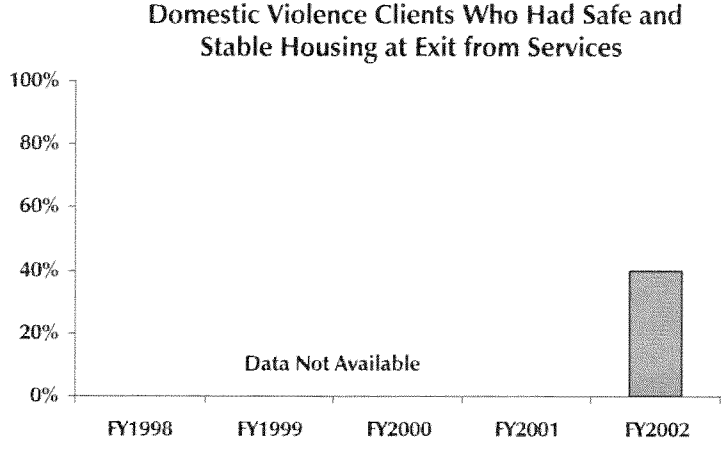
Developmental Disabilities

The Department's services for people with developmental disabilities and their families include service coordination, assistance with benefits, housing, and help when crises develop. DCHS works to help clients live independently or with their parents in the community for as long as possible. The percentage of clients remaining in their own homes or with family increased from 77% in FY2001 to 82% in FY2002.

Domestic Violence

DCHS helps to coordinate an effective response to domestic violence within the County. It contracts for prevention programs and for direct services, such as shelter, housing, and counseling. The measure below indicates the success of programs in helping domestic violence victims find safe and stable housing when they left services. Trend data will be available in the future.





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Multnomah County

Service Efforts and Accomplishments FY2002

Overview

In Brief . . .

Accomplishments

- Started a program to prepare for and respond to bioterrorism and natural disasters
- Increased access by redesigning prenatal appointment schedule
- Redesigned pharmacies to respond better to increases in demand without adding staff
- Partnered with adjoining counties to reduce the mosquito population using natural pesticides
- Expanded Tuberculosis preventative therapy to the high-risk homeless population
- Negotiated better reimbursement rates for treating Medicaid clients

Issues

- Ongoing effort to prepare for Bioterrorism and natural disasters
- Assuring access to health care for vulnerable populations in light of increasing health care costs
- Rapid response to the expected arrival of West Nile Virus and other emerging infections

The Health Department works to assure, promote, and protect the health of the people of Multnomah County in partnership with the diverse communities it serves.

Health Department

Assure Access to necessary, quality health care

- Provides medical and dental services in County clinics, jails, schools, and in the homes of high-risk families
- Provides pharmacy, lab, and language services
- Screens residents for eligibility for the Oregon Health Plan and other insurance subsidies
- Provides health information and referral over the phone

Promote Health of all county residents

- Provides health education in the community, schools, and workplaces
- Educates high-risk families about healthy activities and habits
- Conducts tobacco use prevention activities
- Trains teens in pregnancy prevention and abstinence
- Provides food handler education and certification
- Monitors health conditions and emerging health issues

Protect Health of all county residents

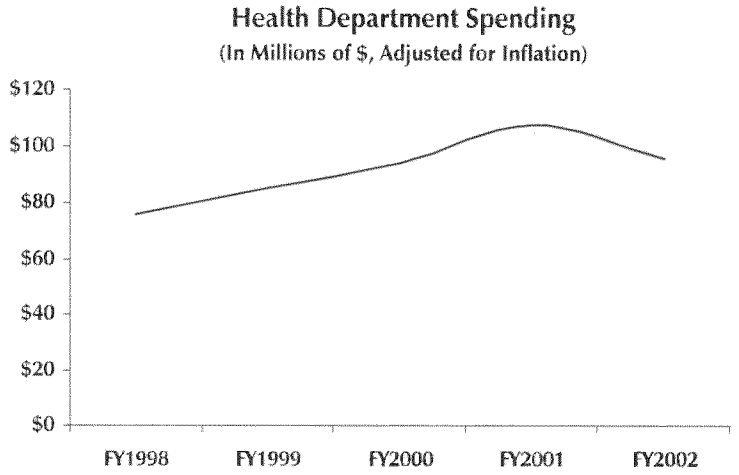
- Investigates, treats, and controls the spread of communicable diseases
- Works to control the mosquito and rat populations
- Provides nutrition education and food vouchers to at-risk women with young children
- Provides vaccines and immunizations
- Inspects restaurants, swimming pools, schools, hotels, and care facilities
- Oversees county ambulance services
- Investigates environmental health issues
- Tests for lead poisoning and provides education

Spending and Staffing

Spending

The Health Department spent $96 million on services in Fiscal Year 2002 (July 1, 2001 – June 30, 2002), an increase of 27% over FY1998, but a 11% decrease from FY2001. Funds from state and federal sources have grown from 47% to 58% of total Department funding.

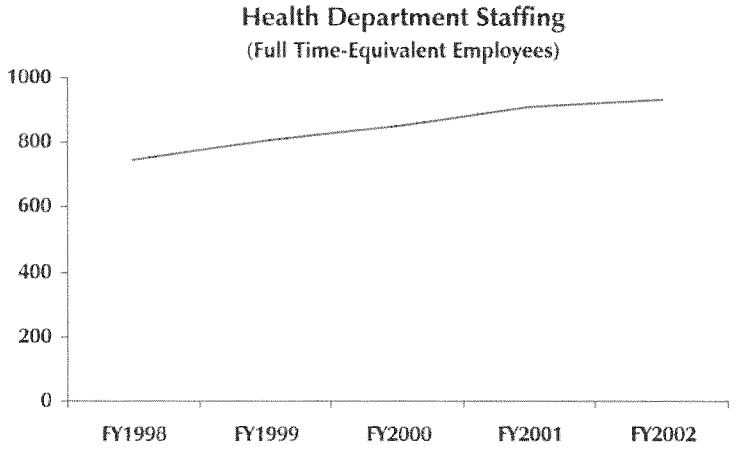
- Growth in state and federal funding accounts for about 96% of the increase.
- Funding sources for the Health Department in FY2002 were approximately $56 million federal and state, $6.5 million from user fees and other, and $33.5 million from local sources.
- $144 dollars were spent per County resident.



Staffing

The number of hours worked by Health Department employees was equal to 935 full-time positions in FY2002. This was an increase of 26% since FY1998. According to the Department, the increase in staff was largely the result of additional grant and fee revenue.

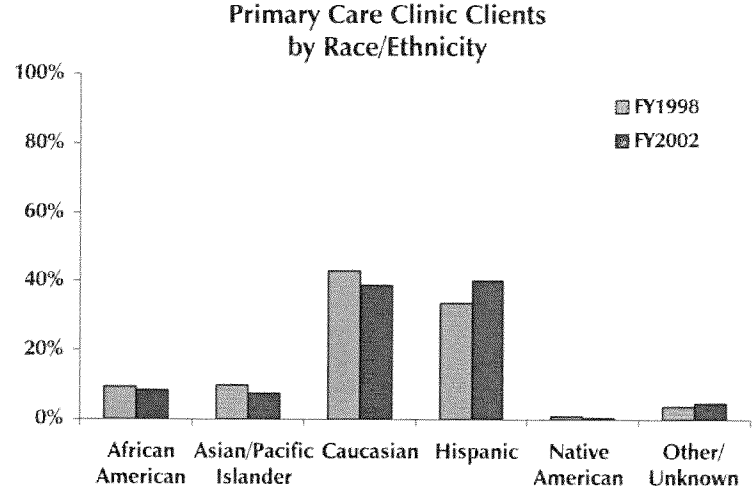
Staff includes medical doctors, dentists, nurses, outreach workers, sanitarians, interpreters, epidemiologists, environmental health experts, health educators, nutritionists, and others.

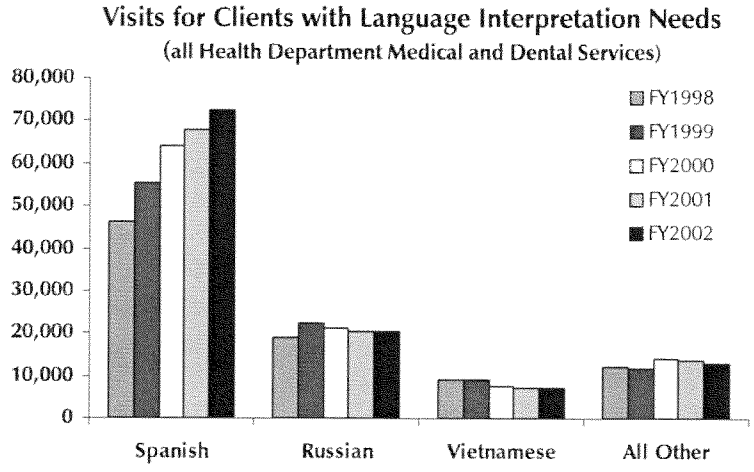


Assure Access

The goal of the Health Department is to assure that every member of the community has a healthy environment and access to the prevention and treatment services necessary for good health. The Department manages resources and helps develop service systems in the community, especially for those who cannot afford care. The Department also provides services directly in County clinics.

Health Department clinic clients and their needs have been changing over the last few years. The 38% growth in the Hispanic client population in the last five years has contributed to a 57% growth in the number of Health Department clinic visits requiring Spanish language interpretation services.





Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Corrections health visits (adult and juvenile)	91,201	101,141	105,931	98,902	94,099
Dental clinic visits	28,738	29,039	31,927	32,702	32,503
School medical clinic visits	28,526	30,206	29,788	33,834	35,443
Home and community health visits	29,448	32,076	31,196	35,667	38,363
Other medical (Primary Care, Tuberculosis, Sexually Transmitted Disease, and HIV Clinics)	124,660	135,109	140,636	141,764	140,231

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
*Cost per visit in Primary Care Clinic (adjusted for inflation)			$174.39	$200.26	$207.61
*Cost of on-call interpretation for Primary Care Clinic visit (adjusted for inflation)	$18.33	$31.98	$29.00	$15.29	$17.12
*Cost per prescription dispensed to County clients (adjusted for inflation)	$24.14	$26.47	$27.53	$29.19	$24.28

***Measures do not include all administrative and overhead costs**

Assure Access

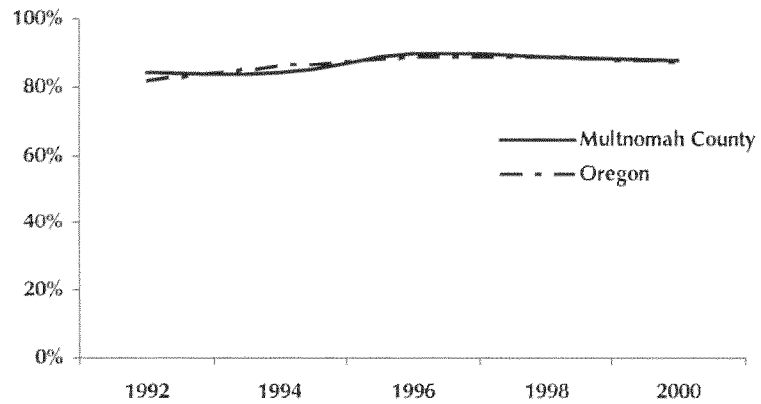
Insurance Coverage

Insurance coverage is an important indicator of access to health care. The Health Department routinely screens clients for the Oregon Health Plan and other insurance plans that help at-risk citizens obtain needed health care. The percent of Multnomah County residents with health insurance has declined only slightly since rising six percentage points in 1996.

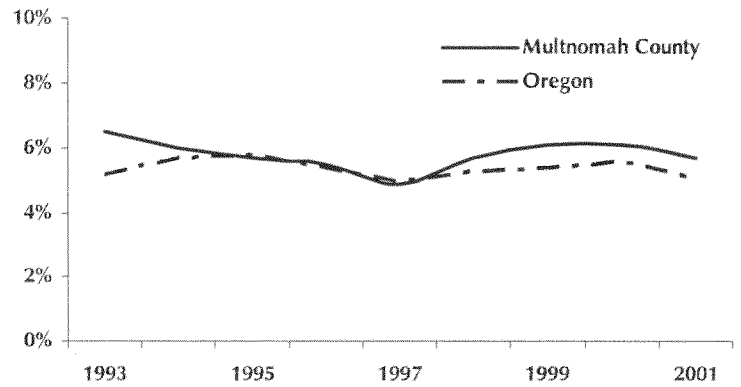
Prenatal Care

The percentage of women who receive prenatal care is another indicator of access to health care and is one of the County's performance benchmarks. The rate of pregnant women in Multnomah County who have inadequate prenatal care decreased since 1993 to 5.7 % in 2001, but is still above its recent low point of 4.9 % in 1997.

Residents with Health Insurance



Rates of Inadequate Prenatal Care
(Late entry or less than 5 prenatal visits)



Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of health clinic clients who rated the quality of care they received as excellent or good	N/A	98%	98%	N/A	N/A

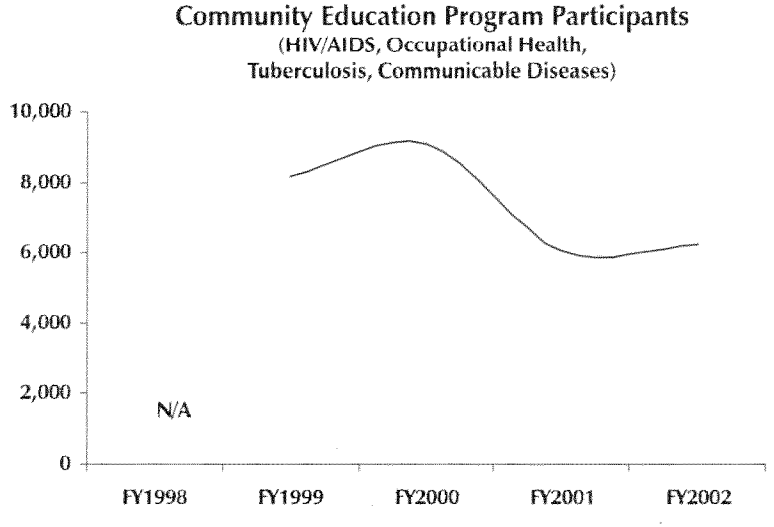
Promote Health

The Health Department also promotes the health of all residents of Multnomah County. Health promotion relies on reaching out to individuals, groups, and communities. The Department helps people adopt healthy habits by increasing their knowledge and skills through education in schools, the workplace, and other locations. Topics include disease risk reduction, pregnancy prevention, safe food handling, lead poisoning prevention, and workplace health.

Promoting healthy behaviors and preventing disease can have large economic benefits. For example, one 1997 national study shows that, for every dollar spent on prevention, the following savings are possible:

Prenatal Care	$3.38
Sexually transmitted diseases	$3.00
Measles, Mumps, Rubella	$14.40
WIC nutrition programs	$2.45

The drop in the number of community education program participants was primarily the result of a change in the best practices model, from group informational sessions on HIV/AIDS to work with individuals on risk reduction.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Participants in children's dental education programs	25,670	27,943	27,917	26,066	27,471
Abstinence and family planning teen program participants	6,770	6,345	6,846	5,908	6,420
HIV reductions contacts with injection drug users (outreach, education, needle exchange)	7,895	7,896	8,473	6,889	6,280

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of family planning clients in School-Based Health Clinics who do not get pregnant during the year	96%	96%	96%	93%	97%
New HIV positive tests	144	98	103	115	N/A

Promote Health

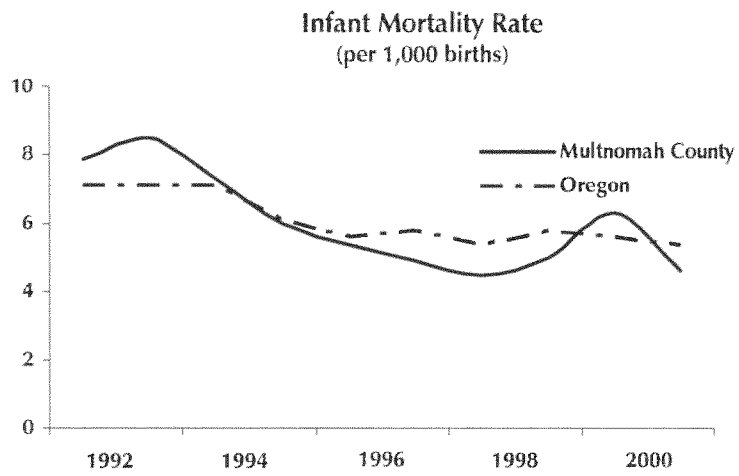
The Health Department targets efforts on affecting people's health behaviors to improve the overall health of the community. They focus on health issues that can lead to other social and health problems, such as teen pregnancy, prenatal and neonatal care, smoking, and lifestyle habits that negatively affect health.

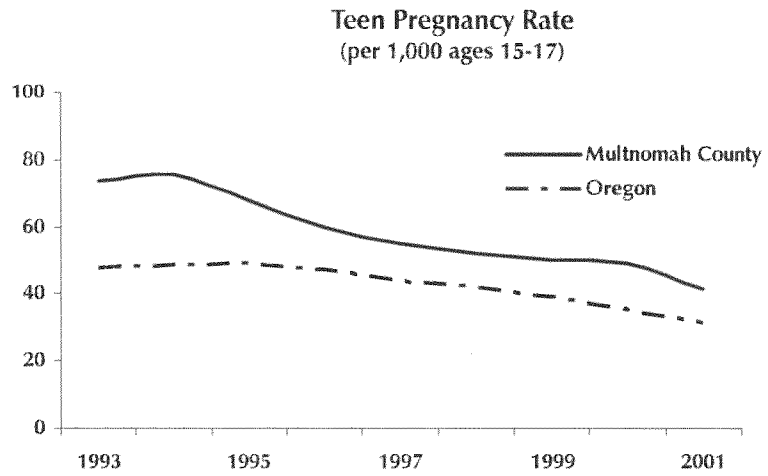
Infant Health

The Department provides prenatal and infant health services through its Primary Care Clinics, community health nurses and workers, teen programs, and Women, Infant, and Children (WIC) program. The infant mortality rate is an indicator of progress in meeting the health needs of mothers. The infant mortality rate in Multnomah County has generally declined over time, with significant improvements among African American infants.

Teen Pregnancy

The Department has a number of programs that work with teens in schools and the community. It trains high school teens to provide health outreach and abstinence education to students in middle schools, educates young mothers on the benefits of postponing having another child until they are older, and provides family planning services. The teen pregnancy rate for Multnomah County has been decreasing faster than for the state as a whole, but is still slightly above the state.





Promote Health

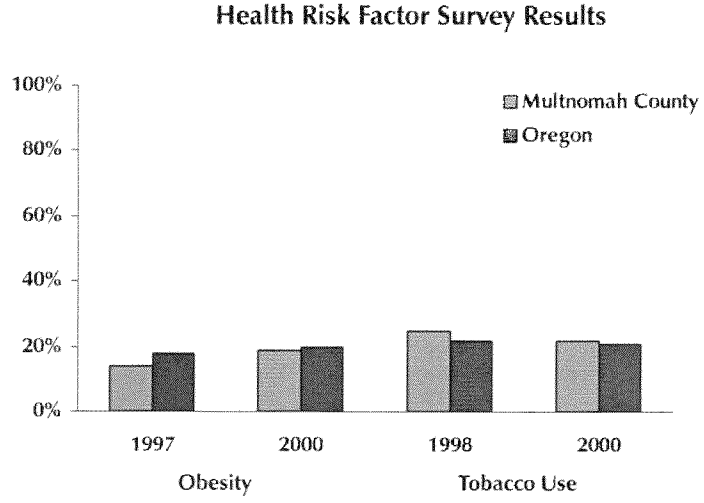
Health Risk Factors

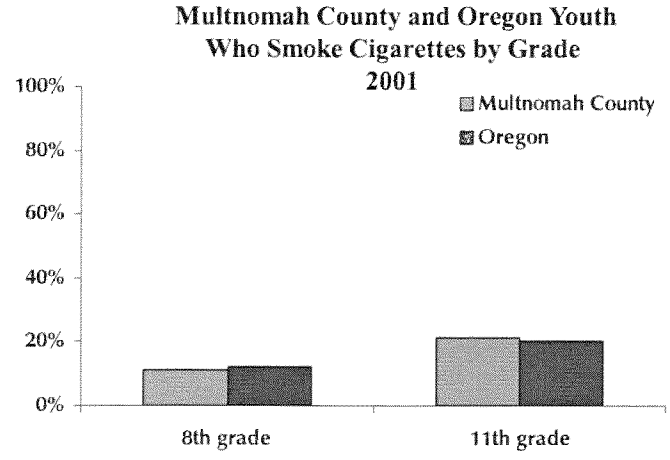
Results from a survey on health risk factors show that respondents from Multnomah County compare closely with the state as a whole. However,

- County respondents' obesity rates have increased faster than residents of the state as a whole.
- County respondents' rates of tobacco use decreased faster than residents of the state as a whole.

Tobacco Use

The Health Department works to reduce and prevent tobacco use among youth and adults. They have projects underway to reduce youth access to tobacco, enforce the smoke free workplace law, promote smoke free environments, reduce tobacco advertising and promotion, and provide linkages to cessation programs.



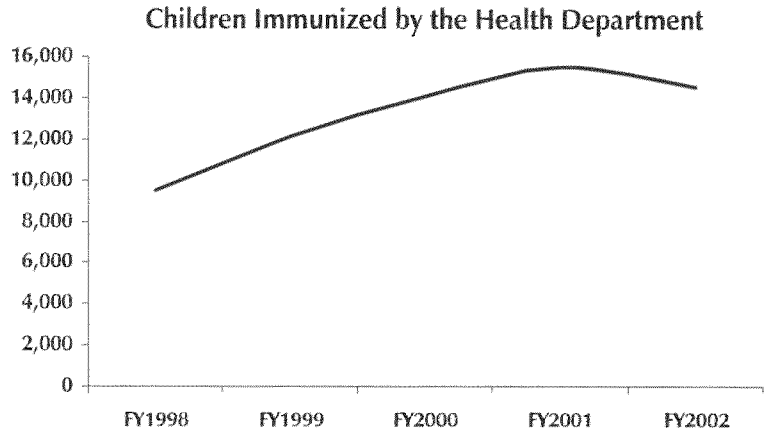


Protect Health

The Health Department helps protect Multnomah County residents from diseases, injuries, and the effects of natural disasters. The Department investigates the outbreak of diseases and community conditions that affect health and carries out activities that control diseases and their impacts. Many of these services are invisible to the public such as inspections of food safety, control of communicable diseases, and regulation of businesses and workplaces that affect people's health.

As part of its protection work, the Health Department also provides prevention services such as a nutrition program for low-income pregnant women and children, dental services for children, vaccinations for overseas travelers, immunizations for children, and flu shots.

It also oversees the County's emergency medical response and ambulance system.

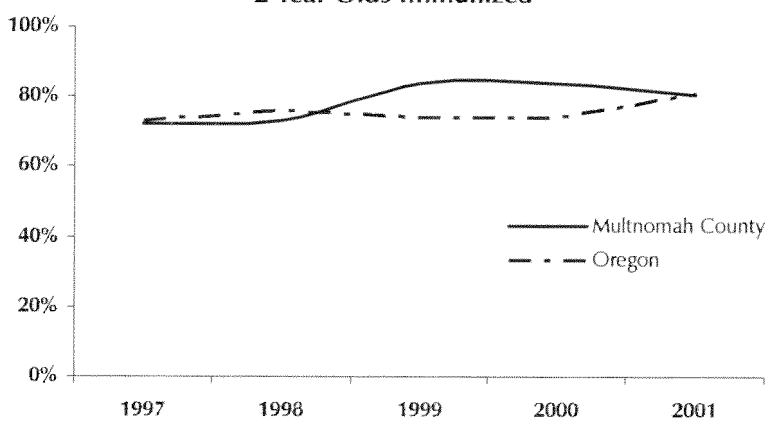


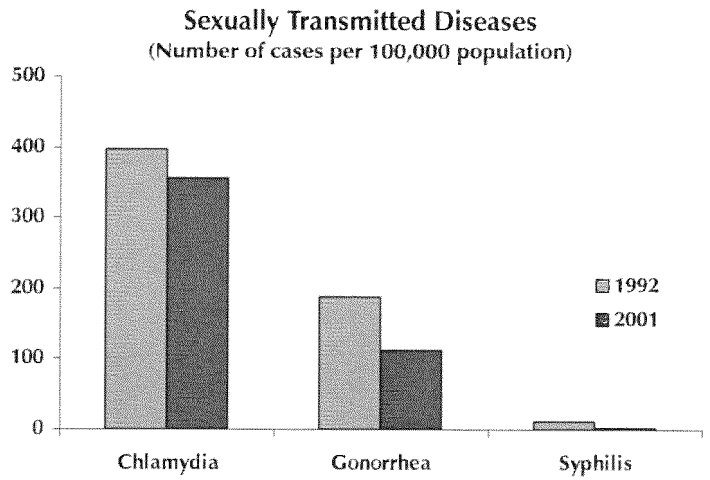
Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Environmental health inspections (restaurants, swimming pools, schools, hotels, care facilities, and drinking water)	N/A	8,757	10,503	9,847	10,245
Children participating in dental fluoride and sealant programs	35,187	37,530	35,071	37,235	36,903
Women, infants, and children served in the WIC program	24,112	23,589	22,337	24,091	25,158
Flu vaccinations at health clinics	3,158	3,197	3,017	2,251	2,640
Investigations of lead poisoning in children	N/A	24	20	22	28

Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of ambulance calls that arrived in under 8-minutes	90%	91%	93%	90%	91%

Protect Health

Programs that protect health benefit both individuals and the community as a whole. Two primary concerns for health protection are increasing the rate of immunization among children and controlling the spread of sexually transmitted diseases. The Department has made long-term progress in reducing the spread of sexually transmitted diseases. The percentage of 2 year olds immunized within the county has declined slightly in recent years.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of reported cases of the following sexually transmitted diseases interviewed for sexual contacts					
Gonorrhea	90%	90%	71%	86%	86%
Syphilis	100%	95%	50%	80%	100%
Chlamydia	74%	50%	51%	64%	69%

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Multnomah County

Service Efforts and Accomplishments FY2002

Overview

In Brief . . .

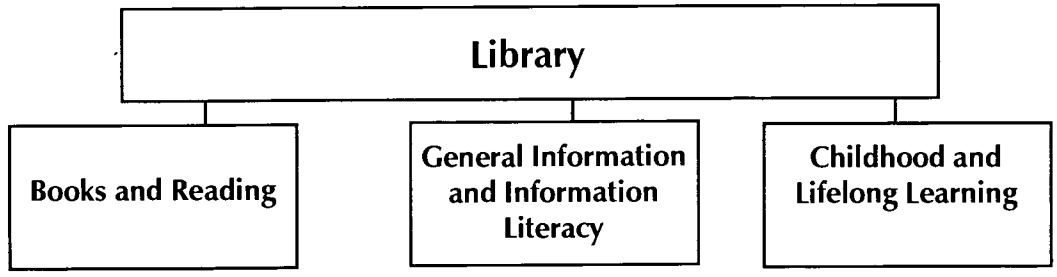
Accomplishments

- Celebrated 100 years of free library service to the public
- Completed renovation on three branch libraries
- Opened two new and two replacement libraries
- Circulation topped 16 million
- Increased the Summer Reading participation to over 39,000
- Opened a new computer lab at the North Portland Branch Library and ensured word processing capability at all library locations
- Honored by the American Institute of Architects and the American Library Association for library architectural design
- Received Robert B. Duncan Intellectual Freedom Award

Issues

- The library's funding relies heavily on voter-approved levies and local economic conditions
- Due to the mid-year budget cut, the Library made reductions to cope with the funding gap: Parkrose Library closed, Monday hours eliminated, book budget reduced, children and adult programming reduced, and the Teen Intern program eliminated

The Multnomah County Library serves county residents by providing books and other materials to meet their informational, educational, cultural, and recreational needs. The Library upholds the principles of intellectual freedom and the public's right to know by providing people of all ages with access and guidance to information that reflects all points of view.



- Downtown Central Library and 16 branch libraries
- Multiple types of materials, such as books, audio, video and DVD, magazines, and electronic resources
- Materials for diverse library users such as youth, adults, non-English speakers, and people with special needs
- Best sellers, popular topics, and classics

- On-line and in-person answers to information requests
- 24 hour Internet access to library catalog of books and other media
- Electronic resources and databases
- Reference materials
- Computers at all locations for public use
- Training on how to use library information resources
- Help for users in determining the best media resource for their needs
- Assistance in finding books and information

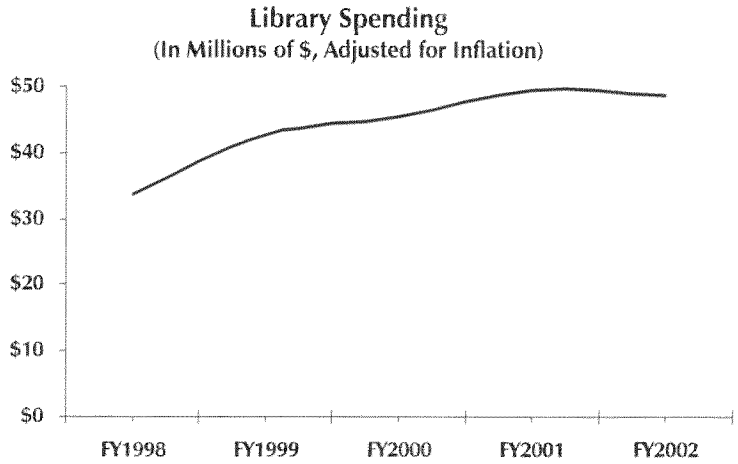
- Technology training for seniors and students
- Summer Reading program for children
- Early childhood learning support
- Help with job hunting, investing, homework, and other topics of interest
- Services to schools to help students and teachers get the most from the Library
- Services for residents not able to come to the libraries

Spending and Staffing

Spending

The Library spent $48.7 million in Fiscal Year 2002 (July 1, 2001-June 30, 2002), an increase of 45% over FY1998. This does not include construction costs for new and renovated libraries.

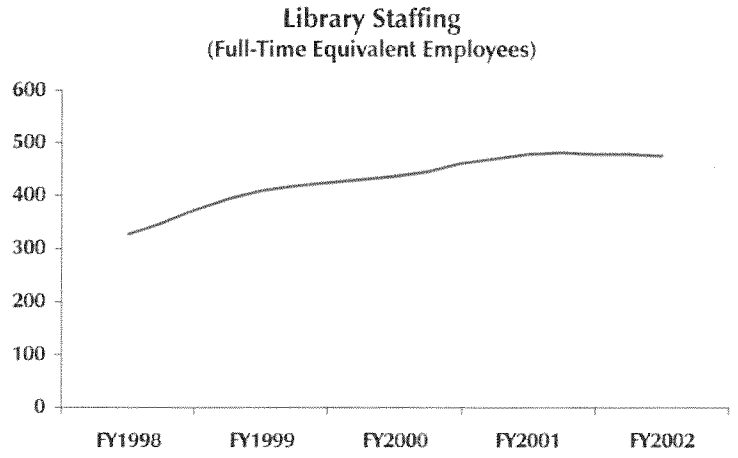
- The primary sources of Library funding are voter approved levies and the issuance of bonds.
- $73 dollars were spent per county resident.
- The passage of a five year levy in 1997 resulted in increased spending beginning in FY1999 to improve and expand services. The levy offset budget constraints imposed by ballot measures 47 and 50.
- 15.6% of the Library's operating expenditure (excluding debt service) was spent on books and materials.



Staffing

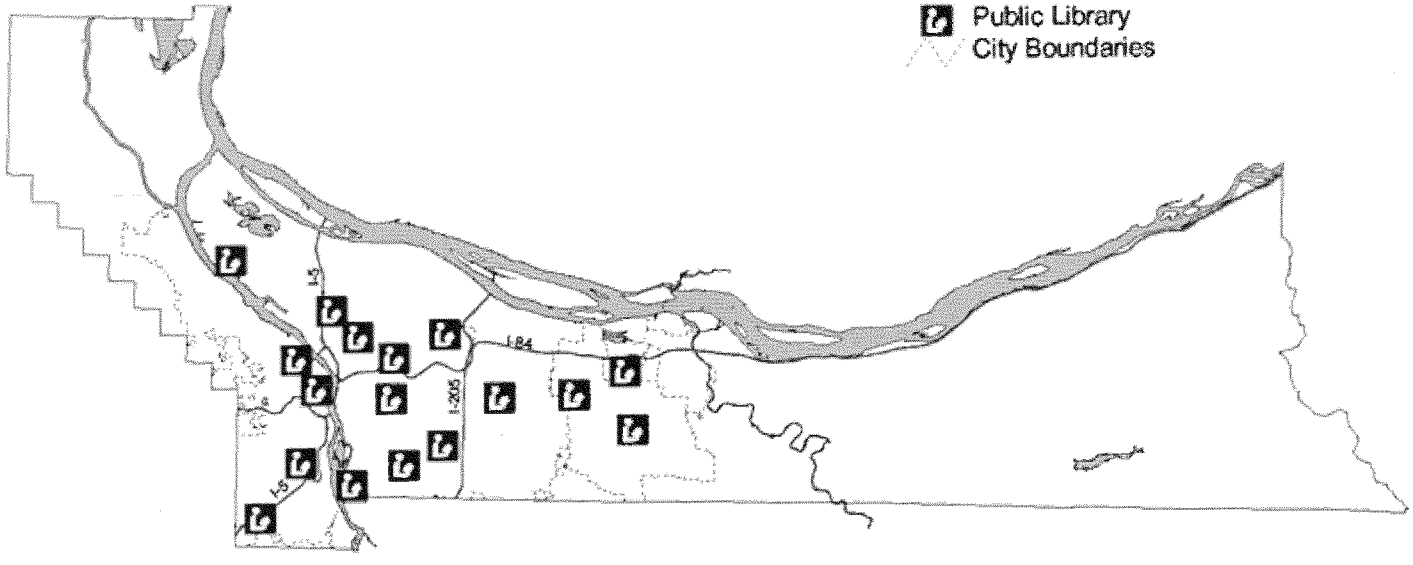
The number of hours worked by Library employees was equal to 475 full-time positions in FY2002. This is an increase of 45% since FY1998, but a slight decrease from FY2001.

