

ANNOTATED MINUTES

Thursday, April 16, 1998 - 9:30 AM
Portland Building, Second Floor Hearing Room
1120 SW Fifth Avenue, Portland

REGULAR MEETING

Chair Beverly Stein convened the meeting at 9:32 a.m., with Commissioner Gary Hansen present, Vice-Chair Sharron Kelley excused and Commission Districts 1 and 3 positions vacant.

CONSENT CALENDAR

CHAIR STEIN ADVISED THAT DUE TO COMMISSIONER KELLEY'S ILLNESS, THE VOTING ITEMS ON TODAY'S CONSENT CALENDAR AND REGULAR AGENDA HAVE BEEN RESCHEDULED TO THURSDAY, APRIL 23, 1998 WITH THE EXCEPTION OF PROCLAMATIONS C-2, C-3, C-4 AND C-6 WHICH SHE HAS SIGNED AND ACKNOWLEDGED ADMINISTRATIVELY.

NON-DEPARTMENTAL

- C-1 Appointments of Ronald A. Fossum and Jeanne C. "J.C". Kizak to the MULTNOMAH COUNTY CITIZEN INVOLVEMENT COMMITTEE
- C-2 PROCLAMATION: April 19 through 25, 1998 MULTNOMAH COUNTY VOLUNTEER WEEK

PROCLAMATION 98-30.

- C-3 PROCLAMATION: April 28, 1998 WORKERS MEMORIAL DAY

PROCLAMATION 98-31.

DISTRICT ATTORNEY'S OFFICE

- C-4 PROCLAMATION: April 19 through 25, 1998: OREGON CRIME VICTIMS' RIGHTS WEEK

PROCLAMATION 98-32.

- C-5 Budget Modification DA 10 Adding 1.00 District Attorney 3 and .50 Office Assistant 2 to the Termination of Parental Rights Program

DEPARTMENT OF SUPPORT SERVICES

- C-6 PROCLAMATION: April, 1998 EARTHQUAKE PREPAREDNESS MONTH

PROCLAMATION 98-33.

- C-7 Budget Modification DSS 9 Recognizing \$33,500 Additional Revenue from the State Fire Marshall's Office for Hazardous Materials Spill Responses

DEPARTMENT OF HEALTH

- C-8 Renewal of Intergovernmental Agreement 201358 with Oregon Health Sciences University for the Provision of Case Management Services for Low-Income Persons Living with HIV/AIDS
- C-9 Renewal of Intergovernmental Agreement 201388 with the Oregon Health Division for the Provision of Health Insurance Payments for Low-Income Persons Living with HIV/AIDS

AGING AND DISABILITY SERVICES DEPARTMENT

- C-10 Budget Modification ADS 1 Increasing Position Allocations for the Disability Division and Increasing the Budget and Appropriations Schedule for Disability Building Management and to Replace State Owned Vehicles Utilizing State Revenue

DEPARTMENT OF COMMUNITY AND FAMILY SERVICES

- C-11 Amendment 1 to Intergovernmental Agreement 101488 with Portland Public School District to Purchase Child Care Slots for a Child of a Teen Parent in High School

DEPARTMENT OF ENVIRONMENTAL SERVICES

- C-12 ORDER Authorizing Acquisition of Real Property for the Construction of Improvements for Enlargement of the Belmont Library
- C-13 ORDER Authorizing Execution of Deed D981544 Upon Complete Performance of a Contract with Rod Girtman
- C-14 ORDER Authorizing Execution of Deed D981545 Upon Complete Performance of a Contract with Rod Girtman
- C-15 ORDER Authorizing Execution of Deed D981546 Upon Complete Performance of a Contract with Rod Girtman
- C-16 ORDER Authorizing Execution of Deed D981547 Upon Complete Performance of a Contract with Rod Girtman
- C-17 ORDER Authorizing Execution of Deed D981548 Upon Complete Performance of a Contract with M. Carol Battle
- C-18 ORDER Authorizing Execution of Deed D981549 Upon Complete Performance of a Contract with Mike Fahey and Denny Reynolds

JUVENILE AND ADULT COMMUNITY JUSTICE SERVICES

- C-19 Amendment 1 to Intergovernmental Revenue Agreement 700028 with the Oregon Youth Authority Increasing Funding and Extending Services for the Juvenile Diversion Program through June 30, 1999
- C-20 Amendment 1 to Intergovernmental Revenue Agreement with Clackamas County for Continued Utilization of the Juvenile Justice Complex for the Detention of Juveniles Referred to the Clackamas County Juvenile Justice System in Need of Secure Custody through June 30, 1999
- C-21 Amendment 1 to Intergovernmental Revenue Agreement 700757 with Washington County for Continued Utilization of the Juvenile Justice Complex for the Detention of Juveniles Referred to the Washington County Juvenile Justice System in Need of Secure Custody through June 30, 1999

REGULAR AGENDA

PUBLIC COMMENT

- R-1 Opportunity for Public Comment on Non-Agenda Matters. Testimony Limited to Three Minutes Per Person.

NO ONE WISHED TO COMMENT.

DEPARTMENT OF LIBRARY SERVICES

- R-2 Results from RESULTS: Multnomah County Library School Corps Presentation by Tom Olson, Ellen Fader, Jackie Partch and Vailey Oehkle.

TOM OLSON, ELLEN FADER, VAILEY OEHKLE AND JACKIE PARTCH PRESENTATION AND RESPONSE TO COMMISSIONER HANSEN AND CHAIR STEIN COMMENTS IN APPRECIATION OF SCHOOL CORPS TEAM ACCOMPLISHMENTS.

DEPARTMENT OF SUPPORT SERVICES

- R-3 Multnomah County Audit Committee Comprehensive Annual Financial Report and Single Audit Report Presentation by Chair Sharon De La Rosa.

INTRODUCTIONS BY DAVE BOYER AND JEAN UZELAC. SHARON DE LA ROSA AUDIT REPORT PRESENTATION. MS. UZELAC AND MR. BOYER COMMENTS IN SUPPORT. COMMISSIONER HANSEN, AUDITOR GARY BLACKMER AND CHAIR STEIN COMMENTS IN SUPPORT AND RECOGNITION OF AUDIT REPORT.

CHAIR STEIN AND COMMISSIONER HANSEN HONOR AND RECOGNITION OF FINANCE DIRECTOR DAVID BOYER'S RECEIPT OF THE OREGON MUNICIPAL FINANCE OFFICERS ASSOCIATION "HONORARY LIFE MEMBER" AWARD FOR HIS CONTINUOUS EFFORTS

FURTHERING THE MISSION AND GOALS OF THE ASSOCIATION, INCLUDING SERVING AS PRESIDENT, CO-CHAIR OF THE GFOA 1996 COMMITTEE AND REPRESENTING OREGON AS STATE REPRESENTATIVE TO THE GFOA. MR. BOYER ACKNOWLEDGED THE SUPPORT OF HIS GREAT STAFF FOR MAKING HIS JOB EASIER.

- R-4 First Reading of an ORDINANCE Amending Ordinance No. 880, in Order to Add and Delete Exempt Pay Ranges and Titles and to Make Special Adjustments

NON-DEPARTMENTAL

- R-5 Second Reading and Adoption of an ORDINANCE Amending Risk Management Provisions of MCC 2.60
- R-6 Intergovernmental Agreement 501108 with the City of Gresham Establishing a Permanent Revenue Sharing Formula for the Community Service Fee Paid by LSI Logic per the Strategic Investment Program

PUBLIC CONTRACT REVIEW BOARD

(Recess as the Board of County Commissioners and convene as the Public Contract Review Board)

- R-7 ORDER Exempting from the Formal Competitive Bid Process a Contract for the Purchase of IBM Hardware for the Assessment and Taxation Division Computer System from The Software Group
- R-8 ORDER Exempting from the Formal Competitive Bid Process the Purchase of Year 2000 Mission Critical Goods and Services Between \$50,000 and \$100,000

(Adjourn as the Public Contract Review Board and reconvene as the Board of County Commissioners)

DEPARTMENT OF ENVIRONMENTAL SERVICES

- R-9 Second Reading and Adoption of an ORDINANCE Deleting Enforcement Proceeding Regulations Contained in MCC 11.15.8135 and Amending the Violation and Enforcement Regulations Contained in MCC 11.15.9052 for Land Use Violations to Increase the Capability of the County to Gain Compliance with Land Use Regulations
- R-10 RESOLUTION Adopting a "No Preference" Comment to Metro in Response to CGC, Inc.'s Petition for an Urban Growth Boundary Minor Locational Adjustment
- R-11 RESOLUTION Adopting a "No Preference" Comment to Metro in Response to Jerry Johnson's Petition for an Urban Growth Boundary Minor Locational Adjustment
- R-12 RESOLUTION Adopting a "Recommend Deny" Comment to Metro in Response to Carla Ralston's Petition for an Urban Growth Boundary Minor Locational Adjustment

DEPARTMENT OF HEALTH

- R-13 RESOLUTION Addressing the Provision of Primary Health Care Services to Under-Served, Uninsured, and Under-Insured County Residents

Thursday, April 16, 1998 – 10:00 AM
Portland Building, Second Floor Hearing Room
1120 SW Fifth Avenue, Portland

BOARD BRIEFING

- B-1 Department of Juvenile and Adult Community Justice Briefing on the Adult Community Justice Organizational Redesign. Presented by Elyse Clawson and Bob Grindstaff.

***ELYSE CLAWSON AND BOB GRINDSTAFF
PRESENTATION AND RESPONSE TO CHAIR
STEIN AND COMMISSIONER HANSEN
QUESTIONS AND DISCUSSION. CHAIR STEIN
COMMENTS IN ACKNOWLEDGEMENT AND***

**SUPPORT OF STAFF EFFORTS. MS. CLAWSON
COMMENTS IN ACKNOWLEDGEMENT AND
APPRECIATION OF HER GREAT STAFF.**

*There being no further business, the meeting was adjourned at 10:59
a.m.*

OFFICE OF THE BOARD CLERK
FOR MULTNOMAH COUNTY, OREGON

Deborah L. Bogstad

Deborah L. Bogstad



MULTNOMAH COUNTY, OREGON

BOARD OF COMMISSIONERS

Beverly Stein, Chair

1120 SW Fifth Avenue, Suite 1515

Portland, Or 97204-1914

Phone: (503) 248-3308 FAX (503) 248-3093

Email: Mult.Chair@co.multnomah.or.us

Vacant, Commission District 1

1120 SW Fifth Avenue, Suite 1500

Portland, Or 97204-1914

Phone: (503) 248-5220 FAX (503) 248-5440

Gary Hansen, Commission Dist. 2

1120 SW Fifth Avenue, Suite 1500

Portland, Or 97204-1914

Phone: (503) 248-5219 FAX (503) 248-5440

Email: Gary.D.Hansen@co.multnomah.or.us

Vacant, Commission District 3

1120 SW Fifth Avenue, Suite 1500

Portland, Or 97204-1914

Phone: (503) 248-5217 FAX (503) 248-5262

Sharron Kelley, Commission Dist. 4

1120 SW Fifth Avenue, Suite 1500

Portland, Or 97204-1914

Phone: (503) 248-5213 FAX (503) 248-5262

Email:

Sharron.E.Kelley@co.multnomah.or.us

**Any Questions? Call Board Clerk
Deb Bogstad @ 248-3277**

**Please note: Board Meetings
will be held in Room 602 of the
Multnomah County Court-
house starting at 9:30 am, on
Thursday, April 23, 1998**

APRIL 16, 1998 MEETING

FASTLOOK AGENDA ITEMS OF INTEREST

- | | |
|----------|--|
| 2 | <i>Consent Calendar of Routine County Business</i> |
| 4 | <i>Public Comment on Non-Agenda Matters</i> |
| 4 | <i>Library School Corps RESULTS Report</i> |
| 4 | <i>County Audit Committee Annual Report</i> |
| 5 | <i>Ordinances, PCRB and Land Use Items</i> |
| 6 | <i>Adult Community Justice Redesign Briefing</i> |
| 7 | <i>Multnomah County Budget Session Schedule</i> |

Thursday meetings of the Multnomah County Board of Commissioners are cable-cast live and taped and may be seen by Cable subscribers in Multnomah County at the following times:

Thursday, 9:30 AM, (LIVE) Channel 30

Friday, 10:00 PM, Channel 30

Sunday, 1:00 PM, Channel 30

Produced through Multnomah Community
Television

The Board meeting agenda is also
available on the World Wide Web at:

[http://www.multnomah.lib.or.us/cc/
bev/agenda.html](http://www.multnomah.lib.or.us/cc/bev/agenda.html)

INDIVIDUALS WITH DISABILITIES MAY
CALL THE BOARD CLERK AT 248-3277, OR
MULTNOMAH COUNTY TDD PHONE 248-
5040, FOR INFORMATION ON AVAILABLE
SERVICES AND ACCESSIBILITY.

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MULTNOMAH COUNTY CITIZEN INVOLVEMENT
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(OR IMMEDIATELY FOLLOWING REGULAR MEETING)
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1998-99 MULTNOMAH COUNTY BUDGET WORK SESSIONS AND PUBLIC HEARINGS

23-Apr	Thursday	9:30 am	PUBLIC HEARING, Executive Budget Presentation and Approval
28-Apr	Tuesday	9:30 am	Central Citizen Budget Advisory Committee Report
		9:45 am	Juvenile & Adult Community Justice
29-Apr	Wednesday	9:30 am	Sheriff
		6:00 pm	PUBLIC HEARING @Gresham Library 385 NW Miller
5-May	Tuesday	9:30 am	District Attorney
		10:30 am	Non-Departmental
6-May	Wednesday	1:30 pm	Environmental Services
		3:00 pm	Support Services
12-May	Tuesday	9:30 am	Health
		1:30 pm	Community & Family Services
14-May	Thursday	10:30 am	Library (after regular Board meeting)
19-May	Tuesday	9:30 am	Aging and Disability Services
		10:30 am	General Work Session (potential)
		1:30 pm	General Work Session (potential)
20-May	Wednesday	9:30 am	General Work Session (potential)
		1:30 pm	General Work Session (potential)
		6:00 pm	PUBLIC HEARING in Board Room
26-May	Tuesday	9:30 am	PUBLIC HEARING TSCC Hearing
		10:30 am	General Work Session (potential)
		1:30 pm	General Work Session (potential)
28-May	Thursday	9:30 am	PUBLIC HEARING, Adopt Budget

Unless otherwise indicated, all budget sessions will be held in the Multnomah County Courthouse, Boardroom 602, 1021 SW Fourth Avenue, Portland.

SHARRON KELLEY
Multnomah County Commissioner
District 4



Portland Building
1120 S.W. Fifth Avenue, Suite 1500
Portland, Oregon 97204
(503) 248-5213
E-Mail: sharron.e.KELLEY@co.multnomah.or.us

MEMORANDUM

TO : Board of County Commissioners
Board Clerk
FROM : Robert Trachtenberg
DATE : April 14, 1998
RE : Absence from Board Meeting

Commissioner Kelley will not attend the Board Meeting on Thursday April 16, 1998.

BOARD OF
COUNTY COMMISSIONERS
98 APR 14 AM 9:16
MULTNOMAH COUNTY
OREGON

MEETING DATE: APR 16 1998
AGENDA NO: C-1
ESTIMATED START TIME: 9:30am

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointments to Citizen Involvement Committee

BOARD BRIEFING:

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: 4/15/98

AMOUNT OF TIME NEEDED: Consent Calendar

DEPARTMENT: Nondepartmental

DIVISION: Chair's Office

CONTACT: Delma Farrell

TELEPHONE #: 248-3953

BLDG/ROOM #: 106/1515

PERSON(S) MAKING PRESENTATION: _____

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Appointment of Jeanne "J. C." Kizak and Ronald Fossum to the Citizen Involvement Committee, both to District #2 Positions, both for terms ending 3/30/2001.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT

MANAGER: _____

Beverly Stein

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 MAR 30 AM 10:06
MULTNOMAH COUNTY
OREGON

INTEREST FORM FOR MULTNOMAH COUNTY
CITIZEN INVOLVEMENT COMMITTEE

In order for the Multnomah County Commission to assess more thoroughly the qualifications of persons interested in serving on the Citizen Involvement Committee, you are requested to fill out this interest form. Please feel free to attach or enclose supplemental information or a resume which further details your involvement in volunteer activities, public affairs, civic services, affiliations, etc.

We consider information from Sections I through III public, and it may be used in press releases announcing appointments.

NAME OF NOMINATING GROUP: Neighborhood Assoc: N.E. COALITION NEIGHBORHOODS DIST 2

SECTION I

NAME: RONALD A. FOSSUM HOME PHONE: (503) 282-3018

ADDRESS: 5533 NE 30TH AVE WORK PHONE: _____

PORTLAND, OR Zip Code: 97211-6805

Is your residence located in Multnomah County?

YES ✓ NO _____

SECTION II

Why are you interested in serving on the Multnomah County Citizen Involvement Committee?

FURTHER CITIZEN PARTICIPATION IN COUNTY
DECISION MAKING PROCESS

SECTION III

Please list three volunteer/civic activities:

ORGANIZATION: 1. CONCORDIA NEIGH. ASSN. BOARD DATE: 3/1/92 → PRESENT
2. N.E. COAL. NEIGH. BOARD DATE: 9/1/92 → PRESENT
3. M.C.C.I. DATE: 1/1/96 → PRESENT

RESPONSIBILITIES: _____

SECTION IV

Please list the name, address and telephone numbers of two people who may be contacted as references. Please name those who know about your interests and qualifications to serve on the Citizen Involvement Committee:

WILLIE BROWN, 7221 N. VANCOUVER, PTLD, 97217
KAY DURTSHI, P.O. BOX 12419, PTLD, 97208

SECTION V

Please state any potential conflicts of interest between private life and public service which might result from service on the Citizen Involvement Committee:

NONE

SECTION VI

In order to assist Multnomah County in meeting affirmative action goals, additional information is requested of you. This section is voluntary and will remain confidential.

BIRTH DATE: Month 3 Day 10 Year 39 SEX: Female Male X

ETHNIC ORIGIN: Asian Black Hispanic

Native American White X

My signature affirms that all information is true to the best of my knowledge. I understand that any misstatement of fact or misrepresentation of credentials may result in this application being disqualified from further consideration or, subsequent to my appointment to the Citizen Involvement Committee, may result in my dismissal from that Committee.

Signature:  Date: 2/23/98

INTEREST FORM FOR MULTNOMAH COUNTY
CITIZEN INVOLVEMENT COMMITTEE

In order for the Multnomah County Commission to assess more thoroughly the qualifications of persons interested in serving on the Citizen Involvement Committee, you are requested to fill out this interest form. Please feel free to attach or enclose supplemental information or a resume which further details your involvement in volunteer activities, public affairs, civic services, affiliations, etc.

We consider information from Sections I through III public, and it may be used in press releases announcing appointments.

Neighborhood Assoc: Concordia Neighborhood Association
NAME OF NOMINATING GROUP: Northeast Coalition Dist 2

SECTION I

NAME: Jeanne C. Kizak "JC" HOME PHONE: 281-3159
ADDRESS: 5243 NE 29th Avenue WORK PHONE: 823-4175
PORTLAND OR Zip code: 97211

Is your residence located in Multnomah County?

YES ☒ NO ☐

SECTION II

Why are you interested in serving on the Multnomah County Citizen Involvement Committee?

My community involvement in Portland has made me aware that many citizens are NOT involved or participating in community activities nor are they addressing their

SECTION III

Concerns to elected or appointed staff. I'd like to broaden the base of such participants
Please list three volunteer/civic activities:

ORGANIZATION: 1. St Philip Neri Parish DATE: 1988 - to present
2. Concordia Neighborhood Assoc. DATE: 1996 - to present
3. NE Coalition DATE: 1996 - to present

RESPONSIBILITIES: Various ministries at my church; Corresponding Secretary & Vice chair of the neighborhood association; board member of the NE Coalition.

SECTION IV

Please list the name, address and telephone numbers of two people who may be contacted as references. Please name those who know about your interests and qualifications to serve on the Citizen Involvement Committee:

Pat Messinger - Chair - Concordia Neighborhood Assoc - 284-776

Willy Brown - Chair - NE Coalition - 823-4575

SECTION V (or Ben Priestley, Director)

Please state any potential conflicts of interest between private life and public service which might result from service on the Citizen Involvement Committee:

Employed by City of Portland, Bureau of Human Resources

Active board member of Concordia Neighborhood Association

SECTION VI

In order to assist Multnomah County in meeting affirmative action goals, additional information is requested of you. This section is voluntary and will remain confidential.

BIRTH DATE: Month 2 Day 10 Year 47 SEX: Female ☒ Male ☐

ETHNIC ORIGIN: Asian ☐ Black ☐ Hispanic ☐
Native American ☐ White ☒

My signature affirms that all information is true to the best of my knowledge. I understand that any misstatement of fact or misrepresentation of credentials may result in this application being disqualified from further consideration or, subsequent to my appointment to the Citizen Involvement Committee, may result in my dismissal from that Committee.

Signature: Jeanne C Kizak Date: 3/2/98

MEETING DATE: APR 16 1998
AGENDA NO: C-2
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Volunteer Week Proclamation

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: April 16, 1998
AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: Non-Departmental DIVISION: Citizen Involvement Committee
CONTACT: Carol Ward TELEPHONE #: 248-3450
BLDG/ROOM #: 412/215/CIC

PERSON(S) MAKING PRESENTATION: _____

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Proclaiming the Week of April 19 through 25, 1998 as
Multnomah County Volunteer Week

4/16/98 ORIGINAL to CAROL WARD

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)
DEPARTMENT
MANAGER: J. Regan

BOARD OF
COUNTY COMMISSIONERS
98 APR - 1 PM 5:15
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

Proclaiming the Week of April 19) **P R O C L A M A T I O N**
through 25, 1998 **MULTNOMAH**) 98-30
COUNTY VOLUNTEER WEEK)

The Multnomah County Board of Commissioners finds:

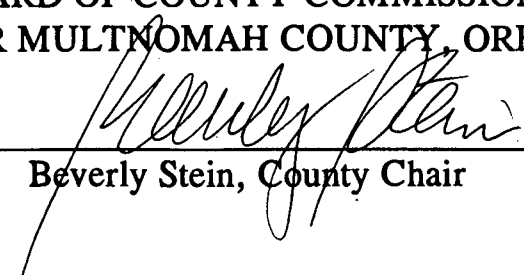
- a. One of America's greatest national resources is its volunteers, and the human resources they devote toward a healthy and productive society
- b. Each year thousands of volunteers contribute to the betterment of their community
- c. Volunteers give freely of their time, energy, and ability, and ask only for a smile and a thank you for their countless hours of service
- d. It has long been a tradition in this community for volunteers of all ages, men, women, and children, to perform work of the highest quality and to brighten the lives of others
- e. The cities of Fairview, Gresham, Troutdale and Wood Village in Multnomah County, Oregon are recognizing their volunteers during National Volunteer Week

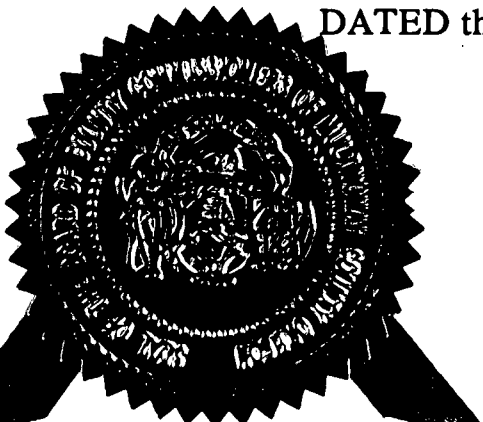
The Multnomah County Board of Commissioners proclaims:

1. The Week of April 19 through 25, 1998 as "MULTNOMAH COUNTY VOLUNTEER WEEK", and takes great pleasure in honoring all volunteers with sincere gratitude and appreciation for their dedicated, selfless, and compassionate efforts.

DATED this 16th day of April, 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Beverly Stein, County Chair



APR 16 1998
MEETING DATE: APR 09 1998
AGENDA NO: B-6 C-3
ESTIMATED START TIME: 10:20 AM
9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Proclamation

BOARD BRIEFING:

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: April 16, 1998

AMOUNT OF TIME NEEDED: 5 Minutes

DEPARTMENT: Nondepartmental

DIVISION: Chair's Office

CONTACT: Delma Farrell

TELEPHONE #: 248-3953

BLDG/ROOM #: 106/1515

PERSON(S) MAKING PRESENTATION: _____

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Proclaiming April 28, 1998 as Workers Memorial Day

4/16/98 ORIGINAL to Delma Farrell

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT

MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 MAR -5 PM 2:50

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Proclaiming April 28, 1998 as)
WORKERS MEMORIAL DAY) P R O C L A M A T I O N

WHEREAS every year more than 10,000 American workers are killed on the job, tens of thousands are permanently disable, and millions are injured; and

WHEREAS another 100,000 workers die from cancer, lung disease and other diseases related to toxic chemical exposure at work; and

WEHREAS concerned Americans are determined to prevent these tragedies by:

- Organizing Workers Memorial Day on April 28, a day chosen by the unions of the AFL-CIO as a day to remember these victims of workplace injuries and disease
- Renewing our efforts to seek stronger safety and health protections, better standards and enforcement, and fair and just compensation;
- Rededicating ourselves to improving safety and health in every American workplace.

NOW THEREFORE IT IS PROCLAIMED that April 28, 1998 is Workers Memorial Day in recognition and in honor of workers killed, injured and disabled on the job.

APPROVED this 16th day of April1, 1998.

MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair



MEETING DATE: APR 16 1998

AGENDA #: C-4

ESTIMATED START TIME: 9:30 am

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Victims' Rights Week Proclamation

BOARD BRIEFING:

DATE REQUESTED:

REQUESTED BY:

AMOUNT OF TIME NEEDED:

REGULAR MEETING:

DATE REQUESTED: April 16, 1998

AMOUNT OF TIME NEEDED: 2 min

DEPARTMENT: District Attorney

DIVISION:

CONTACT: Helen O'Brien

TELEPHONE #: 248-5451

BLDG/ROOM #: 101/804

PERSON(S) MAKING PRESENTATION: Michael D. Schunk

ACTION REQUESTED:

☒ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

4/16/98 ORIGINAL to Helen O'Brien

SIGNATURES REQUIRED:

ELECTED
OFFICIAL:

(OR)

DEPARTMENT

MANAGER:

Michael D. Schunk

BOARD OF
COUNTY COMMISSIONERS
98 APR - 1 PM 5:14
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk at 248-3277

County Chair

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Proclaiming the Week of April 19)	PROCLAMATION
through 25, 1998 OREGON CRIME)	98-32
VICTIMS' RIGHTS WEEK)	

The Multnomah County Board of Commissioners finds:

- a. Thousands of citizens of Multnomah County experience the trauma, pain, humiliation and personal and financial losses of being a victim of crime

- b. Crime victims and witnesses are too often overlooked by our system of justice in its efforts to apprehend, prosecute, and fairly sanction criminal offenders

- c. The needs and rights of crime victims deserve more public attention, understanding and compassion

- d. Citizens can play a major role in helping victims by reporting crimes and by showing greater willingness to testify in the prosecution of criminals

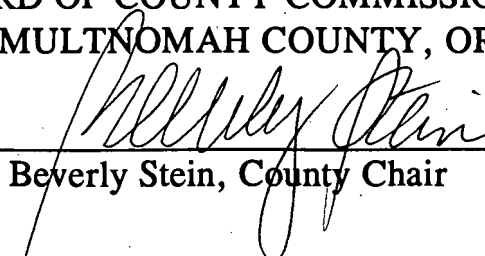
The Multnomah County Board of Commissioners proclaims:

- 1. The Week of April 19 through 25, 1998 as **OREGON CRIME VICTIMS' RIGHTS WEEK IN MULTNOMAH COUNTY.**

DATED this 16th day of April, 1998.



BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON



Beverly Stein, County Chair

BUDGET MODIFICATION NO:

DA # 10

APR 16 1998

(For Clerk's Use) Meeting Date:

APR 20 1998

Agenda No:

C-5

1. REQUEST FOR PLACEMENT ON THE AGENDA FOR March 5, 1998

DEPARTMENT District Attorney DIVISION
CONTACT Thomas Simpson TELEPHONE 248-3863
NAME(s) OF PERSON MAKING PRESENTATION TO THE BOARD Mike Schrunk

SUGGESTED AGENDA TITLE

Budget Modification DA#10 requesting the addition of 1.00 District Attorney 3 and .50 Office Assistant 2 to the Termination of Parental Rights Program

(Estimated Time Needed on the Agenda)

2. DESCRIPTION OF MODIFICATION

☒ PERSONNEL CHANGES ARE SHOWN IN DETAIL ON THE ATTACHED SHEET.

This is based on a renegotiated contract between the District Attorneys Office and the State of Oregon. The District Attorney and State Office of Services to Children and Families negotiated an increased level of funding for this program during the Fall, 1997. This budget modification adds the new staffing level to the District Attorney's budget.

3. REVENUE IMPACT

Add \$45,612.00

4. CONTINGENCY STATUS

Originated By:	Date	Department Director	Date
Fred M. Davis, Jr	February 18, 1998	Thomas Simpson	February 18, 1998
Plan/Budget Analyst	Date	Employee Services	Date
<i>J. Mark Campbell</i>	3-23-98	<i>S. Ayers</i>	3-26-98
Board Approval	Date		

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 MAR 27 PM 1:16

PERSONNEL DETAIL FOR BUDGET MODIFICATION

DA #10

ANNUALIZED PERSONNEL CHANGES

FTE Increase (Decrease)	POSITION TITLE	ANNUALIZED			
		BASE PAY Increase (Decrease)	Fringe	Insur.	TOTAL Increase (Decrease)
1.0	District Attorney 3	45,207	8,119	5,267	58,594
0.5	Office Assistant 2	19,281	3,463	2,487	25,230
					-
					-
					-
					-
					-
					-
					-
					-
1.5	Total Annualized Change	64,488	11,582	7,754	83,824

CURRENT YEAR PERSONNEL CHANGES

FTE Increase (Decrease)	Explanation of Change	CURRENT FY			
		BASE PAY Increase (Decrease)	Fringe	Insur.	TOTAL Increase (Decrease)
0.50	District Attorney 3	22,603	4,060	2,634	29,297
0.25	Office Assistant 2	9,640	1,731	1,243	12,615
					-
					-
					-
					-
					-
					-
					-
					-
0.75	Total Current Year Changes	32,244	5,791	3,877	41,912

DA #10

EXPENDITURE TRANSACTION

FUND	AGENCY	ORG	ACTIVITY	REPTG CAT	OBJECT	CURRENT AMOUNT	REVISED AMOUNT	CHANGE	SUBTOTAL	Description
156	023	2433			5100				32,244	Base Pay
156	023	2433			5500				5,791	Fringe
156	023	2433			5550				3,877	Insurance
400	070	7522			6580				3,877	Insurance Fund Transfer
156	023	2433			7100				3,700	Indirect
100	075	9120			7700				3,700	Indirect Fund Transfer
TOTAL EXPENDITURE CHANGE									53,189	

REVENUE TRANSACTION

FUND	AGENCY	ORG	ACTIVITY	REPTG CAT	REVENUE CODE	CURRENT AMOUNT	REVISED AMOUNT	CHANGE	SUBTOTAL	Description
156	023	2433			2323				45,612	
400	070	7522			6580				3,877	Insurance Fund Transfer
100	075	9120			7700				3,700	Indirect Fund Transfer
		7410			6102					
TOTAL REVENUE CHANGE									53,189	

MICHAEL D. SCHRUNK

Office Memorandum

District Attorney

TO: Board of County Commissioners

FROM: Michael D. Schrunk

DATE: February 18, 1998

REQUESTED PLACEMENT DATE: March 5, 1998

RE: Budget Modification DA#10 adding 1.00 District Attorney 3 and
.50 Office Assistant 2 to the Termination of Parental Rights Program

-
- I. Recommendation/Action Requested: Approval of the Budget Modification
- II. Background/Analysis: This is based on a renegotiated contract between the District Attorneys Office and the State of Oregon. The District Attorney and State Office of Services to Children and Families negotiated an increased level of funding for this program during the Fall, 1997. This budget modification adds the new staffing level to the District Attorney's budget.
- III. Financial Impact:
- IV. Legal Issues: N/A
- V. Controversial Issues: N/A
- VI. Link to Current County Policies: N/A
- VII. Other Government Participation: N/A

AGENDA #: APR 09 1998 APR 16 1998
ESTIMATED START TIME: R-7 C-6

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Proclamation: April, 1998 as Earthquake Preparedness Month

BOARD BRIEFING Date Requested:

Amount of Time Needed:

REGULAR MEETING Date Requested: April 2, 1998

Amount of Time Needed: 1 minute

DEPARTMENT: Department of Support Services DIVISION: Emergency Management

CONTACT: Mike Gilsdorf

TELEPHONE #: 618-2526

BLDG/ROOM#: 313/EM

PERSON(S) MAKING PRESENTATION: Mike Gilsdorf

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Proclamation: April, 1998 as Earthquake Preparedness Month to encourage all citizens to take a personal interest in increasing their awareness of and preparedness for the possibility of a major earthquake or other major disaster in their community, as well as taking steps to increase the safety.

4/16/98 ORIGINAL to MIKE GILSDORF

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

OR

DEPARTMENT MANAGER: _____

Mike Gilsdorf

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Office of the Board Clerk 248-3477

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
MAR 25 AM 9:12

BOARD OF COUNTY COMMISSIONERS
AGENDA ITEM BRIEFING
STAFF REPORT SUPPLEMENT

TO: BOARD OF COUNTY COMMISSIONERS

FROM: MIKE GILSDORF
MULTNOMAH COUNTY EMERGENCY MANAGEMENT

TODAY'S DATE: MARCH 19, 1998

REQUESTED PLACEMENT DATE: April 2, 1998

RE: Proclamation: April, 1998 "Earthquake Preparedness Month"

I. Recommendation/Action Requested:

Approve Proclamation to declare "April 1998 as Earthquake Preparedness Month"

II. Background/Analysis:

For the past five years (1993,1994, 1995, 1996 & 1997) the Board of County Commissioners has proclaimed April as Earthquake Preparedness Month. The Proclamation has been focused on encouraging all citizens to take a personal interest in increasing their awareness of and preparedness for the possibility of a major earthquake or other major disaster in their community, as well as taking steps to increase their safety.

III. Financial Impact:

Cost to support Earthquake Month activities has been pre-identified and budgeted into the Fiscal Year budget. This agenda item has no additional financial or budgetary impact.

IV. Legal Issues:

We are aware of no legal issues.

V. Controversial Issues:

The County has Proclaimed April as Earthquake Preparedness Month for five consecutive years. There have been no controversial issues and we do not expect there will be any this year.

VI. Link to Current County Policies:

This proclamation is tied to the County Benchmark on Community Preparedness- percentage of residences, institutions, and businesses which are prepared for an emergency by being able to sustain themselves for 72 hours. This benchmark measures the extent to which the emergency service providers have communicated with and educated the public about emergency preparedness.

VII. Citizen Participation:

None

VIII. Other Government Participation:

None

**BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON**

In the Matter of Proclaiming April,)	P R O C L A M A T I O N
1998, Earthquake Preparedness)	98-
Month in Multnomah County)	

WHEREAS, Oregon has suffered considerable damage from several small earthquakes in the last few years; and

WHEREAS, scientific evidence indicates that Multnomah County is at risk for a major earthquake in the future; and

WHEREAS, there is currently no accurate way to predict when an earthquake will occur; and

WHEREAS, the loss of life and property can be greatly reduced if appropriate earthquake preparedness measures are taken BEFORE such an earthquake occurs; and

WHEREAS, emergency management agencies will highlight these lifesaving procedures and provide earthquake safety information to citizens during the month of April; and

WHEREAS, because it may not be possible to overcome physical barriers caused by an earthquake; individual, family, and organizational preparedness is crucial to survival during the first 72 hours after a major disaster until relief assistance can arrive; now therefore

The Board of County Commissioners HEREBY PROCLAIMS April, 1998 as **EARTHQUAKE PREPAREDNESS MONTH** in Multnomah County, Oregon, and encourages all citizens to take a personal interest in increasing their awareness of and preparedness for the possibility of a major disaster in their community, as well as taking steps to increase their safety.

PROCLAIMED this 2nd day of April, 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

Proclaiming April, 1998 as)	P R O C L A M A T I O N
EARTHQUAKE PREPAREDNESS)	98-33
MONTH in Multnomah County)	

The Multnomah County Board of Commissioners finds:

- a. Oregon has suffered considerable damage from several small earthquakes in the last four years
- b. Scientific evidence indicates that Multnomah County is at risk for a major earthquake in the future
- c. There is currently no accurate way to predict when an earthquake will occur
- d. The loss of life and property can be greatly reduced if appropriate earthquake preparedness measures are taken BEFORE such an earthquake occurs
- e. Emergency management agencies will highlight these lifesaving procedures and provide earthquake safety information to citizens during the month of April
- f. Because it may not be possible to overcome physical barriers caused by an earthquake; individual, family, and organizational preparedness is crucial to survival during the first 72 hours after a major disaster until relief assistance can arrive

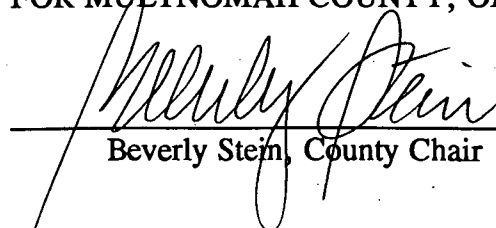
The Multnomah County Board of Commissioners proclaims:

1. April, 1998 as EARTHQUAKE PREPAREDNESS MONTH in Multnomah County, Oregon, and encourages all citizens to take a personal interest in increasing their awareness of and preparedness for the possibility of a major disaster in their community, as well as taking steps to increase their safety.

DATED this 16th day of April, 1998.



BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON



Beverly Stein, County Chair

APR 16 1998

BUDGET MODIFICATION NO. DSS # 9(For Clerk's Use) Meeting Date APR 8 1998Agenda No. C-71. REQUEST FOR PLACEMENT ON THE AGENDA FOR April 2, 1998
(Date)DEPARTMENT Support Services DIVISION Emergency ManagementCONTACT Mike Gilsdorf TELEPHONE 618-2526*NAME (s) OF PERSON MAKING PRESENTATION TO BOARD Mike Gilsdorf

SUGGESTED AGENDA TITLE (to assist in preparing a description for the printed agenda)

Budget Modification requesting authorization to recognize \$33,500 additional revenues received and/or to be received.

(Estimated Time Needed on the Agenda)

2. DESCRIPTION OF MODIFICATION (Explain the changes this Bud Mod makes. What budget does it increase? What do the changes accomplish? Where does the money come from? What budget is reduced? Attach additional information if you need more space.)

[] PERSONNEL CHANGES ARE SHOWN IN DETAIL ON THE ATTACHED SHEET

The FY-1998 budget for the Hazardous Material Spill account was prepared based on \$30,000 projected revenue. Actual revenue received has totaled to \$43,113 an increase of \$13,113 over the projected revenue. Funds are received from the State Fire Marshall's Office for reimbursement of hazardous materials responses made by the Multnomah County/Gresham Hazardous Materials Team.

3. REVENUE IMPACT (Explain revenues being changed and the reason for the change)

This budget modification will increase revenue funds to the Hazardous Material Program and the Emergency Management program.

4. CONTINGENCY STATUS (to be completed by Finance/budget)

Contingency before this modification (as of _____) \$ _____
(Specify Fund) (Date)

After this modification \$ _____

Originated by <u>Will J. [Signature]</u>	Date <u>11 MAR 98</u>	Department Manager <u>Vickie [Signature]</u>	Date <u>3/18/98</u>
Budget Analyst <u>J. Mark [Signature]</u>	Date <u>3-23-98</u>	Personnel Analyst <u>Donald [Signature]</u>	Date <u>3-23-98</u>
Board Approval			Date

98 MAR 25 AM 9:12
 CLERK OF
 COUNTY OF MULTNOMAH
 OREGON

DSS #9

EXPENDITURE

TRANSACTION EB {}

GM {} TRANSACTION DATE _____

ACCOUNTING PERIOD _____

BUDGET FY 1998

Document Number	Action	Fund	Agency	Organization	Activity	Reporting Category	Object	Current Amount	Revised Amount	Change Increase (Decrease)	Sub-Total	Description
		156	070	7351			6060	20,000	36,750	+16,750		HM - Pass Thru
		156	070	7351			6230	0	1,279	+ 1,279		HM - Supplies
		156	070	7351			6270	0	500	+ 500		HM - Food
		156	070	7351			6310	10,000	21,750	+11,750		HM - Training
		156	070	7351			7150	0	500	+ 500		HM - Telephone
		156	070	7351			7100	0	600	+ 600		HM - Indirect Cost
		156	070	7301			6140	0	300	+ 300		EM - Telephone
		156	070	7301			6180	2,000	2,344**	+ 344		EM - Repair & Maintenance
		156	070	7301			7200	0	100	+ 100		EM - Internal Data Processing
		156	070	7301			6310	1,738	3,115	+ 1,377		EM - Training
		156	070	7301			7550	8,870	7,906.25	- 963.25		EM - Cap. Lease Ret.
		156	070	7301			7350	778	1,741.25	+ 963.25		EM - Electronics
		156	070	7301			6110	1,000	0	- 1,000		EM - Professional Services
		156	070	7301			6180	2,344**	3,344	+ 1,000		EM - Repair & Maintenance
TOTAL EXPENDITURE CHANGE										+33,500		

REVENUE

TRANSACTION EB {}

GM {} TRANSACTION DATE _____

ACCOUNTING PERIOD _____

BUDGET FY 1998

Document Number	Action	Fund	Agency	Organization	Activity	Reporting Category	Revenue Source	Current Amount	Revised Amount	Change Increase (Decrease)	Sub-Total	Description
		156	070	7351			4140	30,000	56,188	29,688		15,462 HM revenue rcv' & @14,000 projected to be rcv'd
		156	070	7351			2080	0	3,812	3,812		1,422 training reim. rcv'd & 2,388 projected to be rcv'd
TOTAL REVENUE CHANGE										+33,500		TOTAL REVENUE CHANGE

BOARD OF COUNTY COMMISSIONERS
AGENDA ITEM BRIEFING
STAFF REPORT SUPPLEMENT

TO: BOARD OF COUNTY COMMISSIONERS

FROM: MIKE GILSDORF
MULTNOMAH COUNTY EMERGENCY MANAGEMENT

TODAY'S DATE: March 6, 1998

REQUESTED PLACEMENT DATE: April 2, 1998

RE: Office of Emergency Management request for budget modification approval.

I. Recommendation/Action Requested:

Approve budget modification for the Office of Emergency Management to recognize \$33,500 in additional revenues received and/or to be received from the State Fire Marshall's Office for Hazardous Materials spill responses.

II. Background/Analysis:

The FY-1998 budget for the Hazardous Material Spill account was prepared based on \$30,000 projected revenue. Actual revenue received has totaled to \$43,113 an increase of \$13,113 over the projected revenue. The \$43,113 revenue is comprised of a FY 97 carryover of \$26,227 and current \$16,886 in hazardous spills and training reimbursement received. An additional of \$16,800 is projected for hazardous spills and training reimbursement.

Funds are received from the State Fire Marshall's Office for reimbursement of hazardous materials responses made by the Multnomah County/Gresham Hazardous Materials Team.

III. Financial Impact:

This budget modification will increase revenue funds to the Hazardous Material Program and the Emergency Management program.

IV. Legal Issues:

None.

V. Controversial Issues:

None.

VI. Link to Current County Policies:

This request is consistent with County budgeting policy for FY 1997- 1998.

VII. Citizen Participation:

None.

VIII. Other Government Participation:

City of Gresham Fire Department. The Hazardous Materials Spill Fund account is used to pay for pass-through money to reimburse Gresham for their portion of the spill response costs in accordance with the current Intergovernment Agreement.

MEETING DATE: APR 16 1998

AGENDA NO.: C-8

ESTIMATED START TIME: 9:30 am

(Above space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Intergovernmental Agreement with Oregon Health Sciences University

BOARD BRIEFING Date Requested: _____

Requested By: _____

Amount of Time Needed: _____

REGULAR MEETING Date Requested: _____

Amount of Time Needed: n/a

DEPARTMENT: Health DIVISION: _____

CONTACT: *Karla McFarland TELEPHONE #: 248-3339

BLDG/ROOM #: 340/2

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Renewal of Intergovernmental Agreement 201358 with Oregon Health Sciences University for the provision of case management services for low-income persons living with HIV/AIDS.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

Or

DEPARTMENT MANAGER: Belli Odegard

BOARD OF
COUNTY COMMISSIONERS
98 APR - 6 AM 10:12
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk at 248-3277



MULTNOMAH COUNTY OREGON



HEALTH DEPARTMENT
426 S.W. STARK STREET, 8TH FLOOR
PORTLAND, OREGON 97204-2394
(503) 248-3674
FAX (503) 248-3676
TDD (503) 248-3816

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
DAN SALTZMAN • DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
TANYA COLLIER • DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

MEMORANDUM

Date: March 4, 1998
To: Board of County Commissioners
Via: Bill Odegaard, Health Department Director
From: Dave Houghton, Director, Disease Prevention & Control Division
Subject: Contract #201358 with Oregon Health Sciences University for case management services for low-income persons living with HIV

- I. **Recommendation/Action Requested:** The Health Department recommends Board ratification of Contract #201358 with Oregon Health Sciences University for the period March 1, 1998, through February 28, 1999. This agreement is retroactive due to delays in finalizing the contract amount and the scope of work for this grant year.
- II. **Background/Analysis:** The Health Department has received a federal grant through the Ryan White Comprehensive AIDS Resources Emergency (CARE) Act, which provides health care and support services to people living with HIV and AIDS. The CARE Act authorizes emergency funding for the sole purpose of fulfilling the unmet service needs of the HIV-positive affected population.

OHSU will continue to receive Ryan White Title I funds to provide a program of case management services for low-income, HIV-positive persons who have no other source of payment for these services. Designated the HIV Case Management Partnership Project, the program is a coalition of governmental and private agencies which provide staff and resources to deliver comprehensive case management services. Services will include outreach, a range of client-centered services which link clients and their family members with appropriate levels of health and support services, and ongoing assessment of the clients' and their family members' needs and personal support systems. Services will be delivered by interdisciplinary teams consisting of a nurse case manager, social work case manager and community case manager. The teams will be assigned to sites throughout the Portland metropolitan area.

This contract was awarded through RFP #P952-21-0044 in April 1995 and has been renewed annually. OHSU also receives Ryan White funds (through separate agreements) to provide dental care and medical care for low-income persons living with HIV.

March 4, 1998
Oregon Health Sciences University
Contract #201358

- III. Financial Impact: The County will pay OHSU a maximum of \$302,939. This agreement is fully funded by the Health Department's federal Ryan White CARE Act Title I grant.
- IV. Legal Issues: None
- V. Controversial Issues: None
- VI. Link to Current County Policies: Continuing to collaborate with other government agencies in the provision of health care.
- VII. Citizen Participation: Ryan White Title I funds are allocated through the local HIV Health Services Planning Council. The Council oversees prioritization of funding allocations, advises on allocation procedures, and assists in evaluating administrative procedures. The Council reflects the diversity of the population affected by HIV/AIDS, and consists of a balance of service providers, community representatives and users of services.
- VIII. Other Government Participation: Multnomah County administers Ryan White Title I funds for the Portland Eligible Metropolitan Area (EMA) which consists of Clackamas, Columbia, Multnomah, Washington and Yamhill Counties in Oregon and Clark County Washington; and Ryan White Title II funds for Clackamas, Clatsop, Columbia, Multnomah, Tillamook and Washington Counties. All counties participate on the various planning councils and committees, and the Health Department has contracts with the Title II counties to provide HIV case management services.

Other governmental agencies participating in the Partnership Project include the Clackamas County Health Department, the U.S. Social Security Administration, the Oregon Senior and Disabled Services Division, and the Oregon Adult and Family Services Division.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedure CON-1)

Pre-approved Contract Boilerplate (with County Counsel signature) ☒ Attached ☐ Not Attached

Contract #: 201358
Amendment #:

CLASS I	CLASS II	CLASS III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input checked="" type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input checked="" type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <div style="text-align: center;"> APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # _____ DATE _____ BOARD CLERK </div>

Department: Health Department Division: Disease Prevention & Control Date: 3/4/98
 Originator: Karla McFarland Phone: x83339 Bldg/Rm: 340/2
 Contact: Karen Garber Phone: x29364 Bldg/Rm: 160/7 -
 Description of Contract:
 HIV case management (Partnership Project) for persons living with HIV. (Ryan White Title I funding)

RENEWAL: ☒ PREVIOUS CONTRACT NO(S): 202065, 201766, 201457
 RFP/BID: P952-21-0044 RFP/BID DATE: April 1995
 EXEMPTION NO/DATE: _____ EXEMPTION EXPIRATION DATE: _____ ORS/AR #: _____
 CONTRACTOR IS: ☐ MBE ☐ WBE ☐ ESB ☐ QRF ☒ N/A ☐ NONE (Check all boxes that apply)

Contractor <u>Oregon Health Sciences University</u> Address <u>HIV Case Management Partnership Project</u> <u>3181 SW Sam Jackson Park Road</u> <u>Portland, OR 97201</u> Phone <u>230-1202 (FAX 230-1213)</u> Employer ID# or SS# <u>93-1176109</u> Effective Date <u>March 1, 1998</u> Termination Date <u>February 28, 1999</u> Original Contract Amount \$ <u>302,939</u> Total Amt of Previous Amendments \$ <u>n/a</u> Amount of Amendment \$ <u>n/a</u> Total Amount of Agreement \$ <u>302,939</u>	Jae Douglas, Program Director; Bridget Haggerty, Contract Manager Remittance address <u>(If different) ADD Attn: Cashier's Office, L002</u> Payment Schedule / Terms: <input type="checkbox"/> Lump Sum \$ _____ <input type="checkbox"/> Due on Receipt <input checked="" type="checkbox"/> Monthly \$ <u>(invoice)</u> <input type="checkbox"/> Net 30 <input type="checkbox"/> Other \$ _____ <input type="checkbox"/> Other <input type="checkbox"/> Requirements Not to Exceed \$ _____ Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

REQUIRED SIGNATURES:

Department Manager Brian Odegaard
 Purchasing Manager Kathi Garber
 (Class II Contracts Only)
 County Counsel _____
 County Chair _____
 Sheriff _____
 Contract Administration _____
 (Class I, Class II Contracts only)

DATE 3/26/98
 DATE _____
 DATE 4/2/98
 DATE _____
 DATE _____
 DATE _____

LGFS VENDOR CODE 686893A						DEPT REFERENCE					
LINE #	FUND	AGENCY	ORG	SUB ORG	ACTIVITY	OBJ/ REV	SUB OBJ	REP CAT	LGFS DESCRIPTION	AMOUNT	INC DEC
01	156	015	0338		GY04	6060		0383	HIV Case Management		
02											
03											

Rev. 2/12/98 DIST: Original - Contract Admin, Originator, Accts Payable. If additional space is needed, attach separate page. Write contract # on top of page.

**INTERGOVERNMENTAL AGREEMENT FOR
CASE MANAGEMENT SERVICES FOR PERSONS LIVING WITH HIV
The Partnership Project**

THIS INTERGOVERNMENTAL AGREEMENT is between MULTNOMAH COUNTY, acting by and through its Health Department, hereafter "COUNTY," and OREGON HEALTH SCIENCES UNIVERSITY, a public corporation, hereafter "OHSU."

WITNESSETH:

WHEREAS, COUNTY has received a federal grant through the Ryan White Comprehensive AIDS Resources Emergency (CARE) Act, which provides funding for health care and support services to persons living with HIV; and

WHEREAS, these funds are allocated to COUNTY to provide services throughout the six-county Portland Eligible Metropolitan Area, in accordance with the priorities established by the Portland-area HIV Services Planning Council; and

WHEREAS, OHSU is able and prepared to provide such services as COUNTY does hereinafter require, under the terms and conditions hereinafter described;

NOW THEREFORE, in consideration of those mutual promises and the terms and conditions set forth hereafter, the parties agree as follows:

1. TERM

This Agreement shall become effective when fully executed retroactive to March 1, 1998, and shall expire February 28, 1999, unless sooner terminated under the provisions hereof.

2. SERVICES

In accordance with COUNTY's RFP# P952-21-0044 (April 1995), OHSU will receive federal Ryan White CARE Act funds to provide a program of comprehensive case management services (designated the HIV Case Management Partnership Project) for low-income persons living with HIV.

A. General

- 1) To be eligible for services under this Agreement, individuals, who may be self-referred or referred by case managers, outreach workers, health departments or other community agencies, must:
 - a) Have medically verifiable HIV disease. OHSU shall meet the federal requirement to document positive HIV serostatus for each person receiving services under this Agreement.
 - b) Reside in the six-county Eligible Metropolitan Area (EMA) which consists of the following counties: Clackamas, Columbia, Multnomah, Washington and Yamhill Counties in Oregon, and Clark County, Washington.
 - c) Have no other source of payment for the services provided.

- 2) Funds received through this Agreement cannot be used to make direct financial payments to clients.
- 3) Clients served must be representative of the geographic distribution of AIDS cases within the EMA.
- 4) Priority for services shall be given to clients whose income is less than or equal to 200% of the Federal Poverty Level.
- 5) OHSU shall ensure the cultural diversity of the clinical and administrative staff providing services under this Agreement. At a minimum, at least two staff members must speak Spanish fluently and have expertise in providing services to the Hispanic community, and at least one staff member must have expertise in providing services to the African-American community.
- 6) OHSU shall maintain procedures which allow clients to express concerns and/or file complaints if they are dissatisfied with the services provided under this Agreement.
- 7) OHSU shall maintain a comprehensive outreach program to inform health care providers, social service agencies, AIDS services organizations and other community-based agencies about the availability of the services provided under this Agreement.
- 8) OHSU shall ensure that culturally sensitive outreach and services are provided to racial/ethnic minorities and specific linguistic communities. The number of minority clients served must be, at a minimum, proportionate to their racial/ethnic representation in the region's AIDS demographics.

B. HIV Case Management Partnership Project

OHSU shall enhance and ensure access to treatment and support services for low-income persons living with HIV by coordinating the HIV Case Management Partnership Project, a comprehensive case management system delivered by a coalition of private and governmental agencies.

- 1) Through the Partnership Project, OHSU shall provide comprehensive case management services for a minimum of 1,100 unduplicated clients.
 - a) Services shall include a minimum of 22,000 case management encounters, with an average of four face-to-face encounters per client.
 - b) Special emphasis shall be placed on providing services in the outlying areas of the EMA.
- 2) OHSU shall provide staff for the Partnership Project at the levels outlined in the budget attached to this Agreement as Exhibit A.

- 3) OHSU shall ensure that participating agencies provide at least 7.5 FTE of case management and resource specialist staff dedicated to working on the Partnership Project.
 - a) Participating agencies shall include, but not be limited to: OHSU, Legacy Health Systems, Ecumenical Ministries of Oregon, Women's Intercommunity AIDS Resource, Sisters of Providence Health System, the Clackamas County Health Department, the Multnomah County Health Department, the Social Security Administration, the State Senior and Disabled Services Division, the State Adult and Family Services Division, the Casey Family Program, Phoenix Rising, Mental Health Services West, Garlington Center, and Janus Youth Programs/Harry's Mother.
 - b) OHSU shall notify COUNTY of proposed changes in participating agencies and their impact on services prior to implementation.
- 4) OHSU shall deliver comprehensive case management services through a minimum of five interdisciplinary teams, each consisting of a nurse case manager, social work case manager and community case manager.
- 5) Teams shall be assigned to at least six agency sites.
 - a) Sites shall include OHSU, Legacy Health Systems, Women's Intercommunity AIDS Resource, Sisters of Providence Health System, the Clackamas County Health Department and the Multnomah County Health Department.
 - b) Each site shall be assigned to cover one or more geographic areas in the EMA.
 - c) OHSU shall notify COUNTY of proposed additions or changes in site locations and their impact on services prior to implementation.
- 6) In addition to providing services at the agency sites, if a case manager finds it in the best interest of the client, s/he shall schedule client visits at a provider's office or clinic, in the client's home, at OHSU's facilities, or at another mutually agreed upon location.
- 7) OHSU shall provide case management services for Latino/a clients in collaboration with the Virginia Garcia Memorial Health Center and Apoyo Latino and in a community setting which best meets the needs of Latino/a clients.
- 8) At all sites, and at the central Partnership Project office, team members shall accept referrals, interview clients, and triage clients to a case manager.
 - a) Client referrals shall be accepted from any source.
 - b) All clients shall be offered the full scope of case management services described in this Agreement.