- 52,914 hours were worked by volunteers in County libraries in FY2002, an increase of 26% over five years.
- Staffing increases in FY1999 reflect service enhancements funded by the 1997 levy.



Books and Reading

Location of Multnomah County Libraries



One of the Library's primary purposes is to provide a wide range of books and other materials to the residents of Multnomah County. The Library has a large collection that includes popular titles, classics, children's books, training and research materials, and many other resources.

In addition, the Library supports opportunities to increase reading and literacy in the community by providing outreach, reading programs, and materials in alternate formats and languages to meet the needs of county residents.

Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Books and materials in languages other than English	21,577	22,038	26,697	30,042	36,909
Books and materials in large print, audio and video tape, DVD, or CD	156,643	183,729	213,186	249,345	302,470
New library cards issued annually	65,592	67,626	68,752	68,559	70,219
Hours open (all libraries)	27,994	36,302	37,677	42,698	47,379
Book holds filled	650,273	787,465	893,039	1,053,627	1,396,277
Books circulated	8,486,034	9,450,963	12,152,743	14,008,166	16,133,945

Books and Reading

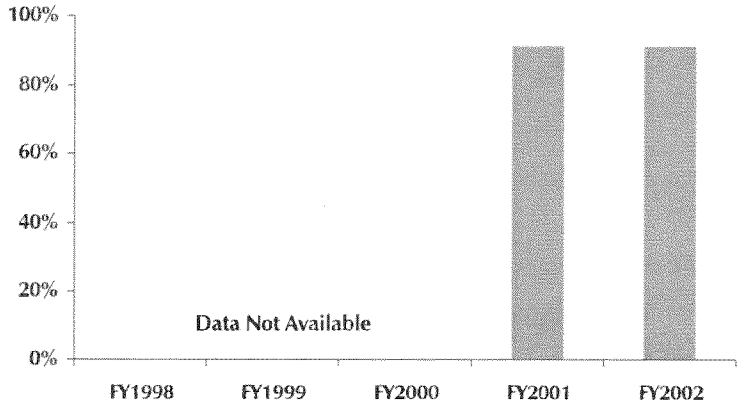
Satisfaction with Availability of Books and Materials

The Multnomah County Auditor's Office started a Citizen Survey in FY2001. The annual survey of county residents shows a high level of satisfaction with the availability of books and materials at County libraries. The percent of survey respondents who were satisfied or very satisfied with availability was 91% in FY2001 and FY2002.

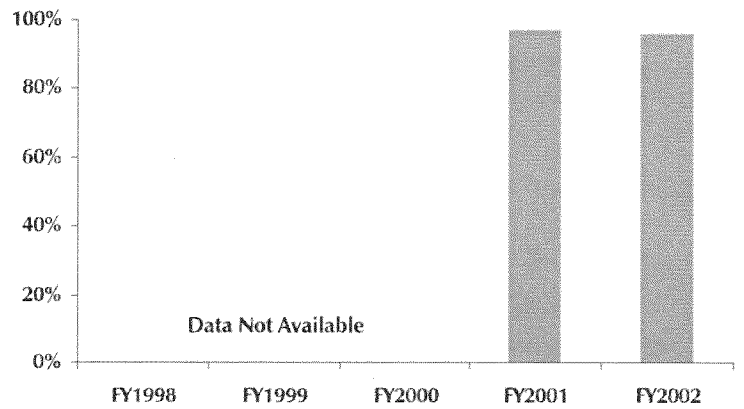
Overall Satisfaction with Libraries

Citizens also responded to a general question about their overall satisfaction with County libraries. Of those surveyed, 97% and 96% of survey respondents answered satisfied or very satisfied with the Library's overall quality in FY2001 and FY2002, respectively.

Survey Respondents Satisfied or Very Satisfied with Availaility of Books and Materials



Survey Respondents Who are Satisfied or Very Satifsied with Overall Library Quality



Results	FY1998	FY1999	FY2000	FY2001	FY2002
Percent of browsers who found something to check out	92%	90%	92%	91%	91%
Percent of visitors who found the specific subject or author they were seeking	72%	84%	84%	93%	88%
Percent of visitors who found the specific title they were seeking	61%	66%	72%	76%	64%
Percent of unavailable items delivered within 7 days	58%	58%	56%	60%	61%
Active borrowers (used library card in last three years)	N/A	393,610	444,219	515,184	509,949
Books and materials turnover rate	N/A	N/A	N/A	8.2	8.6
Frequency of visits to Library per capita	4.2	4.5	6.1	5.0	5.8

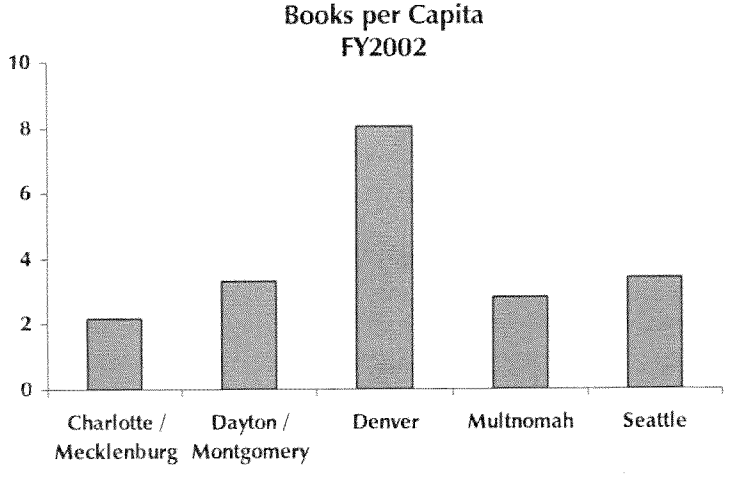
Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Circulation per employee	25,980	23,087	27,930	29,245	33,981
Express check-out (automated) use	New Program		548,453	620,362	1,051,862

Books and Reading

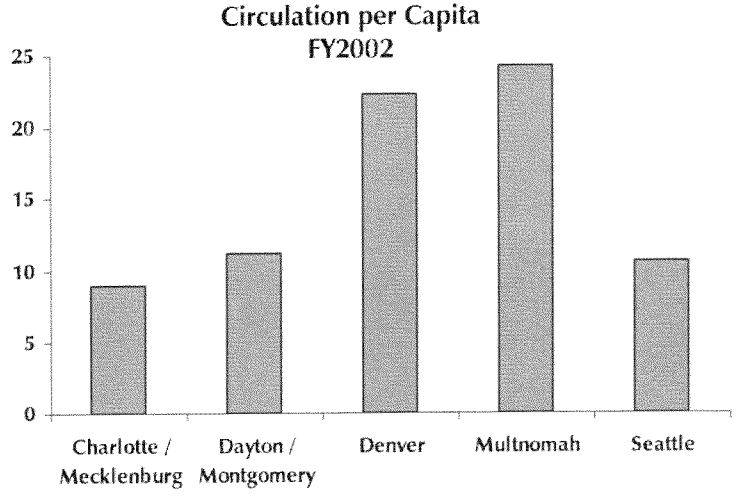
Multnomah County and Comparable Libraries

Libraries around the country provide a core set of services, such as checking books in and out, that are relatively easy to compare. For the purposes of this report, the public library systems in Denver, CO; Seattle, WA; Charlotte/Mecklenburg County, NC; and Dayton/ Montgomery County, OH were used as comparison sites based on similarities in the population served and library system characteristics. While many services are similar, no two library systems are exactly alike; for example, Dayton/Montgomery County has four overlapping library systems within the service area. Differences in services and how each library defines services affect the results presented here. Results should be interpreted cautiously.

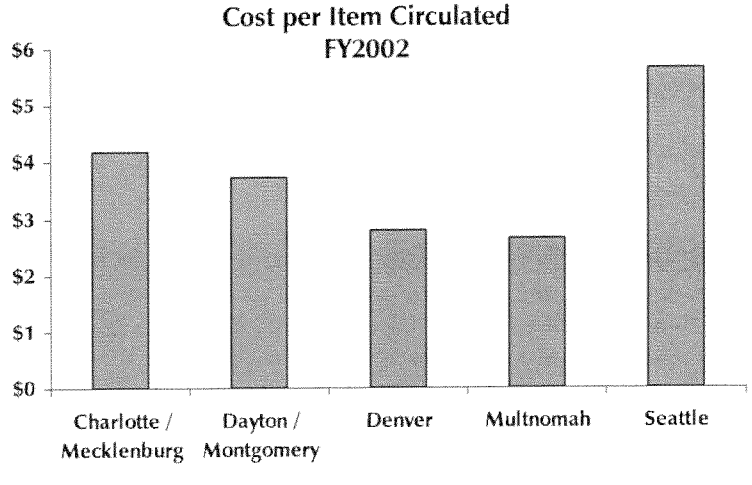
The number of books per capita in Multnomah County was 2.8 in FY2002. Approximately half of Denver's books and materials were government publications while others had a lower percentage of government publications.



The Multnomah County Library's circulation per capita was 24.2, the highest among jurisdictions compared.



Cost per item circulated was $2.60, the lowest among jurisdictions compared. This cost does not include debt service costs and major construction costs.

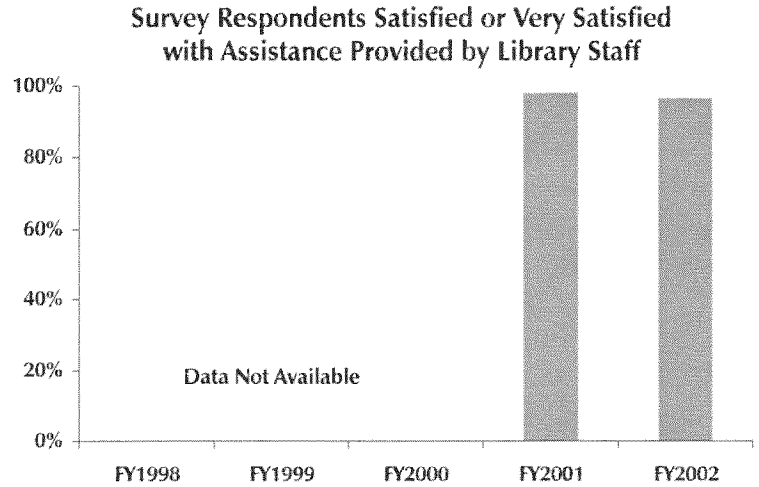


General Information and Information Literacy

The Library provides a number of programs, resources, and services to meet the information needs of county residents. These services include:

- Internet, fax, and telephone access to resources
- Staff assistance with homework, reference questions, and electronic services
- Computer stations for public use
- Classes to help adults and youth learn to use information and technology

The Library maintained a high level of citizen satisfaction with library assistance in the two years surveyed.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Reference transactions	663,039	748,476	773,576	1,054,769	1,168,488
Telephone reference transactions	77,863	91,604	87,183	79,166	73,644
In-library use of materials	2,017,704	2,562,598	2,472,652	2,434,792	N/A
Web site hits	1,067,797	9,203,676	42,323,312	43,346,524	44,568,574
Students taught technology information skills and library resources in schools	12,711	14,093	15,749	14,392	17,985
Information literacy classes held	New Program	102	340	403	450
Website hits for homework help		New Program	119,547	348,259	2,635,053

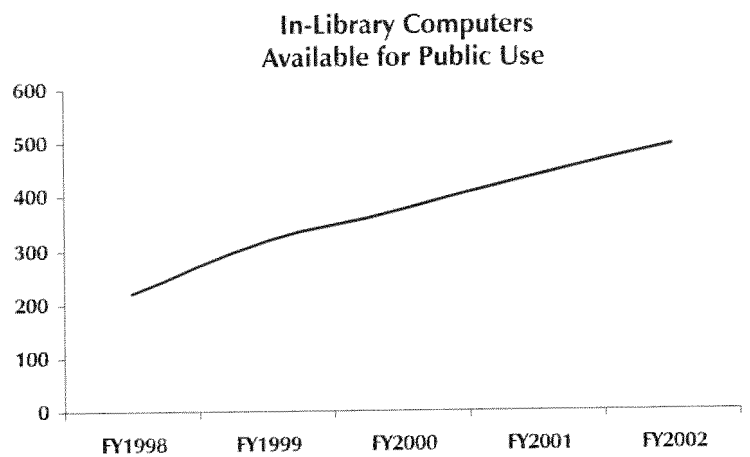
General Information and Information Literacy

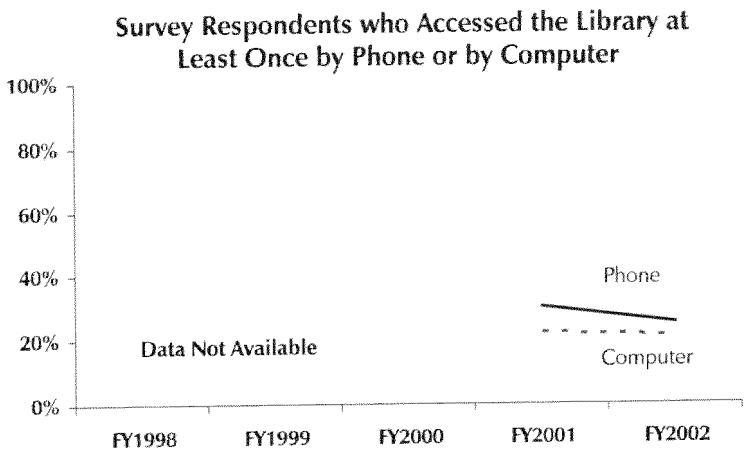
Public Access to Computers

The Library provides computers at the Central Library and in each branch for the public to use. Visitors use these computers to access the Internet, do research, and get information about the Library's resources. The number of public computers has increased and will continue to grow as new libraries are built and new stations are added to renovated libraries.

Remote Users

Technology provides more ways for people to interact with the Library in addition to personal contact. In FY2002 the percentage of respondents to the annual citizen survey who had contacted the Library by phone at least once was 25%. The number who contacted the Library at least once via computer was 21%.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Remote user satisfaction		Measure Under Development			
Satisfaction with information literacy classes	N/A	N/A	N/A	N/A	99%

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Reference transactions completed per staff person	6,928	5,963	5,696	6,553	7,346

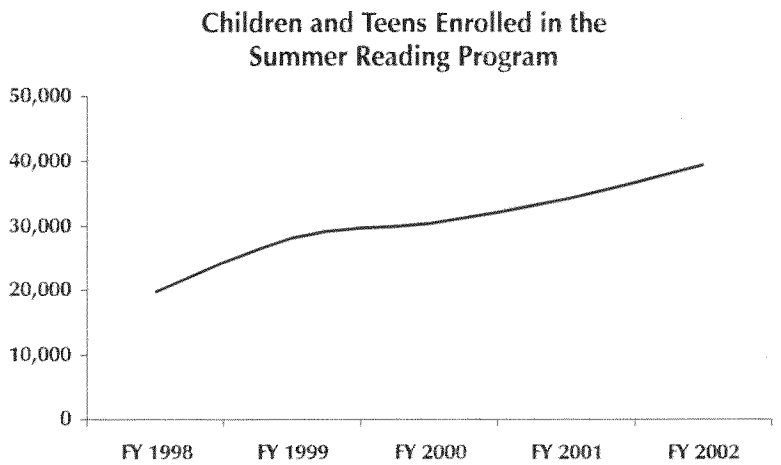
Childhood and Lifelong Learning

The Library provides opportunities for people to learn throughout their lifetimes. These include:

- Books and training available to parents and childcare facilities to establish early reading habits in young people
- Partnerships with schools to help children learn through School Corps, Homework Help, Books 2U, Internet resources, etc.
- Campaigns in schools each fall to ensure that youth have library cards and know how to use them
- Programs on investing and job-hunting available for adults
- Accommodations for people with special needs
- Services to nursing home residents and homebound individuals who cannot travel to libraries

Summer Reading Program

The number of children enrolled in the Summer Reading program has grown over 98% since FY1998. The program is part of the Library's strategy to encourage reading among youth to help them succeed in school.



Workload	FY1998	FY1999	FY2000	FY2001	FY2002
Books distributed to child care facilities	48,645	60,517	83,005	92,575	95,257
Contacts with youth in targeted programs in and outside libraries	414,962	570,940	465,727	261,910	542,602
Electronic resources website page hits	184,230	1,381,289	2,926,152	3,104,347	4,498,857
Youth interest website page hits	New Program		99,955	2,776,801	2,382,437
Technology classes offered for seniors		New Program		79	69
Visits to nursing homes	431	693	672	1,188	1,158
Books distributed through adult outreach services	234,871	158,753	142,651	183,610	202,322

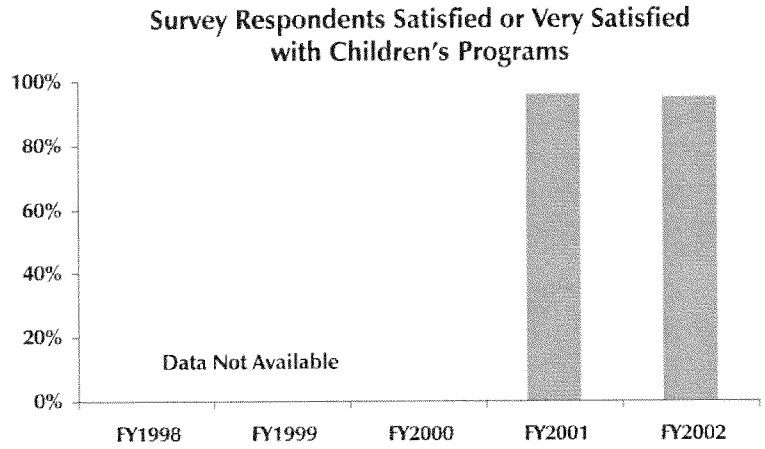
Childhood and Lifelong Learning

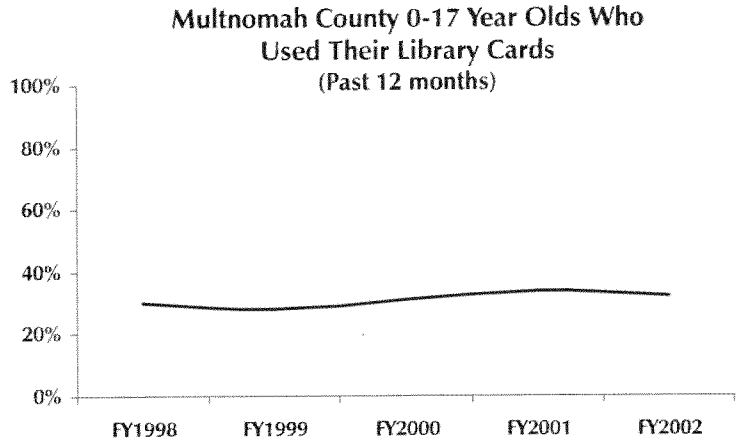
Citizen Satisfaction with Children's Programs

The Library's programs for children achieved high satisfaction ratings by respondents to the citizen survey. 96% and 95% of respondents gave a high mark in this area in FY2001 and FY2002, respectively.

Library Card Use by Children and Teens

The Library works closely with schools and provides a number of in-library, outreach, and on-line services designed to help youth access the Library and encourage them to use their library cards. Over the last 5 years, between 28% and 34% of youth in the county used their library cards in the previous 12 months.





Results	FY1998	FY1999	FY2000	FY2001	FY2002
Young cardholders added annually	N/A	N/A	N/A	19,061	18,510
Percent of books and materials in languages other than English	1.5%	1.4%	1.5%	1.6%	1.9%
Percent of circulation that is materials for children and young adults	31%	31%	28%	27%	27%
Percent of active cardholders over 55 years old (used card within past 18 months)	N/A	N/A	N/A	12%	12%

Efficiency	FY1998	FY1999	FY2000	FY2001	FY2002
Cost per adult outreach services circulation (adjusted for inflation)	$1.23	$2.18	$2.47	$1.91	$2.04

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Multnomah County

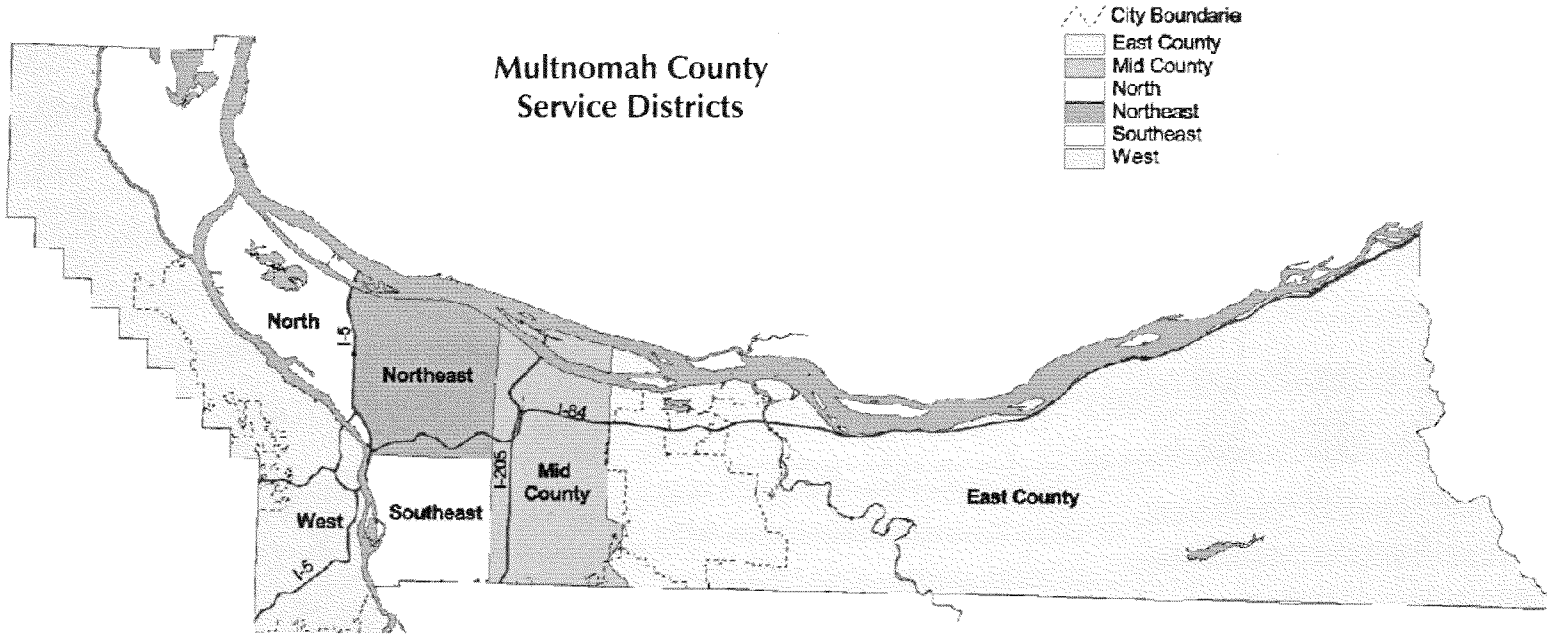
Service Efforts and Accomplishments FY2002

Community-Based Service Delivery

The purpose of the Multnomah County citizen survey is to obtain information from citizens about their satisfaction with County services and their views on how well the County is doing. While many individual survey items are not directly linked to specific County services, they are designed to measure qualities that are indirectly linked. We also hope to improve insight into how County government may contribute to citizens' overall feelings of regional and neighborhood livability.

The County's health and social service delivery offices are located throughout the County to allow easier access to citizens who need them. Services are provided in schools, non-profit programs, senior centers, branch offices, churches, clinics, and cultural and family centers. The Library has 16 branches, the Central Library in downtown Portland, and the Title Wave Used Bookstore. In the social and health services system each department has geographically dispersed locations to better serve citizens.

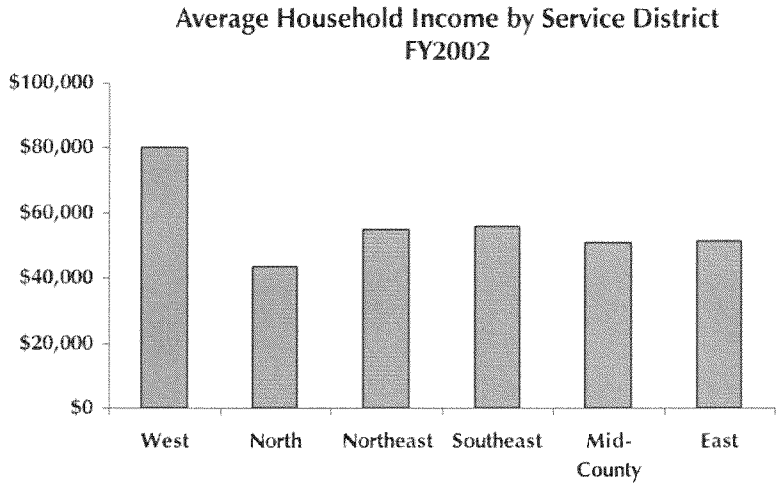
To analyze the survey data, we looked at citizen responses county wide and by service district. The service district boundaries were adapted from the Office of School and Community Partnerships service boundaries. These are also closely aligned with the Aging and Disability Services Division and Health Department service boundaries.

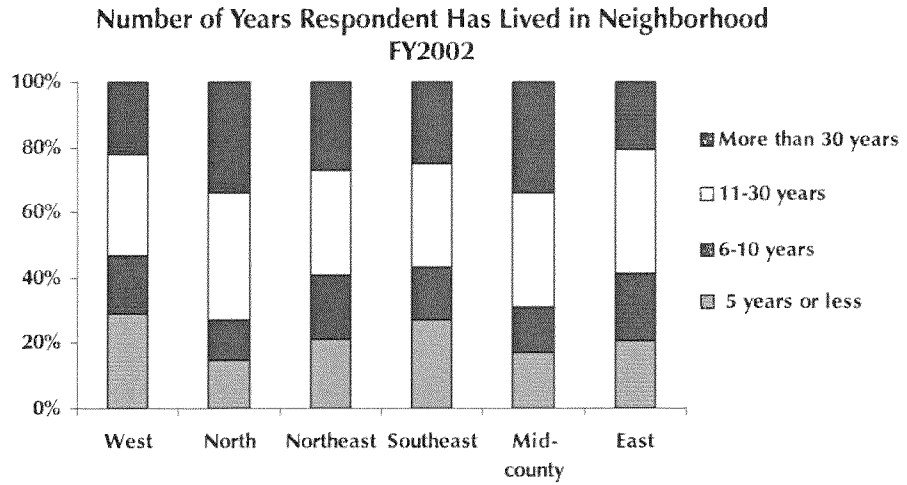


Respondent Characteristics

There were several questions on the citizen survey that related to individual or household characteristics. From this we learned that of those who responded:

- 22% lived in Multnomah County five years or less and 27% more than 30 years. Citizens in the West and Southeast Districts had a higher percentage that lived there five years or less and citizens in the North and Mid-County Districts had a higher percentage that lived there more than 30 years.
- For hours worked per week, the West had a higher percentage of respondents who worked over 40 hours per week (26%).
- For total income, the West had the highest percentage of respondents who made $60,000 or more and North had the highest percentage who were living at the poverty threshold or below. With the exception of West, service districts were similar in the number of respondents with a household income between the poverty threshold and median income (approximately 1/3 of respondents).
- Most citizens (85%) rated themselves as having good, very good, or excellent health.
- 30% had some or a great deal of limited activity due to their physical health or functioning.
- 15% had some or a great deal of limited activity due to their emotional or mental health problems.

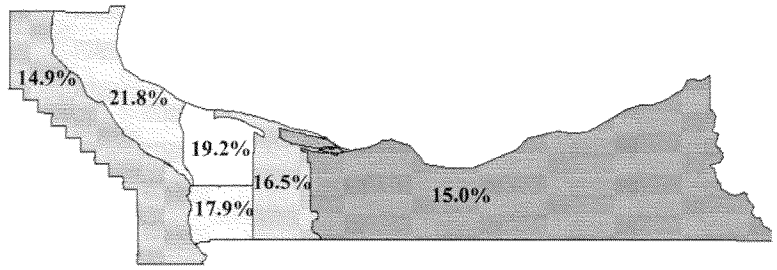




Animal Services

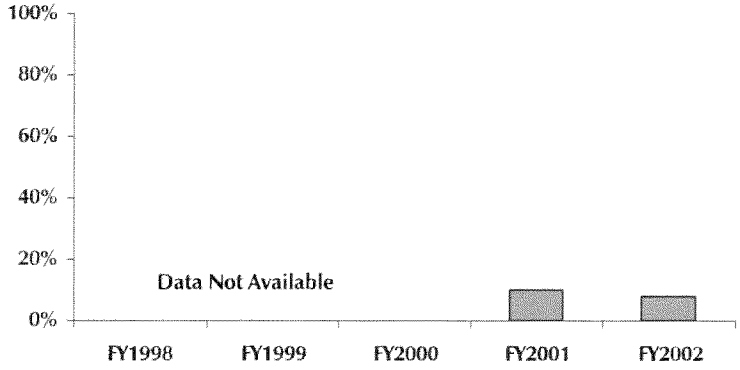
The mission of the Animal Services Division is to protect people and animals through the promotion and enforcement of responsible animal ownership. The Field Services program is responsible for providing timely response and effective resolution to animal-related emergencies and neighborhood problems involving animal ownership. One of the most frequent problems neighbors report is with barking dogs.

Percent Having Problems with Barking Dogs FY2002



In addition to barking dog problems, 25% of respondents identified a problem with animals running loose in their neighborhood and 7% identified a problem with a vicious animal. Despite the problems of barking (17%) and loose animals in neighborhoods, only 8% had reported a problem to Animal Services. Of the 165 respondents who had reported a problem to Animal Services, more than half (58%) were somewhat or very satisfied with the service they received.

Percent Reporting Problems to Animal Services

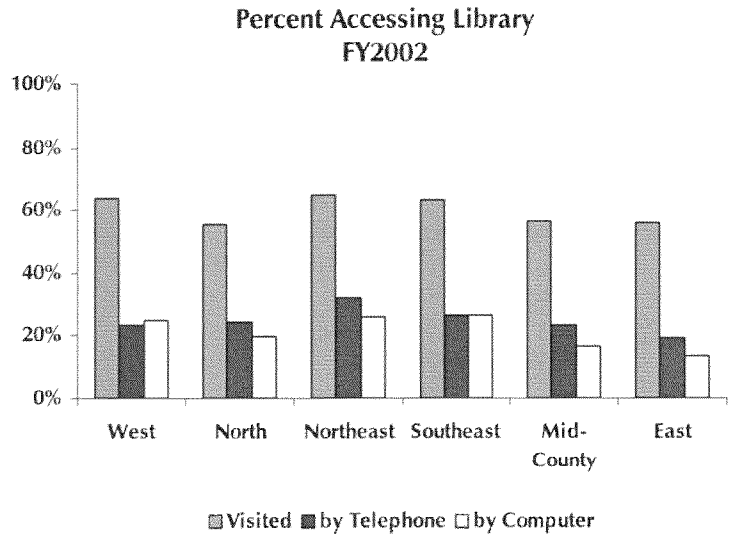


Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who had a problem with barking dogs in neighborhood	N/A	N/A	N/A	30%	17%
Percent who had a problem with animals running loose in neighborhood	N/A	N/A	N/A	34%	25%
Percent who had a problem with vicious animal in neighborhood	N/A	N/A	N/A	9%	7%

Library

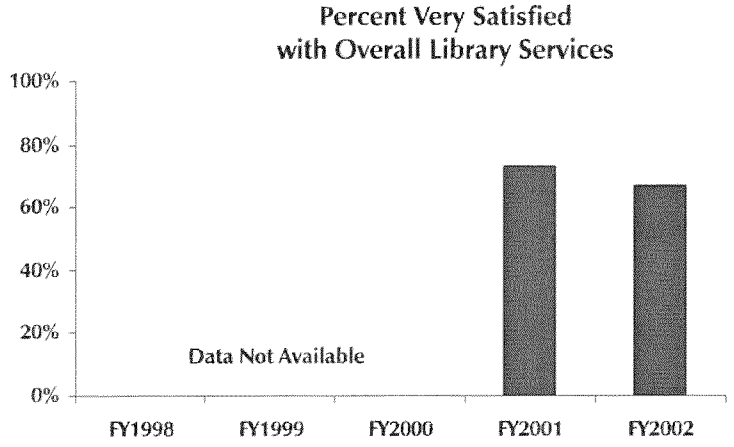
Accessing Library Services

The percent of respondents accessing the Library by computer is only slightly lower (4%) than those accessing the Library by telephone.