- c) The level of case management and case management team assignments shall be determined by the location of the client's primary care provider and the client's acuity (considering Karnovsky score, CDC staging of HIV infection scale, mental health assessment and social assessment).
- 9) Case managers shall assess the client's physical, environmental, financial, cognitive, and functional level; identify the client's needs and problems; determine the client's services needs, if any; plan for these services; locate, develop, and coordinate access to these services; monitor the provision of these services as well as changes in the client's condition; adjust the service plan as needed; and document the process.
- 10) All client service plans shall be reviewed at regular case conference sessions. The first review shall take place within 30 days of initial services.
- 11) Case managers shall maintain a comprehensive understanding of the treatment, financial, and support services available to meet the needs of persons living with HIV.
- 12) OHSU shall establish a comprehensive, centralized database which can be accessed by staff in the field. The centralized database shall include client demographics, care and service plans, contacts, outcomes, progress notes and other relevant service data.
- 13) OHSU shall serve as resource and network with case managers throughout the EMA to ensure that the comprehensive case management services described in this Agreement are provided to all eligible clients.

3. REPORTING AND EVALUATION

- A. OHSU shall submit to COUNTY by May 1, 1998, the following federally required information. OHSU must submit this information in the format prescribed by COUNTY.
 - 1) A narrative justification for the line-item budget for this Agreement (Exhibit A).
 - 2) A summary of OHSU's funding by funding source for HIV care services.
- B. OHSU shall report its progress toward fulfilling minimum service requirements by completing the "Ryan White Services Monthly Summary" report. This report must be submitted to COUNTY by the 15th of each month for services provided during the previous month. Reporting shall include but is not limited to:
 - 1) Number of clients served.
 - 2) Unduplicated number of clients served year-to-date.
 - 3) Number of case management encounters.
 - 4) Number of face-to-face encounters.
 - 5) A brief narrative describing progress in implementing/providing services, any difficulties encountered, and steps taken to resolve those difficulties.

C. OHSU shall prepare and submit to COUNTY a final summary report for the Agreement period. This report is due within 30 days following the end of the Agreement period. At a minimum, OHSU's report shall summarize:

- 1) Progress in meeting service level requirements.
- 2) Achievement of any specified performance objectives.
- 3) Activities related to various contractual obligations regarding coordination of services, outreach, evaluation, and quality improvement.
- 4) Any significant agency/programmatic changes made.

D. OHSU shall complete the quarterly "Ryan White AAR Client Services Report" for the calendar year January 1 through December 31, 1998.

- 1) OHSU shall submit this report to COUNTY by the 15th of April, July, October, and January.
- 2) The "Administrative Data" section of this report and the AAR "Agency Identification Summary" are to be completed for the fourth quarter (final) submission only.

E. OHSU shall maintain an Advisory Board to provide a mechanism for informed community members and persons living with HIV to have meaningful input into the development and implementation of OHSU's policies and programs designed to address their needs.

- 1) The Advisory Board will participate in evaluating the quality and accessibility of Ryan White-funded services.
- 2) The Advisory Board membership shall include representatives of the agencies participating in the Partnership Project and other individuals with expertise in providing services to persons living with HIV.
- 3) At least 30%, but no less than three, of the Advisory Board members must be consumers. For the purposes of the Advisory Board, a consumer is defined as a person living with HIV or a person who is the parent, child, guardian, partner, spouse, or primary caregiver of someone who is living with HIV.

F. OHSU shall work with COUNTY to define performance or outcome objectives for Agreement services and, on a trial basis, implement procedures to measure achievement of these objectives. COUNTY and OHSU will mutually agree on a mechanism for reporting information related to the achievement of these objectives.

G. OHSU shall assist with COUNTY's program evaluation, quality assurance and quality improvement efforts. These may include but are not limited to client satisfaction surveys, peer provider surveys, and site visits from COUNTY staff.

- H. OHSU shall attend quarterly Ryan White Service Providers meetings convened by COUNTY to share information, discuss evaluation and quality improvement issues, and review any common contractual or programmatic concerns.
- I. OHSU shall provide comprehensive data for program planning purposes from its centralized database, including client demographics, client acuity levels, services received, service outcomes, and the effectiveness of case management and other services.

4. **COMPENSATION**

A. COUNTY agrees to pay OHSU a maximum of \$302,939 for the performance of those services provided hereunder, which payment shall be based on the budget attached to this Agreement as Exhibit A, herein incorporated by reference, and subject to the following terms:

- 1) COUNTY will reimburse OHSU monthly upon receipt of a monthly line-item expenditure report detailing allowable expenses.
- 2) OHSU shall submit its expenditure reports by the 15th day of each month for services provided during the previous month to:

HIV Contract Manager
Multnomah County Health Department
20 NE 10th Avenue, 2nd Floor
Portland, OR 97232

- 3) COUNTY shall send payment to:

HIV Case Management Partnership Project
Attention: Cashier's Office
Oregon Health Sciences University
3181 SW Sam Jackson Park Road, L002
Portland, OR 97201

- 4) Budget modifications between major categories (e.g., Personnel, Materials and Supplies, Equipment, or Direct Assistance) must be approved by COUNTY. The purchase of equipment not listed in the budget (Exhibit A) must be approved by COUNTY.
- 5) All equipment purchased with Agreement funds shall remain the property of COUNTY, and its final disposition shall be at the sole discretion of COUNTY. OHSU shall retain possession of the equipment so long as it is continued in use for the purpose of supporting Ryan White-funded programs and services.
- 6) Administrative costs billed by OHSU under this Agreement must not exceed 10% of the total Agreement amount. Administrative costs include indirect costs; costs associated with management and oversight of the Agreement; and costs associated with program support, including quality assurance and quality controls.

- 7) In the event that OHSU does not demonstrate the ability to provide services at the level specified during the Agreement period, COUNTY reserves the right to reduce Agreement funding and reallocate these funds to other Ryan White approved services.
 - 8) Both parties agree that this Agreement is subject to the availability of federal funds.
- B. COUNTY certifies that sufficient funds are available and authorized to finance the costs of this Agreement through the fiscal year ending June 30, 1998. In the event that funds cease to be available to COUNTY in the amounts anticipated during the remainder of the fiscal year, or in the event that sufficient funds are not approved and authorized in the next fiscal year, either COUNTY or OHSU may terminate the Agreement or the parties by mutual agreement may reduce Agreement funding accordingly. COUNTY will notify OHSU as soon as it receives notification from funding source. Reduction or termination will not affect payment for accountable expenses prior to the effective date of such action.
- C. OHSU shall submit all invoices for services provided under this Agreement within 45 days after the end of the Agreement period. COUNTY shall not be responsible for payment of invoices submitted more than 45 days after the end of the Agreement period.

**INTERGOVERNMENTAL AGREEMENT
STANDARD CONDITIONS**

1. INDEPENDENT CONTRACTOR STATUS

OHSU is an independent contractor and is solely responsible for the conduct of its programs. OHSU, its employees and agents shall not be deemed employees or agents of COUNTY.

2. INDEMNIFICATION

- A. OHSU shall defend, hold and save harmless COUNTY, its officers, agents, and employees from damages arising out of the tortious acts of OHSU, or its officers, agents, and employees acting within the scope of their employment and duties in performance of this Agreement subject to the limitations and conditions of the Oregon Tort Claims Act, ORS 30.260 through 30.300, and any applicable provisions of the Oregon Constitution.
- B. COUNTY shall defend, hold and save harmless OHSU, its officers, agents, and employees from damages arising out of the tortious acts of COUNTY, or its officers, agents, and employees acting within the scope of their employment and duties in performance of this Agreement subject to the limitations and conditions of the Oregon Tort Claims Act, ORS 30.260 through 30.300, and any applicable provisions of the Oregon Constitution.

3. WORKERS' COMPENSATION INSURANCE

OHSU shall maintain workers' compensation insurance coverage for all non-exempt workers, employees, and subcontractors either as a carrier-insured employer or a self-insured employer as provided in ORS Chapter 656.

4. TAXPAYER IDENTIFICATION NUMBER

OHSU shall furnish to COUNTY its federal employer identification number, as designated by the Internal Revenue Service.

5. SUBCONTRACTS AND ASSIGNMENT

OHSU shall neither subcontract with others for any of the work prescribed herein, nor assign any of OHSU's rights acquired hereunder without obtaining prior written approval from COUNTY. COUNTY by this Agreement incurs no liability to third persons for payment of any compensation provided herein to OHSU.

6. RECORD CONFIDENTIALITY

COUNTY and OHSU agree to keep all client records confidential in accordance with state and federal statutes and rules governing confidentiality.

7. ACCESS TO RECORDS

OHSU agrees to permit authorized representatives of COUNTY, and/or the applicable federal or state government audit agency, to make such review of the records of OHSU as COUNTY or auditor may deem necessary to satisfy audit and/or program evaluation purposes. OHSU shall permit authorized representatives of COUNTY's Health Department to site-visit all programs covered by this Agreement. Agreement costs disallowed as the result of such audits, review or site visits will be the sole responsibility of OHSU. If an Agreement cost is disallowed after reimbursement has occurred, OHSU will make prompt repayment of such cost.

8. **ADHERENCE TO LAW**

- A. OHSU shall adhere to all applicable laws governing its relationship with its employees, including but not limited to laws, rules, regulations and policies concerning workers' compensation, and minimum and prevailing wage requirements.
- B. OHSU shall not unlawfully discriminate against any individual with respect to hiring, compensation, terms, conditions or privileges or employment, nor shall any person be excluded from participation in, be denied the benefits, or be subjected to discrimination under any program or activity because of such individual's race, color, religion, sex, national origin, age, marital status, familial status, sexual orientation, or handicap. In that regard, OHSU must comply with all applicable provisions of Executive Order Number 11246 as amended by Executive Order Number 11375 of the President of the United States dated September 24, 1965, Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and Section 504 of the Rehabilitation Act of 1973 as implemented by 45 C.F.R. 84.4 and the Americans with Disabilities Act of 1990, Public Law Number 101-336 and all enacting regulations of the EEOC and Department of Justice. OHSU will also comply with all applicable rules, regulations and orders of the Secretary of Labor concerning equal opportunity in employment and the provision of ORS Chapter 659.

9. **AMENDMENTS**

- A. In the event that COUNTY's Agreement obligation is amended by a federal- or state-initiated change, COUNTY shall amend this Agreement through written notification of changes sent to OHSU by mail. OHSU shall return to COUNTY within twenty (20) working days a signed acknowledgment of receipt of COUNTY's notification document.
- B. Any other amendments to the provisions of this Agreement, whether initiated by COUNTY or OHSU, shall be reduced to writing and signed by both parties.

10. **WAIVER OF DEFAULT**

Waiver of a default shall not be deemed to be a waiver of any subsequent default. Waiver of any breach of any provision of this Agreement shall not be deemed to be a waiver of any other or subsequent breach and shall not be construed to be a modification of the provisions of this Agreement

11. **EARLY TERMINATION**

- A. Violation of any of the rules, procedures, attachments, or conditions of this Agreement may, at the option of either party, be cause for termination of the Agreement and, unless and until corrected, of funding support by COUNTY and services by OHSU, or be cause for placing conditions on said funding and/or service, which may include withholding of funds. Waiver by either party of any violation of this Agreement shall not prevent said party from invoking the remedies of this paragraph for any succeeding violations of this Agreement.
- B. This Agreement may be terminated by either party by sixty (60) days prior written notice to the other party, delivered by certified mail or in person.
- C. COUNTY may terminate this Agreement immediately, effective upon delivery of written notice to OHSU by certified mail or in person, under any of the following conditions:
 - 1) Upon denial, revocation, suspension or non-renewal of any license or certificate required by law or regulation to be held by OHSU to provide a service under this Agreement.
 - 2) If OHSU fails to begin services on the date specified in this Agreement, or if OHSU fails to continue to provide service for the entire Agreement period.
 - 3) If COUNTY has evidence that OHSU has endangered or is endangering the health and safety of clients/residents, staff, or the public.

- D. If the Agreement is terminated under this paragraph, COUNTY shall pay OHSU only for services provided in accordance with the Agreement through the day of termination.
- E. Termination under any provision of this paragraph shall not affect any right, obligation or liability of OHSU or COUNTY which accrued prior to such termination.

12. NOTICE OF LITIGATION

Each party shall give the other immediate notice in writing of any action or suit filed or any claim made against that party which may result in litigation in any way related to this Agreement.

13. OREGON LAW AND FORUM

This Agreement shall be construed and governed according to the laws of the State of Oregon.

14. INTEGRATION

This Agreement contains the entire Agreement between the parties pertaining to its subject matter and supersedes all prior written or oral discussions or agreements.

15. CERTIFICATION REGARDING LOBBYING

- A. OHSU certifies, to the best of OHSU's knowledge and belief, that no federally appropriated funds have been paid or will be paid, by or on behalf of OHSU, to any person for influencing or attempting to influence an officer or an employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Agreement, OHSU shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

16. OMB CIRCULAR A-128

If OHSU is a sub-recipient of federal funds passed through COUNTY, OHSU shall submit to COUNTY an annual federal compliance audit in conformity with OMB Circular A-128 and the federal Single Audit Act of 1984.

IN WITNESS WHEREOF, the parties have caused this Agreement, including the Standard Conditions and any attachments incorporated herein, to be executed by their duly authorized officers.

OREGON HEALTH SCIENCES
UNIVERSITY

By *D. J. Nagatz*
Title *Contracts Manager*
Date *3/23/98*

MULTNOMAH COUNTY

By _____
Beverly Stein, Multnomah County Chair
Date _____

By *Billi Odegaard*
Billi Odegaard, Health Department Director
Date *3/26/98*

By *Elizabeth A. Foster for*
Karla McFarland, Program Manager
Date *3/25/98*

REVIEWED:

Thomas Sponsler, County Counsel for
Multnomah County, Oregon

By *Katie Gaetjens*
Katie Gaetjens, Assistant County Counsel
Date *4/2/98*

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # _____ DATE _____

BOARD CLERK

EXHIBIT A
Oregon Health Sciences University
Budget for The Partnership Project
Case Management Services for Persons Living with HIV
March 1, 1998 - February 28, 1999

Description	Amount
Total Personnel	\$256,538
Materials & Services	
Travel/Mileage/Parking	1,858
Telephone - system, line charges (8 lines), voice mail, pagers	6,673
Printing & Duplication	900
Postage	540
Educational Materials	0
Rent/Janitorial Service	20,580
Office Supplies/Software	5,710
Training/Staff Development	1,200
Advertising/Outreach	500
Programming	1,000
Equipment	6,315
Equipment Rental	1,125
Subtotal Materials & Services	46,401
Subtotal Program Support	\$302,939
Direct Assistance Payments/Vouchers	0
Total Funds	\$302,939
FTE	
Program Director	0.10
Social Work Case Manager	2.00
Community Case Manager	3.00
Office Manager	1.00
Clerical Assistant	1.00
Total FTE	7.10

MEETING DATE: APR 09 1998 APR 16 1998

AGENDA NO.: C-9

ESTIMATED START TIME: 9:30am 9:30

(Above space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Intergovernmental Agreement with the Oregon Health Division

BOARD BRIEFING Date Requested: _____

Requested By: _____

Amount of Time Needed: _____

REGULAR MEETING Date Requested: _____

Amount of Time Needed: n/a

DEPARTMENT: Health DIVISION: _____

CONTACT: *Karla McFarland TELEPHONE #: 248-3339

BLDG/ROOM #: 340/2

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Renewal of Intergovernmental Agreement 201388 with the Oregon Health Division for the provision of health insurance payments for low-income persons living with HIV/AIDS.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

or

DEPARTMENT MANAGER: Bibi Odegaard

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk at 248-3277

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 2 PM 4:28



MULTNOMAH COUNTY OREGON



HEALTH DEPARTMENT
426 S.W. STARK STREET, 8TH FLOOR
PORTLAND, OREGON 97204-2394
(503) 248-3674
FAX (503) 248-3676
TDD (503) 248-3816

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
DAN SALTZMAN • DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
TANYA COLLIER • DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

MEMORANDUM

Date: March 5, 1998
To: Board of County Commissioners
Via: Bill Odgaard, Health Department Director
From: Dave Houghton, Director, Disease Prevention & Control Division
Subject: Contract #201388 with the Oregon Health Division for health insurance payments for low-income persons living with HIV

- I. Recommendation/Action Requested: The Health Department recommends Board ratification of Contract #201388 with the Oregon Health Division for the period March 1, 1998, through February 28, 1999. The agreement is retroactive due to delays in finalizing the contract amount and scope of work for this grant year.
- II. Background/Analysis: This is a renewal of an agreement which originated in March 1997. The Multnomah County Health Department has received a federal grant through the Ryan White Comprehensive AIDS Resources Emergency (CARE) Act, which provides health care and support services to people living with HIV and AIDS. The CARE Act authorizes emergency funding for the sole purpose of fulfilling the unmet service needs of the HIV-positive affected population.

The Oregon Health Division will continue to receive Ryan White Title I funds to support its Community Health Insurance Program, a statewide program which provides assistance with health insurance payments (premiums, deductibles and co-pays) for low-income persons living with HIV. The funds provided through this Agreement will be used specifically to serve clients who live in the six-county Portland Eligible Metropolitan Area.

- III. Financial Impact: The County will pay the Oregon Health Division a maximum of \$555,839. This agreement is fully funded by the Health Department's federal Ryan White CARE Act Title I grant.
- IV. Legal Issues: None

March 5, 1998
Oregon Health Division
Contract #201388

V. Controversial Issues: None

VI. Link to Current County Policies: Continuing to collaborate with other government agencies in the provision of health care.

VII. Citizen Participation: Ryan White Title I funds are allocated through the local HIV Health Services Planning Council. The Council oversees prioritization of funding allocations, advises on allocation procedures, and assists in evaluating administrative procedures. The Council reflects the diversity of the population affected by HIV/AIDS, and consists of a balance of service providers, community representatives and users of services.

VIII. Other Government Participation: Multnomah County administers Ryan White Title I funds for the Portland Eligible Metropolitan Area (EMA) which consists of Clackamas, Columbia, Multnomah, Washington and Yamhill Counties in Oregon and Clark County Washington; and Ryan White Title II funds for Clackamas, Clatsop, Columbia, Multnomah, Tillamook and Washington Counties. All counties participate on the various planning councils and committees, and the Health Department has contracts with the Title II counties to provide HIV case management services.

In addition, OHSU receives Title I funds to administer the HIV Case Management Partnership Project, a coalition of 12 governmental and private agencies which provides comprehensive HIV case management services for clients in the EMA. Other governmental agencies participating in the Partnership Project include the Clackamas County Health Department, the U.S. Social Security Administration, the Oregon Senior and Disabled Services Division, and the Oregon Adult and Family Services Division.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedure CON-1)

e-approved Contract Boilerplate (with County Counsel signature) ☒ Attached ☐ Not Attached

Contract #: 201388

Amendment #:

CLASS I	CLASS II	CLASS III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input checked="" type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input checked="" type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <p>APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS</p> <p>AGENDA # _____ DATE _____</p> <p>BOARD CLERK</p>

Department: Health Department

Division: Disease Prevention & Control

Date: 3/5/98

Originator: Karla McFarland

Phone: x83339

Bldg/Rm: 340/2

Contact: Karen Garber

Phone: x29364

Bldg/Rm: 160/2

Description of Contract:

Community Health Insurance Program (CHIP) for persons living with HIV. This program provides assistance with health insurance payments, including premiums, deductibles and co-pays. (Ryan White Title I funding)

RENEWAL: ☒ PREVIOUS CONTRACT NO(S): 201517

RFP/BID: _____ RFP/BID DATE: _____

EXEMPTION NO/DATE: _____ EXEMPTION EXPIRATION DATE: _____ ORS/AR #: _____

CONTRACTOR IS: ☐ MBE ☐ WBE ☐ ESB ☐ QRF ☒ N/A ☐ NONE (Check all boxes that apply)

Contractor	Oregon Health Division	Bob McAlister, Program Manager; Kip Beardsley, Grants & Budget Mgr
Address	HIV Program	Remittance address
	800 NE Oregon Street #21, Suite 745	(If different)
	Portland, OR 97232	
Phone	731-4029	Payment Schedule / Terms
Employer ID# or SS#		<input type="checkbox"/> Lump Sum \$ _____
Effective Date	March 1, 1998	<input checked="" type="checkbox"/> Monthly \$ (invoice)
Termination Date	February 28, 1999	<input type="checkbox"/> Other \$ _____
Original Contract Amount \$	555,839	<input type="checkbox"/> Due on Receipt
Total Amt of Previous Amendments \$	n/a	<input type="checkbox"/> Net 30
Amount of Amendment \$	n/a	<input type="checkbox"/> Other
Total Amount of Agreement \$	555,839	<input type="checkbox"/> Requirements Not to Exceed \$ _____
		Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No

REQUIRED SIGNATURES:

Department Manager

Billie Odegaard

DATE 3/20/98

Purchasing Manager

DATE

(Class II Contracts Only)

County Counsel

Katie Garber

DATE 3/22/98

County Chair

DATE

Sheriff

DATE

Contract Administration

DATE

(Class I, Class II Contracts only)

LGFS VENDOR CODE GV1342A						DEPT REFERENCE					
LINE #	FUND	AGENCY	ORG	SUB ORG	ACTIVITY	OBJ/ REV	SUB OBJ	REP CAT	LGFS DESCRIPTION	AMOUNT	INC DEC
01	156	015	0338		GY04	6060		0383	HIV Support Services		
02											
03											

Rev. 2/12/98 DIST: Original - Contract Admin, Originator, Accts Payable. If additional space is needed, attach separate page. Write contract # on top of page.

**INTERGOVERNMENTAL AGREEMENT FOR
SUPPORT SERVICES FOR PERSONS LIVING WITH HIV**

THIS INTERGOVERNMENTAL AGREEMENT is between MULTNOMAH COUNTY, acting by and through its Health Department, hereafter "COUNTY," and the STATE OF OREGON, acting by and through its Health Division, hereafter "STATE."

WITNESSETH:

WHEREAS, COUNTY has received a federal grant through the Ryan White Comprehensive AIDS Resources Emergency (CARE) Act, which provides funding for health care and support services to persons living with HIV; and

WHEREAS, these funds are allocated to COUNTY to provide services throughout the six-county - Portland Eligible Metropolitan Area, in accordance with the priorities established by the Portland-area HIV Services Planning Council; and

WHEREAS, STATE is able and prepared to provide such services as COUNTY does hereinafter require, under the terms and conditions hereinafter described;

NOW THEREFORE, in consideration of those mutual promises and the terms and conditions set forth hereafter, the parties agree as follows:

1. TERM

This Agreement shall become effective when fully executed retroactive to March 1, 1998, and shall expire February 28, 1999, unless sooner terminated under the provisions hereof.

2. SERVICES

COUNTY will provide STATE with federal Ryan White CARE Act Title I funds to support STATE's Community Health Insurance Program (CHIP), a program which provides assistance with health insurance payments (premiums, deductibles and co-pays) for low-income persons living with HIV.

A. Funding

STATE and COUNTY agree that the program will be funded as follows:

- 1) STATE shall use the Title I funds received under this Agreement solely to serve clients living in the six-county Portland Eligible Metropolitan Area (EMA), which is defined below in Paragraph 2.B.1(b).
- 2) STATE will also use Ryan White CARE Act Title II funds it receives directly from HRSA (the federal Health Resources and Services Administration) to serve clients living in the EMA (excluding Clark County residents).
- 3) Clients who do not live in the EMA shall be served solely with Title II funds.

B. General Program Requirements

- 1) To be eligible for services under this Agreement, individuals, who may be self-referred or referred by case managers, outreach workers, health departments or other community agencies, must:
 - a) Have medically verifiable HIV disease. STATE shall meet the federal requirement to document positive HIV serostatus for each person receiving services under this Agreement.
 - b) Reside in the six-county Eligible Metropolitan Area (EMA) which consists of the following counties: Clackamas, Columbia, Multnomah, Washington and Yamhill Counties in Oregon, and Clark County, Washington.
 - c) Have no other source of payment for the services provided.
 - d) Have an income which is:
 - i) Less than or equal to 300% of the Federal Poverty Level for payment of health insurance premiums.
 - ii) Less than or equal to 250% of the Federal Poverty Level for payment of deductibles and co-pays.

STATE shall require each client to certify income eligibility by providing documentation with his or her application.

- 2) Funds received through this Agreement cannot be used to make direct financial payments to clients.
- 3) STATE shall maintain a comprehensive outreach program throughout the EMA to inform consumers, health care providers, social service agencies, AIDS services organizations and other community-based agencies about the availability of the services provided under this Agreement. STATE's outreach shall emphasize informing potential clients who:
 - a) Have health insurance and are currently responsible for paying their own premiums.
 - b) Have health insurance and may in the future become responsible for paying their own premiums under the Consolidated Omnibus Reconciliation Act (COBRA) through reduction or loss of employment.
 - c) Are uninsured and eligible for the Oregon Medical Insurance Pool (OMIP) but cannot afford premium payments.
 - d) Are enrolled in the Oregon Health Plan.

- 4) STATE shall ensure that culturally sensitive outreach and services are provided to racial/ethnic minorities and to specific linguistic communities (e.g., Latinos). Minority clients served must be, at a minimum, proportionate to their racial/ethnic representation in the region's AIDS demographics.
- 5) STATE shall ensure that its service delivery system is sensitive to clients' needs to maintain confidentiality. STATE shall obtain written consent from each client to:
 - a) Release information, as required, to support coordination of care.
 - b) Verify, if necessary, insurance documentation.
 - c) Represent the client in the paying of health insurance premiums, co-pays and deductibles.
- 6) STATE shall accept both self-referrals and referrals from service providers throughout the EMA. STATE shall inform clients of the availability of case management services, and shall refer clients, as appropriate, to a case manager for assessment and development of a comprehensive service plan. STATE shall support the continuum of care by linking clients, as appropriate, to other services available within the EMA.
- 7) STATE shall maintain procedures which allow clients to express concerns and/or file complaints if they are dissatisfied with the services provided under this Agreement.

C. Community Health Insurance Program

STATE shall maintain a health insurance assistance program which provides payment of health insurance premiums, deductibles and co-pays for clients who meet the eligibility requirements set forth above in Paragraph 2.B.1.

- 1) STATE's services shall include the following:
 - a) Continuation of insurance benefit payments for clients who qualify under COBRA.
 - b) Continuation of premium payments for clients who have existing health insurance coverage through a group or individual plan, including the Oregon Health Plan.
 - c) Payment of premiums for enrollment in new group or individual health insurance plans, including but not limited to the Oregon Medical Insurance Pool and the Oregon Health Plan.
 - d) Payment of deductibles and co-pays.

- 2) STATE shall continue to provide services for currently enrolled clients and enroll new clients as required to maintain an average of at least 200 monthly premium payments. STATE shall provide services for a minimum of 250 clients during the Agreement period.
- 3) STATE shall coordinate the provision of services for each client with, as applicable, the client's case manager, employer, insurance company, and health care provider.
- 4) STATE shall conduct a regular review of each client's eligibility for services at least every six months from the time of enrollment. STATE shall also reassess clients' eligibility for services when their circumstances change (e.g., a client's income increases or decreases, a client qualifies for Oregon Health Plan or Medicaid, etc.).
- 5) STATE shall ensure that its application procedures for determining client eligibility take into account the need for rapid eligibility determination and payment of premiums to maintain health insurance coverage.
- 6) STATE shall maintain a system to ensure prompt payment of premiums to prevent loss of coverage. STATE further agrees to maintain liability insurance coverage adequate to cover client medical expenses in the event that a late premium payment causes a loss of coverage.
- 7) Upon the death of a client, STATE shall ensure that all premium payments for that client cease. In the event that STATE is not notified of a client's death prior to payment of the client's premium(s), STATE shall require repayment by the insurance carrier.
- 8) STATE shall conduct regular assessments of program expenditures and develop projected expenditure plans based on such factors as the number of enrolled clients, the average cost of the premium payments, the average cost per client for co-pays and deductibles, and changes in client eligibility and covered services.
 - a) These assessments shall be based on all resources available to support program services, including but not limited to Ryan White Title I and Title II funds.
 - b) STATE shall work with the ADAP/CHIP Working Group as needed to modify program policies and procedures to manage the caseload within the available budget.
- 9) STATE shall consult with other health insurance continuation programs when developing or revising program services, and to keep abreast of trends in health insurance assistance for persons living with HIV.

- 10) STATE shall ensure that its program staff maintain a comprehensive understanding of the rights of individuals under COBRA, and the client eligibility requirements and services provided through Medicaid, the Oregon Health Plan, the Oregon Medical Insurance Pool and any other federal or state medical benefit programs available to persons living with HIV.

3. REPORTING, MONITORING AND EVALUATION

- A. STATE shall submit to COUNTY by May 1, 1998, the following federally required information. STATE must submit this information in the format prescribed by COUNTY.

- 1) A narrative justification for the line-item budget for this Agreement (Exhibit A).
- 2) A summary of STATE's funding by funding source for HIV care services.

- B. STATE shall report its progress toward fulfilling minimum service requirements by completing the "Ryan White Services Monthly Summary" report. This report must be submitted to COUNTY by the 15th of each month for services provided during the previous month. Reporting shall include but is not limited to:

- 1) Number of premium payments made during the month and year-to-date.
- 2) Unduplicated number of clients for whom premium payments have been made during the month and year-to-date.
- 3) Unduplicated number of clients for whom co-pay and/or deductible payments have been made during the month and year-to-date.
- 4) A brief narrative describing progress in implementing/providing services, any difficulties encountered, and steps taken to resolve those difficulties.

- C. STATE shall prepare and submit to COUNTY a final summary report for the Agreement period. This report is due within 30 days following the end of the Agreement period. At a minimum, STATE's report shall summarize:

- 1) Progress in meeting service level requirements.
- 2) Achievement of any specified performance objectives.
- 3) Activities related to various contractual obligations regarding coordination of services, evaluation, and quality improvement.
- 4) Any significant agency/programmatic changes made.

D. STATE shall complete the quarterly "Ryan White AAR Client Services Report" for the calendar year January 1 through December 31, 1998.

- 1) STATE shall submit this report to COUNTY by the 15th of April, July, October, and January.
- 2) The "Administrative Data" section of this report and the AAR "Agency Identification Summary" are to be completed for the fourth quarter (final) submission only.

E. STATE shall maintain an Advisory Board (the ADAP/CHIP Working Group) to provide a mechanism for informed community members and persons living with HIV to have meaningful input into the development and implementation of STATE's policies and programs designed to address their needs.

- 1) The Advisory Board will participate in evaluating the quality and accessibility of Ryan White-funded services.
- 2) At least 30%, but no less than three, of the Advisory Board members must be consumers. For the purposes of the Advisory Board, a consumer is defined as a person living with HIV or a person who is the parent, child, guardian, partner, spouse, or primary caregiver of someone who is living with HIV.

F. STATE shall work with COUNTY to define additional performance or outcome objectives for Agreement services and, on a trial basis, implement procedures to measure achievement of these objectives. COUNTY and STATE will mutually agree on a mechanism for reporting information related to the achievement of these objectives.

G. STATE shall assist with COUNTY's program evaluation, quality assurance and quality improvement efforts. These may include but are not limited to client satisfaction surveys, peer provider surveys, and site visits from COUNTY staff.

H. STATE shall attend quarterly Ryan White Service Providers meetings convened by COUNTY to share information, discuss evaluation and quality improvement issues, and review any common contractual or programmatic concerns.

4. COMPENSATION

A. COUNTY agrees to pay STATE a maximum of \$555,839 for the performance of those services provided hereunder, which payment shall be based on the budget attached to this Agreement as Exhibit A, herein incorporated by reference, and subject to the following terms:

- 1) COUNTY will reimburse STATE monthly upon receipt of a monthly line-item expenditure report detailing allowable expenses.

- 2) Invoices shall be submitted by the 15th day of each month for services provided during the previous month to:

HIV Contract Manager
Multnomah County Health Department
20 NE 10th Avenue, 2nd Floor
Portland, OR 97232

- 3) Budget modifications between major categories (e.g., Personnel, Materials and Supplies, Equipment, or Direct Assistance) must be approved by COUNTY. The purchase of equipment not listed in the budget (Exhibit A) must be approved by COUNTY.
- 4) All equipment purchased with Agreement funds shall remain the property of COUNTY, and its final disposition shall be at the sole discretion of COUNTY. STATE shall retain possession of the equipment so long as it is continued in use for the purpose of supporting Ryan White-funded programs and services.
- 5) Administrative costs billed by STATE under this Agreement must not exceed 10% of the total Agreement amount. Administrative costs include indirect costs; costs associated with management and oversight of the Agreement; and costs associated with program support, including quality assurance and quality controls.
- 6) In the event that STATE does not demonstrate the ability to provide services at the level specified during the Agreement period, COUNTY reserves the right to reduce Agreement funding and reallocate these funds to other Ryan White approved services.
- 7) Both parties agree that this Agreement is subject to the availability of federal funds.
- B. COUNTY certifies that sufficient funds are available and authorized to finance the costs of this Agreement through the fiscal year ending June 30, 1998. In the event that funds cease to be available to COUNTY in the amounts anticipated during the remainder of the fiscal year, or in the event that sufficient funds are not approved and authorized in the next fiscal year, either COUNTY or STATE may terminate the Agreement or the parties by mutual agreement may reduce Agreement funding accordingly. COUNTY will notify STATE as soon as it receives notification from funding source. Reduction or termination will not affect payment for accountable expenses prior to the effective date of such action.
- C. STATE shall submit all invoices for services provided under this Agreement within 45 days after the end of the Agreement period. COUNTY shall not be responsible for payment of invoices submitted more than 45 days after the end of the Agreement period.

**INTERGOVERNMENTAL AGREEMENT
STANDARD CONDITIONS**

1. INDEPENDENT CONTRACTOR STATUS

STATE is an independent contractor and is solely responsible for the conduct of its programs. STATE, its employees and agents shall not be deemed employees or agents of COUNTY.

2. INDEMNIFICATION

A. STATE shall defend, hold and save harmless COUNTY, its officers, agents, and employees from damages arising out of the tortious acts of STATE, or its officers, agents, and employees acting within the scope of their employment and duties in performance of this Agreement subject to the limitations and conditions of the Oregon Tort Claims Act, ORS 30.260 through 30.300, and any applicable provisions of the Oregon Constitution.

B. COUNTY shall defend, hold and save harmless STATE, its officers, agents, and employees from damages arising out of the tortious acts of COUNTY, or its officers, agents, and employees acting within the scope of their employment and duties in performance of this Agreement subject to the limitations and conditions of the Oregon Tort Claims Act, ORS 30.260 through 30.300, and any applicable provisions of the Oregon Constitution.

3. WORKERS' COMPENSATION INSURANCE

STATE shall maintain workers' compensation insurance coverage for all non-exempt workers, employees, and subcontractors either as a carrier-insured employer or a self-insured employer as provided in ORS Chapter 656.

4. TAXPAYER IDENTIFICATION NUMBER

STATE shall furnish to COUNTY its federal employer identification number, as designated by the Internal Revenue Service.

5. SUBCONTRACTS AND ASSIGNMENT

STATE shall neither subcontract with others for any of the work prescribed herein, nor assign any of STATE's rights acquired hereunder without obtaining prior written approval from COUNTY. COUNTY by this Agreement incurs no liability to third persons for payment of any compensation provided herein to STATE.

6. RECORD CONFIDENTIALITY

COUNTY and STATE agree to keep all client records confidential in accordance with state and federal statutes and rules governing confidentiality.

7. ACCESS TO RECORDS

STATE agrees to permit authorized representatives of COUNTY, and/or the applicable federal or state government audit agency, to make such review of the records of STATE as COUNTY or auditor may deem necessary to satisfy audit and/or program evaluation purposes. STATE shall permit authorized representatives of COUNTY's Health Department to site-visit all programs covered by this Agreement. Agreement costs disallowed as the result of such audits, review or site visits will be the sole responsibility of STATE. If an Agreement cost is disallowed after reimbursement has occurred, STATE will make prompt repayment of such cost.

8. **ADHERENCE TO LAW**

- A. STATE shall adhere to all applicable laws governing its relationship with its employees, including but not limited to laws, rules, regulations and policies concerning workers' compensation, and minimum and prevailing wage requirements.
- B. STATE shall not unlawfully discriminate against any individual with respect to hiring, compensation, terms, conditions or privileges or employment, nor shall any person be excluded from participation in, be denied the benefits, or be subjected to discrimination under any program or activity because of such individual's race, color, religion, sex, national origin, age, marital status, familial status, sexual orientation, or handicap. In that regard, STATE must comply with all applicable provisions of Executive Order Number 11246 as amended by Executive Order Number 11375 of the President of the United States dated September 24, 1965, Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and Section 504 of the Rehabilitation Act of 1973 as implemented by 45 C.F.R. 84.4 and the Americans with Disabilities Act of 1990, Public Law Number 101-336 and all enacting regulations of the EEOC and Department of Justice. STATE will also comply with all applicable rules, regulations and orders of the Secretary of Labor concerning equal opportunity in employment and the provision of ORS Chapter 659.

9. **AMENDMENTS**

- A. In the event that COUNTY's Agreement obligation is amended by a federal- or state-initiated change, COUNTY shall amend this Agreement through written notification of changes sent to STATE by mail. STATE shall return to COUNTY within twenty (20) working days a signed acknowledgment of receipt of COUNTY's notification document.
- B. Any other amendments to the provisions of this Agreement, whether initiated by COUNTY or STATE, shall be reduced to writing and signed by both parties.

10. **WAIVER OF DEFAULT**

Waiver of a default shall not be deemed to be a waiver of any subsequent default. Waiver of any breach of any provision of this Agreement shall not be deemed to be a waiver of any other or subsequent breach and shall not be construed to be a modification of the provisions of this Agreement

11. **EARLY TERMINATION**

- A. Violation of any of the rules, procedures, attachments, or conditions of this Agreement may, at the option of either party, be cause for termination of the Agreement and, unless and until corrected, of funding support by COUNTY and services by STATE, or be cause for placing conditions on said funding and/or service, which may include withholding of funds. Waiver by either party of any violation of this Agreement shall not prevent said party from invoking the remedies of this paragraph for any succeeding violations of this Agreement.
- B. This Agreement may be terminated by either party by sixty (60) days prior written notice to the other party, delivered by certified mail or in person.
- C. COUNTY may terminate this Agreement immediately, effective upon delivery of written notice to STATE by certified mail or in person, under any of the following conditions:
 - 1) Upon denial, revocation, suspension or non-renewal of any license or certificate required by law or regulation to be held by STATE to provide a service under this Agreement.
 - 2) If STATE fails to begin services on the date specified in this Agreement, or if STATE fails to continue to provide service for the entire Agreement period.
 - 3) If COUNTY has evidence that STATE has endangered or is endangering the health and safety of clients/residents, staff, or the public.

- D. If the Agreement is terminated under this paragraph, COUNTY shall pay STATE only for services provided in accordance with the Agreement through the day of termination.
- E. Termination under any provision of this paragraph shall not affect any right, obligation or liability of STATE or COUNTY which accrued prior to such termination.

12. **NOTICE OF LITIGATION**

Each party shall give the other immediate notice in writing of any action or suit filed or any claim made against that party which may result in litigation in any way related to this Agreement.

13. **OREGON LAW AND FORUM**

This Agreement shall be construed and governed according to the laws of the State of Oregon.

14. **INTEGRATION**

This Agreement contains the entire Agreement between the parties pertaining to its subject matter and supersedes all prior written or oral discussions or agreements.

15. **CERTIFICATION REGARDING LOBBYING**

- A. STATE certifies, to the best of STATE's knowledge and belief, that no federally appropriated funds have been paid or will be paid, by or on behalf of STATE, to any person for influencing or attempting to influence an officer or an employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Agreement, STATE shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

16. **OMB CIRCULAR A-128**

If STATE is a sub-recipient of federal funds passed through COUNTY, STATE shall submit to COUNTY an annual federal compliance audit in conformity with OMB Circular A-128 and the federal Single Audit Act of 1984.

IN WITNESS WHEREOF, the parties have caused this Agreement, including the Standard Conditions and any attachments incorporated herein, to be executed by their duly authorized officers.

STATE OF OREGON

By *David Fe...*
Title State Epidemiologist
Date 3/10/98

MULTNOMAH COUNTY

By _____
Beverly Stein, Multnomah County Chair
Date _____

By *Billi Odegaard*
Billi Odegaard, Health Department Director
Date 3/20/98

By *Elizabeth A. Foster* for
Karla McFarland, Program Manager
Date 3/18/98

REVIEWED:

Thomas Sponsler, County Counsel for
Multnomah County, Oregon

By *Katie Gaetjens*
Katie Gaetjens, Assistant County Counsel
Date 3/27/98

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # _____ DATE _____

BOARD CLERK

EXHIBIT A
Oregon Health Division
Support Services for Persons Living with HIV
Community Health Insurance Program
March 1, 1998 - February 28, 1999

Description	Budget
Personnel	
Salary - Program Representative 1 (1.0 fte)	35,484
Fringe (37.51%)	13,310
Subtotal Personnel	48,794
Materials & Services	
Education & Training	500
Telephone	1,020
Rent	1,576
State Government Service Charge	394
Intra-agency Charges	1,380
Subtotal Materials & Services	4,870
Direct Assistance Payment - premiums, co-pays and deductibles	499,492
Total Direct Budget	553,156
Indirect Costs (5% on personnel, materials and services costs, 0% on premiums, co-pays and deductibles)	2,683
TOTAL BUDGET	555,839

BUDGET MODIFICATION NO.

ADS #1

(For Clerk's Use) Meeting Date **APR 16 1998**
Agenda No. **C-10**

1. REQUEST FOR PLACEMENT ON THE AGENDA FOR 4/16/98

(Date)

DEPARTMENT AGING AND DISABILITY SERVICESDIVISION Disability ServicesCONTACT Don CarlsonTELEPHONE 248-3764

* NAME(S) OF PERSON MAKING PRESENTATION TO BOARD

Jim McConnell/Sharon Miller

SUGGESTED

AGENDA TITLE

(to assist in preparing a description for the printed agenda)

**Budget Modification to Increase Position Allocations for the Disability Division
and to Increase the Budget and Appropriations Schedule for Disability Building Management
and to Replace State-owned Vehicles.**

(Estimated Time Needed on the Agenda)

2. DESCRIPTION OF MODIFICATION (Explain the changes this Bud Mod makes. What budget does it increase? What do changes accomplish? Where does the money come from? What budget is reduced? Attach additional information if you need more space.)

Personnel changes are shown in detail on the attached sheet

This Bud Mod creates 3 new Case Manager 2 positions to implement an employment initiative program and a Senior Case Manager position to increase protective services. No additional funding is requested due to savings generated in the Personal Services category during the fiscal year. The Bud Mod requests 1) additional funding authority in the amount of \$313,377 to pay for building management costs which were underbudgeted in the Adopted Budget; 2) additional funding authority in the amount of \$180,000 to replace 16 vehicles which must be returned to the State by November 1998; and additional funding authority in the amount of \$8,775 to pay for the indirect costs on the above building management expenditures.

3. REVENUE IMPACT

(Explain revenues being changed and reason for the change)

Since the FY 98 Budget was adopted, the State has allocated an additional \$595,198 to ADS for disability services. The Bud Mod increases the Disability Services Title XIX revenue category by \$502,152

4. CONTINGENCY STATUS (to be completed by Budget & Quality)

Fund Contingency before this modification

Date

After this modification

Originated By

Date

Department Director

Date

Plan/Budget Analyst

Date

Employee Services

Date

Board Approval

Date

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 2 AM 11:20

PERSONNEL DETAIL FOR BUDGET MODIFICATION NO.

ADS #1

5. ANNUALIZED PERSONNEL CHANGES

(Compute on a full-year basis even though this action affects only a part of the fiscal year (FY).)

FTE Increase (Decrease)	POSITION TITLE	ANNUALIZED			
		BASE PAY Increase (Decrease)	Increase/(Decrease)		TOTAL Increase (Decrease)
			Fringe	Ins.	
1.00	Case Manager 2	34,452	6,204	6,552	47,208
1.00	Case Manager 2	34,452	6,027	4,656	45,135
1.00	Case Manager 2	34,452	5,778	6,552	46,782
1.00	Case Manager Senior	36,909	6,462	4,656	48,027
4.00	TOTAL CHANGE (ANNUALIZED)	140,265	24,471	22,416	187,152

6. CURRENT YEAR PERSONNEL DOLLAR CHANGES

(Calculate costs/savings that will take place this FY; these should explain the actual dollar amounts changed by this BudMod.)

Permanent Positions, Temporary, Overtime, or Premium	Explanation of Change	CURRENT FY			
		BASE PAY Increase (Decrease)	Increase/(Decrease)		TOTAL Increase (Decrease)
			Fringe	Ins.	
Perm	Add 3 Case Manager 2 positions for 8 months	69,249	12,006	11,900	93,155
Perm	Add 1 Case Manager Senior position for 2 months	6,152	1,078	776	8,006
	(Salary Savings)	-75,401	-13,084	-12,676	-101,161
TOTAL CURRENT FISCAL YEAR CHANGES		0	0	0	0

BUDGET MODIFICATION NO: ADS#1

Expenditure

Transaction E (x)

TRANSACTION DATE: _____

ACCOUNTING PERIOD: _____

BUDGET FY: _____

Fund	Agency	Organization	Activity	Reporting Category	Object	Current Amount	Revised Amount	Change Increase (Decrease)	Subtotal	Description
156	11	1992			7400	5,168	20,457	15,289		Building management
156	11	1995			7400	209,508	247,173	37,665		Building management
156	11	1996			7400	131,735	188,824	57,089		Building management
156	11	1997			7400	158,320	263,281	104,961		Building management
156	11	1998			7400	116,983	215,356	98,373		Building management
									313,377	
156	11	1992			8400	10,200	190,200	180,000		Purchase 16 vehicles
									180,000	
156	11	1992			7100	192,542	201,317	8,775		Indirect charge on
									8,775	building mgmt. costs
								502,152	Total Expenditure Change	

Revenue

Transaction R (x)

TRANSACTION DATE: _____

ACCOUNTING PERIOD: _____

BUDGET FY: _____

Fund	Agency	Organization	Activity	Reporting Category	Object	Current Amount	Revised Amount	Change Increase (Decrease)	Subtotal	Description
156	11				2636	6,888,219	7,390,371	502,152		DS: Title XIX Medicaid
									502,152	
								502,152	Total Revenue Change	

MULTNOMAH COUNTY, OREGON

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN
DAN SALTZMAN
GARY HANSEN
TANYA COLLIER
SHARRON KELLEY

BUDGET AND QUALITY
PORTLAND BUILDING
1120 S.W. FIFTH - ROOM 1400
P. O. BOX 14700
PORTLAND, OR 97214
PHONE (503)248-3883

TO: Board of County Commissioners

FROM:  Jim McConnell /  Sharon Miller

TODAY'S DATE: March 31, 1998

REQUESTED PLACEMENT DATE: April 16, 1998

SUBJECT: Aging and Disability Services Department Budget Modification #1

I. Recommendation / Action Requested:

The Department requests Board approval of Budget Modification, ADS #1, as explained in this Staff Report.

II. Background / Analysis:

This requested Budget Modification deals entirely with the Disability Services Division which was transferred to the County effective July 1, 1997. The County is completing its first year of responsibility for this important function. While there was considerable amount of planning for the transfer of this function, last years budget process and the resulting FY 98 Adopted Budget was based on some guess work as to how this function would fit into the County's structure and systems. The Bud Mod is primarily needed to correct some of the guess work that went into the FY 98 Adopted Budget.

ADS #1 addresses the needs of the Disability Services Division. It includes the three following matters: 1) authorizing three additional Case Manager 2 positions and one additional Senior Case Manager position; 2) increasing the expenditure authority to cover unbudgeted building management costs for the Division; and 3) increasing expenditure authority to enable the replacement of 16 vehicles.

Case Manager 2 Positions

In January 1997, prior to the transfer of the Disability function to the County, the State started an Employment Initiative Pilot Program. The purpose of the program was to assist those disabled persons, previously thought to be unemployable, to become successfully employed. The State Disability Office serving Multnomah County was given temporary funding to hire 6 staff for the Pilot Program. Results of the program were not known at the time the Board adopted the FY 98 Budget. Nor was it known,

whether or not, the State would provide permanent funding for the program or at what level. Subsequent to the adoption of the FY 98 Budget and to the transfer of the function to the County, the Disability Division was informed that the State would provide permanent funding for three Case Manager 2 positions. The Disability Division conducted a recruitment and selection process and converted the six temporary positions to three permanent positions. Three of the six incumbents were placed on permanent status to continue the program. In a years time the program has assisted 120 persons to become successfully employed. This request is to approve the creation of the three Case Manager 2 positions. It does not include any request for additional expenditure authority because the Division has secured salary savings during the fiscal year and should be to fund the positions within the amount of the adopted Personal Services budget.

Case Manager Senior Position

The Disability Services Division currently employs three staff at the Case Manager Senior classification to provide protective services to adults with disabilities in the County, regardless of income level. Protective service referrals have increased, and are expected to continue to rise as outreach is done through the Gatekeeper, Helpline and After Hours programs. An additional staff person is needed to assure continued, timely response to citizens at risk. This request is to approve the creation of an additional Case Manager Senior position. It does not include any request for additional expenditure authority because of the salary savings realized during this fiscal year.

Building Management Costs

During the planning for the transfer of the function to the County and the preparation of the FY 98 Budget request, the amount budgeted for the operation and maintenance and rental of buildings used by the Disability Division was substantially lower than the actual amount charged by Facilities Management. The Disability Division operates out of the Central Office in the Mead Building and in four branches located throughout the County. For each location the Adopted FY 98 Budget has underestimated the actual cost for the space. The break down of the request by Disability Division organization unit is as follows:

ORG NAME	LOCATION	FY 98 ADOPTED BUDGET	FY 98 ESTIMATED ACTUAL	ADDITIONAL AMOUNT REQUESTED
DSO Administration	421 SW 5th	5,168	20,457	15,289
DSO East Branch	3552 SE 122nd	209,508	247,173	37,665
DSO West Branch	1139 SW 11th	131,735	188,824	57,089
DSO North Branch	4925 N. Albina	158,320	263,281	104,961
DSO Southeast Branch	2446 SE Ladd	116,983	215,356	98,373
TOTAL		621,714	935,091	313,377

Replacing Disability Vehicles

The Disability Division currently is using 16 vehicles owned by the State of Oregon. Terms of the IGA with the State provide for the vehicles to be returned to the State by November 1998. The Division has worked with the Fleet Management Office to determine the number and type of vehicles needed to efficiently and effectively carryout their work. Based on this analysis it has been determined that the Division needs 4 mid-sized autos and 12 compacts. The Fleet Management Office has determined the best value is to purchase "Program" cars (used rental cars). The estimated cost of such a mid-sized car

ranges from \$11,200 to \$13,500. The estimated cost of the a compact car ranges from \$9,500 to \$10,500. The amount requested is based on the high end of the estimated costs.

Revenue Considerations

Subsequent to the adoption of the FY 98 Budget, the Department received an additional allocation of \$595,198 for the Disability Services function. The Department requests that the Board increase the amount budgeted for Disability Services Title XIX Medicaid by \$502,152 to match the requested increase in expenditure authority.

III. Financial Impact:

This request would add \$502,152 to the Aging and Disability Departments expenditure authority in the Federal and State Fund. The request also is to increase the budgeted amount of revenue for Disability Services Title XIX in the same amount.

IV. Legal Issues:

None

V. Controversial Issues:

None

VI. Link to Current County Policies:

The County has within the past year entered into an IGA with the State of Oregon to assume local responsibility for disability programs in the County. Approval of ADS Bud Mod #1 will further the effort to carry out that decision.

VII. Citizen Participation:

The Disability Services Advisory Committee has considered and is in support of this request.

VIII. Other Government Participation:

The State of Oregon has allocated additional funds to the Department which facilitates this request.

MEETING DATE: APR 16 1998
AGENDA NO: C-11
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Child Care slots services for one teen parent in high school

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____
AMOUNT OF TIME NEEDED: N/A

DEPARTMENT: Community & Family Services DIVISION: Community Programs & Partnerships

CONTACT: Lorenzo Poe TELEPHONE #: 248-3691
BLDG/ROOM #: 166/7th

PERSON(S) MAKING PRESENTATION: Consent

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Amending Portland Public Schools to purchase child care slots for one teen parent attending high school. This amendment is for the period of October 1, 1997 through June 30, 1998.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)
DEPARTMENT
MANAGER: Lorenzo Poe ms

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 APR - 8 PM 1:47
MULTNOMAH COUNTY
OREGON



MULTNOMAH COUNTY OREGON

DEPARTMENT OF COMMUNITY AND FAMILY SERVICES
421 SW SIXTH AVENUE, SUITE 700
PORTLAND, OREGON 97204
PHONE (503) 248-3691
FAX (503) 248-3379
TDD (503) 248-3598

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
DAN SALTZMAN • DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
TANYA COLLIER • DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

TO: Beverly Stein, Multnomah County Chair
FROM: Lorenzo Poe, Director *Lorenzo Poe mds*
Department of Community and Family Services

DATE: March 4, 1998

SUBJECT: FY 1997-98 Contract Amendment with Portland Public Schools

- I. **Recommendation/Action Requested:** The Department of Community and Family Services recommends Board approval of the attached contract with Portland Public Schools for the period October 1, 1997 through June 30, 1998.
- II. **Retroactive Status:** Due to lengthy negotiations the Portland Public Schools child care slot services were not included during the contracting cycle.
- III. **Analysis:** The Department of Community and Family Services is contracting with Portland Public Schools to purchase child care slots for a teen parent in high school.
- IV. **Background:** Funds for these services are in the Department budget.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedures CON-1)

Renewal ☐

Prior-Approved Contract Boilerplate: Attached; X Not Attached

Contract #101488

Amendment # 1

CLASS I	CLASS II	CLASS III
<input type="checkbox"/> Professional Services under \$50,000 <input type="checkbox"/> Architectural & Engineering under \$50,000 <input type="checkbox"/> Intergovernmental Agreement Under \$50,000 <div style="margin-left: 20px;"> <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue </div>	<input type="checkbox"/> Professional Services over \$50,000 (RFP, Exemption) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue	<input checked="" type="checkbox"/> Intergovernmental Agreement over \$50,000 <input type="checkbox"/> Intergovernmental Revenue Agreement <div style="text-align: center;"> APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # _____ DATE _____ <hr/> BOARD CLERK </div>

Department: Community & Family Services

Division: CONTRACTS & EVALUATIONS Date: March 4, 1998

Administrative Contact: Lynn Ervins

Phone: 248-3691 ext. 26644 Bldg/Room 166/7th

Description of Contract: Purchases Child care slots for the teen parent program.