Satisfaction With Library Services

Overall, respondents were very satisfied with Library services. Libraries were also rated highly for location, assistance provided by library staff, and children's programs.



Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent very satisfied with hours library is open	N/A	N/A	N/A	59%	51%
Percent very satisfied with location	N/A	N/A	N/A	75%	76%
Percent very satisfied with availability of books and materials	N/A	N/A	N/A	58%	57%
Percent very satisfied with assistance provided by library staff	N/A	N/A	N/A	79%	77%
Percent very satisfied with children's programs	N/A	N/A	N/A	70%	70%

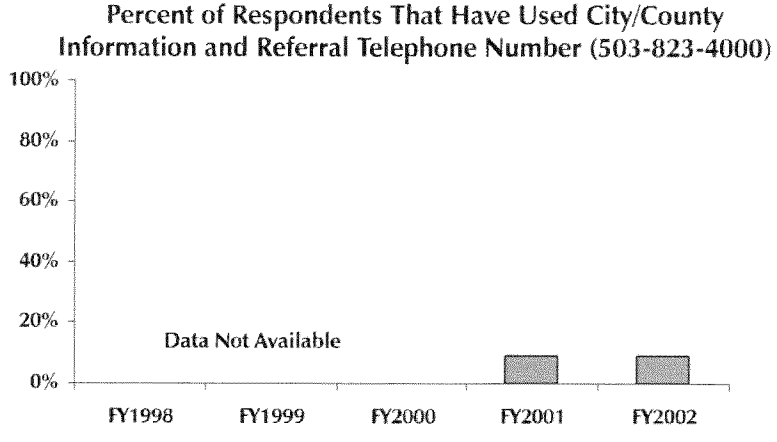
Access to County Services

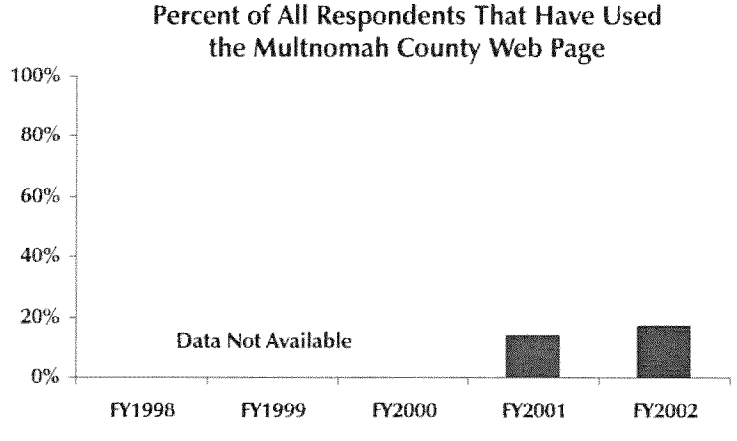
Telephone Information Referral

Since November 2000, Portland and Multnomah County have shared one Information and Referral (I&R) telephone number. The combining of I&R functions is to allow better accessibility for citizens. With one contact number, citizens do not need to know which services are City and which are County. While only 9% of respondents had used the I&R number, 24% were aware of it.

Web Page

A higher percent of respondents had used the Multnomah County web page (17%) than the I&R telephone number. Furthermore, a higher percentage indicated they were aware of the County's web page at 53%.





Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who attempted to find out about or use any City or County service	N/A	N/A	N/A	28%	24%
Percent who knew there is a City/County Telephone Information and Referral number	N/A	N/A	N/A	27%	24%
Percent with access to the Internet either from home or other location	N/A	N/A	N/A	75%	75%
Percent who knew the County had a web page	N/A	N/A	N/A	38%	53%

Sense of Safety

Feeling Safe Walking in the Neighborhood

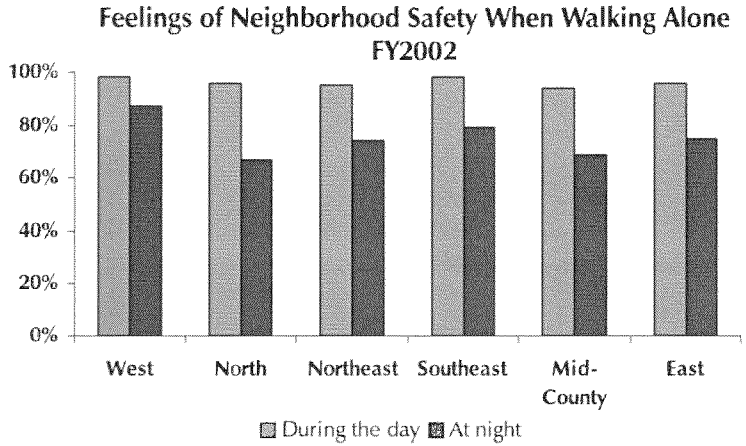
Feelings of safety in a neighborhood can be affected by several environmental factors such as law enforcement presence, street lighting, and knowing neighbors. While some of these factors may be directly linked to County services, others are not.

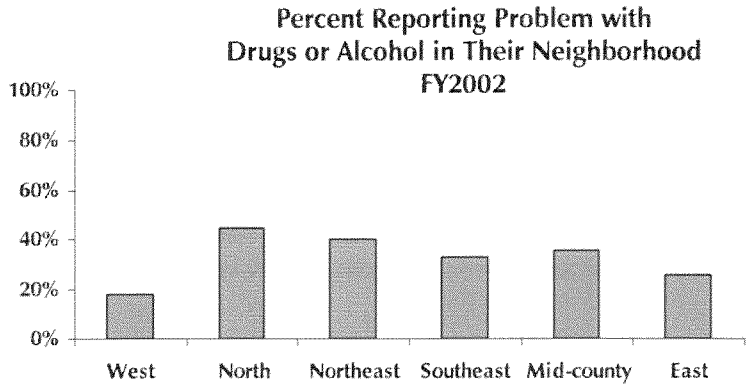
While most people surveyed reported feeling fairly safe walking alone in their neighborhood during the day, respondents in North and Mid-County felt noticeably less safe at night.

Problems in the Neighborhood

The County provides programs to help families and communities deal with social problems. In particular, the County targets low-income families dealing with a variety of issues including drug and alcohol problems, domestic abuse, homlessness, and reintegration of criminal offenders into communities.

North and Northeast reported more problems with drugs and alcohol. North also reported more problems with neighbors fighting. Northeast and Southeast reported more problems with graffiti.





Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who agree they can count on adults in the neighborhood to watch out that children are safe	N/A	N/A	N/A	85%	86%
Percent who had a problem with children not being in school during the day	N/A	N/A	N/A	17%	19%
Percent who had a problem with fighting in their neighborhood	N/A	N/A	N/A	16%	16%
Percent who had problems with graffiti in their neighborhood	N/A	N/A	N/A	27%	22%
Percent who had problems with children hanging around	N/A	N/A	N/A	18%	16%
Percent who were aware of homeless adults in their neighborhood	N/A	N/A	N/A	24%	22%
Percent who were aware of homeless children in their neighborhood	N/A	N/A	N/A	4%	2%

Sense of Community

Community Building

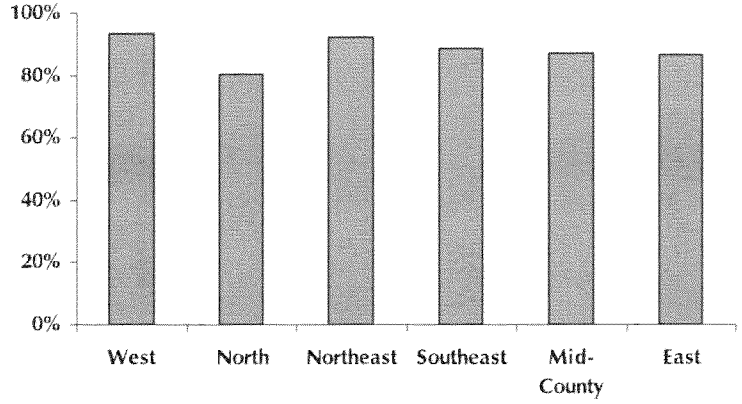
While local governments provide programs to improve neighborhood livability, they are limited by personal and community motivation. With few exceptions, government can only assist those who will accept or seek assistance.

Respondents in each of the service districts clearly feel their neighbors would be willing to help each other.

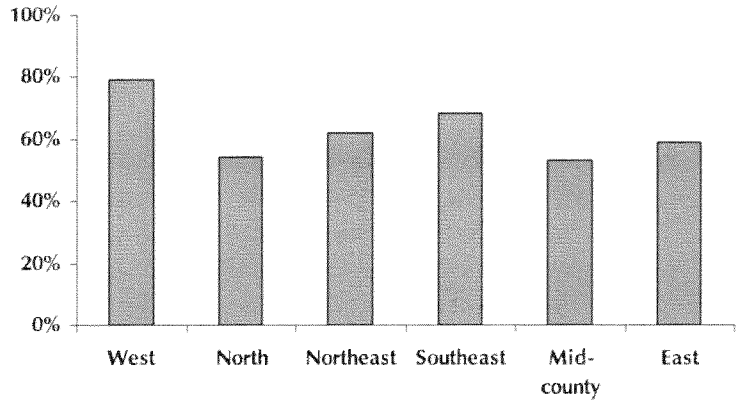
Community-Based Services

One of the ways Multnomah County programs attempt to effectively deliver services is by showing a presence in communities. The County has school-based programs, senior centers, and family centers located throughout the County. The County wants citizens to be aware of its presence and be accessible to those who need services.

Percent of Respondents Who Believe People in Their Neighborhood Would be Willing to Help Each Other FY2002



Percent who Strongly Agree Their Neighborhood is a Good Place to Live FY2002



Citizen Survey	FY1998	FY1999	FY2000	FY2001	FY2002
Percent who strongly agree or agree there is a sense of community	N/A	N/A	N/A	78%	80%
Percent who strongly agree or agree people move in and out of the neighborhood a lot	N/A	N/A	N/A	36%	34%
Percent who strongly agree or agree that if children were doing something wrong neighbors would do something about it	N/A	N/A	N/A	78%	84%
Percent who strongly agree or agree adults in the community know the kids	N/A	N/A	N/A	69%	76%
Percent who strongly agree or agree very few of their neighbors know them	N/A	N/A	N/A	51%	48%
Percent who strongly agree or agree they can recognize most of the people on their block	N/A	N/A	N/A	81%	83%
Percent who strongly agree or agree they regularly talk with people in their neighborhood	N/A	N/A	N/A	73%	76%

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Appendix

In the spring of 2002, the Multnomah County Auditor's Office worked with the Portland State University Survey Research Laboratory to conduct its second telephone survey of Multnomah County residents. Survey participants were adults from randomly selected households. A total of 15,000 telephone numbers were selected using ASDE Survey Sampler software. Of those numbers, 54% were directory-listed numbers, and 46% were not directory listed. The non-listed numbers were created by randomly generating the final four digits based on the exchanges in use in Multnomah County. A minimum of five attempts, and as many as ten, were made to reach each working number in the sample. Interviewers were available to interview in Spanish as well as English.

Service delivery districts were developed based on the Office of School and Community Partnerships service district boundaries. In total, 2,000 interviews were completed. A total of 38,628 calls were made to 14,522 telephone numbers. Of the 14,522 telephone numbers, 5,906 were not valid numbers for the study because they were not in Multnomah County; did not speak Spanish or English; or were group homes, non-working numbers, non-residential, cell phones, or pay phones. This left a total of 6,944 eligible phone numbers to be contacted. Of those, 2,000 completed the interview and 2,417 refused to be interviewed. The remainder were persistently unavailable. This yields an interview completion or response rate of 45%. This is up from last years response rate of 33%.

Several quality control measures were used for the telephone survey. These included:

- Making all calls from a supervised, centralized interviewing facility
- Using a Computer-Assisted Telephone Interviewing (CATI) system
- Conducting detailed training of interviewers and supervisors on the survey instrument
- Monitoring selected calls made by each interviewer
- Closely monitoring reports concerning interviewer performance

The sampling error (at a 95% confidence level) for this survey is +/- 2%.

Citizen Survey

ANIMAL CONTROL

Q1A - In the past year, have you had any problems in your neighborhood with barking dogs?
0 No
1 Yes
8 Don't know
9 Refused

Q1B - In the past year, have you had any problems in your neighborhood with animals running loose?
0 No
1 Yes
8 Don't know
9 Refused

Q1C - In the past year, have you had any problems in your neighborhood with a vicious animal?
0 No
1 Yes
8 Don't know
9 Refused

Q1D - In the past year, have you reported an animal problem in your neighborhood to Multnomah Animal Control?
0 No
1 Yes
8 Don't know
9 Refused

Q1E - Thinking about the last time you called Multnomah Animal Control, how satisfied were you with the service you received?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

LIBRARY

Q2A - In the past 12 months, have you visited a Multnomah County library?
0 No
1 Yes
8 Don't know
9 Refused

Thinking about the Multnomah County Library you usually go to:

Q2B - In general, how satisfied are you with the hours it is open?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Citizen Survey

Q2C - In general, how satisfied are you with its location?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2D - In general, how satisfied are you with the availability of books and materials?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2E- In general, how satisfied are you with the assistance provided by library staff?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2F - In general, how satisfied are you with the children's programs?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q2G - In the past 12 months, have you contacted a Multnomah County library by telephone?
0 No
1 Yes
8 Don't know
9 Refused

Q2H - In the past 12 months, have you accessed a Multnomah County library by computer?
0 No
1 Yes
8 Don't know
9 Refused

Q2I - Overall, how satisfied are you with Multnomah County libraries?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Citizen Survey

COUNTY SERVICES

Q3 - In the past year, have you attempted to find out about or use any city or county service besides animal control or the library?
0 No
1 Yes
8 Don't know
9 Refused

Q3A - What service or services were you looking for?

Q3B - How difficult or easy was it to find the service?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q3C - Did you know there is a City/County Telephone Information and Referral number?
0 No
1 Yes
8 Don't know
9 Refused

Q3D - Have you used the City/County Information and Referral number in the past year?
0 No
1 Yes
8 Don't know
9 Refused

Q3E - How satisfied were you with the information you received?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q4 - Do you have access to the Internet either from home or from another location?
0 No
1 Yes
8 Don't know
9 Refused

Q4A - Did you know Multnomah County has a web page?
0 No
1 Yes
8 Don't know
9 Refused

Q4B - Have you ever used the Multnomah County web page?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q4C - How satisfied were you with the web page?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q5A - Are you or a family member currently using senior or aging services?
0 No
1 Yes
8 Don't know
9 Refused

Q5B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q6A - Are you or a family member currently using disability services?
0 No
1 Yes
8 Don't know
9 Refused

Q6B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q7A - Are you or a family member currently using adult foster care?
0 No
1 Yes
8 Don't know
9 Refused

Q7B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q8A - Are you or a family member currently using the Neighborhood District Attorney Program?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q8B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q9A - Are you or a family member currently using the Connections Program for Young Parents?
0 No
1 Yes
8 Don't know
9 Refused

Q9B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q10A - Are you or a family member currently using a County clinic, for example, a TB, Methadone, HIV, or dental clinic?
0 No
1 Yes
8 Don't know
9 Refused

Q10B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q11A - Are you or a family member currently using the Head Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Q11B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q12A - Are you or a family member currently using the Healthy Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q12B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q13A - Are you or a family member currently using mediation for family court services?
0 No
1 Yes
8 Don't know
9 Refused

Q13B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q14A - Are you or a family member currently using the Head Start Program?
0 No
1 Yes
8 Don't know
9 Refused

Q14B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q15A - Are you or a family member currently using the Foodhandler's Card Program?
0 No
1 Yes
8 Don't know
9 Refused

Q15B - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q16A - In the past year, have you been a victim of a crime?
0 No
1 Yes
8 Don't know
9 Refused

Citizen Survey

Q16B - Yes
Did you report the Crime?
0 No
1 Yes
8 Don't know
9 Refused

Q16C - Yes
Did you have contact with the District Attorney's Office?
0 No
1 Yes
8 Don't know
9 Refused

Q16D - Yes
Did they inform you of services that may help you?
0 No
1 Yes
8 Don't know
9 Refused

Q16E - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q17A - In the past year, have you been supervised by a County parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17B - Yes
In the past year, did you have regular contact with your parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17C - Yes
In the past year, do you feel you have received fair treatment by your parole or probation officer?
0 No
1 Yes
8 Don't know
9 Refused

Q17D - Yes
In the past year, how often has your parole or probation officer treated you with respect?
1 Always
2 Most of the time
3 Some of the time
4 Never
8 Don't know
9 Refused

Citizen Survey

Q18A - In the past year, have you been incarcerated in a Multnomah County jail?
0 No
1 Yes
8 Don't know
9 Refused

Q18B - Yes
Do you feel you received fail treatment from jail personnel?
0 No
1 Yes
8 Don't know
9 Refused

Q18C - Yes
How often do you feel jail personnel treated you with respect?
1 Always
2 Most of the time
3 Some of the time
4 Never
8 Don't know
9 Refused

Q18D - Yes
Did you receive any transitional services to assist you with reentry into the community, for example, education, employment, housing, or assistance with basic needs?
0 No
1 Yes
8 Don't know
9 Refused

Q18E - Yes
What service or services did you receive?

Q18F - Yes
Overall, how satisfied were you with this service?
1 Very dissatisfied
2 Somewhat dissatisfied
3 Somewhat satisfied
4 Very satisfied
8 Don't know
9 Refused

Q19A - In the past year, have you applied for a marriage license or domestic partner registration?
0 No
1 Yes
8 Don't know
9 Refused

Q19B - Yes
How difficult or easy was it to find the applications?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q19C - Yes
How difficult or easy was it to understand the application process?
1 Very difficult
2 Somewhat difficult
3 Somewhat easy
4 Very easy
8 Don't know
9 Refused

Q20A - Do you use any of these bridges at least once a week?

The bridges I'm asking about are the Sellwood, Hawthorne, Morrison, Burnside, Broadway, and Sauvie Island Bridges.
0 No
1 Yes
8 Don't know
9 Refused

Q20B - In the past year, have you experienced a bridge closure or delay due to a special event or construction?

This does not include raising or lowering the bridge for single ships.
0 No
1 Yes
8 Don't know
9 Refused

Q20C - Yes
Do you feel you were adequately notified in advance of the changes in traffic flow due to the event or construction
0 No
1 Yes
8 Don't know
9 Refused

NEIGHBORHOOD

Q21A - I think my neighborhood is a good place for me to live.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21B - I feel there is a sense of community in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21B - I feel there is a sense of community in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q21C -If children in my community were doing something wrong, neighbors would do something about it.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21D - Adults in my community know the kids in their neighborhood.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21E - Very few of my neighbors know me.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21F - People around here are willing to help their neighbors.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21G - I Can recognize most of the people who live on my block.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21H - You can count on adults in this neighborhood to watch out that children are safe.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q21I - People move in and out of my neighborhood a lot.

1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q21J - I regularly stop and talk with the people in my neighborhood.
1 Strongly disagree
2 Somewhat disagree
3 Somewhat agree
4 Strongly agree
8 Don't know/No Opinion
9 Refused

Q22A - In your neighborhood how much of a problem is kids who are not in school during the day?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22B - How much of a problem is alcohol or drug abuse in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22C - How much of a problem is neighbors' fighting in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22C1 - How often is this fighting within a family?
0 Never
1 Sometimes
2 Often
8 Don't know/No Opinion
9 Refused

Q22D - How much of a problem is graffiti in your neighborhood?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q22E - How much of a problem in your neighborhood are kids hanging around after school and on weekends?
1 No problem at all
2 Somewhat of a problem
3 A big problem
8 Don't know/No Opinion
9 Refused

Q23A - Are you aware of any homeless adults in your neighborhood?
1 No
2 Yes
8 Don't know/No Opinion
9 Refused

Citizen Survey

Q23B - Are you aware of any homeless children in your neighborhood?
1 No
2 Yes
8 Don't know/No Opinion
9 Refused

Q24A - In general, how safe do you feel walking alone in your neighborhood during the day?
1 Very unsafe
2 Somewhat unsafe
3 Somewhat safe
4 Very safe
8 Don't know/No Opinion
9 Refused

Q24B - In general, how safe do you feel walking alone in your neighborhood at night?
1 Very unsafe
2 Somewhat unsafe
3 Somewhat safe
4 Very safe
8 Don't know/No Opinion
9 Refused

OTHER
Q25 - In what year were you born?

Q26 - Gender?
0 Male
1 Female
8 Don't know
9 Refused

Q27 - How would you describe your current marital status??
1 Single
2 Married
3 Living with a partner
4 Separated
5 Divorced
6 Widowed
8 Don't know
9 Refused

Q28 - Would you describe yourself as any of the following: Spanish, Hispanic or Latin?
0 No
1 Yes
8 Don't know/No Opinion
9 Refused

Q29A - How would you describe your race?
1 White
2 American Indian or Alaskan Native
3 Asian or Pacific Islander
4 Black or African-American
5 Multi-racial
6 Other
8 Don't know/No Opinion
9 Refused

Q29B - OTHER
How would you describe your race?

Citizen Survey

Q30 - What is the highest grade or level of school you have completed?

01 Less than 8 years
02 Some high school (2-12 years), without a diploma
03 High school diploma or GED
04 Associate Degree (for example: AA, AS)
05 Some college, but no degree
06 Bachelor's degree (for example BA, AB, BS)
07 Some graduate study, but no degree
08 Graduate or professional degree (Master's, Professional (e.g., law, medicine), Doctorate)
89 Don't know/No Opinion
99 Refused

Q31 - About how many hours a week on average, if any, do you work?

Q32 - In general, would you say your health is:

1 Excellent
2 Very good
3 Good
4 Fair
5 Poor
8 Don't know
9 Refused

Q33 -On a typical day, to what extent does your physical health or functioning limit your activities?

1 Not at all
2 Some
3 A great deal
8 Don't know
9 Refused

Q34 -On a typical day, to what extent do emotional or mental health problems limit your activities?

1 Not at all
2 Some
3 A great deal
8 Don't know
9 Refused

Q35 -How many children and adults, including yourself, are living in your household right now?

Q36 -Of the people in your household, how many are children aged 18 or younger?

Q37 - Do you live in a:

1 single family home,
2 a 2-,3-, or 4-plex
3 or a larger apartment or condominium complex?
4 Other
8 Don't know

9 Refused

Q38 - Do you rent or own?
1 Rent
2 Own
8 Don't know
9 Refused

Q39 - What language do you usually speak at home?
1 English
2 Spanish
3 Other
8 Don't know
9 Refused

Q40 - Other
What language do you speak at home:

Q41 - Which of the following four statement best describes your ability to get along on your household income:

Household=all people living in household
1 You can't make ends meet
2 You have just enough, no more
3 You have enough, with a little extra sometimes
4 You always have money left over
8 Don't know
9 Refused

Q42 - Adding together the income of all the people in your household, could you please tell me approximately what your total household income was last year, from all sources, before

External Data Sources & Data Definition

This Appendix list only the external data sources used in this report. Most of the other data used came directly from the departments, their contractors, or county wide information systems. We did not list these sources here. For information or data sources not listed here, please contact the departments directly.

All population figures for Fiscal Year 2002 are from *July 1, 2001: Oregon Population Report*, Population Research Center, Portland State University.

Department of County Human Services

Pages, 13, 15, and 16
All school and drug treatment data except for DUII: State Client Process Monitoring System (CPMS)

Pages 13 and 17:
Number of senior and disabled clients, percent in different care options, living assistance in homes: State Seniors and Disabled Services Management Information System (MMIS)

Page14:
Calls to domestic violence crisis line: Oregon Department of Human Services

Health Department

Page 24
Insurance Coverage: Oregon Population Survey and U.S Census Bureau 2000 (via the Portland/Multnomah County Progress Board).
Inadequate Prenatal Care: Birth Certificate Data, Oregon Health Division

Page 25
Economic Benefits: *Public Health: What it is and How it Works*. Turnock, Bernard J. 1997.
New HIV Positive Tests (calendar Year): Oregon Health Division

Page 26
Infant Mortality Rate: Oregon Health Division.
Teen Pregnancy: Oregon Health Division.

Page 27
Health Risk Behaviors data on Obesity: Oregon Behavioral Risk Factor Surveillance System 2000.
Adult Cigarette Smoking: Oregon Behavioral Risk Factor Surveillance System 2000.
Youth Smoking: Oregon Healthy Teens Survey 2001.

Page 28
Ambulance Response Time: 911 Call Center

Page 29
2-Year-Old Immunization Rate: *2001 CDC Survey of Two-Year Olds*, Oregon Health Division.
Percent Sexually Transmitted Diseases Interviewed: Oregon Health Division, STD Section.
Sexually Transmitted Disease Contacts: DHA/MIS/Interview Activity Report.

Library
All citizen satisfaction survey information is from FY2001 and FY2002 *Multnomah County Auditor's Office Citizen Survey.*

Page 33
Hours Open: *Oregon State Library Statistical Reports,* 2000.

Page 35
Dayton Metro Library, Ohio: Dayton Metro Library Circulation Division Manager.
Denver Public Library, Colorado: Denver Public Library Business Analyst.
Public Library of Charlotte/Mecklenburg County, North Carolina: Charlotte/Mecklenburg Finance Director.
Seattle Public Library, Washington: Seattle Public Library Director's Office.

Page 39
Circulation of Children's and Young Adult's Materials: Oregon State Library Statistical Reports, 2000.

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:
Meeting Date: February 27, 2003
Agenda Item #: R-8
Est. Start Time: 10:45 AM
Date Submitted: 02/11/03

Requested Date: February 27, 2003 **Time Requested:** 5 minutes

Department: Non-Departmental **Division:** Commission District 1

Contact/s: Shelli Romero and Mike Pullen

Phone: Shelli: 503 988-4435 **Ext.:** Shelli x84435 **I/O Address:** 503/600
Mike: 503 988-6804 Mike x86804

Presenters: Mike Pullen, Tom Simpson, Matt Ryan

Agenda Title: Ordinance Amending Multnomah County Code Chapter 29, Building Regulations, to Add New Provisions relating to Bridge Special Events

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation?**

   Approval of Second Reading and Possible Adoption of an Ordinance Amending Multnomah county Code Chapter 29, Building regulations, to Add New Provisions relating to Bridge Special Events.

2. **Please provide sufficient background information for the Board and the public to understand this issue.**

   The County's Willamette River bridges have become popular locations for special events, including runs, parades, and film/video productions. Recent events have highlighted the need to develop rules that balance these requests with the public's need to use the bridges for their primary function of transportation. The Ordinance includes rules that encourage

event organizers to stage bridge events at times that have the least impact on the public. It also clarifies decision-making authority at the County for different bridge closure requests and defines public notice requirements when a bridge closure is requested or approved. Finally, the Ordinance ensures that the County's bridge assets are preserved and that the County does not incur excessive costs from events that use its bridges.

3. Explain the fiscal impact (current year and ongoing).

The Board by resolution will establish a permit application fee and a minimum deposit amount. The County will draw from the deposit to cover the costs identified in Section 29.712 on an actual cost incurred basis. The deposit will be required if the Landuse and Transportation Division estimates based upon initial review of the permit application that the Event is likely to require any of the following:

1) More than ten hours of County administrative services in preparation for and during the Event including but not limited to costs incurred for document drafting, contacting and/or meeting with the U.S. Coast Guard or other government agencies; providing expanded public notice; legal services; reviewing plans for traffic control, crowd control, fire safety, security or emergency medical services; conducting or attending special community meetings or similar activities.

2) County personnel will need to be involved or its equipment used in the set-up or the operation of the event

3) County personnel will need to be involved or its equipment used in the removal of alterations or attachments; clean-up or repair of County facilities because of the Special event

4) Any other extraordinary costs directly attributable to the staging and conducing of the special event

If the County determines that any deposit previously submitted is inadequate to cover the County's charges or costs, any additional funds required, or a reasonable estimate thereof, will be billed and collected from the sponsor. The County reserves the right to stop any further review of an application, deny the issuance of the permit or revoke an existing permit if the Sponsor fails to deposit any outstanding costs and charges identified and billed to the Sponsor under Section 29.712.

If the Sponsor fails to comply with any obligations imposed upon it and the County incurs any costs or expenses directly relating to Sponsor's failure to comply, the Sponsor will be billed for actual County costs incurred to remedy or mitigate Sponsor's failure to comply.

If after the special event has occurred or is cancelled, there is a surplus in the Sponsor's deposit account and the County determines that there are no further costs to be billed or charged to the sponsor in connection with the Special Event, the County will refund to

the Sponsor, subject to any administrative processing fee the remaining balance of the deposit account.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet. n/a

If a budget modification, explain: n/a

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.**

Per Board action in 2001, the Board of County Commissioners committed to bringing an ordinance forward that would better clarify the County's policy and procedures for what constitutes a closure, the decision-making process for a closure and the criteria for closing any of the Willamette River Bridge for special events.

This Ordinance establishes a procedure for public use of the Willamette River Bridges in addition to their primary use, which is to carry pedestrian, bicycle and vehicle traffic in coordination with the federally mandated requirements for river traffic to travel under the

bridges. The four drawbridges are subject to being raised on demand to accommodate river traffic.

The bridges are of course a continuation of the roads, highways and streets, which directly feed traffic to them. But at the same time they are unique in that they have not traditionally been used as frequently as other public facilities such as city streets, parks and town squares for events. The closure of a bridge especially during peak traffic times, has a significantly greater impact than the use of the other identified public facilities for public events, because the bridge is more than the continuation of a single street, it is the sole conduit across the water for the community at its terminus on either side of the river. Moreover, on the drawbridges the rights of river traffic prevail over the traffic on deck. And there are ample other public spaces for public activities in addition to the bridges on both sides of the Willamette River.

Nonetheless, the bridges as public facilities are public fora, albeit in a limited capacity, and are available for events. Accordingly the County has the authority to impose reasonable time, place and manner restrictions on event uses that interfere with the primary use. This Ordinance provides content neutral based criteria to balance the impact of "Special Event" activities held on the bridges with the primary use needs of the community. Please refer to the Findings Section in the Ordinance for further discussion of this topic.

5. Explain any citizen and/or other government participation that has or will take place.

County staff participated in developing this ordinance including representatives from the County attorney's office, District 1, District 2, the Chair's office, Department of Business and Community Services –Landuse and Transportation Division, the County's Film and Video liaison and the Public Affairs office. Staff also worked with the City of Portland Transportation staff.

A meeting of bridge users was held in June of 2002 including representatives of the Hawthorne Blvd. Business Association, Central Eastside Industrial Council, State Office of Film and Video, individual business owners, commercial river users, special event coordinators, Oregon Department of Transportation, City of Portland, and TriMet. This resulted in identifying key times of the day, days of the week and seasons of the year when bridge closures impact particular businesses and industries.

In January 2003, Multnomah County staff made a presentation at Board staff to solicit input, recommendations and identify any concerns from Commissioners and board staff.

In February 2003, a letter was sent to local business associations and other interested parties in a special outreach effort to notify the public that the Board would be considering this ordinance and that a public hearing would be held on February 20, 2003.

Required Signatures:

Department/Agency Director: *Maria Rojo de Steffey* **Date: 02-07-03**

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

ORDINANCE NO. 1008

Amending Multnomah County Code Chapter 29, Building Regulations, to Add New Provisions Relating to Bridge Special Events

Multnomah County Ordains as follows:

Section 1. MCC Chapter 29, Building Regulations, is amended to add the following sections relating to bridge special events:

§ 29.701 Title.

This subchapter will be known as the Bridge Special Events Ordinance.

§ 29.702 Findings.

(A) Multnomah County operates and maintains six bridges over the Willamette River, known as the Broadway, Burnside, Hawthorne, Morrison, Sellwood, and Sauvie Island. These bridges are a crucial part of the regional transportation system for vehicular, bicycle and pedestrian traffic; this is their primary use. Although the bridges are extensions of streets and roads on the ground, they are probably best considered as limited public fora for activities and events outside of the primary use, as they have not traditionally been used to the same extent as parks and streets for public expression purposes. Moreover, the closure of a bridge to pedestrian, bicycle and/or vehicle traffic has a substantially greater impact to the community than the closure of streets and roads on the ground, as the areas served by the bridges do not have as many or sometimes any alternative routes. Additionally, the drawbridges are subject to the needs of river traffic as mandated by federal law.

(B) The critical transportation role also gives the bridges unique symbolic and commercial significance for the metropolitan area. For example, the unique, solitary presence of a bridge may highlight a public demonstration, provide a filmmaking location, or accommodate a parade crossing the water. The county maintains each of these bridges and must coordinate necessary maintenance work, repairs and renovation with the ongoing river and deck traffic and any proposed activity outside of these main functions.

(C) The section of the Willamette River these bridges cross is subject to the National Marine Fisheries Service (NMFS) listing under the Federal Endangered Species Act (ESA) to protect salmon and steelhead fish. If the county authorizes activities in this protected area, they must be undertaken in full compliance with the ESA. Further, all activities in the regulated area must be undertaken in compliance with any other applicable local, state or federal environmental protection or hazardous substance law, regulation or code including but not limited to the Clean Water Act; the Comprehensive Environmental Response, Compensation, and Liability Act

(CERCLA); the Hazardous Materials Transportation Act of 1975; Hazardous Materials Transportation Uniform Safety Act; and the Resource Conservation and Recovery Act.