RFP/BID #: _____ Date of RFP/BID: _____

Exemption Expiration Date: _____

ORS/AR # _____ Contractor is ☐ JMBE ☐ JWBE ☐ JQRF

☒ N/A ☐ None

Original Contract No. _____ (Only for Original Renewals)

<p>Contractor Name: Portland Public School District Mailing Address: 501 N. Dixon Portland, OR 97227-1871 Phone: (503)249-2000 Employer ID# or SS#: 93-6000803 Effective Date: October 1, 1997 Termination Date: June 30, 1998 Original Contract Amount: \$ 499,514 Total Amt of Previous Amendments: \$ Amount of Amendment: \$ 135,676 Total Amount of Agreement: \$ 635,190</p>	<p>Remittance Address (if different) _____</p> <table style="width:100%;"> <tr> <th style="text-align: center;">Payment Schedule</th> <th style="text-align: center;">Terms</th> </tr> <tr> <td><input type="checkbox"/> Lump Sum \$ _____</td> <td><input type="checkbox"/> Due on Receipt</td> </tr> <tr> <td><input checked="" type="checkbox"/> Monthly \$ <u>Per Invoice</u></td> <td><input type="checkbox"/> Net 30</td> </tr> <tr> <td><input type="checkbox"/> Other \$ _____</td> <td><input type="checkbox"/> Other</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Requirements contract - Requisition Required</td> </tr> <tr> <td colspan="2">Purchase Order No. _____</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Requirements Not to Exceed \$ _____</td> </tr> <tr> <td colspan="2">Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/></td> </tr> </table>	Payment Schedule	Terms	<input type="checkbox"/> Lump Sum \$ _____	<input type="checkbox"/> Due on Receipt	<input checked="" type="checkbox"/> Monthly \$ <u>Per Invoice</u>	<input type="checkbox"/> Net 30	<input type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other	<input type="checkbox"/> Requirements contract - Requisition Required		Purchase Order No. _____		<input type="checkbox"/> Requirements Not to Exceed \$ _____		Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/>	
Payment Schedule	Terms																
<input type="checkbox"/> Lump Sum \$ _____	<input type="checkbox"/> Due on Receipt																
<input checked="" type="checkbox"/> Monthly \$ <u>Per Invoice</u>	<input type="checkbox"/> Net 30																
<input type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other																
<input type="checkbox"/> Requirements contract - Requisition Required																	
Purchase Order No. _____																	
<input type="checkbox"/> Requirements Not to Exceed \$ _____																	
Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/>																	

REQUIRED SIGNATURES:

Department Manager: *Lolunzo Poe me* Date: 3/30/98

Purchasing Director: _____ Date: _____

(Class II Contracts Only) County Counsel: *Katie Gault* Date: 4/7/98

County Chair/Sheriff: _____ Date: _____

Contract Administration: _____ Date: _____

(Class I, Class II Contracts Only)

VENDOR CODE GV5555A				VENDOR NAME Portland Public Schools				TOTAL AMOUNT: \$ 635,190			
LINE NO.	FUND	AGENCY	ORGANIZATION	SUB ORG	ACTIVITY	OBJECT/ REV SRC	SUB OBJ	REPT CATEG	LGFS DESCRIPT	AMOUNT	Inc/Dec Ind.
									SEE ATTACHED		

If additional space is needed, attach separate page. Write contract # on top of page.

DISTRIBUTION: Contracts Administration, Initiator, Finance

COMMUNITY AND FAMILY SERVICES DEPARTMENT

CONTRACT APPROVAL FORM SUPPLEMENT

Contractor: PORTLAND PUBLIC SCHOOLS

Vendor Code: GV5555A

Page 2 of 2

3/30/98

Fiscal Year: 97/98

Numeric Amendment: 01

Contract Number: 101488

LINE	FUND	AGEN	ORG CODE	ACTIVIY CODE	OBJECT CODE	REPORTING CATEGORY	LGFS DESCRIPTION	ORIGINAL AMOUNT	AMENDMENT AMOUNT	FINAL AMOUNT	REQT'S ESTIMATE
06	156	010	1370	Y03X	6060	9303S <input type="text"/>	CYFP-Great Start CYF Child Care	\$0.00	\$10,041.00	\$10,041.00	
05	156	010	1370	Y03X	6060	9999L <input type="text"/>	County General Fund CYF Child Care	\$0.00	\$125,635.00	\$125,635.00	
TOTAL								\$0.00	\$135,676.00	\$135,676.00	\$0.00

MULTNOMAH COUNTY DEPARTMENT OF COMMUNITY AND FAMILY SERVICES
CONTRACT #101488, AMENDMENT #1

DURATION OF AMENDMENT: October 1, 1997
CONTRACTOR NAME: Portland Public Schools
CONTRACTOR ADDRESS: 501 N Dixon,
Portland, OR 97227

TO: June 30, 1998
TELEPHONE: 249-2000
IRS NUMBER: 93-6000803

This amendment is to that certain contract dated July 1, 1997, between the Multnomah County Department of Community and Family Services, referred to as the "COUNTY" and Portland Public Schools referred to as the "CONTRACTOR". It is understood by the parties that all conditions and agreements in the original contract not superseded by the language of this amendment are still in force and apply to this amendment.

PART I: CHANGES

This amendment adds child care slots for a child of a teen parent in high school from October 1, 1997 through June 30, 1998. Total amount is \$135, 676.

PART II: SPECIAL CONDITIONS

The CONTRACTOR will provide a year-end report describing the clients served and the outcomes of the child care. The CONTRACTOR will follow their procedures and move rapidly to fill any unused child care monthly slots.

PART III: AMENDMENT NARRATIVE

This amendment is retroactive due to lengthy negotiations with Portland Public Schools over costs of child care monthly slots.

In witness whereof, the parties hereto have caused this contract to be executed by their authorized officers.

MULTNOMAH COUNTY

PORTLAND PUBLIC SCHOOLS

BY Lorenzo Poe 3/30/98
Director, Dept. of Community & Family Services Date

BY _____
Agency Authorized Signer Date

BY _____
Beverly Stein Date
Multnomah County Chair

REVIEWED:
THOMAS SPONSLER, County Counsel for
Multnomah County, Oregon

By Katie Davy 4/7/98
Date

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # _____ DATE _____

BOARD CLERK

CONTRACT FOR SERVICES
MULTNOMAH COUNTY COMMUNITY AND FAMILY SERVICES DEPARTMENT

**Attachment A:
Service Elements and Contract Amounts**

Contractor Name : PORTLAND PUBLIC SCHOOLS			Vendor Code: GV5555A		
Contractor Address : RESEARCH & EVALUATION DEPT.					
PO BOX 3107					
PORTLAND OR 97208-3107					
Telephone : 249-2000	Fiscal Year : 97/98		Federal ID # : 93-6000830		

Program Office Name : DCYF Touchstone

Service Element Name : CYF Touchstone (Y70T); Touchstone

<u>Mod. #</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Payment Method</u>	<u>Payment Basis</u>	<u># of Units</u>	<u>Unit Description</u>	<u>Unit Rate</u>	<u>Amount</u>
0	7/1/97	6/30/98	Per Invoice	Cost Reimbursement				\$403,843.00
Total								\$403,843.00

Program Office Name : DCYF Early Childhood Care & Education

Service Element Name : CYF Health/Development Screening (Y03H); Skip Program

<u>Mod. #</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Payment Method</u>	<u>Payment Basis</u>	<u># of Units</u>	<u>Unit Description</u>	<u>Unit Rate</u>	<u>Amount</u>
0	7/1/97	6/30/98	Per Invoice	Fee for Service	8.00	Screen Session	4,196.00	\$33,567.00
Total								\$33,567.00

Program Office Name : DCYF Prevention

Service Element Name : CYF Alternative Schools (Y01X); Mt. Scott Center for Learning

<u>Mod. #</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Payment Method</u>	<u>Payment Basis</u>	<u># of Units</u>	<u>Unit Description</u>	<u>Unit Rate</u>	<u>Amount</u>
0	7/1/97	6/30/98	Per Invoice	Cost Reimbursement				\$52,530.00
Total								\$52,530.00

Service Element Name : CYF Child Care (Y03X)

<u>Mod. #</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Payment Method</u>	<u>Payment Basis</u>	<u># of Units</u>	<u>Unit Description</u>	<u>Unit Rate</u>	<u>Amount</u>
1	10/1/97	6/30/98	Per Invoice	Fee for Service	8.00	Child care	1,149.80	\$10,041.00
1	10/1/97	6/30/98	Per Invoice	Fee for Service	109.00	Child care	1,149.80	\$125,635.00
Total								\$135,676.00

Service Element Name : CYF Summer Camps (Y95X); TLC/TNT Summer Camps

<u>Mod. #</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Payment Method</u>	<u>Payment Basis</u>	<u># of Units</u>	<u>Unit Description</u>	<u>Unit Rate</u>	<u>Amount</u>
0	7/1/97	6/30/98	Per Invoice	Fee for Service	6.00	Week long camp	1,596.00	\$9,574.00
Total								\$9,574.00

MEETING DATE: APR 09 1998 APR 16 1998

AGENDA #: R-10 C-12

ESTIMATED START TIME: 10:30am 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Acquisition of Real Property for Belmont Library Addition

BOARD BRIEFING:

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: April 9, 1998

AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: Environmental Services

DIVISION: Facilities & Property Mgmt.

CONTACT: Bob Oberst

TELEPHONE #: 248-3851

BLDG/ROOM #: 421/3rd

PERSON(S) MAKING PRESENTATION: Bob Oberst, Mike Harrington

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [☒] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Acquisition of Real Property for Belmont Library Addition.

SIGNATURES REQUIRED:

ELECTED

OFFICIAL: _____

(OR)

DEPARTMENT

MANAGER: Bob Oberst

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the

Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 MAR 25 AM 9:11
MULTNOMAH COUNTY
OREGON

SUPPLEMENTAL STAFF REPORT

To: Board of County Commissioners

From: Facilities & Property Management, Department of Environmental Services

Date: March 13, 1998

Re: Acquisition of Real Property for Belmont Library Addition

1. Recommendation/Action Requested: Order approving acquisition by purchase of real property for construction of addition to Belmont Library and authorizing County Chair to execute documents necessary to such acquisition.
2. Background/Analysis: Multnomah County owns and operates the Belmont Library, a branch library located at 1038 SE 39th Avenue, Portland, Oregon. This library, over the years of its operation, has become inadequate to accommodate the increased needs of library users in the area served by this facility. The Library Department plans to construct an improvement to enlarge the existing Belmont Library facility to meet the increased need and requests the Board's approval of the purchase of the real property adjacent to the present Belmont Library for the purpose of such construction.

The value of the three parcels of real property to be acquired was appraised as of January 16, 1998 by Davis Appraisal Company, Inc., an appraiser certified by the State of Oregon, at a total of \$460,000.00. The Facilities & Property Management Division considers the appraisals to be accurate and suitable estimates of fair market value.

3. Financial Impact: The owner of the real property has agreed to accept \$400,000.00 as a net revenue for sale to Multnomah County. The total cost of acquisition will be approximately \$401,000.00.
4. Legal Issues: None expected.
5. Controversial Issues: None, to the Department's knowledge.
6. Link to Current County Policies: N/A.
7. Citizen Participation: Web Page (Library Renovation News and Belmont Library); public meetings, comments and questions; Neighborhood Associations and other neighborhood contacts; Multnomah County Library Board.
8. Other Government Participation: None.

**BEFORE THE BOARD OF COMMISSIONERS
OF MULTNOMAH COUNTY, OREGON**

In the Matter of the Acquisition of)
Real Property for the Construction)
of Improvements for Enlargement)
of Belmont Library)

ORDER
#

Whereas the Multnomah County Department of Libraries provides library services to clients in the Belmont Library area at 1038 SE 39th Avenue, Portland, and immediately surrounding areas; and

Whereas the existing Belmont Library facility is no longer adequate in size to provide such services; and

Whereas adjacent real property suited to the construction of improvements to the Belmont Library such that it will be adequate to provide such services has been identified; and

Whereas the parcels described in the PURCHASE AND SALE AGREEMENT before the Board this date constitute said real property and have been determined to be available at a price of \$400,000.00 from the owners, Stanley C. Kennedy and Beth I. Kennedy; and

Whereas the total value of the parcels was appraised at \$450,000.00 as of January 16, 1998 by a professional real estate appraiser certified by the State of Oregon, and the Facilities & Property Management Division considers the appraisals to be accurate, and

Whereas it appears that the purchase of the parcels described in the PURCHASE AND SALE AGREEMENT before the Board this date will benefit Multnomah County and the Board being fully advised in the matter:

It is ORDERED that Multnomah County execute this PURCHASE AND SALE AGREEMENT before the Board this date and any other documents required for

completion of this purchase and that the County Chair be, and she is hereby, authorized and directed to execute the same on behalf of Multnomah County.

Dated this ___ day of April, 1998.

BOARD OF COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, County Chair

REVIEWED:

Thomas Sponsler, County
Counsel for Multnomah County, Oregon

By 

PURCHASE AND SALE AGREEMENT

THIS AGREEMENT is made this _____ day of _____, 1998 between Stanley C. Kennedy and Beth I. Kennedy (Sellers), as tenants by the entirety and Multnomah County, a political subdivision of the State of Oregon (Purchaser).

RECITALS

A. Seller owns certain real property (Property) situated in Multnomah County, Oregon and more particularly described as follows:

PARCEL I:

Lots 3 and 4, Block 6, EDENDALE, in the City of Portland, County of Multnomah and State of Oregon.

PARCEL II:

Lots 1 and 2, Block 6, EDENDALE, in the City of Portland, County of Multnomah and State of Oregon.

PARCEL III:

Part of Lots 1 and 2, Block 4, EASTLAND, in the City of Portland, County of Multnomah and State of Oregon, described as follows:

Beginning at the Northeast corner of said Lot 2, Block 4, EASTLAND; thence South along the East line of said Lot 2, to a point 100 feet North of the point of intersection of the East line of said Lot 2, with the North line of S.E. Taylor Street as measured along the East line of said Lot 2; thence West parallel to the North line of said Lot 2, 7 ½ feet; thence South parallel to the East line of said Lot 2 to a line drawn parallel to and distant 50 feet from the North line of said Lot 2; thence Westerly parallel to the North line of said Lots 2 and 1 to the Easterly line of S.E. 39th Street; thence Northerly along the Easterly line of S.E. 39th Street to the North line of said Lot 1; thence Easterly along the North line of said Lots 1 and 2 to the point of beginning.

B. Seller desires to sell and Purchaser desires to purchase the Property for the price and upon the terms and conditions recited below.

TERMS AND CONDITIONS

1. Purchase and Sale: Seller agrees to sell to Purchaser and Purchaser agrees to purchase from Seller the Property for the price and in accordance with the terms and conditions set forth in this Agreement.

2. Purchase Price and Payment: The purchase price for the Property shall be Four Hundred Thousand Dollars (\$400,000.00), payable in full in cash upon closing.

3. Title: Purchaser shall obtain, within ten days from the date hereof, a preliminary title report covering the Property, to be prepared by First American Title Insurance Company of Oregon (First American); Purchaser shall have ten days from the receipt of said title report to examine the condition of title to the Property and notify Seller of any objections to exceptions to title shown therein. Not later than five days following Seller's receipt of Purchaser's objections, Seller shall notify Purchaser in writing either that Seller will remove the objectionable exceptions,

or that Seller is terminating this Contract. If Seller terminates this Contract, the Contract shall be null and void, and neither party shall have or make any claim against the other, any provision of this Contract to the contrary notwithstanding. Failure to notify Seller of such objections within said time shall be deemed approval by Buyer of any exceptions to which Buyer fails to object.

4. Warranty Deed, Title Insurance and Closing Expenses: Upon closing, the Property shall be conveyed by Seller by statutory warranty deed, free of encumbrances except for the approved exceptions as provided in paragraph 3 above and Seller shall deliver exclusive possession of the Property to Purchaser. Purchaser, at its expense will obtain a standard form of owner's title insurance policy in the amount of the purchase price insuring title to be vested in Purchaser subject only to the usual printed exceptions and the exceptions authorized to be included in the statutory warranty deed. The sale will be closed in escrow by First American and the escrow fees will be paid fully by Purchaser.

5. Closing Date: Closing will take place at such date as agreed by the parties but not later than July 1, 1998. If Seller is unable to close and deliver exclusive possession of the Property to Purchaser by July 1, 1998, Purchaser shall have the right to terminate this Purchase and Sale Agreement upon five (5) days written notice, excluding Saturdays, Sundays and Legal Holiday, to Seller. Prior to the Closing Date, each party will deposit with First American the funds, documents and instruction necessary for closing.

6. Prorations:

(a) General: For purposes of calculating prorations, Purchaser shall be deemed to be entitled to the Property, therefore entitled to any income and responsible for the expenses, commencing on the day after the Closing Date and the reference to the Closing Date in this paragraph 6 shall be construed as July 1, 1998 or such other date as closing shall occur as provided in paragraph 5.

(b) Taxes and Assessments: Purchaser shall be responsible for payment of all property taxes and assessments for the tax year 1998/99 and subsequent years.

(c) Operating Expenses: All utility service charges for electricity, heat and air conditioning service, other utilities, and other expenses incurred in operating the Property that Seller customarily pays in the ordinary course of operation of the Property shall be prorated on an accrual basis. Seller shall pay all such expenses that accrue prior to the Closing Date. To the extent possible, Seller and Purchaser shall obtain billings and meter readings as of the Closing Date to aid in such prorations.

(d) Service Contracts: Amounts payable under service contracts shall be prorated as of the Closing Date on an accrual basis. Seller shall pay all amounts due thereunder which accrue prior to the Closing Date and Purchaser shall pay all amounts accruing from and after the Closing Date; provided, however that Purchaser shall not be deemed to have assumed or required to assume any liability or responsibility for such service contracts.

(e) Adjustments: Prorations, if and to the extent known and agreed upon as of the Closing Date, shall be paid by Purchaser to Seller (if the prorations result in a net credit to Seller) or by Seller to Purchaser (if the prorations result in a net credit to Purchaser), by adjusting the cash to be paid by Purchaser at closing. Any such adjustments not determined or not agreed upon as of the Closing Date shall be paid by Purchaser to Seller, or by Seller to Purchaser, as the case may be, in cash as soon as practicable following the closing of escrow.

7. Condition of Property:

(a) No representations as to the condition or repair of the Property have been made by Seller or any agent of Seller except as expressly set forth in this Agreement. No agreement to alter, repair or remove the Property has been made by Seller or by any agent of Seller and except as otherwise herein provided, Purchaser shall take the Property "as is" and in

the same condition existing at the Closing Date, subject to the condition that the Property shall be in substantially the same condition at the Closing Date as at the time of execution of this Agreement, ordinary wear and tear excepted.

(b) Purchaser shall have a period of twenty (20) days from the date hereof in which to inspect the Property and to object, in writing to Seller, to any condition of the Property unsatisfactory to Purchaser. Seller shall eliminate any such conditions to the satisfaction of Purchaser prior to the Closing Date or, at its election, Seller may terminate this Purchase and Sale Agreement by written notice to Purchaser with fifteen (15) days after receipt of said written objections from Purchaser. Purchaser shall be deemed to have waived any objections to conditions of the Property if it does not notify Seller thereof as herein provided.

8. Casualty: In the event that prior to the Closing Date the Property or any part thereof is destroyed or damaged and not restored or agreed to be restored by Seller, then, at Purchaser's option, (i) this Agreement shall terminate and neither party shall have any further rights or obligations hereunder, or (ii) the closing shall proceed as provided pursuant to this Agreement and Purchaser shall receive any and all insurance proceeds attributable to casualty, which proceeds shall not be credited against Purchaser's obligation to pay the purchase price.

9. Brokers: Purchaser represents to Seller that it has not employed or dealt with any real estate brokers, sales persons or finders in connection with this sale and purchase. Seller will be responsible for the commission due to any real estate brokers, agents or finders employed by Seller.

10. Remedies: There is no earnest money in connection with this Purchase and Sale. In the event of a breach or default by either party, the other party shall be entitled to such remedies for breach of contract as may be available under applicable law.

11. Entire Agreement: This instrument is the entire, final and complete agreement of the parties pertaining to the Sale and Purchase of the Property, and supersedes and replaces all written or oral agreements heretofore made or existing by and between the parties or their representatives insofar as the Property is concerned. Neither party shall be bound by any promises, representations or agreements except as are herein expressly set forth.

12. Notices: Any notice required or permitted under this Agreement shall be in writing and shall be deemed given when actually delivered in person or forty eight (48) hours after having been deposited in the United States mail as certified or registered mail addressed as follows:

Seller: Stanley C. Kennedy
Beth I. Kennedy
12653 S.W. Tooge Road
Sherwood, Oregon 97140

Purchase: Multnomah County Property Management
2505 S.E. 11th Avenue
Portland, Oregon 97202

13. Attorney Fees: In the event any controversy or claim arises under this Agreement, the prevailing party shall be entitled to its reasonable costs, disbursements and attorney fees together with all expenses which it may reasonably incur in taking such action, including, but not limited, to costs incurred in searching records, expert witnesses and consulting fees, discovery depositions whether or not introduced into evidence in the trial, hearing or other proceeding and travel expenses in any arbitration, trial or other proceeding, including any proceeding brought to enforce an award of judgement and any and all appeals taken therefrom.

14. Nonwaiver: Failure by either party at any time to require performance by the other party of any of the provisions hereof shall in no way affect the party's rights hereunder to enforce the same, nor shall any waiver by the party of the breach hereof be held to be a waiver of any succeeding breach or a waiver of this nonwaiver clause.

15. Governing Law: This Agreement shall be construed in accordance with and governed by the laws of the State of Oregon.

16. Captions: All captions and paragraph heading used herein are intended solely for convenience of reference and shall in no way limit any of the provisions of this Agreement.

17. Binding Effect: The covenants, conditions and terms of this Agreement shall extend to and be binding upon and inure to the benefit of the successors and assigns of the parties hereto.

18. Fire Protection: The Property described in this instrument may not be within a fire protection district protecting structures. The Property is subject to land use laws and regulations, which, in farm or forest zones, may not authorize construction or siting of a residence and which limit lawsuits against farming or forest practices as defined in ORS 30.930 in all zones. Before signing or accepting this instrument, the person acquiring fee title to the Property should check with the appropriate city or county planning department to verify approved uses and existence of fire protection for structures.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first above written.

SELLER:

By 

PURCHASER:

Multnomah County, a political
subdivision of the State of Oregon

By _____

Beverly Stein, County Chair

REVIEWED:

Thomas Sponsler, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

By 

MEETING DATE: APR 16 1998
AGENDA NO: C-13
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchaser for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services DIVISION: Assessment & Taxation

CONTACT: Kathy Tuneberg TELEPHONE #: 248-3590

BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of deed to contract purchaser, ROD GIRTMAN, for completion of Contract #15503 (Property purchased at auction).

Deed D981544 and Board Order attached.

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 6 PM 4: 1

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)
DEPARTMENT MANAGER: K. A. Tuneberg L. E. Nicholas

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES
Any Questions: Call the Board Clerk 248-3277

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981544
Upon Complete Performance of a Contract
with ROD GIRTMAN

} ORDER
98-

WHEREAS, on March 22, 1990, Multnomah County entered into a contract with ROD GIRTMAN for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchaser has fully performed the terms and conditions of said contract and is now entitled to a deed conveying said property to said purchaser; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchaser the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 1, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By  _____
Matthew O. Ryan, Assistant County Counsel

DEED D981544

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to ROD GIRTMAN, Grantee, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 1, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$14,000.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

ROD GIRTMAN
215 SE 102ND AVE STE 300
PORTLAND OR 97216

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

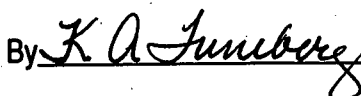
BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By 
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By 
Kathleen A. Tuneberg

After recording, return to Multnomah County Tax Title/166/300

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998
AGENDA NO: C-14
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchaser for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services **DIVISION:** Assessment & Taxation

CONTACT: Kathy Tuneberg **TELEPHONE #:** 248-3590

BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of deed to contract purchaser, ROD GIRTMAN, for completion of Contract #15504 (Property purchased at auction).

Deed D981545 and Board Order attached.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)
DEPARTMENT MANAGER: K. A. Tuneberg Lucretia Nicholas

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES
Any Questions: Call the Board Clerk 248-3277

CLERK OF COUNTY COMMISSIONERS
98 APR - 6 PM 4:11
MULTNOMAH COUNTY
OREGON

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981545
Upon Complete Performance of a Contract
with ROD GIRTMAN

} ORDER
98-

WHEREAS, on March 22, 1990, Multnomah County entered into a contract with ROD GIRTMAN for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchaser has fully performed the terms and conditions of said contract and is now entitled to a deed conveying said property to said purchaser; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchaser the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 2, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By 
Matthew O. Ryan, Assistant County Counsel

DEED D981545

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to ROD GIRTMAN, Grantee, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 2, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$15,500.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

ROD GIRTMAN
215 SE 102ND AVE STE 300
PORTLAND OR 97216

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By Matthew O. Ryan
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By K. A. Tuneberg

After recording, return to Multnomah County Tax Title/166/300

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998
AGENDA NO: C-15
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchaser for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services DIVISION: Assessment & Taxation

CONTACT: Kathy Tuneberg TELEPHONE #: 248-3590

BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of deed to contract purchaser, ROD GIRTMAN, for completion of Contract #15507 (Property purchased at auction).

Deed D981546 and Board Order attached.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)
DEPARTMENT MANAGER: K A Tuneberg Laurel E. Nicholas

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES
Any Questions: Call the Board Clerk 248-3277

CLERK OF
COUNTY COMMISSIONERS
98 APR - 6 PM 4:10
MULTNOMAH COUNTY
OREGON

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981546
Upon Complete Performance of a Contract
with ROD GIRTMAN

} ORDER
98-

WHEREAS, on April 18, 1990, Multnomah County entered into a contract with ROD GIRTMAN for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchaser has fully performed the terms and conditions of said contract and is now entitled to a deed conveying said property to said purchaser; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchaser the following described real property, situated in the County of Multnomah, State of Oregon:

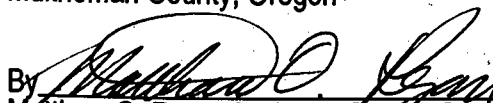
LOT 5, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By  _____
Matthew O. Ryan, Assistant County Counsel

DEED D981546

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to ROD GIRTMAN, Grantee, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 5, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$13,000.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

ROD GIRTMAN
215 SE 102ND AVE STE 300
PORTLAND OR 97216

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By 
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By 

After recording, return to Multnomah County Tax Title/166/300

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998

AGENDA NO: C-16
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchaser for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services DIVISION: Assessment & Taxation

CONTACT: Kathy Tuneberg TELEPHONE #: 248-3590

BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of deed to contract purchaser, ROD GIRTMAN, for completion of Contract #15508 (Property purchased at auction).

Deed D981547 and Board Order attached.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)
DEPARTMENT MANAGER: K.A. Tuneberg W. E. Nicholas

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES
Any Questions: Call the Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 6 PM 4:11

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981547
Upon Complete Performance of a Contract
with ROD GIRTMAN

} ORDER
98-

WHEREAS, on April 18, 1990, Multnomah County entered into a contract with ROD GIRTMAN for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchaser has fully performed the terms and conditions of said contract and is now entitled to a deed conveying said property to said purchaser; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchaser the following described real property, situated in the County of Multnomah, State of Oregon:

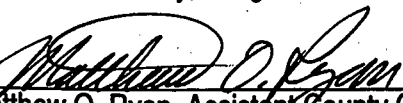
LOT 6, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By  _____
Matthew O. Ryan, Assistant County Counsel

DEED D981547

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to ROD GIRTMAN, Grantee, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 6, GILBERTS RIDGE, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$13,500.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

ROD GIRTMAN
215 SE 102ND AVE STE 300
PORTLAND OR 97216

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By 
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By 
Kathleen A. Tuneberg

After recording, return to Multnomah County Tax Title/166/300

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998

AGENDA NO: C-17
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchaser for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services **DIVISION:** Assessment & Taxation

CONTACT: Kathy Tuneberg **TELEPHONE #:** 248-3590

BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of deed to contract purchaser, M. CAROL BATTLE, for completion of Contract #15773 (Property repurchased by former owner).

Deed D981548 and Board Order attached.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)
DEPARTMENT MANAGER: K. A. Tuneberg Lucretia E. Nicholas

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES
Any Questions: Call the Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 APR - 6 PM 4:10
MULTNOMAH COUNTY
OREGON

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981548
Upon Complete Performance of a Contract
with M. CAROL BATTLE

} ORDER
98-

WHEREAS, on January 19, 1995, Multnomah County entered into a contract with M. CAROL BATTLE for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchaser has fully performed the terms and conditions of said contract and is now entitled to a deed conveying said property to said purchaser; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchaser the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 13, BLOCK 7, ALBINA HOMESTEAD, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By 
Matthew O. Ryan, Assistant County Counsel

DEED D981548

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to M. CAROL BATTLE, Grantee, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 13, BLOCK 7, ALBINA HOMESTEAD, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$6,294.25.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

M. CAROL BATTLE
4048 NE MALLORY AV
PORTLAND OR 97212

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By Matthew O. Ryan
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By K. A. Tuneberg

After recording, return to Multnomah County Tax Title/166/300

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998
AGENDA NO: C-18
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Request Approval of Deed to Contract Purchasers for Completion of Contract.

BOARD BRIEFING: Date Requested: _____

Amount of Time Needed: _____

REGULAR MEETING: Date Requested: _____

Amount of Time Needed: Consent

DEPARTMENT: Environmental Services DIVISION: Assessment & Taxation

CONTACT: Kathy Tuneberg TELEPHONE #: 248-3590
BLDG/ROOM #: 166/300/Tax Title

PERSON(S) MAKING PRESENTATION: Kathy Tuneberg

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Request approval of Deed to MIKE FAHEY & DENNY REYNOLDS contract purchaser for completion of Contract #15810 (Property purchased at auction).

Deed D981549 and Board Order attached.

SIGNATURES REQUIRED:

ELECTED
OFFICIAL: _____

(OR)

DEPARTMENT
MANAGER: _____

K. A. Tuneberg

Lowell E. Nicholas

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 6 PM 4:10

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk at 248-3277

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Authorizing the Execution of Deed D981549
Upon Complete Performance of a Contract 15810
with MIKE FAHEY
AND DENNY REYNOLDS

ORDER
98-

WHEREAS, on April 4, 1996, Multnomah County entered into a contract 15810, Book 96 Page 055440 with MIKE FAHEY and DENNY REYNOLDS, for the sale of the real property hereinafter described; and

WHEREAS, the above contract purchasers have fully performed the terms and conditions of said contract and are now entitled to a deed conveying said property to said purchasers; now therefore

IT IS ORDERED that the Chair of the Multnomah County Board of County Commissioners execute a deed conveying to the contract purchasers the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 12-16, BLOCK 25 EAST ST JOHNS, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

Dated this day of 1998.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

Thomas Sponsler, County Counsel
Multnomah County, Oregon

By  _____
Matthew O. Ryan, Assistant County Counsel

DEED D981549

MULTNOMAH COUNTY, a political subdivision of the State of Oregon, Grantor, conveys to MIKE FAHEY and DENNY REYNOLDS, Grantees, the following described real property, situated in the County of Multnomah, State of Oregon:

LOT 12-16, BLOCK 25 EAST ST JOHNS, a recorded subdivision in the City of Portland, County of Multnomah and State of Oregon.

The true and actual consideration paid for this transfer, stated in terms of dollars is \$11,600.00.

THIS INSTRUMENT WILL NOT ALLOW USE OF THE PROPERTY DESCRIBED IN THIS INSTRUMENT IN VIOLATION OF APPLICABLE LAND USE LAWS AND REGULATIONS. BEFORE SIGNING OR ACCEPTING THIS INSTRUMENT, THE PERSON ACQUIRING FEE TITLE TO THE PROPERTY SHOULD CHECK WITH THE APPROPRIATE CITY OR COUNTY PLANNING DEPARTMENT TO VERIFY APPROVED USES AND TO DETERMINE ANY LIMITS ON LAWSUITS AGAINST FARMING OR FOREST PRACTICES AS DEFINED IN ORS 30.930.

Until a change is requested, all tax statements shall be sent to the following address:

MIKE FAHEY
DENNY REYNOLDS
9023 N GILBERT PLACE
PORTLAND OR 97203

IN WITNESS WHEREOF, MULTNOMAH COUNTY has caused these presents to be executed by the Chair of the Multnomah County Board of County Commissioners this _____ day of _____ 1998, by authority of an Order of the Board of County Commissioners heretofore entered of record.

BOARD OF COUNTY COMMISSIONERS
MULTNOMAH COUNTY, OREGON

By _____
Beverly Stein, Chair

REVIEWED:
Thomas Sponsler, County Counsel
Multnomah County, Oregon

By Matthew O. Ryan
Matthew O. Ryan, Assistant County Counsel

DEED APPROVED:
Kathleen A. Tuneberg, Director
Tax Collections/Records Management

By K. A. Tuneberg

After recording, return to Multnomah County Tax Title (166/300)

STATE OF OREGON

)

) ss

COUNTY OF MULTNOMAH

)

The foregoing instrument was acknowledged before me this 16th day of April, 1998, by Beverly Stein, to me personally known, as Chair of the Multnomah County Board of Commissioners, on behalf of the County by authority of the Multnomah County Board of Commissioners.

Notary Public for Oregon

My Commission expires: June 27, 2001

MEETING DATE: APR 16 1998

AGENDA NO: C-19

(Above Space for Board Clerk's Use Only)

AGENDA PLACEMENT FORM

SUBJECT: IGA between the Department of Juvenile and Adult Community Justice and the Oregon Youth Authority.

BOARD BRIEFING

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: 4/16/98

AMOUNT OF TIME REQUESTED: N/A

DEPARTMENT: Juvenile and Adult Community Justice DIVISION: Juvenile Justice

CONTACT: Jerry Martin TELEPHONE #: x22222

BLDG/ROOM#: 311/RMS

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Amendment to Revenue IGA between the Department of Juvenile and Adult Community Justice and the Oregon Youth Authority to increase funding by 3% and to extend provision of services for the Juvenile Diversion Program through June 30, 1999.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

OR

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk 248-3277

G:\DATA\CONTRACT\BAPF.DOC

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 7 AM 10:44

MEMORANDUM

TO: BOARD OF COUNTY COMMISSIONERS

FROM: Elyse Clawson, Director
Department of Juvenile And Adult Community Justice

DATE: April 6, 1998

RE: Approval of an Intergovernmental Revenue Agreement between the Oregon Youth Authority and the Department of Juvenile and Adult Community Justice enabling the Department to continue participation in Oregon's Juvenile Diversion Program.

- I. Recommendation/Action Requested: The Department of Juvenile And Adult Community Justice recommends the Board's approval of this Intergovernmental Revenue Agreement with the Oregon Youth Authority (OYA) for funding to support DJACJ's continued participation in the Juvenile Diversion Program.
- II. Background/Analysis: The Department of Juvenile And Adult Community Justice has participated in the State's downsizing efforts since July 1, 1990. The purpose of the diversion program is to provide evaluation and diagnostic services, dispositional services to parole violators, detention back-up services, community programs and services, and a process for making training school placements and parole decisions. In Fiscal Year 1997, DJACJ was awarded a 2-year grant to fund continued participation. This is the second year of that grant.
- III. Financial Impact: This Agreement will provide \$858,632 to fund participation costs.
- IV. Legal Issues: N/A
- V. Controversial Issues: N/A
- VI. Link to Current County Policies: This Agreement addresses public safety issues as well as meeting youths' needs to transition successfully back into the community.
- VII. Citizen Participation: N/A
- VIII. Other Government Participation: N/A

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedures CON-1)

Renewal ☐

Contract # 700028

Prior-Approved Contract Boilerplate: ☒ Attached: ☐ Not Attached

Amendment # 1

CLASS I <input type="checkbox"/> Professional Services under \$50,000 <input type="checkbox"/> Intergovernmental Agreement under \$50,000		CLASS II <input type="checkbox"/> Professional Services over \$50,000 (RFP, Exemption) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue	CLASS III <input checked="" type="checkbox"/> Intergovernmental Agreement over \$50,000 APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # _____ DATE _____ BOARD CLERK
--	--	---	--

Department: Juvenile & Adult Community Justice Division: RMS Date: 3/26/98

Contract Originator: Bill Morris Phone: 248-3532 Bldg/Room: 311

Administrative Contact: Jerry Martin Phone: 248-3460 x22222 Bldg/Room: 311/RMS

Description of Contract: This Revenue IGA Amendment (OYA Diversion) allows DJACJ to continue to provide evaluation and diagnostic services, disposition of parole violations, detention back-up community programs and services and a process for making training school placements and parole placement decisions.

RFP/BID #: _____ Date of RFP/BID: _____ Exemption Expiration Date: _____

ORS/AR #: _____ (Check all boxes that apply) Contractor is ☐ MBE ☐ WBE ☐ QRF ☐ N/A ☒ None

Original Contract No. _____ (ONLY FOR ORIGINAL RENEWALS)

Contractor Name: <u>Oregon Youth Authority</u> Mailing Address: <u>500 Summer St. NE</u> <u>Salem, OR 97310-1017</u> Phone: <u>(503) 945-5651</u> Employer ID# or SS#: _____ Effective Date: <u>July 1, 1998</u> Termination Date: <u>June 30, 1999</u> Original Contract Amount: \$ <u>833,624</u> Total Amount of Previous Amendments: \$ <u>-0-</u> Amount of Amendment: \$ <u>858,632</u> Total Amount of Agreement \$ <u>1,692,256</u>	Remittance Address (if different) _____ _____ _____ Payment Schedule Terms <input type="checkbox"/> Lump Sum \$ _____ <input type="checkbox"/> Due on Receipt <input checked="" type="checkbox"/> Monthly \$ <u>71,552.67</u> <input type="checkbox"/> Net 30 <input type="checkbox"/> Other \$ _____ <input type="checkbox"/> Other <input type="checkbox"/> Requirements contract - Requisition Required <input type="checkbox"/> Requirements Not to Exceed \$ _____ Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/>
---	---

REQUIRED SIGNATURES:

Department Manager: _____ Date: 4-5-98

Purchasing Manager: _____ Date: _____

(Class II Contracts Only)

County Counsel: _____ Date: 4/7/98

County Chair/Sheriff: _____ Date: _____

Contract Administration: _____ Date: _____

(Class I, Class II Contracts Only)

VENDOR CODE				VENDOR NAME				TOTAL AMOUNT: \$			
LINE NO.	FUND	AGENCY	ORGANIZATION	SUB ORG	ACTIVITY	OBJECT/ REV SRC	SUB OBJ	REPT CATEG	LGFS DESCRIP	AMOUNT	INC DEC
01	156	022	2720			2318		DNZG	OYA Diversion	\$ 11,683	
02	156	022	2740			2318		DNZG	OYA Diversion	\$ 584,240	
03	156	022	2780			2318		DNZG	OYA Diversion	\$ 134,770	
04	156	022	2900			2318		DNZG	OYA Diversion	\$ 127,939	

DISTRIBUTION: Original Signatures - Contract Administration, Initiator, Finance



**FIRST AMENDMENT
STATE OF OREGON INTERGOVERNMENTAL AGREEMENT**

State of Oregon
Oregon Youth Authority

Agreement Log #7-9031 a

Provider # D 90847

This FIRST AMENDMENT TO the INTERGOVERNMENTAL AGREEMENT (this "Amendment") made by and between the STATE OF OREGON, acting by and through its OREGON YOUTH AUTHORITY ("Department"), and Multnomah County Board of Commissioners, ("Contractor"). For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. The original Agreement by and between the Department and Contractor, Agreement number 7-9031 as may be amended from time to time in accordance with its terms (the "Agreement"), is hereby amended (changes indicated by underlining) as follows:

a. Amend the Contract Agreement, Section 1 entitled **Effective Date and Duration**, to change the end date from June 30, 1998 to reflect a new end date of June 30, 1999.

b. Amend the Contract Agreement, Section 3, entitled **Consideration**, to change the amount not to exceed from \$833,624.00 to reflect a new amount of \$1,692,256.00

c. Amend the Contract Agreement entitled Exhibit A, Section 1, entitled **Statement of Work**, subsection 1.1, section "b", only, to read as follows:

b. In addition, the Contractor shall provide the Department with psychological evaluation of all youth committed to a Youth Correction Facility for public safety reserve offenses. The psychological evaluation provided shall be current and appropriate to the youth's current status. Current is defined as within six months prior to commitment to the Youth Correctional Facility.

d. Amend the Contract Agreement entitled Exhibit A, Section 1, entitled **Statement of Work**, subsection 1.1, to add a new paragraph entitled section c, which shall read as follows:

c. The state may recover the funds from the Contractor for the cost of a psychological evaluation if one is not provided. The state may receive up to an amount of \$350.00 for each complete psychological evaluation, or the actual cost if less than a complete psychological evaluation is needed, as determined by the Department. These funds will be withheld from the Contractor's next Diversion check.

e. Amend the Contract Agreement entitled Exhibit A, Section 1, entitled **Statement of Work**, subsection 1.3, in its entirety, to read as follows:

1.3 The Contractor is responsible for completing a reformation plan approved by the Department; and for assuring the plan accompanies the youth at the time of commitment to the Oregon Youth Authority for placement in a Youth Correctional Facility or Community Program. The State may recover funds in the amount of \$200.00 per youth for non performance of this provision. The funds will be withheld from the Contractor's next diversion check.

f. Amend the Contract Agreement entitled Exhibit A, Section 1, entitled **Statement of Work**, subsection 1.4, in its entirety, to read as follows:

1.4 The Contractor will provide a revocation hearing in the community prior to returning a youth to a state custody bed. The Youth Correctional Facility in which the youth resides must receive the report within 72 hours of the youth's arrival. The Department may recover funds in the amount of \$55.00 for each failure to comply with this provision. The fee will be withheld from the Contractor's next diversion check.

g. Amend the Contract Agreement entitled Exhibit A, Section 1, entitled **Statement of Work**, subsection 1.6, in its entirety, to read as follows: (form number deleted only)

1.6 Contractor shall submit quarterly data on services provided to youth on forms provided by the Department. The data shall be received by the 10th of the month for each quarter (January, April, July and October).

h. Amend the Contract Agreement entitled Exhibit A, Section 2, entitled **Consideration**, subsection 2.3, in its entirety, to read as follows:

2.1 As consideration for the services provided by the Contractor under this Contract during the period beginning July 1, 1997, and ending June 30, 1999, the Department, subject to the provision of ORS 293.462(payment of overdue account charges) and the terms and conditions of this Contract, will pay to the Contractor, by check(s) an amount not to exceed \$1,692,256.00 to be paid as follows:

a. During the period from July 1, 1997 through June 30, 1998 an amount of \$69,468.67 per month.

b. During the period from July 1, 1998 through June 30, 1999 an amount of \$71,552.67 per month.

i. Amend the Contract Agreement entitled Exhibit A, Section 2, entitled Consideration, subsection 2.3, in its entirety, to read as follows:

2.3 Contractor shall submit billings on the form entitled Billing For Diversion Services and the Contract/Invoice Voucher, copies of which will be furnished to the Contractor. Billings shall be submitted by the 10th of each month to Oregon Youth Authority, Budget & Contracts Unit, Attention: Kathy Booher, 530 Center NE, Suite 200, Salem, Oregon 97301-3740.

2 Except as expressly amended by this Amendment, all terms and conditions of the Agreement remain unamended and in full force and effect.

3 By signature below, Contractor certifies that the representations, warranties, and certifications in the Agreement are true and effective as of the effective date of this Amendment and with the same effect as though made at the time of this Amendment, and the individual signing on behalf of Contractor certifies under penalty of perjury that (i) s/he is authorized to act on behalf of Contractor, (ii) s/he has knowledge regarding payment of taxes by Contractor, and (iii) to the best of her/his knowledge, Contractor is not in violation of any Oregon tax laws, including, without limitation, state inheritance tax, gift tax, personal income tax, withholding tax, corporation income and excise taxes, amusement device tax, timber taxes, cigarette tax, other tobacco tax, 9-1-1 emergency communications tax, the homeowners and renters property tax relief program, and local taxes administered by the Department of Revenue (Multnomah County Business Income Tax, Lane Transit District Tax, Tri-Metropolitan Transit District Employer Payroll Tax, and Tri-Metropolitan Transit District Self-Employment Tax).

4 This Amendment is effective the date on which this Amendment is fully executed by the parties and fully approved as required by applicable statutes and rules.

I hereby certify and affirm I am eligible and authorized to sign this agreement on behalf of the Contractor

Contractor:

By [Signature] Date 3-12-98

Title Director

Mailing Address: _____

Facsimile: _____

DEPARTMENT: STATE OF OREGON, acting by and through its Oregon Youth Authority

By _____ Date _____

Manager of Budget and Contracts

Mailing Address: 530 Center St. NE, Suite 200
Salem, Oregon 97301-3740

Facsimile: (503) 373-7921

THOMAS SPONSER, COUNTY COUNSEL
for Multnomah County, Oregon

[Signature]
Katie Gaetjens,
Assistant County Counsel

Date: 4/1/99

Approved by Department of Administrative Services:

By N/A Date _____
Personal Services Agreements Section

Other Required Signature:

By N/A Date _____
Authorized Signature

Approved as to Legal Sufficiency by the Attorney General's Office:

By N/A Date _____
Assistant Attorney General

Reviewed by OYA Contracts Officer:

By [Signature] Date 3/10/98

BEVERLY STEIN, CHAIR
Board of County Commissioners

Date: _____

MEETING DATE: APR 16 1998

AGENDA NO: C-20

(Above Space for Board Clerk's Use Only)

AGENDA PLACEMENT FORM

SUBJECT: Intergovernmental Agreement (IGA) with Clackamas County, Oregon

BOARD BRIEFING

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: 4/16/98

AMOUNT OF TIME REQUESTED: N/A

DEPARTMENT: Juvenile and Adult Community Justice

DIVISION: Juvenile

CONTACT: Jerry Martin

TELEPHONE #: x22222

BLDG/ROOM#: 311/RMS

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Amendment to Revenue IGA #700028 between the Department of Juvenile and Adult Community Justice and Clackamas County to increase funding by 3% and to extend provision of services through June 30, 1999.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
OR

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 APR - 7 AM 10:45
MULTNOMAH COUNTY
OREGON

MEMORANDUM

TO: BOARD OF COUNTY COMMISSIONERS

FROM: Elyse Clawson, Director
Department of Juvenile and Adult Community Justice

DATE: April 6, 1998

RE: Approval of an Intergovernmental Revenue Agreement Regulating
Delivery Of Detention Services Between Multnomah County
Department of Juvenile and Adult Community Justice (DJACJ) And
Clackamas County

- I. RECOMMENDATION/ACTION REQUESTED: The Department of Juvenile and Adult Community Justice recommends the Board's approval of an Intergovernmental Revenue Agreement with Clackamas County for continued utilization of the premises in the Juvenile Justice Complex for the detention of juveniles referred to the Clackamas County juvenile justice system in need of secure custody.
- II. BACKGROUND/ANALYSIS: Juvenile Justice has contracted with Clackamas County Juvenile Department, for more than sixteen (16) years to offer detention services in the Tri-County area for counties which do not have a detention facility.
- III. FINANCIAL IMPACT: This Agreement stipulates payment by Clackamas of \$693,311 to be paid to Multnomah County for the exclusive use of fourteen (14) guaranteed bed spaces. This rate represents \$113.49 for original 10 guaranteed beds and \$163.97 per bed per day for the additional four (4) beds guaranteed under this contract. If the required bed space exceeds the guaranteed fourteen (14), the rate for each additional bed will be \$163.97 per day. Every youth served under this Agreement will receive the daily services of supervision, food, and basic care as do all youth placed in the detention facility.
- IV. LEGAL ISSUES: N/A
- V. CONTROVERSIAL ISSUES: N/A
- VI. LINK TO CURRENT COUNTY POLICIES: This Agreement supports the Department's philosophy of offering detention services in the Tri-County area for counties which do not have a detention facility.
- VII. CITIZEN PARTICIPATION: N/A
- VIII. OTHER GOVERNMENTAL PARTICIPATION: N/A

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedures CON-1)

Renewal ☐

Contract # 700028

Prior-Approved Contract Boilerplate: ☐ Attached: ☐ Not Attached

Amendment # 1

CLASS I <input type="checkbox"/> Professional Services under \$50,000 <input type="checkbox"/> Intergovernmental Agreement under \$50,000	CLASS II <input type="checkbox"/> Professional Services over \$50,000 (RFP, Exemption) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input checked="" type="checkbox"/> Revenue	CLASS III <input checked="" type="checkbox"/> Intergovernmental Agreement over \$50,000 <div style="text-align: center; font-weight: bold;">APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS</div> AGENDA # _____ DATE _____ <div style="text-align: center; font-weight: bold;">BOARD CLERK</div>
--	--	--

Department: Juvenile & Adult Community Justice Division: RMS Date: 4/2/98

Contract Originator: Rich Scott Phone: 248-3798 Bldg/Room: 311/DJJS

Administrative Contact: Jerry Martin Phone: 248-3460 x22222 Bldg/Room: 311/RMS

Description of Contract: This amendment extends the term of the original contract to June 30, 1999 and increases revenue by 3%.

RFP/BID #: _____ Date of RFP/BID: _____ Exemption Expiration Date: _____

ORS/AR #: _____ (Check all boxes that apply) Contractor is ☐ MBE ☐ WBE ☐ QRF ☐ N/A ☒ None

Original Contract No. _____ (ONLY FOR ORIGINAL RENEWALS)

Contractor Name: <u>Clackamas County</u> Mailing Address: <u>2121 Kaen Road</u> <u>Oregon Citv. OR 97045</u> Phone: <u>(503) 655-8342</u> Employer ID# or SS#: _____ Effective Date: <u>July 1, 1998</u> Termination Date: <u>June 30, 1999</u> Original Contract Amount: \$ <u>673,118.00</u> Total Amount of Previous Amendments: \$ <u>-0-</u> Amount of Amendment: \$ <u>693,311.00</u> Total Amount of Agreement \$ <u>1,366,429.00</u>	Remittance Address (if different) _____ _____ _____ Payment Schedule Terms <input type="checkbox"/> Lump Sum \$ _____ <input type="checkbox"/> Due on Receipt <input type="checkbox"/> Monthly \$ _____ <input type="checkbox"/> Net 30 <input checked="" type="checkbox"/> Other \$ <u>231,103.67</u> <input checked="" type="checkbox"/> Other (3 equal payments) <input type="checkbox"/> Requirements contract - Requisition Required <input type="checkbox"/> Requirements Not to Exceed \$ _____ Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/>
--	---

REQUIRED SIGNATURES:

Department Manager: [Signature] Date: 4-5-98

Purchasing Manager: [Signature] Date: _____
 (Class II Contracts Only)

County Counsel: [Signature] Date: 4/7/98

County Chair/Sheriff: _____ Date: _____

Contract Administration: _____ Date: _____
 (Class I, Class II Contracts Only)

VENDOR CODE				VENDOR NAME				TOTAL AMOUNT: \$			
LINE NO.	FUND	AGENCY	ORGANIZATION	SUB ORG	ACTIVITY	OBJECT/ REV SRC	SUB OBJ	REPT CATEG	LGFS DESCIP	AMOUNT	INC DEC
01	100	022	2720			2704		CLBD	Clackamas	\$647,688.00	
02	100	015	0950			2704		CLBD	Clackamas	\$45,623.00	
03											

Additional space is needed, attach separate page. Write contract # on top of page.

DISTRIBUTION: Original Signatures - Contract Administration, Initiator, Finance

MULTNOMAH COUNTY DEPARTMENT OF JUVENILE AND ADULT COMMUNITY JUSTICE
ADULT COMMUNITY JUSTICE

CONTRACT NUMBER 700028 / AMENDMENT NUMBER 1

DURATION OF AMENDMENT: July 1, 1998

TO: June 30, 1999

INTERGOVERNMENTAL AGREEMENT NAME: Clackamas County

TELEPHONE: (503) 655-8342

CONTRACTOR ADDRESS: 2121 Kaen Road, Oregon City, Oregon 97045

This amendment is to contract number 700028 dated September 4, 1997, between Multnomah County, hereinafter referred to as "Multnomah" and Clackamas County, hereinafter referred to as "Clackamas." It is understood by the parties that all conditions and agreements in the original contract are still in force and apply to this amendment, unless specifically superseded by the language in this amendment.

CHANGES:

#1. This amendment changes the terms of service as described in Section II, "Services to be Provided", Paragraph "A", Subparagraph 1.c. to read as follows:

"Fourteen (14) Bed spaces shall be available for the exclusive use of Clackamas on a continuous 24-hour a day basis through June 30, 1999."

#2. This amendment, also, changes the terms of compensation as described in Section II, "Services to be Provided", Paragraph "C", "Compensation Rates and Mode of Payments", Subparagraph 1 to read as follows: "For the duration of this annual Agreement, Clackamas shall pay to Multnomah the sum of \$693,311.00 for the provision of fourteen (14) guaranteed beds and program services and normal care. The above sum shall be paid by Clackamas to Multnomah in three equal installments of \$231,103.67 payable on October 1, 1998, February 1, 1999, and June 1, 1999. This rate represents \$113.49 per bed per day (excluding O&M costs) for original 10 guaranteed beds and \$163.97 per bed per day (including O&M costs) for the additional 4 beds."

#3. This amendment, also, changes the duration as described in Section IV, "Agreement Term and Termination", Paragraph "A" to read as follows:

"This agreement shall apply from July 1, 1998 through June 30, 1999 and is subject to renewal annually."

In witness thereof, the parties hereto have caused this contract to be executed by their authorized officers.

MULTNOMAH COUNTY, OREGON

CLACKAMAS COUNTY, OREGON

By [Signature] 4-5-98
Director, Dept. of Juvenile and Adult Community Justice Date

By _____
Chair, Board of County Commissioners Date

By _____
Beverly Stein Date
Multnomah County Chair

By _____
Clackamas County Counsel Date

REVIEWED: County Counsel for
Multnomah County, Oregon

By [Signature] 4/7/98
Date

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # _____ DATE _____
BOARD CLERK

MEETING DATE: APR 16 1998

AGENDA NO: C-21

(Above Space for Board Clerk's Use Only)

AGENDA PLACEMENT FORM

SUBJECT: Intergovernmental Agreement (IGA) with Washington County, Oregon

BOARD BRIEFING

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: 4/16/98

AMOUNT OF TIME REQUESTED: N/A

DEPARTMENT: Juvenile and Adult Community Justice

DIVISION: Juvenile

CONTACT: Jerry Martin

TELEPHONE #: x22222

BLDG/ROOM#: 311/RMS

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Amendment to Revenue IGA #700757 between the Department of Juvenile and Adult Community Justice and Washington County to increase funding by 3% and to extend provision of services through June 30, 1999.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
OR

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 7 AM 10:45

MEMORANDUM

TO: BOARD OF COUNTY COMMISSIONERS

FROM: Elyse Clawson, Director
Department of Juvenile and Adult Community Justice

DATE: April 6, 1998

RE: Approval of an Intergovernmental Revenue Agreement Regulating Delivery of Detention Services between Multnomah County Department of Juvenile and Adult Community Justice (DJACJ) and Washington County

- I. RECOMMENDATION REQUESTED: Department of Juvenile and Adult Community Justice recommends the Board's approval of an Intergovernmental Revenue Agreement between Washington County and Multnomah County for continued utilization of the premises in the Juvenile Justice Complex for the detention of juveniles referred to the Washington County Juvenile justice system in need of secure custody.
- II. BACKGROUND/ANALYSIS: The Department of Juvenile and Adult Community Justice has contracted with Washington County Juvenile Department for more than 18 years to offer detention services in the Tri-County area for counties which do not have a detention facility.
- III. FINANCIAL IMPACT: This Agreement stipulates payment by Washington County of \$693,311 to be paid to Multnomah County for the exclusive use of fourteen (14) bed spaces. This rate represents \$113.49 for original 10 guaranteed beds and \$163.97 per bed per day for the additional four (4) beds guaranteed under this contract. If the required bed space exceeds the guaranteed fourteen (14), the rate for each additional bed will be \$163.97 per day. Every youth served under this Agreement will receive the daily services of supervision, food, and basic care as do all youth placed in the detention facility.
- IV. LEGAL ISSUES: N/A
- V. CONTROVERSIAL ISSUES: N/A
- VI. LINK TO CURRENT COUNTY POLICIES: This Agreement supports the Department's philosophy of offering detention services in the Tri-County area for counties which do not have a detention facility.
- VII. CITIZEN PARTICIPATION: N/A
- VIII. OTHER GOVERNMENTAL PARTICIPATION: N/A

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedures CON-1)

Renewal ☐

Contract # 700757

Prior-Approved Contract Boilerplate: ☐ Attached: ☐ Not Attached

Amendment # 1

CLASS I <input type="checkbox"/> Professional Services under \$50,000 <input type="checkbox"/> Intergovernmental Agreement under \$50,000	CLASS II <input type="checkbox"/> Professional Services over \$50,000 (RFP, Exemption) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input checked="" type="checkbox"/> Revenue	CLASS III <input checked="" type="checkbox"/> Intergovernmental Agreement over \$50,000 APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # _____ DATE _____ BOARD CLERK
--	--	--

Department: Juvenile & Adult Community Justice Division: RMS Date: 3/31/98

Contract Originator: Rich Scott Phone: 248-3798 Bldg/Room: 311/DJJS

Administrative Contact: Jerry Martin Phone: 248-3460 x22222 Bldg/Room: 311/RMS

Description of Contract: This amendment extends the term of the original contract to June 30, 1999 and increases revenue by 3%.