(D) The county coordinates the day to day operation of the passage of river traffic below deck with bridge traffic on deck. The county is subject to federal maritime law mandates to open the bridges upon demand for river traffic. In most instances the City of Portland or the State of Oregon have jurisdiction over the streets and highways that directly connect to the bridges.

(E) The Broadway Bridge carries four lanes of traffic with an average daily volume of thirty thousand vehicles and more than one thousand pedestrians and bicyclists. Drawbridge openings are necessary about twenty-five times per month mainly to accommodate grain terminal traffic. Additionally, the unique double-leaf Rall-bascule design causes openings to last twenty minutes or longer in duration; over three times longer than the other county drawbridges.

(F) The five-lane Burnside Bridge is a major link between downtown Portland and the east side. The bridge has two bike lanes and two sidewalks. About 40,000 vehicles and more than 1,000 pedestrians and bicyclists cross the bridge daily. Burnside Street and bridge are designated as an official emergency transportation route under the regional Emergency Management Plan. The bridge, as part of this "lifeline corridor," is the one non-freeway river crossing which emergency vehicles and suppliers are asked to use. Burnside Bridge drawbridge openings are necessary about 40 times per month with average opening times running from five to eight minutes.

(G) The Hawthorne Bridge is a vertical lift drawbridge located farthest upriver of the other three drawbridges. It has a limited vertical clearance that makes openings for river traffic necessary about 200 times per month and 300 times in the summer months, with average opening times running from five to eight minutes. On the deck, over 30,000 vehicles including more than 750 public bus trips traverse the Hawthorne's four lanes every day. The sidewalks flanking the roadway accommodate several thousand daily pedestrian and bicycle trips.

(H) The Morrison Bridge carries six lanes of traffic that includes access to Interstates 5 and 84, making it a major highway corridor. With links to two interstate freeways, the Morrison Bridge carries the most traffic of the county bridges at 50,000 vehicles daily and 600 pedestrians and bicyclists daily. Drawbridge openings are necessary about 30 times per month with average opening times running from five to eight minutes. There is a sidewalk on the north and south side of the bridge.

(I) The Sauvie Island Bridge is the only road access to the island. The two-lane bridge sees about 4,000 vehicles daily and is also a popular bicycle crossing. The bridge also serves many commercial conveyances: during the harvest season, hundreds of truckloads of corn, cucumbers and other produce cross the bridge to the mainland from the island's farms. Commercial traffic also includes trucks and employees commuting to an industrial area on the island's southern tip. There is a sidewalk on the north and south side of the bridge. Because of the compelling and overriding community need for access to the Island this bridge is not suitable for a complete closure event.

(J) The Sellwood Bridge has a daily volume of over 30,000 vehicles. This narrow bridge is Oregon's most heavily traveled two-lane bridge. This heavy roadway traffic is further complicated by only one, narrow four foot, three-inch sidewalk on the north side. Given the Sellwood Bridge's dimensions, a bridge use permit requesting the Sellwood Bridge would have to be at most a one vehicle lane closure. The Sellwood Bridge is the only bridge serving south metropolitan Multnomah County; the nearest bridge to the south is over ten miles away in Oregon City. Like the Sauvie Island Bridge this bridge provides unique and limited access to the area served and therefore is also not suitable for a complete closure event.

(K) Each bridge is a vital link to its service area, some obviously more than others. When a bridge is closed to pedestrian or vehicle traffic it can create bottlenecks at the other available routes and frustration and inconvenience to the community. Unlike most city streets that may be closed for an event there is not a bridge just around the block to use. In this regard, it is important to note that for any event that would seek to close the bridge, there are ample streets and roads and other public spaces on either side of the river where such events can be held.

(L) Bridge sidewalks where available provide exposure to a greater number of bridge users than would a roadway event that merely excludes traffic. The use of bridge sidewalk gives visibility to an event and access to the symbolic nature of the bridge while preserving the public need for a clear roadway. For permit applications requesting a roadway closure, the sidewalk is a viable alternative that does not interfere with public order or safety. A non-exclusive sidewalk or vehicle lane use may not even require a permit be obtained.

(M) Under this ordinance any party seeking to close or restrict in any way a bridge to vehicle and/or pedestrian/bicycle access must obtain written consent from the City of Portland or the State as well as the written consent of the county as evidenced by a duly executed county permit. Due to the amount of daily traffic and the unique role the bridges play, there must be a minimum number of participants to qualify for a complete closure event. Although a filming event may involve less people than other events, they usually do involve several individuals, equipment, plus the need to control the areas in the shoot and on occasion curious onlookers, such that the cumulative impact is similar.

(N) This ordinance establishes a permit procedure and criteria that will provide for a systematic and objective review of bridge use permit applications. The review criteria are content-neutral and are used to evaluate the permit applications, most notably for the impact on river and road traffic, the structural integrity of the bridges and the cost to the county of accommodating proposed bridge events. The permit criteria for using county bridges will preserve the primary transportation duty of the bridges while accommodating public activities on the bridges.

(O) An event using one of the four drawbridges (Burnside, Broadway, Hawthorne, or Morrison) is subject to the rights of prevailing river traffic. The two fixed-span bridges (Sellwood or Sauvie Island) have only two vehicle lanes while the drawbridges have at least four vehicular traffic lanes. A permit application must address the physical attributes of the requested

bridge to assess the traffic impacts of a proposed use. The permit review criteria address separately the use of the sidewalk and roadway for activities.

(P) The permit review criteria address time of a proposed bridge use for traffic impacts. The five metropolitan bridges' proximity to downtown Portland makes rush-hour time periods, roughly 6:30AM until 9AM and 4PM until 6:30PM, a time of critical need for bridge access. Conversely, Sundays from 3am until noon are times of less bridge traffic use that are more readily available for bridge events. All approved Events will involve mitigation plans for traffic impacts to the extent necessary.

(Q) The permit review procedure begins with a permit application filed with the Multnomah County Landuse and Transportation Program. The application will request use of a specific bridge(s), area (sidewalk/roadway), time of day, event duration, expected event size, applicant contact information, secondary applicant contact information, special needs, and a detailed description of the proposed event including mitigation of any traffic impacts.

(R) The permit will be subject to review and approval by the Board, the Chair or the Director of the Department of Business and Community Services depending on factors such as the size of the event, the time of the event or whether alcohol is to be used during the event.

(S) The county will establish the fees and rates for implementing this permit program by resolution.

§ 29.703 Definitions.

For the purpose of this subchapter, the following definitions will apply unless the context requires a different meaning:

ANNUAL EVENT. A recurring event that has previously complied with requirements for a permit as set forth in this ordinance.

BRIDGE. One of the Willamette River Bridges operated and maintained by Multnomah County, namely the Sellwood, Broadway, Burnside, Hawthorne, Morrison or the Sauvie Island.

COMPLETE CLOSURE OF LANE OR SIDEWALK: The exclusion of the public from any vehicle or sidewalk for any period of time.

COMPLETE CLOSURE EVENT. Any special event that will require complete closure to the public of motor vehicle access on any bridge surface right-of-way for a period of time that is either:

(A) A continuous 30 minutes or longer in length; or

(B) Any proposed combination or series of intermittent complete public motor vehicle access closures, which in the judgment of the county bridge manager pose a significant risk of substantial public inconvenience or disruption.

COUNTY CHAIR. The Chair of the Multnomah County Board of Commissioners or the Chair's designee.

DIRECTOR. The Director of the County's Department of Business and Community Services or the Director's designee.

EVENT MONITOR. Persons provided by the sponsor who are stationed at the location of the special event to assist in the safe and orderly staging of the event.

FILMING USE. A special event, which uses a bridge for the production of a film, video, or any other visual or audio recording.

PRIMARY BRIDGE USE. The provision of pedestrian, bicycle and vehicle access on the bridge's surface right-of-way; and the county's maintenance and operation of the bridge including the coordination of river traffic under the bridge with the surface use in compliance with all applicable maritime laws and regulations.

SIDEWALK. Any multi-use pathway designed for both bicycle and pedestrian use or a sidewalk.

SPECIAL EVENT or EVENT. Any temporary activity held on a bridge, which significantly interferes with the normal operation and maintenance of the structure or the normal vehicular or pedestrian traffic; or which employs a bridge in a use outside of its primary use. PROVIDED any small temporary pedestrian, bicycle or motor vehicle parade or procession conducted and contained solely within a bridge sidewalk or vehicle lane, and which does not otherwise exclude the public's access to those areas and does not require consent of the US Coast Guard, is not a special event under this subchapter and does not require a permit.

SPECIAL EVENT PERMIT. A permit issued by the county to a sponsor to conduct a special event.

SPECIAL EVENT VENUE. The confines of a special event as defined in a special event permit.

SPONSOR. The person, entity or authorized agent thereof, responsible for all aspects and liability of planning, organizing and conducting the special event including applying for, canceling, or requesting changes to the event.

UNDUE HARDSHIP. Is established where the event requires a protracted complete closure or several intermittent or peak hours complete closures of a bridge, that would subject residents, businesses and property owners within a designated radius of the bridge to unreasonable inconvenience or disruption of their regular travel routes.

UNDUE INTERFERENCE WITH PRIMARY USE. Is established where the event requires a protracted complete closure of the bridge, vehicle lane or sidewalk; or several intermittent or peak traffic hours complete closures of those areas such that the event would unreasonably disrupt the operation of the bridge for its primary use.

§ 29.704 Special Event Permit Require

(A) Except as provided in this subchapter, a special event permit is required to stage and conduct a special event.

(B) No special event permit which requires the closure or use of the roadway or sidewalks on a bridge will be effective unless the sponsor obtains written authorization from the City of Portland or the state to close or use the city or state streets, highways, bike lanes, sidewalks or ramps as appropriate that directly access the bridge.

(C) It is unlawful for any person to promote or manage any pending special event for which a special event permit has not been issued, unless such person engaging in those activities clearly indicates in any advertising, promotional materials, media releases or any other similar communication whether oral, written, or visual, that the event is still pending approval by the county.

§ 29.705 Categories of Special Events, Conditions

(A) Non-exclusive sidewalk or vehicle lane use for a celebration, procession, athletic event or other use, is not a special event and does not require a permit, deposit or cost recovery or insurance, if:

(1) Held and fully contained on the sidewalk or vehicle lane;

(2) 200 people or less or for a filming use;

(3) All traffic control laws obeyed;

(4) No county support or services required;

(5) No closure of bridge to river traffic;

(6) No exclusion of the general public from sidewalk or the lane; and

(7) No attachment of banners, signs, equipment or other objects to the bridge; or placement of people or equipment to exceed bridge load ratings; or any alteration of any kind to the bridge.

Provided that, should the proposed non-exclusive use under this subsection not comply with one or more of the above conditions, a permit, deposit, cost recovery and insurance may be required.

(B) Complete sidewalk closure event for a celebration, procession, athletic event or other use; requires a permit and is subject as applicable to the following conditions:

(1) Must be held and fully contained within area designated on sidewalk;

(2) More than 200 people or for a filming use;

(3) Minimal county support and services may be required dependent upon size of the event, no alcohol service or consumption allowed;

(4) Plans for crowd control including event monitors, security, fire safety and emergency medical services may be required dependent upon size of the event;

(5) Deposit, cost recovery, liability insurance and indemnification may be required dependent upon size of the event;

(6) U.S. Coast Guard's consent required for closure of bridge to river traffic;

(7) Any proposed use of the bridge involving any of the following must comply with all county requirements relating to traffic control, traffic safety and bridge integrity including but not limited to load rating limits:

(a) The attachment of banners, signs, equipment or other objects to the bridge, or any alteration to the bridge; or

(b) The placement or grouping of people, animals, vehicles, equipment or any other objects on the bridge;

(C) Complete closure of vehicle lane(s) but not all vehicle lanes, for a celebration, procession, athletic event or other use; requires a permit and is subject as applicable to the following conditions:

(1) Must be held and fully contained within designated traffic lane(s);

(2) More than 200 people or a filming use;

(3) County support required, no alcohol service or consumption allowed;

(4) Plans for: crowd control including event monitors, security, traffic control, fire safety and emergency medical services are required;

(5) Dependent on size of event a deposit and cost recovery may be required;

(6) Liability insurance and indemnification required;

(7) U.S. Coast Guard's consent required for closure of bridge to river traffic;

(8) Any proposed use of the bridge involving any of the following must comply with all county requirements relating to traffic control, traffic safety and bridge integrity including but not limited to load rating limits:

(a) The attachment of banners, signs, equipment or other objects to the bridge, or any alteration to the bridge;

(b) The placement or grouping of people, animals, vehicles, equipment or any other objects on the bridge;

(D) Complete closure event for a celebration, procession, athletic event or other use requires a permit and is subject as applicable to the following conditions:

(1) Must be contained within area designated on permit;

(2) 300 or more people or for a filming use;

(3) County support required;

(4) Plans as necessary for: crowd control including event monitors, security, traffic control, fire safety and emergency medical services are required;

(5) Deposit, cost recovery, liability insurance and indemnification required;

(6) U.S. Coast Guard's consent required for closure of bridge to river traffic;

(7) Service and consumption of alcohol is subject to county Board approval at its discretion;

(8) Any proposed use of the bridge involving any of the following must comply with all county requirements relating to traffic control, traffic safety and bridge integrity including but not limited to load rating limits:

(a) The attachment of banners, signs, equipment or other objects to the bridge or any alteration to the bridge; or

(b) The placement or grouping of people, animals, equipment, vehicles or any other objects on the bridge,

(9) The Sellwood and the Sauvie Island Bridges are not available for complete closure events.

§ 29.706 Special Event Permit Application Deadlines.

(A) Except as otherwise provided in subsection (B), a completed permit application for a special event must be submitted to the county Landuse and Transportation Program (LUTP) as follows:

(1) Annual event applications that require US Coast Guard consent must be filed with the county no later than January 31 of the event year, or 45 days before the event, whichever date is earlier.

(2) Any other special event applications that require US Coast Guard consent must be filed with the county no later than 45 days prior to the event.

(3) For any other annual event subject to Board review under MCC 29.707, applications must be filed with the county no later than January 31 of the event year, or no later than 30 days prior to the event, whichever is earlier.

(4) For any other special event subject to Board review under MCC 29.707, applications must be filed with the county no later than 30 days prior to the event.

(5) For all other special events, applications must be submitted no later than 15 days prior to the event.

(B) The county may accept late applications for special event permits, where good cause is shown for the expedited review; there is no conflict with river use requirements under federal law and the county has the available staff and resources to perform the review in a shorter time than as set forth in subsection (A).

§ 29.707 Permit Application Review Procedure.

(A) All permits for proposed special events that involve either:

(1) A complete closure event of four hours or longer in length, except any such closure during the hours of 3 AM to 12 PM on a Sunday; or

(2) The sale or consumption of alcoholic beverages on the bridge;

will be reviewed by the Board.

(B) All permits for a complete closure event outside the hours of 3 AM to 12 PM (noon) on a Sunday ~~of~~ and less than 4 hours in length or for an event that requests the complete closure of a lane or sidewalk during peak traffic hours (i.e. weekdays: 6:30 AM to 9 AM and 4 PM to 6:30 PM), will be reviewed by the Chair.

(C) Any special event permit application not covered under subsections (A) and (B) will be reviewed by the Director.

(D) Upon the receipt of a complete application as provided in § 29.708, the LUTP will:

(1) Within two working days, determine whether the proposed event, based on the category of the event under § 29.705, requires a deposit under § 29.712 to cover the additional costs; and

(2) If a deposit is necessary, contact the sponsor by telephone or fax no later than the next working day and request the amount from the sponsor. Upon receipt of the deposit or determination it is not necessary:

(a) Schedule the permit application on the Board's regular agenda for deliberation at its next available regularly scheduled public meeting,

(b) Forward the permit application to the Chair's office for review; or

(c) Forward the permit application to the Director's office for review,

(3) Contact the U.S. Coast Guard to initiate the consent review if the permit application requests bridge closure to river traffic, and

(4) On any pending completed special event application prepare a written report to the Board, the Chair or the Director as applicable, regarding the proposed special event and its potential impact on the structural integrity of the bridge and any foreseen conflicts with previously scheduled activities or construction projects.

(E) If the sponsor does not submit the deposit within five working days of the date the county initially requested the deposit, all further review of the application will cease and the application will be deemed denied.

(F) The sponsor of the proposed special event to be heard by the Board is required to attend the Board meeting to explain the event and to answer any questions of the Board and or the public regarding the event. If the sponsor does not attend the meeting, the Board may summarily deny the application without further review.

(G) The Board by resolution will approve, approve with contingencies, amend or deny any permit. The Chair or the Director, by a written decision will approve, approve with contingencies, amend or deny any permit.

(H) The Board, the Chair or the Director as applicable will review the permit and the proposed special event for compliance with all the criteria as set forth in § 29.708.

(I) No complete closure event of either the Sauvie Island Bridge or the Sellwood Bridge will be authorized under this subchapter.

(J) The Chair or the Director will complete review of a permit within three working days of receipt from the LUTP.

(K) Notwithstanding subsection 29.707 (A), the special event held every June and commonly known as the "Rose Festival Grand Floral Parade" will not be subject to prior Board approval under this section to obtain a permit.

(L) Any decision to approve, deny, amend or revoke any permit application or permit made by the Director, the Chair or the Board, is a final county decision and any further review must be to the State Circuit Court.

§ 29.708 Permit Application Review Criteria.

(A) The sponsor must submit a completed special event permit application to the county LUTP no later than the date required by § 29.706 for an application to be accepted by the county. The county will issue a special event permit if the county determines that the application establishes compliance with all requirements and applicable criteria as set forth in subsection (B) and (C) respectively.

(B) Each permit application must be submitted with the application fee and must contain:

(1) The sponsor's name, address, telephone number and if available, the sponsor's facsimile number or email address;

(2) The identification of the bridge(s)to be used, including a description of the portion of the bridge, including traffic lanes and sidewalks, that is to be used for the special event;

(3) The date, starting and ending time of the proposed special event, including an estimate of time necessary for set up and take down of equipment used in the event;

(4) The date the application is received by the LUTP;

(5) Identification of other government agencies if any, who must be notified or consent to the special event.

(C) In addition to the requirements set forth in subsection (B), the application will be reviewed for compliance with the following criteria to the extent applicable:

(1) The event will be conducted in compliance with all applicable laws in a safe and orderly manner, will not damage county owned or maintained real or personal property;

and is in compliance with county requirements relating to traffic control, traffic safety and bridge integrity including but not limited to load rating limits.

(2) County resources, if required, are available to stage and conduct the event;

(3) The event will not conflict with previously scheduled or approved construction, maintenance or other activities;

(4) The event will not cause undue interference with the bridge for its primary use or cause undue hardship to those residences, businesses and property owners within a one mile radius of the bridge;

(5) The sponsor has agreed to defend, hold harmless and indemnify the county and has adequate insurance to cover all potential liability to honor that obligation;

(6) The sponsor has provided adequate plans for crowd control, traffic control, security, fire safety and emergency medical services;

(7) The sponsor has paid the requisite fee and if applicable the deposit;

(8) The event will not cause or contribute to a violation of any applicable federal, state or local environmental protection law or regulation, including but not limited to the Endangered Species Act or the Clean Water Act, as established by a comprehensive environmental analysis of the proposed event and its impact on the river if appropriate;

(9) That any hazardous substances, hazardous materials or hazardous waste used, stored or released in anyway in connection with the event will be handled in a manner consistent with any applicable laws and regulations; including but not limited to any local, state or federal law or regulation relating to the storage, release, spill or discharge of hazardous substances, hazardous material or hazardous waste, as defined under any applicable local, state or federal law or regulation.

(D) The county may deny the issuance of a special event permit if at any time the county determines that:

(1) The sponsor fails to provide all the information required under § 29.708 (B);

(2) The special event cannot be conducted in compliance with one or more of the applicable criteria set forth at § 29.708 (C);

(3) The sponsor fails to comply with the terms and conditions of the permit application;

(4) The sponsor within the past 24 months has failed to conduct a previously authorized special event in accordance with the law or the terms of a permit;

(5) If the sponsor was to obtain the written approval of any other public agency whose jurisdiction includes or is impacted by the special event in advance of the county issuing the permit and has not obtained the approval or the previously granted approval of the other public agency has been withdrawn or revoked; or

(6) An emergency has been declared pursuant to MCC §§ 7.900 et seq. that will impact the use of the bridge; or the bridge is subject to unforeseen emergency repair or has been declared unsafe for any reason by the county bridge manager.

(E) (1) Following receipt of a completed permit application for a four hour or longer complete closure event outside the hours of 3 AM to 12 PM (noon) on a Sunday or a peak traffic hours event as provided under § 29.707, the county's Public Affairs Office will notify by electronic mail, neighborhood and business associations sanctioned by the City of Portland or otherwise recognized and located within a one mile radius of the east and west side terminus of the specified bridge. This notice will include the dates and hours of the requested closure, explanation of how to submit comments or other participation before the Board or the Chair, prior to the decision on the application, and the phone number and name of a county contact person for more information.

(2) Following a final decision to approve a complete closure event or a peak traffic hours event as provided under § 29.707, the county's Public Affairs Office will notify by electronic mail, neighborhood and business associations sanctioned by the City of Portland or otherwise recognized and located within a one mile radius of the specified bridge of the decision. This notice will include the dates and hours of the approved closure, the bridge to be closed, and the phone number and name of a county contact person for more information.

(3) Prior to the complete closure event, the Public Affairs Office will issue a news release to local news media and emergency services, including the dates and hours of the closure and the bridge to be closed

§ 29.709 Contents of Special Event Permit.

A special event permit will contain the following information, terms or conditions, as applicable:

(A) Identification of the bridge; including a description of the portion of the bridge, including traffic lanes and sidewalks, that is to be used for the special event

(B) The date, starting time and ending time of the special event;

(C) The time required for any and all set up, break down, and clean up related to the special event;

(D) The name, address and phone number of at least one person authorized to act for or on behalf of the sponsor.

(E) The number of persons, number and type of animals, and vehicles, the number of bands, other musical units and equipment capable of producing sound, if any, and limitation thereon pertaining to noise abatement;

(F) The plans for traffic control, crowd control, security, fire safety and emergency medical services, each subject to approval by the county;

(G) Approval from the United States Coast Guard for the closure of the bridges to river traffic;

(H) The specifications, plans and location of any temporary structures, equipment, signs or banners to be located on or attached in an authorized manner to the bridges;

(I) The conditions and restrictions relating to the use, storage and disposition of hazardous substances, hazardous materials or hazardous waste as those terms are defined in any applicable local, state or federal law or regulation;

(J) The number and location of event monitors and other support personnel to be provided by the sponsor;

(K) The conditions or restrictions on the use of alcoholic beverages and authorization for and conditions of the exclusive control or regulation of vendors and related sales activity by the sponsor during the special event;

(L) Confirmation that county has received any and all fees as required by § 29.712.

(M) Any and all environmental protection assessments, plans, reports or similar documents for which the sponsor was required by the county or other government agency to obtain to conduct the Event.

(N) Adequate provisions for clean-up and maintenance costs.

(O) Any and all written consents or permits as required by the City of Portland, or any other government agency with regulatory authority over the special event location or activities;

(P) A copy of written notice sent to Tri-met;

(Q) Indemnification of county by sponsor with respect to any claims, liabilities, suits, damages, losses, expenses or costs, including but not limited to attorney fees and other legal costs relating to or arising out of the special event or activities of the sponsor in connection with the special event.

(R) Proof of public liability insurance.

§ 29.710 Insurance Requirements.

(A) The sponsor of a complete closure event must provide public liability insurance coverage for the special event and sponsor's activities, including volunteers and all other participants in connection with the special event. Sponsors of other events may be required to provide insurance based on the category and size of the event as provided under § 29.705. Such insurance must name Multnomah County, its officers, employees, and agents, as an additional insured. Insurance coverage must be maintained for the duration of the special event. Notice of cancellation must be provided immediately to the county and with a minimum of 30 days notice prior to the termination of the policy.

(B) The sponsor's current effective insurance policy, or copy, along with necessary endorsements, must be filed with the county at least 15 calendar days before the start of the special event, unless the county for good cause modifies the filing requirement.

§ 29.711 Revocation of Special Event Permit.

(A) The Board may revoke a special event permit if at any time it is determined:

(1) That one or more of the grounds for denial of issuance of a permit as set forth at § 29.708 (D) (1)-(6) is or are applicable;

(2) The event is being conducted in violation of any condition of the special event permit, including but not limited to any discontinuance for any reason of insurance coverage for the event;

(3) The event sponsor has failed to obtain any other permit, written consent or similar formal approval as required by another government agency with jurisdiction over the event location or activities;

(4) The special event permit was issued in error or contrary to law, or

(5) The sponsor has failed to pay upon reasonable demand any additional county costs incurred pursuant to the permit as provided under § 29.712.

(B) Except as provided in § 29.711(C), notices of revocation will be in writing and specifically set forth the reasons for the revocation.

(C) If there is an emergency requiring immediate revocation of a special event Permit, the county may notify the sponsor orally of the revocation, but will issue a notice of revocation as provided in § 29.711 (B) as soon as reasonably practical thereafter.

(D) The Chair or the Director are authorized to act in lieu of the Board to revoke any previously approved permit pursuant to subsections (A)-(C) of this section.

§ 29.712 Special Event Permit Application Fee, Deposit and Cost Recovery.

(A) The Board by resolution will establish a permit application fee and a minimum deposit amount. The county will draw from the deposit to cover the costs identified in this section on an actual cost incurred basis.

(B) The deposit will be required if the LUTP estimates, based upon initial review of the permit application, that the event is likely to require any of the following:

(1) More than 10 hours of county administrative services in preparation for and during the event, including but not limited to costs incurred for: document drafting, contacting and or meeting with the U.S. Coast Guard or other government agencies; providing expanded public notice; legal services; reviewing plans for traffic control, crowd control, fire safety, security or emergency medical services; conducting or attending special community meetings or similar activities;

(2) County personnel will need to be involved or its equipment used in the set-up or the operation of the event;

(3) County personnel will need to be involved or its equipment used in the removal of alterations or attachments; clean-up, or repair of county facilities because of the special event; or

(4) Any other extraordinary costs directly attributable to the staging and conducting of the special event.

(C) If at any time the county determines any deposit previously submitted is inadequate to cover the county's charges or costs, any additional funds required, or a reasonable estimate thereof, will be billed and collected from the sponsor. The county reserves the right to stop any further review of an application, deny the issuance of the permit or revoke an existing permit if the sponsor fails to deposit any outstanding costs and charges identified and billed to sponsor under this section.

(D) If the sponsor fails to comply with any obligations imposed upon it under this subchapter and the county incurs any costs or expenses, directly relating to sponsor's failure to comply, the sponsor will be billed for actual county costs incurred to remedy or mitigate sponsor's failure to comply.

(E) If after the special event has occurred or is cancelled, there is a surplus in the sponsor's deposit account and the county determines that there are no further costs to be billed or charged to the sponsor in connection with the special event, the county will refund to the

sponsor, subject to any administrative processing fees the remaining balance of the deposit account.

§ 29.713 Interference with a Special Event Prohibited.

It is unlawful for any person to interfere with a permitted special event. The following acts, among others, are prohibited by this section, when done with the intent to cause interference or without the permission of the sponsor:

(A) Blocking, obstructing or impeding the passage of participants, vehicles or animals in the special event route.

(B) Walking or running; driving a vehicle; riding a bicycle or skateboard through, between, with or among participants, vehicles or animals.

(C) Dropping, throwing, rolling or flying any object toward, among or between participants, vehicles or animals.

(D) Throwing, squirting, dumping or dropping any liquid or gaseous substance on, toward, among or between participants vehicles or animals.

(E) Grabbing at; taking hold of; hitting, pulling or pushing any participant, vehicle or animal or anything in the possession of any participant.

(F) Vending or offering for sale any food or merchandise within the special event area of the bridge during the time the event is to be conducted without first having obtained the written permission of the sponsor.

§ 29.714 Cancellation, Recovery of Costs.

If an event is cancelled or postponed by the sponsor, all costs the county has incurred in connection with the event are collectible from the sponsor and may be deducted from the deposit.

Section 2. MCC § 29.999 is amended as follows:

(A) *Plumbing Code violations.* A person who violates § 29.206 of this chapter will be subject to a civil penalty of not to exceed $100 per violation. In the case of a continuing violation, every day's continuance of the violation is a separate violation. (ORS 456.885) ('90 Code;§ 9.30.090) (Ord. 362, passed 1983)

(B) *Street standards violations.* No person shall violate any requirement of §§ 29.500 through 29.583 of this chapter or rule adopted under it. Each violation is subject to a civil penalty

not to exceed $500. It is a separate violation for each day during any portion of which a violation of any provision of this subchapter or rule adopted under it occurs.

(C) *Bridge Special Events violations*: No person shall violate any requirement of §§ 29.700 et. seq. or rule adopted under it. Each violation is subject to a civil penalty not to exceed $500.00. It is a separate violation for each day during any portion of which a violation of any provision of this subchapter or rule adopted under it occurs.

Section 3. This ordinance is effective March 29, 2003.

FIRST READING: February 20, 2003

SECOND READING AND ADOPTION: February 27, 2003

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
Matthew O. Ryan, Assistant County Attorney

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-9
Est. Start Time: 10:50 AM
Date Submitted: 02/19/03

Requested Date: February 27, 2003 **Time Requested:** 5 minutes

Department: Non-Departmental **Division:** Commissioner Maria Rojo de Steffey

Contact/s: Shelli Romero & Mike Pullen

Phone: Shelli (503) 988-4435 **Ext.:** 84435 **I/O Address:** 503/600
Mike (503) 988-6804 **Ext.:** 86804 **I/O Address:** 503/601

Presenters: Matt Ryan, County Attorney's Office

Agenda Title: Establishing Fees and Charges for Chapter 29, Building Regulations, of the Multnomah County Code and Repealing Resolution No. 02-078

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.)

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1. **What action are you requesting from the Board? What is the department/agency recommendation?** Approval.

2. **Please provide sufficient background information for the Board and the public to understand this issue.** The County's Willamette River bridges have become popular locations for special events, including runs, parades, and film/video productions. Recent events have highlighted the need to develop rules that balance these requests with the public's need to use the bridges for their primary function of transportation. This Resolution accompanies the Ordinance and serves as a guide for fees the County will charge for using Willamette River Bridges for purposes of special events and film/video projects.

3. **Explain the fiscal impact (current year and ongoing).** Please refer to the Resolution attached for specific charges for use of bridges.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain: n/a

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain: n/a

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain: n/a

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues**

Per Board action in 2001, the Board of County Commissioners committed to bringing an ordinance forward that would better clarify the County's policy and procedures for what constitutes a closure, the decision-making process for a closure and the criteria for closing any of the Willamette River Bridge for special events.

This Ordinance establishes a procedure for public use of the Willamette River Bridges in addition to their primary use, which is to carry pedestrian, bicycle and vehicle traffic in coordination with the federally mandated requirements for river traffic to travel under the bridges. The four drawbridges are subject to being raised on demand to accommodate river traffic.

The bridges are of course a continuation of the roads, highways and streets, which directly feed traffic to them. But at the same time they are unique in that they have not

traditionally been used as frequently as other public facilities such as city streets, parks and town squares for events. The closure of a bridge especially during peak traffic times, has a significantly greater impact than the use of the other identified public facilities for public events, because the bridge is more than the continuation of a single street, it is the sole conduit across the water for the community at its terminus on either side of the river. Moreover, on the drawbridges the rights of river traffic prevail over the traffic on deck. And there are ample other public spaces for public activities in addition to the bridges on both sides of the Willamette River.

Nonetheless, the bridges as public facilities are public fora, albeit in a limited capacity, and are available for events. Accordingly the County has the authority to impose reasonable time, place and manner restrictions on event uses that interfere with the primary use. This Ordinance provides content neutral based criteria to balance the impact of "Special Event" activities held on the bridges with the primary use needs of the community. Please refer to the Findings Section in the Ordinance for further discussion of this topic.

5. **Explain any citizen and/or other government participation that has or will take place**. County staff surveyed local jurisdictions across the country to determine what fees are in place elsewhere. Past permit holders for bridge events and local government agencies have been notified of the proposed fees and deposits.

Required Signatures:

Department/Agency Director: Maria Rojo de Steffey **Date: February 19, 2003**

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 03-028

Establishing Fees and Charges for Chapter 29, Building Regulations, of the Multnomah County Code and Repealing Resolution No. 02-078

The Multnomah County Board of Commissioners Finds:

a. Chapter 29, Building Regulations, of the Multnomah County Code (MCC) provides that the Board shall establish certain fees and charges by resolution.

b. On June 6, 2002, the Board adopted Resolution No. 02-078 establishing MCC Chapter 29 fees and charges.

c. On February 27, 2003, the Board amended MCC Chapter 29 to add new provisions relating to bridge special events.

d. It is necessary to establish the new fees for Chapter 29, by adding the fees and charges authorized by the new code provisions.

e. All other fees and charges established by Resolution No. 02-078 remain the same.

The Multnomah County Board of Commissioners Resolves:

1. The fees and charges for Chapter 29, Building Regulations, of the Multnomah County Code are set as follows:

   A. For the areas of unincorporated Multnomah County within the Portland Urban Services Boundary:

Section 29.010	FEES (Building Code)	See Schedule 1 attached
Section 29.106	FEES (Electrical Code)	See Schedule 1 attached
Section 29.207	FEES (Plumbing Code)	See Schedule 1 attached

   B. For the areas of unincorporated Multnomah County outside of the Portland Urban Services Boundary:

Section 29.010	FEES (Building Code)	See Exhibit A attached
Section 29.106	FEES (Electrical Code)	See Exhibit B attached
Section 29.207	FEES (Plumbing Code)	See Exhibit C attached

   C. For all areas of unincorporated Multnomah County:

Section 29.306	PERMIT FEE	
	Grading and Erosion Control Permit	$344

Section 29.401.	FEE FOR REVIEW AND APPROVAL (Condominiums)		
	Condominiums, plat and floor plan:		$500 Plus $50 per building
	Buildings greater than two stories or 20 units:		Actual cost of review
Section 29.611	REVIEW FEE		
	Flood Plain Review (one and two family dwellings)		$27
	Flood Plain Review (all other uses):		$59
Section 29.712	SPECIAL EVENT PERMIT APPLICATION FEE, DEPOSIT AND COST RECOVERY		
	(A) Special Event Permit Application Fee		$50
	(B) Minimum Cost Recovery Deposit Based On Categories Of Events Under MCC 29.705		
		(1) Event Under MCC 29.705 (A), If No Event Permit Required No Deposit Is Necessary, Otherwise	$50
		(2) Event under MCC 29.705 (B)	$250
		(3) Event under MCC 29.705 (C)	$500
		(4) Event under MCC 29.705 (D)	$1,000
	(C) Additional Cost Recovery as authorized under MCC 29.712 (C) will be based on actual costs incurred by the County under MCC 29.712 (B) (1)–(4).		

2. Resolution No. 02-078 is repealed and this Resolution takes effect on March 27, 2003.

ADOPTED this 27th day of February, 2003.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
Matthew O. Ryan, Assistant County Attorney

Section 29.010. FEES (Building Code)

§ 29.010 FEES.

The fees shall apply under this subchapter in addition to those provided in the state building code. Where conflicts occur with fees provided in the state building code, the fees in this subchapter shall prevail.

I. Building Fees:

(A) Building permit fees shall be charged based on the total valuation of work to be performed.

Total Valuation[1] of Work to be Performed	**Fees**
$1 to $500	$50 minimum fee
$501 to $2,000	$50 for the first $500, plus $2.25 for each additional $100 or fraction thereof, to and including $2,000
$2,001 to $25,000	$83.75 for the first $2,000, plus $8.82 for each additional $1,000 or fraction thereof, to and including $25,000
$25,001 to $50,000	$286.61 for the first $25,000 plus $6.56 for each additional $1,000 or fraction thereof, to and including $50,000
$50,001 to $100,000	$450.61 for the first $50,000, plus $4.37 for each additional $1,000 or fraction thereof, to and including $100,000
$100,001 and up	$669.11 for the first $100,000, plus $3.68 for each additional $1,000 or fraction thereof

(B) **Plan Review/Process Fee**. 65% of the building permit fee
For the original submittal and one revision, unless the revision increases the project valuation.

[1] Definition of Valuation: The valuation to be used in computing the permit fee and plan check/process fee shall be the total value of all construction work for which the permit is issued, as well as all finish work, painting, roofing, electrical, plumbing, heating, air conditioning, elevators, fire extinguishing systems and other permanent work or equipment, and the contractor's profit.

(C) **Fire and Life Safety Review Fee**: 40% of the building permit fee.

(D) **Miscellaneous Fees:**

Additional Plan Review Fee

For changes, additions or revisions to approved plans	Plan review time ½ hour or less: $55 Plan review time greater than ½ hour: $105 per hour or fraction thereof.

Appeal Fees (per appeal):

One- and two-family dwellings	$100.00
All other occupancies	$200.00
plus for each appeal item over 4	$ 50.00

Approved Fabricators Certification Fee

Initial Certification	$1,000
Annual Renewal - without modifications	$ 250
Annual Renewal – with modifications	$ 500
Field audits and inspections	$ 120 per hour or fraction of an hour. Minimum – 1 hour

Whenever an inspection is conducted by OPDR staff at a facility more than 50 miles from the City of Portland's OPDR office, the applicant shall reimburse the City for travel costs including auto travel, air travel, lodging and meals.

Approved Testing Agency Certification Fee

Initial Certification	$1,000
Annual Renewal – without modifications	$ 250
Annual Renewal – with modifications	$ 500
Field audits and inspections	$ 120 per hour or fraction of an hour. Minimum – 1 hour

Whenever an inspection is conducted by OPDR staff at a facility more than 50 miles from the City of Portland's OPDR office, the applicant shall reimburse the City for travel costs including auto travel, air travel, lodging and meals.

Circus Tent Fee	$160

Clearing Permit Fee

5,000 square feet to 1 acre:	$45
Over 1 acre	$45, plus $10 for each additional acre or fraction of an acre

Clearing with Tree Cutting Permit Fee	
(on slopes over 25% gradient)	
Less than 1 acre	$90
1 acre and up	$90 + $30 per additional acre or fraction of acre
Deferred Submittal Fee	
For processing and reviewing deferred plan submittals	10% of the building permit fee calculated using the value of the particular deferred portion or portions of the project
The fee is in addition to the project plan review fee based on the total project value.	Minimum fee - $100 for 1 & 2 family dwelling projects $250 for commercial and all other projects
Energy Plan Review	
Applies to all building permits with valuation over $2.5 million and to any subsequent tenant improvements.	Actual plan review costs, plus 10% administrative processing fee.
Express Start Program Fee	
Fee for accelerated plan review and the issuance of an authorization to proceed with construction prior to completion of the full plan review process	$120 per hour or fraction of an hour
Fee for Examination of Filed Plans:	If more than 2 plans, $1 per added plan.
Inspections Outside of Normal Business Hours.	$150 per hour or fraction of an hour Minimum - $150
Investigation Fee	
For commencement of work before obtaining a permit	Equal to the permit fee or the actual investigation costs at $105 per hour, whichever is greater, plus $250

Limited Consultation Fee

For an optional meeting held prior to application for building permits for projects with complex and fairly detailed issues in one or two areas of expertise (e.g., building and fire codes). The meeting will be limited to two City staff members. $150

Manufactured Dwelling Installation on Individual Lot

Installation and set up	$315
Earthquake-resistant bracing when not installed under a Manufactured dwelling installation permit	$ 85

Additional fees are required for separate permits which may include but are not limited to the following: building, plumbing, electrical, water, sewage, public right of way approaches and improvements, and plan review.

Manufactured Dwelling Installation in a Park

Installation and set up	$315
Earthquake-resistant bracing when not installed under a Manufactured dwelling installation permit	$ 85

Additional fees are required for separate permits which may include but are not limited to the following: building, plumbing, electrical, water, sewage, public right of way approaches and improvements, and plan review.

Manufactured Dwelling Park

(Development or enlargement of a manufactured dwelling park)

Permit Fee:	
10 spaces or fewer	$45 each space
11 - 20 spaces	$450 plus $25 for each space over 10
more than 20 spaces	$700 plus $20 for each space over 20
Plan review	65% of the permit fee
Zoning inspection	15% of the permit fee
Cabana installation	$100

Additional fees are required for separate permits which may include but are not limited to the following: building, plumbing, electrical, water, sewage, public right of way approaches and improvements, and plan review.

Master Permit/Facilities Permit Program

Annual Registration Fee:	$300 per site
For projects valued at $600,000 or less: Building orientations, inspection, plan review and administrative activities:	$135 per hour or fraction of an hour Minimum – 1 hour for each inspection

For projects exceeding $600,000 value: Building inspection and plan review:	Fee based on project valuation and building permit fee schedule

Fees for services provided by bureaus other than the Office of Planning and Development Review and necessary for construction authorization will be billed to the Owner as assessed by those bureaus.

Fees shall be billed monthly. Fees not paid within 30 days of billing shall be assessed a 5% penalty fee for each 30-day period until paid in full.

Minor Structural Labels	$100 per set of 10 labels
Other Inspections Not Specifically Identified Elsewhere	$105 per hour or fraction of hour Minimum – 1 hour

Permit Reinstatement Processing Fee Fee for renewal of a permit that has been expired for six months or less provided no changes have been made in the original plans and specifications for such work. A permit may be renewed only once. The renewal fee shall be one-half the amount required for a new permit. Minimum Fee - $50.

Phased Project Plan Review Fee For plan review on each phase of a phased project: 10% of the total project building permit fee not to exceed $1,500 for each phase, plus $250.

Recreational Park

(Development or enlargement of a recreational park)

Permit Fee:	
10 spaces or fewer	$26 each space
11 - 20 spaces	$260 plus $16 for each space over 10
21 - 50 spaces	$420 plus $12 for each space over 20
more than 50 spaces	$780 plus $9 for each space over 50
Plan review	65% of the permit fee
Zoning inspection	15% of the permit fee
Cabana installation	$100

Additional fees are required for separate permits which may include but are not limited to the following: building, plumbing, electrical, water, sewage, public right of way approaches and improvements, and plan review.

Reinspection Fee	$ 75 per inspection
Reproduction Fees	$2 per plan and $.50 per page of correspondence

Requested Inspection Fees

One and Two-family dwellings	$100
Apartment Houses	$160 + $10 for each dwelling unit in excess of three
Hotels/Motels	$160 + $5 for each sleeping room in excess of five
All other occupancies one and two stories in height	$160 + $10 for each additional 1,000 square feet over 10,000 square feet
All other occupancies three stories in height and above	$160 + $20 for each story in excess of three

Stormwater Disposal Plan Review	$50 per plan

Special Inspection Certification Fee

Initial Certification	$ 60
Annual Renewal	$ 25
Re-examination	$ 50

Temporary Certificate of Occupancy	$175.00

Zoning Inspection Fee Applies to all new construction and any other permit requiring Planning/Zoning approval.

For 1 & 2 family dwellings	$68
For commercial and all other	15% of the building permit or $68 whichever is greater

Zoning Permit Fee Fee for ensuring conformance of zoning code standards.

For 1 & 2 family dwellings	$26
For commercial and all other	Fee is based on the project valuation and the commercial building permit fee table, plus 65% plan review/process fee. Minimum commercial zoning permit fee is $87.

II. Mechanical Permit Fee Schedule

One & Two Family Dwelling Fees

HVAC

Air handling unit	$19
Air Conditioning (site plan required)	$19
Alteration/repair of existing HVAC system	$24
Boiler/compressors	$24
Heat pump (site plan required)	$38
Install/replace furnace/burner (including ductwork / vent / liner)	$40
Install/replace/relocate heaters – suspended, wall or floor mounted	$19
Vent for appliance other than furnace	$16
Environmental exhaust and ventilation	
Appliance vent	$16
Dryer Exhaust	$10
Hoods, Type I/II/Res. Kitchen/Hazmat Hood Fire Suppression System	$10
Exhaust fan with single duct (bath fans)	$10
Exhaust system apart from heating or AC	$16
Fuel Piping and Distribution (up to 4 outlets)	$11
Fuel piping each additional over 4 outlets	$2
Other listed appliance or equipment	
Decorative fireplace	$19
Insert	$42
Woodstove/Pellet Stove	$42
Other: (including oil tanks, gas and diesel generators, gas and electric ceramic kilns, gas fuel cells, jewelry torches, crucibles, and other appliance/equipment not included above)	$24
Minimum Fee	$50

Commercial Fees

Commercial Mechanical Permit Fee

For commercial installation, replacement or relocation of non-portable mechanical equipment or mechanical work.

Valuation:

$1 to $1,000	$35
$1,001 to $10,000	$35.00 plus $1.78 for each additional $100 over $1,000
$10,001 to $100,000	$195.20 plus $10.98 for each additional $1,000 over $10,000

$100,001 and above	$1,183.40 plus $7.54 for each additional $1,000 over $100,000

Valuation includes the dollar value of all mechanical materials, equipment, labor overhead and profit.

Commercial Plan Review	60% of mechanical permit fee

Miscellaneous Fees

Additional Plan Review Fee For changes, additions or revisions to approved plans	Plan review time ½ hour or less: $55 Plan review time greater than ½ hour: $105 per hour or fraction thereof
Appeal Fees (per appeal)	
One and Two-Family Dwellings	$100
All other occupancies	$200
Each appeal item over 4	$50
Inspections Outside of Normal Business Hours	$150 per hour or fraction of hour
Investigation Fee For commencement of work before obtaining a permit	Equal to the permit fee or the actual investigation costs at $105 per hour, whichever is greater, plus $250
Master Permit/Facilities Permit Program Inspection, plan review, and administrative activities	$135 per hour or fraction of hour. Minimum – 1 hour for each inspection
Minor Mechanical Labels	$100 for set of 10 labels
Other Inspections Not Specifically Identified Elsewhere	$105 per hour or fraction of hour. Minimum – 1 hour
Permit Reinstatement Processing Fee Fee for renewal of a permit that has been expired for six months or less provided no changes have been made in the original plans and specifications for such work. A permit may be renewed only once.	The renewal fee shall be one-half the amount required for a new permit. Minimum Fee - $50
Reinspection Fee	$75 per inspection
Requested Inspection Fee	
One and Two-Family Dwellings	$100
3 or More Family Dwellings	$160 + $10 for each dwelling unit in excess of three

Hotels/Motels	$160 + $5 for each sleeping room in excess of five
All other occupancies one and two stories in height up to 10,000 sq. ft.	$160 + $10 for each additional 1,000 square feet
All other occupancies 3 stories in height and above	$160 + $20 for each story in excess of three

Schedule 1 – For Areas of Unincorporated Multnomah County
Within the Portland Urban Services Boundary

Section 29.106. FEES (Electrical Code)

§ 29.106 FEES.

New Residential	
Single or multi-family, per dwelling unit.	
Include attached garage. Service included.	
1,000 square feet or less	$175
Each additional 500 sq ft or portion thereof	$37
Limited Energy Install 1 & 2 Family	$37
Limited Energy Install Multi-Family	$37
Each Manufactured Home or Modular Dwelling Service and/or Feeder	$99
Services or Feeders	
Installation, alteration or relocation	
200 amps or less	$ 91
201 amps to 400 amps	$125
401 amps to 600 amps	$167
601 amps to 1,000 amps	$251
Over 1,000 amps or volts	$470
Reconnect only	$ 75
Temporary Services or Feeders	
Installation, alteration or relocation	
200 amps or less	$ 75
201 amps to 400 amps	$120
401 amps to 600 amps	$150
Over 600 amps or 1,000 volts (see above)	
Branch Circuits	
New, alteration or extension per panel	
The fee for branch circuits with the purchase of service or feeder fee	$ 7
The fee for branch circuits without the purchase of service or feeder fee:	
First branch circuit	$ 75
Each additional branch circuit	$ 7
Miscellaneous	
(Service or feeder not included)	
Each pump or irrigation circle	$ 63
Each sign or outline lighting	$ 63
Signal circuit(s) or a limited energy panel, alteration or extension	$ 63

Swimming Pools. Fees shall be based upon **Services or Feeders** or **Branch Circuits** (see above). The inspection of the grounding of the pool shall be included in the permit for the pool and counted as one of the number of allowed inspections under the permit.

Plan Review Fee	25% of total electrical permit fees
Miscellaneous Fees	
Additional Plan Review Fee For changes, additions or revisions to approved plans	Plan review time ½ hour or less: $55 Plan review time greater than ½ hour: $105 per hour or fraction thereof
Appeal Fees (per appeal)	
One and Two-Family Dwellings	$100
All other occupancies	$200
Each appeal item over 4	$ 50
Inspections Outside of Normal Business Hours	$150 per hour or fraction of hour
Investigation Fee For commencement of work before obtaining a permit	Equal to the permit fee or the actual investigation costs at $150 per hour, whichever is greater, plus $250
Master Permit (Industrial Plant) Program Fees	
Registration	$100 per facility
Each additional off-site location	$100
Inspection, plan review and administrative activities	$105 per hour or fraction of hour
Master Permit/Facilities Permit Program	
Inspection, plan review and administrative activities	$135 per hour or fraction of hour. Minimum – 1 hour
Other Inspections Not Specifically Identified Elsewhere	$105 per hour or fraction of hour. Minimum – 1 hour
Permit Reinstatement Processing Fee Fee for renewal of a permit that has been expired for six months or less provided no changes have been made in the original plans and specifications for such work. A permit may be renewed only once.	The renewal fee shall be one-half the amount required for a new permit. Minimum fee - $50
Reinspection and Additional Fees Reinspections or inspections above the number covered by original permit	$75 per inspection

Requested Inspections	
One and Two-Family Dwellings	$100
Apartment Houses	$160 + $10 for each dwelling unit in excess of three
Hotels/Motels	$160 + $5 for each sleeping room in excess of five
All other occupancies one and two stories in height	$160 + $10 for each additional 1,000 square feet over 10,000 square feet
All other occupancies three stories in height and above	$160 + $20 for each story in excess of three

Schedule 1 – For Areas of Unincorporated Multnomah County
Within the Portland Urban Services Boundary

Section 29.207. FEES (Plumbing Code)

§ 29.207 FEES.

New 1 & 2 Family Dwellings Only	
(includes 100 feet for each utility connection)	
With one bath	$360
With two baths	$540
With three baths	$630
Each additional bath/kitchen	$150
Site Utilities	
Manufactured home utilities	$ 64
First 100 feet of:	
Rain drain (no. of linear feet)	$ 80
Sanitary sewer (no. of linear feet)	$ 80
Storm sewer (no. of linear feet)	$ 80
Water service (no. of linear feet)	$ 80
Each additional 100 feet or portion thereof	$ 60
Fixture or Item	
Back flow preventer	$ 26
Backwater valve	$ 26
Basins/lavatory	$ 26
Catch basin/area drain inside building	$ 26
Clothes washer	$ 26
Diswasher	$ 26
Drinking fountains	$ 26
Ejectors/Sump	$ 26
Expansion tank	$ 26
Fixture/sewer cap	$ 26
Floor drains/floor sinks/hubb	$ 26
Gargage disposal	$ 26
Hose bibb	$ 26
Ice maker	$ 26
Interceptor/grease trap	$ 26
Primer(s)	$ 26
Replacing in-building water supply lines:	
Residential:	
First floor	$ 57
Each additional floor	$ 23
Comercial:	
Up to first 5 branches	$ 57
Each fixture ranch over five	$ 14
Roof drain (commercial)	$ 26
Sewer cap	$ 71
Sink(s) Basin(s) Lav(s)	$ 26
Solar units (potable water)	$ 61

Stormwater retention/detention tank/facility	$ 72
Sump	$ 26
Tubs/shower/shower pan	$ 26
Urinal	$ 26
Water closet	$ 26
Water heater	$ 26
Minimum Fee	$ 57
Plan Review Fee For commercial and multi-family structures with new outside installations and/or more than five fixtures, food service or for medical gas systems	25% of the permit fee
Miscellaneous Fees	
Additional Plan Review For changes, additions or revisions to approved plans	Plan review time ½ hour or less: $500. Plan review time greater than ½ hour: $105 per hour or fraction thereof
Appeal Fees (per appeal)	
One and Two-Family Dwellings	$100
All other occupancies	$200
Each appeal item over 4	$ 50
Inspections Outside of Normal Business Hours	$150 per hour or fraction of hour
Investigation Fee For commencement of work before obtaining a permit	Equal to the permit fee or the actual investigation costs at $105 per hour, whichever is greater, plus $250
Master Permit/Facilities Permit Program Inspection, plan review and administration activities	$135 per hour or fraction of hour. Minimum – 1 hour
Medical Gas Systems Total Value of Construction Work to be Performed:	
$1 - $500	$ 52 minimum fee
$501 - $2,000	$ 52 for the first $500, plus $5 for each additional $100 or fraction thereof, to and including $2,000
$2,001 - $25,000	$127 for the first $2,000, plus $18 for each additional $1,000 or fraction thereof, to and including $25,000
$25,001 - $50,000	$541 for the first $25,000, plus $14 for each additional $1,000 or fraction thereof, to and including $50,000

$50,001 - $100,000	$891 for the first $50,000, plus $9 for each additional $1,000 or fraction thereof, to and including $100,000
$100,001 and up	$1,341 for the first $100,000, plus $8 for each additional $1,000 or fraction thereof
Other Inspections Not Specifically Identified Elsewhere	$105 per hour or fraction of hour. Minimum – 1 hour
Permit Reinstatement Processing Fee Fee for renewal of a permit that has been expired for six months or less provided no changes have been made in the original plans and specifications for such work. A permit may be renewed only once.	The renewal fee shall be one-half the amount required for a new permit. Minimum Fee - $50
Reinspection Fee	$75 per inspection
Requested Inspections	
One and Two-Family Dwellings	$100
Apartment Houses	$160 + $10 for each dwelling unit in excess of three
Hotels/Motels	$160 + $5 for each sleeping room in excess of five
All other occupancies one and two stories, up to 10,000 sq. ft.	$160 + $10 for each additional 1,000 square feet
All other occupancies 3 stories in height and above	$160 +$ 20 for each story in excess of three
Residential Fire Suppression Systems Residential multi-purpose and stand alone fire suppression system fees are based on the square footage of the structure as follows:	
0 to 2,000 sq. ft.	$ 52
2,001 to 3,600 sq. ft.	$ 77
3,601 to 7,200 sq. ft.	$102
7,201 sq. ft and greater	$127

EXHIBIT A

Section 29.010. FEES (Building Code)

§ 29.010 FEES.

The fees shall apply under this subchapter in addition to those provided in the state building code. Where conflicts occur with fees provided in the state building code, the fees in this subchapter shall prevail.

(A) Building permit fees shall be charged based on the total valuation of work to be performed.

Total Valuation of Work to be Performed	**Fees**
$1.00 to $500.00	$15.00
$501.00 to $2,000.00	$15.00 for the first $500.00, plus $1.90 for each additional $100.00 or fraction thereof, to and including $2,000.00
$2,001.00 to $25,000.00	$43.50 for the first $2,000.00, plus $7.60 for each additional $1,000.00 or fraction thereof, to and including $25,000.00
$25,001.00 to $50,000.00	$218.30 for the first $25,000.00 plus $5.70 for each additional $1,000 or fraction thereof, to and including $50,000.00
$50,001.00 to $100,000.00	$360.80 for the first $50,000.00, plus $3.80 for each additional $1,000.00 or fraction thereof, to and including $100,000.00
$100,001.00 and up	$550.80 for the first $100,000.00, plus $3.20 for each additional $1,000.00 or fraction thereof

(B) Exempt area fire and life safety plan review and inspection: 40 percent of the required building permit fee.

(C) Requested inspection fees. Requested inspections that are not part of the regular inspection program will be made as soon as practical after payment to the building official of the fee specified below:

(1)	Single- and two-family dwellings (occupancy class R3)	$100
(2)	Apartment houses (occupancy class R1) (plus $7 for each dwelling unit in excess of three)	$160
(3)	Hotels (occupancy class R1) (plus $5 for each sleeping room in excess of five)	$160

	(4)	All other occupancies one and two stories in height up to 10,000 square feet (plus $7 for each additional 1,000 square feet)	$160
	(5)	All other occupancies three stories in height and above (plus $20 for each story in excess of three)	$160
(D)		Demolition of structure	$40
(E)		Temporary permit or temporary certificate of occupancy	$50
(F)		Hearing fee, board of appeals:	
	(1)	One- and two-family dwellings	$50
	(2)	All other buildings	$100
(G)		Certificate of occupancy (new permit not required)	$50
(H)		Automatic sprinkler system:	
	(1)	Minimum charge	$40
	(2)	Per sprinkler head for first 100	$0.50
	(3)	Per sprinkler head in excess of first 100	$0.30

(I) Heating and ventilating fees under the Uniform mechanical Code. The minimum permit fee under this subsection shall be $23.

	(1)	New single- and two-family residences. The following fees for each dwelling unit shall include all heating and ventilating installations within or attached to the building at the time of occupancy.	
		(a) Conditioned floor space under 1,000 square feet	$29 each.
		(b) Conditioned floor space under 2,000 square feet	$42 each.
		(c) Conditioned floor space 2,000 square feet or more	$52 each.

(2) Residential permit fees (other than (1) above). The following fees are for single-family and two-family dwellings (R-3 and S.R. occupancies) and each individual dwelling within an apartment building, condominium building, hotel or motel (R-1 occupancy), which is individually heated and/or air conditioned. Central mechanical systems in multifamily buildings or appliances and systems not identified in this subsection shall be assessed fee(s) in accordance with paragraph (3).

(a) Furnaces: For the installation, relocation, or replacement of each furnace:

(i)	Forced air or gravity type furnace	$13
(ii)	Floor furnace	$10
(iii)	Vented wall furnace or recessed wall heater	$10
(iv)	Room heater (non-portable)	$13

(b)	Woodstoves: for the installation, relocation or replacement of each woodstove, fireplace stove or factory built fireplace (including hearth and wall shield)	$23
(c)	Chimney vent: For the installation, relocation, or replacement of each factory built chimney or appliance vent	$9
(d)	Boiler: For the installation, relocation or replacement of each boiler (water heater) no exceeding 120 gallons, water temperature of 210 degrees Fahrenheit, for 200,000 Btu input	$13
(e)	Air handler or heat exchanger: For the installation, relocation or replacement of each air handler or heat exchanger	$10
(f)	Heat pumps: For the installation, relocation or replacement of ducted heat pump (including compressor, exchanger and ducts attached thereto)	$21
(g)	Air conditioners: For the installation, relocation or replacement of each condensing or evaporating air conditioner (except portable type)	$10
(h)	Ventilation fan: For the installation, relocation or replacement of each ducted ventilation fan	$5
(i)	Range hood: For the installation, relocation or replacement of each domestic range hood, including duct	$10

(j) Gas piping: For the installation, relocation or replacement of gas piping:

(i)	One to four outlets	$6
(ii)	Each additional outlet	$1

(3) Commercial permit fees. Any equipment or system regulated by this code and not classified residential under paragraph (1) or (2) of this section shall be assessed permit fee(s) in accordance with the following:

Valuation of Work	**Permit Fee**
$1.00 to $1,000.00	$23.00
$1,001.00 to $10,000.00	$23.00 plus $1.35 for each additional $100.00 over $1,000.00
$10,001.00 to $100,000.00	$144.50 plus $8.30 for each additional $1,000.00 over $10,000.00
$100,001.00 and up	$891.50 plus $5.70 for each additional $1,000 over $100,000.00

(4) Administrative fees. An administrative fee equal to 65 percent of the permit fee shall be added to each permit fee for every permit issued. The administrative fee shall cover the cost of plan and specification review, permit processing and recording, and applicable state surcharges.

(5) Additional plan review fees. An additional plan review fee may be assessed whenever plans are incomplete, revised or modified to the extent that additional review is required.

Additional plan review fee (minimum charge $30.00): $50.00/hour.

(6) Reinspection fees. A reinspection fee may be assessed whenever additional inspections are required due to, but not limited to, failure to provide access to the equipment, work incomplete and not ready for inspection, failure to have approved plans on the job, deviations from the approved plans, etc. In those instances where a reinspection fee has been assessed, no additional inspection of the work will be performed, nor will the certificate of occupancy be issued, until required fees are paid.

Reinspection fee (minimum charge $30.00): $50.00/hour.

(7) Replacement of a hot water heater in kind shall not require a heating and ventilation permit when the hot water heater installation is the only work requiring such a permit. Such permit is covered under the plumbing permit.

(J) Charge for partial permits. When complete plans and specifications are not available, the building official may issue partial permits to assist in the commencement of the work, provided that a partial permit charge is paid to the building official. The number of partial permits issued shall not exceed six on any individual project, except that in special circumstances the building official may allow this number to be exceeded. Partial building permits issued under this section shall be subject to a $250.00 charge for each permit so issued.

(K) Inspection outside of normal business hours. A fee of $50.00 per hour or fraction thereof shall be charged for inspections outside of normal business hours.
('90 Code § 9.10.100) (Ord. 164, passed 1978; Ord. 195, passed 1979; Ord. 256, passed 1980; Ord. 278, passed 1981; Ord. 400, passed 1983; Ord. 467, passed 1985; Ord. 557, passed 1987; Ord. 583, passed 1988; Ord. 623, passed 1989; Ord. 728, passed 1992)

EXHIBIT B

Section 29.106. FEES (Electrical Code)

§ 29.106 FEES.

(A) *Plan review.*

(1) A plan checking fee shall be paid at the time of permit application. Fees for plans shall be 25 percent of the total electrical permit fee.

(2) A fee of $50.00 per hour, with a minimum charge of $30.00 for the first half hour or fraction thereof, shall be charged for additional plan reviews required by changes, additions or revisions to approved plans.

(B) *Permits.*

(1) The minimum permit fee shall be $33 unless otherwise stated in this chapter.

(2) Residential wiring (exclusive of service):

Residence wiring less than 1,000 square feet	$45
Residence wiring less than 2,000 square feet	$68
Residence wiring over 2,000 square feet	$90
Electric heat installation in existing residence	$33

(3) Service installations:

Temporary construction service up to 200 amperes	$33
Temporary construction service 201--600 amperes	$56
Temporary construction service 601--3,000 amperes (temporary construction services do not require plan submittal)	$90
Service not over 100 amperes	$45
Service over 100 amperes, but not more than 200 amperes	$68
Service over 200 amperes, but not more than 400 amperes	$90
Service over 400 amperes, but not more than 600 amperes	$135
Service over 600 amperes, but not more than 800 amperes	$158
Service over 800 amperes, but not more than 1,200 amperes	$203

	Service over 1,200 amperes, but not more than 3,000 amperes	$249
	Service over 3,000 amperes	$249 Plus $45 for each 1,000 amperes or fraction over 3,000 amperes
	Service over 600 volts	$338

(4) Commercial and industrial feeders:

Installation of, alteration or relocation of distribution feeders:	
Not more than 100 amperes	$33
Over 100 amperes, but not more than 200 amperes	$45
Over 200 amperes, but not more than 400 amperes	$68
Over 400 amperes, but not more than 600 amperes	$84
Over 600 amperes, but not more than 800 amperes	$102
Over 800 amperes, but not more than 1,200 amperes	$135
Over 1,200 amperes, but not more than 3,000 amperes	$170
Feeder over 3,000 amperes	$170 Plus $33 for each 1,000 amperes in excess of 3,000 amperes
Feeder over 600 volts	$156
After the ten largest feeders, each feeder shall be charged 50 percent of the above rate.	

(5) Miscellaneous (exclusive of service):

Each farm building other than residence	$33
Each irrigation pump	$33
Each electrical sign or outline lighting circuit	$33
Each swimming pool (including bonding)	$56
Each low energy system	$33
Each alarm system	$33

(6) Branch circuits (shall be additional to plan check, service and feeder fees):

One new circuit, alteration or extension	$32
Two new circuits, alteration or extension	$42
Each circuit over two circuits	$5
Each circuit in excess of 50 ampere rating	$42

(7) Requested inspections that are not a part of the regular inspection program will be made as soon as practical after payment to the building official of the fee specified below:

Single- and two-family dwellings (occupancy class R3)	$100
Apartment houses (occupancy class R1)(plus $7 for each dwelling unit in excess of three)	$160
Hotels (occupancy class R1) (plus $5 for each sleeping room in excess of five)	$160
All other occupancies one and two stories in height up to 10,000 square feet (plus $7 for each additional 1,000 square feet)	$160
All other occupancies three stories in height and above (plus $20 for each story in excess of three)	$160

(8) For any inspection not covered elsewhere in this chapter, or for a pre-permit onsite consultation, the fee shall be $50 per hour. The minimum charge shall be $30.

(9) Whenever any work for which a permit is required by this chapter has been commenced without first obtaining said permit, a special investigation shall be made before a permit may be issued for such work.

(10) An investigation fee, in addition to the permit fee, shall be collected whether or not a permit is then or subsequently issued. The investigation fee shall be equal to the amount of the permit fee required by this chapter. The minimum investigation fee shall be the same as the permit fee set forth in this section but not less than $150. The payment of such investigation fee shall not exempt any person from compliance with all other provisions of this chapter, nor from any penalty prescribed by law.

Exception: Electrical work of an emergency nature, for which a permit application with appropriate permit fees is submitted to the permit office within 48 hours, exclusive of Saturdays, Sundays and holidays, after the work was performed.

(11) A fee of $50 per hour or fraction thereof, with a minimum charge of three hours, shall be charged for inspections outside of normal business hours.

EXHIBIT C

Section 29.207. FEES (Plumbing Code)

§ 29.207 FEES.

(A) Before a permit may be issued for the installation, alteration, renovation or repair of a plumbing or sewage disposal system, fees shall be collected as set by Board resolution. Fees charged in this section relate to individual building or structure systems. Multiple service, private plumbing or sewage disposal systems, included but not limited to planned unit developments, shall be subject to plan review fees as set forth Chapter 27 of this code.

(B) Where an application is made and a plan is required, in addition to the fees under subsection (C) of this section, the applicant shall pay a plan review fee equal to 25 percent of the permit fee. Payment shall be made at the time of application.

(C) Before a permit may be issued for the installation, renovation, alteration or repair of a plumbing or drainage system, fees in accordance with the following table shall be paid:

(1)	New construction for a single-family dwelling and duplex, each unit with one bathroom	$235
(2)	New construction for a single-family dwelling and duplex, each unit with two bathrooms	$317
(3)	New construction for a single-family dwelling and duplex, each unit with three bathrooms	$374
(4)	For repair, remodel or new construction with more than three bathrooms, per fixture	$17 plus water service, rain drains, sanitary and storm sewer fees in accordance with subsection (8) of this section.
(5)	Mobile home service connections (sewer, water and storm), per space	$42
(6)	Commercial/industrial. The fee shall be $16 per fixture, plus any water service, sanitary and storm fees as required by subsection (8) of this section.	
(7)	Multifamily and multiplex rowhouses. The fee shall be $17 per fixture, plus water service, rain drains, sanitary and storm sewers as required in subsection (8) of this section.	