RFP/BID #: _____ Date of RFP/BID: _____ Exemption Expiration Date: _____

ORS/AR #: _____ (Check all boxes that apply) Contractor is ☐ MBE ☐ WBE ☐ QRF ☐ N/A ☒ None

Original Contract No. _____ (ONLY FOR ORIGINAL RENEWALS)

Contractor Name: <u>Washington County</u> Mailing Address: <u>222 North First Avenue</u> <u>Hillsboro, OR 97114</u> Phone: <u>(503) 648-8861</u> Employer ID# or SS#: _____ Effective Date: <u>July 1, 1998</u> Termination Date: <u>June 30, 1999</u> Original Contract Amount: <u>\$ 673,118.00</u> Total Amount of Previous Amendments: <u>\$ -0-</u> Amount of Amendment: <u>\$ 693,311.00</u> Total Amount of Agreement <u>\$ 1,366,429.00</u>	Remittance Address (if different) _____ _____ _____ Payment Schedule Terms <input type="checkbox"/> Lump Sum \$ _____ <input type="checkbox"/> Due on Receipt <input type="checkbox"/> Monthly \$ _____ <input type="checkbox"/> Net 30 <input checked="" type="checkbox"/> Other \$ <u>231,103.67</u> <input checked="" type="checkbox"/> Other (3 equal payments) <input type="checkbox"/> Requirements contract - Requisition Required <input type="checkbox"/> Requirements Not to Exceed \$ _____ Encumber: Yes <input type="checkbox"/> No <input type="checkbox"/>
---	--

REQUIRED SIGNATURES:

Department Manager: [Signature] Date: 4-5-98

Purchasing Manager: _____ Date: _____

(Class II Contracts Only)

County Counsel: [Signature] Date: 4/7/98

County Chair/Sheriff: _____ Date: _____

Contract Administration: _____ Date: _____

(Class I, Class II Contracts Only)

VENDOR CODE				VENDOR NAME				TOTAL AMOUNT: \$			
LINE NO.	FUND	AGENCY	ORGANIZATION	SUB ORG	ACTIVITY	OBJECT/ REV SRC	SUB OBJ	REPT CATEG	LGFS DESCRIP	AMOUNT	INC DEC
01	100	022	2720			2777		WABD	WASH CTY	\$647,688.00	
02	100	015	0950			2777		WABD	WASH CTY	\$45,623.00	
03											

If additional space is needed, attach separate page. Write contract # on top of page.

DISTRIBUTION: Original Signatures - Contract Administration, Initiator, Finance

MULTNOMAH COUNTY DEPARTMENT OF JUVENILE AND ADULT COMMUNITY JUSTICE
ADULT COMMUNITY JUSTICE
CONTRACT NUMBER 700757 / AMENDMENT NUMBER 1

DURATION OF AMENDMENT: July 1, 1998 TO: June 30, 1999
INTERGOVERNMENTAL AGREEMENT NAME: Washington County
TELEPHONE: (503) 648-8861
CONTRACTOR ADDRESS: 222 North First Avenue, Hillsboro, Oregon 97114

This amendment is to contract number 700757 dated June 2, 1997, between Multnomah County, hereinafter referred to as "Multnomah" and Washington County, hereinafter referred to as "Washington." It is understood by the parties that all conditions and agreements in the original contract are still in force and apply to this amendment, unless specifically superseded by the language in this amendment.

CHANGES:

- #1. This amendment changes the terms of service as described in Section II, "Services to be Provided", Paragraph "A", Subparagraph 1.c. to read as follows:
"Fourteen (14) Bed spaces shall be available for the exclusive use of Washington on a continuous 24-hour a day basis through June 30, 1999."
- #2. This amendment, also, changes the terms of compensation as described in Section II, "Services to be Provided", Paragraph "C", "Compensation Rates and Mode of Payments", Subparagraph 1 to read as follows:
"For the duration of this annual Agreement, Washington shall pay to Multnomah the sum of \$693,311.00 for the provision of fourteen (14) guaranteed beds and program services and normal care. The above sum shall be paid by Washington to Multnomah in three equal installments of \$231,103.67 payable on October 1, 1998, February 1, 1999, and June 1, 1999. This rate represents \$113.49 per bed per day (excluding O&M costs) for original 10 guaranteed beds and \$163.97 per bed per day (including O&M costs) for the additional 4 beds."
- #3. This amendment, also, changes the duration as described in Section IV, "Agreement Term and Termination", Paragraph "A" to read as follows:
"This agreement shall apply from July 1, 1998 through June 30, 1999 and is subject to renewal annually."

In witness thereof, the parties hereto have caused this contract to be executed by their authorized officers.

MULTNOMAH COUNTY, OREGON

WASHINGTON COUNTY, OREGON

By [Signature] 4-5-98
Director, Dept. of Juvenile and Adult Community Justice Date

By _____
Chair, Board of County Commissioners Date

By _____
Beverly Stein Date
Multnomah County Chair

By _____
Washington County Counsel Date

REVIEWED: County Counsel for
Multnomah County, Oregon

By [Signature] 4/7/98
Date

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS

AGENDA # _____ DATE _____

BOARD CLERK

MEETING DATE: APR 16 1998
AGENDA NO: R-2
ESTIMATED START TIME: 9:30

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: LIBRARY RESULTS PRESENTATION

BOARD BRIEFING:

DATE REQUESTED: April 16, 1998

REQUESTED BY: Ginnie Cooper

AMOUNT OF TIME NEEDED: 15 minutes

REGULAR MEETING:

DATE REQUESTED: _____

AMOUNT OF TIME NEEDED: _____

DEPARTMENT: Administration

DIVISION: Library Services

CONTACT: Robin Hagedorn

TELEPHONE #: 85403

BLDG/ROOM #: 317/admin

PERSON(S) MAKING PRESENTATION: Tom Olson, Ellen Fader, Jackie Partch, Vailey Oehkle

ACTION REQUESTED:

☒ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Results from RESULTS

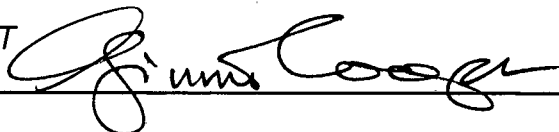
SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT

MANAGER: _____



ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 APR - 1 PM 5:15
MULTNOMAH COUNTY
OREGON

SUPPLEMENTAL STAFF REPORT

TO: BOARD OF COUNTY COMMISSIONERS

FROM: Ginnie Cooper, Director of Libraries

DATE: March 31, 1998

RE: Results from RESULTS

1. Recommendation/Action Requested:

None

2. Background/Analysis:

School Corps is an innovative new program that connects students and teachers with critical information resources of the public library. Working in partnership with local schools, school corps staff of youth librarians support curriculum and increase student and faculty awareness and use of print and electronic resources available through the public library.

3. Financial Impact:

No new financial impact. School corps is funded by county library budget as well as by contributions from Meyer Memorial Trust and Wells Fargo.

4. Legal Issues:

None

5. Controversial Issues:

None

6. **Link to Current County Policies:**

School Completion Benchmark is furthered by these activities.

7. **Citizen Participation:**

There is no citizen participation outside the public schools.

8. **Other Government Participation:**

The School Corps works with Portland Public Schools as well as other Multnomah County school districts.

School Corps

School Corps Services

This is a general list of the services the Multnomah County Library School Corps can provide. We are happy to tailor a presentation to meet the audience's needs. Please allow a two-week lead time for presentations that are modified for your group. **The numbers in parentheses represent the grade levels for which each presentation is appropriate.** Many are also appropriate for staff. The length of the presentations can be adjusted to meet your needs, but a minimum time is included in the descriptions. Some presentations require special equipment; these needs are also noted.

General Presentations

Check This Place Out! (K-4): Answers basic questions about libraries, including: What is a library? How does one get a library card? What do librarians do? Requires an electrical outlet and a room that can be darkened. 15-minute minimum.

Research Methods (6-12, adult): A survey of library resources used when doing research. Can be customized to meet your needs and can be general (i.e. doing research for a term paper) or specific (i.e. materials on alternative energy resources for seventh graders). Discuss your needs with us when you call to book the presentation. Requires a two-week lead time. May also require special equipment. 30-minute minimum.

Financial Aid/College Information (9-12): An introduction to the Library's print and electronic resources about colleges and sources of financial aid. An Internet connection is recommended. 30-minute minimum.

Career Day (all ages): Presentations about librarians and other positions in the library. Can be adapted to the age of your audience.

Technology Presentations

Introduction to DYNA (3-12, adult): Covers basic title, author and subject searches in DYNA, the Library's computerized catalog. An Internet connection or dial-up access is recommended. 20-minute minimum.

Advanced DYNA (6-12, adult): Covers more advanced functions of DYNA such as the Limit, Sort List and Related Works commands. An Internet connection or dial-up access is recommended. 30-minute minimum.

Introduction to Magazines Online (MO) (6-12, adult): Provides a basic introduction to subject and keyword searching for articles in Magazines Online, the Library's full-text article database. An Internet connection or dial-up access is recommended. 30-minute minimum.

Advanced Magazines Online (MO) (6-12, adult): Covers more complex features in MO such as combined searching and PowerTrac. An Internet connection or dial-up access is recommended. 20-minute minimum.

The Multnomah County Library of Websites (3-12, adult): A survey of the Library's websites appropriate for your audience. May include the KidsPage, the Homework Center, the Outernet (for teens), Selected Reference Sites, or Educational Area Resources (EAR). Discuss your need with us when you call to book the presentation. An Internet connection or dial-up access is recommended. 10-minute minimum (per site covered).

Introduction to Search Engines (6-12, adult): How to find what you want on the Web. Can be combined with How to Evaluate Websites (below). An Internet connection or dial-up access is recommended. Hands-on presentations require basic knowledge of your web browser. 30-minute minimum.

How to Evaluate Websites (6-12, adult): Covers basic Web navigation and evaluation skills. Can be combined with Introduction to Search Engines (above). An Internet connection or dial-up access is recommended. Hands-on presentations require basic knowledge of your web browser. 30-minute minimum.

Programs for Parents and Families

Customized Parent/Family Program (all ages): Presentations on technology, literacy, or research help can be tailored for your audience. Discuss your needs when you call to book the presentation. Requires a two-week lead time. May also require special equipment.

Booktalks

Customized Booktalks (all ages): We can present booktalks for a wide variety of subjects and ages. Discuss your needs when you call to book the presentation. Requires a two-week lead time.

Booklists, Webliographies, and Pathfinders

Customized booklists/webliographies/pathfinders (all ages): We can create a booklist, list of websites, or pathfinder (guide to starting research on a topic) on a subject for your audience. Discuss your needs when you call to book the presentation. Requires a two-week lead time.

To book a presentation or request a service, call Jackie Partch, School Corps Team Leader, at 736-6004 or jacquelp@nethost.multnomah.lib.or.us.

For technology-related presentations, contact Walter Minkel, School Corps Technology Trainer, at 736-6002 or walterm@nethost.multnomah.lib.or.us.

THE MULTNOMAH COUNTY LIBRARY

School Corps

is an innovative new program that seeks to

connect students and teachers with the critical information resources of the public library. The goal of the **School Corps** is to increase the information literacy of students in Multnomah County by working in partnership with local schools.

A professional staff of four youth librarians and a technology trainer work to support curriculum and increase student and faculty awareness and use of print and electronic information resources available through the public library.

School Corps staff work in cooperation with teachers, media specialists, and school library staff to create materials and services that assist students in the efficient and effective use of the library and its resources. The **School Corps** serves all Multnomah County schools, grades K-12.

School Corps

services include:

- ✓ Instruction in the local and remote use of DYNA (the Multnomah County Library's computer), Magazines Online (a database of full-text articles and citations) and World Wide Web information resources;
- ✓ training in how to use the Multnomah County Library to complete research projects and homework assignments;
- ✓ library cards for every student and staff member;
- ✓ branch and Central Library tours;
- ✓ curriculum and instructional support;
- ✓ Assignment Alert program to allow teachers to notify the public library of upcoming homework and research assignments, ensuring that the library has the materials teachers and students need;
- ✓ specialized services including booklists and pathfinders (print and electronic tools that help students navigate through the wealth of Multnomah County Library information resources).



For more information about the **School Corps**, contact:

Jackie Partch, School Corps Team Leader
(503) 736-6004

jacquelp@nethost.multnomah.lib.or.us

For programs about technological resources,
contact:

Walter Minkel, School Corps Technology Trainer,
(503) 736-6002

walterm@nethost.multnomah.lib.or.us

Check out the School Corps Web site!
www.multnomah.lib.or.us/lib/schoolcorps/

*Other Multnomah County Library Web sites for
students and faculty:*

Multnomah County Library Home Page:
www.multnomah.lib.or.us/lib/

Multnomah County Library Kids Page
(for ages 14 and under):
www.multnomah.lib.or.us/lib/kids/

Multnomah County Library OuterNet
(for ages 13-19):
www.multnomah.lib.or.us/lib/outer/

Multnomah County Library Homework Center
www.multnomah.lib.or.us/lib/kids/homework/

Multnomah County Library

School Corps

Multnomah County Library

School Corps

The School Corps is:

- Five professional staff from Multnomah County Library
- One team leader
- One technology trainer
- Three School Corps team members

What is the School Corps?

- An innovative new program
- One of the first of its kind in the nation
- Serves Multnomah County schools, K-12
- For students, faculty and staff
- Promotes public library information resources

School Corps Services Include:

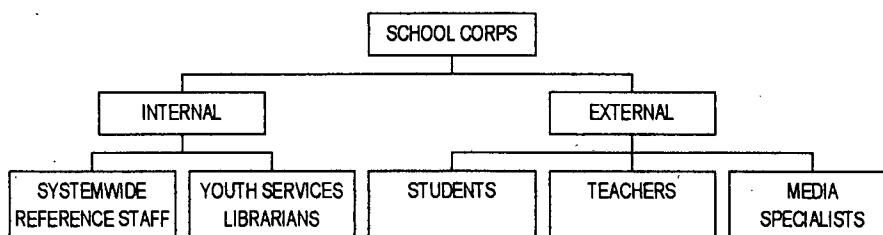
- DYNA instruction
(computerized library catalog)
- Magazines Online instruction
(full-text articles database)
- World Wide Web resource instruction

Services Include:

- Library card promotions
- Research methods instruction
- Curriculum support
- Assignment alerts: teachers inform librarians of upcoming assignments
- Specialized services: booklists, webliographies

Customer Focused * Concerned With All Stakeholders

School Corps Customers



Determining and Considering Customer Needs

- Consulted Multnomah Education Service District
- Employed experience of MCL Youth Librarians who have served students in their libraries
- Considered state CIM/CAM requirements involving information & technology literacy
- Structured program to be flexible and responsive to school schedules

Serving Customers & Stakeholders

- Supports County Benchmarks and the greater community
 - Increase high school completion rate
 - Reduce crime
- Contributes to the information literacy of our County's students
- Supports the County's teachers and media specialists
- Supports other MCL youth librarians

Participatory

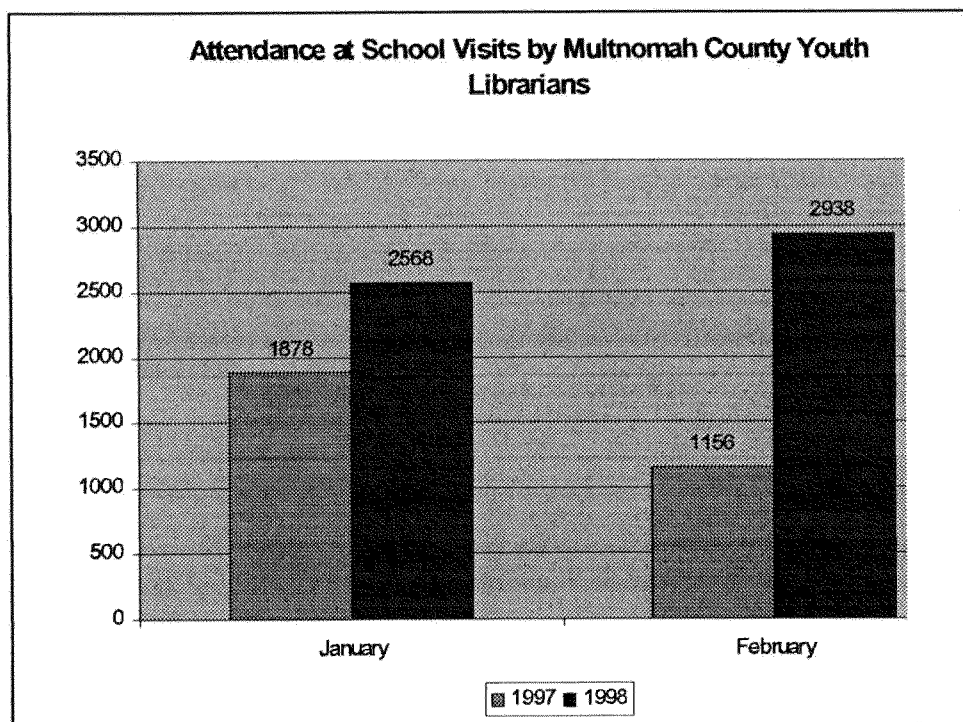
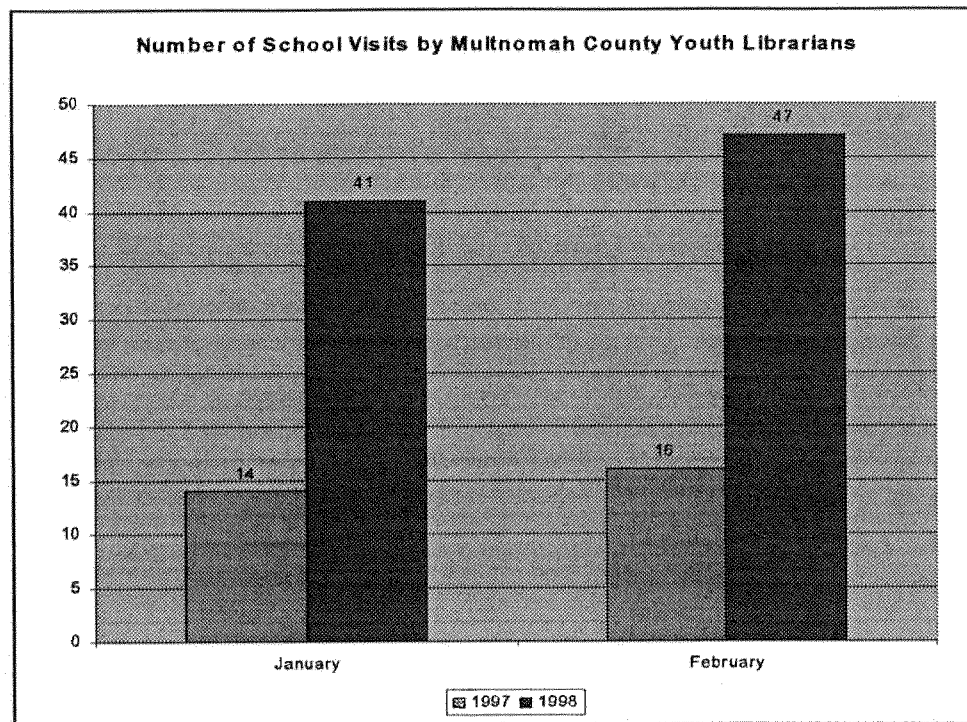
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Inclusive

- Input from Youth Services staff
- Team operation
 - weekly team meetings
 - shared work space
- Assignment Alert program
- Homework Center WWW page

Process Oriented

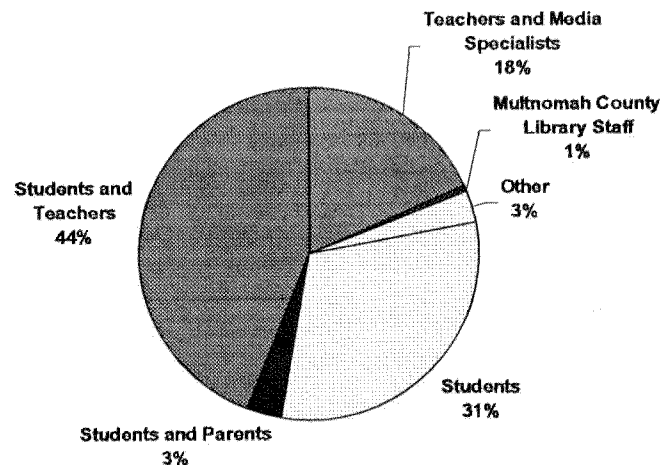
- Meets the information needs of a greater number of students
- Improves the Library's collection through notifying the Materials Selector of assignments
- Surveys audiences to continually improve our presentations



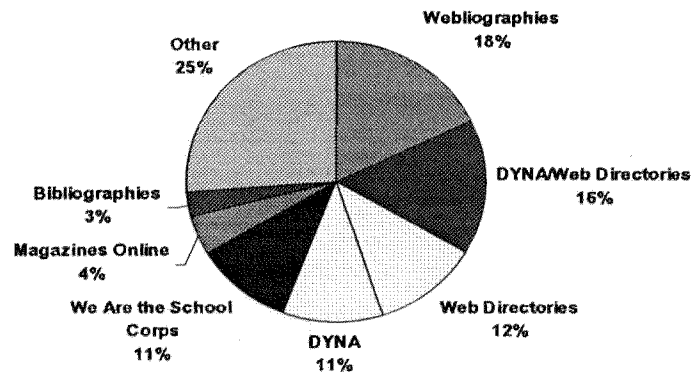
Data/Outcome Driven

- School Corps database
 - Audiences we've served
 - Most popular presentations
- Evaluations for concrete feedback
- Library card campaign statistics

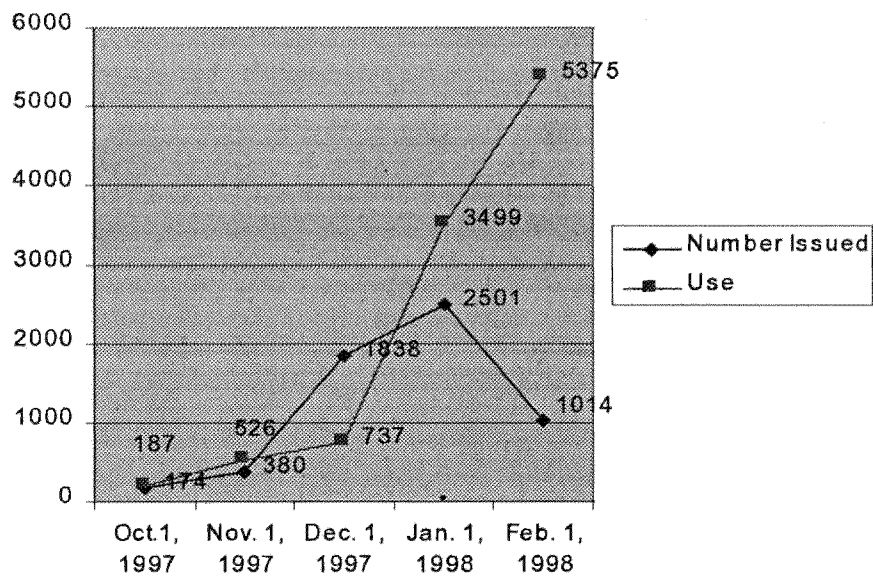
Recipients of School Corps Services



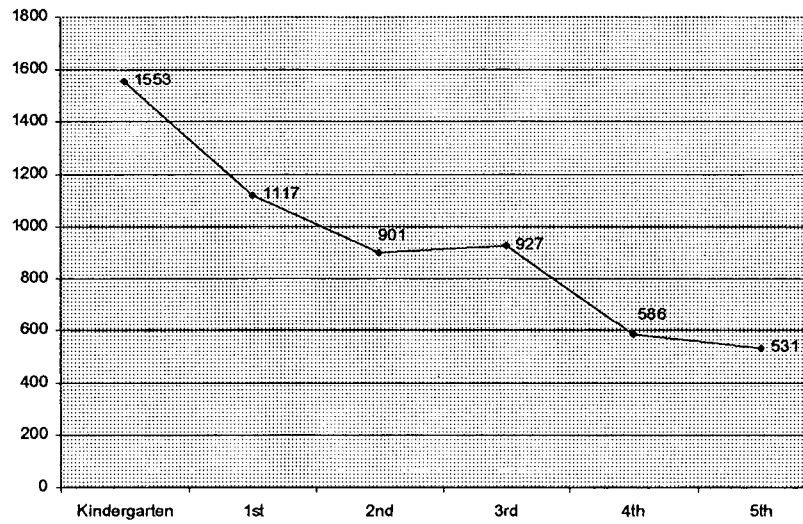
Most Popular School Corps Services August 1997-March 1998



School Corps Cards Issued and Used



Number of Cards Issued by Grade Level



Meeting Date: APR 16 1998
Agenda No: R-3 R-3
Estimated Start time: 9:45am 9:40

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Multnomah County Audit Fiscal Year Ending June 30, 1997

BOARD BRIEFING Date Requested: April 9, 1998

Requested by: Jean Uzelac

Amount of Time Needed: 30 minutes
REGULAR MEETING: Date Requested: _____

Amount of Time Needed: _____

DEPARTMENT: Support Services DIVISION: Finance

CONTACT: Jean Uzelac TELEPHONE #: Ext. 22766

BLDG/ROOM #: #106/1430

PERSON(S) MAKING PRESENTATION: Sharon De La Rosa, Multnomah County Audit Committee Chair

ACTION REQUESTED:

☒ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Multnomah County Audit Committee presentation of Comprehensive Annual Financial Report and Single Audit Report.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

OR

DEPARTMENT MANAGER: Vickie S. [Signature]

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Office of the board Clerk 248-3277/248-5222

BOARD OF
COUNTY COMMISSIONERS
98 MAR 12 PM 12:59
MULTNOMAH COUNTY
OREGON

December 5, 1997

The Board of County Commissioners
Multnomah County, Oregon

Members of the Board and County Commissioners:

We have audited the general purpose financial statements of Multnomah County, Oregon (the County) as of and for the year ended June 30, 1997, and have issued our report thereon dated December 5, 1997. In planning and performing our audit of the financial statements of the County, we considered its internal control in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control. We have not considered the internal control since the date of our report.

A material weakness is a condition in which the design or operation of specific internal control components does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control would not necessarily disclose all matters in internal control that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. However, we noted no matters involving internal control and its operation that we consider to be material weakness as defined above.

During our audit, we noted certain other matters involving internal control that are presented below for your consideration. These observations and recommendations, all of which have been discussed with the appropriate members of management, are intended to improve internal control and are summarized as follows:

YEAR 2000 ISSUES

The County has begun to investigate and analyze the impact of the Year 2000 date change on electronic information systems and other aspects of the County's operations by creating a Year 2000 compliance team. This team is under the direct supervision of the Information Systems department and is currently working on inventorying the County's hardware and software systems. We feel that Year 2000 conversion efforts will have a significant impact at the County due to the finality of the conversion date and the number of applications, both internally and externally, that are affected by the date change. Based on the size and complexity of the County, as well as the number of outside entities to which the County is electronically linked, we believe conversion efforts may be time consuming and costly.

Recommendation

Year 2000 conversion efforts should be viewed as a business issue and not just an electronic information systems issue. It is the responsibility of the Board of Commissioners and the County's management to ensure that Year 2000 compliance efforts are properly budgeted and executed in a timely and efficient manner. Due to the finality of the conversion date and the potential risk of noncompliance, it is recommended that the County continue to ensure that the project is adequately budgeted and that coordination of the conversion efforts be formally defined. The Year 2000 compliance team should review all purchase orders related to computer systems.

County's Response

The County acknowledges KPMG's recommendation regarding Year 2000 project management. The County has and continues to treat Year 2000 compliance as a serious issue with the potential for significant impact on County business functions.

The County views Year 2000 compliance efforts as a business issue and not merely an information technology issue. The County has established a Year 2000 project office with oversight responsibility for County Year 2000 compliance activity. This includes:

- Assessment and remediation of legacy/centralized systems
- Assessment and remediation of non-information technology embedded microprocessor systems
- Internal and external information technology interfaces

- Contingency planning
- Public awareness
- Legal and risk management issues
- Oversight of assessment, prioritization and remediation of County department's decentralized information technology systems

The County has retained COMSYS Technical Services, Inc. to assess and remediate centralized information technology systems residing on the County's enterprise server. It is the intention of the vendor to have completed remediation and implementation by October 31, 1998. The County is also working with departments, suppliers, external business partners and customers to assess and remediate all non-compliant mission critical information technology and non-information technology applications.

The County does note significant risks exist associated with certain identified information technology interfaces with external partners. Efforts have been made to influence, where appropriate and feasible, the remediation process of these external partners in an effort to reduce the County's exposure due to the potential for failure of external partner information technology interfaces. Also, the County has formed test partnerships with certain external partners with the intent of assisting in ensuring Year 2000 compliance program changes do not adversely impact the functionality of County information technology systems.

The County is in the process of adopting a Year 2000 acquisition and contracting policy with the intention of procuring only Year 2000 compliant information technology and non-information technology equipment, software, etc. The policy includes boilerplate language to be included in the County contracts and intergovernmental agreements requiring parties doing business with the County to provide services, data and/or goods that meet the definition of Year 2000 compliant. The County is also pursuing adoption of a certification policy requiring all County departments to report Year 2000 compliance project progress on a monthly basis and certifying department compliance at department project completion.

The County has budgeted \$4.3 million to assess and remediate central/legacy systems. Departments will include all appropriate requests for funds to address individual department Year 2000 compliance projects. The County recognizes the need to allocate appropriate resources to ensure mission critical business functions continue uninterrupted into the next century.

The Board of County Commissioners
Multnomah County, Oregon
December 5, 1997
Page 4

* * * * *

Our audit procedures are designed primarily to enable us to form an opinion on the financial statements and, therefore, may not bring to light all weaknesses in policies or procedures that may exist. We aim, however, to use our knowledge of the County's organization gained during our work to make comments and suggestions that we hope will be useful to you.

We would be pleased to discuss these comments and recommendations with you at any time.

This report is intended solely for the information and use of management and others within the organization.

Very truly yours,

KPMG Peat Marwick LLP



MULTNOMAH COUNTY OREGON



Central Library Front Steps

COMPREHENSIVE ANNUAL FINANCIAL REPORT
Fiscal Year Ended June 30, 1997



About the Cover

Historic Central Library has been closed for renovation since December 1995, and is expected to reopen in the spring of 1997. Prior to beginning the 2-1/2 year, \$24.6 million renovation project, Central's extensive books and materials were moved to TransCentral Library, a temporary facility located at Fourth and Columbia streets in downtown Portland.

The 83-year old building, which is on the National Register of Historic Places, was completed in 1913. This line drawing, artist unknown, first appeared on a publication entitled "The First 100 Years", published in 1964 on the occasion of the Library's 100th birthday.

About the Artist

The artist of this line drawing is unknown to the Library. As the Budget Office was preparing this line drawing for reproduction, we believe we identified the initials of the artist. If you look on the lower left side of the drawing, right atop the railing, we believe you will see the initials "E.R.". We don't think this is the artist's attempt to draw more leaves on the bush above it.

Can you help us identify the artist?

MULTNOMAH COUNTY, OREGON
COMPREHENSIVE ANNUAL FINANCIAL REPORT
For the fiscal year ended June 30, 1997



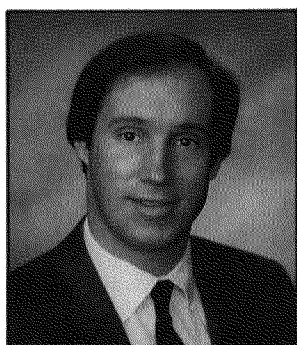
Prepared by:

Finance Division
David A. Boyer, Finance Director
1120 SW Fifth Avenue, Suite 1430
P.O. Box 14700
Portland, Oregon 97293-0700

ELECTED OFFICIALS - MULTNOMAH COUNTY OREGON



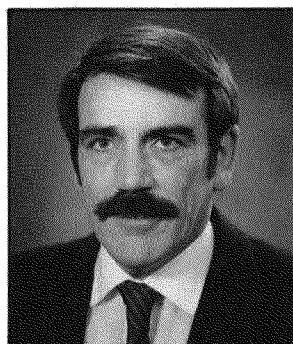
BEVERLY STEIN
Chair



DAN SALTZMAN
Commissioner



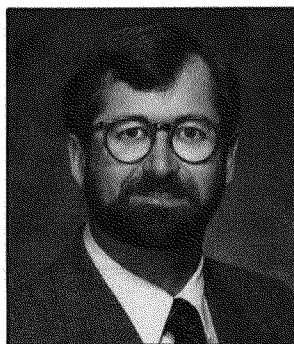
SHARRON KELLEY
Commissioner



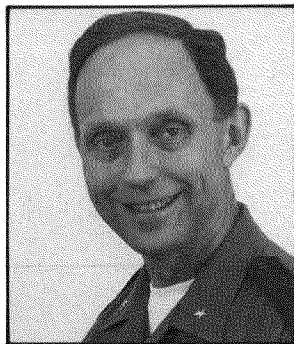
GARY HANSEN
Commissioner



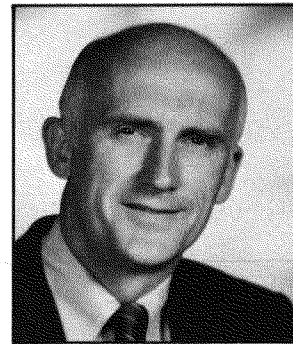
TANYA COLLIER
Commissioner



GARY BLACKMER
Auditor



DAN NOELLE
Sheriff



MICHAEL SCHRUNK
District Attorney

MULTNOMAH COUNTY, OREGON
COMPREHENSIVE ANNUAL FINANCIAL REPORT
For the fiscal year ended June 30, 1997

TABLE OF CONTENTS

INTRODUCTORY SECTION

	<u>Page(s)</u>
Organization Charts	1-2
Principal Officers	3
Letter of Transmittal	4-21
Certificate of Achievement for Excellence in Financial Reporting	22

FINANCIAL SECTION

INDEPENDENT AUDITORS' REPORT	23
GENERAL PURPOSE FINANCIAL STATEMENTS ("Liftable" Combined Financial Statements):	
Combined Balance Sheet - All Fund Types and Account Groups	24-25
Combined Statement of Revenues, Expenditures and Changes in Fund Balances - All Governmental Fund Types	26
Combined Statement of Revenues, Expenditures and Changes in Fund Balances - Budget and Actual - All Governmental Fund Types	27-28
Combined Statement of Revenues, Expenses and Changes in Retained Earnings - All Proprietary Fund Types	29
Statement of Changes in Plan Net Assets - Pension Trust Fund	30
Combined Statement of Cash Flows - All Proprietary Fund Types	31
Notes to the Combined Financial Statements	32-56
ADDITIONAL INFORMATION (Combining and Individual Fund and Account Group Statements and Schedules):	

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

	<u>Page(s)</u>
FINANCIAL SECTION (Continued)	
General Fund:	
Schedule of Revenues and Expenditures - Budget and Actual	57
Special Revenue Funds:	
Combining Balance Sheet	58-59
Combining Statement of Revenues, Expenditures and Changes in Fund Balances	60-61
Schedules of Revenues and Expenditures - Budget and Actual:	
Strategic Investment Program Fund	62
Road Fund	63
Emergency Communications Fund	64
Assessment and Taxation Fund	65
Bicycle Path Construction Fund	66
Federal and State Program Fund	67
County School Fund	68
Corner Preservation Fund	69
Tax Title Land Sales Fund	70
Animal Control Fund	71
Willamette River Bridges Fund	72
Recreation Fund	73
Library Fund	74
Justice Services Special Operations Fund	75
County Fair Fund	76
Inmate Welfare Fund	77

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

	<u>Page(s)</u>
FINANCIAL SECTION (Continued)	
Convention Center Fund	78
Natural Areas Acquisition and Protection Fund	79
Public Safety Levy Fund	80
Debt Service Funds:	
Combining Balance Sheet	81
Combining Statement of Revenues, Expenditures and Changes in Fund Balances	82
Schedules of Revenues and Expenditures - Budget and Actual:	
Revenue Bond Sinking Fund	83
Capital Lease Retirement Fund	84
Library Bond Sinking Fund/1993 Bonds	85
Library Bond Sinking Fund/1996 Bonds	86
Public Safety Bond Sinking Fund	87
Capital Projects Funds:	
Combining Balance Sheet	88-89
Combining Statement of Revenues, Expenditures and Changes in Fund Balances	90-91
Schedules of Revenues and Expenditures - Budget and Actual:	
Justice Bond Project Fund	92
Edgefield Children's Center Fund	93
SB1145 Fund	94
Equipment Lease/Purchase Fund	95
Lease/Purchase Project Fund	96
Library Construction Fund/1993 Bonds	97
Library Construction Fund/1996 Bonds	98

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

	<u>Page(s)</u>
FINANCIAL SECTION (Continued)	
Capital Improvement Fund	99
Capital Acquisition Fund	100
Enterprise Funds:	
Combining Balance Sheet	101
Combining Statement of Revenues, Expenses and Changes in Retained Earnings (Deficit)	102
Combining Statement of Cash Flows	103
Combining Schedule of Changes in Contributed Capital	104
Schedules of Revenues and Expenditures - Budget and Actual:	
Dunthorpe-Riverdale Service District No. 1 Fund	105
Mid County Service District No. 14 Fund	106
Library Enterprise Fund	107
CareOregon Fund	108
Children's Capitation Project Fund	109
Internal Service Funds:	
Combining Balance Sheet	110
Combining Statement of Revenues, Expenses and Changes in Retained Earnings	111
Combining Statement of Cash Flows	112
Combining Schedule of Changes in Contributed Capital	113
Schedules of Revenues and Expenditures - Budget and Actual:	
Insurance Fund	114
Fleet Management Fund	115

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

Page(s)

FINANCIAL SECTION (Continued)

Telephone Fund	116
Data Processing Fund	117
Mail/Distribution Fund	118
Facilities Management Fund	119
Trust and Agency Funds:	
Combining Balance Sheets:	
All Fiduciary Fund Types	120
Agency Funds	121
Combining Statement of Changes in Assets and Liabilities - Agency Funds	122
General Fixed Assets Account Group:	
Schedule of General Fixed Assets	123
Schedule of General Fixed Assets - By Function and Activity	124
Schedule of Changes in General Fixed Assets - By Function and Activity	125
OTHER SCHEDULES	
Schedule of Property Tax Collections and Outstanding Balances	126
Schedule of Bonds and Bond Interest Coupon Transactions	127
Schedule of Bonds Outstanding	128
Schedule of Loans Outstanding	129-130
Schedule of Capitalized Lease Purchases Outstanding	131-132
Schedule of Receipts and Deposits - Elected Officials	133

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

	<u>Page(s)</u>
STATISTICAL SECTION	
General Governmental Expenditures by Function - Last Ten Fiscal Years	134
General Governmental Revenues by Source - Last Ten Fiscal Years	135
General Governmental Tax Revenues by Source - Last Ten Fiscal Years	136
Property Tax Levies and Collections - Last Ten Fiscal Years	137
Assessed Value of Taxable Property - Last Ten Fiscal Years	138
Consolidated Tax Rates - Last Ten Fiscal Years	139
Consolidated Tax Levies - Last Ten Fiscal Years	140
Principal Taxpayers	141
Special Assessment Billings and Collections - Last Ten Fiscal Years	142
Computation of Legal Debt Margin	143
Construction, Property Value, and Bank Deposits - Last Ten Fiscal Years	144
Ratio of Net General Obligation Bonded Debt to Assessed Value and Net General Obligation Bonded Debt Per Capita - Last Ten Fiscal Years	145
Ratio of Annual Debt Service Expenditures for General Obligation Bonded Debt to Total General Governmental Expenditures - Last Ten Fiscal Years	146
Computation of Direct and Overlapping Debt	147
Insurance in Force	148
Population, Per Capita Income and Unemployment - Last Ten Fiscal Years	149
Major Employers in Metropolitan Area	150
Miscellaneous Statistical Data	151

**MULTNOMAH COUNTY, OREGON
TABLE OF CONTENTS (CONTINUED)**

Page(s)

AUDIT COMMENTS AND DISCLOSURES

Audit Comments and Disclosures Required by State Regulations

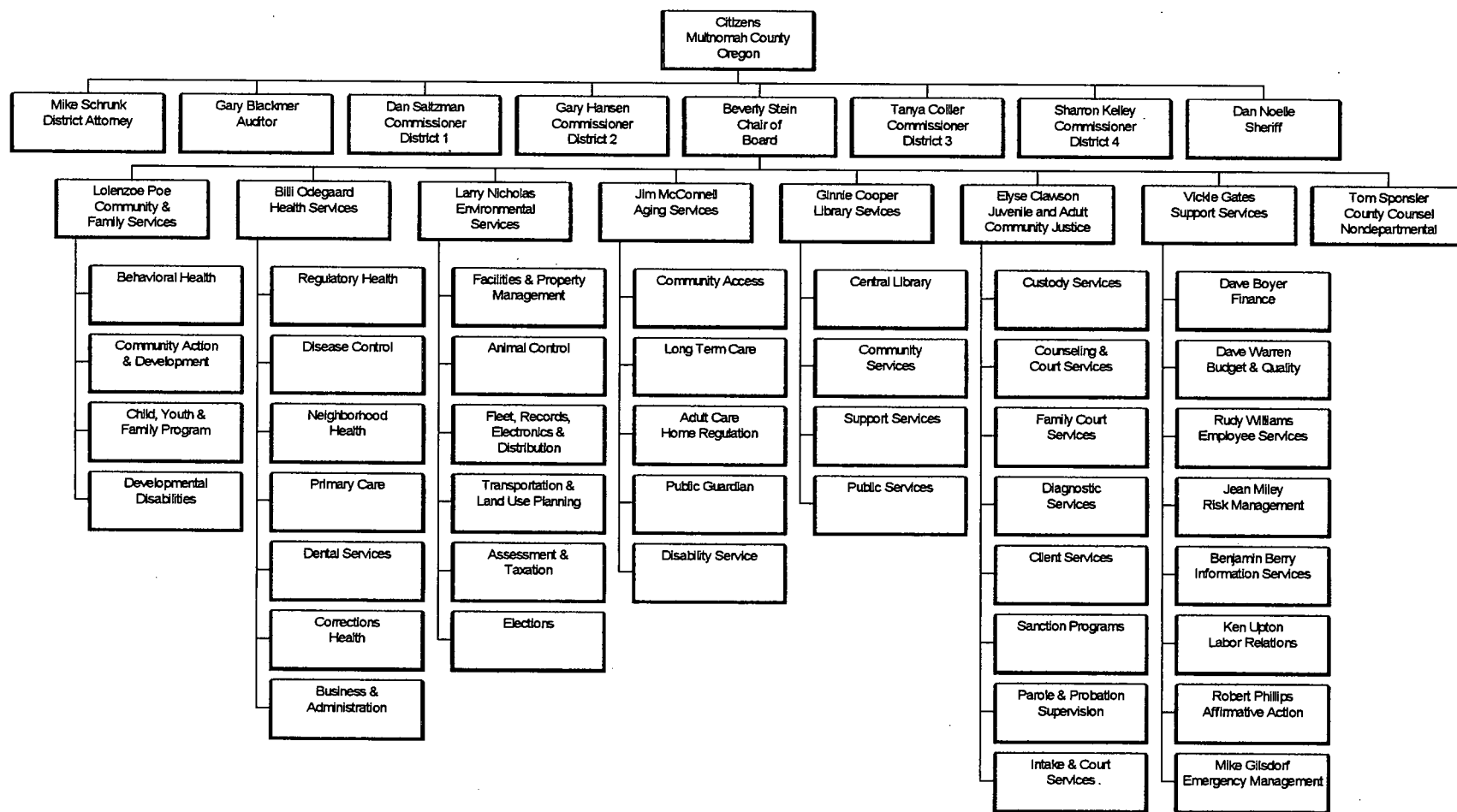
Introduction 152

Independent Auditors' Report on Compliance and on Internal Control Over Financial
Reporting Based on an Audit of Financial Statements Performed in Accordance with
Government Auditing Standards 153-154

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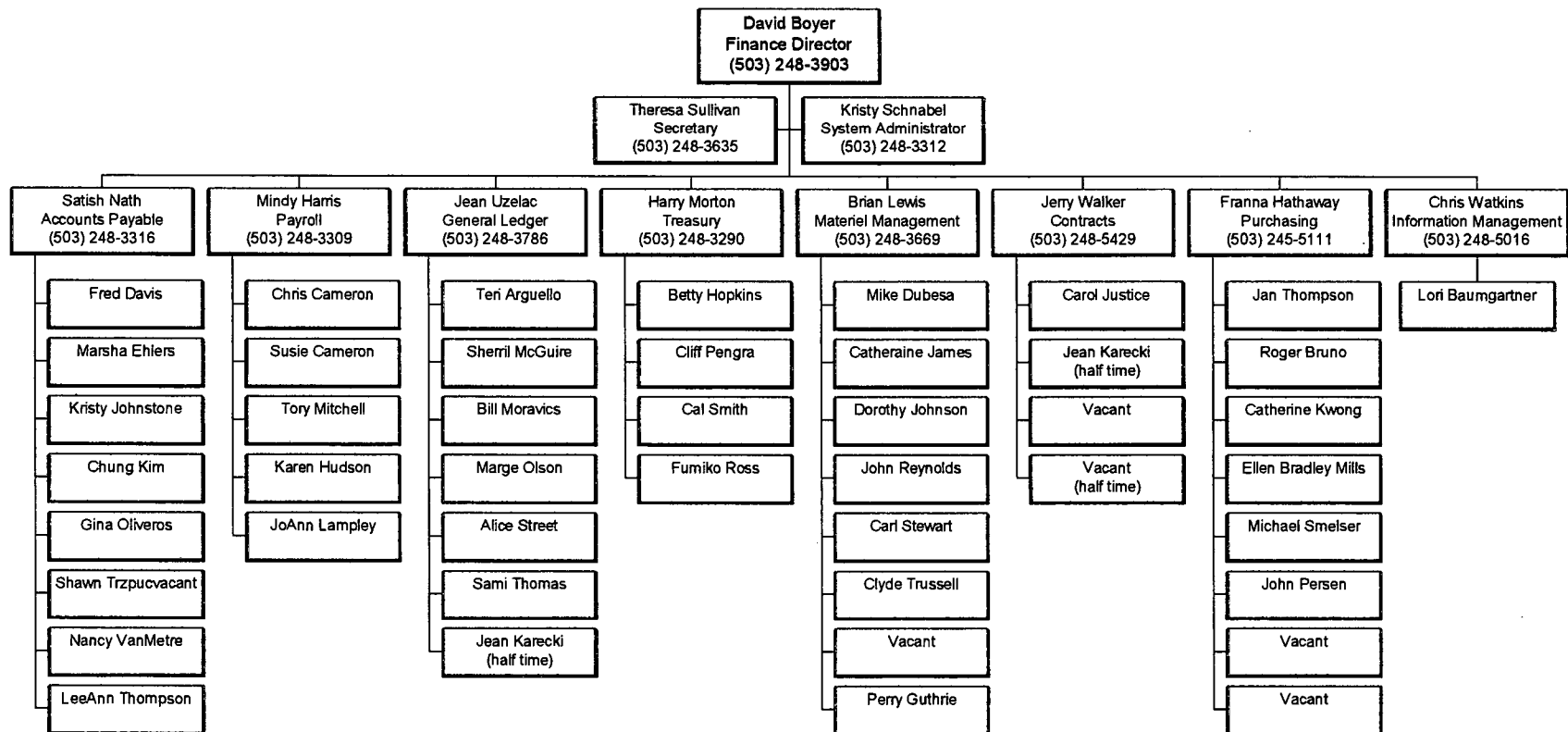
INTRODUCTORY SECTION

MULTNOMAH COUNTY, OREGON



MULTNOMAH COUNTY, OREGON

Finance Division



**MULTNOMAH COUNTY, OREGON
PRINCIPAL OFFICERS
JUNE 30, 1997**

<u>Title</u>	<u>Name</u>	<u>Term Expires</u>
<u>Board of County Commissioners</u>		
Chair of Board	Beverly Stein 1120 SW Fifth Ave., Suite 1510 Portland, OR 97204-1976	12/31/98
District No. 1	Dan Saltzman 1120 SW Fifth Ave., Suite 1500 Portland, OR 97204-1976	12/31/00
District No. 2	Gary Hansen 1120 SW Fifth Ave., Suite 1500 Portland, OR 97204-1976	12/31/98
District No. 3	Tanya Collier 1120 SW Fifth Ave., Suite 1500 Portland, OR 97204-1976	12/31/00
District No. 4	Sharron E. Kelley 1120 SW Fifth Ave., Suite 1500 Portland, OR 97204-1976	12/31/00
<u>Other Elected Officers</u>		
County Auditor	Gary Blackmer 1120 SW Fifth Ave., Suite 1400 Portland, OR 97204-1976	12/31/98
County District Attorney	Michael D. Schrunk 1021 SW Fourth Avenue Portland, OR 97204-1976	12/31/00
County Sheriff	Dan Noelle 12240 NE Glisan Street Portland, OR 97230	12/31/98
<u>Other Appointed Officers</u>		
Department of Support Services Director	Vickie S. Gates	Not elected
Finance Director	David A. Boyer, CCM	Not elected
Accounting Manager	Jean M. Uzelac	Not elected
County Counsel	Thomas Sponsler	Not elected

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MULTNOMAH COUNTY OREGON

BOARD OF COUNTY COMMISSIONERS		DEPARTMENT OF SUPPORT SERVICES FINANCE DIVISION		
Beverly Stein, Chair	Directors Office	Portland Building	Contracts	Ford Building
Tanya Collier	Accounts Payable	1120 SW Fifth Avenue Suite 1430	Materials Management	2505 SE 11th
Gary Hansen	General Ledger	PO Box 14700	Purchasing	Portland, OR 97202
Sharron Kelley	Payroll	Portland, OR 97293-0700		Phone (503) 248-5111
Dan Saltzman	Treasury	Phone (503) 248-3312		Fax (503) 248-3252
	LAN Administration	Fax (503) 248-3292		TDD (503) 248-5170

December 5, 1997

Honorable County Chair, Board of County Commissioners
and Citizens of Multnomah County, Oregon

INTRODUCTION

We are pleased to submit the Comprehensive Annual Financial Report of Multnomah County, Oregon, (the County) for the fiscal year ended June 30, 1997, together with the unqualified opinion thereon of our independent auditors, KPMG Peat Marwick LLP.

This report, required by State law, Oregon Revised Statutes 297.425, is prepared by the Finance Division and is organized into three primary sections: Introductory, Financial and Statistical. The Introductory Section includes this letter of transmittal, the County's and Finance Division's organizational charts and a list of principal officers. This section is intended to inform the reader of the organization, services, scope and financial activities of the County. The Financial Section includes the General Purpose Financial Statements, the related notes, and additional information. The additional information contains the combining and individual fund and account group statements and schedules, and other schedules. This section provides summary and more detailed financial statements and schedules of County activities. The Statistical Section contains historical financial and statistical information, generally presented on a multi-year basis. The Statistical Section provides broader financial data for a more comprehensive understanding of the County.

This report also includes Audit Comments and Disclosures, including comments required under the Minimum Standards for Audits of Oregon Municipal Corporations Section of the Oregon Administrative Rules.

In addition, the County is required to have a comprehensive single audit of its Federal Assistance Programs in accordance with the U.S. Office of Management and Budget (OMB) Circular A-133 and the provisions of *Government Auditing Standards* promulgated by the U.S. Comptroller General as they pertain to financial and compliance audits. A report on the County's compliance with requirements applicable to each major program and internal control over compliance in accordance with OMB Circular A-133 has been issued under separate cover.

Multnomah County management is responsible for the information in this report and we believe that the information presented is accurate in all material respects and is organized in a manner to clearly present the financial position and results of the operations of the County's various funds and account

groups. Further, all necessary disclosures have been included to enable the reader to gain maximum understanding of the financial affairs of the County.

The dollar amounts shown in this transmittal letter and the dollar amounts in the financial report, unless indicated otherwise, are expressed in thousands.

REPORTING ENTITY

This report includes all funds, account groups, agencies, boards, commissions and authorities that are controlled by or dependent on the County as defined by GASB. The financial statements include the Dunthorpe-Riverdale Service District No. 1 and Mid County Service District No. 14. The Board of County Commissioners is the governing board of these entities and Multnomah County is responsible for their financial activities. These districts provide sewer and lighting services, are reported as enterprise activities and as blended component units in the financial statements.

ECONOMY AND FINANCIAL OUTLOOK

Economy: Multnomah County is located in northwestern Oregon at the confluence of the Columbia and Willamette rivers, approximately 110 river miles and 80 highway miles from the Pacific Ocean. The County covers 465 square miles, most of which lies in the Willamette Valley, between the Tualitan Mountains west of the Willamette River and the Cascade Mountains to the East. The elevation ranges from 77 feet above sea level in Portland to 322 feet in Gresham and 1,224 feet at Big Bend Mountain in the Cascade foothills.

Multnomah County is the most populous county in the state with a July 1, 1996, population of 636,000. The cities of Portland, with a population of 503,000, and Gresham, with a population of 79,350, are the largest incorporated cities located in the County.

The Portland metropolitan area is the financial, trade, transportation and service center for Oregon, southwest Washington state and the Columbia River basin. Its manufacturing base includes electronics, machinery, transportation equipment and fabricated metals.

The Portland-Vancouver, OR-WA PMSA's (Primary Metropolitan Statistical Area), which includes Multnomah, Clackamas, Washington, Columbia and Yamhill counties in Oregon and Clark County in Washington, economy remained strong during fiscal year 1997. Total wage and salary employment rose with most of the increase coming in the non-manufacturing sector. The Portland PMSA's total employment rose from 969,700 employees at June 30, 1996 to 979,400 employees at June 30, 1997. The area's preliminary unemployment rate was 4% at June 30, 1997 compared to 4.8% at June 30, 1996. The Portland-Vancouver Consumer Price Index (CPI) (1982-84= 100) was 160.0 at December 31, 1996 compared to 153.9 at December 31, 1995. This represents an increase of 4%.

The Portland Metropolitan Area Labor Trends reported that in 1995, Multnomah County, which receives the bulk of visitor spending in the Portland Metro area, had an annual growth rate in travel expenses of 7.7%. With \$1,052 of travel spending absorbed by Multnomah County businesses during 1995, Multnomah County received over 70% of all travel spending in the Portland area. The majority of travel spending was received by the air transportation, retail sales, accommodation, and eating and drinking place industries.

Financial outlook: Due to the passage of the property tax limitation Ballot Measures 47 and 50, which are described in more detail later in this letter, and the failure of the Oregon Legislature to pass a transportation funding package, the County faces several unknowns. The 1997-98 Multnomah County tax base, library and jail serial levies are expected to raise \$123,000 in revenues. This is about \$18,000 lower than the prior year total and about \$32,000 less than what property taxes would have been without the limitation. The total property tax revenue will not be available until late November or

December 1997. In preparing the 1997-98 budget, the County focused the cuts on specific programs instead of spreading them across the board. A more detailed list of cuts is included under the Major Initiatives section of this letter.

On November 4, 1997, the voters approved a five year Public Library Levy (local option tax). The levy will cost property owners 59 cents per thousand of assessed value per year. The typical home will pay about \$72 (dollars) in additional property taxes per year. The levy dedicated to library operations, is estimated to provide \$19,700 in FY 99, \$20,400 in FY 00, \$21,300 in FY 01, \$22,100 in FY 02 and \$23,000 in FY 03.

On November 4, 1997, the voters rejected a Multnomah County vehicle registration fee not to exceed \$15 (dollars) per year. Revenues generated would have been used to make safe, maintain, repair and operate existing roads and bridges in Multnomah County. It was expected this increase in fees would generate an additional \$8,000 per year. With the defeat of this measure, the County will work with the State and other jurisdictions to identify other potential solutions to our road maintenance problems.

COUNTY STRUCTURE AND SERVICES PROVIDED

Multnomah County, Oregon, was incorporated in 1854 and is governed according to its Home Rule Charter, which became effective January 1967. The County's charter adopted in 1967 has had several subsequent amendments. The County is governed by a Board of County Commissioners (the Board) consisting of four non-partisan members elected from designated districts within the County and the Chair of the Board, elected at large. The Board of County Commissioners conducts all legislative business of the County in one formal Board meeting per week. It also holds informal meetings during the week for the purpose of hearing informational briefings from staff, departments and outside agencies. The Board also holds other hearings as required by State law or County Charter. The County organization and the basic services provided are as follows:

Department of Community and Family Services: Services include:

- Alcohol and other drug screening, assessment, treatment and prevention services;
- Anti-poverty programs to provide advocacy, economic opportunities and self-sufficiency support to individuals along with weatherization assistance;
- Development of affordable housing and public works improvements;
- Services to individuals with developmental disabilities, including advocacy, service coordination, residential, vocational, respite, family support and emergency services;
- Mental health screening and evaluation, treatment, family support and crisis services; and
- A network of seven family centers located throughout the County provides a full spectrum of programs for youth and families.

Department of Health Services: Services include:

- Primary health care and dental services at primary health care centers, dental clinics, schools based health centers and correctional facilities;
- Home visits to high risk families, offering child abuse prevention, parenting skills training, and health education;
- Prevention and treatment of communicable diseases, such as tuberculosis, sexually transmitted diseases, hepatitis, and HIV;
- Inspection and regulation of certain businesses and public services including ancillary health care services such as ambulance services and death investigation; and
- Advocacy for the improved health of the community, particularly the medically under served.

Department of Aging Services: Services to senior citizens include:

- Services through information and referral, gatekeepers and twenty-four hour access;
- Case management/needs assessment, eligibility, case plan development and service monitoring;
- Adult care home regulation and licensing;
- Public guardian/conservatorship; and
- Nutrition, transportation and in-home services.

Department of Juvenile and Adult Community Justice Services: Services include:

- Detention services for youth awaiting adjudication, receiving secure mental health intervention, or being held as a sanction for parole violations;
- Supervision to youth on probation including home visits, linking to treatment services, monitoring school attendance and intervention in gang behavior;
- Advice to the court on needs of children of families involved in alleged child abuse and neglect;
- Supervision services for adult pre- and post-sentenced offenders;
- Evaluation services addressing sentencing recommendations, substance abuse and mental health treatment services;
- Services to address substance abuse, mental health, housing, literacy, employment, child custody, marriage and reconciliation, and basic living skill needs; and
- Sanction programs that provide structured alternatives to prison.

Department of Library Services: Services include:

- Check books and other library materials out at the Central Library, fourteen branch libraries and through outreach services;
- Assist patrons in finding books and information;
- Select, acquire, organize and process a wide variety of books and other materials on numerous subjects expressing wide-ranging points of view for people of all ages;
- Provide age appropriate materials and services for children and young adults; and
- Provide materials and services to those County residents not able to come to County libraries or use conventional materials.

Department of Environmental Services: Services include:

- Animal control is responsible for the community's animal ownership ordinances that protect people and animals and operating an animal shelter for lost, stray and unwanted animals;
- Assessment and taxation is responsible for property assessment, tax collection, recording, property records management, property foreclosures, Board of Equalization and Tax Title Fund management;
- Elections is responsible for performing all functions relating to the conduct of all elections for governmental jurisdictions in Multnomah County;
- Facilities and property management is responsible for facilities operations and maintenance, property management, tax foreclosed property maintenance, and capital improvement projects;
- Fleet, records, electronics and distribution is responsible for providing operational support services in the areas of County vehicles, records management, electronic equipment maintenance and interoffice and U.S. mail processing; and
- Transportation and land use planning is responsible for road, bridge and bikeway maintenance and capital projects, and regulating the planning activities in Multnomah County.

Sheriff's Office: Services include:

- Corrections programs such as work release and out-of-custody supervision for pre-trial and sentenced offenders in Multnomah County;
- In-jail alcohol and drug intervention services;
- Patrol services to rural areas of unincorporated Multnomah County;
- Narcotics education and intervention through the D.A.R.E. Program and narcotics enforcement through the Special Investigations Unit;
- Civil process service and civil court enforcement of "execution process";
- Water safety education and patrol waterways within the boundaries of the County; and
- Secure incarceration of inmates and the transportation of inmates both inter and intrastate.

District Attorney's Office: Services include:

- Felony prosecution;
- Targeted crimes prosecution (Regional Organized Crime Narcotics "ROCN" Task Force);
- Misdemeanor and violation prosecutions (DUI, traffic crimes);
- Multidisciplinary child abuse teams;
- Juvenile prosecutions (delinquency and dependency cases);
- Child support enforcement; and
- Victims assistance.

Department of Support Services: Services include:

- Finance is responsible for accounts payable, accounts receivable, contract administration, materials management, general ledger, payroll, purchasing and treasury functions;
- Budget and Quality services is responsible for designing and coordinating the budget process, and for financial forecasting;
- Employee services is responsible for the areas of personnel, training and employee benefits;
- Information Services is responsible for data processing and telecommunications;
- Labor relations is responsible for the negotiations for nine collective bargaining agreements;
- Emergency management coordinates the performance of essential and emergency services for the public's benefit prior to, during, and following an emergency situation;
- Risk management is responsible for management, training, consultation and policy recommendation for loss control, property insurance and workers' compensation; and
- Affirmative action is shared by Multnomah County and The City of Portland and is responsible for assuring that the County conforms to regulatory requirements for monitoring, reporting, planning and implementing programs and strategies as they relate to equal opportunity laws.

Nondepartmental: Functions which are outside the scope of the aforementioned include:

- Office of the County Chair;
- The Board of County Commissioners;
- The County Auditor;
- County Counsel;
- The Tax Supervising and Conservation Commission;
- Multnomah Commission on Families and Children; and
- Citizen Involvement Committee.

Employees: At June 30, 1997, the County had 3,948 employees not including temporary employees. There are nine bargaining units representing 3,367 employees as listed below. In addition, there are 581 management and exempt employees.

<u>Bargaining Unit</u>	<u>Employees</u>
General Employees (Local 88)	2,411
Electricians (Local 48)	20
Operating Engineers (Local 87)	14
Paint Makers (Local 55)	3
Corrections (Teamsters 223)	386
Deputy Sheriffs Association	96
Oregon Nurses Association	272
Juvenile Group Workers (Local 86)	80
Prosecuting Attorneys Association	85
Total	<u>3,367</u>

MAJOR INITIATIVES

In fiscal year 1997. The County continued the RESULTS campaign. RESULTS stands for Reaching Excellent Service Using Leadership and Team Strategies and is Multnomah County's program for redesigning government. The goals of RESULTS are to build our capacity to meet our community and county benchmarks, to make sure we use tax dollars efficiently and to ensure quality services.

The Board of County Commissioners adopted a vision and three long term benchmarks in September 1996. The County believes that making progress on these benchmarks is essential for reaching its vision. These benchmarks are:

- Reducing the number of children in poverty;
- Increasing the number of young people who graduate from high school prepared for their roles as citizens, workers and parents; and
- Reducing crime.

On May 21, 1996, the voters approved a library general obligation bond measure in the amount of \$29,000 to finance the acquisition, construction or remodeling of library facilities and equipment. A public safety general obligation bond measure in the amount of \$79,700 was also approved by the voters to finance the acquisition, construction of public safety facilities and equipment. These bonds were issued in October 1996.

On May 2, 1996, the Board of County Commissioners passed a resolution to financially assist the school districts located within the County to help restore budgetary cuts that the various school districts needed to balance their 1996-97 budgets. The County transferred \$10,000 in one-time-only funds to the school districts in fiscal year 1996-97.

On April 1, 1997, CareOregon, a Health Maintenance Organization which was started in Multnomah County, spun off and became a private health maintenance organization.

During the year, the County began receiving State funds to offset the cost of handling felons formerly housed in State prisons that were transferred to the County. In fiscal year 1996-97, the County received \$3,200 for operational support and expects to receive about \$15,500 in fiscal year 1997-98. The State is also paying about \$43,000 for capital construction for housing these inmates.

On November 5, 1996, the voters of the State of Oregon approved a constitutional amendment, Ballot Measure 47, to limit the amount of property taxes to be collected. On May 20, 1997, the voters approved Ballot Measure 50 to replace Measure 47. Measure 50 cuts or reduces property taxes imposed statewide by 17% from fiscal year 1997-98 levels unless such taxes are exempt. Measure 50 rolls back the assessed value of each unit of property for the tax year 1997-98 to its 1995-96 "real market value," less 10%. The new tax rates become the new constitutional limit for each jurisdiction replacing the levy based tax system with a rate based tax system. The measure limits any increase in assessed value to 3% per year for tax years after 1997-98. There are special exemptions for property that is substantially improved, rezoned, subdivided, or annexed, or when property ceases to qualify for a property tax exemption. In addition to limiting the amount of property taxes that can be collected, the measure requires a double majority voter approval, except at a general election, for new or increased property taxes, restricts the use of general obligation bond proceeds on certain capital maintenance and equipment outlays and restricts other means by which the State and local governments can raise revenue in lieu of property taxes. The full text of Ballot Measure 50 should be read to gain a better understanding of the limitation.

On April 3, 1997, the Board passed an Ordinance approving a Retirement Incentive Program to provide encouragement to eligible County employees to resign employment and retire in order to reduce the need for layoffs as a result of the budget reductions caused by Ballot Measure 47. Generally, eligible employees means employees that are eligible to retire under the current Oregon Public Employees Retirement System. The program provided eligible employees to receive 1 week of retirement incentive pay for each year of continuous service up to 30 weeks. The County will also pay 100% of medical insurance premiums for employees from the age of 58 until age 65, death or Medicare eligibility. One hundred thirty-three employees took advantage of this program and the cost to the County was \$1,886.

For the future. The County's 1997-98 budget was prepared within the constraints of Ballot Measure 50. The County assumed that the property taxes in the General Fund and property tax levy funds will be cut by approximately \$26,000. Approximately 160 positions were cut from the 1997-98 budget. Another 68 positions are funded on a one time basis. The following are the major cuts made in the various County Departments.

- Community and Family Services \$2,050
- Aging Services \$550
- Health \$3,500
- Support Services \$400
- Environmental Services \$4,800
- District Attorney \$365
- Sheriff \$8,900
- Community Justice \$1,100
- Library \$4,100

On May 15, 1997, the Board of County Commissioners and the Portland City Council met in joint session to pass a Resolution of Intent approving the process for the evaluation of city-county consolidation, including functional consolidations, the creation of a Consolidation Task Force and completion of a Threshold Study. In fiscal year 1997-98 the County will be working with the Cities of Portland, Fairview, Gresham, Maywood Park, Troutdale, and Wood Village to objectively proceed with the merger of selected local government functions where feasible. In addition, Multnomah County and the City of Portland are asking the Consolidation Threshold Study to include a recommendation of whether to proceed with overall consolidation under State Law. By February 6, 1998, the City and/or County will decide whether to accept or reject the recommendation of the Consolidation Threshold Study.

School funding continues to be a high priority of the County. While the County is not in a position to repeat the 1996-97 \$10,000 one time only contribution to County schools, we will continue to fund a number of initiatives including school based alcohol and drug services, anti-violence and early screening and referral services. The County will continue meeting with City of Portland officials, school district officials, members of the business community and citizens to find short term and long term solutions to funding schools.

The County used the three long term benchmarks adopted September 16, 1996, as factors in developing the 1997-98 budget. Agencies charged with community planning will continue to develop strategies and outcomes, working with community experts, to analyze research data and determine the most cost effective ways to deliver these services. The Departments will also work to align programs and services around common strategies.

The County will also continue working with the City of Portland to review and refine the benchmarks adopted by the Portland-Multnomah Progress Board.

FINANCIAL AND ACCOUNTING POLICIES

This report is prepared in conformance with the guidelines for financial reporting developed by the Government Finance Officers Association of the United States and Canada and the principles established by Governmental Accounting Standards Board (GASB), including all effective GASB pronouncements. It presents fairly the financial position of the various funds and account groups of the County at June 30, 1997 and the results of operations of such funds and the cash flows of the proprietary fund types for the year then ended in conformity with generally accepted accounting principles (GAAP).

The County budgets a total of forty-five funds of which thirty-four are governmental fund types, and eleven are proprietary fund types. The County also maintains six fiduciary fund types of which five are agency funds and one is a pension trust fund. The Governmental fund types and agency funds are maintained on a modified accrual basis, with revenues being recorded when measurable and available and expenditures being recorded when the goods or services are received. The proprietary funds and pension trust fund are maintained on the accrual basis of accounting.

INTERNAL ACCOUNTING CONTROLS AND BUDGETARY PROCESS

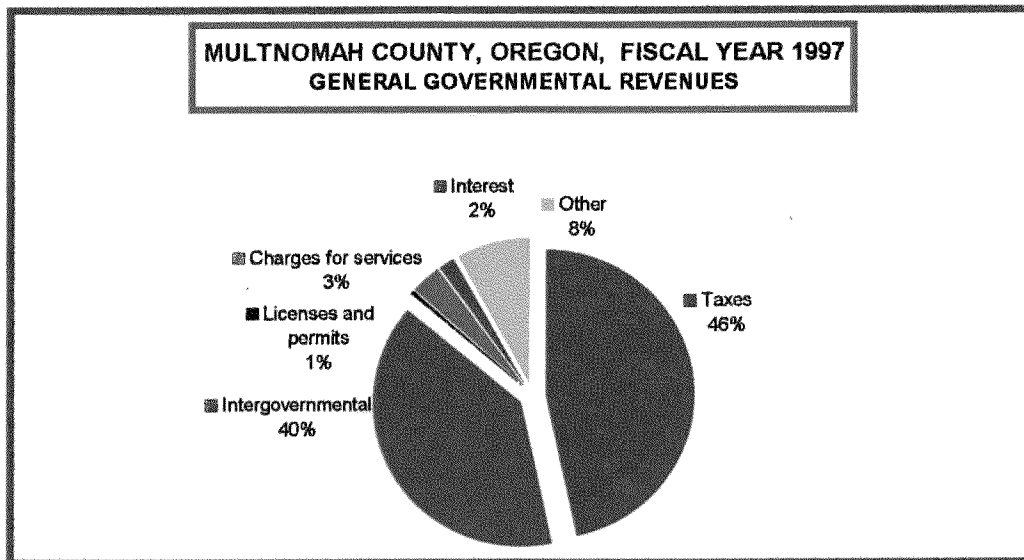
Multnomah County maintains, and management relies upon, a system of internal controls designed to provide reasonable assurance that assets are safeguarded, that accounting transactions are executed and properly recorded so that financial statements can be prepared in accordance with GAAP and the County's budgetary requirements. The internal control structure also ensures that federal and state financial assistance funds are expended in compliance with applicable laws and regulations related to those programs. In establishing the internal control system, management considers the inherent limitations of various control procedures and weighs their cost against the benefit derived. To assure that reliable and timely information is prepared in the most efficient manner possible, accounting policies, procedures and systems, together with related internal controls, are constantly monitored and revised, where necessary, to meet changing requirements.

The County's budget is prepared on the modified accrual basis of accounting. In accordance with State statutes, the County budgets all funds except trust and agency funds. The County budget is adopted by the Board of County Commissioners by department for each fund. The expenditure appropriations lapse at the end of the fiscal year. Additional resources and corresponding appropriations may be added to the budget during the fiscal year through a supplemental budget process. The original and supplemental budgets require budget hearings before the public, publications in newspapers and final adoption by the Board of County Commissioners. Original and supplemental budgets may be modified

during the fiscal year by the use of appropriation transfers between categories. The appropriation transfers must be approved by the Board of County Commissioners in public meetings. During the fiscal year, one supplemental budget was adopted.

FINANCIAL SUMMARY OF GENERAL GOVERNMENT FUNCTIONS

Governmental Fund Type Revenues: Revenues accounted for in the Governmental Fund Types totaled \$466,560 for the fiscal year 1997, an increase of 14.4% over fiscal year 1996. The revenues, percent of total revenue by source and changes from 1996 are shown in the following chart and table:



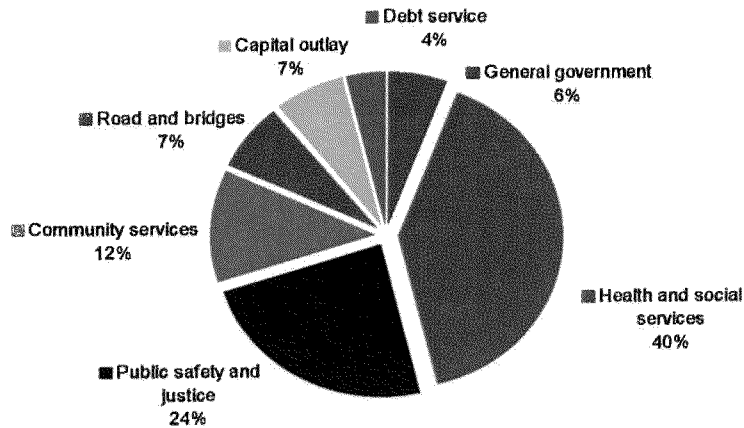
	1997 Amount	1996 Amount	Amount Increase	Percent Increase
Taxes	\$ 217,295	\$ 185,008	\$ 32,287	17.5%
Intergovernmental	184,697	172,031	12,666	7.4%
Licenses and permits	2,751	2,703	48	1.8%
Charges for services	14,882	12,864	2,018	15.7%
Interest	9,691	5,476	4,215	77.0%
Other	37,244	29,888	7,356	24.6%
Total	\$ 466,560	\$ 407,970	\$ 58,590	14.4%

The major increases are:

- The increase in taxes is due to the increase in property tax collections as a result of the increase in assessed valuation and increased collection of business income and excise taxes due to a healthy business economy;
- The increase in charges for services is due to charges for road construction reimbursements;
- The increase in interest is due to higher daily cash balance in bond construction funds; and
- The increase in other is due to the service reimbursement from Public Safety Bond Construction Fund to Capital Lease Retirement Fund to repay a letter of credit.

Governmental Fund Type Expenditures: Expenditures accounted for in the Governmental Fund Types totaled \$475,146 for fiscal year 1997, an increase of 12.3% over fiscal year 1996. The expenditures, percent of total by function and changes from the previous fiscal year are shown in the following chart and table.

**MULTNOMAH COUNTY, OREGON, FISCAL YEAR 1997
GENERAL GOVERNMENTAL EXPENDITURES**



	1997 Amount	1996 Amount	Amount Increase	Percent Increase
General government	\$ 28,298	\$ 27,994	\$ 304	1.1%
Health and social services	190,540	181,526	9,014	5.0%
Public safety and justice	113,263	96,519	16,744	17.3%
Community services	56,913	42,799	14,114	33.0%
Road and bridges	34,052	32,596	1,456	4.5%
Capital outlay	33,717	33,069	648	2.0%
Debt service	18,363	8,648	9,715	112.3%
Total	<u>\$ 475,146</u>	<u>\$ 423,151</u>	<u>\$ 51,995</u>	<u>12.3%</u>

The major increases are:

- Public safety and justice services increased primarily for the costs associated with the transfer of State prisoners to the County;
- Community services increased primarily for the \$10,000 one time only payment for school funding; and
- Debt service increased due to the first interest payment of the library and public safety general obligation bond issued in October and the repayment of the Juvenile Justice Complex line of credit.

PROPRIETARY OPERATIONS

Operating revenues accounted for in the Proprietary Funds, exclusive of the pension trust fund, for the fiscal year ended June 30, 1997, were \$108,587, an increase of \$8,297 over fiscal year 1996. This represents an increase of 7.7%. Operating expenses totaled \$108,840 for the fiscal year ended June 30, 1997, an increase of \$12,434 or 11.4% from fiscal year 1996. The net income for the year ended June 30, 1997, was \$2,931 compared to the net income of \$4,126 for fiscal year 1996.

FIDUCIARY OPERATIONS

Multnomah County manages and accounts for monies received from various sources in a fiduciary capacity. Such monies are reported in the Agency Funds within the Fiduciary Fund Types.

Disbursements are made in accordance with the agreement or applicable legislative enactment for each fund.

The County also administers the Library Retirement Fund, a pension trust fund. This fund was created as a result of the Library Association of Portland and Multnomah County Transfer Agreement effective July 1, 1990. This pension plan was frozen as a result of the transfer agreement.

Substantially all other County employees participate in the statewide Oregon Public Employees Retirement System. More detailed information on the County's retirement systems and deferred compensation is disclosed in the Pension Plans and Deferred Compensation footnotes.

RISK MANAGEMENT

Risk Management's purpose is to protect the human, physical, and financial assets of the County and is responsible for identification of potential causes of loss.

The County is partially self-insured for employee medical, dental and vision benefits, unemployment, workers' compensation, property, tort and general liability claims. The Insurance Fund, an Internal Service Fund, is governed by an ordinance adopted by the Board of County Commissioners. The ordinance requires that a financial status report be submitted to the Board of County Commissioners on an annual basis. Every two years an actuarial valuation is performed on the workers' compensation and liability programs to evaluate the County's incurred but not reported "IBNR" claims. The medical and dental IBNR claims are based on projected monthly claims costs, projected enrollment and the number of days it takes an average claim to clear the claims paying system. All IBNR claims are recorded as an expense in the year they are incurred and a corresponding liability is recorded in the Insurance Fund.

The Insurance Fund allocates the cost of providing claims servicing and claims payment by charging a premium to the various County programs based on the actuarial estimates or actual insurance premiums paid.

The County also funds post retirement benefits for a portion of medical insurance benefits for retirees between the age 58 to 65. Every two years an actuarial valuation is performed on the program to evaluate the unfunded liability and funding requirements. The next actuarial valuation will be performed in the spring of 1998 and will include the costs associated with the Retirement Incentive Program approved by the Board of County Commissioners.

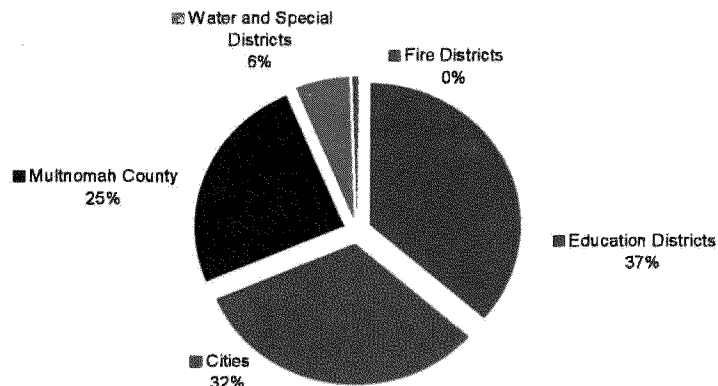
GENERAL FIXED ASSETS

The general fixed assets of the County are those fixed assets used in the performance of general governmental functions and exclude fixed assets of the Enterprise and Internal Service Funds. At June 30, 1997, the general fixed assets of the County amounted to \$254,614. This amount represents the original or estimated cost of the assets and is considerably less than the estimated replacement cost.

PROPERTY TAXES

Multnomah County serves as the property tax assessor and collector for all taxing bodies located in the County. The total levy for all entities within the County, as extended by the assessor, was \$653,822 for fiscal year 1997, an increase of \$95,314 from fiscal year 1996. The major levying entities, amounts, and changes from the previous year are displayed in the following chart and table:

**MULTNOMAH COUNTY, OREGON, FISCAL YEAR 1997
PROPERTY TAX DISTRIBUTION**



	1997 Amount	1996 Amount	Amount Increase	Percent Increase
Education Districts	\$ 238,347	\$ 193,685	\$ 44,662	23.1%
Cities	210,568	200,896	9,672	4.8%
Multnomah County	162,985	135,104	27,881	20.6%
Water and Special Districts	39,159	26,190	12,969	49.5%
Fire Districts	2,763	2,633	130	4.9%
Total	\$ 653,822	\$ 558,508	\$ 95,314	17.1%

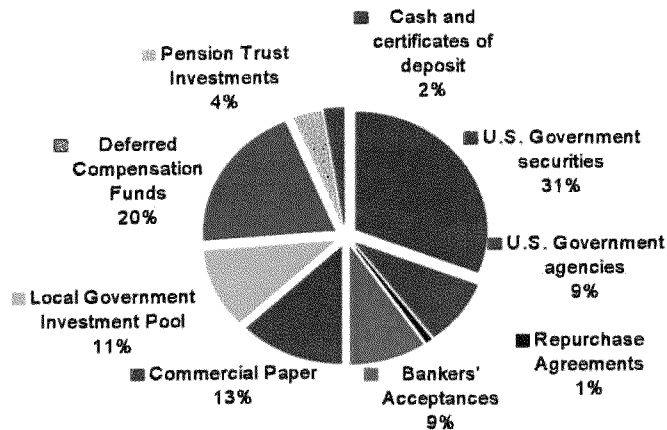
CASH AND INVESTMENTS

To obtain maximum return on investments, Multnomah County pools most funds for investment purposes. Multnomah County's investment transactions are governed by a written Investment Policy. The Investment Policy, which is reviewed and adopted annually by the Board of County Commissioners, regulates the County's investment objectives, diversification, limitations and reporting requirements. The County also utilizes an independent Investment Advisory Board to review the County's investment plan and investment performance.

The average daily balance of investments for all funds including trust funds for fiscal year 1997 was \$228,859 and the average yield on these investments was 5.51%, as compared to the fiscal year 1996 average balance of \$140,095 and average yield of 5.79%. Investment interest earnings on all funds, excluding trust funds, for the fiscal year 1997 was \$9,691 as compared to \$5,476 in fiscal year 1996. The fair value of Deposits and Investments at June 30, 1997, totaled \$291,969 as compared to \$187,747 at June 30, 1996. The unrealized losses on investments held at year end was \$210.

The investments are displayed in a note to the financial statements disclosing the carrying amounts and fair values. The note also discloses the "level of credit risk" associated with the investment types. The following table and chart represent the various cash and investment types, at fair value, changes from fiscal year 1996 and percent of total:

**MULTNOMAH COUNTY, OREGON, FISCAL YEAR 1997
CASH AND INVESTMENTS AT FAIR VALUE**



	1997 Amount	1996 Amount	Amount Increase (Decrease)
U.S. Government securities	\$ 90,301	\$ 46,285	\$ 44,016
U.S. Government agencies	25,301	26,613	(1,312)
Repurchase Agreements	3,000	-	3,000
Bankers' Acceptances	27,699	13,833	13,866
Commercial Paper	37,766	14,375	23,391
Local Government Investment Pool	31,905	26,321	5,584
Deferred Compensation Funds	58,466	46,312	12,154
Pension Trust Investments	10,544	10,290	254
Cash and certificates of deposit	6,987	3,718	3,269
Total	\$ 291,969	\$ 187,747	\$ 104,222

DEBT ADMINISTRATION

General Obligation Bonds: Under Oregon Revised Statutes 287.054 the County's total general obligation bonded debt issues are subject to a limitation of 2% of the latest true cash value of taxable property. On June 30, 1997, this limit was \$769,219 and the County had \$136,375 outstanding. Multnomah County has an Aa1 rating from Moody's Investors Service on all general obligation bonds issued.

On March 1, 1994, the County issued general obligation bonds in the amount of \$22,000 to renovate the Central Library and to build a new Midland Branch Library. The debt service is paid from the proceeds of a property tax levy. The bonds mature each October through October 2014. At June 30, 1997, \$19,215 was outstanding.

On October 1, 1994, the County issued general obligation bonds in the amount of \$9,000 to renovate the Central Library and to build a new Midland Branch Library. The debt service is paid from the proceeds of a property tax levy. The bonds mature each October through October 2015. At June 30, 1997, \$8,460 was outstanding.

On October 1, 1996, the County issued \$29,000 in General Obligation Library Bonds Series 1996A to finance the construction, acquisition and reconstruction of certain library facilities and equipment. The debt service is paid from the proceeds of a property tax levy. The bonds mature each October through October 2016. At June 30, 1997, \$29,000 was outstanding.

On October 1, 1996, the County issued \$79,700 in General Obligation Public Safety Bonds Series 1996B to finance the construction, acquisition and reconstruction of certain public safety facilities and equipment. The debt service is paid from the proceeds of a property tax levy. The bonds mature each October through October 2016. At June 30, 1997, \$79,700 was outstanding.

Revenue Bonds: The County does not have any revenue bonds outstanding

Tax and Revenue Anticipation Notes: On July 1, 1996, the County issued \$11,000 in Tax and Revenue Anticipation Notes "TRANS". The notes had a stated interest rate of 4.75% and a yield of 3.85%. The notes matured on June 30, 1997.

Line of Credit: On December 12, 1995, the County entered into an agreement with the Wells Fargo Bank, formerly First Interstate Bank, to obtain a \$7,000 line of credit to provide interim financing for the expansion of the Juvenile Justice Complex. The line of credit was paid off on October 15, 1996 with a portion of the Public Safety General Obligation Bond proceeds issued on October 1, 1996.

Per Capita Debt Levels: Per capita debt levels for the County consist not only of debt issued by the County, but also include debt issued by overlapping jurisdictions. The following table shows the debt ratios for the County and overlapping districts (Per Capita in dollars):

	Values	Per Capita	Percent of RMV
Population June 30, 1996	636,000		
1996-97 Real Market Value (RMV)	\$38,460,938	\$60,473	
Gross G. O. bonded debt	\$136,375	\$214	.35%
Net direct debt (G.O. only)	\$136,375	\$214	.08%
Net direct debt (G.O.)and overlapping debt	\$809,807	\$1,273	2.11%

INTERGOVERNMENTAL AGREEMENTS, LOANS, AND CAPITAL LEASES

Multnomah County has entered into various lease/purchase, loan and intergovernmental agreements to acquire or improve facilities and to acquire equipment. These acquisitions have been capitalized in the Data Processing Fund and Telephone Fund, Internal Service Funds, or in the General Fixed Assets Account Group. At June 30, 1997, the total amount payable in the General Long-term Obligations Account Group is \$53,641, \$1,639 in the Data Processing Fund, and \$ 355 in the Telephone Fund. The following is a summary of the various types of transactions the County has entered into.

Intergovernmental Agreement: On January 22, 1981, the County entered into an intergovernmental agreement in the amount of \$3,475 with the City of Portland for the purchase of two floors in the Portland Building. This agreement will be paid in full in fiscal year 2008. At June 30, 1997, \$2,304 was outstanding.

Loans: On February 15, 1996, the County entered into an intergovernmental agreement in the amount of \$528 with the State of Oregon to obtain an energy loan to replace the Multnomah County Courthouse chillers. This agreement will be paid in full in fiscal year 2011. At June 30, 1997, \$506 was outstanding.

On October 1, 1996, the County entered into an intergovernmental agreement in the amount of \$86 with the State of Oregon to obtain an energy loan for conservation measures at the McCoy Building. This agreement will be paid in full in fiscal year 2007. At June 30, 1997, \$81 was outstanding.

On December 1, 1996, the County entered into an intergovernmental agreement in the amount of \$35 with the State of Oregon to obtain an energy loan for conservation measures at the Elections Building. This agreement will be paid in full in fiscal year 2007. At June 30, 1997, \$33 was outstanding.

On January 15, 1997, the County entered into an intergovernmental agreements in the total amount of \$56 with the State of Oregon to obtain an energy loan for conservation measures at the Kelly Building. This agreements will be paid in full in fiscal year 2007. At June 30, 1997, \$54 was outstanding.

On July 5, 1996, the County entered into two intergovernmental agreements in the total amount of \$42 with the City of Gresham for sewer assessment loans. These agreements will be paid in full in fiscal year 2016. At June 30, 1997, \$41 was outstanding.

Lease/Purchase Contracts: On June 15, 1993, the County entered into a lease/purchase contract in the amount of \$149 with U.S. National Bank to purchase data processing equipment. The contract will be paid in full in fiscal year 1998. At June 30, 1997, \$33 was outstanding.

On February 1, 1994, the County entered into a lease/purchase contract in the amount of \$534 with U.S. National Bank to purchase data processing equipment. The contract will be paid in full in fiscal year 1999. At June 30, 1997, \$237 was outstanding.

On January 10, 1996, the County entered into a lease/purchase contract in the amount of \$317 with Amertech to purchase library data processing equipment. The contract will be paid in full in fiscal year 2001. At June 30, 1997, \$189 was outstanding.

On March 21, 1996, the County entered into a lease/purchase contract in the amount of \$1,787 with IBM to purchase data processing equipment. The contract will be paid in full in fiscal year 1999. At June 30, 1997, \$1,120 was outstanding.

On September 1, 1996, the County entered into a lease/purchase contract in the amount of \$299 with Xerox to purchase a laser printer. The contract will be paid in full in fiscal year 2002. At June 30, 1997, \$249 was outstanding.

Certificates of Participation: Certificates of Participation represent a long-term lease under an optional contract for purchase between Multnomah County and a bank's trust department. The County obtains the initial financing and then assigns the ownership of the assets to the trustee to whom the County makes lease payments. With the exception of the March 1, 1995 and June 1, 1996 equipment issues, the County has maintained an Aa rating from Moody's Investors Service on Certificates of Participation issues. The Equipment issues are rated A1 by Moody's. The August 1, 1992 issue is rated A by Standard & Poor's. Standard & Poor's has not rated the other issues.

On July 1, 1990, the County acquired an office for the County's probation program by issuing \$455 in Certificates of Participation "Series 1990C". At June 30, 1997, \$215 was outstanding. The certificates mature each July through July 2000.

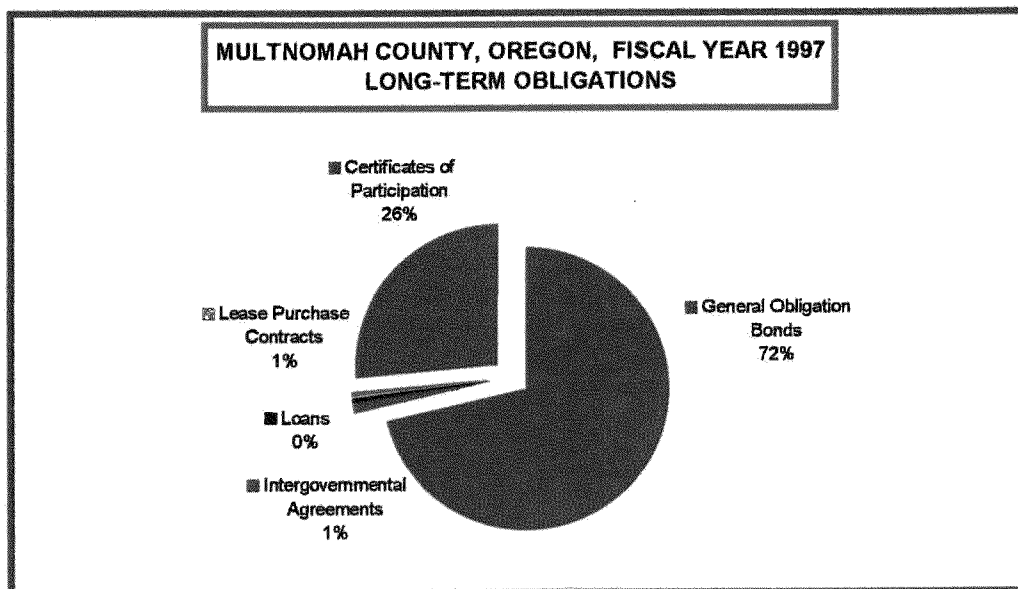
On August 1, 1992, the County issued Certificates of Participation "Series 1992A" in the amount of \$36,000 to construct the Juvenile Justice Complex. At June 30, 1997, \$31,460 was outstanding. The certificates mature each August through August 2012.

On May 1, 1993, the County advance refunded three certificate of participation issues and issued additional certificates for the purpose of financing the acquisition and improvements of certain other health care facilities. The certificates were issued in two series "1993A and 1993B". The 1993A was issued in the amount of \$17,845 and the Series 1993B, a taxable issue, was issued in the amount of \$2,045 for a total of \$19,890. At June 30, 1997, \$ 16,110 was outstanding. These certificates mature each July through July 2013.

On March 1, 1995, the County issued Certificates of Participation in the amount of \$2,445 to purchase computer equipment. At June 30, 1997, \$1,535 was outstanding. \$943 is recorded in the General Long-term Obligations Account Group and \$592 is recorded in the Internal Service Funds. The certificates mature each September through September 1999.

On June 1, 1996, the County issued Certificates of Participation in the amount of \$1,845 to purchase computer equipment. At June 30, 1997, \$1,505 was outstanding. The certificates mature each December through December 2000.

The outstanding amount of general obligation bonds, certificates of participation, lease purchases, loans and intergovernmental agreements for 1997 and 1996, changes from the previous fiscal year and percent of total are shown in the following chart and table:



	1997 Amount	1996 Amount	Amount Increase (Decrease)
General Obligation Bonds	\$ 136,375	\$ 28,710	\$107,665
Intergovernmental Agreements	2,304	2,428	(124)
Loans	715	526	189
Lease Purchase Contracts	1,547	2,327	(780)
Certificates of Participation	50,184	53,690	(3,506)
Total	\$ 191,125	\$ 87,681	\$103,444

SUBSEQUENT EVENTS

On July 1, 1997, the County issued \$11,000 in Tax and Revenue Anticipation Notes to meet anticipated cash flow requirements prior to the collection of property taxes in December and other revenues received after December. The stated interest rate is 4.75% with a yield of 3.80%. The notes mature on June 30, 1998, and are rated MIG1 by Moody's Investors Service.

On July 1, 1997, the State of Oregon transferred 137 employees to the County. Beginning in fiscal year 1997-98 the County will be responsible for Disability Services. The County will be receiving about \$7,000 from Title XIX funds to provide for operations for this program.

On August 21, 1997, the Board of County Commissioners approved Resolution 97-164 to call for an election to be held November 4, 1997, to ask the voters to approve a five year Public Library Levy (local option tax). The levy will cost property owners 59 cents per thousand of assessed value per year. The typical home will pay about \$72 (dollars) in additional property taxes per year. The levy dedicated to library operations is estimated to provide \$19,700 in FY 99, \$20,400 in FY 00, \$21,300 in FY 01, \$22,100 in FY 02 and \$23,000 in FY 03. On November 4, 1997, this measure was approved by the voters.

On August 21, 1997, the Board passed Resolution 97-165 to call for an election to be held November 4, 1997, to ask the voters to approve a Multnomah County vehicle registration fee not to exceed \$15 (dollars) per year. Revenues generated would have been used to make safe, maintain, repair and operate existing roads and bridges in Multnomah County. The revenue generated would have been shared with cities in the county for repair and maintenance of city streets. This increase in fees would have generated approximately \$8,000 per year. On November 4, 1997, this measure was defeated by the voters.

On November 1, 1997, the County acted as a conduit and issued \$31,600 in Educational Facilities Revenue Bonds for the University of Portland. The proceeds will be used to finance certain capital improvements to the University and to refund the Educational Revenue Bonds Series 1994 in the amount of \$17,750. The responsibilities of the County in this bond transaction were limited to adopting the resolution authorizing the issuance of the bonds and other administrative tasks. The County assumes no liabilities associated with the repayment of these bonds.

INDEPENDENT AUDIT

Oregon State law requires that an annual audit of the financial records and transactions of all County functions be performed by an independent certified public accountant. This requirement has been complied with and the general purpose financial statements have been audited and have received an "unqualified opinion" from KPMG Peat Marwick LLP, our independent auditors. See the Financial Section for the full text of our auditors' report.

CERTIFICATE OF ACHIEVEMENT FOR EXCELLENCE IN FINANCIAL REPORTING

The Government Finance Officers Association of the United States and Canada "GFOA" awarded the Certificate of Achievement for Excellence in Financial Reporting to Multnomah County, Oregon, for its comprehensive annual financial report for the fiscal year ended June 30, 1996. The Certificate of Achievement is a prestigious national award recognizing conformance with the highest standards for preparation of state and local government financial reports. Multnomah County has received a Certificate of Achievement award for thirteen consecutive years. In order to be awarded a Certificate of Achievement, a governmental unit must publish an easily readable and efficiently organized comprehensive annual financial report, whose contents conform to program standards. Such reports must satisfy both generally accepted accounting principles and applicable legal requirements.

A Certificate of Achievement is valid for a period of one year only. We believe our current report continues to conform to the Certificate of Achievement program's requirements, and we are submitting it to the GFOA to determine its eligibility for another certificate.

In addition, Multnomah County also received the GFOA's Award for Distinguished Budget Presentation for its annual appropriated budget dated July 1, 1996. In order to qualify for the distinguished Budget Presentation Award, the County's budget document was judged to be proficient in several categories including policy documentation, financial planning and organization. Multnomah County has received the Distinguished Budget Award for three consecutive years.

SUMMARY

Multnomah County's financial position continues to remain strong. As of June 30, 1997, the fund balances in the Governmental Fund Types were \$163,205, as compared to the June 30, 1996 balances of \$63,473. The major increase in the fund balances was a result of bond sale proceeds to finance capital projects. At June 30, 1997, \$14,977 of the fund balances is reserved for debt retirement, \$102,094 is reserved for capital projects, \$1,297 is reserved for inventories, \$320 is reserved for prepaid items, \$782 is reserved for foreclosed property and \$ 250 is reserved for the Oregon Medical Assistance Program.

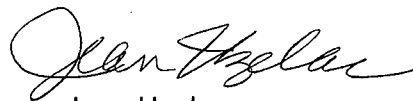
ACKNOWLEDGMENTS

We wish to express our sincere appreciation to the employees in the Finance Division who maintained the records and assisted in the preparation of this report. Special thanks is extended to the General Ledger Staff who were instrumental in preparing this report. Appreciation is also extended to Beverly Stein, Chair of the Board of County Commissioners, the Board of County Commissioners, Department Managers, Budget & Quality Division, and other County personnel for their assistance and support in planning and conducting the financial operations of the County in a prudent manner.

Respectfully Submitted,



David A. Boyer, CCM
Finance Director



Jean Uzelac
Accounting Manager

Certificate of Achievement for Excellence in Financial Reporting

Presented to

Multnomah County,
Oregon

For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended
June 30, 1996

A Certificate of Achievement for Excellence in Financial Reporting is presented by the Government Finance Officers Association of the United States and Canada to government units and public employee retirement systems whose comprehensive annual financial reports (CAFRs) achieve the highest standards in government accounting and financial reporting.



Linda N. Savitsky
President

Jeffrey L. Esser
Executive Director

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FINANCIAL SECTION

Suite 2000
1211 South West Fifth Avenue
Portland, OR 97204

Independent Auditors' Report

The Board of County Commissioners
Multnomah County, Oregon
Portland, Oregon:

We have audited the accompanying general purpose financial statements of Multnomah County, Oregon (the County) as of and for the year ended June 30, 1997, as listed in the accompanying table of contents. These general purpose financial statements are the responsibility of the County's management. Our responsibility is to express an opinion on these general purpose financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

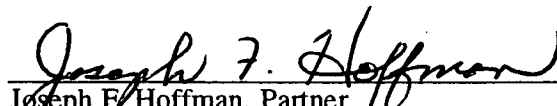
In our opinion, the general purpose financial statements referred to above present fairly, in all material respects, the financial position of Multnomah County, Oregon as of June 30, 1997, and the results of its operations and the cash flows of its proprietary fund types for the year then ended in conformity with generally accepted accounting principles.

In accordance with *Government Auditing Standards*, we have also issued our report dated December 5, 1997 on our consideration of the County's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grants.

Our audit was made for the purpose of forming an opinion on the general purpose financial statements of Multnomah County, Oregon taken as a whole. The combining and individual fund and account group statements and other financial schedules listed in the accompanying table of contents are presented for purposes of additional analysis and are not a required part of the general purpose financial statements of the County. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements and, in our opinion, is fairly stated in all material respects in relation to the general purpose financial statements taken as a whole.

The other data included in this report, designated as "Statistical Section" in the accompanying table of contents, has not been audited by us and, accordingly, we express no opinion on such data.

KPMG PEAT MARWICK LLP

By 
Joseph F. Hoffman, Partner

December 5, 1997

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GENERAL PURPOSE FINANCIAL STATEMENTS
("Liftable" Combined Financial Statements)

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MULTNOMAH COUNTY, OREGON
COMBINED BALANCE SHEET
ALL FUND TYPES AND ACCOUNT GROUPS
June 30, 1997
(amounts expressed in thousands)

	Governmental Fund Types			
	General	Special Revenue	Debt Service	Capital Projects
ASSETS AND OTHER DEBITS:				
Assets:				
Cash and investments	\$ 14,509	\$ 25,206	\$ 14,910	\$ 104,188
Receivables:				
Taxes	6,318	2,133	472	
Accounts	6,799	23,714	6	4,873
Loans	138	1,815		
Interest	1,220	15		
Special assessments	27			
Contracts		1,432		1,644
Due from other funds	3,696			23
Inventories	615	682		
Prepaid items	133	185		2
Foreclosed properties		782		
Fixed assets (net, where applicable, of accumulated depreciation)				
Other debits:				
Amount available for retirement of long-term obligations				
Amount to be provided for retirement of long-term obligations				
Total assets and other debits	<u>\$ 33,455</u>	<u>\$ 55,964</u>	<u>\$ 15,388</u>	<u>\$ 110,730</u>
LIABILITIES, EQUITY AND OTHER CREDITS:				
Liabilities:				
Payrolls payable	\$ 1,597	\$ 20,044	\$ 4	\$ 2,670
Accounts payable				3,719
Due to other funds				
Assistance receipts unapplied		2,110		
Compensated absences	3,030	5,062		2
Deferred revenue	5,529	5,915	407	2,243
Due to other governmental units				
Amounts held in trust				
General obligation bonds payable				
Capitalized leases				
Loans payable				
Deferred compensation				
Total liabilities	<u>10,156</u>	<u>33,131</u>	<u>411</u>	<u>8,634</u>
Equity and other credits:				
Investment in general fixed assets				
Contributed capital				
Retained earnings:				
Unreserved				
Fund balances:				
Reserved for capital projects				102,094
Reserved for debt service			14,977	
Reserved for employees' retirement benefits				
Reserved for inventories	615	682		
Reserved for foreclosed properties		782		
Reserved for prepaid items	133	185		2
Reserved for Oregon Medical Assistance Program		250		
Unreserved, undesignated	22,551	20,934		
Total equity and other credits	<u>23,299</u>	<u>22,833</u>	<u>14,977</u>	<u>102,096</u>
Total liabilities, equity and other credits	<u>\$ 33,455</u>	<u>\$ 55,964</u>	<u>\$ 15,388</u>	<u>\$ 110,730</u>

The accompanying notes are an integral part of the combined financial statements.

Proprietary Fund Types		Fiduciary Fund Types	Account Groups		Totals (Memorandum only)
Enterprise	Internal Service	Trust and Agency	General Fixed Assets	General Long-term Obligations	
\$ 3,287	\$ 27,086	\$ 102,783	\$	\$	\$ 291,969
		29,053			37,976
641	400	237			36,670
					1,953
12					1,235
					39
					3,076
	461				3,719
7	259				1,758
					586
					782
1,208	6,927		254,614		262,749
				14,977	14,977
				176,836	176,836
<u>\$ 5,155</u>	<u>\$ 35,133</u>	<u>\$ 132,073</u>	<u>\$ 254,614</u>	<u>\$ 191,813</u>	<u>\$ 834,325</u>
\$ 1,368	\$ 10,186	\$ 13,017	\$	\$	\$ 13,017
		6,305			42,174
					3,719
39	741			1,797	2,110
	4				10,671
		29,053			14,098
		12,974			29,053
					12,974
	1,994			136,375	136,375
				52,926	54,920
				715	715
		60,180			60,180
<u>1,407</u>	<u>12,925</u>	<u>121,529</u>	<u></u>	<u>191,813</u>	<u>380,006</u>
			254,614		254,614
2,905	7,601				10,506
843	14,607				15,450
					102,094
					14,977
		10,544			10,544
					1,297
					782
					320
					250
					43,485
<u>3,748</u>	<u>22,208</u>	<u>10,544</u>	<u>254,614</u>	<u></u>	<u>454,319</u>
<u>\$ 5,155</u>	<u>\$ 35,133</u>	<u>\$ 132,073</u>	<u>\$ 254,614</u>	<u>\$ 191,813</u>	<u>\$ 834,325</u>

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
ALL GOVERNMENTAL FUND TYPES
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	General	Special Revenue	Debt Service	Capital Projects	Totals (memorandum only)
REVENUES:					
Taxes	\$ 149,299	\$ 56,087	\$ 11,909	\$	\$ 217,295
Intergovernmental	14,864	165,089		4,744	184,697
Licenses and permits	1,696	1,055			2,751
Charges for services	5,929	8,714		239	14,882
Interest	2,862	1,460	819	4,550	9,691
Other	16,251	8,718	12,217	58	37,244
Total revenues	<u>190,901</u>	<u>241,123</u>	<u>24,945</u>	<u>9,591</u>	<u>466,560</u>
EXPENDITURES:					
Current:					
General government	15,804	10,790	31	1,673	28,298
Health and social services	25,893	164,647			190,540
Public safety and justice	57,595	48,200		7,468	113,263
Community services	20,206	34,027		2,680	56,913
Roads and bridges		34,052			34,052
Capital outlay	525	9,547		23,645	33,717
Debt service:					
Principal			10,403		10,403
Interest	521		7,439		7,960
Total expenditures	<u>120,544</u>	<u>301,263</u>	<u>17,873</u>	<u>35,466</u>	<u>475,146</u>
Excess of revenues over (under) expenditures	<u>70,357</u>	<u>(60,140)</u>	<u>7,072</u>	<u>(25,875)</u>	<u>(8,586)</u>
OTHER FINANCING SOURCES (USES):					
Loan proceeds				1,672	1,672
Bond sales				108,700	108,700
Operating transfers in	1,243	69,503		3,387	74,133
Operating transfers out	(69,533)	(5,686)		(968)	(76,187)
Total other financing sources (uses)	<u>(68,290)</u>	<u>63,817</u>		<u>112,791</u>	<u>108,318</u>
Excess of revenues and other sources over expenditures and other uses	<u>2,067</u>	<u>3,677</u>	<u>7,072</u>	<u>86,916</u>	<u>99,732</u>
FUND BALANCES, JUNE 30, 1996	<u>21,232</u>	<u>19,156</u>	<u>7,905</u>	<u>15,180</u>	<u>63,473</u>
FUND BALANCES, JUNE 30, 1997	<u>\$ 23,299</u>	<u>\$ 22,833</u>	<u>\$ 14,977</u>	<u>\$ 102,096</u>	<u>\$ 163,205</u>

The accompanying notes are an integral part of the combined financial statements.

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MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
BUDGET AND ACTUAL
ALL GOVERNMENTAL FUND TYPES
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	General Fund			Special Revenue Funds		
	Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)
REVENUES:						
Taxes	\$ 149,807	\$ 149,299	\$ (508)	\$ 53,422	\$ 56,087	\$ 2,665
Intergovernmental	13,085	14,864	1,779	178,028	165,089	(12,939)
Licenses and permits	1,781	1,696	(85)	1,255	1,055	(200)
Charges for services	5,772	5,929	157	9,823	8,714	(1,109)
Interest	2,232	2,862	630	980	1,460	480
Other	17,558	16,251	(1,307)	9,578	8,718	(860)
Total revenues	<u>190,235</u>	<u>190,901</u>	<u>666</u>	<u>253,086</u>	<u>241,123</u>	<u>(11,963)</u>
EXPENDITURES:						
Current:						
General government	16,682	15,804	878	13,848	10,790	3,058
Health and social services	27,109	25,893	1,216	177,084	164,647	12,437
Public safety and justice	58,251	57,595	656	53,319	48,200	5,119
Community services	21,121	20,206	915	36,990	34,027	2,963
Roads and bridges				34,266	34,052	214
Capital outlay	647	525	122	19,017	9,547	9,470
Debt service:						
Principal						
Interest	550	521	29			
Contingency	1,983		1,983	3,317		3,317
Total expenditures	<u>126,343</u>	<u>120,544</u>	<u>5,799</u>	<u>337,841</u>	<u>301,263</u>	<u>36,578</u>
Excess of revenues over (under) expenditures	<u>63,892</u>	<u>70,357</u>	<u>6,465</u>	<u>(84,755)</u>	<u>(60,140)</u>	<u>24,615</u>
OTHER FINANCING SOURCES (USES):						
Certificates of participation proceeds						
Loan proceeds						
Bond sales						
Operating transfers in	1,301	1,243	(58)	75,429	69,503	(5,926)
Operating transfers out	(75,909)	(69,533)	6,376	(5,772)	(5,686)	86
Total other financing sources (uses)	<u>(74,608)</u>	<u>(68,290)</u>	<u>6,318</u>	<u>69,657</u>	<u>63,817</u>	<u>(5,840)</u>
Excess of revenues and other sources over (under) expenditures and other uses	<u>(10,716)</u>	<u>2,067</u>	<u>12,783</u>	<u>(15,098)</u>	<u>3,677</u>	<u>18,775</u>
FUND BALANCES, JUNE 30, 1996	<u>17,356</u>	<u>21,232</u>	<u>3,876</u>	<u>15,098</u>	<u>19,156</u>	<u>4,058</u>
FUND BALANCES, JUNE 30, 1997	<u>\$ 6,640</u>	<u>\$ 23,299</u>	<u>\$ 16,659</u>	<u>\$</u>	<u>\$ 22,833</u>	<u>\$ 22,833</u>

The accompanying notes are an integral part of the combined financial statements.

Debt Service Funds			Capital Projects Funds			Totals (Memorandum only)		
Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)
\$ 11,899	\$ 11,909	\$ 10	\$ 43,425	\$ 4,744	\$ (38,681)	\$ 215,128	\$ 217,295	\$ 2,167
						234,538	184,697	(49,841)
						3,036	2,751	(285)
292		(292)	359	239	(120)	16,246	14,882	(1,364)
362	819	457	3,315	4,550	1,235	6,889	9,691	2,802
13,747	12,217	(1,530)	137	58	(79)	41,020	37,244	(3,776)
26,300	24,945	(1,355)	47,236	9,591	(37,645)	518,857	466,560	(50,297)
36	31	5	3,039	1,673	1,366	33,605	28,298	5,307
						204,193	190,540	13,653
			30,396	7,468	22,928	141,966	113,263	28,703
			3,620	2,680	940	61,731	56,913	4,818
						34,266	34,052	214
			153,596	23,645	129,951	173,260	33,717	139,543
11,560	10,403	1,157				11,560	10,403	1,157
8,546	7,439	1,107				9,096	7,960	1,136
4,912		4,912	2,500		2,500	12,712		12,712
25,054	17,873	7,181	193,151	35,466	157,685	682,389	475,146	207,243
1,246	7,072	5,826	(145,915)	(25,875)	120,040	(165,532)	(8,586)	156,946
1,005		(1,005)	8,555		(8,555)	9,560		(9,560)
			400	1,672	1,272	400	1,672	1,272
295		(295)	110,848	108,700	(2,148)	111,143	108,700	(2,443)
			5,407	3,387	(2,020)	82,137	74,133	(8,004)
			(1,600)	(968)	632	(83,281)	(76,187)	7,094
1,300		(1,300)	123,610	112,791	(10,819)	119,959	108,318	(11,641)
2,546	7,072	4,526	(22,305)	86,916	109,221	(45,573)	99,732	145,305
6,797	7,905	1,108	22,305	15,180	(7,125)	61,556	63,473	1,917
\$ 9,343	\$ 14,977	\$ 5,634	\$	\$ 102,096	\$ 102,096	\$ 15,983	\$ 163,205	\$ 147,222

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS
ALL PROPRIETARY FUND TYPES
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Enterprise	Internal Service	Totals (Memorandum only)
OPERATING REVENUES:			
Charges for sales and services	\$ 47,531	\$ 60,475	\$ 108,006
Insurance premiums		456	456
Experience ratings and refunds		125	125
Total operating revenues	<u>47,531</u>	<u>61,056</u>	<u>108,587</u>
OPERATING EXPENSES:			
Salaries and wages	1,498	10,828	12,326
Employee benefits	435	2,651	3,086
Repairs and maintenance		2,592	2,592
Utilities	294	3,208	3,502
Equipment rental		3,271	3,271
Facility rental	114	698	812
Professional services	610	6,197	6,807
Communications	41	1,473	1,514
Operating supplies	43	3,300	3,343
Medical claims	41,636		41,636
Insurance claims and premiums		18,216	18,216
Administrative	165		165
Internal support	381	178	559
Depreciation	106	3,014	3,120
Other expenses	1,939	5,952	7,891
Total operating expenses	<u>47,262</u>	<u>61,578</u>	<u>108,840</u>
Operating income (loss)	<u>269</u>	<u>(522)</u>	<u>(253)</u>
NONOPERATING REVENUES (EXPENSES):			
Interest revenue	787	1,275	2,062
Interest expense		(98)	(98)
Loss on disposal of fixed assets		(834)	(834)
Total nonoperating revenues	<u>787</u>	<u>343</u>	<u>1,130</u>
Net income (loss) before operating transfers	1,056	(179)	877
OPERATING TRANSFERS IN	983	1,405	2,388
OPERATING TRANSFERS OUT	(71)	(263)	(334)
Net income	<u>1,968</u>	<u>963</u>	<u>2,931</u>
RETAINED EARNINGS, JUNE 30, 1996	1,085	13,644	14,729
EQUITY TRANSFER OUT	(2,210)		(2,210)
RETAINED EARNINGS, JUNE 30, 1997	<u>\$ 843</u>	<u>\$ 14,607</u>	<u>\$ 15,450</u>

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
STATEMENT OF CHANGES IN PLAN NET ASSETS
PENSION TRUST FUND
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

ADDITIONS:	
Contributions	\$ 79
Investments income - interest	795
Total additions	<u>874</u>
DEDUCTIONS:	
Benefits	516
Refunds	82
Administrative expense	21
Total deductions	<u>619</u>
Net increase	255
Net assets held in trust for pensions benefits	
Beginning of year	<u>10,290</u>
End of year	<u>\$ 10,545</u>

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF CASH FLOWS
ALL PROPRIETARY FUND TYPES
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Enterprise	Internal Service	Totals (Memorandum only)
CASH FLOWS FROM OPERATING ACTIVITIES:			
Operating income (loss)	\$ 269	\$ (522)	\$ (253)
Adjustments to reconcile operating income (loss) to cash and cash equivalents provided by operating activities:			
Depreciation	106	3,014	3,120
Changes in assets and liabilities:			
(Increase) decrease in accounts receivable	250	(34)	216
Decrease in due from other funds		1,736	1,736
Increase in inventories		(63)	(63)
(Increase) decrease in prepaid items	(6)	23	17
Increase (decrease) in accounts payable	(9,985)	722	(9,263)
Decrease in deferred revenue		(2)	(2)
Increase (decrease) in compensated absences	(21)	83	62
Increase in capitalized leases		249	249
Net cash provided (used) by operating activities	<u>(9,387)</u>	<u>5,206</u>	<u>(4,181)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:			
Operating transfers in	983	1,405	2,388
Operating transfers out	(71)	(263)	(334)
Equity transfer out	(2,210)		(2,210)
Net cash provided (used) by noncapital financing activities	<u>(1,298)</u>	<u>1,142</u>	<u>(156)</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:			
Acquisition of fixed assets	(123)	(3,643)	(3,766)
Principal paid on capitalized leases		(784)	(784)
Interest paid on capitalized leases		(98)	(98)
Capital contributed by customers and others	113	336	449
Net cash used by capital and related financing activities	<u>(10)</u>	<u>(4,189)</u>	<u>(4,199)</u>
CASH FLOWS FROM INVESTING ACTIVITIES:			
Interest on investments	787	1,275	2,062
Net cash provided by investing activities	<u>787</u>	<u>1,275</u>	<u>2,062</u>
Net increase (decrease) in cash and cash equivalents	(9,908)	3,434	(6,474)
CASH AND CASH EQUIVALENTS, JUNE 30, 1996	<u>13,195</u>	<u>23,652</u>	<u>36,847</u>
CASH AND CASH EQUIVALENTS, JUNE 30, 1997	<u>\$ 3,287</u>	<u>\$ 27,086</u>	<u>\$ 30,373</u>

NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:

Disposal of fixed assets, net book value	\$ (1)	\$ (834)	\$ (835)
Transfer of fixed assets from General Fixed Assets Account Group, net book value	\$	\$ 211	\$ 211
Transfer of fixed assets to CareOregon, Inc., net book value	\$ (21)	\$	\$ (21)

A capital lease obligation of \$299 was incurred when the Data Processing Fund, an Internal Service Fund, entered into a lease for printer equipment.