(8)	Water service/sanitary/storm sewer/rain drains:		
	(a)	Water service (first 100 feet or fraction thereof)	$47
	(b)	Water service (each additional 100 feet or portion thereof)	$36
	(c)	Building sewer (first 100 feet or fraction thereof)	$47
	(d)	Building sewer (each additional 100 feet or fraction thereof)	$36
	(e)	Building storm sewer or rain drain (first 100 feet or fraction thereof)	$36
	(f) Building storm sewer or rain drain (each additional 100 feet or fraction thereof)		$36
(9)	Miscellaneous:		
	(a)	Building storm sewer or rain drain (first 100 feet or fraction thereof)	$47
	(b)	Replacement water heater (includes electrical and/or mechanical heating fee for an in-kind replacement)	$15
	(c)	for replacement of existing water supply lines, drain lines or conductors within the building:	
		(i) Single-family residence:	$35 minimum first floor
		(ii) Commercial/industrial structure:	$35 for up to the first five fixture branches Each additional fixture branch shall be $8 (fixture branch shall include both hot and cold water)
	(d) Each solar unit		$42
	(e) Minimum fee		$35

(D) Special inspection.

(1) Prefabricated structural site inspection, the fee shall be 50 percent of applicable category (includes site development and connection of the prefabricated structure).

(2) Requested inspections that are not part of the regular inspection program will be made as soon as practical after payment to the building official of the fee specified below:

(a)	Single- and two-family dwellings (occupancy class R3)	$100
(b)	Apartment houses (occupancy class R1) (plus $7 for each dwelling unit in excess of three)	$160
(c)	Hotels (occupancy class R1) (plus $5 for each sleeping rooms in excess of five)	$160
(d)	All other occupancies one and two stories in height up to 10,000 square feet (plus $7 for each additional 1,000 square feet)	$160
(e)	All other occupancies three stories in height and above (plus $20 for each story in excess of three)	$160

(E) Plumbing permit fees shall be doubled if installation is commenced prior to issuance of a permit, except that this provision will not apply to proven emergency installations when a permit is obtained within 24 hours, excluding Saturdays, Sundays and holidays.

(F) A fee of $50 per hour, with a minimum charge of $30 for the first half hour or fraction thereof, shall be charged for reinspections for which no fee is specifically indicated.

(G) the minimum charge for any permit issued pursuant to this section shall be $29.

(H) A fee of $50 per hour or fraction thereof shall be charged for inspections outside of normal business hours.

(I) A fee of $50 per hour, with a minimum charge of $30 for the first half hour or fraction thereof, shall be charged for additional plan reviews required by changes, additions, or revisions to approved plans.

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-10
Est. Start Time: 10:55 AM
Date Submitted: 02/19/03

Requested Date:	Thursday, February 27, 2003		**Time Requested:** 10 minutes
Department:	Non-Departmental		**Division:** Chair's Office
Contact/s:	Tom Sponsler		
Phone:	(503) 988-3138	**Ext.:** 83138	**I/O Address:** 503/500
Presenters:	Thomas Sponsler		

Agenda Title: RESOLUTION Setting a Public Hearing and Directing Notice for Consideration of Boundaries for a People's Utility District (PUD)

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation**? Adopt Resolution setting public hearing on Thursday, May 15, 2003, at 10:00 a.m. and again at 6:00 p.m. that evening, to consider boundaries for a PUD.

2. **Please provide sufficient background information for the Board and the public to understand this issue.** On February 12 an electors' petition was filed with the County Elections Division for formation of a PUD for public ownership of PGE. Elections certified the petition on February 19, 2003. The Board must set a hearing date to consider PUD boundaries within ten days of petition certification under ORS 261.161. This Resolution sets the required hearing date. (see attached PUD Tentative Timeline)

3. **Explain the fiscal impact (current year and ongoing)**. N/A

   NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain: N/A

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain: N/A

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain: N/A

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** Complies with ORS 261.161.

5. **Explain any citizen and/or other government participation that has or will take place.** The County will notice and hold the public hearing as specified in the resolution.

Required Signatures:

Department/Agency Director: *Diane M. Linn* **Date: 2/19/2003**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

PEOPLE'S UTILITY DISTRICTS
ORS 261

a. Elections has 10 days to certify petition signatures (ORS 261.131)
Wednesday, February 19 (Kauffman)

b. Elections sends petition to Office of Energy (ORS 261.151)
Wednesday, February 19 (Kauffman)

c. Office holds hearing in proposed district not less than 30 days of receipt
By about Friday, March 21 (Energy Office)

d. Office prepares & publishes concise report within 60 days of receipt
By about Tuesday, April 22 (Energy Office)

e. Office mails copy to Board
By about Tuesday, April 22 (Energy Office)

f. Within 10 days of petition certification Board sets hearing date (ORS 261.161
BOARD MEETING OF THURSDAY, FEBRUARY 27

g. Hearing must be held not less than 60 or more than 90 days after certification
BOARD BEGINS HEARING THURSDAY, MAY 15, 2003
(NOT EARLIER THAN MONDAY, APRIL 21 AND
NOT LATER THAN TUESDAY MAY 20)

h. Hearing may be adjourned but must not exceed four weeks
BOARD HEARING ENDS NOT LATER THAN TUESDAY, JUNE 12

i. Within 10 days of last hearing date, Board must determine boundaries
BOARD DECISION BY THURSDAY, JUNE 19

j. Board must submit district formation and special levy to electors at "earliest practical date". The special election may be at biennial primary or general election (ORS 261.171)

k. Five PUD directors must be elected at same election as formation. All electors of proposed district have right to vote for five candidates. Five candidates receiving highest number of votes in area approved as district are elected. (ORS 261.190)

l. If district and levy approved by voters, Board must issue proclamation. (ORS 261.200)

m. Board pays election expenses from general fund. Board may require bond, cash deposit or other security deposit from chief petitioners as provided by ORS 198.775. General fund shall be reimbursed by assessment in territory where election held. (ORS 261.210)

n. If the Board refuses to hold a hearing or call an election, any elector may apply within 10 days after refusal to circuit court for a writ of mandamus (ORS 261.220)

TS 02/19/03

MULTNOMAH COUNTY OREGON

DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES
JOHN KAUFFMAN, DIRECTOR OF ELECTIONS
1040 SE MORRISON ST
PORTLAND, OREGON 97214
(503) 988-3720 Phone
(503) 988-3719 Fax
Web Site: www.mcelections.org

BOARD OF COUNTY COMMISSIONERS
DIANE LINN • CHAIR OF THE BOARD
MARIA ROJO de STEFFEY • DISTRICT 1 COMMISSIONER
SERENA CRUZ • DISTRICT 2 COMMISSIONER
LISA NAITO • DISTRICT 3 COMMISSIONER
LONNIE ROBERTS • DISTRICT 4 COMMISSIONER

February 19, 2003

TO: Board of County Commissioners
Diane Linn, Chair
Maria Rojo de Steffey, District 1
Serena Cruz, District 2
Lisa Naito, District 3
Lonnie Roberts, District 4

FROM: John Kauffman
Director of Elections

RE: Petition to Form a People's Utility District

On February 12, 2003, a petition was filed with the Elections Division for the formation of a Multnomah County People's Utility District. Through a random sample of the total signatures submitted, we have determined that the petition does contain the required 7,219 valid signatures.

Pursuant to ORS 261.131, I hereby certify the sufficiency of the attached petition.

MULTNOMAH COUNTY OREGON

DEPARTMENT OF BUSINESS AND COMMUNITY SERVICES
JOHN KAUFFMAN, DIRECTOR OF ELECTIONS
1040 SE MORRISON ST
PORTLAND, OREGON 97214
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LONNIE ROBERTS • DISTRICT 4 COMMISSIONER

February 20, 2003

TO: Deb Bogstad
Board Clerk

FROM: John Kauffman
Director of Elections

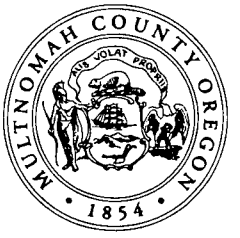
RE: Letter to State Office of Energy

On February 19, Pursuant to ORS 261.151, I sent a copy of the PUD petition to Michael Grainey, Director of Oregon's Office of Energy. Attached is a copy of the accompanying letter.

I also had a telephone conversation with the Assistant Director, Kathy Stuttaford, letting her know the material is on its way.

Please include this information in the Board packet. Thank you.

JOHN KAUFFMAN
Director of Elections



1040 S.E. Morrison St.
Portland, Oregon 97214-2495
503-988-3720
503-988-3719 FAX

February 19, 2003

Michael Grainey, Director
Office of Energy
625 Marion St. NE
Salem, OR 97301

Dear Mr. Grainey:

Pursuant to ORS 261.151, I am submitting to you a copy of a petition addressed to the Board of Multnomah County Commissioners requesting an election to form a People's Utility District. The petition was filed for signature verification with the Multnomah County Elections Division on February 12.

We have verified, through a random sample, that there is at least the required number of signatures from eligible Multnomah County voters.

If you have any questions, please do not hesitate to contact me at (503) 988-3720 or by email at john.kauffman@co.multnomah.or.us.

Sincerely yours,

John Kauffman
Director of Elections

ELECTORS' PETITION FOR FORMATION ELECTION FOR THE MULTNOMAH COUNTY PEOPLE'S UTILITY DISTRICT

Whereas, with the intent that agreements allowing existing municipal electric utilities to enlarge their service territories into areas annexed by those municipalities should be respected, the Chief Petitioners desire to form the Multnomah County People's Utility District and address this petition to the Multnomah County Commissioners:

The Chief Petitioners, electors residing in Multnomah County, desire to form a utility district and request that an election be held, pursuant to ORS 261.105, on the question of whether to form the MULTNOMAH COUNTY PEOPLES' UTILITY DISTRICT (P.U.D.) in the following territory:

All of Multnomah County, except the areas within the boundaries of these existing People's Utility Districts:

Interlachen People's Utility District

Rockwood Water People's Utility District

In the event the people within any one or more municipalities or separate parcels of territory within the proposed district vote against its formation, then that portion of the district which voted in favor of organization of a people's utility district may be organized into the district.

As required by ORS 261.113, the Chief Petitioners also propose the question of whether the P.U.D. shall be authorized to impose a one-time special levy of 3 mills per one thousand dollars of assessed valuation (equal to $3 per million dollars of assessed valuation) to finance an engineer's report and the election under ORS 261.355(1).

CHIEF PETITIONERS

Judith Barnes 1425 SE 37th Ave Portland OR 97214 503-232-1911	Frank Gearhart 2103 NE 24th Court Gresham, OR 97030 503-665-4777	Elizabeth Trojan 12320 SW 60th Ave Portland OR 97219 503-246-7850
Eric Dover 2425 NE 48tth Ave Portland OR 97213 503-249-3993	Joan Horton 0234 SW Curry Portland OR 97239 503-228-4468	Bill Michtom 1110 SW Clay St #33 Portland, OR 97201 503-916-4102
	Scott Forrester 2030 NW 7th Place Gresham OR 97030 503-492-1593	

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. _____

Setting a Public Hearing and Directing Notice for Consideration of Boundaries for a People's Utility District

The Multnomah County Board of Commissioners Finds:

a. On February 12, 2003, an electors' petition was filed with Multnomah County Elections Division (Elections) for formation of a People's Utility District (PUD) for public ownership of PGE.

b. The proposed PUD boundaries include all of Multnomah County, except for those areas already served by either the Rockwood or Interlachen PUDs.

c. On February 19, 2003, Elections certified the petition (ORS 261.131) and sent the petition to the Office of Energy (ORS 261.151).

d. The Board must set a hearing date on PUD boundaries within ten days of petition certification. (ORS 261.161).

e. The hearing may not exceed four weeks in total length. (ORS 261.161).

f. The Board must determine PUD boundaries within ten days of the last hearing date. (ORS 261.161).

The Multnomah County Board of Commissioners Resolves:

1. The Board will hold a hearing on Thursday, May 15, 2003 at **10:00 a.m.** and again at **6:00 p.m.** that evening, in the Multnomah Building, First Floor Commissioners Boardroom 100, 501 SE Hawthorne Boulevard, Portland, Oregon.

2. The purpose of the hearing is to consider the boundaries of the proposed PUD as described in the electors' petition.

3. The Chair is directed to provide notice of the hearing, stating the time and place of the meeting, together with the electors' petition without the attached signatures. The notice must be in compliance with the provisions of ORS 261.161.

ADOPTED this 27th day of February 2003

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

_____
Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
Thomas Sponsler, County Attorney

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 03-029

Setting a Public Hearing and Directing Notice for Consideration of Boundaries for a People's Utility District

The Multnomah County Board of Commissioners Finds:

a. On February 12, 2003, an electors' petition was filed with Multnomah County Elections Division (Elections) for formation of a People's Utility District (PUD) for public ownership of PGE.

b. The proposed PUD boundaries include all of Multnomah County, except for those areas already served by either the Rockwood or Interlachen PUDs.

c. On February 19, 2003, Elections certified the petition (ORS 261.131) and sent the petition to the Office of Energy (ORS 261.151).

d. The Board must set a hearing date on PUD boundaries within ten days of petition certification. (ORS 261.161).

e. The hearing may not exceed four weeks in total length. (ORS 261.161).

f. The Board must determine PUD boundaries within ten days of the last hearing date. (ORS 261.161).

The Multnomah County Board of Commissioners Resolves:

1. The Board will hold a hearing on **Thursday, May 15, 2003** at **10:00 a.m.** and again at **6:00 p.m.** that evening, in the Multnomah Building, First Floor Commissioners Boardroom 100, 501 SE Hawthorne Boulevard, Portland, Oregon.

2. The purpose of the hearing is to consider the boundaries of the proposed PUD as described in the electors' petition.

3. The Chair is directed to provide notice of the hearing, stating the time and place of the meeting, together with the electors' petition without the attached signatures. The notice must be in compliance with the provisions of ORS 261.161.

ADOPTED this 27th day of February 2003

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
Thomas Sponsler, County Attorney

AGENDA PLACEMENT REQUEST

BUD MOD #:

Board Clerk Use Only:

Meeting Date: February 27, 2003
Agenda Item #: R-11
Est. Start Time: 11:00 AM
Date Submitted: 02/10/03

Requested Date: February 27, 2003 **Time Requested:** 10 mins

Department: DCHS **Division:** MHAS

Contact/s: Paul DuCommun; Jean Bucciarelli

Phone: 503 988-3999 **Ext.:** 24148 (Paul) 26744 (Jean) **I/O Address:** 166/6th

Presenters: Paul DuCommun and Jean Bucciarelli

Agenda Title: 2003-05 Addictions Services Biennial Implementation Plan Update

NOTE: If Ordinance, Resolution, Order or Proclamation, provide exact title. For all other submissions, provide clearly written title.

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1. **What action are you requesting from the Board? What is the department/agency recommendation**? Review and approval of the 2003-05 Biennial Implementation Plan.

2. **Please provide sufficient background information for the Board and the public to understand this issue.** We are requesting an exception to the 3 week agenda placement rule so that this can be before the Board on February 27. The State DHS Office of Mental Health and Addictions Services requires that each County submit a Biennial Implementation Plan for publicly-funded alcohol and drug services for youth and adults. This plan is for the 2003-05 biennium. Included are summaries of Gambling Services and Prevention Services plans; per the State, the comprehensive plans for gambling and prevention are to be submitted separately.

3. **Explain the fiscal impact (current year and ongoing).** Most of the funding for youth and adult substance abuse treatment comes to DCHS/MHAS from the State. The State is reducing by 44 the number of Multnomah County adult residential beds it funds. The State also announced a cut of $125,000 (annualized $300,000) for this fiscal year In the A

& D 66 budget line. This line supports outpatient treatment for the "working poor." Except for these cuts, at this time funding is expected to remain at the 2001-03 level. Final amount of State funding will not be confirmed until the current Legislature acts.

NOTE: If a Budget Modification or a Contingency Request attach a Budget Modification Expense & Revenues Worksheet and/or a Budget Modification Personnel Worksheet.

If a budget modification, explain:

- **What revenue is being changed and why?**
- **What budgets are increased/decreased?**
- **What do the changes accomplish?**
- **Do any personnel actions result from this budget modification? Explain.**
- **Is the revenue one-time-only in nature?**
- **If a grant, what period does the grant cover?**
- **When the grant expires, what are funding plans?**

NOTE: Attach Bud Mod spreadsheet (FORM FROM BUDGET)

If a contingency request, explain:

- **Why was the expenditure not included in the annual budget process?**
- **What efforts have been made to identify funds from other sources within the Department/Agency to cover this expenditure?**
- **Why are no other department/agency fund sources available?**
- **Describe any new revenue this expenditure will produce, any cost savings that will result, and any anticipated payback to the contingency account.**
- **Has this request been made before? When? What was the outcome?**

If grant application/notice of intent, explain:

- **Who is the granting agency?**
- **Specify grant requirements and goals.**
- **Explain grant funding detail – is this a one time only or long term commitment?**
- **What are the estimated filing timelines?**
- **If a grant, what period doest the grant cover?**
- **When the grant expires, what are funding plans?**
- **How will the county indirect and departmental overhead costs be covered?**

4. **Explain any legal and/or policy issues.** NA

5. **Explain any citizen and/or other government participation that has or will take place.** The Child and Adolescent Mental Health and Substance Abuse (CAMHSA) and the Adult Mental Health and Substance Abuse (AMHSA), the Local Alcohol and Drug Planning Committees, reviewed and commented on the plan. Verbal and written plan updates were shared at two general meetings and two executive meetings of AMHSA and of CAMHSA. Both groups were encouraged to comment via e-mail or phone to the two lead staff working on the plan. AMHSA members commented that the plan portrayed an

accurate picture of both the accomplishments during 2001-03 and, in light of budget crises at both the County and the State, the enormous challenges facing adult A&D treatment in the 2003-05 biennium. AMHSA pointed out one inaccuracy in the draft plan and, as a result, a correction was made. CAMHSA also endorsed the plan and was pleased that youth treatment is at this time not facing as grim a funding future as the adult system. CAMHSA agreed that working closely with Multnomah Embrace and moving towards a strengths-based system for youth is a good approach. The Commission on Children, Families and Community is also requested to review this plan update. The Chair of the Commission reviewed the plan. The plan was also shared with the Portland Area Alcohol and Drug Managers Association (PAADMA). Review by PAADMA is not required, but we believed that it was in our mutual interest to have the subcontractors do so. In January the Biennial Implementation Plan Update was on the January PAADMA agenda and the final narrative was shared with PAADMA at their February 6 meeting. PAADMA members commented that the plan was accurate and well-written.

Required Signatures:

Department/Agency Director: *Patricia K. Pate* **Date: 02/14/03**

Budget Analyst

By: **Date:**

Dept/Countywide HR

By: **Date:**

Multnomah County

A&D Biennial Implementation Plan

2003-2005

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County Contact Information Form

1. County Contact Information

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City, State, Zip: Portland, OR 97204

Name and title of person(s) authorized to represent the County in any negotiations and sign any Agreement:

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Name _____ Title _____

2. Treatment Services Contact Information

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3. Prevention Services Contact Information

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Attachment B: SB 914 Plan Narrative

Attachment 1: Service Element/Treatment Subcontracts
Attachment 2: Board of County Commissioners Approval
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Attachment 4: Commission on Children and Families Review
Attachment 5: Status of Open Competitive Bids
Attachment 6: County Funds Maintenance of Effort Assurance

Multnomah County
Department of County Human Services
Mental Health and Addiction Services Division
ALCOHOL AND DRUG BIENNIAL PLAN UPDATE
FY 2003-2005

Executive Summary

The 2003-05 A&D Biennial Implementation Plan Update is a product developed in the most challenging times. Estimates of revenue support for the publicly funded alcohol and drug treatment programs in Multnomah County continue to decline. This document describes the difficulties and challenges of devising strategies to protect and preserve the progress we have made in the County-supported alcohol and drug abuse prevention and treatment service delivery systems. Rising to this challenge will be our continuing top priority. Revenues will one day revive and at that time we want to be ready to move forward without having to regain lost ground. Sustaining our alcohol and drug abuse service delivery system is not just our top priority for this Update; it appears likely to be our constant focus for the foreseeable future.

The highlights of this Plan are:

- Serious budget crises at the State and County levels necessitate that the primary focus be on maintaining stability in our Alcohol & Drug youth and adult treatment systems. This is not a time to add new priorities that are dependent on funding. During FY 02-03:
  - Residential Drug Free cut 44 beds effective February 1, 2003
  - A&D 66 Funds cut $300,000 (annualized) effective February 1, 2003
  - DUII Indigent Drivers Funding was eliminated for the period between March 1, 2003 and June 30, 2003. Funds for indigent DUII clients will be added to the A&D 66 line beginning in July 2003.
  - Oregon Health Plan standard coverage eliminates mental health and chemical dependency coverage for most single adults.
  - County and State fund reductions have had a serious impact on the staffing levels in the A&D Planning and Development areas and in the County's directly operated A&D Central Intake Services (CIS provides assessment, referral, consultation, and case management services for DUII and other mandated clients). Dedicated program planning and development staffing is now reduced to 2.0 FTE. Most of the duties of the former Office of Addictions Services (OAS) manager and adult and youth Program Development Specialists (PDSs) have been absorbed by the A&D Program Administrator for Central Intake Services and the Sr. PDS from the former OAS. Other responsibilities such as procurement, budget, and Q/A have been reallocated to other appropriate units within the Division. Ensuring the quality of our efforts will be an ongoing challenge.

- The Department of County Human Services/Mental Health and Addictions Services Division will appropriately and aggressively pursue Medicaid match funding.
  - The County's A&D Central Intake Services, in its assessment, referral, and consultation services to criminal justice clients, is currently generating Medicaid match through partnership with the County Health Department's "Federally Qualified Health Center" grant.

- These funds will be targeted on maintaining services to Court mandated clients.

- Accomplishments made in the 2001-03 biennium are many and are described in the 2003-05 Plan. Maintaining system stability in the coming biennium does not mean adhering to the status quo. We will strive and expect to continue to make quality improvements.

- The County has made significant strides toward emphasizing family focused services.

- The County also has been reasonably effective in its efforts to emphasize the development of specialized services for the African-American, Latino, and Russian speaking communities. Sustaining progress made in these areas will continue to be a priority for the County.

- Implementation of best practices in youth and adult assessment and treatment services continues to be a major goal. Collaboration and coordination within the County, notably between the Departments of County Human Services and Community Justice, will continue. The County will continue to support and encourage collaboration and coordination among the community-based treatment providers.
  - The partnerships established between County departments and the alcohol and drug treatment provider community are particularly valued. Built on a foundation of open communication and mutual respect, they have served us well in these difficult times of dwindling resources to develop effective strategies to continue to make appropriate services available to those most in need throughout the A&D system of care.
  - The DUII service delivery system is a strong example of how a partnership of the Court, the County, and the DUII treatment providers can build a highly effective service delivery system that demonstrates its effectiveness in straightforward measures of client outcome. DUII clients successfully complete treatment at a much higher rate (65-79%) than any other group of outpatient treatment clients (average is 43-46 % for this population).

- Persons served through the County's publicly funded A&D services are lower income men, women, and youth. Persons in treatment have a diagnosis of substance abuse or dependence. The continuum of care includes intervention, outpatient, detox, residential, and aftercare. Many of the persons served have criminal justice involvement. Many are dually diagnosed and need integrated mental health services as well as A&D.

- Alcohol and drug abuse/dependence is associated with increased high-risk behaviors among youth and adults. Alcohol and drug problems often are directly linked with legal, educational, employment, and serious family difficulties. The dilatory effects of sustained substance abuse on individual's physical, mental, and emotional health are well documented. The impact on young people's bodies and emotional maturity are especially acute.

MULTNOMAH COUNTY
A&D BIENNIAL IMPLEMENTATION PLAN: 2003-2005

Overview

Introduction
In advance of each fiscal biennium, the State's Department of Human Services, through the Office of Mental Health and Addictions Services (OMHAS), requires counties to submit a plan specifying how State controlled funds allocated to counties through Intergovernmental Agreement (IGA) will be used at the local level. This document is written in response to this requirement and in conformance with the "County Implementation Plan Guidelines" issued by OMHAS.

Consistent with the OMHAS guidelines, this Plan represents an update to the 2001-2003 Implementation Plan. As such, its scope will be limited to the following areas:

- Review of accomplishments in addressing 2001-2003 Biennial Plan priorities and formulation of priorities for the Biennial Plan update.
- Updated system and service development priorities
- Allocation plan for OMHAS funding
- Overview of strategic planning efforts anticipated for the 2003-05 biennium

Planning Process
Within Multnomah County, the role of Local Alcohol and Drug Planning Committee is carried out by the Adult Mental Health and Substance Abuse Advisory Committee (AMHSA) and the Child and Adolescent Mental Health and Substance Abuse Advisory Committee (CAMHSA). During the 1999-01 biennium, guidelines were approved by these two groups specifying the roles of the LADPC and the Department of County Human Services (DCHS), Mental Health and Addiction Services Division (MHASD), in carrying out local A&D planning. Consistent with those guidelines, the following process was used in constructing this Plan.

1. Planning Process Design: Following the issuance of the Biennial Plan Guidelines by OMHAS, the DCHS Mental Health and Addiction Services Division developed and initiated a planning process. In mid-October, the management team of MHASD formed a work group to develop the Biennial Plan Update for 2003-2005. This group met bi-weekly, reviewing progress and coordinating the necessary reviews by our advisory groups. The final review and approval is made by CAMHSA and AMHSA.

2. Development of the plan: The reports on accomplishments and future plans are organized around adult and youth treatment services. The plan for gambling treatment services, per agreement with the State, will be submitted separately from this update. The prevention portion of the Multnomah County 2003-2005 Biennial Implementation Plan will be developed according to the Prevention Funding Plan guidelines issued on December 20, 2002. The Prevention Funding Plan will be a separate document from the Treatment Plan Update and is due February 28, 2003.

3. A major factor affecting both our planning efforts and our program administration efforts has been the worsening budget crisis for the State and County governments. Before the end of the last fiscal year, decreased revenue projections for both the State and County caused a major reduction in workforce and a reorganization of County A&D administrative staff. Since June 2002, Planning and Development staff of the former Office of Addictions Services has lost its

manager and the Youth and Adult Program Development Specialists. Program activities and responsibilities related to A&D planning and administration were given as additional duties to the A&D Program Administrator, the A&D Senior Program Development Specialist, and the A&D Clinical Supervisor, with limited assistance from other MHASD units. For example, quality assurance functions for A&D and mental health are now in one unit that covers all of MHASD.

4. Finally, as stated in the last plan update, maintaining the stability of our current treatment delivery system is our overarching priority. To that end, we are working closely with other County departments, local providers, and client advocates to facilitate a dialogue around system stability and system development strategies. We recognize the challenge this will be in the current budgetary circumstances. Levels of both County and State General Fund revenues for the next fiscal years remain unknown. Planning is, and will continue to be, challenged by these uncertain budgetary times.

5. Plan Review and Comment: Starting in early November 2002, the Mental Health and Addictions Services Division (MHASD) initiated the planning review process. MHASD presented the draft priorities for review and comment at separate meetings with the local A&D providers association and to both MHASD advisory committees.

6. Plan Approval: During the first two weeks of February 2003, the Plan was reviewed and approved by the Director of the Multnomah Commission on Children, Families, and Community and the chairs of AMHSA and CAMHSA. Final approval of the Plan is anticipated from the Multnomah County Board of Commissioners in early February 2003.

Accomplishments 2001-2003

Since this Plan represents an update from the previous Biennial Implementation Plan, it is appropriate to recap the priorities from the 2001-2003 plan with corresponding accomplishments:

System Stabilization: As we enter the 2003-2005 biennium, our A&D treatment system remains under-funded.

With the recent bad economic times, funding resources continue to diminish. The reduced level to which A&D treatment resources will eventually reach remains an elusive figure. However, the recent Oregon Health Plan eligibility restrictions authorized by the Legislative "E" Board will virtually eliminate outpatient treatment support for single adults. Additionally, residential treatment services in Multnomah County are being reduced by 44 beds. By necessity, "maintaining system stability" must be our top priority for the new biennium. It is also likely to be our greatest challenge.

The following describes initiatives that were implemented in the 2001-2003 biennium to enhance system stability.

During the 1999-2001 biennium, the State collaborated with counties and providers to establish a new residential bed rate that for many providers almost tripled the per-day funding rate they had been receiving. This new funding rate was implemented during the last quarter of the 1999/2000 fiscal year. As will be described later in this Plan, the residential rate increase for youth residential beds and for women with children was marginal to the true cost. Therefore, further work in establishing a reasonable rate for these specialized Level III services is still needed.

As a "Track B County," Multnomah County entered the current biennium with greater discretion in how we manage the funding sent to us by the State Office of Mental Health and Addiction Services.

Using this new flexibility, MHASD removed some of the income restrictions on non-Oregon Health Plan (OHP) outpatient treatment slots. This has the potential to allow providers to capture additional third party funding sources as "match" to offset the inadequate subcontract rate they receive for this service element.

Family Support and Family Recovery: A high priority for new service funding in 01-03 was the expansion of family services across the continuum of prevention and treatment services. This reflected a desire to move Multnomah County's A&D system toward more of an emphasis on family support and family recovery. Specific efforts that were made to address this priority include:

- Continued support of the Family Advocacy Network (FAN) which is made up of representatives of the A&D provider community, the Department of County Human Services, SCF, and AFS and meets monthly to coordinate the movement of families into drug free housing.

- Continued support of the Family Involvement Team (FIT) Project, which provides expeditious crisis stabilization, treatment referral, intensive case management, and enhanced family counseling services to SCF families who are at risk of losing their children due to A&D related abuse and neglect.

- The State-funded Multnomah County Latino Program has a strong family emphasis. The CSAT Targeted Capacity Enhancement Grant supported case management services for Latino youth and their families. Home visits were a hallmark of Latino Program case management. With the ending of the CSAT grant, limited support for case management services continues to be available through the Latino Program funding reallocations.

Minority Services: Enhanced services to minorities has been a high priority area over the last two years.

- Support continues for culturally specific residential and intensive outpatient treatment program for African American adolescent males. In 2002, operation of this program, Leo Ni Leo, moved from CCMH to Tualatin Valley Centers.

- The Latino Program provides clinical assessments, outpatient treatment, and case management to Latino youth. In addition, the Latino Program develops and offers training and mentoring to enhance the ability of the main youth treatment agencies to serve Latino youth and their families.

Dual Diagnosis Services: The expansion of services to treat individuals with coexisting A&D and mental health problems was a top priority. The following activities and initiatives were implemented during the current biennium:

- Enhanced funding continues to Hooper Detox Center, the County's primary detox provider, to increase psychiatric and case management linkage services for clients with severe mental health disorders.

- Enhanced dual diagnosis programs are now operational in many of the A&D provider agencies. Please see the "Youth section" for additional information. In March 2002, DePaul Treatment Centers became qualified to provide outpatient mental health services.

- Dual diagnosis assessment, referral, treatment, and extensive case management services were established at several of the County's School Based Health Center sites and within Juvenile Justice. Please see the "Youth section" for additional information.

Collaboration and Integration

The development and implementation of strategies for improved integrated system planning, development, and management has been a priority over the current biennium. Accomplishments in these two areas include:

System Planning and Monitoring Infrastructure:

- MHASD continues the partnership established with the County's adult and youth Local Alcohol and Drug Advisory Committees (LADPCs) to implement the structure within which A&D system planning and performance monitoring occurs and define the role of each in establishing A&D system priorities.

- A collaborative approach to planning A&D treatment services procurement was begun in the fall of 2002 by a procurement planning team made up of program planners, contracting staff, and managers from the Department of Community Justice and the Department of County Human Services. Because of funding uncertainties, the A&D procurement process has been delayed for one year. The procurement development process is now scheduled for the winter of 2003-2004, for services to be contracted beginning in FY 2004-2005. Prior to the issuance of new contracts July 1, 2004 we will make a decision about issuing separate adult treatment procurements or combining them into a joint procurement with the Department of Community Justice.

Intersystem Collaboration

The Family Involvement Team (FIT) mentioned earlier in this Plan grew from a collaboration involving the Multnomah County Family Dependency Court, DCJ (Juvenile Justice), DHS Community Human Services–Child Welfare (formerly SCF) and Self-Sufficiency (formerly AFS), the Multnomah County Defense Bar, A&D treatment providers, and the Department of Community and Family Services (now Department of County Human Services). These entities came together to look specifically at the potential negative impacts that the Federal Adoptions and Safe Families Act (ASFA) could have on A&D problem families coming into the State DHS Child Welfare system due to child abuse and neglect. We recognized that this group was at very high risk of losing custody of their children if barriers were not removed for their expedited involvement in and successful completion of A&D treatment. Out of this effort, a service model concept was developed that would remove treatment barriers and funding was obtained to implement the project.