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS
June 30, 1997
(amounts expressed in thousands)

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

The financial statements of Multnomah County (the County) have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The following is a summary of significant accounting policies used by the County in the preparation of the accompanying combined financial statements:

Basis of Accounting

The accounting and financial reporting treatment applied to a fund is determined by its measurement focus.

The governmental and agency fund types are accounted for and presented on the modified accrual basis of accounting. Under the modified accrual basis of accounting, revenues are recognized in the accounting period in which they become both measurable and available. "Measurable" means the amount of the transaction can be determined, and "available" means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. Expenditures are recorded when related fund liabilities are incurred.

Significant revenues which are measurable and available under the modified accrual basis of accounting are as follows:

- Property taxes collected within sixty days of year end.
- Federal and state financial assistance (to the extent that related expenditures which are eligible for reimbursement have been incurred).
- Intergovernmental revenues.
- Motor fuel use taxes.
- Motor vehicle rental and transient lodging taxes.

The measurement focus for all governmental fund types is on current financial resources. With this measurement focus, only current assets and current liabilities generally are included on the balance sheet. Operating statements of these funds present increases (i.e., revenues and other financing sources) and decreases (i.e., expenditures and other financing uses) in net current assets.

The proprietary fund types and the pension trust fund are accounted for and presented on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time liabilities are incurred. The measurement focus of the proprietary funds and the pension trust fund is on a flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operation of these funds are included on the balance sheet. Fund equity (i.e., net total assets) is segregated into contributed capital and retained earnings components. Proprietary fund-type operating statements present increases (e.g., revenues) and decreases (e.g., expenses) in net total assets. The proprietary fund types have applied all Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions and Accounting Research Bulletins of the Committee on Accounting Procedures issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements.

MULTNOMAH COUNTY, OREGON NOTES TO THE COMBINED FINANCIAL STATEMENTS

The County's agency funds are purely custodial (assets equal liabilities) and do not involve the measurement of operations. These funds are used to account for assets that the County holds for others in an agency capacity.

Governmental Reporting Entity

Based on Governmental Accounting Standards Board Statement No. 14, the combined financial statements of the reporting entity include those of the County (primary government) and its component units. Based upon criteria set forth in GASB No. 14, the component units are included in the reporting entity because of the significance of their operational or financial relationships with the County.

The County believes the financial data of all component units included in the financial reporting entity meet the criteria for blended presentation due to the fact that the Multnomah County Board of Commissioners is the governing body of each of the component units. Brief descriptions of blended component units are as follows:

Dunthorpe-Riverdale Service District No.1 was organized in 1964 under the provisions of ORS 451. The District's purpose was to finance the construction and operation of sanitary sewer systems in the southwest areas of the County, bordering the Willamette River, and a small portion of northern Clackamas County. The principal funding source is charges for sanitary services provided.

Mid County Service District No. 14 was organized in 1968 as Tulip Acres Lighting District under the provisions of ORS Chapter 451. The District now provides street lighting to the unincorporated urban areas of Multnomah County and the cities of Maywood Park, Troutdale, and Fairview. The principal funding source is charges for lighting services provided.

Complete financial statements for each of the individual component units may be obtained at the following address:

Multnomah County, Oregon
Finance Division
1120 SW Fifth Avenue, Suite 1430
PO Box 14700
Portland, OR 97293-0700

There are many other governmental agencies, including certain school districts and various special service districts, which provide services within the boundaries of Multnomah County. These agencies have independently elected governing boards and are fiscally independent of the County. Therefore, financial information for these agencies is not included in the accompanying combined financial statements.

Cash and Investments

General County investments, included in cash and investments in the combined balance sheet, are carried at fair value including the investments in the deferred compensation agency fund and Library Retirement Pension Trust Fund. The composition of the County's investments is controlled by the County's investment policy which is adopted by the County Commissioners.

For purposes of the statement of cash flows for the proprietary fund types, cash and cash equivalents include all assets in the cash and investment pool. The cash and investment pool has the general characteristic of a demand deposit account for the Enterprise and Internal Service Funds in that these funds may deposit additional cash at any time and also effectively may withdraw cash at any time without prior notice or penalty. In general, interest earned from pooled investments is allocated to each fund based on the average earnings rate and daily cash balance of each fund.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

Receivables

Uncollected property taxes receivable for the governmental fund types which are collected within sixty days following year end are considered measurable and available and are recognized as revenues in the funds. All other uncollected property taxes receivable for the governmental fund types are offset by deferred revenues and, accordingly, have not been recorded as revenue. Property taxes receivable in the Agency Funds are recorded as assets and liabilities.

Assessments receivable which are collected within sixty days following year end in the General Fund are recognized as revenues. Assessments receivable not considered measurable and available are offset by deferred revenues and, accordingly, have not been recorded as revenue.

Receivables for federal and state financial assistance are recorded as revenue in all funds as earned.

Receivables of the Enterprise Funds are recorded as revenue when earned, including charges for services rendered but not billed, net of any required allowance for doubtful accounts.

Inventories

Inventories of materials and supplies in the General Fund and Special Revenue (Road and Federal and State Program) Funds are valued at average cost and are offset by a reservation of fund balance. Expenditures are recorded as inventories are used.

Internal Service Fund inventories are valued at the lower of average cost or market and are expensed when used.

Prepaid Items

Payments made to vendors for items or services that will benefit periods beyond June 30 are recorded as prepaid items. The fund balances in the governmental fund types have been reserved for amounts equal to the prepaid expenditures.

Insurance premiums paid for insurance coverage beyond June 30 and prepayments made to vendors are recorded as prepaid items in the Internal Service Funds.

Foreclosed Properties

Foreclosed property is recorded at the value of the assessments for which it was foreclosed, or fair market value as indicated by the County's Assessment and Taxation Division at the date of foreclosure, whichever is less and is offset by a reservation of fund balance. Any interest on foreclosed taxes, that may be collected upon the ultimate disposal of the foreclosed property, is recognized at the time of sale or as received, whichever is later.

Fixed Assets

General fixed assets are stated at cost or estimated historical cost. Fixed assets valued at estimated historical cost are minor. Donated fixed assets are recorded at the estimated fair market value at the date of donation. Fixed assets are charged to expenditures in the governmental fund types as purchased and capitalized in the General Fixed Assets Account Group. Minor expenditures below established limits, most routine remodeling costs and infrastructure assets such as road, curb, gutter, sidewalk, drainage and lighting systems are not capitalized. Upon disposal of fixed assets, the cost or estimated cost is removed from the General Fixed Assets Account Group and any proceeds are recorded as other financing sources in the appropriate fund. Depreciation is not computed on fixed assets in the General Fixed Assets Account Group. Interest incurred during construction is not capitalized on general fixed assets.

MULTNOMAH COUNTY, OREGON NOTES TO THE COMBINED FINANCIAL STATEMENTS

Fixed assets of the Enterprise and Internal Service Funds are stated at cost, net of accumulated depreciation, computed using the straight-line method over the estimated useful lives as follows:

- Motor vehicles - 3 years
- Equipment - 3 to 10 years
- Sewer systems - 40 to 50 years
- Building and improvements - 50 years
- Street lighting - 10 to 30 years

Six months depreciation is taken in the year the assets are acquired or retired. Normal maintenance and repairs are charged to operations as incurred. Outlays for major additions, improvements and replacements are capitalized. Amortization of capitalized leases is included in depreciation expense. Depreciation expense on contributed capital is charged to retained earnings. Transfers of fixed assets from the General Fixed Assets Account Group are recorded at the net book value calculated as if depreciation had been recognized on the asset since acquisition. Gains or losses from sales or retirements are included as non-operating revenues or expenses.

Long-term Obligations

Long-term obligations, including capital lease obligations, general obligation bonds, loans payable, and vacation and compensation time pay liabilities, are accounted for in the General Long-term Obligations Account Group. Long-term obligations of proprietary fund types are accounted for in the respective funds. For governmental fund types, bond premiums and discounts, as well as issuance costs, are recognized during the current period. Interest on long-term obligations is recorded when paid. Bond proceeds are reported as other financing sources net of the applicable premium or discount. Issuance costs, whether or not withheld from the actual net proceeds received, are reported as debt service expenditures.

Self Insurance

The County is partially self-insured for employee medical, dental and vision benefits, workers' compensation, property, tort and general liability claims. The County purchases stop loss insurance protection for self-insured medical claims, per individual, that exceed \$250 tort and general liability claims are limited to \$500 per occurrence by State statute. The County has property insurance coverage policies that cover individual claims in excess of \$50 for other perils and extra expense and \$250 for flood, and \$100 for earthquakes. The County also has an excess coverage insurance policy for individual workers' compensation claims over \$500. The County currently provides for estimated losses to be incurred from pending claims and for incurred but not reported (IBNR) claims for medical, dental, vision, tort and general liability and workers' compensation claims, based on actuarial valuations. IBNR claims are claims that are incurred through the end of the fiscal year but not reported until after that date.

The operations and activities of the self insurance program are accounted for in the Insurance Fund. Premiums are charged to various County funds based on periodically adjusted rates.

Contributed Capital

The following transactions are recorded as contributed capital in the proprietary fund types:

- Receipts from federal and state financial assistance restricted to acquiring fixed assets.
- Fixed assets contributed from other funds or the General Fixed Assets Account Group.
- Contributions from customers for the acquisition of fixed assets.

Assistance Receipts Unapplied

Amounts received for grant programs in excess of expenditures are shown as assistance receipts unapplied in the combined balance sheet.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

Fund Balances Reserved

Portions of the fund balance of the General Fund, which represent inventory and prepaid items, have been segregated from unreserved fund balance to indicate these amounts are not available expendable resources.

Portions of the fund balances of the Special Revenue Funds, which represent inventory, foreclosed properties, prepaid items, and the Oregon Medical Assistance Program, have been segregated from unreserved fund balance to indicate that these amounts are not available expendable resources.

The fund balances of the Debt Service Funds have been segregated from unreserved fund balance for amounts legally required to be set aside to pay debt service on general obligation bonds or capital leases in accordance with the lease purchase agreements and for additional debt service amounts as designated by County management.

The fund balances of the Capital Projects Funds, which represent prepaid items, mortgages receivable, general obligation bond proceeds and loan proceeds to be used for designated capital projects, have been segregated from unreserved fund balance to indicate that these amounts do not represent available expendable resources.

The fund balance of the Pension Trust Fund is reserved for employees' retirement benefits and administrative costs.

Compensated Absences

Vacation and compensation time pay expected to be liquidated with expendable available resources is reported as expenditures and fund liabilities in the governmental fund types when earned. Other vacation and compensation pay for governmental fund types which has been earned but is not expected to be liquidated with expendable available resources is recorded in the General Long-term Debt Account Group.

The amount of accumulated vacation and compensation time pay is considered normal. Vacation and compensation time pay is recorded as an expense in the proprietary fund types when earned. Sick pay is charged when leave is taken because it does not vest when earned.

Totals (Memorandum only) Columns

The "Totals (Memorandum only)" columns on the combined financial statements represent an aggregate of the columnar statements by fund type and account group and are presented only to facilitate financial analysis. Amounts in these columns do not present financial position, results of operations, or cash flows in conformity with generally accepted accounting principles. Such amounts do not represent consolidated financial information as interfund eliminations have not been made in the aggregation of this data.

Budgets

In accordance with Oregon Revised Statutes, the County budgets all funds except Trust and Agency Funds. The Board of County Commissioners adopts a Board Order authorizing appropriations for each fund and establishes the level by which expenditures cannot legally exceed appropriations. Total expenditures by department are the levels of control for each fund established by the Board Order. The detail budget document, however, is required to contain more specific detailed information for the above mentioned expenditure categories. Appropriations lapse at the end of each fiscal year.

Unexpected additional resources may be appropriated through the use of a supplemental budget and Board of County Commissioner's action. The original and supplemental budgets require budget hearings before the public, publications in newspapers and approval by the Board of County Commissioners. Original and supplemental budgets may be modified during the fiscal year by the use of appropriation transfers between the legal categories. Such transfers require approval by the Board of County

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

Commissioners. The County made numerous appropriation transfers between categories during fiscal year 1997. During the fiscal year one supplemental budget was adopted. The County budgets all fund types, except fiduciary fund types, on the modified accrual basis of accounting.

NOTE 2. ORGANIZATION AND OPERATIONS:

Multnomah County, Oregon is governed under its home rule charter, effective January 1, 1967 and subsequent amendments, adopted under Article VI, Section 10 of the Oregon State Constitution. Its boundaries are established by ORS 201.260. The County is governed by a nonpartisan, independently elected Chair of the Board, and by the Board of County Commissioners consisting of four nonpartisan independent members elected from districts within the County. The County's financial operations are accounted for in the following funds:

Governmental Fund Types

General Fund: Accounts for the financial operations of the County which are not accounted for in any other funds. The principal sources of revenues for this fund are property taxes, business income taxes, federal and state shared revenue and billings for interfund services.

Special Revenue Funds: Account for revenue derived from specific taxes or other earmarked revenue sources, including federal and state financial assistance awards, which are legally restricted to finance particular functions or activities. When a Special Revenue Fund is not an operating fund, transfers are made from the Special Revenue Fund to the operating funds authorized to make expenditures. Funds included in this fund category are:

- | | |
|-------------------------------------|---|
| • Strategic Investment Program Fund | • Willamette River Bridges Fund |
| • Road Fund | • Recreation Fund |
| • Emergency Communications Fund | • Library Fund |
| • Assessment and Taxation Fund | • Justice Services Special Operations Fund |
| • Bicycle Path Construction Fund | • County Fair Fund |
| • Federal and State Program Fund | • Inmate Welfare Fund |
| • County School Fund | • Convention Center Fund |
| • Corner Preservation Fund | • Natural Areas Acquisition & Protection Fund |
| • Tax Title Land Sales Fund | • Public Safety Levy Fund |
| • Animal Control Fund | |

Debt Service Funds: Account for the retirement of general obligation bonds from the collection of property taxes and for the retirement of certificates of participation (capital leases) and other lease purchase arrangements. The unmatured outstanding general obligation bonds, certificates of participation and other outstanding lease purchases are accounted for in the General Long-term Obligations Account Group. Funds included are:

- | | |
|--|--|
| • Revenue Bond Sinking Fund | • Library Bond Sinking Fund/1996 Bonds |
| • Capital Lease Retirement Fund | • Public Safety Bond Sinking Fund |
| • Library Bond Sinking Fund/1993 Bonds | |

**MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS**

Capital Projects Funds: Account for expenditures on major construction projects or equipment acquisition. The principal sources of revenues are proceeds from certificates of participation issued to finance capital acquisitions, proceeds from the sale of County owned property, and library general obligation bond proceeds. Funds included are:

- Justice Bond Project Fund
- Edgefield Children's Center Fund
- SB 1145 Fund
- Equipment Lease/Purchase Fund
- Lease/Purchase Project Fund
- Library Construction Fund/1993 Bonds
- Library Construction Fund/1996 Bonds
- Capital Improvement Fund
- Capital Acquisition Fund

Proprietary Fund Types

Enterprise Funds: Account for the operations of predominantly self-supporting activities which render services to the public on a user charge basis. Funds included are:

- Dunthorpe-Riverdale Service District No. 1 Fund (blended component unit of Multnomah County)
- Mid County Service District No. 14 Fund (blended component unit of Multnomah County)
- Library Enterprise Fund
- CareOregon Fund
- Children's Capitation Project Fund

Internal Service Funds: Account for activities and services performed primarily for other organizational units within the County. Funds included are:

- Insurance Fund
- Fleet Management Fund
- Telephone Fund
- Data Processing Fund
- Mail/Distribution Fund
- Facilities Management Fund

Fiduciary Fund Types

Trust and Agency Funds: Account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund. Funds included are:

- Sundry Taxing Bodies Fund
- Clearing Fund
- Department and Offices Agency Fund
- Deferred Compensation Fund
- Public Guardian Fund
- Library Retirement Fund (Pension Trust Fund)

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

Account Groups

General Fixed Assets Account Group: Accounts for the County's investment in fixed assets with the exception of those assets held by the proprietary fund types. Expenditures for the acquisition of general fixed assets are recorded in the various governmental fund types; the costs of such assets are capitalized in this account group. As fixed assets are disposed, the original cost or estimated original cost is removed from this account group; any receipts from the sale of general fixed assets is accounted for as other financing sources in the appropriate fund depending on the original funding source.

General Long-term Obligations Account Group: Accounts for long-term obligations of the County resulting from capitalized lease transactions, certificates of participation lease transactions, vacation and compensation time pay liabilities, general obligation bonds, loans, and other long-term obligations, which will be financed from resources of the governmental fund types.

NOTE 3. CASH AND INVESTMENTS:

Multnomah County pools virtually all funds for investment purposes. All appropriate funds are allocated interest based on the average daily cash balance of the fund and the average monthly yield of the County's investment portfolio. Each fund type's portion of this pool is displayed on the Combined Balance Sheet as "Cash and Investments".

Fair Value of Investments

Pursuant to GASB Statement No. 31, investments are reported at fair value as of June 30, 1997. The total fair value of deposits and investments is \$291,969 and the total cost basis is \$292,179. During the fiscal year 1996-1997, the County realized a net gain of \$82 from the sale of investments. The calculation of realized gains is independent of the calculation of the net change in the fair value of investments. The net increase in the fair value of investments during fiscal year 1996-1997 was \$104,222. This amount takes into account all changes in fair value (including purchases and sales) that occurred during the year. The unrealized loss on investments held at year end was \$210. Fair value of the County's investments is provided by the County's third-party custodian and by an independent broker/dealer.

Deposits with Financial Institutions

At year end, the carrying amount of the County's deposits was \$13,066 and the bank balance was \$12,932. Of the bank balance, \$12,670 was covered by federal depository insurance or by collateral held by one or more of the State's authorized collateral pool managers in the name of the County as the County's agent. The balance of \$262 was uninsured and uncollateralized. State law requires that collateral be deposited with a value of 25% of the balances above federal deposit insurance, but in some instances, the State Banking Commission can require banks to provide more than 25% of the balances of municipal corporations' deposits as collateral. The County cannot, however, determine which, if any, institutions have been required to meet a collateral requirement larger than 25%. The County independently monitors its depository institutions for indications that could potentially cause loss of County funds. At all times during the year ended, and at June 30, 1997, the County was fully collateralized under State requirements.

Investments

Oregon Revised Statutes, Chapter 294, authorizes the County to invest in obligations of the U.S. Treasury, U.S. Government agencies and instrumentalities, bankers' acceptances guaranteed by a qualified financial institution, commercial paper, repurchase agreements, State of Oregon Local Government Investment Pool, and various interest-bearing bonds of Oregon municipalities. The County's investment policy requires that the market value plus accrued interest of the securities collateralizing repurchase agreements exceeds

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

the face amount of the repurchase agreement by margins prescribed in writing by the Oregon Short-Term Fund Board, providing the County with a margin against a decline in the market value of the securities. Daily marking-to-market also protects the County against declines in market value.

The market value plus accrued interest of the securities purchased under repurchase agreements did not fall below the required level during the year. State statutes and County policy permit the County to enter into reverse repurchase agreements, which are the sale of securities to a broker/dealer with a simultaneous agreement to repurchase them at a specified time in the future at a specified price, plus a contracted rate of interest. Any interest accruing on the sold securities belongs to the County. The market value of the securities underlying reverse repurchase agreements normally exceeds the cash received by 102%, providing the broker/dealer with a margin against a decline in the market value of the securities. Daily marking-to-market protects the County against increases in the market value.

If a broker/dealer defaults on its obligation to resell the securities at the maturity of the agreement, the County could suffer an economic loss equal to the difference between the market value of the securities and the amount of the reverse repurchase agreement less the contracted interest. The County did not enter into any reverse repurchase agreements at any time during the fiscal year ended June 30, 1997.

The County's investments are governed by a written investment policy that is reviewed annually by both the Oregon Short-Term Fund Board and the Multnomah County Investment Advisory Board, and is adopted annually by the Board of County Commissioners. The policy specifies the County's investment objectives, benchmarks, required diversification by security type and by maturity, and the reporting requirements.

The County's cash deposits and investments are categorized below to give an indication of risk assumed by the County at June 30, 1997. Category 1 includes investments that are insured or registered, or for which the securities are held by the County or its third-party custodian in the County's name. Category 2 includes uninsured and unregistered investments for which the securities are held by the bank's trust department in the County's name. Category 3 includes uninsured and unregistered investments for which the securities are held by counterparties or a trust department, but not in the County's name. The County had no investments in Category 3 at June 30, 1997. The level of risk indicated below at June 30, 1997, is generally reflective of the level of risk assumed by the County during the year. For reporting purposes, adjusted cost basis is the amortized or accreted value of investments.

	Category 1	Category 2	Adjusted Cost Basis	Fair Value
U.S. Government Securities	\$ 89,856	\$ 486	\$ 90,486	\$ 90,342
U.S. Government Agencies	19,418	5,845	25,319	25,263
Repurchase Agreements	3,000		3,000	3,000
Bankers Acceptances	27,696		27,702	27,696
Commercial Paper	37,766		37,770	37,766
	<u>\$177,736</u>	<u>\$6,331</u>	184,277	184,067
Local Government Investment Pool			31,905	31,905
Deferred Compensation - Mutual Funds			58,466	58,466
Pension Trust Investments			10,544	10,544
Cash Deposits and Certificates of Deposit			6,987	6,987
Total Cash and Investments			<u>\$292,179</u>	<u>\$291,969</u>

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

NOTE 4. INTERFUND TRANSACTIONS:

The following amounts due to and due from other funds recorded on the Combined Balance Sheet are temporary advances. All other interfund transfers are reported as operating transfers.

<u>Due from:</u>	<u>Due to:</u>	<u>Amount</u>
Edgefield Children's Center Fund	Capital Improvement Fund	\$ 23
SB1145 Fund	General Fund	3,696
		<u>\$3,719</u>

The following schedule reconciles operating transfers in and out on the Combined Statement of Revenues, Expenditures and Changes in Fund Balances - All Governmental Fund Types:

Operating transfers in	\$74,133
Plus: Operating transfers in as recorded in the Combined Statement of Revenues, Expenses, and Changes in Retained Earnings/Fund Balance - All Proprietary Fund Types and Similar Trust Funds:	
CareOregon Fund	323
Children's Capitation Project Fund	660
Data Processing Fund	1,403
Mail Distribution Fund	1
Facilities Management Fund	1
	<u>2,388</u>
Less: Operating transfers out as recorded in the Combined Statement of Revenues, Expenses, and Changes in Retained Earnings/Fund Balance - All Proprietary Fund Types and Similar Trust Funds:	
Library Enterprise Fund	(71)
Data Processing Fund	(79)
Facilities Management Fund	(184)
	<u>(334)</u>
Operating transfers out	<u>\$76,187</u>

The \$2,210 equity transfer from the CareOregon Fund, an Enterprise Fund, was a result of the spin off of the operations to CareOregon, Inc., a separate legal non-profit entity. See Note 12.

NOTE 5. PROPERTY TAXES:

The County reviews, bills, collects and distributes property taxes for all taxing jurisdictions within its boundaries in accordance with State law. Property taxes collected by the County are distributed to the

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

other taxing districts on a monthly basis except for the period from November 1 through November 30, when the distribution is made weekly. Uncollected taxes, including delinquent amounts, are deemed to be substantially collectible or recoverable through foreclosure. Accordingly, no allowance for doubtful tax accounts is deemed necessary. Property taxes are levied and become a lien on July 1. Property taxes are assessed in October and tax payments are due November 15th of the same year. Under the partial payment schedule, the first one-third of taxes are due November 15, the second one-third on February 15 and the remaining one-third on May 15. A three-percent discount is allowed if full payment is made by November 15 and a two-percent discount is allowed if two-thirds payment is made by November 15. Taxes become delinquent if not paid by the due date and interest accrues after each trimester at a rate of one percent per month. Property foreclosure proceedings are initiated four years after the tax due date. Property taxes are recorded on the date levied.

The Oregon Constitution limits property taxes for local governments. Local governments must share no more than one percent of the value of real property as current year property taxes. The maximum rate for all local governments is \$10 per thousand of assessed value. This limit applies to the local government tax base as well as special levies. Property taxes to support voter approved debt service are exempt from the limitation. Educational districts do not fall within the definition of local governments.

On November 5, 1996, the voters of the State of Oregon approved a constitutional amendment, Ballot Measure 47, to limit the amount of property taxes to be collected. On May 20, 1997, the voters approved Ballot Measure 50 to replace Measure 47. Measure 50 cuts or reduces property taxes imposed statewide by 17% from fiscal year 1997-98 levels unless such taxes are exempt. Measure 50, rolls back the assessed value of each unit of property for the tax year 1997-98 to its 1995-96 "real market value," less 10%. The new resulting tax rates become the new constitutional limits for each jurisdiction replacing the levy based tax system with a rate based tax system. The measure limits any increase in assessed value to 3% per year for tax years after 1997-98. There are special exemptions for property that is substantially improved, rezoned, subdivided, or annexed, or when property ceases to qualify for a property tax exemption. In addition to limiting the amount of property taxes that can be collected, the measure requires a double majority voter approval, except at a general election, for new or increased property taxes, restricts the use of general obligation bond proceeds on certain capital maintenance and equipment outlays and restricts other means by which the State and local governments can raise revenue in lieu of property taxes. The full text of Ballot Measure 50 should be read to gain a better understanding of the limitation.

NOTE 6. ASSESSMENTS RECEIVABLE:

Assessments receivable represent uncollected amounts levied against benefitted property for the cost of street, lighting and sewer improvements. An allowance for uncollectible amounts is not deemed necessary as substantially all amounts, including delinquent assessments, should be recoverable through liens. Substantially all assessments are payable over a period of ten years or less. Assessments bear interest at 6% to 10%. The Assessment District Operating Fund, a Special Revenue Fund, was closed to the General Fund in fiscal year 1996 because the outstanding receivables were considered to be immaterial.

NOTE 7. CONTRACTS RECEIVABLE:

The following is a summary of contracts receivable at June 30, 1997:

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

	<u>Total</u>	<u>Due within one year</u>
<u>Special Revenue Funds:</u>		
Road Fund - Contracts covering sale of excess right-of-way property, payable in monthly installments plus 12% interest	\$ 128	\$ 19
Tax Title Land Sales Fund - Contracts from sale of foreclosed property payable at 6% to 12% interest generally over terms up to twenty years, net of City of Portland equity therein	<u>1,304</u>	<u>248</u>
Total Special Revenue Funds	1,432	267
<u>Capital Projects Funds:</u>		
Capital Improvement Fund contract from the sale of property. Due in periodic installments through fiscal year 1997-98.	<u>1,644</u>	<u>1,644</u>
Total Contracts Receivable	<u>\$3,076</u>	<u>\$1,911</u>

NOTE 8. DEFERRED REVENUE:

Deferred revenue as of June 30, 1997 consists of the following:

Property taxes receivable	\$ 7,648
Assessments receivable	27
Contracts receivable	3,076
Other receivables	<u>3,347</u>
	<u>\$14,098</u>

NOTE 9. FIXED ASSETS:

The changes in the General Fixed Assets Account Group for the fiscal year ended June 30, 1997, and fixed assets by major classes for the General Fixed Assets Account Group are as follows:

<u>General Fixed Assets:</u>	<u>Balance June 30, 1996</u>	<u>Additions</u>	<u>Retirements and Transfers</u>	<u>Balance June 30, 1997</u>
Land and Improvements	\$ 7,257	\$ 140	\$ (732)	\$ 6,665
Buildings and Improvements	155,273	20,664	11,548	187,485
Parksites	4,530		(4,269)	261
Work in Progress	16,179	157	(16,179)	157
Bridges	34,240	735		34,975
Equipment	<u>22,192</u>	<u>3,974</u>	<u>(1,095)</u>	<u>25,071</u>
Total	<u>\$239,671</u>	<u>\$25,670</u>	<u>\$(10,727)</u>	<u>\$254,614</u>

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

The following is a summary of proprietary fund type fixed assets for June 30, 1997:

<u>Proprietary Funds:</u>	<u>Enterprise Funds</u>	<u>Internal Service Funds</u>
Land and Improvements	\$	\$ 18
Buildings and Improvements	2,398	188
Equipment	27	23,212
Less: Accumulated Depreciation	(1,217)	(16,491)
Total	<u>\$ 1,208</u>	<u>\$ 6,927</u>

Pursuant to a mutual agreement with the County and Metro, a government independent from Multnomah County, the County transferred fixed assets in the sum of \$9,318. These assets were formerly recorded in the General Fixed Asset Account Group.

NOTE 10. DEFICIT FUND BALANCE AND BUDGETARY OVER EXPENDITURES:

Dunthorpe-Riverdale Service District No. 1, an Enterprise Fund, has negative retained earnings of \$559. However, the Fund has a positive total fund equity due to contributed capital. The following fund had over expenditures. These over expenditures were funded by available fund balance.

<u>Description</u>	<u>Amount</u>
<u>Special Revenue Fund:</u>	
Emergency Communication Fund - Sheriff	\$34

NOTE 11. TAX AND REVENUE ANTICIPATION NOTES (TRANS):

The County issued \$11,000 in TRANS on July 1, 1996 with a maturity date of June 30, 1997. The yield was 3.85%. The TRANS were issued to provide for seasonal cash flow needs of the General Fund. The TRANS are issued pursuant to Oregon Revised Statutes, and approved by the Board of County Commissioners, which authorize the County to borrow sufficient funds to meet current expenditures pending the collection of property taxes and other unpledged revenues. The TRANS were rated MIG1 by Moody's Investors Service.

NOTE 12. TRANSFER OF OPERATIONS:

On April 1, 1997, the operations of the CareOregon Fund, an Enterprise Fund, spun off and became a private non-profit health maintenance organization. CareOregon, Inc. is a separate legal entity and has an independent governing board. The amounts transferred are as follows:

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

ASSETS:

Cash and investments	\$10,249
Accounts receivable	509
Prepaid items	4
Equipment (net of accumulated depreciation)	21
Total assets	<u>\$10,783</u>

LIABILITIES AND FUND EQUITY:

Liabilities:

Accounts payable	<u>\$ 8,552</u>
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Fund Equity:

Contributed capital	21
Retained earnings:	
Unreserved, undesignated	<u>2,210</u>
Total fund equity	<u>2,231</u>
Total liabilities and fund equity	<u>\$10,783</u>

NOTE 13. LONG-TERM OBLIGATIONS:

Bonded debt recorded in the General Long-term Obligations Account Group are general obligation bonds issued for the rehabilitation for the Central Library, construction of the Midland Library facilities, construction and acquisition of other library facilities and equipment and construction and acquisition of certain public safety facilities and equipment. The general obligation bonds are direct obligations and pledge the full faith and credit of Multnomah County. The original total issue amounts were \$22,000, \$9,000, \$29,000 and \$79,700 respectively.

The County has entered into various lease/purchase agreements to acquire property and equipment. The lease obligations of the General Fixed Assets Account Group are recorded as liabilities in the General Long-term Obligations Account Group. All other lease obligations are related to the Telephone Fund and the Data Processing Fund, Internal Service Funds, and are recorded as capitalized lease obligations. These leases have been capitalized in accordance with generally accepted accounting principles.

The General Obligation Bond principal activity for fiscal year 1997 and unmatured interest outstanding at June 30, 1997 is as follows:

Fiscal Year of Maturity	Outstanding June 30, 1996	Issued During Year	Paid During Year	Outstanding June 30, 1997	Unmatured Interest Outstanding June 30, 1997
1997	\$ 1,035	\$	\$1,035	\$	\$
1998	1,070	6,835		7,905	6,740
1999	1,120	7,115		8,235	6,347
2000	1,170	7,420		8,590	5,933
2001	1,220	7,755		8,975	5,490
2002	1,280	8,115		9,395	5,088
Thereafter	21,815	71,460		93,275	40,523
	<u>\$28,710</u>	<u>\$108,700</u>	<u>\$1,035</u>	<u>\$136,375</u>	<u>\$70,121</u>

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

The General Long-term Obligations Account Group principal activity for the year ended June 30, 1997 is as follows:

	Outstanding June 30, 1996	Incurred	Retired	Outstanding June 30, 1997
<u>Capital Lease Obligations:</u>				
Agreement with the City of Portland dated January 22, 1981, due 2008.	\$ 2,428	\$	\$ 124	\$ 2,304
Certificates of Participation 1990C dated July 1, 1990, due 2001. Interest, 6 to 6.8%.	260		45	215
Certificates of Participation 1992A dated August 1, 1992, due 2013. Interest, 2.9 to 5.9%.	32,665		1,205	31,460
Certificates of Participation 1993A&B dated May 1, 1993, due 2014. Interest, 2.75 to 7.5%.	16,920		810	16,110
Lease/Purchase dated February 1, 1994, due 1999. Interest, 4.5 to 4.75%.	309		120	189
Lease/Purchase dated March 1, 1995, due 2000. Interest, 4.25 to 4.85%.	1,229		286	943
Lease/Purchase dated January 10, 1996, due 2001. Interest, 6.017%.	260		60	200
Certificates of Participation dated June 1, 1996, due 2001. Interest, 3.75 to 4.6%.	1,845		340	1,505
Total capital lease obligations	<u>55,916</u>		<u>2,990</u>	<u>52,926</u>

General Obligation Bonds:

Library Bonds, Series 1994, due 2014. Interest, 3.70 to 5.25%.	19,975		760	19,215
Library Bonds, Series 1994B, due 2015. Interest, 4.25 to 6.0%.	8,735		275	8,460
Library Bonds, Series 1996A due 2017. Interest, 3.90% to 5.65%.		29,000		29,000
Public Safety Bonds, Series 1996B due 2017. Interest, 3.90% to 5.65%.		79,700		79,700
Total general obligation bonds	<u>28,710</u>	<u>108,700</u>	<u>1,035</u>	<u>136,375</u>

Loans payable:

Energy loan for Courthouse due 2011. Interest, 7.2%.	526		20	506
Energy loan for Kelly Building due 2007. Interest, 5.9%.		56	2	54
Energy loan for Elections and McCoy Buildings due 2007. Interest, 7.2%.		121	7	114

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

	Outstanding June 30, 1996	Incurred	Retired	Outstanding June 30, 1997
Sewer assessment loans due 2016. Interest, 5.65%.		42	1	41
Total loans payable	526	219	30	715
Vacation liability (compensated absences)	1,530	1,985	1,718	1,797
Total General Long-term Obligations	<u>\$86,682</u>	<u>\$110,904</u>	<u>\$5,773</u>	<u>\$191,813</u>

Internal Service Funds capitalized lease obligation principal activity for the year ended June 30, 1997 is as follows:

	Outstanding June 30, 1996	Incurred	Retired	Outstanding June 30, 1997
<u>Telephone Fund:</u>				
Lease/Purchase dated March 1, 1995, due 2000. Interest, 4.25 to 4.85%.	\$ 462	\$	\$107	\$ 355
<u>Data Processing Fund:</u>				
Lease/Purchase dated June 15, 1993, due 1998. Interest, 5.35%.	64		31	33
Lease/Purchase dated March 1, 1995, due 2000. Interest, 4.25 to 4.85%.	309		72	237
Lease/Purchase dated March 21, 1996, due 1999. Interest, 4.2 to 4.55%.	1,694		574	1,120
Lease/Purchase dated September 1, 1997, due 2002. Interest, 0%.		299	50	249
Total Data Processing Fund	2,067	299	727	1,639
Total Internal Service Funds	<u>\$2,529</u>	<u>\$299</u>	<u>\$834</u>	<u>\$1,994</u>

The following is a schedule of future minimum lease payments under capital leases (excluding executory costs), together with the present value of total minimum lease payments at June 30, 1997:

Fiscal Year	General Long-Term Obligation	Internal Service Fund	Total Lease Obligations
1998	\$ 6,020	\$ 944	\$ 6,964
1999	6,011	803	6,814
2000	5,913	271	6,184
2001	5,499	60	5,559
2002	5,023	9	5,032
Thereafter through 2014	50,126		50,126
Total minimum lease payments	78,592	2,087	80,679
Less interest amount	(25,666)	(93)	(25,759)
Present Value of minimum lease payments	<u>\$ 52,926</u>	<u>\$1,994</u>	<u>\$ 54,920</u>

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

The assets under capitalized leases recorded in the General Fixed Assets Account Group and the Internal Service Funds were capitalized at original costs of \$53,242 and \$3,572, respectively.

In addition to the above payments, the County is liable for 10.98% of substantially all operating costs of the Portland Building held under capital lease in the General Fixed Assets Account Group. The amount paid for fiscal year 1997 was \$343.

The County also leases various property and equipment under operating leases. Total minimum lease payments (excluding executory costs) required under such operating leases are as follows:

<u>Fiscal Year</u>	<u>Amount</u>
1998	\$2,760
1999	2,112
2000	1,687
2001	481
2002	494
Thereafter	80
	<u>\$7,614</u>

Operating lease payments (excluding executory costs) during fiscal year 1997 aggregated \$3,280.

Defeased Certificates of Participation

In prior years, the County defeased certain certificates of participation issues by placing the proceeds of the new certificates in irrevocable trusts to provide for all future debt service on the old certificates. Accordingly, the trust account assets and related liability for the defeased certificates are not included in the County's financial statements. At June 30, 1997, the amount of these certificates outstanding totalled \$8,346.

Conduit Financing

The County has issued Educational Facilities Revenue Bonds which have not been recorded in the County's financial statements. The proceeds of these bonds were assigned to the University of Portland (the University) to finance capital improvements to the University.

The responsibilities of the County in this bond transaction were limited to adopting the resolution authorizing the issuance of the bonds, executing the bonds and the bond documents to which it is a party, issuing and delivering the Bonds, assigning certain of its rights to the Trustee as provided in the indenture, and directing the Trustee as to the application of moneys received from the University to pay the bonds in accordance with the indenture. The County has no obligation to take any other action relating to the bonds.

Since the County does not own any of the assets constructed or assume any liabilities associated with repayment, there is no balance sheet disclosure or recognition of revenues and expenditures within the County's financial statements.

The original total issue amount was \$20,765. As of June 30, 1997, \$17,750 of Educational Facilities Revenue Bonds were outstanding.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

NOTE 14. ARBITRAGE PAYABLE:

Under certain conditions, the Tax Reform Act of 1986 requires governmental units to remit excess arbitrage earnings arising from invested bond proceeds to the Internal Revenue Service. At June 30, 1997, the County recorded a liability of \$471 in the combined financial statements for such estimated excess arbitrage earnings.

NOTE 15. RISK MANAGEMENT:

Multnomah County is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. Multnomah County has established an Insurance Fund (an internal service fund) to account for risk management activities, including payment of insurance policy premiums, payment of claims, and to finance its uninsured risks of loss. Under this program the Insurance Fund provides risk of loss coverage as follows:

- General liability, bodily injury and property damage of third parties resulting from the negligence of Multnomah County or its employees and errors or omissions risks: These risks are covered by the Insurance Fund;
- Property damage to County-owned facilities: The property coverage covers individual claims in excess of \$50 for other perils and extra expense, and \$250 for flood, and \$100 for earthquakes;
- Workers' compensation, bodily injury or illness to the employees while in the course of employment: Individual workers' claims up to \$500 are covered by the Insurance Fund. The County has an insurance policy for any claim that exceeds \$500;
- Employee medical, dental, vision, life insurance, and disability benefits: The County has a portion of these benefits covered by insurance and the remaining benefits are covered by the Insurance Fund. On the portion covered by the Insurance Fund, the County has stop loss protection for medical claims per individual that exceed \$250; and
- Unemployment insurance: All unemployment claims are covered by the Insurance Fund.

Multnomah County did not have any significant reduction in insurance coverage from the prior year. The County has not experienced settlements in excess of insurance coverage in prior years. An actuarial valuation and estimates of liabilities for unpaid claims were prepared by an independent actuary in May 1996. Multnomah County also monitors risk activity to ensure that proper reserves are maintained. Various County funds participate in the program. The Insurance Fund allocates the cost of providing claims servicing and claims payment by charging a "premium" to the various funds based upon actuarial estimates of the amounts needed to pay prior and current year claims and to establish sufficient reserves. This charge considers recent trends in actual claims experience of the County as a whole. Claims liabilities are reevaluated periodically to take into consideration recently settled claims, the frequency of claims, other economic and social factors, and specific, incremental claim adjustment expenses. The estimated claims liability of \$6,670 reported in the Insurance Fund at June 30, 1997 was established in accordance with the requirements of Governmental Accounting Standards Board (GASB) Statement No. 10, which requires a liability for claims be reported if information prior to issuance of the financial statements indicates that it is probable that a liability has been incurred at the date of the financial statements and the amount of the loss can be reasonably estimated. The estimated claims liability at fiscal year end does not include the Insurance Fund's operational accounts payable in the sum of \$1,937. A portion of the loss reserves have been discounted, with the actuary using the estimated payout pattern for liability and workers' compensation and an assumed investment rate of 5% in preparing estimates. Multnomah County does not purchase annuity contracts from commercial insurers to pay any aggregate amount of outstanding claims

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

liability. Changes in the Insurance Fund self insured claims liability, including an estimate of the ultimate cost of the claims and incurred but not reported claims, for fiscal years 1996 and 1997 were:

Fiscal Year	Balance at Beginning of Fiscal Year	Current Year Claims and Changes in Estimates	Claims Payments	Balance at End of Fiscal Year
1996	\$6,906	\$7,748	\$8,317	\$6,337
1997	6,337	7,769	7,436	6,670

NOTE 16. FUND EQUITY AND CONTRIBUTED CAPITAL:

Contributed capital is recorded in proprietary funds that have received capital grants or contributions from developers, customers or other funds. Reserves represent those portions of fund equity not available for expenditures or legally segregated for a specific future use. During the year, contributed capital in the Enterprise and Internal Service Funds changed as follows:

	Enterprise Funds	Internal Service Funds
Balance, June 30, 1996	\$2,793	\$7,265
Add:		
Contributions from connection fees	10	
Contributions from customers	124	
Transfer of equipment from General Fixed Assets		211
Transfer of equipment from Facilities Management Fund, an Internal Service Fund		125
	<u>134</u>	<u>336</u>
Deduct:		
Disposals	(1)	
Transfer of equipment to CareOregon, Inc.	(21)	
	<u>(22)</u>	
Balance, June 30, 1997	<u>\$2,905</u>	<u>\$7,601</u>

NOTE 17. POST RETIREMENT HEALTH CARE BENEFITS:

In addition to providing pension benefits, the County provides certain health care and life insurance benefits for retired County employees. Substantially all of the County's employees are eligible for life insurance benefits when they reach normal retirement age.

The County provides a portion of health care benefits to substantially all County employees. The County pays one-half (50%) of the monthly medical insurance premium from the retiree's fifty-eighth birthday or date of retirement, whichever is later, until the retiree's sixty-fifth birthday. These health care benefit obligations are required by labor bargaining agreements and the exempt employee ordinance. The cost of retiree health care and insurance benefits is recognized as an expense in the Insurance Fund as claims are incurred. For fiscal year 1997, those costs totaled \$468, net of payments made by retirees.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

The County funds the actuarially determined amount of medical benefits that will be payable in the future for retirees' health insurance.

The accrued costs of all benefits are measured by the projected "unit credit actuarial cost method." The unfunded actuarial liability created is amortized as a level percentage of salary over a thirty-year period.

Significant actuarial assumptions used in the valuation include (a) a rate of return on the investment of present and future assets of 6.0%, (b) projected salary increases starting at 6.0% at age 20 and gradually declining to 4.0% at age 60; and (c) trend rate increases starting at 8.0% in year one and gradually declining to 5.0%.

The applicable funding rate established by an actuarial review for fiscal year 1997 is 1.07% of the County's payroll, and the County's contribution has met the actuarial requirements. Following is the activity and the funding status of the post retirement health care benefits for the last five years.

Fiscal Year	Number of Retirees Covered	Premium Received	Claim/Premium Incurred	Net Costs
1993	229	\$462	\$(965)	\$(503)
1994	270	469	(853)	(384)
1995	231	487	(822)	(335)
1996	221	538 ⁽¹⁾	(732)	(194)
1997	228	519 ⁽¹⁾	(595)	(76)

FUNDING STATUS ⁽²⁾	1993	1994	1995	1996	1997
Post-retirement benefit obligation	\$5,922	\$9,724 ⁽³⁾	\$9,724	\$11,040	\$11,040
Net assets available for benefits	3,493	4,938	7,082	9,859	9,738
Total unfunded liability	<u>\$2,429</u>	<u>\$4,786</u>	<u>\$2,642</u>	<u>\$ 1,181</u>	<u>\$ 1,302</u>

- (1) All or a portion of the premiums are paid directly to the County's third party administrator by the retirees.
(2) Actuarial valuation done every other fiscal year.
(3) The County's 500 correction officers are included due to bargaining agreement not previously covered.

NOTE 18. PENSION PLANS AND DEFERRED COMPENSATION:

State of Oregon Public Employees Retirement System (PERS) Plan Description

Substantially all County employees are participants in PERS, an agent multiple-employer public employee retirement system that acts as a common investment and administrative agent for governmental units in the State of Oregon. PERS issues a publicly available financial report that includes financial statements and required supplementary information. Those reports may be obtained by writing:

PERS
PO Box 23700
Tigard, OR 97281-3700

The County's payroll for employees covered by PERS for the year ended June 30, 1997, was \$154,149. The County's total payroll was \$161,681.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

All full-time County employees are eligible to participate in PERS. Benefits generally vest after five years of continuous service. Retirement is allowed at age 58 with unreduced benefits, but retirement is generally available after age 55 with reduced benefits. Compulsory retirement age is 70. Retirement benefits are based on salary and length of service, are calculated using a formula and are payable in a lump sum or monthly using several payment options. PERS also provides death and disability benefits. These benefit provisions and other requirements are established by state statutes. The information for retirees, beneficiaries or terminated employees entitled to benefits but not yet receiving them is not presented because PERS pools the risk related to such employees among all employers. PERS fully funds these obligations at the time of retirement or separation from service. Accordingly, the following information covers only current employees.

Funding Policy and Annual Pension Cost

The County is required by the rules applicable to PERS employers to contribute 10.66% of covered employees' salaries to PERS. The contribution rate is determined based on actuarial valuations which are performed by PERS at least every two years. The County withholds the required 6% employee contribution from all employees' paychecks except the prosecuting attorneys, for whom the County pays the required 6%.

PERS' policy provides for actuarially determined periodic contributions that are sufficient to pay benefits when due. Based on the assumptions of the December 31, 1995 actuarial valuation, the County's required contribution, including employees' contributions, was equal to the annual pension cost of \$23,902. The County adopted the provisions of GASB Statement No. 27 "Accounting for Pensions by State and Local Governmental Employers", the net pension obligation was zero at adoption and as previously reported.

<u>Year Ending</u>	<u>Annual Pension Cost (APC)</u>	<u>Percentage of APC Contributed</u>	<u>Net Pension Obligation</u>
6/30/95	\$24,703	100%	0
6/30/96	23,900	100%	0
6/30/97	23,902	100%	0

Significant actuarial assumptions used in the most recent valuation (December 31, 1995) include (a) a rate of return on the investment of present and future assets of 8% per year, (b) projected salary increases of 5.0% per year attributable to general wage adjustments, (c) additional increases for promotion and longevity that may vary by age and service, (d) projected automatic cost-of-living benefit increases of 2% per year (the maximum allowable), and (e) demographic assumptions that have been chosen to reflect our emerging experience of the members of the system, and are the same as those used to compute the actuarially required contributions. The entry age actuarial cost method and level percentage amortization method are used. A thirty year amortization period is used. The actuarial value of assets is based on market value.

Schedule of Funding Progress

<u>Actuarial Valuation Date</u>	<u>Actuarial Value of Assets</u>	<u>Actuarial Accrued Liability</u>	<u>Unfunded Actuarial Accrued Liability</u>	<u>Funded Ratio</u>	<u>Covered Payroll</u>	<u>Unfunded Actuarial Accrued Liability as a Percent of Covered Payroll</u>
12/31/93	\$147,577	\$249,433	\$101,856	59%	\$122,873	83%
12/31/95	201,614	330,154	128,540	61%	142,614	90%

Information for years prior to those shown is not available from PERS.

**MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS**

Multnomah County Library Retirement Plan

The Multnomah County Library Retirement Plan (the Plan) is a single employer defined benefit Plan. Prior to July 1, 1990, the Plan was administered by the Library Association of Portland (LAP) which was a not-for-profit organization. The Principal Mutual Life Insurance Company (Insurance Company) is contracted by the County to be the trustee of the Plan. All employees transferred are now covered by PERS.

All former LAP full-time and part-time employees who were 21 years of age or older and had completed two years of service were eligible to participate in the Plan. Benefits vested after two years of continuous service. Retirement is allowed at age 65 with unreduced benefits, but retirement is generally available after age 55 with reduced benefits. Retirement benefits are based on salary and length of service, are calculated using a formula, and are payable in a lump sum or monthly using several payment options. The Plan also provides death benefits.

Current employees consist of 172 participants who are fully or partially vested in their accrued monthly benefits and 0 participants who are only vested in their accumulated employee contributions. Current employees have an annual covered payroll of \$5,572. The total payroll and covered payroll are the same because the plan is frozen. There are 123 retired participants currently receiving benefits.

The benefits for retired participants are guaranteed by the Insurance Company with investments in Immediate Participation Guarantee Contracts. As a result the related assets and liabilities are excluded for funding purposes.

Funding Policy and Annual Pension Cost

The Board of County Commissioners establishes and may amend the contribution requirements of the Plan. There are no contribution requirements for employees. The annual required contribution (ARC) of the employer was \$48, based on a contribution rate of .86%. The ARC was equal to the annual pension cost and the actual contribution was \$79. The actuarial assumptions and methods used in the most recent valuation (January 1, 1997) were as follows:

Actuarial cost method:	Projected unit credit
Amortization method:	Level dollar, closed
Amortization period:	20 years
Asset valuation method:	Contract value
Investment rate of return:	7.50%
Projected salary increase:	5.38 - 8.60%
Cost of living adjustments:	Consumer Price Index (limited to 2%)

The County adopted the provisions of GASB Statement No. 27 "Accounting for Pensions by State and Local Governmental Employers", the net pension obligation was zero at adoption and as previously reported.

Summary of Significant Accounting Policies

The assets of the plan consist of unallocated insurance contracts and therefore are appropriately valued at contract value. Employer contributions to the plan and benefits are recognized when due.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

<u>Fiscal Year Ending</u>	<u>Annual Pension Cost</u>	<u>Percentage of Annual Pension Cost Contribution</u>	<u>Net Pension Obligation</u>
6/30/97	\$48	100%	0

Information for previous years was not available.

<u>Actuarial Valuation Date</u>	<u>Actuarial Value of Assets</u>	<u>Actuarial Accrued Liability</u>	<u>Funded Ratio</u>	<u>Unfunded Actuarial Accrued Liability</u>	<u>Covered Payroll</u>	<u>Unfunded Actuarial Accrued Liability as a Percent of Covered Payroll</u>
1/1/92	5,720	6,174	92.7%	(454)	4,654	(9.8)%
1/1/93	6,076	6,331	96.0%	(255)	5,065	(5.0)%
1/1/94	6,001	6,119	98.1%	(118)	5,350	(2.2)%
1/1/95	6,005	6,329	94.9%	(324)	5,348	(6.1)%
1/1/96	6,212	6,515	95.4%	(303)	5,475	(5.5)%
1/1/97	6,390	6,656	96.0%	(266)	5,572	(4.8)%

Deferred Compensation Plan

The County offers its employees a deferred compensation plan (the Plan) created in accordance with Internal Revenue Code Section 457. The Plan, available to all permanent County employees, permits them to defer a portion of their salary until future years. The deferred compensation is not available to employees until termination, retirement, death or an unforeseeable emergency.

All amounts of compensation deferred under the Plan, all property and rights purchased with those amounts, and all income attributable to those amounts, property, or rights are, until paid or made available to the employee or other beneficiary, solely the property and rights of the County, without being restricted to the provisions of benefits under the Plan, subject only to the claims of the County's general creditors. Participants' rights under the Plan are equal to those of general creditors of the County in an amount equal to the fair market value of the deferred account for each participant.

The amount deferred, adjusted to fair market value at June 30, 1997, and investment earnings thereon amount to \$60,180. The amounts accumulated by the County under the deferred compensation plan, including investment earnings, are excluded from resources or expenditures for budgetary purposes.

It is the opinion of County Counsel that the County has no liability for losses under the Plan but does have the duty of due care that would be required of an ordinary prudent investor. The County believes that it is unlikely that it will use the assets to satisfy the claims of general creditors in the future.

The County's fiduciary responsibility requires that employee contributions are credited monthly to the individual's account and that earnings thereon are credited to the account. Furthermore, the County is required to provide an annual accounting of activities and to maintain the account until it is paid to the participant or beneficiary.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

NOTE 19. COMMITMENTS AND CONTINGENT LIABILITIES:

Additional commitments under contracts at June 30, 1997 are as follows:

General Fund	\$ 651
Special Revenue Funds	7,788
Capital Projects Funds	8,230
Enterprise Funds	8
Internal Service Funds	4,256
Pension Trust Fund	10
	<u>\$20,943</u>

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies, principally the federal and state governments. Any disallowed claims, including amounts already collected, could become a liability of the County.

Various claims and lawsuits against the County are pending. These claims are either covered by insurance or are the type which are normal in view of the County's operations. County management believes the total amount of liability, if any, which may arise from such claims and lawsuits beyond that which is covered by insurance would not have a material effect on the County's financial condition or its ability to carry on its activities substantially as now conducted.

NOTE 20. SEGMENT INFORMATION FOR ENTERPRISE FUNDS:

The County's Enterprise Funds account for the financing of predominantly self-supporting activities to the public on a user charge basis including lighting and sewer services, improved library services, and health care claims administration.

Segment information at June 30, 1997 and for the year then ended is as follows:

	Sewer	Lighting	Library Enterprise	Health Care	Children's Mental Health Care	Total
Operating revenue	\$ 205	\$ 170	\$	\$36,296	\$10,860	\$47,531
Depreciation expense	28	66		9	3	106
Operating income (loss)	23	(60)		(72)	378	269
Operating transfers in (out)			(71)	323	660	912
Net income (loss)	58	(17)	(71)	869	1,129	1,968
Current capital contributions and transfers		(1)		(21)		(22)
Property, plant and equipment:						
Additions at cost		124			22	146
Deletions at cost		3		62	2	67
Net working capital	641	786			1,113	2,540
Total assets	1,302	1,364			2,489	5,155
Total equity	1,267	1,352			1,129	3,748

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS

NOTE 21. SUBSEQUENT EVENTS:

On July 1, 1997, the County issued \$11,000 in Tax and Revenue Anticipation Notes to meet anticipated cash flow requirements prior to the collection of property taxes in December. The yield is 3.85%, and the notes mature on June 30, 1998. The notes are rated MIG1 by Moody's Investors Service.

On July 1, 1997, the State of Oregon transferred 137 employees to the County. Beginning in fiscal year 1997-98 the County will be responsible for Disability Services. The County will be receiving about \$7,000 from Title XIX funds to provide for operations for this program.

On November 1, 1997, the County issued \$31,600 in Educational Facilities Revenue Bonds for the University of Portland. The proceeds will be used to fund certain capital improvements to the University and to refund the Educational Facilities Revenue Bonds, Series 1994, in the amount of \$17,750.

On November 4, 1997, the voters approved a five year Public Library Levy (local option tax). The levy dedicated to library operations is estimated to provide \$19,700 in FY 99, \$20,400 in FY 00, \$21,300 in FY 01, \$22,100 in FY 02, and \$23,000 in FY 03.

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ADDITIONAL INFORMATION
(Combining and Individual Fund and Account Group
Statements and Schedules)

GENERAL FUND

The General Fund accounts for the financial operations of the County which are not accounted for in any other fund. The principal sources of revenues are property taxes and business income taxes. Primary expenditures in the General Fund are made for general government, public safety, and health and social services. The modified accrual basis of accounting is used to record revenues and expenditures.

MULTNOMAH COUNTY, OREGON
GENERAL FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 102,488	\$ 103,022	\$ 534
Prior years'	2,673	2,768	95
Penalties and interest	817	561	(256)
Payments in lieu of taxes	55	51	(4)
Sales on foreclosures		52	52
Transient lodging		1	1
Business income	34,490	33,255	(1,235)
Motor vehicle rental	9,284	9,589	305
Intergovernmental:			
Federal	4,889	5,894	1,005
State	6,455	7,115	660
Local	1,741	1,855	114
Licenses and permits	1,781	1,696	(85)
Charges for services	5,772	5,929	157
Interest	2,232	2,862	630
Other:			
Miscellaneous	498	969	471
Service reimbursements	17,060	15,282	(1,778)
Total revenues	<u>190,235</u>	<u>190,901</u>	<u>666</u>
OTHER FINANCING SOURCES:			
Transfer from Animal Control Fund	1,301	1,243	(58)
BEGINNING FUND BALANCE	<u>17,356</u>	<u>21,232</u>	<u>3,876</u>
Total	<u>\$ 208,892</u>	<u>213,376</u>	<u>4,484</u>
EXPENDITURES:			
Aging Services	\$ 1,992	1,879	113
Health Services	8,681	8,135	546
Juvenile and Adult Community Justice Services	19,983	19,239	744
District Attorney	11,295	10,901	394
Sheriff	43,760	43,582	178
Environmental Services	8,629	7,753	876
Support Services	8,850	8,409	441
Nondepartmental	23,153	20,646	2,507
Total expenditures	<u>126,343</u>	<u>120,544</u>	<u>5,799</u>
OTHER FINANCING USES:			
Transfers to other funds:			
Strategic Investment Program	122	48	74
Federal and State Program	55,732	51,605	4,127
County School	1,383	1,383	
Recreation	10	10	
Library	6,349	5,519	830
Justice Services Special Operations	141	204	(63)
Assessment and Taxation	8,127	7,175	952
Lease/Purchase Project	350	350	
Capital Improvement	1,101	1,101	
CareOregon	323	323	
Children's Capitation Project	866	410	456
Data Processing	1,403	1,403	
Mail/Distribution	1	1	
Facilities Management	1	1	
Total other financing uses	<u>75,909</u>	<u>69,533</u>	<u>6,376</u>
Total	<u>202,252</u>	<u>190,077</u>	<u>12,175</u>
ENDING FUND BALANCE	<u>6,640</u>	<u>\$ 23,299</u>	<u>\$ 16,659</u>
	<u>\$ 208,892</u>		

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SPECIAL REVENUE FUNDS

These funds account for revenue derived from specific taxes or other earmarked revenue sources, including state gas tax, grants, and charges for services which are legally restricted to finance particular functions or activities. When a special revenue fund is not an operating fund, transfers are made from the special revenue fund to the operating funds authorized to make the expenditures. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- Strategic Investment Program Fund - accounts primarily for monies received from corporations receiving property tax abatements and paying fees for specific purposes as a part of the reduced tax agreement to be used for community service.
- Road Fund - accounts for revenues primarily from State motor vehicle fees and County gasoline taxes. Expenditures consist of construction, repair, maintenance and operation of County highways and roads.
- Emergency Communications Fund - accounts for monies received from the State which are designated for an emergency communication network in conjunction with the City of Portland.
- Assessment and Taxation Fund - accounts for revenues and expenditures for property assessment and tax collection.
- Bicycle Path Construction Fund - accounts for revenue and expenditures for bicycle paths.
- Federal and State Program Fund - accounts for the majority of revenues and expenditures related to Federal and State financial assistance programs.
- County School Fund - accounts for transfers from the General Fund and forest reserve yield revenues from the State of Oregon which are apportioned to the County school districts.
- Corner Preservation Fund - accounts for the collection of fees on all recordings of real property transactions and surveying activity. The fund makes expenditures to maintain public land corners.
- Tax Title Land Sales Fund - accounts for the receipt and sale of real property foreclosed upon by the County because of unpaid property taxes. Proceeds are subsequently distributed to all taxing districts.
- Animal Control Fund - accounts for revenues from dog and cat licenses, control fees and transfers to the General Fund which are utilized for animal control activities.
- Willamette River Bridges Fund - accounts for motor vehicle fees and gasoline tax proceeds transferred from the Road Fund for bridge inspections and maintenance.
- Recreation Fund - accounts for the collection and disbursement of revenues from various funding sources on a pass through basis.
- Library Fund - accounts for the public library operations.
- Justice Services Special Operations Fund - accounts for revenues and expenditures dedicated to justice services in the community corrections department, district attorney's office, and sheriff's office.
- County Fair Fund - accounts for the collection and disbursement of State racing revenues on a pass-through basis. Subsequent to the adoption of the budget, the State agreed to pay these funds directly to the Friends of the Fair, a private non-profit group who conduct the actual operations of the fair. Therefore, there was no actual activity related to fiscal year 1996-97.
- Inmate Welfare Fund - accounts for the proceeds from the sale of commissary items. Expenditures are made for supplies for inmates in County jails.
- Convention Center Fund - accounts for a transient lodging tax collected from all hotels and motels in the County to be used for convention center expenditures.
- Natural Areas Acquisition and Protection Fund - accounts for the acquisition and protection and management of natural areas.
- Public Safety Levy Fund - accounts for a three-year special serial levy which is used to operate the Inverness Jail and related corrections programs.

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MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
SPECIAL REVENUE FUNDS
June 30, 1997
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	Federal and State Program	Correr Preservation	Tax Title Land Sales
ASSETS:								
Cash and investments	\$ 1,454	\$ 5,547	\$ 8	\$ 4	\$ 138	\$ 2,404	\$ 908	\$ 768
Receivables:								
Taxes								
Accounts	100	5,929	34	538		15,656	2	
Loans						1,815		
Interest		15						
Contracts		128						1,304
Inventories		574				108		
Prepaid items		2		7		62		
Foreclosed properties								782
Total assets	<u>\$ 1,554</u>	<u>\$ 12,195</u>	<u>\$ 42</u>	<u>\$ 549</u>	<u>\$ 138</u>	<u>\$ 20,045</u>	<u>\$ 910</u>	<u>\$ 2,854</u>
LIABILITIES AND FUND BALANCES:								
Liabilities:								
Accounts payable	\$ 41	\$ 5,787	\$	\$ 29	\$	\$ 12,713	\$	\$ 320
Assistance receipts unapplied						2,110		
Compensated absences	2	437		411		3,153		7
Deferred revenue		183				1,819		2,086
Total liabilities	<u>43</u>	<u>6,407</u>		<u>440</u>		<u>19,795</u>		<u>2,413</u>
Fund balances:								
Reserved for inventories		574				108		
Reserved for foreclosed properties								782
Reserved for prepaid items		2		7		62		
Reserved for Oregon Medical Assistance Program						250		
Unreserved, undesignated	1,511	5,212	42	102	138	(170)	910	(341)
Total fund balances	<u>1,511</u>	<u>5,788</u>	<u>42</u>	<u>109</u>	<u>138</u>	<u>250</u>	<u>910</u>	<u>441</u>
Total liabilities and fund balances	<u>\$ 1,554</u>	<u>\$ 12,195</u>	<u>\$ 42</u>	<u>\$ 549</u>	<u>\$ 138</u>	<u>\$ 20,045</u>	<u>\$ 910</u>	<u>\$ 2,854</u>

Animal Control	Willamette River Bridges	Recreation	Library	Justice Services Special Operations	Inmate Welfare	Convention Center	Public Safety Levy	Total
\$ 4	\$ 2,137	\$ 16	\$ 1,433	\$ 946	\$ 89	\$ 293	\$ 9,057	\$ 25,206
			834				1,299	2,133
36	267	24	101	209	77	721	20	23,714
								1,815
								15
								1,432
								682
			111		3			185
								782
<u>\$ 40</u>	<u>\$ 2,404</u>	<u>\$ 40</u>	<u>\$ 2,479</u>	<u>\$ 1,155</u>	<u>\$ 169</u>	<u>\$ 1,014</u>	<u>\$ 10,376</u>	<u>\$ 55,964</u>
\$ 4	\$ 275	\$	\$ 112	\$ 409	\$ 60	\$	\$ 294	\$ 20,044
	81		513	96	18		344	2,110
			714				1,113	5,062
<u>4</u>	<u>356</u>	<u></u>	<u>1,339</u>	<u>505</u>	<u>78</u>	<u></u>	<u>1,751</u>	<u>33,131</u>
								682
			111		3			782
								185
								250
36	2,048	40	1,029	650	88	1,014	8,625	20,934
36	2,048	40	1,140	650	91	1,014	8,625	22,833
<u>\$ 40</u>	<u>\$ 2,404</u>	<u>\$ 40</u>	<u>\$ 2,479</u>	<u>\$ 1,155</u>	<u>\$ 169</u>	<u>\$ 1,014</u>	<u>\$ 10,376</u>	<u>\$ 55,964</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
SPECIAL REVENUE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	Federal and State Program	County School	Corner Preservation
REVENUES:								
Taxes	\$	7,823	\$	\$	\$	\$	\$ 178	\$
Intergovernmental		26,486	133	2,147	40	134,708		
Licenses and permits		53						
Charges for services	470	2,686		547		2,734		456
Interest		567	5		5	8	10	
Other		482		17		3,573		
Total revenues	470	38,097	138	2,711	45	141,023	188	456
EXPENDITURES:								
Current:								
General government	248			9,631				
Health and social services						162,590		
Public safety and justice		189				26,784		
Community services			136		6	2,093	1,577	
Roads and bridges		30,252						232
Capital outlay		7,498		146		911		23
Total expenditures	248	37,939	136	9,777	6	192,378	1,577	255
Excess of revenues over (under) expenditures	222	158	2	(7,066)	39	(51,355)	(1,389)	201
OTHER FINANCING SOURCES (USES):								
Operating transfers in	48			7,175	48	51,605	1,383	
Operating transfers out		(3,409)				(250)		
Total other financing sources (uses)	48	(3,409)		7,175	48	51,355	1,383	
Excess of revenues and other sources over (under) expenditures and other uses	270	(3,251)	2	109	87		(6)	201
FUND BALANCES, JUNE 30, 1996	1,241	9,039	40		51	250	6	709
FUND BALANCES, JUNE 30, 1997	\$ 1,511	\$ 5,788	\$ 42	\$ 109	\$ 138	\$ 250	\$	\$ 910

Tax Title	Animal	Willamette			Justice					
Land Sales	Control	River	Recreation	Library	Services	Inmate	Convention	Natural Areas	Public	Total
		Bridges			Special	Welfare	Center	Acquisition	Safety	
					Operations			and Protection	Levy	
\$ 1,159	\$	\$	\$ 123	\$ 14,894	\$	\$	\$ 6,161	\$	\$ 25,749	\$ 56,087
		272	274	446	464				119	165,089
	846				156					1,055
3	240	10		178	1,354	34			2	8,714
190				77	59	13	21		505	1,460
	73	34	2	2,340	1,320	806			71	8,718
<u>1,352</u>	<u>1,159</u>	<u>316</u>	<u>399</u>	<u>17,935</u>	<u>3,353</u>	<u>853</u>	<u>6,182</u>		<u>26,446</u>	<u>241,123</u>
911										10,790
						38			2,019	164,647
					3,146	1,028			17,053	48,200
			456	22,786			5,653	1,320		34,027
		3,568								34,052
		779		16	127				47	9,547
911		4,347	456	22,802	3,273	1,066	5,653	1,320	19,119	301,263
441	1,159	(4,031)	(57)	(4,867)	80	(213)	529	(1,320)	7,327	(60,140)
		3,361	10	5,669	204					69,503
	(1,243)				(459)			(325)		(5,686)
	(1,243)	3,361	10	5,669	(255)			(325)		63,817
441	(84)	(670)	(47)	802	(175)	(213)	529	(1,645)	7,327	3,677
	120	2,718	87	338	825	304	485	1,645	1,298	19,156
<u>\$ 441</u>	<u>\$ 36</u>	<u>\$ 2,048</u>	<u>\$ 40</u>	<u>\$ 1,140</u>	<u>\$ 650</u>	<u>\$ 91</u>	<u>\$ 1,014</u>	<u>\$</u>	<u>\$ 8,625</u>	<u>\$ 22,833</u>

MULTNOMAH COUNTY, OREGON
STRATEGIC INVESTMENT PROGRAM FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 1,620	\$ 470	\$ (1,150)
OTHER FINANCING SOURCE:			
Transfer from General Fund	122	48	(74)
BEGINNING FUND BALANCE		1,241	1,241
Total	<u>\$ 1,742</u>	<u>\$ 1,759</u>	<u>\$ 17</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 1,742</u>	248	1,494
ENDING FUND BALANCE		<u><u>\$ 1,511</u></u>	<u><u>\$ 1,511</u></u>

MULTNOMAH COUNTY, OREGON
ROAD FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Gasoline	\$ 7,125	\$ 7,288	\$ 163
Forest reserve yield	562	535	(27)
Intergovernmental:			
Federal	525	20	(505)
State	27,303	26,180	(1,123)
Local	390	286	(104)
Licenses and permits	20	53	33
Charges for services	2,570	2,686	116
Interest	475	567	92
Other:			
Miscellaneous	91	67	(24)
Service reimbursements	820	415	(405)
Total revenues	<u>39,881</u>	<u>38,097</u>	<u>(1,784)</u>
BEGINNING FUND BALANCE	<u>7,747</u>	<u>9,039</u>	<u>1,292</u>
Total	<u>\$ 47,628</u>	<u>47,136</u>	<u>(492)</u>
EXPENDITURES:			
Sheriff	\$ 189	189	
Environmental Services	44,024	37,750	6,274
Total expenditures	<u>44,213</u>	<u>37,939</u>	<u>6,274</u>
OTHER FINANCING USES:			
Transfers to other funds:			
Bicycle Path Construction	48	48	
Willamette River Bridges	3,367	3,361	6
Total other financing uses	<u>3,415</u>	<u>3,409</u>	<u>6</u>
Total	<u>\$ 47,628</u>	<u>41,348</u>	<u>6,280</u>
ENDING FUND BALANCE		<u>\$ 5,788</u>	<u>\$ 5,788</u>

MULTNOMAH COUNTY, OREGON
EMERGENCY COMMUNICATIONS FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 101	\$ 133	\$ 32
Interest	<u>1</u>	<u>5</u>	<u>4</u>
Total revenues	102	138	36
BEGINNING FUND BALANCE		40	40
Total	<u>\$ 102</u>	<u>178</u>	<u>76</u>
EXPENDITURES:			
Sheriff	<u>\$ 102</u>	<u>136</u>	<u>(34)</u>
ENDING FUND BALANCE		<u>\$ 42</u>	<u>\$ 42</u>

MULTNOMAH COUNTY, OREGON
ASSESSMENT AND TAXATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 1,958	\$ 2,147	\$ 189
Charges for services	595	547	(48)
Other:			
Miscellaneous	20	17	(3)
Service reimbursements	9		(9)
Total revenues	<u>2,582</u>	<u>2,711</u>	<u>129</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	8,127	7,175	(952)
BEGINNING FUND BALANCE	254		(254)
Total	<u>\$ 10,963</u>	<u>9,886</u>	<u>(1,077)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 10,963</u>	<u>9,777</u>	<u>1,186</u>
ENDING FUND BALANCE		<u>\$ 109</u>	<u>\$ 109</u>

MULTNOMAH COUNTY, OREGON
BICYCLE PATH CONSTRUCTION FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 83	\$ 40	\$ (43)
Interest	3	5	2
Total revenues	<u>86</u>	<u>45</u>	<u>(41)</u>
OTHER FINANCING SOURCE:			
Transfer from Road Fund	48	48	
BEGINNING FUND BALANCE	44	51	7
Total	<u>\$ 178</u>	<u>144</u>	<u>(34)</u>
EXPENDITURES:			
Environmental Services	\$ 178	6	172
ENDING FUND BALANCE		<u>\$ 138</u>	<u>\$ 138</u>

MULTNOMAH COUNTY, OREGON
FEDERAL AND STATE PROGRAM FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 144,815	\$ 134,708	\$ (10,107)
Charges for services	3,046	2,734	(312)
Interest	10	8	(2)
Other:			
Miscellaneous	1,905	1,517	(388)
Service reimbursements	2,398	2,056	(342)
Total revenues	<u>152,174</u>	<u>141,023</u>	<u>(11,151)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	55,732	51,605	(4,127)
BEGINNING FUND BALANCE	<u>286</u>	<u>250</u>	<u>(36)</u>
Total	\$ <u>208,192</u>	<u>192,878</u>	<u>(15,314)</u>
EXPENDITURES:			
Community and Family Services	\$ 95,018	87,230	7,788
Aging Services	16,845	15,692	1,153
Juvenile and Adult Community Justice Services	28,553	26,297	2,256
Health Services	58,923	55,922	3,001
District Attorney	3,326	2,987	339
Sheriff	2,892	2,125	767
Environmental Services	100	63	37
Support Services	302	244	58
Nondepartmental	1,983	1,818	165
Total expenditures	<u>207,942</u>	<u>192,378</u>	<u>15,564</u>
OTHER FINANCING USE:			
Transfer to Children's Capitation Project Fund	250	250	
Total	\$ <u>208,192</u>	<u>192,628</u>	<u>15,564</u>
ENDING FUND BALANCE		\$ <u>250</u>	\$ <u>250</u>

MULTNOMAH COUNTY, OREGON
COUNTY SCHOOL FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Taxes – Forest reserve yield	\$ 185	\$ 178	\$ (7)
Interest	<u>7</u>	<u>10</u>	<u>3</u>
Total revenues	192	188	(4)
 OTHER FINANCING SOURCE:			
Transfer from General Fund	1,383	1,383	
 BEGINNING FUND BALANCE	10	6	(4)
Total	<u>\$ 1,585</u>	<u>1,577</u>	<u>(8)</u>
 EXPENDITURES:			
Nondepartmental	\$ 1,585	1,577	8
ENDING FUND BALANCE		<u>\$</u>	<u>\$</u>

MULTNOMAH COUNTY, OREGON
CORNER PRESERVATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 370	\$ 456	\$ 86
BEGINNING FUND BALANCE	768	709	(59)
Total	<u>\$ 1,138</u>	<u>1,165</u>	<u>27</u>
EXPENDITURES:			
Environmental Services	<u>\$ 1,138</u>	255	883
ENDING FUND BALANCE		<u>\$ 910</u>	<u>\$ 910</u>

MULTNOMAH COUNTY, OREGON
TAX TITLE LAND SALES FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes - Sales on foreclosures	\$ 1,000	\$ 1,159	\$ 159
Intergovernmental - Local	11		(11)
Charges for services		3	3
Interest	210	190	(20)
Total revenues	<u>\$ 1,221</u>	<u>1,352</u>	<u>131</u>
EXPENDITURES:			
Environmental Services	<u>\$ 1,221</u>	911	310
ENDING FUND BALANCE		<u>\$ 441</u>	<u>\$ 441</u>

MULTNOMAH COUNTY, OREGON
ANIMAL CONTROL FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Licenses and permits	\$ 858	\$ 846	\$ (12)
Charges for services	243	240	(3)
Other - Miscellaneous	82	73	(9)
Total revenues	<u>1,183</u>	<u>1,159</u>	<u>(24)</u>
BEGINNING FUND BALANCE	118	120	2
Total	<u>\$ 1,301</u>	<u>1,279</u>	<u>(22)</u>
OTHER FINANCING USE:			
Transfer to General Fund	<u>\$ 1,301</u>	1,243	58
ENDING FUND BALANCE		<u>\$ 36</u>	<u>\$ 36</u>

MULTNOMAH COUNTY, OREGON
WILLAMETTE RIVER BRIDGES FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental:			
Federal	\$ 560	\$ 264	\$ (296)
State	705	8	(697)
Charges for services		10	10
Other - Service reimbursements	65	34	(31)
Total revenues	<u>1,330</u>	<u>316</u>	<u>(1,014)</u>
 OTHER FINANCING SOURCE:			
Transfer from Road Fund	3,367	3,361	(6)
 BEGINNING FUND BALANCE	2,244	2,718	474
Total	<u>\$ 6,941</u>	<u>6,395</u>	<u>(546)</u>
 EXPENDITURES:			
Environmental Services	<u>\$ 6,941</u>	<u>4,347</u>	2,594
ENDING FUND BALANCE		<u>\$ 2,048</u>	<u>\$ 2,048</u>

MULTNOMAH COUNTY, OREGON
RECREATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes - Gasoline	\$ 123	\$ 123	\$
Intergovernmental - State	283	274	(9)
Other - Miscellaneous	<u>2</u>	<u>2</u>	<u>2</u>
Total revenues	406	399	(7)
OTHER FINANCING SOURCE:			
Transfer from General Fund	10	10	
BEGINNING FUND BALANCE	88	87	(1)
Total	<u>\$ 504</u>	<u>496</u>	<u>(8)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 504</u>	456	48
ENDING FUND BALANCE		<u>\$ 40</u>	<u>\$ 40</u>

MULTNOMAH COUNTY, OREGON
LIBRARY FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 14,412	\$ 14,494	\$ 82
Prior years'	371	338	(33)
Penalties and interest	86	55	(31)
Sales on foreclosures		7	7
Intergovernmental:			
Federal, state, and local	620	446	(174)
Charges for services	38	178	140
Interest	78	77	(1)
Other:			
Miscellaneous	2,161	2,269	108
Service reimbursements	85	71	(14)
Total revenues	<u>17,851</u>	<u>17,935</u>	<u>84</u>
OTHER FINANCING SOURCES:			
Transfers from other funds:			
General	6,349	5,519	(830)
Library Enterprise	71	71	
Data Processing	79	79	
Total other financing sources	<u>6,499</u>	<u>5,669</u>	<u>(830)</u>
BEGINNING FUND BALANCE	629	338	(291)
Total	<u>\$ 24,979</u>	<u>23,942</u>	<u>(1,037)</u>
EXPENDITURES:			
Library	<u>\$ 24,979</u>	22,802	2,177
ENDING FUND BALANCE		<u>\$ 1,140</u>	<u>\$ 1,140</u>

MULTNOMAH COUNTY, OREGON
JUSTICE SERVICES SPECIAL OPERATIONS FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental:			
Federal	\$ 75	\$ 30	\$ (45)
State		11	11
Local	477	423	(54)
Licenses and permits	377	156	(221)
Charges for services	1,281	1,354	73
Interest		59	59
Other - Miscellaneous	828	1,320	492
Total revenues	<u>3,038</u>	<u>3,353</u>	<u>315</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	141	204	63
BEGINNING FUND BALANCE	780	825	45
Total	<u>\$ 3,959</u>	<u>4,382</u>	<u>423</u>
EXPENDITURES:			
Juvenile and Adult Community Justice Services	\$ 672	637	35
District Attorney	685	664	21
Sheriff	2,132	1,972	160
Total expenditures	<u>3,489</u>	<u>3,273</u>	<u>216</u>
OTHER FINANCING USE:			
Transfer to Capital Improvement Fund	470	459	11
Total	<u>\$ 3,959</u>	<u>3,732</u>	<u>227</u>
ENDING FUND BALANCE		<u>\$ 650</u>	<u>\$ 650</u>

MULTNOMAH COUNTY, OREGON
COUNTY FAIR FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ <u>44</u>	\$ _____	\$ <u>(44)</u>
EXPENDITURES:			
Environmental Services	\$ <u>44</u>	\$ _____	44
ENDING FUND BALANCE		\$ <u>_____</u>	\$ <u>_____</u>

MULTNOMAH COUNTY, OREGON
INMATE WELFARE FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 60	\$ 34	\$ (26)
Interest	14	13	(1)
Other – Miscellaneous	1,094	806	(288)
Total revenues	<u>1,168</u>	<u>853</u>	<u>(315)</u>
BEGINNING FUND BALANCE		304	304
Total	<u>\$ 1,168</u>	<u>1,157</u>	<u>(11)</u>
EXPENDITURES:			
Juvenile and Adult Community Justice Services	\$ 55	38	17
Sheriff	1,113	1,028	85
Total expenditures	<u>\$ 1,168</u>	<u>1,066</u>	<u>102</u>
ENDING FUND BALANCE		<u>\$ 91</u>	<u>\$ 91</u>

MULTNOMAH COUNTY, OREGON
CONVENTION CENTER FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes - Transient lodging	\$ 5,925	\$ 6,161	\$ 236
Interest	28	21	(7)
Total revenues	<u>5,953</u>	<u>6,182</u>	<u>229</u>
BEGINNING FUND BALANCE	485	485	
Total	<u>\$ 6,438</u>	<u>6,667</u>	<u>229</u>
EXPENDITURES:			
Nondepartmental	\$ <u>6,438</u>	5,653	785
ENDING FUND BALANCE		<u>\$ 1,014</u>	<u>\$ 1,014</u>

MULTNOMAH COUNTY, OREGON
NATURAL AREAS ACQUISITION AND PROTECTION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Interest	\$ 11	\$	\$ (11)
BEGINNING FUND BALANCE:	1,645	1,645	
Total	<u>\$ 1,656</u>	<u>1,645</u>	<u>(11)</u>
EXPENDITURES:			
Environmental Services	\$ 1,320	1,320	
OTHER FINANCING USE:			
Transfer to General Fund	336	325	11
Total	<u>\$ 1,656</u>	<u>1,645</u>	<u>11</u>
ENDING FUND BALANCE		<u>\$</u>	<u>\$</u>

MULTNOMAH COUNTY, OREGON
PUBLIC SAFETY LEVY FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 23,058	\$ 25,222	\$ 2,164
Prior years'	483	441	(42)
Penalties and interest	92	73	(19)
Sales on foreclosures		13	13
Intergovernmental:			
Federal, state and local	122	119	(3)
Charges for services		2	2
Interest	143	505	362
Other - Service reimbursements	20	71	51
Total revenues	<u>23,918</u>	<u>26,446</u>	<u>2,528</u>
BEGINNING FUND BALANCE		1,298	1,298
Total	<u>\$ 23,918</u>	<u>27,744</u>	<u>3,826</u>
EXPENDITURES:			
Health Services	\$ 2,212	2,021	191
Community Corrections	1,828	1,628	200
Sheriff	17,466	15,470	1,996
Nondepartmental	2,412		2,412
Total expenditures	<u>\$ 23,918</u>	<u>19,119</u>	<u>4,799</u>
ENDING FUND BALANCE		<u>\$ 8,625</u>	<u>\$ 8,625</u>

DEBT SERVICE FUNDS

These funds account for the retirement of general obligation bonds, certificates of participation (capitalized leases) and other lease-purchase arrangements. The modified accrual basis of accounting is used. Funds included are:

- Revenue Bond Sinking Fund - accounts for payment of principal and interest on bonds to be issued to construct the Edgefield Children's Center. The revenues are derived from lease of the facility to the Edgefield Children's Center, Inc. Due to project delay, the bonds were not issued and the lease was not executed.
- Capital Lease Retirement Fund - accounts for lease-purchase principal and interest payments for buildings and major pieces of equipment acquired by the issuance of certificates of participation or other lease-purchase arrangements. Revenues consist of certificates of participation proceeds, service reimbursements and cash transfers from other County funds.
- Library Bond Sinking Fund/1993 Bonds - accounts for payment of principal and interest on library general obligation bonds. Revenue is derived from property taxes.
- Library Bond Sinking Fund/1996 Bonds - accounts for payment of principal and interest on library general obligation bonds. Revenue is derived from property taxes.
- Public Safety Bond Sinking Fund - accounts for payment of principal and interest on public safety general obligation bonds. Revenue is derived from property taxes.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
DEBT SERVICE FUNDS
June 30, 1997
(amounts expressed in thousands)

	Capital Lease Retirement	Library Bond Sinking/ 1993 Bonds	Library Bond Sinking/ 1996 Bonds	Public Safety Bond Sinking	Total
ASSETS:					
Cash and investments	\$ 6,127	\$ 1,812	\$ 1,891	\$ 5,080	\$ 14,910
Receivables:					
Taxes		146	86	240	472
Accounts		1	2	3	6
Total assets	<u>\$ 6,127</u>	<u>\$ 1,959</u>	<u>\$ 1,979</u>	<u>\$ 5,323</u>	<u>\$ 15,388</u>
LIABILITIES AND FUND BALANCES:					
Liabilities:					
Accounts payable	\$ 4	\$	\$	\$	\$ 4
Deferred revenue		124	75	208	407
Total liabilities	<u>4</u>	<u>124</u>	<u>75</u>	<u>208</u>	<u>411</u>
Fund balances:					
Reserved for debt service	6,123	1,835	1,904	5,115	14,977
Total liabilities and fund balances	<u>\$ 6,127</u>	<u>\$ 1,959</u>	<u>\$ 1,979</u>	<u>\$ 5,323</u>	<u>\$ 15,388</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
DEBT SERVICE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Capital Lease Retirement	Library Bond Sinking/ 1993 Bonds	Library Bond Sinking/ 1996 Bonds	Public Safety Bond Sinking	Total
REVENUES:					
Taxes	\$	\$ 2,307	\$ 2,576	\$ 7,026	\$ 11,909
Interest	509	117	50	143	819
Other:					
Miscellaneous	2				2
Service reimbursements	12,215				12,215
Total revenues	<u>12,726</u>	<u>2,424</u>	<u>2,626</u>	<u>7,169</u>	<u>24,945</u>
EXPENDITURES:					
Current:					
General government	31				31
Debt service:					
Principal	9,368	1,035			10,403
Interest	<u>3,226</u>	<u>1,437</u>	<u>722</u>	<u>2,054</u>	<u>7,439</u>
Total expenditures	<u>12,625</u>	<u>2,472</u>	<u>722</u>	<u>2,054</u>	<u>17,873</u>
Excess of revenues over (under) expenditures	101	(48)	1,904	5,115	7,072
FUND BALANCES, JUNE 30, 1996	<u>6,022</u>	<u>1,883</u>			<u>7,905</u>
FUND BALANCES, JUNE 30, 1997	<u>\$ 6,123</u>	<u>\$ 1,835</u>	<u>\$ 1,904</u>	<u>\$ 5,115</u>	<u>\$ 14,977</u>

MULTNOMAH COUNTY, OREGON
REVENUE BOND SINKING FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUE:			
Charges for services	\$ 292	\$	\$ (292)
OTHER FINANCING SOURCE:			
Bond sales	295		(295)
Total	<u>\$ 587</u>		<u>(587)</u>
EXPENDITURE:			
Nondepartmental	\$ 292		292
ENDING FUND BALANCE	<u>295</u>	<u>\$</u>	<u>\$ 295</u>
	<u>\$ 587</u>		

MULTNOMAH COUNTY, OREGON
CAPITAL LEASE RETIREMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Interest	\$ 177	\$ 509	\$ 332
Other:			
Miscellaneous		2	2
Service reimbursements	13,747	12,215	(1,532)
Total revenues	<u>13,924</u>	<u>12,726</u>	<u>(1,198)</u>
OTHER FINANCING SOURCE:			
Certificates of participation proceeds	1,005		(1,005)
BEGINNING FUND BALANCE	5,050	6,022	972
Total	<u>\$ 19,979</u>	<u>18,748</u>	<u>(1,231)</u>
EXPENDITURES:			
Nondepartmental	\$ 18,974	12,625	6,349
ENDING FUND BALANCE	1,005	\$ 6,123	\$ 5,118
	<u>\$ 19,979</u>		

MULTNOMAH COUNTY, OREGON
LIBRARY BOND SINKING FUND/1993 BONDS
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 2,257	\$ 2,222	\$ (35)
Prior years'	171	75	(96)
Penalties and interest		9	9
Sales on foreclosures		1	1
Interest	75	117	42
Total revenues	<u>2,503</u>	<u>2,424</u>	<u>(79)</u>
 BEGINNING FUND BALANCE	 <u>1,747</u>	 <u>1,883</u>	 <u>136</u>
Total	<u>\$ 4,250</u>	<u>4,307</u>	<u>57</u>
 EXPENDITURES:			
Nondepartmental	\$ 2,487	2,472	15
ENDING FUND BALANCE	<u>1,763</u>	<u>\$ 1,835</u>	<u>\$ 72</u>
	<u>\$ 4,250</u>		

MULTNOMAH COUNTY, OREGON
LIBRARY BOND SINKING FUND/1996 BONDS
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Taxes:			
Property:			
Current year	\$ 2,503	\$ 2,574	\$ 71
Penalties and interest		1	1
Sales on foreclosures		1	1
Interest	40	50	10
Total revenues	<u>\$ 2,543</u>	<u>2,626</u>	<u>83</u>
EXPENDITURES:			
Nondepartmental	\$ 885	722	163
ENDING FUND BALANCE	<u>1,658</u>	<u>\$ 1,904</u>	<u>\$ 246</u>
	<u>\$ 2,543</u>		

MULTNOMAH COUNTY, OREGON
PUBLIC SAFETY BOND SINKING FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 6,968	\$ 7,019	\$ 51
Penalties and interest		3	3
Sales on foreclosures		4	4
Interest	70	143	73
Total revenues	<u>\$ 7,038</u>	<u>7,169</u>	<u>131</u>
EXPENDITURES:			
Nondepartmental	\$ 2,416	2,054	362
ENDING FUND BALANCE	<u>4,622</u>	<u>\$ 5,115</u>	<u>\$ 493</u>
	<u>\$ 7,038</u>		

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CAPITAL PROJECTS FUNDS

These funds account for expenditures on major construction projects, proceeds from certificates of participation issued to finance capital acquisitions and proceeds from the sale of County property, and library and public safety general obligation bond proceeds. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- Justice Bond Project Fund - accounts for projects to expand Inverness Jail, construct new jail facilities, upgrade other jail facilities and pay for data processing linkages in the Corrections system.
- Edgefield Children's Center Fund - accounts for the construction of an expanded Edgefield Children's Center. The proceeds will be from the issue of revenue bonds. Due to project delay the bonds were not issued.
- SB1145 Fund - accounts for State revenue to build jail beds to house convicted felons with sentences under twelve months.
- Equipment Lease/Purchase Fund - accounts for long-term lease purchases of equipment.
- Lease/Purchase Project Fund - accounts for purchases and construction of capital acquisition by entering into lease/purchase agreements.
- Library Construction Fund/1993 Bonds - accounts for the renovation of the Central Library and the expansion of the Midland Library.
- Library Construction Fund/1996 Bonds - accounts for the renovation of branch libraries and upgrades to Library computer systems and linkages.
- Capital Improvement Fund - accounts for the proceeds from the sale of County property and expenditures made to improve County property.
- Capital Acquisition Fund - accounts for capital purchases with economic payoffs of less than five years.

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MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
CAPITAL PROJECTS FUNDS
JUNE 30, 1997
(amounts expressed in thousands)

	Justice Bond Project	Edgefield Children's Center	SB1145	Equipment Lease/ Purchase	Lease/ Purchase Project	Library Construction/ 1993 Bonds
ASSETS:						
Cash and investments	\$ 71,859	\$	\$	\$ 701	\$ 75	\$ 918
Receivables:						
Accounts		27	4,535			
Contracts						
Due from other funds						
Prepaid items	1		1			
Total assets	<u>\$ 71,860</u>	<u>\$ 27</u>	<u>\$ 4,536</u>	<u>\$ 701</u>	<u>\$ 75</u>	<u>\$ 918</u>
LIABILITIES AND FUND BALANCES:						
Liabilities:						
Accounts payable	\$ 451	\$ 4	\$ 839	\$	\$ 72	\$ 470
Due to other funds		23	3,696			
Compensated absences						
Deferred revenue						
Total liabilities	<u>451</u>	<u>27</u>	<u>4,535</u>		<u>72</u>	<u>470</u>
Fund balances:						
Reserved for capital projects	71,408			701	3	448
Reserved for prepaid items	1		1			
Total fund balances	<u>71,409</u>		<u>1</u>	<u>701</u>	<u>3</u>	<u>448</u>
Total liabilities and fund balances	<u>\$ 71,860</u>	<u>\$ 27</u>	<u>\$ 4,536</u>	<u>\$ 701</u>	<u>\$ 75</u>	<u>\$ 918</u>

<u>Library Construction/ 1996 Bonds</u>	<u>Capital Improvement</u>	<u>Capital Acquisition</u>	<u>Total</u>
\$ 28,171	\$ 2,118	\$ 346	\$ 104,188
	311		4,873
	1,644		1,644
	23		23
			2
<u>\$ 28,171</u>	<u>\$ 4,096</u>	<u>\$ 346</u>	<u>\$ 110,730</u>
\$ 77	\$ 757	\$	\$ 2,670
			3,719
2			2
	2,243		2,243
<u>79</u>	<u>3,000</u>		<u>8,634</u>
28,092	1,096	346	102,094
			2
<u>28,092</u>	<u>1,096</u>	<u>346</u>	<u>102,096</u>
<u>\$ 28,171</u>	<u>\$ 4,096</u>	<u>\$ 346</u>	<u>\$ 110,730</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
CAPITAL PROJECTS FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Justice Bond Project	Edgefield Children's Center	SB1145	Equipment Lease/ Purchase	Lease/ Purchase Project	Library Construction/ 1993 Bonds
REVENUES:						
Intergovernmental	\$	\$	\$ 4,535	\$	\$	\$
Charges for services		16			46	
Interest	2,869					288
Other:						
Miscellaneous						
Service reimbursements						
Total revenues	<u>2,869</u>	<u>16</u>	<u>4,535</u>	<u></u>	<u>46</u>	<u>288</u>
EXPENDITURES:						
Current:						
General government					574	
Public safety and justice	7,390		78			
Community services		16				1,535
Capital outlay	<u>3,287</u>	<u></u>	<u>4,456</u>	<u>1</u>	<u>2,139</u>	<u>9,273</u>
Total expenditures	<u>10,677</u>	<u>16</u>	<u>4,534</u>	<u>1</u>	<u>2,713</u>	<u>10,808</u>
Excess of revenues over (under) expenditures	<u>(7,808)</u>	<u></u>	<u>1</u>	<u>(1)</u>	<u>(2,667)</u>	<u>(10,520)</u>
OTHER FINANCING SOURCES (USES):						
Loan proceeds					1,495	
Bond sales	79,700					
Operating transfers in					835	
Operating transfers out	<u>(483)</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
Total other financing sources and uses	<u>79,217</u>	<u></u>	<u></u>	<u></u>	<u>2,330</u>	<u></u>
Excess of revenues and other sources over (under) expenditures and other uses	<u>71,409</u>	<u></u>	<u>1</u>	<u>(1)</u>	<u>(337)</u>	<u>(10,520)</u>
FUND BALANCES, JUNE 30, 1996				702	340	10,968
FUND BALANCES, JUNE 30, 1997	<u>\$ 71,409</u>	<u>\$</u>	<u>\$ 1</u>	<u>\$ 701</u>	<u>\$ 3</u>	<u>\$ 448</u>

<u>Library Construction/ 1996 Bonds</u>	<u>Capital Improvement</u>	<u>Capital Acquisition</u>	<u>Total</u>
\$	\$ 209	\$	\$ 4,744
	177		239
1,114	258	21	4,550
	21		21
	37		37
<u>1,114</u>	<u>702</u>	<u>21</u>	<u>9,591</u>
	1,099		1,673
			7,468
1,129			2,680
893	3,596		23,645
<u>2,022</u>	<u>4,695</u>		<u>35,466</u>
<u>(908)</u>	<u>(3,993)</u>	<u>21</u>	<u>(25,875)</u>
	177		1,672
29,000			108,700
	2,227	325	3,387
	(485)		(968)
<u>29,000</u>	<u>1,919</u>	<u>325</u>	<u>112,791</u>
28,092	(2,074)	346	86,916
	3,170		15,180
<u>\$ 28,092</u>	<u>\$ 1,096</u>	<u>\$ 346</u>	<u>\$ 102,096</u>

MULTNOMAH COUNTY, OREGON
JUSTICE BOND PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Interest	\$ 600	\$ 2,869	\$ 2,269
OTHER FINANCING SOURCE:			
Bond Sales	79,700	79,700	
Total	<u>\$ 80,300</u>	<u>82,569</u>	<u>2,269</u>
EXPENDITURES:			
Environmental Services	\$ 71,859	10,116	61,743
Support Services	7,441	561	6,880
Total expenditures	79,300	10,677	68,623
OTHER FINANCING USE:			
Transfer to Capital Improvement Fund	1,000	483	517
Total	<u>\$ 80,300</u>	<u>11,160</u>	<u>69,140</u>
ENDING FUND BALANCE		<u>\$ 71,409</u>	<u>\$ 71,409</u>

MULTNOMAH COUNTY, OREGON
EDGEFIELD CHILDREN'S CENTER FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUE:			
Charges for services	\$	\$ 16	\$ 16
OTHER FINANCING SOURCE:			
Bond sales	2,148	\$	\$ (2,148)
Total	<u>\$ 2,148</u>	<u>16</u>	<u>(2,132)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 2,148</u>	<u>16</u>	<u>2,132</u>
ENDING FUND BALANCE		<u>\$</u>	<u>\$</u>

MULTNOMAH COUNTY, OREGON
SB 1145 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ <u>43,425</u>	\$ <u>4,535</u>	\$ <u>(38,890)</u>
EXPENDITURES:			
Environmental Services	\$ <u>43,425</u>	<u>4,534</u>	<u>38,891</u>
ENDING FUND BALANCE		\$ <u><u>1</u></u>	\$ <u><u>1</u></u>

MULTNOMAH COUNTY, OREGON
EQUIPMENT LEASE/PURCHASE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
OTHER FINANCING SOURCES:			
Certificates of participation proceeds	\$ 1,353	\$	\$ (1,353)
BEGINNING FUND BALANCE	<u>120</u>	<u>702</u>	<u>582</u>
Total	<u>\$ 1,473</u>	<u>702</u>	<u>(771)</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 1,473</u>	<u>1</u>	<u>1,472</u>
ENDING FUND BALANCE		<u>\$ 701</u>	<u>\$ 701</u>

MULTNOMAH COUNTY, OREGON
LEASE/PURCHASE PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUE:			
Charges for services	\$ <u> </u>	\$ <u> 46 </u>	\$ <u> 46 </u>
OTHER FINANCING SOURCES:			
Certificates of participation proceeds	7,202		(7,202)
Loan proceeds		1,495	1,495
Transfer from other funds:			
General	350	350	
Capital Improvement	<u>600</u>	<u>485</u>	<u>(115)</u>
Total other financing sources	<u>8,152</u>	<u>2,330</u>	<u>(5,822)</u>
BEGINNING FUND BALANCE	5,755	340	(5,415)
Total	\$ <u><u>13,907</u></u>	<u>2,716</u>	<u>(11,191)</u>
EXPENDITURES:			
Environmental Services	\$ <u><u>13,907</u></u>	<u>2,713</u>	11,194
ENDING FUND BALANCE		\$ <u><u> 3 </u></u>	\$ <u><u> 3 </u></u>

MULTNOMAH COUNTY, OREGON
LIBRARY CONSTRUCTION FUND/1993 BONDS
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUE:			
Interest	\$ 900	\$ 288	\$ (612)
BEGINNING FUND BALANCE	13,650	10,968	(2,682)
Total	<u>\$ 14,550</u>	<u>11,256</u>	<u>(3,294)</u>
EXPENDITURES:			
Environmental Services	\$ 14,550	10,808	3,742
ENDING FUND BALANCE		<u>\$ 448</u>	<u>\$ 448</u>

MULTNOMAH COUNTY, OREGON
LIBRARY CONSTRUCTION FUND/1996 BONDS
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUE:			
Interest	\$ 1,800	\$ 1,114	\$ (686)
OTHER FINANCING SOURCE:			
Bond Sales	29,000	29,000	
Total	<u>\$ 30,800</u>	<u>30,114</u>	<u>(686)</u>
EXPENDITURES:			
Environmental Services	\$ 30,800	2,022	28,778
ENDING FUND BALANCE		<u>\$ 28,092</u>	<u>\$ 28,092</u>

MULTNOMAH COUNTY, OREGON
CAPITAL IMPROVEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental - State	\$	\$ 209	\$ 209
Charges for services	359	177	(182)
Interest	15	258	243
Other:			
Miscellaneous	100	21	(79)
Service reimbursements	37	37	
Total revenues	<u>511</u>	<u>702</u>	<u>191</u>
OTHER FINANCING SOURCES:			
Loan proceeds	400	177	(223)
Transfer from other funds:			
General	1,101	1,101	
Justice Services Special Operations	470	459	(11)
Public Safety Bond Sinking	1,000	483	(517)
Facilities Management	1,550	184	(1,366)
Total other financing sources	<u>4,521</u>	<u>2,404</u>	<u>(2,117)</u>
BEGINNING FUND BALANCE	<u>2,780</u>	<u>3,170</u>	<u>390</u>
Total	<u>\$ 7,812</u>	<u>6,276</u>	<u>(1,536)</u>
EXPENDITURES:			
Environmental Services	\$ 7,212	4,695	2,517
OTHER FINANCING USE:			
Transfer to Lease/Purchase Project Fund	600	485	115
Total	<u>\$ 7,812</u>	<u>5,180</u>	<u>2,632</u>
ENDING FUND BALANCE		<u>\$ 1,096</u>	<u>\$ 1,096</u>

MULTNOMAH COUNTY, OREGON
CAPITAL ACQUISITION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Interest	\$	\$ 21	\$ 21
OTHER FINANCING SOURCE:			
Transfer from Natural Areas Acquisition and Protection Fund	336	325	(11)
Total	\$ <u>336</u>	<u>346</u>	<u>10</u>
EXPENDITURES:			
Nondepartmental	\$ <u>336</u>		336
ENDING FUND BALANCE		\$ <u>346</u>	\$ <u>346</u>

ENTERPRISE FUNDS

These funds are used to finance and account for the acquisition, operation and maintenance of sewage treatment facilities, street lighting facilities, improved library services, health care and children's mental health claims administration, which are supported by user charges. The County accounts for certain expenditures of the enterprise funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. The difference in the accounting basis used relates primarily to the methods of accounting for depreciation, debt and capital outlay. Funds included are:

- Dunthorpe-Riverdale Service District No. 1 Fund - accounts for the operation of the sanitary sewer system in southwest unincorporated Multnomah County. (A blended component unit of Multnomah County.)
- Mid County Service District No. 14 Fund - accounts for the operation of street lights throughout unincorporated Multnomah County. (A blended component unit of Multnomah County.)
- Library Enterprise Fund - accounts for revenues for increases in library service charges to expend for improved library service. The planned entrepreneurial activities did not materialize. This fund was closed to the Library Fund, a Special Revenue Fund, during the 1996-97 fiscal year
- CareOregon Fund - accounts for the operation of CareOregon including administrative expenses. This operation spun off on April 1, 1997 and became a private health maintenance organization.
- Children's Capitation Fund - accounts for the operation of the Children's Capitated Mental Health Program including administrative expenses.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
ENTERPRISE FUNDS
June 30, 1997
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Children's Capitation Project	Total
ASSETS:				
Current Assets:				
Cash and investments	\$ 665	\$ 786	\$ 1,836	\$ 3,287
Receivables (net of allowances for uncollectables):				
Accounts	11		630	641
Special assessments		12		12
Prepaid items			7	7
Total current assets	<u>676</u>	<u>798</u>	<u>2,473</u>	<u>3,947</u>
Fixed assets (net of accumulated depreciation)	626	566	16	1,208
Total assets	<u>\$ 1,302</u>	<u>\$ 1,364</u>	<u>\$ 2,489</u>	<u>\$ 5,155</u>
LIABILITIES AND FUND EQUITY:				
Liabilities:				
Accounts payable	\$ 35	\$ 12	\$ 1,321	\$ 1,368
Compensated absences			39	39
Total liabilities	<u>35</u>	<u>12</u>	<u>1,360</u>	<u>1,407</u>
Fund equity:				
Contributed capital	1,826	1,079		2,905
Retained earnings (deficit):				
Unreserved	(559)	273	1,129	843
Total fund equity	<u>1,267</u>	<u>1,352</u>	<u>1,129</u>	<u>3,748</u>
Total liabilities and fund equity	<u>\$ 1,302</u>	<u>\$ 1,364</u>	<u>\$ 2,489</u>	<u>\$ 5,155</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS (DEFICIT)
ENTERPRISE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Library Enterprise	CareOregon	Children's Capitation Project	Total
OPERATING REVENUES:						
Charges for sales and services	\$ 205	\$ 170	\$	\$ 36,296	\$ 10,860	\$ 47,531
OPERATING EXPENSES:						
Salaries and wages				667	831	1,498
Employee benefits				195	240	435
Utilities	147	147				294
Facility rental				61	53	114
Professional services				86	524	610
Communications				21	20	41
Operating supplies				15	28	43
Medical claims				33,025	8,611	41,636
Administrative	7	17			141	165
Internal support				359	22	381
Depreciation	28	66		9	3	106
Other expenses				1,930	9	1,939
Total operating expenses	182	230		36,368	10,482	47,262
Operating income (loss)	23	(60)		(72)	378	269
NONOPERATING REVENUES (EXPENSES):						
Interest revenue	35	43		618	91	787
Total nonoperating revenues	35	43		618	91	787
Net income (loss) before operating transfers	58	(17)		546	469	1,056
OPERATING TRANSFERS IN				323	660	983
OPERATING TRANSFERS OUT			(71)			(71)
Net income (loss)	58	(17)	(71)	869	1,129	1,968
RETAINED EARNINGS (DEFICIT), JUNE 30, 1996	(617)	290	71	1,341		1,085
EQUITY TRANSFER OUT				(2,210)		(2,210)
RETAINED EARNINGS (DEFICIT), JUNE 30, 1997	<u>\$ (559)</u>	<u>\$ 273</u>	<u>\$</u>	<u>\$</u>	<u>\$ 1,129</u>	<u>\$ 843</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CASH FLOWS
ENTERPRISE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Library Enterprise	CareOregon	Children's Capitation Project	Total
CASH FLOWS FROM OPERATING ACTIVITIES:						
Operating income (loss)	\$ 23	\$ (60)	\$	\$ (72)	\$ 378	\$ 269
Adjustments to reconcile operating income (loss) to cash and cash equivalents provided (used) by operating activities:						
Depreciation	28	66		9	3	106
Changes in assets and liabilities:						
(Increase) decrease in accounts receivable	(3)			884	(631)	250
(Increase) decrease in prepaid items				1	(7)	(6)
Increase (decrease) in accounts payable			(1)	(11,307)	1,323	(9,985)
Increase (decrease) in compensated absences				(60)	39	(21)
Net cash provided (used) by operating activities	<u>48</u>	<u>6</u>	<u>(1)</u>	<u>(10,545)</u>	<u>1,105</u>	<u>(9,387)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:						
Operating transfers in				323	660	983
Operating transfers out			(71)			(71)
Equity transfer out				(2,210)		(2,210)
Net cash provided (used) by noncapital financing activities			<u>(71)</u>	<u>(1,887)</u>	<u>660</u>	<u>(1,298)</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:						
Acquisition of fixed assets		(124)		21	(20)	(123)
Capital contributed by customers and others	10	124		(21)		113
Net cash provided (used) by capital and related financing activities	<u>10</u>				<u>(20)</u>	<u>(10)</u>
CASH FLOWS FROM INVESTING ACTIVITIES:						
Interest on investments	35	43		618	91	787
Net cash provided by investing activities	<u>35</u>	<u>43</u>		<u>618</u>	<u>91</u>	<u>787</u>
Net increase (decrease) in cash and cash equivalents	93	49	(72)	(11,814)	1,836	(9,908)
CASH AND CASH EQUIVALENTS, JUNE 30, 1996	<u>572</u>	<u>737</u>	<u>72</u>	<u>11,814</u>		<u>13,195</u>
CASH AND CASH EQUIVALENTS, JUNE 30, 1997	<u>\$ 665</u>	<u>\$ 786</u>	<u>\$</u>	<u>\$</u>	<u>\$ 1,836</u>	<u>\$ 3,287</u>
NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:						
Disposal of fixed assets, net book value	\$	\$ (1)	\$	\$	\$	\$ (1)
Transfer of fixed assets to CareOregon, Inc., net book value	\$	\$	\$	\$ (21)	\$	\$ (21)

MULTNOMAH COUNTY, OREGON
COMBINING SCHEDULE OF CHANGES IN CONTRIBUTED CAPITAL
ENTERPRISE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	CareOregon	Total
CONTRIBUTED CAPITAL, JUNE 30, 1996	<u>\$ 1,816</u>	<u>\$ 956</u>	<u>\$ 21</u>	<u>\$ 2,793</u>
ADD:				
Contributions from connection fees	10			10
Contributions from customers		124		124
Total contributions added	<u>10</u>	<u>124</u>		<u>134</u>
DEDUCT:				
Disposal of fixed assets, net book value		(1)		(1)
Transfer of equipment to CareOregon Inc.			(21)	(21)
Total contributions deducted		<u>(1)</u>	<u>(21)</u>	<u>(22)</u>
CONTRIBUTED CAPITAL, JUNE 30, 1997	<u>\$ 1,826</u>	<u>\$ 1,079</u>	<u>\$</u>	<u>\$ 2,905</u>

MULTNOMAH COUNTY, OREGON
DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Assessments - Sewer	\$ 210	\$ 203	\$ (7)
Charges for services	10	11	1
Interest	1	35	34
Total revenues	<u>221</u>	<u>249</u>	<u>28</u>
BEGINNING FUND BALANCE	460	538	78
Total	<u>\$ 681</u>	<u>787</u>	<u>106</u>
EXPENDITURES:			
Environmental Services	\$ 667	155	512
ENDING FUND BALANCE	14	\$ 632	\$ 618
	<u>\$ 681</u>		