Drug Courts: Enhancement of the relationship between our provider system and the courts has been a primary focus of MHASD. During the course of the current biennium we have engaged in three major efforts in this area. One is the Family Involvement Team initiative described above. The other two involve the development of a Juvenile Treatment Court and an Adult Drug Court for convicted offenders. The Juvenile Treatment Court was implemented in January 2001. The new Adult Court (now called "Clean Court") was implemented in early 2002. The primary agency lead for both these initiatives has been the County's Department of Community Justice. As a collaborator in these efforts, MHASD has been responsible for developing the provider community interface with the courts. This has provided an excellent opportunity to promote a dialogue between the courts and our provider community around shared expectations and the development of strategies to promote better coordination and shared case decisions.

Multnomah County Department of Community Justice Residential Funding: Early in 2002, the Multnomah County Department of Community Justice (DCJ), Multnomah County Department of County Human Services (DCHS), and the State Office of Mental Health & Addiction Services (OMHAS) met to discuss funding opportunities to enhance Multnomah County's local capacity to serve a growing population of clients with drug and alcohol problems in the criminal justice system. The main focus of the discussions was how to take Multnomah County General fund (CGF) dollars currently allocated for residential treatment beds and match them with Federal funds. This strategy would expand the system's capacity to serve adults in the community corrections system. Starting July 1, 2002, OMHAS leveraged CGF to create 140 adult alcohol and drug residential treatment beds to serve referrals from DCJ. This enabled DCJ to increase funding to their residential treatment providers and increase the number of available beds for community correction clients. This funding arrangement will continue during the 2003-2005 Biennium.

Caring Communities: MHASD has worked closely with the new County Office of School and Community Partnerships (OSCP). The OSCP staff now have responsibility for overseeing the County's Caring Communities Initiative. Through this collaboration we have developed and implemented activities to promote A&D prevention best practice strategies across the various Caring Communities. For example, during 2001-2002 the Caring Communities Initiative took on a leadership role in implementing DHS Systems Integration Teams, comprised of partner agencies and stakeholders, to integrate the delivery of services to high-need client seeking multiple services. These efforts are described in the 2001-2002 Multnomah County Prevention Annual Report.

SB 555 Coordinated Plan

- In August 2000, MHASD facilitated an initial meeting involving the Department of Community Justice and the Commission on Children, Families, and Community to look at how the County might collaborate in the development of a comprehensive countywide Youth and Family Service Plan for Multnomah County as required under SB 555. Out of this meeting, an initial collaborative framework was developed.

- MHASD participated in collaborative planning during the development of the SB 555 comprehensive coordinated plan for children ages 0-18 and their families. DCHS, along with the Juvenile Justice Division, the Health Department, and the Commission on Children, Families, & Community, completed Phase II of SB 555 planning and submitted the *Multnomah County Coordinated Plan for Children, Families, and Community* to the State in January 2002. In addition, the A&D services team developed two logic models and data collection plans during the remainder of 2002. The second required A&D data collection plan was submitted on January 31, 2003.

  In the County Coordinated Plan, DCHS addressed three High Level Outcomes (HLOs) with priorities and strategies aimed at reducing substance abuse:

  HLO #1: Reduce Adult Substance Abuse
  HLO #10: Decrease Student Use of Alcohol
  HLO #11: Decrease Student Use of Illicit Drugs

The Clean Court collaboration with DCJ was chosen to be the subject of the County's logic model addressing adult substance abuse (High Level Outcome #1). The Family Involvement Team (FIT) was selected for the A&D "youth" logic model (High Level Outcomes 10 & 11), as an example of a collaborative family-strengthening strategy.

Decision Summary

The specific service and system priorities for our adult and youth treatment systems appear below. The prevention and gambling sections of this Biennial Plan Update will be submitted separately. The following is an overview of common assumptions and goals, which provide the parameters for this Plan and the predominant system development themes which emerge through our plan development process.

Assumptions

This Plan was created with certain basic assumptions in mind:

- Maintain current system of services and funding priorities: Current funding supports a comprehensive array of treatment and prevention services targeting the County's low income population and a variety of high risk groups including African Americans, Hispanics, Native Americans, women with small children, and offenders. For the most part our current service system is over-utilized and under-funded. As stated previously, we are not proposing any changes in how current funds are allocated. However, fund reallocation will most likely be necessary when new contracts are issued for July '04. The mandated cut of 44 residential beds in Multnomah County will be a proportionate cut and thus will impact all residential providers.

- As stated above, the severe eligibility restrictions promulgated by the "E" Board will have implications for the A&D service delivery continuum that at this time are difficult to predict with enough accuracy that would allow some realistic description of the potential impact to service delivery. It is likely to have a huge impact on provider agencies and clients, especially those clients receiving methadone maintenance services.

- During the coming biennium, we will be actively looking at ways of using available funds to leverage other funds such as Federal Medicaid in order to enhance our service system. This appears to be one of the most promising approaches to generating new revenue that can then be used to offset reductions in State funding. The one caveat in this strategy is that for now it is extremely hard to predict how fruitful our efforts will actually be. With time we will know.

- The County Coordinated Plan (SB 555) listed priorities and strategies for the A&D treatment and prevention systems, indicating those priorities and strategies that will require additional funding for implementation. Current and anticipated budget cuts, undoubtedly, will further restrict the number of strategies that can be implemented.

- This plan does not identify new priorities for the adult or youth treatment systems. Existing budgetary constraints preclude this. Furthermore, we anticipate that funding resources will be reduced beyond their current level in the 2003 Legislative session.

Overall System Goals

The following are the system goals which helped guide the development of the last Biennial Plan Update. They remain applicable to the current update.

- Identify and support Best Practices within every service area, including Administration.
- Increase service collaboration and coordination.
- Sustain access to services for underserved populations.
- Enhance treatment completion and ongoing recovery outcomes.

Service and System Priorities

The foregoing still captures the essence of our future hopes for the A&D system over the next biennium. The system goals described above still drive our planning and development efforts. However, as we have previously stated, we have only one system priority for the upcoming biennium. That priority will be to do as much as we possibly can to sustain the strengthening of the service delivery system that we have been able to accomplish. The challenge will be to hold on to this while funding cuts continue to assault the system. We remain optimistic, at least mildly so, that we will be able to do what we need to do to sustain the integrity of the A&D treatment delivery system as a whole.

System Stabilization Priorities

The collaborative relationships that exist with our County and community partners will be hard tested in the coming months. Maintaining the stability of our system in a way that underpins access to and delivery of services will be our constant endeavor. As noted above, this is our only System Stabilization Priority for the new biennium.

A vigilant watch of the operational and financial integrity of our County supported alcohol and drug treatment system is already underway. With known funding cuts and unknown future cuts, we have already experienced potential crises. The 44 bed reduction in residential services had the clear potential to put some providers out of business. We narrowly avoided this. Fortunately, we were able to draw on the strength of our collaborative partnership among the provider system and our other County agency partners to devise a way to proportionately take these reductions so that no single provider's operation was put in serious jeopardy. However, this kind of challenge may come much more frequently in the coming months.

Youth Treatment Services

Alcohol and other drug use by youth may have immediate as well as long-term negative health and social impact. The adolescent years are normally years of accelerated physical, emotional, and mental growth.

- Alcohol and drug use is often physically harmful to adults, but it can more quickly cause damage to youths' brains, livers, hearts, and lungs because those organs are not fully developed.

- Alcohol and drug use tends to stunt emotional growth at the very time that youth are transitioning from childhood to adulthood.

- Most youth needing alcohol/drug treatment also require some level of mental healthcare. The two disciplines must work hand-in-hand if treatment of persons with co-occurring disorders is to be effective.

- Alcohol and drug use is involved in 75% of teen pregnancies, 40% of teen accidents (mostly vehicular) resulting in death, 30% of teen murders, and in many other criminal offenses. Alcohol and drug use is often a significant factor in poor school performance and in dropping out of school.

- Alcohol and drug use is often a significant factor in poor school performance and in dropping out of school. Oregon's 2001-02 dropout rates were recently released. All ethnic groups show a decline in dropout rate over the past four years, and overall Oregon's rate of 4.9% is about the national average. However, for certain groups, the rate is far higher than 4.9%. Statewide, Latinos have a dropout rate of 10.4%; African-Americans, 9.5%; Native Americans, 6.9%; whites, 4%; and Asian-Americans, 3.6%.

- Over half of the youth diagnosed with substance abuse or dependence also need emotional or mental healthcare. Attention-Deficit Hyperactive Disorder and depression are the two most common co-occurring disorders among A&D affected youth.

- The two drugs used most frequently by youth in Multnomah County, as well as by youth nationwide, are alcohol and marijuana. Multnomah County youth treatment providers report that in recent times a growing number of youth are using methamphetamine.

As stated in the overview, in light of the gloomy fiscal picture, it makes no sense to add priorities that are reliant upon new funding. However, this does not suggest that in 2003-05 the only goal is a maintenance of the status quo. We will continue to build upon initiatives already in place and we will look for improvements that can be made without new funding. Further, we will aggressively pursue Medicaid match funding wherever possible. Finally, the new Department Director, Patricia Pate, has experience and keen interest in pursuing grant opportunities that would enable DCHS to make sustainable, systemic, and beneficial changes in client services.

As with adults, the system of care for youth with a substance abuse or dependency diagnosis is served by a continuum of care: Outpatient, Intensive Outpatient, Residential, and Aftercare make up the continuum which the Department of County Human Services (DCHS) oversees.
Funding for DCHS youth A&D treatment outpatient slots and residential beds comes from both the State and County General funds. Coverage for many low-income youth needing treatment will continue through the Oregon Health Plan. The State's Client Process Monitoring System (CPMS)

provides these figures for Multnomah County youth (ages 12-17) served by the A&D system in 2001-02:

- Clinical assessments completed by County staff at Juvenile Justice and School-Based Health Centers 372
- Residential treatment episodes 129
- Outpatient treatment episodes 1,024

Successful completion of treatment is defined as completing at least two-thirds of the individual's treatment plan goals and having 30 days total abstinence from alcohol and drugs. CPMS records show that in FY 01-02, 52% of youth who enrolled in residential treatment successfully completed. The rate of successful completions of youth outpatient is 35%. These figures are comparable to national figures for youth successfully completing treatment.

In addition to services contracted by DCHS/MHASD, the Department of Community Justice contracts with Morrison Center/Breakthrough for secure treatment at Juvenile Detention. The Oregon Youth Authority has residential and other services for youth under its jurisdiction, although OYA programs are being greatly reduced because of budget cuts.

Progress on 2001-03 Biennial Implementation Plan System Development Priorities

The 2001-03 Plan listed these system development priorities:

- Promote Family Recovery models across the continuum of treatment services.
- Increase sibling and cohort services within the provider programs.
- Move to a strengths-based system.
- Improve collaboration between the Multnomah County alcohol and drug treatment system and other service systems.
- Special Populations:
  - Develop culturally specific service capacity for Native American and underserved Asian youth.
  - Identify and remove barriers to accessibility for current slots designated for African-American, Latino, and Asian clients.
  - Expand gender-specific services.
  - Prioritize importance of an access to treatment for pre-teens.
  - Establish specialized intervention and treatment programs for homeless youth.
  - Increase specialized services for pregnant and parenting teens.

Significant progress on most of these priorities has been made during the current biennium. Our intent is to insure the integrity of strong programs that have been built and, to the extent possible, to continue to make improvements.

CSAT Targeted Capacity Expansion(TCE) grant. This federally funded SAMHSA grant aimed to establish sustainable systemic change, with a specific focus on services to youth with co-occurring disorders. Two Mental Health Consultants worked on Juvenile Justice and three Alcohol and Drug Specialists worked at six high school School-Based Health Centers (SBHC). The five DCHS outstationed employees administered clinical assessments, made referrals or recommendations to community-based treatment providers, did basic case management, and provided consultation to school and Juvenile Justice staffs. During the three years the grant was operational, 1,045 youth were given clinical assessments by CSAT staff, and many of those enrolled in community-based treatment. In the final year of the grant (2001-02), the SBHC A&D staff offered on-site outpatient

groups and individual therapy. This method of having the CSAT SBHC-based staff provide this service proved much more successful than having subcontractors come to the schools.

The CSAT TCE grant provided enhancement funding to DePaul, Cascadia, and Tualatin Valley Centers (TVC). Cascadia and TVC each received $100,000 over a three year period to better integrate mental health and A&D services, administratively as well as clinically. DePaul did not become an approved provider of outpatient mental health services until March 2002, and so didn't receive any of this particular enhancement funding. However, DePaul did participate in the integrated dual diagnosis enhancement meetings. Another enhancement funded case management services for CSAT TCE assessed youth referred to any of our subcontractors. The goal of this was to improve accessibility and to increase enrollment and successful completion rates. The Latino Program was added to the programs eligible to bill CSAT for case management. Most of the billed case management was for liaison services with others, primarily Juvenile Justice Court Counselors, but also State Office of Services to Children and Families, public schools, and employers. The Latino Program was especially active in making home visits.

During the last year of the grant, the Family Support Services enhancement was established. A very attractive and informative brochure was developed and printed and proved to be an excellent outreach tool. The brochure listed the name and phone number of a clinician at each of the three subcontracted agencies involved with the grant. Adults with concerns about their teens' alcohol and drug use called and received free consultation. The brochure also offered family support groups. Each of the agencies offered one month-long series of weekly meetings. One of the groups was devoted to Vietnamese parents. Each of the group sessions was very well received and the same people returned for all four 90 minute sessions.

Refer to the Overview for additional programs aimed at strengthening families. Unfortunately, ongoing funding doesn't match the keen interest on the part of youth treatment agencies in the community.

Latino Program. This State-funded program has two primary goals: to provide culturally competent assessments and outpatient treatment to Latino and Latina youth, and to build up the ability and capacity of the established youth service providers to better serve Latino youth. Just entering its third year, the Latino Program is making a good difference with our largest underserved youth population. Because of the very high demand for clinical services, Morrison Center, which administers the grant, just added .5 FTE to work with the 1.0 FTE who's been with the Latino Program from the start.

Leo Ni Leo. This State-funded program is for African-American adolescent males. Several changes were made to improve the ability of Leo Ni Leo to meet the needs of the youth. Multnomah County MHASD gave technical assistance over a period of several months to help ensure the program's success. In March 2002, Tualatin Valley Centers replaced the Center for Community Mental Health as the lead agency. Kojo, operated by Janus Youth Programs, replaced Out Front House as the residential facility. Proctor homes were added to the range of services available. Proctor care is a new aspect of Leo Ni Leo. DCHS contracts for proctor care housing for 5 Leo Ni Leo clients. Both Kojo and the proctor homes provide A&D free transitional housing.

Native American Rehabilitation Association Youth Outpatient. In addition to having culturally appropriate services for African-American males and Latino Youth, Multnomah County now has specialized services for Native American Youth. Early in the 2001-03 NARA established a program for adolescents of Native American heritage. The NARA youth program effectively incorporates cultural roots, such as the Talking Circle, into its treatment. The unmet needs of this

group are large as they have a very high school dropout rate, incidence of alcohol and drug use and abuse, and suicide rate. It is apparent those Native American youth with substance abuse problems are best served by those with whom they identify and through whom they can connect (or reconnect) with strong cultural values.

There are two programs for youth that are not managed by DCHS/MHASD, but with which we maintain awareness and collaboration:

RAD Unit. The A&D unit at the Juvenile Justice Center was opened in March 2001. This is the only A&D program for which Juvenile Justice subcontracts. (Juvenile Justice itself operates several programs with a strong A&D component, e.g., the Multi-Systemic Therapy and the Assessment, Intervention, and Treatment Program .) The challenges of the first year have been met through the joint efforts of Morrison Center and Juvenile Justice. The RAD (Residential Alcohol & Drug) Unit now goes beyond what it was intended to do. A majority of the youth have co-occurring disorders so it is beneficial that most of the RAD employees are either Mental Health Professionals (MHPs) or Mental Health Assistants (MHAs). Youth may stay in the RAD Unit for up to six months. The average stay is about 4 ½ months followed by 3 months of continuing care from Morrison Center following discharge. Clients are linked into needed ongoing services during this three month period of post-RAD care.

The RAD Unit is an excellent example of using Federal match money, as 60% of RAD's funding comes via Federal Behavioral Rehabilitation Services and 40% from the Department of Community Justice. The unit has 15 beds, five of which are held in reserve for girls. Recently nearly half of the youth at RAD are females. Gender-specific services are provided, including anger management and relationships.

Homeless Youth Consortium & Metamorphosis. Alcohol and drug use is a serious problem for a number of homeless youth, but 'typical' treatment programs are not designed to meet the needs of these youth. The result is that few homeless youth have entered and stayed in our treatment system. Another challenge is the obvious need for alcohol and drug free transitional housing for homeless youth. In the 2001-03 period, a consortium of providers has made significant and coordinated progress in both areas.

Services to homeless youth are no longer in the Department of County Human Services. The Office of School and Community Partnerships has been working for a number of months on an RFP for homeless youth services. A representative from the Office of Addictions Services is on the Homeless Youth Oversight Committee. Services to homeless youth are directed at two age groups: 12 through 17 and 18 through 21. The RFP is now expected to go out in late January 24, 2003 with responses due in March. The awards will be announced no later than May 8 and contracts will be implemented July 1, 2003.

A Robert Wood Johnson grant to Janus Youth Programs in mid-2001 led to the establishment of a project providing specialized A&D and Mental Health resources within the Homeless Youth Continuum. Called Metamorphosis, this program had its official kick-off May 29, 2002. Several youth-serving agencies partner with Janus Youth on this endeavor. DePaul Treatment Centers is the lead alcohol and drug partner. One of the early results of Metamorphosis is a shift in street culture. The shift is from perceiving alcohol and drug use as "cool" to seeing recovery as an attractive and attainable goal. A significant cause for this evolution is the outreach of youth Recovery Transition Advocates (RTAs). At this time there are six RTAs working among homeless youth.

Child and Adolescent Mental Health Substance Abuse (CAMHSA) Advisory Group. CAMHSA is the Mental Health Addictions Services Division's recognized advisory body on children and youth mental health and substance abuse issues. Traditionally, CAMHSA and AMHSA (the advisory body responsible for adult matters having to do with mental health and substance abuse) have focused very heavily on mental health and devoted limited attention to alcohol and drug. This is changing. Without taking away anything from the importance of mental health, there is growing recognition that attention to alcohol and drug matters is also necessary. Recently, CAMHSA heard a presentation of the draft School-Aged Services Policy Framework. Several members expressed concern that the framework completely ignored alcohol and drug services. "Addictions Services" has been added to the first recommendation of the School-Aged Policy Recommendations. That recommendation now reads "Mental Health Services, Addictions Services, and family case management..."

Quality Assurance and Improvements: 2003-05

The 2003-05 Biennial Implementation Plan for youth doesn't add new priorities but instead proposes to build upon that which now exists or is recognized as desirable and achievable. There are two main avenues for making changes during the 2003-05 biennium:

- Procurement process leading to new contracts. In the fall of 2002, the procurement process was initiated with the intent of issuing new contracts July 1, 2003. However, in light of serious questions regarding funding and other issues, the Board of County Commissioners voted to delay one year. The procurement process will begin anew in the spring of 2003 and culminate in the issuance of new contracts effective July 1, 2004. The procurement process will draw upon the expertise and insights of all stakeholders including clients, families, Juvenile Justice, and, of course, youth treatment providers. It is expected that the new contracts will reflect a greater emphasis on performance-based measures and on a more thorough incorporation of best practices in youth treatment. (See Attachment A for current contracted DCHS youth slots/beds)

- The Reclaiming Futures (Multnomah County Embrace) project is a Juvenile Justice initiative funded through Robert Wood Johnson. The focus of Embrace is on system change. While Embrace is directed at youth involved in Juvenile Justice, we believe that many if not most of the goals and strategies are also applicable to youth needing A&D treatment who are not involved in the Juvenile Justice system. The Department of County Human Services (DCHS) is partnering closely with the Department of Community Justice (DCJ) on Embrace. Two DCHS Mental Health Consultants working at Juvenile Justice, their Clinical Supervisor, and the DCHS A&D Sr. PDS are part of the Embrace Stakeholder group.

There is continuing need for the Mental Health and Addictions Services Division to provide leadership in the A&D field, including sound analysis and technical assistance. This will be very challenging indeed, but hopefully it can and will be done. Since June 2002, Addiction Services has lost more than half of its planning and development staff. Additionally, there are no longer any research evaluators in the entire Department. Addiction Services will need to rely on and work especially closely with Juvenile Justice's A&D leadership and evaluation capacity. Many of the youth in treatment with DCHS/MHASD subcontracted agencies have Juvenile Justice involvement. Also, as noted above, it is established that much of what is effective with Juvenile Justice involved youth is effective with general population youth. Strengths-based assessment and treatment is but one example. It is for these reasons that some of what is in the 2003-05 Biennial Implementation Plan is not a direct part of State or County General funded operations. We recognize that there are connections and that, in this time of shrinking resources but growing needs, it is essential to

communicate and collaborate 'across the board.' We cannot afford to consider only what is 'ours' and ignore all else.

What is put into effect during the 2003-05 biennium will also reflect what has been learned and continues to be learned from these special projects (CSAT, Latino Program, Leo Ni Leo, and Multnomah Embrace).

2003-05 Assumptions

- In collaboration with the Department of Community Justice, we will engage in an inclusive procurement process that will culminate with new contracts July 1, 2004.
- DCHS will work towards goals and objectives of Senate Bill 555.
- DCHS will collaborate with Juvenile Justice on Multnomah Embrace efforts.
- DCHS will continue to develop and implement strategies that use County and State funds to leverage other funds, particularly Federal Medicaid.

2003-05 Overall System Goals

- Support and implement best practices that improve treatment engagement and outcome. Work closely with Juvenile Justice's Reclaiming Futures/Multnomah Embrace program. Multnomah Embrace will likely play the pivotal role in making quality training opportunities available.
- Increase service collaboration and coordination among the youth A&D providers.
- Increase interagency communication and coordination among Multnomah County DCHS, Juvenile Justice, Office of School & Community Partnerships, the Health Department, and public schools.
- Increase access to services for under-served populations.

2003-05 System Development

As stated earlier in this plan, we expect that the Embrace project, SB 555, the procurement process, an ever expanding knowledge of best practices, and fiscal realities will result in a shorter list of development priorities. The money factor will have a definite impact on how far the system can be developed during the 03-05 biennium. The fiscal situation looks anything but promising for at least the next 18 months. Still, we will work on the following priorities:

- Continue to move towards a strengths-based system. (See Attachment A.) Strengths-based emphasizes the youth's available strengths or assets, as well as the youth's accountability. This is a cornerstone of the Embrace project. Embrace is sponsoring three trainings by Michael Clark in strengths-based services. The trainings will be for treatment providers and Juvenile Justice staff. Juvenile Court Counselors (P.O.s) initiated use of a strengths-based assessment a year ago.

- Continue to move towards an integrated co-occurring service model. Since so many persons with A&D problems also have mental health issues, it is critical that Mental Health and A&D services be integrated in order to better serve clients. Integration of the two is challenging, in part because the funding streams for mental health and alcohol and drug are separate. The two disciplines are connected, but they are also discrete and clinicians in the two fields require different skills and training. SB 555 makes integration of the two disciplines a high priority, noting that "The urgency for co-occurring disorder treatment is profound, and it is estimated that 85% of American youth treated for substance abuse or dependence also need emotional or mental healthcare." Embrace also expects that the sharing of case management and networking that will evolve from Embrace will lead to mental health becoming a more natural partner with A&D.

- Improve collaboration between Multnomah County's A&D system and other service systems. Better collaboration extends beyond integrating mental health and alcohol/drug services. During the 2001-03 biennium, collaboration has improved considerably between DCHS and Community Justice, between DCHS and the Portland School District, and with the State Office of Services to Children & Families. There is better communication and collaboration among the youth treatment providers. Communication is improved with CAMHSA, our advisory body for child and adolescent mental health and addiction services. Juvenile Justice now has a consistent presence on the Local Public Safety Coordinating Council's Alcohol & Drug Criminal Justice Work Group. However, collaboration still is not strong with East County School Districts and, as described above, with Mental Health. Now that there is a separate department devoted to School and Community Partnerships, regular communication and collaboration between the two would be beneficial.

- Girls constitute a 'special population' for which adequate treatment improvement progress has not been made. This includes parenting and pregnant teens. DePaul devoted much time and effort in establishing its Mother/Child Program only to find that they could not fill the beds. Thus this promising program was forced to close. Secondly, while the Latino Program has made vast strides, bilingual/bicultural services for Latino youth remain grossly inadequate to meet the need. Girls and Latino youth are two populations deserving of focused attention in the 2003-05 biennium.

- SB 555 benchmarks will serve as useful and constant guides in the next biennium.

Adult Treatment Services

Priority: Maintain stability of the alcohol and drug abuse treatment services delivery system.

Assumptions

As previously stated, this Adult Treatment Plan Update has been created with certain basic assumptions in mind. Stability for the current system of services and funding priorities will be our top priority. Current funding supports a comprehensive array of treatment services targeting the County's low-income population and a variety of high risk groups including African Americans, Hispanics, Native Americans, women with small children, and offenders. Our current adult service system remains over-utilized and under-funded. At the present time, we are not proposing any change in how current funds are allocated across the adult treatment system. However, during the coming biennium, we will be looking at ways of using current funds to leverage other funds such as Federal Medicaid in order to enhance our service system. In the face of anticipated funding reductions in this biennium, we will face continuing challenges to assure access to services for the most vulnerable population groups. We are not proposing any new system enhancements until a clearer picture of State General funding becomes available from the current legislative session.

Descriptive Data for the A&D Program in the MHASD

	FY 01-02
All Clients in crisis served: (unduplicated count)	18,142
Clients w/ More than One Episode	3,924
Clients w/ Single Episode	14,218

(Source: FY 01-02 State A&D CPMS/ Multnomah County)

Alcohol & drug client assessments provided
(Source: IRMA. Completed assessments (DUII & General) by Central Intake Services) — 4,413 Adults (18 & above)

Sobering episodes provided — 11,833
(Source: data provided by Hooper)

Detoxification episodes provided — 2,733
(Source: data provided by Hooper Detox)

Residential treatment episodes provided — 1,686 Adults (18 & above)
(Source: A&D CPMS for Multnomah County)

Outpatient treatment episodes provided — 21,091 Adults (18 & above)
(Source: State (A&D CPMS/Multnomah County) (Includes 3,520 Methadone and 2,485 DUII)

Successful completion of treatment
The number and percentage of adults and youth enrolled in outpatient or residential treatment programs who complete at least 2/3 of their treatment plan goals and achieve 30 days total abstinence from alcohol and drugs.

Residential Treatment Episodes Successfully Completed:

Adult (18 & above)	833	(56.25%)

Outpatient Treatment Episodes Successfully Completed:

Adult (18 & above) 6,687 (43.61%)
(Includes DUIIs)

(Source: State A&D CPMS/Multnomah County. The number of outpatient (including DUII) successful completions of treatment, and the percentage of total treatment terminations that were successful.)

DUII Outpatient Treatment Successfully Completed: 1,577 (65.7%)

(Source: Multnomah County IRMA system. The number of DUIIs who successfully completed treatment, and the percentage of total DUII treatment terminations that were successful. Analyses using other data systems report DUII successfully completed at 76-79% [Windell 00-01]).)

Overall Adult System Goals

- Identify and support Best Practices within every service area, including administration.
- Sustain service collaboration and coordination.
- Sustain access to services for underserved populations.
- Increase treatment completion and ongoing recovery outcomes.
- Implement strategy to increase fee and Medicaid match revenue collections to help with the sustainability of County operated direct adult treatment access and case management services for Driving Under the Influence of Intoxicants (DUII) and other mandated clients (MHASD, Central Intake Services).

System Stability Priorities

In the past biennial plan up date, we identified a number of activities to improve the stability of our adult treatment system. This biennial plan update does not identify any such new initiatives. All of our efforts will focus on maintaining the stability of our treatment system in the face of anticipated funding cuts.

Funding: We do anticipate additional funding reductions for adult treatment services. As stated before, we are actively pursuing efforts to leverage Federal Medicaid match funds. Nevertheless, funding reductions that have already occurred, coupled with future reductions, place incredible strain on the stability of the adult treatment system. Our collaborative partnerships with other County Departments and community providers necessarily face challenging times. They have served us well in the past. We expect that they will continue to serve us well in the future.

Client Service Impact: We know that inadequate funding translates to increased caseloads and decreased services. In order to live within the financial resources available to them, providers will have to increase the caseloads of their clinical staff. This results in less clinician time on a per case basis and a reduced capacity to tailor treatment services to meet the unique needs of individual clients. Research tells us that case management and family treatment services are often linked to increased successful outcomes for A&D clients. However, these types of services may become increasingly difficult to offer if we continue to suffer funding losses for primary treatment services. One option, which is not an altogether attractive one, is to increase the intensity of treatment services by serving fewer clients. However, we will not seriously consider such an option until we have exhausted other possibilities first.

As referred to above, the MHASD Central Intake Services support clinical assessment, treatment referral, and case management services for DUII and other mandated clients. The DUII Evaluation Program is the largest such service in the State, serving approximately 3,000 new and case managing approximately 7,000 clients each year. The program is able to achieve successful treatment completion rates for clients of about 65 % plus per year. This rate of treatment completion is between 25-30 % above the average rate for voluntary outpatient treatment.

The DUII program was intended to be a fee supported program. However, the 1989 statutory limit of a $90 charge has never been sufficient to allow the program to be totally supported by fees. County General funds (CGF) has been used to backfill the operating costs of this valuable program. Over the last two years, County A&D staff have developed aggressive strategies to increase the DUII Evaluation Program's ability to be self-supported from fee revenue and less dependent on CGF. The first successful strategy involved persuading the Circuit Court to allow the program to charge additional fees for non-compliant clients. The Court issued an order to this effect in 2001. We serve all DUII clients in Multnomah County regardless of their ability to pay. No client is turned away because they are unable to pay for their assessment. Our policy and procedure for our sliding fee payment schedule ensures that all clients are treated fairly.

In the past year, working through the Governor's DUII Advisory Committee, we are now moving to achieve a legislative increase in the $90 fee to $130. We believe this will be successful. Once fully implemented, both of these strategies should significantly decrease the DUII Evaluation Program's need for CGF backfill.

For other mandated clients, we are now able to generate Medicaid match through our affiliation as a service site of the Multnomah County Health Department's Federally Qualified Health Center (FQHC) grant. We are working actively with our partners in the Department of Community Justice, to ensure that as many clients as possible have access to an appropriate level of assessment, referral, and case management services. It appears likely, that some program redesign will be necessary to make it possible to maximize the efficiency and effectiveness of existing resources. The service continuum currently under study includes: assessment, treatment referral, consultation, and case management. We do not expect to eliminate any of these services. We do expect, however, to develop a triage process to reduce the number of clients for whom we will do a full diagnostic assessment. Such assessments are resource intensive and will likely be limited to clients with co-occurring mental health and/or clients with generally more complicated clinical presentations. Consultation for A&D problems has always been one of the most frequently requested services by criminal justice professionals. Consultation about A&D problems is often the service that helps mandated clients get to and stay in treatment. Therefore, consultation, which often goes hand in hand with case management services, will receive more emphasis.

Our participation as an FQHC site is beginning to generate reliable projections for Medicaid match. These funds will be used to sustain the A&D services we now provide to this criminal justice population.

Housing and Residential Services: Existing alcohol and drug free housing resources continue to be severely limited. The lack of alcohol and drug free housing is the most common reason cited for failure to enter treatment, stay in treatment, and have continued recovery. Support for this area remains a high priority. Sustaining existing funding for these services is currently being accomplished. We have also been able to maintain support for the "Client Basic Emergency Needs" funds administered by the County-operated Central Intake Services. We know, however, that existing budgetary constraints will make holding on to our current capacity a constant and evolving challenge. Nevertheless, we are committed to this as a priority service area.

Reduce Administrative Contracting Costs for Providers: We will decrease costs at the provider level through the streamlining and/or elimination of contractual requirements and reimbursement methods that are no longer applicable and efficient, while still maintaining standards of accountability. We are aware that multiple funding streams and duplicative requirements/procedures are costly to providers and therefore have a negative impact on direct clinical services. Streamlining administrative procedures is a Multnomah County goal.

Our efforts to work toward a collaborative procurement process with the County Department of Community Justice (DCJ) will be continued. Even though the Board of County Commissioners delayed a new procurement process by one year, the foundation work that has been done with the DCJ will continue. By coordinating and streamlining our procurement efforts, we may be able to reduce the administrative burden to providers. This may be one solid avenue that is available to us to enhance the amount of resources that can be allocated to services by streamlining the administrative burden and costs that are required to respond to a new County procurement.

System Development Priorities

Beyond system stability, we are not proposing any new system development activities. To the extent possible, we will endeavor to sustain as many of the development priorities identified in our last biennial plan update. Our accomplishments in these areas are described above.

See Attachments for detail on funding allocations, procurement status, and Senate Bill 914 Narrative and Planning Matrix.

Prevention and Gambling Plan Updates

These two documents, in their entirety, will be submitted under separate cover. This is by direction of the respective State Coordinators for these two areas.

Prevention Plan Summary

The Multnomah County FY2003-05 Prevention Funding Plan will include the priorities and activities/strategies identified in the County "SB 555" Coordinated Plan:

- Stabilize and strengthen the current A&D prevention provider system by building on existing prevention initiatives, collaborations and coalitions
- Incorporate best-practice approaches, including family strengthening strategies/services across the continuum of prevention and treatment services.
- Continue intersystem collaboration and integration efforts

The County Plan will also identify strategies addressing the High Level Outcome of reducing 8th grade drug use.

The Prevention Funding Plan will follow the State's guidelines for "Baseline" Funding and "Targeted" Funding.

The Multnomah County "Baseline" Prevention Budget will support:

- Community Coalitions/Community Engagement
  Includes the Caring Community Initiative, the Oregon Chicano Concilio on Alcohol and Drug Abuse. Meets DHS requirement to maintain community coalitions within the County as a key prevention strategy.

- County Plan Oversight—Prevention Coordinator
  Meets DHS requirement(s) to designate a Prevention Coordinator responsible for the development, monitoring and oversight of the plan, including submitting monthly Minimum Data Set reports, attending designated DHS meetings 2x /year, submitting annual reports, providing technical assistance and/or access to professional development training for prevention providers.

DHS Prevention Funding Plan Guidelines require that Counties with budgets over $150,000 apply for additional funding to support strategies addressing "targeted populations" that either are populations not normally served by State or local education agencies, or populations requiring special services or additional resources. The Multnomah County "Targeted" Prevention Budget will support:

- Family Prevention Education
  Touchstone Program Prevention Home Visits/Prevention Newsletter

- Prevention Services to Public Housing Residents
  Tualatin Valley Centers (Columbia Villa/Tamarack sites)
  Housing Authority of Portland (subcontracted services to other housing sites)

The FY 2003-05 A&D Prevention program budget may be affected by cuts in State revenue. In addition, program funding may be reallocated as a result of an A&D procurement process currently scheduled for next winter.

Gambling Plan Summary

The Multnomah County Problem Gambling Services implementation plan for FY 2003-2005 will be submitted to the DHS Problem Gambling Services Unit by February 14, 2003.

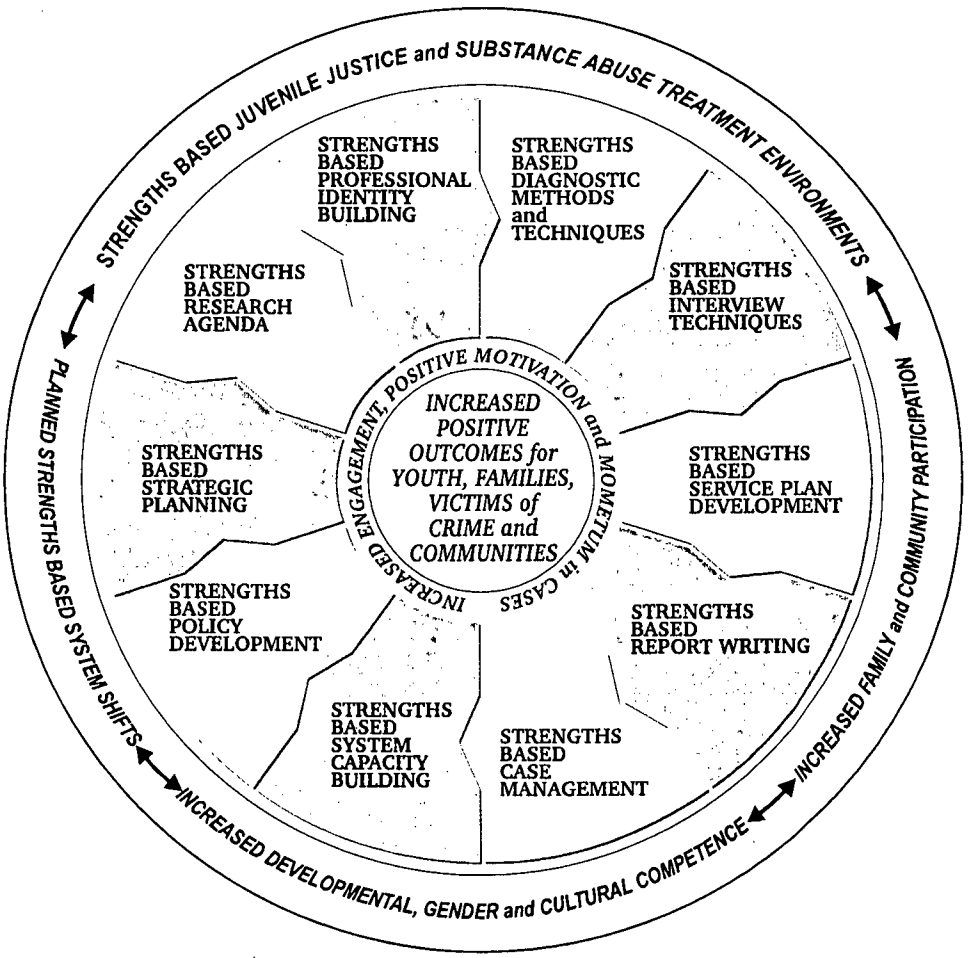
The implementation plan priorities have been developed through and with input of current service providers. Service and funding issues and priorities have been presented to Mental Health and Addiction Services Division management.

Priorities for FY 2003-2005 will include both prevention and treatment enhancements. Prevention services will focus on community mobilization, selective outreach, and public awareness. Treatment enhancements will include primarily case management.

Attachment A

A Taxonomy of Strengths Based Practice Levels for Substance Abusing Youth in the Juvenile Justice System

Diagram by Laura Burney Nissen, Ph.D., MSW, CAC III;
pp. 3-4 adapted from same source.



Focusing On Strengths

The strengths approach is a unique way of looking at youth, families, and communities. No matter how stressed or troubled they present to us, we are asked to view them in light of their talents, abilities, beliefs and dreams.

This approach allows us to see each youth, their family, and their community as having strengths that must be used to achieve success.

Strengths can be defined by our cultural beliefs, community norms, and our experiences. A relationship based on strengths is motivated by knowing that resources are always there. Thus, we need to involve the youth, family, and community to identify strengths.

The strengths approach uses a set of practical skills and approaches that keep the focus of service delivery on solutions and assets.

A strengths approach not only gives clients a real leadership role in creating their case plan, but also in shaping the service system.

Attachment B

Multnomah County
SB 914 Plan

1. Target Population

It is intended that the civil and criminal asset forfeiture funds provided under SB 914 will be used to support the treatment services of Multnomah County's two drug courts:

The STOP Drug Court was one of the first drug diversion courts in the nation and has been in operation since 1991. This drug court grew out of the efforts of a planning group made up of a Circuit Court judge, the County DA, the public defender's office, and the Portland Police Chief. At the time the planners noted that most offenders were not getting into treatment until after adjudication which was typically four to five months after arrest. Many defendants were re-offending by continuing drug use and by committing new property crimes to support their habits while waiting for adjudication. This created new crime victims and an increasingly more difficult drug habit to treat. Additionally, at the time there were inadequate treatment services.

The current target population for this Court is individuals charged with a Oregon Sentencing Guidelines level 1 felony drug offense (i.e., PCS I, {CS II, Attempted PCS I) or misdemeanor drug offense (i.e., Attempted PCS II, PCS III). The ethnic/racial make up of the 878 Stop Court clients receiving treatment services last fiscal year was as follows:

Caucasian:	68%
African American:	14%
Hispanic:	7%
Native American:	4%
Asian:	2%
Other:	5%

Clean Court is Multnomah County's newest drug court, which initiated operations in March of this year (2002). The planning for this new court started in mid 2001 and grew out of a series of initial plannery discussions involving the Director of the Count's Department of Community Justice, the judiciary, the DA's Office, the manager of the County's Office of Addiction Services, and indigent defense.

The target population for this Court is individuals convicted of possession of a controlled substance[1] (PCS). In selecting this target population the planners recognized that a portion of these offenders were, from of criminal justice perspective, low and limited risk individuals, and typically receive a lower level of probation supervision. This lower level of supervision made it more difficultly to assure that these individuals who are high risk in terms of

[1] Exclusion criteria for Clean Court Participation include:

- No other pending non-PCS felony, DUII, or person misdemeanors.
- No charges (see above) same complaint.
- No detained holds.
- No recommendation by State to send to prison.

substance abuse problems access needed treatment. In fact data gathered during the planning process suggested that only 20% of this target population were enrolling in A&D treatment.

The ethnic/racial make-up of this targeted group based on the 157 cases referred to Clean Court through September 2002 is as follows:

Caucasian:	76%
African American:	19%
Hispanic:	4%
Asian:	1%

2. Treatment Services

Attachment A provides a list of the local A&D providers, which currently provide drug court treatment services.[2]

These providers represent a comprehensive continuum of A&D services. All providers are contractually required to have cultural competency plans. Four of the programs are licensed as culturally specific providers:

Native American Rehabilitation Association -	Native American
ChangPoint -	Latino
TVC -	African American
Stay Clean -	African American

Additionally Central City Concern and InAct operate culturally specific treatment services for Latino's.

While the drug court model represents an evidence-based best practice in and of itself, a variety of best practice approaches are represented through the drug court A&D provider continuum including:

- Behavioral and cognitive therapies for dealing with criminality.
- Motivational enhancement therapy.
- Family therapy.
- Gender specific treatment services.
- Employment and housing support services.
- Aftercare services.
- Community based self-help supports.
- Case management.

3. Drug Court Treatment Resources and Prioritization

Resource Planning and Prioritization - Within Multnomah County, the Department of Community Justice (DCJ) is responsible for planning and managing offender A&D services

[2] Individuals entering either drug court who are found to meet clinical diagnostic criteria for a substance abuse disorder are required by the court to participate and complete A&D treatment services.

including STOP and Clean Court Treatment Services. DCJ typically carries out this responsibility in collaboration with key community stakeholders which include the judiciary, the district attorney's office, law enforcement, the defense bar, community providers, the Department of County Human Services, the Health Department, other County entities, DHS Local Service Area, and community advocates.

A variety of advisory committees are also involved in planning efforts including the A&D Subcommittee of the Local Public Safety Coordinating Council, the Adult Mental Health and Substance Abuse Advisory Committee, The Child/Adolescent Mental Health and Mental Health Advisory Committee, and the Department of Community Justice A&D Advisory Committee.

The planning that has occurred pertaining to SB 914 was carried out under the joint sponsorship of the Department of County Human Services and the Department of Community Justice. Attachment B contains the roster for the local SB 914 planning group, which was charged by the Adult Mental Health and Substance Abuse Advisory Committee to create local plan.

The primary work carried out by the local SB 914 Planning Committee was the development of the funding priorities to be used in allocating the treatment portion of forfeiture funds that will be available to Multnomah County. The following represents the SB 914 funding priorities[3]:

1. Maintain funding for the STOP and Clean Courts.

   Recommended allocation: $89,000.

   Rationale: These drug courts represent the foundation of the adult drug court system and must be funded at adequate levels to ensure the ongoing viability of this strategy.

2. Create funding for in-jail A&D services and/or warrant follow-up services through the Multnomah County Sheriff's Office. Actual services to be developed within the Sheriff's Office will be determined by the SB 914 Planning Committee prior to the next County budget cycle or once the local SB 914 Treatment Services Fund exceeds $89,000, whichever comes first.

   Recommended allocation: to be determined based on local SB 914 Treatment Services Fund generation in excess of $89,000.

   Rationale: In-jail treatment readiness and community treatment transition services are inadequate and represent a significant service gap within the Drug Court system. Additionally, a high proportion of Drug Court clients are on warrant status at any given time and not engaged in mandated court/treatment programs. Currently the lack of resources to follow up on these warrants represents a significant gap in the Drug Court service system.

[3] These priorities are subject to change given funding uncertainties at both the State and County Levels. Should the current plan become untenable due to large scale cutbacks in the County's drug court and/or A&D treatment systems the SB 914 Planning Committee would be reconvened to consider new or revised priorities.

3. Fund additional A&D residential treatment beds beyond the current 10 beds allocated to Clean Court clients. Additionally, the committee supports DCJ's initiative to evaluate the need to make STOP Court clients eligible for Clean Court residential treatment beds.

   Recommended allocation: none at this point. The committee recommends that the mix of Clean Court outpatient and residential treatment funding be reviewed as service utilization patterns become clearer. Should additional Clean Court residential capacity be needed and no other funding source be available the Planning Committee may consider making an allocation from the SB 914 Treatment Services Fund.

   Rationale: Early indications suggest that the demand for residential beds by Clean Court clients will likely exceed current availability. There is also an unmet need within the STOP Court for residential service capacity.

   Other Funding Sources for Drug Court Treatment Services – In addition to the forfeiture revenues specified in this plan the following fund sources and revenues currently support the drug court treatment services covered in this plan:

Funding Source	Amount
State Department of Corrections	$560,632
Federal Funds:	
SAMHSA	$140,364
LLEBG	$266,483
Drug Court Diversion Fees	$130,000
State (OMHAS – A&D)	$206,634
Total:	$1,304,113

4. Outcome Measures

   The two primary outcome measures to be used to monitor drug court system and A&D provider performance are:

   - The proportion of drug court participants who complete treatment as measured by 30 days abstinence prior to termination and completion of 2/3 of their treatment plan goals.
   - The proportion of drug court participants who receive a new arrests within one-year post drug court enrollment.

   Termination data will be reported to DCJ by subcontract providers using a standard reporting form. Re-arrest data will be obtained off the State's LEDS system. DCJ's Research and

Evaluation Unit will provide a quarterly treatment termination and an annual re-arrest data report to the Drug Court Steering Committee and participating providers.

5. Criminal Justice and Treatment Provider Coordination.

Stop Drug Court – For the most part treatment services for this court is provided by a single provider who has a dedicated staff position responsible for coordinating all communications with the Stop Drug Court. This individual attends all hearings. Generally, each case goes before the Stop Court Judge for a case review on at least a monthly bases. The InAct court coordinator provides treatment progress report information or if the person is not in treatment information on their current status.

InAct is responsible for completing the initial clinical assessment and referring clients to appropriate services within their program or the community. If a client is placed in another treatment program, InAct coordinates all communications between the community provider and the drug court.

Clean Court – An offender Supervision Unit has been established within DCJ to provide case management, screening, treatment referral, client tracking, and treatment provider/Court communication liaison activates on behalf of the Clean Court. Currently the unit includes a program administrator, two Probation/Parole Officers, four Corrections Counselor, and two Corrections Technicians. Treatment services are provided by a continuum of community based treatment providers with Clean Court Supervision unit responsible for all communications and case planning activates between the Court and the treatment provider. Clean Court Supervision Unit staff participates in all court hearings with most cases reviewed at least once a month before the judge.

Attachment B-1

List of Drug Court A&D Treatment Providers

Drug Court & Provider Contract	Service Elements	Anticipated FY02/04 Drug Court	
InAct	Outpatient	Stop Court	$1,057,590
ASAP	Outpatient	Clean Court	$43,073
Cascadia/Unity	Outpatient	Clean Court	$86147
Central City	Outpatient	Clean Court	$43,073
Change Point	Outpatient	Clean Court	$43,073
CODA	Outpatient	Clean Court	$43,073
Stay Clean	Outpatient	Clean Court	$43,073
Tualatin Valley Centers	Outpatient	Clean Court	$43,073
DePaul	Outpatient & Residential	Clean Court	$152,573
NARA	Outpatient & Residential	Clean Court	$116,073
VOA	Outpatient & Residential	Clean Court	$225,573

Attachment B-2

Roster for the Multnomah County SB 914 Planning Group

Name	Organization
Dick Baldwin	Judiciary
Debbie Boumann	CAMHSA Consumer Parent
Paul DuCommun	MultCo DCHS/MHAS
Beverly Fowler	MultCo DCHS/MHAS
Lee Graham	MultCo Sheriff's Office
Andrea Jeffries-Bowman	AMHSA Consumer
Christine Kirk	LPSCC
Mark McDonnell	MultCo District Attorney's Office
Jackie Mercer	PAADMA
Nancy Middlebrook	MultCo DCHS/MHAS
Pam Mindt	MultCo DCJ
Mary Monnat	CAMHSA
Carol Nykerk	MultCo Sheriff's Office
Kenn Pacheco	Portland Police Drugs/Vice
Jim Peterson	MultCo DCJ
Krista Shipsey	Public Defense/MPD
Kathleen Treb	MultCo DCJ
Pieter Van Dyke	MultCo Sheriff's Office

Multnomah County Drug Courts

Memorandum Of Understanding

January 15, 2003

The undersigned organizations agree to participate in the ongoing development and operation of the Multnomah County Stop and Clean Court Programs. Responsibilities under this agreement will include:

- Participation in the joint Drug Court Policy Steering Committee. This committee will have responsibility for establishing operational policy and procedures for the two drug courts.
- To the extent resources are available each participating organization will provide staff resources to assist in the operations of the drug court which would include:
  - District Attorney and indigent defense participation in drug court hearings;
  - Judicial oversight of the hearing process;
  - Participant tracking, services coordination, and progress reporting by InAct for Stop Drug Court clients and Department of Community Justice (DCJ) for Clean Court clients;
  - A&D treatment provider coordination, contracting, and performance evaluation by DCJ.

This agreement may be amended at any time by the Drug Court Policy Steering Committee.

The Honorable Edward Jones,
Stop Drug Court Judge,
Multnomah County Circuit Court

The Honorable Richard C.,
Baldwin, Clean Court Judge,
Multnomah County Circuit Court

John C. Bradley, Sr. Deputy
District Attorney
Multnomah County

Kathleen Treb,
Assistant Director,
Multnomah County Dept.
Of Community Justice

James D. Hennings,
Executive Director,
Metropolitan Public Defender

Doug Bray,
Multnomah County, State Court
Administrator

Valerie Moore
Executive Director
InAct, Inc.

Attachment 1

For each service element, please list all of your treatment provider subcontracts on this form. In the far right column indicate if the provider delivers services specific to minorities, women, or youth.

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
Various - Funds cannot be distributed to providers on Attachment 1 due to recent State reduction. Methodology for the 2003-2005 Biennium reductions to contractors has not been determined yet. Proposed contractors are listed below with a -0- allocation.	Various	A-D 66 Continuum of Care	7,377,670	NA	7,377,670
APANO: Asian Caring Community-**Multnomah County Office of School and Community Partnerships**	TBD	A-D 70 Prevention	10,315	Youth Minority	10,315
ASAP Treatment Services	93-0712083	A-D 60 Special Projects	48,334	Women	
ASAP Treatment Services	93-0712083	A-D 66 Continuum of Care	0	NA	48,334
Cascadia Behavioral Healthcare	97-0770054	A-D 66 Continuum of Care	0	Youth	
Cascadia Behavioral Healthcare	97-0770054	A-D 66 Continuum of Care	0	NA	
Cascadia Behavioral Healthcare	97-0770054	A-D 80 Gambling Prevention	26,000	NA	
Cascadia Behavioral Healthcare	97-0770054	A-D 81 Gambling Treatment	984,774	NA	
Cascadia Behavioral Healthcare	97-0770054	A-D 82 Gambling Special Projects	34,912	NA	1,045,686
Centennial School District: East County Caring Community-**Multnomah County Office of School and Community Partnerships**	93-6000847	A-D 70 Prevention	18,849	Youth	18,849

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
Central City Concern	93-0728816	A-D 60 Special Projects	96,664	Women	
Central City Concern	93-0728816	A-D 60 Special Projects	352,176	NA	
Central City Concern	93-0728816	A-D 61 Adult A&D Residential	1,285,800	Women	
Central City Concern	93-0728816	A-D 61 Adult A&D Residential	219,000	NA	
Central City Concern	93-0728816	A-D 62 Housing Dependent Children	350,400	NA	
Central City Concern	93-0728816	A-D 66 Continuum of Care	0	Women	
Central City Concern	93-0728816	A-D 66 Continuum of Care	0	NA	2,304,040
ChangePoint	93-1229222	A-D 66 Continuum of Care	0	NA	
ChangePoint	93-1229222	A-D 66 Continuum of Care	0	Minority	
ChangePoint	93-1229222	A-D 80 Problem Gambling Prevention	52,320	NA	52,320
City of Portland: Caring Community of North Portland -**Multnomah County Office of School and Community Partnerships**	93-6002236	A-D 70 Prevention	18,849	Youth	18,849
Comprehensive Options for Drug Abusers	93-0716860	A-D 60 Special Projects	216,784	Women	
Comprehensive Options for Drug Abusers	93-0716860	A-D 61 Adult A&D Residential	1,941,200	NA	
Comprehensive Options for Drug Abusers	93-0716860	A-D 61 Adult A&D Residential	511,000	Women	
Comprehensive Options for Drug Abusers-**Multnomah County Department of Community Justice**	93-0716860	A-D 61 Adult A&D Residential	1,314,000	NA	
Comprehensive Options for Drug Abusers	93-0716860	A-D 62 Housing Dependent Children	109,500	NA	
Comprehensive Options for Drug Abusers	93-0716860	A-D 66 Continuum of Care	0	NA	
Comprehensive Options for Drug Abusers	93-0716860	A-D 66 Continuum of Care	0	Women	4,092,484

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
DePaul Treatment Centers	93-0706892	A-D 61 Adult A&D Residential	501,600	Women	
DePaul Treatment Centers	93-0706892	A-D 61 Adult A&D Residential	501,600	Minority	
DePaul Treatment Centers	93-0706892	A-D 61 Adult A&D Residential	2,062,000	NA	
DePaul Treatment Centers-**Multnomah County Department of Community Justice**	93-0706892	A-D 61 Adult A&D Residential	219,000	NA	
DePaul Treatment Centers	93-0706892	A-D 66 Continuum of Care	0	NA	
DePaul Treatment Centers	93-0706892	A-D 66 Continuum of Care	0	Youth	3,284,200
General Health dab Delta Clinic	93-1010250	A-D 66 Continuum of Care	0	NA	0
Housing Authority of Portland	93-6001547	A-D 70 Prevention	144,566	Youth	144,566
InAct	51-0145008	A-D 66 Continuum of Care	0	NA	0
Latino Network-Latino Caring Community-**Multnomah County Office of School and Community Partnerships**	TBD	A-D 70 Prevention	10,315	Youth Minority	10,315
Legacy Emanuel Hospital & Health Center	93-0386823	A-D 60 Special Projects	96,664	Women	
Legacy Emanuel Hospital & Health Center	93-0386823	A-D 61 Adult A&D Residential	1,414,600	Women/Minority	
Legacy Emanuel Hospital & Health Center	93-0386823	A-D 61 Adult A&D Residential	146,000	NA	
Legacy Emanuel Hospital & Health Center	93-0386823	A-D 62 Housing Dependent Children	21,900	NA	1,679,164
Morrison Center	93-0354176	A-D 60 Special Projects	240,000	Youth	240,000

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
Multnomah County Department of County Human Services	93-0712083	A-D 60 Special Projects - Administrative Funds	143,486	Women Youth	
Multnomah County Department of County Human Services	93-0712083	A-D 66 Continuum of Care - Wrap Around Services Flex Funds	0	NA	
Multnomah County Department of County Human Services	93-0712083	A-D 70 Prevention - Administrative Funds	60,914	Youth	
Multnomah County Department of County Human Services	93-0712083	A-D 81 Gambling Treatment - Administrative Funds	91,694	NA	296,094
Multnomah County-Office of School and Community Partnerships	93-0712083	A-D 70 Prevention - Administrative Funds	218,048	Youth	218,048
Native American Rehabilitation Association	23-7098400	A-D 60 Special Projects	96,664	Women	
Native American Rehabilitation Association	23-7098400	A-D 61 Adult A&D Residential	1,783,200	Minority	
Native American Rehabilitation Association-**Multnomah County Department of Community Justice**	23-7098400	A-D 61 Adult A&D Residential	146,000	NA	
Native American Rehabilitation Association	23-7098400	A-D 62 Housing Dependent Children	21,900	NA	
Native American Rehabilitation Association	23-7098400	A-D 66 Continuum of Care	0	Women	
Native American Rehabilitation Association	23-7098400	A-D 66 Continuum of Care	0	Minority	
Native American Rehabilitation Association	23-7098400	A-D 66 Continuum of Care	0	NA	2,047,764
Oregon Chicano Concilio on Alcohol and Drug Abuse	93-1039244	A-D 70 Prevention	20,630	Youth Minority	20,630
Oregon Health Sciences University Behavioral Health Clinic	93-1176109	A-D 66 Continuum of Care	0	NA	
Oregon Health Sciences University Behavioral Health Clinic	93-1176109	A-D 80 Gambling Prevention	15,408	NA	
Oregon Health Sciences University Behavioral Health Clinic	93-1176109	A-D 81 Gambling Treatment	262,656	NA	278,064

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
Parkrose School District: Mid-County Caring Community-**Multnomah County Office of School and Community Partnerships**	93-6000833	A-D 70 Prevention	18,850	Youth	18,850
Portland Impact: Franklin Caring Community-**Multnomah County Office of School and Community Partnerships**	93-0557964	A-D 70 Prevention	18,849	Youth	18,849
Portland Public Schools: Grant/Madison Caring Community Inner SE Caring Community Outer SE Caring Community West District Caring Community-**Multnomah County Office of School and Community Partnerships**	98-6000803	A-D 70 Prevention	75,398	Youth	75,398
Self Enhancement Inc.: Jefferson Caring Community-**Multnomah County Office of School and Community Partnerships**	93-1086629	A-D 70 Prevention	18,849	Youth	18,849
Stay Clean	93-0983665	A-D 66 Continuum of Care	0	Minority	0
To Be Determined	TBD	A-D 81 Gambling Treatment	86,448	NA	86,448
Treatment Services Northwest	93-1318163	A-D 66 Continuum of Care	0	NA	0

Provider Name	Approval/License ID Number (Tax ID Number)	Service Element	OMHAS Funds in Subcontract	Specialty Service	Total By Subcontractor
Tualatin Valley Centers	93-0502822	A-D 60 Special Projects	48,334	Women	
Tualatin Valley Centers	93-0502822	A-D 66 Continuum of Care	0	Minority	
Tualatin Valley Centers	93-0502822	A-D 66 Continuum of Care	0	Youth	
Tualatin Valley Centers	93-0502822	A-D 66 Continuum of Care	0	NA	
Tualatin Valley Centers	93-0502822	A-D 70 Prevention	88,148	Youth	
Tualatin Valley Centers	93-0502822	A-D 71 Youth A&D Residential	412,450	Youth	
Tualatin Valley Centers	93-0502822	A-D 80 Gambling Prevention	83,480	Youth	
Tualatin Valley Centers	93-0502822	A-D 81 Gambling Treatment	15,264	Youth	647,676
Volunteers of America-Multnomah County Department of Community Justice	93-0395591	A-D 61 Adult A&D Residential	2,774,000	Women	
Volunteers of America-Multnomah County Department of Community Justice	93-0395591	A-D 61 Adult A&D Residential	5,767,000	NA	8,541,000

Total 2003-2005 Biennial Funding **$32,594,462**

State Mental Health Grant Funding (SMHG)	
A&D 60 Special Projects	1,339,106
A&D 61 Adult Residential	20,586,000
A&D 62 Housing for Dependent Children	503,700
A&D 66 Continuum of Care	7,377,670
A&D 70 Prevention	722,580
A&D 71 Youth Residential	412,450
A&D 80 Gambling Prevention	177,208
A&D 81 Gambling Treatment	1,440,836
A&D 82 Gambling Special Projects	34,912
Grand Total	**$32,594,462**

Attachment 2
A-D Guidelines

BOARD OF COUNTY COMMISSIONERS

County: Multnomah County

The Multnomah County Board of County Commissioners has reviewed and approved the alcohol and drug abuse prevention, intervention, and treatment portions of the county's proposed implementation plan for 2003-2005. Any comments are attached.

Name of Chair: Diane M. Linn

Address: 501 SE Hawthorne, Suite 600
Portland, OR 97214-3587

Telephone Number: (503) 988-3308

Signature: Diane Linn

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # R-11 DATE 02-27-03
DEB BOGSTAD, BOARD CLERK

Attachment 3
A-D Guidelines

LOCAL ALCOHOL AND DRUG PLANNING COMMITTEE REVIEW COMMENTS

County: Multnomah

Type in or attach list of committee members including addresses and telephone numbers. Use an asterisk (*) next to the name to designate members who are minorities (ethnics of color according to the U.S. Bureau of Census).

See attached lists. The Multnomah County LADPC consists of the membership of two advisory groups: AMHSA & CAMHSA. (Adult Mental Health & Substance Abuse advisory Committee and Child & Adolescent Mental Health & Substance Abuse advisory Committee.)

The Multnomah County LADPC recommends the state funding of alcohol and drug treatment services as described in the 2003-2005 County Implementation Plan. Further LADPC comments and recommendations are attached.

Name of Chair: AMHSA: MARY CLAIRE BUCKLEY CAMHSA: Maggie Mashia
Address: c/o 421 SW 6th Ave.; Portland OR 97204

Telephone Number: Buckley: 503-229-5596 Mashia: 503-331-1507
Signature: Mary Claire Buckley, Maggie Mashia
by Karen Mayfield per telephone authorization

The Local Alcohol and Drug Planning Committee (LADPC) in Multnomah County is comprised of two groups: Adult Mental Health and Substance Abuse (AMHSA) and Child and Adolescent Mental Health and Substance Abuse (CAMHSA). Biennial Implementation Plan updates were shared with CAMHSA and AMHSA at two general membership meetings of AMHSA and CAMHSA and at two of their Executive Committee meetings. AMHSA pointed out one inaccuracy in the final draft of the narrative and the correction was made. Both advisory groups commented that the plan acknowledged both accomplishments made during the current biennium as well as serious challenges facing the A&D system in the 2003-05. The funding challenges are particularly acute for the adult system. CAMHSA was pleased that there is a collaborative relationship with Multnomah Embrace and its emphasis on strengths-based services as this includes the family and community.

Attachment 4
A-D Guidelines

COMMISSION ON CHILDREN & FAMILIES

County: Multnomah

We are pleased to see an emphasis on strengths-based approaches to the treatment of substance abuse in juveniles.

The Multnomah County Commission on Children & Families has reviewed the Alcohol and drug abuse prevention and treatment portions of the county's proposed implementation plan for 2003-2005. Any comments are attached.

Name of Chair: Pauline Anderson
Address: Oregon Yacht Club #11, Portland OR 97202

Telephone Number: 503-225-4072
Signature: Pauline A. Anderson

Status of Open Competitive Bids for Alcohol & Other Drug Services
2003 - 2005

Please provide information for each service element being funded in your county. Do not include DUII or Marijuana Services.

Service Element	Last Time Procured	Next Scheduled Procurement
A-D 60: A&D Special Projects - Treatment Enhancement Services	State RFGP #458 ends June 30, 2005	Completed by July 1, 2005
A-D 61: Adult Alcohol and Drug Residential Treatment Services	Procurement ends June 30, 2004	Completed by July 1, 2004
A-D 62: Housing Services for Dependent Children Whose Parents are in Alcohol and Drug Residential Treatment	Procurement ends June 30, 2004	Completed by July 1, 2004
A-D 66: Continuum of Care Services	Procurement ends June 30, 2004	Completed by July 1, 2004
A-D 66: Continuum of Care Services - Synthetic Opiate Outpatient	Procurement ends June 30, 2005	Completed by July 1, 2005
A-D 70: Prevention Services	Procurement ends June 30, 2004	Completed by July 1, 2004
A-D 71: Youth Alcohol & Drug Residential Treatment Services	Procurement ends June 30, 2004	Completed by July 1, 2004
A-D 80: Problem Gambling Prevention Services	Procurement ends June 30, 2007	Completed by July 1, 2007
A-D 81: Outpatient Problem Gambling Treatment Services	Procurement ends June 30, 2007	Completed by July 1, 2007
A-D 82: Problem Gambling Special Projects	Procurement ends June 30, 2007	Completed by July 1, 2007

Attachment 6
A-D Guidelines

COUNTY FUNDS MAINTENANCE OF EFFORT ASSURANCE

County: Multnomah

As required by ORS 430.359.(4), I certify that the amount of county funds allocated to alcohol and drug treatment and rehabilitation programs for 2003-2005 is not lower than the amount of county funds expended during 2001-2003.

Patricia Pate, Director, Dept. of County Human Services
Name of County Mental Health Program Director

Signature

2-12-2003
Date

State 2003-2005 Biennial Implementation Plan
Annual Alcohol & Drug Funding Request

State Service Description	Adult Funding	Children's Funding	Youth Funding	Total
Special Projects such as: Rent Assistance and Housing Coordination Family Involvement Team Latino Youth Project	549,553		120,000	669,553
Adult Alcohol & Drug Residential Treatment Current State rate is $100 per client per day ($5,110,000 is pass-through to DCJ)	10,293,000			10,293,000
Housing for Dependent Children Current State rate is $30 per client per day		251,850		251,850
Continuum of Care Services such as: Non-Hospital Detox for generic and pregnant women Detox/Assessment/Referral for commitment services clients Intensive outpatient for women, youth, African American youth Outpatient for generic, Hispanics, Native Americans, youth, Asian youth, African Americans Synthetic opiate maintenance Acupuncture A&D Free Housing Client Flex Funds Proctor care/housing for African American youth Case management for African American youth Drug/Clean Court pass-through to DCJ	3,099,990		588,845	3,688,835
Youth Alcohol and Drug Residential Treatment			206,225	206,225
Problem Gambling Prevention Programs for: African Americans Hispanics	84,848			84,848
Outpatient Problem Gambling Treatment	720,418			720,418
Problem Gambling Special Projects such as: Case Management Outreach Early Intervention	21,212			21,212
Total Funding	**$14,769,021**	**$251,850**	**$915,070**	**$15,935,941**