MULTNOMAH COUNTY, OREGON
MID COUNTY SERVICE DISTRICT NO. 14 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Assessments - Street lighting			
Current year	\$ 350	\$ 165	\$ (185)
Prior years'	12	6	(6)
Penalties and interest		2	2
Charges for services	1		(1)
Interest	30	43	13
Total revenues	<u>393</u>	<u>216</u>	<u>(177)</u>
BEGINNING FUND BALANCE	<u>700</u>	<u>727</u>	<u>27</u>
Total	<u>\$ 1,093</u>	<u>943</u>	<u>(150)</u>
EXPENDITURES:			
Environmental Services	\$ 670	167	503
ENDING FUND BALANCE	<u>423</u>	<u>\$ 776</u>	<u>\$ 353</u>
	<u>\$ 1,093</u>		

MULTNOMAH COUNTY, OREGON
LIBRARY ENTERPRISE FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
 (amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
BEGINNING FUND BALANCE	\$ <u>72</u>	\$ <u>71</u>	\$ <u>(1)</u>
OTHER FINANCING USE:			
Transfer to Library Fund	\$ <u>72</u>	<u>71</u>	<u>1</u>
ENDING FUND BALANCE		\$ <u><u> </u></u>	\$ <u><u> </u></u>

MULTNOMAH COUNTY, OREGON
CAREOREGON FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal and state	\$ 43,790	\$ 36,294	\$ (7,496)
Charges for services		2	2
Interest	659	618	(41)
Total revenues	<u>44,449</u>	<u>36,914</u>	<u>(7,535)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	323	323	
BEGINNING FUND BALANCE			
Total	<u>\$ 44,772</u>	<u>1,331</u>	<u>1,331</u>
		<u>38,568</u>	<u>(6,204)</u>
EXPENDITURES:			
Health Services	<u>\$ 44,772</u>	<u>36,358</u>	<u>8,414</u>
EQUITY TRANSFER OUT		<u>(2,210)</u>	<u>(2,210)</u>
ENDING FUND BALANCE		<u>\$</u>	<u>\$</u>

MULTNOMAH COUNTY, OREGON
CHILDREN'S CAPITATION PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES – BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 9,795	\$ 9,984	\$ 189
Charges for services	966	576	(390)
Interest		91	91
Other – Miscellaneous	300	301	1
Total revenues	<u>11,061</u>	<u>10,952</u>	<u>(109)</u>
OTHER FINANCING SOURCES:			
Transfers from other funds:			
General	\$ 866	410	(456)
Federal and State Program	250	250	
Total other financing sources	<u>1,116</u>	<u>660</u>	<u>(456)</u>
Total	<u>\$ 12,177</u>	<u>11,612</u>	<u>(565)</u>
EXPENDITURES:			
Community and Family Services	\$ <u>12,177</u>	10,499	1,678
ENDING FUND BALANCE		<u>\$ 1,113</u>	<u>\$ 1,113</u>

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INTERNAL SERVICE FUNDS

These funds account for activities and services performed primarily for other organizational units within the County. Charges to the County agencies are calculated to recover costs and maintain capital. The County accounts for certain expenditures of the Internal Service Funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. Such differences relate primarily to the methods of accounting for depreciation and capital outlay. Funds included are:

- Insurance Fund - accounts for the County's insurance coverage.
- Fleet Management Fund - accounts for the County's motor vehicle fleet operations.
- Telephone Fund - accounts for the County's telephone operations.
- Data Processing Fund - accounts for the County's data processing operations.
- Mail/Distribution Fund - accounts for the County's mail/distribution operations.
- Facilities Management Fund - accounts for the management of all County owned and leased property.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
INTERNAL SERVICE FUNDS
June 30, 1997
(amounts expressed in thousands)

	Insurance	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
ASSETS:							
Current assets:							
Cash and investments	\$ 18,829	\$ 2,399	\$ 820	\$ 4,021	\$ 7	\$ 1,010	\$ 27,086
Accounts receivable	1	73	52	36	2	236	400
Inventories		406			55		461
Prepaid items	40			191		28	259
Total current assets	18,870	2,878	872	4,248	64	1,274	28,206
Fixed assets (net of accumulated depreciation)	19	3,699	1,643	1,457	4	105	6,927
Total assets	<u>\$ 18,889</u>	<u>\$ 6,577</u>	<u>\$ 2,515</u>	<u>\$ 5,705</u>	<u>\$ 68</u>	<u>\$ 1,379</u>	<u>\$ 35,133</u>
LIABILITIES AND FUND EQUITY:							
Current liabilities:							
Accounts payable	\$ 8,607	\$ 151	\$ 196	\$ 499	\$ 6	\$ 727	\$ 10,186
Compensated absences	45	103	25	259	11	298	741
Deferred revenue						4	4
Capitalized leases - current			113	769			882
Total current liabilities	8,652	254	334	1,527	17	1,029	11,813
Noncurrent liabilities:							
Capitalized leases (net of current portion)			242	870			1,112
Total liabilities	8,652	254	576	2,397	17	1,029	12,925
Fund equity:							
Contributed capital	2	4,136	1,597	1,775	19	72	7,601
Retained earnings:							
Unreserved	10,235	2,187	342	1,533	32	278	14,607
Total fund equity	10,237	6,323	1,939	3,308	51	350	22,208
Total liabilities and fund equity	<u>\$ 18,889</u>	<u>\$ 6,577</u>	<u>\$ 2,515</u>	<u>\$ 5,705</u>	<u>\$ 68</u>	<u>\$ 1,379</u>	<u>\$ 35,133</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Insurance	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
OPERATING REVENUES:							
Charges for services	\$ 21,093	\$ 4,843	\$ 3,498	\$ 7,974	\$ 1,161	\$ 21,906	\$ 60,475
Insurance premiums	456						456
Experience rating and refunds	125						125
Total operating revenues	<u>21,674</u>	<u>4,843</u>	<u>3,498</u>	<u>7,974</u>	<u>1,161</u>	<u>21,906</u>	<u>61,056</u>
OPERATING EXPENSES:							
Salaries and wages	2,874	1,260	265	2,588	237	3,604	10,828
Employee benefits	296	383	84	748	76	1,064	2,651
Repairs and maintenance	3	146	1,192	356	3	892	2,592
Utilities						3,208	3,208
Equipment rental		3	50		2	3,216	3,271
Facility rental	164	285	71	168	10		698
Professional services	1,106	14	4	1,851	74	3,148	6,197
Communications	15	19	1,056	245	1	137	1,473
Operating supplies	36	913	19	257	667	1,408	3,300
Insurance claims and premiums	18,168					48	18,216
Internal support		35	123	20			178
Depreciation	16	1,261	647	1,026	3	61	3,014
Other	266	33	133	169	101	5,250	5,952
Total operating expenses	<u>22,944</u>	<u>4,352</u>	<u>3,644</u>	<u>7,428</u>	<u>1,174</u>	<u>22,036</u>	<u>61,578</u>
Operating income (loss)	<u>(1,270)</u>	<u>491</u>	<u>(146)</u>	<u>546</u>	<u>(13)</u>	<u>(130)</u>	<u>(522)</u>
NONOPERATING REVENUES (EXPENSES):							
Interest revenue	1,004	97	37	137			1,275
Interest expense			(19)	(79)			(98)
Loss on disposal of fixed assets	(2)	(13)		(558)		(261)	(834)
Total nonoperating revenues (expenses)	<u>1,002</u>	<u>84</u>	<u>18</u>	<u>(500)</u>		<u>(261)</u>	<u>343</u>
Net income (loss) before operating transfers	<u>(268)</u>	<u>575</u>	<u>(128)</u>	<u>46</u>	<u>(13)</u>	<u>(391)</u>	<u>(179)</u>
OPERATING TRANSFERS IN				1,403	1	1	1,405
OPERATING TRANSFERS OUT				(79)		(184)	(263)
Net income (loss)	<u>(268)</u>	<u>575</u>	<u>(128)</u>	<u>1,370</u>	<u>(12)</u>	<u>(574)</u>	<u>963</u>
RETAINED EARNINGS, JUNE 30, 1996	<u>10,503</u>	<u>1,612</u>	<u>470</u>	<u>163</u>	<u>44</u>	<u>852</u>	<u>13,644</u>
RETAINED EARNINGS, JUNE 30, 1997	<u>\$ 10,235</u>	<u>\$ 2,187</u>	<u>\$ 342</u>	<u>\$ 1,533</u>	<u>\$ 32</u>	<u>\$ 278</u>	<u>\$ 14,607</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CASH FLOWS
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Insurance	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
CASH FLOWS FROM OPERATING ACTIVITIES:							
Operating income (loss)	\$ (1,270)	\$ 491	\$ (146)	\$ 546	\$ (13)	\$ (130)	\$ (522)
Adjustments to reconcile operating income (loss) to cash and cash equivalents provided (used) by operating activities:							
Depreciation	16	1,261	647	1,026	3	61	3,014
Changes in assets and liabilities:							
(Increase) decrease in accounts receivable	2	(15)	23	(13)	(2)	(29)	(34)
Decrease in due from other funds	1,736						1,736
Increase in inventories		(44)			(19)		(63)
(Increase) decrease in prepaid items	(3)			28		(2)	23
Increase (decrease) in accounts payable	1,200	(197)	(298)	357	2	(342)	722
Decrease in deferred revenue						(2)	(2)
Increase (decrease) in compensated absences	(20)	27	(3)	(17)	3	93	83
Increase in capitalized leases				249			249
Net cash provided (used) by operating activities	1,661	1,523	223	2,176	(26)	(351)	5,206
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:							
Operating transfers in				1,403	1	1	1,405
Operating transfers out				(79)		(184)	(263)
Net cash provided (used) by noncapital financing activities				1,324	1	(183)	1,142
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:							
Acquisition of fixed assets		(1,606)	(534)	(1,205)		(298)	(3,643)
Principal paid on capitalized leases			(107)	(677)			(784)
Interest paid on capitalized leases			(19)	(79)			(98)
Capital contributed by customers and others		293	4	39			336
Net cash used by capital and related financing activities		(1,313)	(656)	(1,922)		(298)	(4,189)
CASH FLOWS FROM INVESTING ACTIVITIES:							
Interest on investments	1,004	97	37	137			1,275
Net cash provided by investing activities	1,004	97	37	137			1,275
Net increase (decrease) in cash and cash equivalents	2,665	307	(396)	1,715	(25)	(832)	3,434
CASH AND CASH EQUIVALENTS, JUNE 30, 1996	16,164	2,092	1,216	2,306	32	1,842	23,652
CASH AND CASH EQUIVALENTS, JUNE 30, 1997	<u>\$ 18,829</u>	<u>\$ 2,399</u>	<u>\$ 820</u>	<u>\$ 4,021</u>	<u>\$ 7</u>	<u>\$ 1,010</u>	<u>\$ 27,086</u>
NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:							
Disposal of fixed assets, net book value	\$ (2)	\$ (13)	\$	\$ (558)	\$	\$ (261)	\$ (834)
Transfer of fixed assets from General Fixed Assets Account Group, net book value	\$	\$ 168	\$ 4	\$ 39	\$	\$	\$ 211

A capital lease obligation of \$299 was incurred when the Data Processing Fund entered into a lease for printer equipment.

MULTNOMAH COUNTY, OREGON
COMBINING SCHEDULE OF CHANGES IN CONTRIBUTED CAPITAL
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Insurance</u>	<u>Fleet Management</u>	<u>Telephone</u>	<u>Data Processing</u>	<u>Mail/ Distribution</u>	<u>Facilities Management</u>	<u>Total</u>
CONTRIBUTED CAPITAL, JUNE 30, 1996	\$ <u>2</u>	\$ <u>3,843</u>	\$ <u>1,593</u>	\$ <u>1,736</u>	\$ <u>19</u>	\$ <u>72</u>	\$ <u>7,265</u>
CONTRIBUTIONS:							
Transfer of fixed assets from General Fixed Assets Account Group at estimated market value on date of transfer		168	4	39			211
Transfer of fixed assets from Facilities Management Fund, an Internal Service Fund		125					125
CONTRIBUTED CAPITAL, JUNE 30, 1997	\$ <u><u>2</u></u>	\$ <u><u>4,136</u></u>	\$ <u><u>1,597</u></u>	\$ <u><u>1,775</u></u>	\$ <u><u>19</u></u>	\$ <u><u>72</u></u>	\$ <u><u>7,601</u></u>

MULTNOMAH COUNTY, OREGON
INSURANCE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental - State	\$ 50	\$ 50	\$
Charges for services	12	17	5
Interest		1,004	1,004
Other:			
Service reimbursements	23,632	21,026	(2,606)
Premiums		456	456
Experience ratings and miscellaneous	74	125	51
Total revenues	23,768	22,678	(1,090)
 BEGINNING FUND BALANCE	 7,439	 10,468	 3,029
Total	\$ 31,207	33,146	1,939
 EXPENDITURES:			
Support Services	\$ 30,441	22,168	8,273
Nondepartmental	766	760	6
Total expenditures	\$ 31,207	22,928	8,279
 ENDING FUND BALANCE		\$ 10,218	\$ 10,218

MULTNOMAH COUNTY, OREGON
FLEET MANAGEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental:			
Federal	\$	\$ 11	\$ 11
State	26	6	(20)
Local	299	300	1
Charges for services	44	83	39
Interest	70	97	27
Other:			
Miscellaneous	20	103	83
Service reimbursements	4,596	4,339	(257)
Total revenues	5,055	4,939	(116)
BEGINNING FUND BALANCE	1,759	2,088	329
Total	\$ 6,814	7,027	213
EXPENDITURES:			
Environmental Services	\$ 6,814	4,404	2,410
ENDING FUND BALANCE		\$ 2,623	\$ 2,623

MULTNOMAH COUNTY, OREGON
TELEPHONE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 1,016	\$ 407	\$ (609)
Interest	33	37	4
Other - Service reimbursements	2,487	3,090	603
Total revenues	<u>3,536</u>	<u>3,534</u>	<u>(2)</u>
BEGINNING FUND BALANCE	601	769	168
Total	<u>\$ 4,137</u>	<u>4,303</u>	<u>166</u>
EXPENDITURES:			
Support Services	<u>\$ 4,137</u>	3,653	484
ENDING FUND BALANCE		<u>\$ 650</u>	<u>\$ 650</u>

MULTNOMAH COUNTY, OREGON
DATA PROCESSING FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 240	\$ 223	\$ (17)
Interest	62	137	75
Other - Service reimbursements	<u>7,868</u>	<u>7,751</u>	<u>(117)</u>
Total revenues	8,170	8,111	(59)
OTHER FINANCING SOURCE:			
Transfer from General Fund	1,403	1,403	
BEGINNING FUND BALANCE	899	2,130	1,231
Total	<u>\$ 10,472</u>	<u>11,644</u>	<u>1,172</u>
EXPENDITURES:			
Support Services	<u>\$ 10,472</u>	8,154	2,318
ENDING FUND BALANCE		<u>\$ 3,490</u>	<u>\$ 3,490</u>

MULTNOMAH COUNTY, OREGON
MAIL/DISTRIBUTION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - Local	\$	\$ 4	\$ 4
Other - Service reimbursements	1,224	1,156	(68)
Total revenues	<u>1,224</u>	<u>1,160</u>	<u>(64)</u>
OTHER FINANCING SOURCES:			
Certificates of participation proceeds	32		(32)
Transfer from General Fund	1	1	
Total other financing sources	<u>33</u>	<u>1</u>	<u>(32)</u>
BEGINNING FUND BALANCE	116	56	(60)
Total	<u>\$ 1,373</u>	<u>1,217</u>	<u>(156)</u>
EXPENDITURES:			
Environmental Services	\$ 1,373	1,170	203
ENDING FUND BALANCE		<u>\$ 47</u>	<u>\$ 47</u>

MULTNOMAH COUNTY, OREGON
FACILITIES MANAGEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 2,495	\$ 823	\$ 823
Charges for services	70	1,106	(1,389)
Interest			(70)
Other:			
Miscellaneous	1	2	1
Service reimbursements	21,028	19,975	(1,053)
Total revenues	<u>23,594</u>	<u>21,906</u>	<u>(1,688)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	1	1	
BEGINNING FUND BALANCE	1,147	795	(352)
Total	<u>\$ 24,742</u>	<u>22,702</u>	<u>(2,040)</u>
EXPENDITURES:			
Environmental Services	\$ 23,192	22,273	919
OTHER FINANCING USE:			
Transfer to Capital Improvement Fund	1,550	184	1,366
Total	<u>\$ 24,742</u>	<u>22,457</u>	<u>2,285</u>
ENDING FUND BALANCE		<u>\$ 245</u>	<u>\$ 245</u>

TRUST AND AGENCY FUNDS

These funds account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund. The modified accrual basis of accounting is used to record transactions in the agency funds. The accrual basis of accounting is used in the Library Retirement Fund (Pension Trust). The funds included are:

- Sundry Taxing Bodies Fund - accounts for the collection of property taxes for all governmental entities located in Multnomah County and the disbursement of the collections to such entities.
- Clearing Fund - accounts for Multnomah County checks outstanding, accrued payroll and payroll deductions payable.
- Department and Offices Agency Fund - accounts for the collection and disbursement of various monies held by Multnomah County in a fiduciary capacity.
- Deferred Compensation Fund - accounts for voluntary withholdings from employee's wages on which income taxes are deferred until the time of withdrawal.
- Public Guardian Fund - accounts for receipts and disbursements for individuals who are not capable of handling their own financial affairs.
- Library Retirement Fund - this pension trust fund provides pension benefits for former employees of the Library Association of Portland.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
ALL FIDUCIARY FUND TYPES
June 30, 1997
(amounts expressed in thousands)

	Agency Funds	Pension Trust Fund	Total
ASSETS:			
Cash and investments	\$ 92,239	\$ 10,544	\$ 102,783
Receivables:			
Property taxes	29,053		29,053
Accounts	237		237
Total assets	<u>\$ 121,529</u>	<u>\$ 10,544</u>	<u>\$ 132,073</u>
LIABILITIES AND FUND BALANCE:			
Liabilities:			
Payrolls payable	\$ 13,017	\$	\$ 13,017
Accounts payable	6,305		6,305
Due to other governmental units	29,053		29,053
Amounts held in trust	12,974		12,974
Deferred compensation	60,180		60,180
Total liabilities	<u>121,529</u>	<u></u>	<u>121,529</u>
Fund balance:			
Reserved for employees' retirement benefits		10,544	10,544
Total liabilities and fund balances	<u>\$ 121,529</u>	<u>\$ 10,544</u>	<u>\$ 132,073</u>

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
AGENCY FUNDS
June 30, 1997
(amounts expressed in thousands)

	Sundry Taxing Bodies	Clearing	Department & Offices Agency	Deferred Compensation	Public Guardian	Total
ASSETS:						
Cash and investments	\$ 4,144	\$ 18,969	\$ 8,151	\$ 60,180	\$ 795	\$ 92,239
Receivables:						
Property taxes	29,053					29,053
Accounts	237					237
Total assets	<u>\$ 33,434</u>	<u>\$ 18,969</u>	<u>\$ 8,151</u>	<u>\$ 60,180</u>	<u>\$ 795</u>	<u>\$ 121,529</u>
LIABILITIES:						
Payrolls payable	\$	\$ 13,017	\$	\$	\$	\$ 13,017
Accounts payable		5,952	353			6,305
Due to other governmental units	29,053					29,053
Amounts held in trust	4,381		7,798		795	12,974
Deferred compensation				60,180		60,180
Total liabilities	<u>\$ 33,434</u>	<u>\$ 18,969</u>	<u>\$ 8,151</u>	<u>\$ 60,180</u>	<u>\$ 795</u>	<u>\$ 121,529</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES
AGENCY FUNDS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Balance June 30, 1996	Additions	Deletions	Balance June 30, 1997
SUNDRY TAXING BODIES FUND:				
Assets:				
Cash and investments	\$ 3,926	\$ 690,551	\$ 690,333	\$ 4,144
Property taxes receivable	29,350	520,736	521,033	29,053
Accounts receivable	396	237	396	237
Total assets	\$ 33,672	\$ 1,211,524	\$ 1,211,762	\$ 33,434
Liabilities:				
Accounts payable	\$	\$ 4,999	\$ 4,999	\$
Due to other governmental units	29,350	501,421	501,718	29,053
Amounts held in trust	4,322	690,392	690,333	4,381
Total liabilities	\$ 33,672	\$ 1,196,812	\$ 1,197,050	\$ 33,434
CLEARING FUND:				
Assets:				
Cash and investments	\$ 13,639	\$ 8,070,228	\$ 8,064,898	\$ 18,969
Liabilities:				
Payrolls payable	\$ 10,320	\$ 418,011	\$ 415,314	\$ 13,017
Accounts payable	3,319	2,983,453	2,980,820	5,952
Interest payable		12,483	12,483	
Amounts held in trust		1,405	1,405	
Total liabilities	\$ 13,639	\$ 3,415,352	\$ 3,410,022	\$ 18,969
DEPARTMENT AND OFFICES AGENCY FUND:				
Assets:				
Cash and investments	\$ 8,075	\$ 712,314	\$ 712,238	\$ 8,151
Accounts receivable		17	17	
Total assets	\$ 8,075	\$ 712,331	\$ 712,255	\$ 8,151
Liabilities:				
Accounts payable	\$ 617	\$ 11,697	\$ 11,961	\$ 353
Amounts held in trust	7,458	699,332	698,992	7,798
Total liabilities	\$ 8,075	\$ 711,029	\$ 710,953	\$ 8,151
DEFERRED COMPENSATION FUND:				
Assets:				
Cash and investments	\$ 47,769	\$ 12,411		\$ 60,180
Liabilities:				
Deferred compensation	\$ 47,769	\$ 12,411		\$ 60,180
PUBLIC GUARDIAN FUND:				
Assets:				
Cash and investments	\$ 846	\$ 1,271	\$ 1,322	\$ 795
Liabilities:				
Amounts held in trust	\$ 846	\$ 1,271	\$ 1,322	\$ 795
TOTAL - ALL AGENCY FUNDS:				
Assets:				
Cash and investments	\$ 74,255	\$ 9,486,775	\$ 9,468,791	\$ 92,239
Property taxes receivable	29,350	520,736	521,033	29,053
Accounts receivable	396	254	413	237
Total assets	\$ 104,001	\$ 10,007,765	\$ 9,990,237	\$ 121,529
Liabilities:				
Payrolls payable	\$ 10,320	\$ 418,011	\$ 415,314	\$ 13,017
Accounts payable	3,936	3,000,149	2,997,780	6,305
Interest payable		12,483	12,483	
Due to other governmental units	29,350	501,421	501,718	29,053
Amounts held in trust	12,626	1,392,400	1,392,052	12,974
Deferred compensation	47,769	12,411		60,180
Total liabilities	\$ 104,001	\$ 5,336,875	\$ 5,319,347	\$ 121,529

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GENERAL FIXED ASSETS ACCOUNT GROUP

The General Fixed Assets Account Group is a self-balancing group of accounts used to record the fixed assets of the County except for the assets recorded in the Enterprise and Internal Service funds. Expenditures for the acquisition of general fixed assets are recorded in the various governmental fund types; the costs of such assets are capitalized in this account group. As fixed assets are disposed, the original cost or estimated original cost, is removed from this account group; any receipt from the sale of general fixed assets is accounted for as revenue in the appropriate fund depending on the original funding source.

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL FIXED ASSETS
June 30, 1997
(amounts expressed in thousands)

GENERAL FIXED ASSETS:	
Land and land improvements	\$ 6,665
Buildings and improvements	187,485
Parksites	261
Work in progress	157
Bridges	34,975
Equipment	25,071
Total general fixed assets	\$ <u>254,614</u>
Investments in general fixed assets:	
Balance July 1, 1996	\$ <u>239,671</u>
Additions provided by:	
General Fund	19,298
Road Fund	223
Assessment and Taxation Fund	166
Federal and State Program Fund	635
Corner Preservation Fund	22
Willamette River Bridges Fund	33
Library Fund	441
Justice Services Special Operations Fund	7
Public Safety Levy Fund	34
Justice Bond Project Fund	3
Lease/Purchase Project Fund	2,260
Library Construction/1993 Bonds Fund	1,269
Library Construction/1996 Bonds Fund	716
Contributions from the Insurance Fund	12
Contributions from the Data Processing Fund	551
Total additions	<u>25,670</u>
Deductions:	
Retirements	(10,727)
Total investment in general fixed assets	\$ <u>254,614</u>
Reconciliation:	
Total capital outlay	\$ <u>33,716</u>
Contributions to the Fleet Management Fund	(163)
Contributions to the Telephone Fund	(4)
Contributions to the Data Processing Fund	(40)
Repairs and other general maintenance	(282)
Roads and bridge maintenance	(7,514)
Operating supplies	(694)
	<u>(8,697)</u>
Additional items capitalized	651
Total general fixed asset additions	\$ <u>25,670</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL FIXED ASSETS
BY FUNCTION AND ACTIVITY
June 30, 1997
(amounts expressed in thousands)

	Land and land improvements	Buildings and improvements	Parksites	Work in progress	Bridges	Equipment	Total
FUNCTION AND ACTIVITY:							
General government:							
Legislative	\$ 1	\$ 2,342	\$	\$		\$ 132	\$ 2,475
Administrative	101	4,933				3,573	8,607
Sub-total	<u>102</u>	<u>7,275</u>				<u>3,705</u>	<u>11,082</u>
Health and social services:							
Health	1,292	11,147				2,025	14,464
Social	152	26,617				2,459	29,228
Sub-total	<u>1,444</u>	<u>37,764</u>				<u>4,484</u>	<u>43,692</u>
Public safety:							
Law enforcement	1,666	64,951		157		6,330	73,104
Justice	48	3,615				672	4,335
Sub-total	<u>1,714</u>	<u>68,566</u>		<u>157</u>		<u>7,002</u>	<u>77,439</u>
Community services:							
Community service development	145	1,235				165	1,545
Recreation		77	261			11	349
Library	1,655	33,292				7,706	42,653
Sub-total	<u>1,800</u>	<u>34,604</u>	<u>261</u>			<u>7,882</u>	<u>44,547</u>
Roads and bridges:							
Roads and bridges	383				34,975	1,998	37,356
Bridge shops	39	330					369
Road shops	65	5,439					5,504
Administrative		334					334
Sub-total	<u>487</u>	<u>6,103</u>			<u>34,975</u>	<u>1,998</u>	<u>43,563</u>
External organizations:							
External use	1,118	33,173					34,291
Total general fixed assets	<u>\$ 6,665</u>	<u>\$ 187,485</u>	<u>\$ 261</u>	<u>\$ 157</u>	<u>\$ 34,975</u>	<u>\$ 25,071</u>	<u>\$ 254,614</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF CHANGES IN GENERAL FIXED ASSETS
BY FUNCTION AND ACTIVITY
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	General fixed assets June 30, 1996	Additions	Deductions and reclassifications	General fixed assets June 30, 1997
FUNCTION AND ACTIVITY:				
General government:				
Legislative	\$ 2,477	\$ 24	\$ (26)	\$ 2,475
Administrative	7,473	1,507	(373)	8,607
Sub-total	<u>9,950</u>	<u>1,531</u>	<u>(399)</u>	<u>11,082</u>
Health and social services:				
Health	10,503	2,130	1,831	14,464
Social	28,018	1,206	4	29,228
Sub-total	<u>38,521</u>	<u>3,336</u>	<u>1,835</u>	<u>43,692</u>
Public safety:				
Law enforcement	66,002	7,129	(27)	73,104
Justice	4,006	331	(2)	4,335
Sub-total	<u>70,008</u>	<u>7,460</u>	<u>(29)</u>	<u>77,439</u>
Community services:				
Community service development	1,883	53	(391)	1,545
Recreation	9,592		(9,243)	349
Library	32,766	10,082	(195)	42,653
Sub-total	<u>44,241</u>	<u>10,135</u>	<u>(9,829)</u>	<u>44,547</u>
Roads and bridges:				
Roads and bridges	36,924	1,054	(622)	37,356
Bridge shops	369			369
Road shops	5,363		141	5,504
Administrative	276		58	334
Sub-total	<u>42,932</u>	<u>1,054</u>	<u>(423)</u>	<u>43,563</u>
External organizations:				
External use	34,019	2,154	(1,882)	34,291
Total general fixed assets	<u>\$ 239,671</u>	<u>\$ 25,670</u>	<u>\$ (10,727)</u>	<u>\$ 254,614</u>

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OTHER SCHEDULES

- * Schedule of Property Tax Collections and Outstanding Balances
- * Schedule of Bonds and Bond Interest Coupon Transactions
- * Schedule of Bonds Outstanding
- * Schedule of Loans Outstanding
- * Schedule of Capitalized Lease Purchases Outstanding
- * Schedule of Receipts and Deposits - Elected Officials

MULTNOMAH COUNTY, OREGON
SCHEDULE OF PROPERTY TAX COLLECTIONS
AND OUTSTANDING BALANCES
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

Tax Year	Taxes receivable June 30, 1996	Current levy	Add (deduct) corrections and adjustments	Add interest on delinquent taxes	Deduct discounts allowed	Deduct collections including interest on delinquent taxes	Taxes receivable June 30, 1997
1996-97	\$	\$ 653,822	\$ 1,201	\$ 273	\$ (15,510)	\$ (618,562)	\$ 21,224
1995-96	18,740		(742)	468		(10,664)	7,802
1994-95	8,778		(228)	584		(4,150)	4,984
1993-94 and prior	10,009		(905)	1,682		(6,796)	3,990
	<u>\$ 37,527</u>	<u>\$ 653,822</u>	<u>\$ (674)</u>	<u>\$ 3,007</u>	<u>\$ (15,510)</u>	<u>\$ (640,172)</u>	<u>\$ 38,000</u>

SUMMARY OF TAXES RECEIVABLE AT JUNE 30, 1997:

	Current levy	Prior years' levy	Total
General Fund	\$ 3,530	\$ 2,788	\$ 6,318
Library Fund	495	339	834
Public Safety Levy Fund	862	437	1,299
Library Bond Sinking Fund/1993 Bonds	78	68	146
Library Bond Sinking Fund/1996 Bonds	86		86
Public Safety Bond Sinking Fund	240		240
Sundry Taxing Bodies Fund	15,921	13,132	29,053
Sub-total taxes receivable	21,212	16,764	37,976
Special assessments collected through taxes	12	12	24
Total receivables	<u>\$ 21,224</u>	<u>\$ 16,776</u>	<u>\$ 38,000</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF BONDS AND BOND INTEREST COUPON TRANSACTIONS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS:

	Outstanding June 30, 1996		1996-97 Transactions			Outstanding June 30, 1997	
	Matured	Unmatured	Issued	Matured	Paid	Matured	Unmatured
Dated March 1, 1994		\$ 19,975	\$	\$ 760	\$ 760		\$ 19,215
Dated October 1, 1994		8,735		275	275		8,460
Dated October 1, 1996			108,700				108,700
	\$ 28,710		\$ 108,700	\$ 1,035	\$ 1,035	\$	\$ 136,375

GENERAL OBLIGATION BOND INTEREST COUPONS:

Dated March 1, 1994	\$ 979	\$ 979
Dated October 1, 1994	495	495
Dated October 1, 1996	1,437	1,437
	\$ 2,911	\$ 2,911

MULTNOMAH COUNTY, OREGON
SCHEDULE OF BONDS OUTSTANDING
June 30, 1997
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS:

Fiscal Year of maturity	Series 1994 Dated 03/01/94 3.70 to 5.25%		Series 1994B Dated 10/01/94 4.25 to 6.00%		Series 1996A Dated 10/01/96 3.90 to 5.65%		Series 1996B Dated 10/01/96 3.90 to 5.65%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
1998	\$ 785	\$ 923	\$ 285	\$ 471	\$ 3,255	\$ 1,346	\$ 3,580	\$ 4,000	\$ 7,905	\$ 6,740
1999	820	891	300	458	3,390	1,180	3,725	3,818	8,235	6,347
2000	855	856	315	443	3,535	1,007	3,885	3,627	8,590	5,933
2001	890	819	330	427	3,695	821	4,060	3,423	8,975	5,490
2002	930	778	350	410	3,865	657	4,250	3,243	9,395	5,088
2003	975	735	365	391	510	560	2,735	3,088	4,585	4,774
2004	1,020	688	385	371	535	536	2,870	2,960	4,810	4,555
2005	1,070	639	410	350	565	511	3,010	2,823	5,055	4,323
2006	1,125	586	430	326	590	483	3,160	2,677	5,305	4,072
2007	1,180	528	460	302	620	454	3,320	2,519	5,580	3,803
2008	1,245	467	485	275	655	422	3,495	2,351	5,880	3,515
2009	1,310	401	515	246	690	389	3,680	2,171	6,195	3,207
2010	1,380	332	545	215	725	353	3,885	1,980	6,535	2,880
2011	1,455	257	580	182	765	314	4,100	1,770	6,900	2,523
2012	1,530	179	615	146	810	273	4,325	1,542	7,280	2,140
2013	1,290	105	655	108	855	229	4,570	1,300	7,370	1,742
2014	1,355	36	695	66	905	183	4,830	1,041	7,785	1,326
2015			740	22	955	134	5,105	765	6,800	921
2016					1,010	83	5,400	471	6,410	554
2017					1,070	28	5,715	160	6,785	188
	<u>\$ 19,215</u>	<u>\$ 9,220</u>	<u>\$ 8,460</u>	<u>\$ 5,209</u>	<u>\$ 29,000</u>	<u>\$ 9,963</u>	<u>\$ 79,700</u>	<u>\$ 45,729</u>	<u>\$ 136,375</u>	<u>\$ 70,121</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF LOANS OUTSTANDING
June 30, 1997
(amounts expressed in thousands)

GENERAL LONG-TERM LOANS:

Fiscal Year of maturity	Dated 02/15/96		Dated 07/05/96		Dated 07/05/96		Dated 10/01/96		Dated 12/01/96	
	7.2%		5.65%		5.65%		7.2%		7.2%	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
1998	\$ 22	\$ 36	\$ 1	\$ 1	\$ 1	\$ 1	\$ 7	\$ 6	\$ 3	\$ 2
1999	24	34	1	1	1	1	7	5	3	2
2000	25	32	1	1	1	1	7	5	3	2
2001	27	30	1	1	1	1	8	4	3	2
2002	29	28	1	1	1	1	9	4	3	1
2003	31	26	1	1	1	1	9	3	4	1
2004	34	24	1	1	1	1	9	2	4	
2005	36	21	1	1	1	1	11	1	4	
2006	39	19	1	1	1	1	11	1	4	
2007	42	16	1	1	1	1	3		2	
2008	45	13	1	1	1					
2009	48	9	1	1	1					
2010	52	6	1		1					
2011	52	3	1		1					
2012			1		1					
2013			1		1					
2014			2		1					
2015			2		1					
2016			2		1					
	<u>\$ 506</u>	<u>\$ 297</u>	<u>\$ 22</u>	<u>\$ 12</u>	<u>\$ 19</u>	<u>\$ 10</u>	<u>\$ 81</u>	<u>\$ 31</u>	<u>\$ 33</u>	<u>\$ 10</u>

Dated 01/15/97			
5.9%		Total	
Principal	Interest	Principal	Interest
\$ 4	\$ 4	\$ 38	\$ 50
4	3	40	46
5	3	42	44
5	3	45	41
5	2	48	37
6	2	52	34
7	1	56	29
7	1	60	25
7	1	63	23
4		53	18
		47	14
		50	10
		54	6
		54	3
		2	
		2	
		3	
		3	
		3	
<u>\$ 54</u>	<u>\$ 20</u>	<u>\$ 715</u>	<u>\$ 380</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF CAPITALIZED LEASE PURCHASES OUTSTANDING
June 30, 1997
(amounts expressed in thousands)

GENERAL LONG-TERM LEASE OBLIGATIONS:

Fiscal Year of maturity	Dated 06/01/96 3.75 to 4.60%		Dated 01/09/96 6.017%		Dated 03/01/95 4.5 to 4.85%		Dated 02/01/94 4.5 to 4.75%		Dated 05/01/93 2.75% to 7.50%	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
1998	\$ 350	\$ 58	\$ 63	\$ 11	\$ 301	\$ 38	\$ 92	\$ 9	\$ 845	\$ 827
1999	365	44	67	8	313	23	97	4	875	790
2000	385	27	70	4	329	8			915	750
2001	405	9							955	705
2002									1,005	656
2003									1,045	605
2004									1,100	550
2005									1,160	491
2006									1,215	428
2007									1,275	361
2008									1,345	289
2009									1,415	213
2010									1,120	141
2011									730	87
2012									350	55
2013									370	34
2014									390	11
	<u>\$ 1,505</u>	<u>\$ 138</u>	<u>\$ 200</u>	<u>\$ 23</u>	<u>\$ 943</u>	<u>\$ 69</u>	<u>\$ 189</u>	<u>\$ 13</u>	<u>\$ 16,110</u>	<u>\$ 6,993</u>

TELEPHONE CAPITALIZED LEASE OBLIGATIONS:

Fiscal Year of maturity	Dated 03/01/95 4.25 to 4.85%	
	Principal	Interest
1998	\$ 113	\$ 14
1999	118	9
2000	124	3
	<u>\$ 355</u>	<u>\$ 26</u>

DATA PROCESSING CAPITALIZED LEASE OBLIGATIONS:

Fiscal Year of maturity	Dated 09/01/97 0%		Dated 03/21/96 4.20 to 4.55%		Dated 03/01/95 4.25 to 4.85%		Dated 06/15/93 5.35%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
1998	\$ 60	\$	\$ 600	\$ 37	\$ 76	\$ 9	\$ 33	\$ 2	\$ 769	\$ 48
1999	60		520	11	79	6			659	17
2000	60				82	2			142	2
2001	60								60	
2002	9								9	
	<u>\$ 249</u>	<u>\$</u>	<u>\$ 1,120</u>	<u>\$ 48</u>	<u>\$ 237</u>	<u>\$ 17</u>	<u>\$ 33</u>	<u>\$ 2</u>	<u>\$ 1,639</u>	<u>\$ 67</u>

Dated 08/01/92		Dated 07/01/90		Dated 01/22/81		Total	
2.9% to 5.9%		6.00% to 6.80%		6.00% to 7.25%			
Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
\$ 1,260	\$ 1,771	\$ 50	\$ 13	\$ 134	\$ 198	\$ 3,095	\$ 2,925
1,325	1,709	50	9	146	186	3,238	2,773
1,390	1,641	55	6	159	174	3,303	2,610
1,465	1,566	60	2	172	160	3,057	2,442
1,545	1,486			186	145	2,736	2,287
1,635	1,398			202	129	2,882	2,132
1,730	1,303			220	112	3,050	1,965
1,830	1,201			239	93	3,229	1,785
1,940	1,092			259	73	3,414	1,593
2,055	976			281	50	3,611	1,387
2,180	851			306	26	3,831	1,166
2,315	717					3,730	930
2,460	574					3,580	715
2,610	421					3,340	508
2,775	260					3,125	315
2,945	88					3,315	122
						390	11
<u>\$ 31,460</u>	<u>\$ 17,054</u>	<u>\$ 215</u>	<u>\$ 30</u>	<u>\$ 2,304</u>	<u>\$ 1,346</u>	<u>\$ 52,926</u>	<u>\$ 25,666</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF RECEIPTS AND DEPOSITS - ELECTED OFFICIALS
For the fiscal year ended June 30, 1997
(amounts expressed in thousands)

	Receipts deposited with Treasurer
Sheriff's Office	\$ 11,363
Others	
Total	<u>\$ 11,363</u>

Summary of receipts: Civil process serving fees, record sales, patrolling contracts, room and board for prisoners from other agencies and miscellaneous reimbursements.

STATISTICAL SECTION

This section contains the following tables:

- * General Governmental Expenditures by Function
- * General Governmental Revenues by Source
- * General Governmental Tax Revenues by Source
- * Property Tax Levies and Collections
- * Assessed Value of Taxable Property
- * Consolidated Tax Rates
- * Consolidated Tax Levies
- * Principal Taxpayers
- * Special Assessment Billings and Collections
- * Computation of Legal Debt Margin
- * Construction, Property Value, and Bank Deposits
- * Ratio of Net General Obligation Bonded Debt to Assessed Value and Net General Obligation Bonded Debt per Capita
- * Ratio of Annual Debt Service Expenditures for General Obligation Bonded Debt to Total General Governmental Expenditures
- * Computation of Direct and Overlapping Debt
- * Insurance In Force
- * Population, Per Capita Income and Unemployment
- * Major Employers in Metropolitan Area
- * Miscellaneous Statistical Data

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL EXPENDITURES BY FUNCTION (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	General government	Health and social services	Public safety and justice	Community services	Roads and bridges	Capital outlay	Debt service	Total
1988	\$ 23,569	\$ 59,561	\$ 41,500	\$ 26,959	\$ 18,162	\$ 6,755	\$ 10,079 (2)	\$ 186,585
1989	27,535	74,212	49,314	25,128	21,101	14,799	11,859 (2)	223,948
1990	28,771	84,813	54,317	26,668	24,246	17,224	3,175	239,214
1991	33,957	104,862	57,669	30,290	24,120	16,587	4,677	272,162
1992	31,503	116,629	71,167	30,481	25,613	7,164	4,286	286,843
1993	43,612	133,653	76,324	26,315	27,323	14,872	5,146	327,245
1994	45,648	147,372	82,938	27,481	28,220	25,081	4,472	361,212
1995	36,108	165,239	93,059	32,765	30,200	24,539	9,849	391,759
1996	35,086	181,526	96,519	35,707	32,596	33,069	8,648	423,151
1997	28,298	190,540	113,263	56,913	34,052	33,717	18,363	475,146

(1) All Governmental Fund Types (Budgetary basis)

(2) Includes previously non-budgeted tax anticipation notes

Source: Current and prior years' financial statements.

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL REVENUES BY SOURCE (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Taxes	Intergovernmental	Licenses and permits	Charges for services	Interest	Special assessments	Other	Total
1988	\$ 99,587	\$ 61,089	\$ 1,790	\$ 6,468	\$ 3,482	\$ 470	\$ 7,708	\$ 180,594
1989	108,880	76,023	6,363	7,405	4,686	351	10,490	214,198
1990	114,806	85,820	1,788	8,269	4,628	321	12,297	227,929
1991	128,642	106,962	2,139	9,307	3,940	155	20,146	271,291
1992	127,545	124,711	2,566	10,023	2,943	47	17,952	285,787
1993	142,569	134,774	2,833	10,763	3,468	45	23,846	318,298
1994	151,617	149,009	2,591	11,280	4,143	21	29,975	348,636
1995	171,068	162,133	2,683	8,880	5,676		28,549 (2)	378,989
1996	185,008	172,031	2,703	12,864	5,476		29,888 (3)	407,970
1997	217,295	184,697	2,751	14,882	9,691		37,244 (4)	466,560

(1) All Governmental Fund Types (Budgetary basis)

(2) Includes \$12 of special assessments revenue.

(3) Includes \$7 of special assessments revenue.

(4) Includes \$14 of special assessments revenue.

Source: Current and prior years' financial statements.

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL TAX REVENUES BY SOURCE (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

<u>Fiscal Year</u>	<u>Property</u>	<u>Transient lodging</u>	<u>Business income</u>	<u>Gasoline</u>	<u>Motor vehicle rental</u>	<u>Other</u>	<u>Total</u>
1988	\$ 74,373	\$ 2,415	\$ 10,600	\$ 7,103	\$ 3,557	\$ 1,539	\$ 82,864
1989	79,051	2,695	14,600	7,172	4,011	1,351	108,880
1990	82,366	3,699	13,740	6,945	5,386	2,670	114,806
1991	97,881	3,278	14,440	6,616	4,814	1,613	128,642
1992	89,789	3,466	19,920	7,023	4,978	2,369	127,545
1993	99,609	3,962	23,872	6,854	5,926	2,346	142,569
1994	110,239	3,553	22,912	6,926	6,389	1,598	151,617
1995	122,259	4,538	27,048	7,838	7,410	1,975	171,068
1996	131,620	5,032	30,040	7,770	8,694	1,852	185,008
1997	158,877	6,162	33,255	7,411	9,589	2,001	217,295

(1) All Governmental Fund Types (Budgetary basis)

Source: Current and prior years' financial statements.

MULTNOMAH COUNTY, OREGON
PROPERTY TAX LEVIES AND COLLECTIONS (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Tax levy	Current collections	Collections as a % of levy	Prior year collections	Total collections	Total collections as a % of levy	Uncollected taxes	Uncollected taxes as a % of levy
1988	\$ 76,598	\$ 70,522	92.07 %	\$ 3,851	\$ 74,373	97.10 %	\$ 8,988	11.73 %
1989	80,476	74,063	92.03	4,988	79,051	98.23	9,947	12.36
1990	84,647	78,422	92.65	3,944	82,366	97.31	12,228	14.45
1991	100,605	93,536	92.97	4,345	97,881	97.29	11,282	11.21
1992 (2)	91,957	84,838	92.26	4,951	89,789	97.64	10,893	11.85
1993	101,479	95,001	93.62	4,608	99,609	98.16	10,481	10.33
1994	110,598	105,430	95.33	4,809	110,239	99.68	10,035	9.07
1995	123,672	117,051	94.65	5,208	122,259	98.86	8,258	6.68
1996	135,104	128,020	94.76	3,600	131,620	97.42	8,152	6.03
1997	162,985	154,723	94.93	4,155	158,878	97.48	8,924	5.48

(1) All Governmental Fund Types (Budgetary basis)

(2) Property tax limitation Measure #5 went into effect.

Source: Current and prior years' financial statements.

MULTNOMAH COUNTY, OREGON
ASSESSED VALUE OF TAXABLE PROPERTY (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Personal property	Public utility property	Commercial property	Residential property	Total
1988	\$ 1,023,969	\$ 1,288,194	\$ 7,490,818	\$ 8,445,202	\$ 18,248,183
1989	1,004,733	1,303,507	7,499,167	8,464,224	18,271,631
1990	1,032,886	1,417,606	7,631,464	8,579,922	18,661,878
1991	1,131,574	1,517,037	8,164,555	9,362,368	20,175,534
1992	1,130,602	1,426,209	9,761,548	11,007,703	23,326,062
1993	1,236,666	1,530,373	9,880,502	12,879,169	25,526,710
1994	1,203,111	1,628,475	9,941,135	14,727,420	27,500,141
1995	1,218,157	1,576,140	10,740,016	17,177,183	30,711,496
1996	1,330,246	1,647,640	11,938,742	19,766,868	34,683,496
1997	1,517,728	1,794,013	12,768,546	22,380,651	38,460,938

(1) Oregon law requires that assessed value approximate real market value

Source: Multnomah County Division of Assessment and Taxation

**MULTNOMAH COUNTY, OREGON
CONSOLIDATED TAX RATES (1)
LAST TEN FISCAL YEARS
(unaudited)**

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1988	\$ 4.19	\$ 6.37	\$ 0.79	\$ 17.52	\$ 0.01	\$ 0.32	\$ 0.77	\$ 29.97
1989	4.39	6.43	0.76	18.45	0.02	0.37	0.90	31.32
1990	4.54	7.48	0.74	19.22	0.02	0.34	1.05	33.39
1991	4.99	7.54	0.69	18.83	0.01	0.29	1.11	33.46
1992 (2)	3.94	5.87	0.55	15.62	0.01	0.27	0.76	27.02
1993	3.98	5.91	0.71	13.33	0.01	0.22		24.16
1994	4.02	5.92	0.62	10.76	0.01	0.19		21.52
1995	4.03	5.92	0.49	8.12	0.01	0.07		18.64
1996	3.90	5.79	0.68	5.58		0.08	0.07	16.10
1997	4.24	5.47	0.55	6.20		0.07	0.47	17.00

(1) These are average rates and are stated in dollar and cents per \$1,000 of assessed value.

(2) Property tax limitation Measure #5 went into effect.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports.

MULTNOMAH COUNTY, OREGON
CONSOLIDATED TAX LEVIES
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1988	\$ 76,598	\$ 116,303	\$ 13,983	\$ 319,651	\$ 270	\$ 5,825	\$ 14,091	\$ 546,721
1989	80,476	117,471	15,805	337,013	269	6,785	16,520	574,339
1990	84,647	139,605	13,869	358,770	298	6,266	19,566	623,021
1991	100,605	152,122	13,875	379,882	277	5,852	22,338	674,951
1992 (1)	91,957	136,958	12,780	364,468	260	6,362	17,656	630,441
1993	101,479	150,788	18,124	340,345	273	5,539	23	616,571
1994	110,598	162,870	17,068	295,852	290	5,298	21	591,997
1995	123,672	181,848	15,110	249,441	307	2,145	25	572,548
1996	135,104	200,896	23,515	193,685	155	2,633	2,520	558,508
1997	162,985	210,568	20,970	238,347	169	2,763	18,020	653,822

(1) Property tax limitation Measure #5 went into effect.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports.

MULTNOMAH COUNTY, OREGON
PRINCIPAL TAXPAYERS
June 30, 1997
(amounts expressed in thousands)
(unaudited)

<u>Taxpayer account</u>	<u>Type of business</u>	<u>1997 Assessed Valuation</u>	<u>Percentage of total assessed Valuation (1)</u>
U. S. West Communications	Telephone utility	\$ 420,259	1.1 %
Portland General Electric	Electric utility	216,552	0.6
PacifiCorp (Pacific Power and Light)	Electric utility	186,336	0.5
Boeing Company	Aircraft parts	181,384	0.5
Oregon Arena Corporation	Property management	124,000	0.3
Alaska Airlines, Inc.	Airline	121,041	0.3
United Airlines, Inc.	Airline	118,286	0.3
SI - Lloyd Associates	Property management	108,702	0.3
Delta Airlines, Inc.	Airline	95,270	0.3
US Bancorp	Banking	81,019	0.2
		<u>\$ 1,652,849</u>	<u>4.4 %</u>

(1) The 1997 real market valuation for Multnomah County is \$38,460,938.

Source: Multnomah County Division of Assessment and Taxation.

MULTNOMAH COUNTY, OREGON
SPECIAL ASSESSMENT BILLINGS AND COLLECTIONS
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

<u>Fiscal Year</u>	<u>Assessments outstanding July 1</u>	<u>Assessments billed</u>	<u>Assessments collected</u>	<u>Assessments outstanding June 30</u>
1988	\$ 1,363	\$ 90	\$ 454	\$ 999
1989	999		342	657
1990	657		311	346
1991	346		168	178
1992	178		56	122
1993	122		38	84
1994	84		36	48
1995	48		12	36
1996	36		7	29
1997	29		14	15

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
COMPUTATION OF LEGAL DEBT MARGIN
June 30, 1997
(amounts expressed in thousands)
(unaudited)

ORS 287.054 provides a debt limit of 2% of the real market value of all taxable property within the County's boundaries.

Real market value (1996-97)	\$	38,460,938
Debt limit		<u>2.00%</u>
		<u>769,219</u>
Less bonded debt at June 30		<u>136,375</u>
Legal debt margin	\$	<u><u>632,844</u></u>

MULTNOMAH COUNTY, OREGON
CONSTRUCTION, PROPERTY VALUE, AND BANK DEPOSITS
LAST TEN FISCAL YEARS
(unaudited)

Fiscal Year	Commercial		Residential		Total		Bank deposits in \$(000) (2)
	Number of units (1) (7)	Value in \$(000)	Number of units (1)	Value in \$(000)	Number of units	Value in \$(000)	
1988	2,330	\$ 281,379	3,375	\$ 122,992	5,705	\$ 404,371	\$ 15,565,042
1989	(3) 1,774	153,353	2,517	79,347	4,291	232,700	18,963,486
1990	(4) 1,218	99,052	2,523	92,666	3,741	191,718	8,390,851
1991	2,450	102,805	5,540	208,193	7,990	310,998	11,190,032
1992	2,387	134,681	5,852	281,327	8,239	416,008	9,568,464
1993	2,367	211,211	6,026	254,668	8,393	465,879	11,222,080
1994	(5) 1,106	228,787	2,757	117,655	3,863	346,442	9,536,224
1995	920	323,947	2,273	115,317	3,193	439,264	11,552,255
1996			4,453	320,871	4,453	320,871	11,414,176
1997			(6) 1,705	146,662	1,705	146,662	14,543,385

(1) Information maintained on a calendar year basis

(2) June 30, each year

(3) January through June 1989

(4) January through June 1990

(5) January through June 1994

(6) January through June 1997

(7) Information on commercial properties no longer available as of January 1996

Sources: Center for Population Research & Census, Portland State University and State of Oregon Banking Commission

MULTNOMAH COUNTY, OREGON
RATIO OF NET GENERAL OBLIGATION BONDED DEBT
TO ASSESSED VALUE AND NET GENERAL OBLIGATION BONDED DEBT PER CAPITA
LAST TEN FISCAL YEARS (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Population (2)	Assessed Value (3)	Gross Bonded Debt	Less Debt Service Fund (4)	Net Bonded Debt	Ratio of Net Bonded Debt to Assessed Value (3)	Net Bonded Debt per Capita (5)
1994	620,000	\$ 27,500,141	\$ 22,000	\$ 1,935	\$ 20,065	0.07 %	\$ 32
1995	626,500	30,711,496	29,705	7,021	22,684	0.07	36
1996	636,000	34,683,496	28,710	7,905	20,805	0.06	33
1997	636,000	38,460,938	136,375	14,977	121,398	3.16	190

(1) 1994 was the first year in which Multnomah County had general obligation bonded debt in the last ten fiscal years

(2) Population not expressed in thousands

(3) Oregon law requires that assessed value approximate real market value

(4) Amount available for repayment of general obligation bonds

(5) Net Bonded Debt stated in dollars

Source: Current financial statements.

MULTNOMAH COUNTY, OREGON
RATIO OF ANNUAL DEBT SERVICE EXPENDITURES
FOR GENERAL OBLIGATION BONDED DEBT
TO TOTAL GENERAL GOVERNMENTAL EXPENDITURES
LAST TEN FISCAL YEARS (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Principal	Interest	Total Debt Service	Total General Government Expenditures	Ratio of Debt Service to General Government Expenditures
1995	\$ 1,295	\$ 1,348	\$ 2,643	\$ 391,759	0.7 %
1996	995	1,474	2,469	423,151	0.6
1997	1,035	2,911	3,946	475,146	0.8

(1) 1995 was the first year that Multnomah County began retiring general obligation bonded debt in the last ten fiscal years

Source: Current financial statements.

MULTNOMAH COUNTY, OREGON
COMPUTATION OF DIRECT AND OVERLAPPING DEBT
June 30, 1997
(amounts expressed in thousands)
(unaudited)

Overlapping District	Percent Overlapping	Overlapping	
		Gross (1) Bonded Debt	Net (2) Direct Debt
Mt. Scott Water District	0.89 %	\$ 21	\$ 21
Clackamas County School District 7	0.43	94	94
City of Lake Oswego	6.64	1,256	1,256
City of Milwaukie	0.39	17	17
Scappoose RFPD	7.31	15	15
Columbia County School District 1J	3.51	89	89
Port of Portland	44.61	20,493	20,366
Powell Valley Road Water District	100.00	289	
Metro	48.82	107,505	106,423
Tri-County Metropolitan Transp. Service District	48.64	66,164	66,164
Sauvie Island RFPD 30	100.00	300	300
Multnomah County School District 1J	99.20	261,963	261,963
Multnomah County School District 3	100.00	34,015	34,015
Multnomah County School District 7	100.00	30,990	30,990
Multnomah County School District 19	100.00	280	280
Multnomah County School District 28J	92.09	11,755	11,755
Multnomah County School District 39	100.00	6,850	6,850
Multnomah County School District 40	100.00	18,185	18,185
Multnomah County School District 51J	95.92	10,532	10,532
Multnomah County 10J	80.86	28,866	28,866
Orient School District 6 Bonds	55.26	1,339	1,339
Gresham Grade School District 4 Bonds	100.00	22,185	22,185
Mount Hood Community College	82.73	2,908	2,908
Portland Community College	45.09	23,832	23,832
City of Fairview	100.00	181	
City of Gresham	100.00	11,881	11,510
City of Portland	99.59	230,626	146,651
City of Troutdale	100.00	6,062	2,095
City of Wood Village	100.00	485	485
Unified Sewerage Agency	0.75	30	23
Tualatin Valley Fire and Rescue	2.19	15	15
Washington County School District 48J	0.46	583	583
		<u>\$ 899,806</u>	<u>\$ 809,807</u>

(1) Includes all bonds backed by a general obligation pledge, including Bancroft general obligation improvement bonds and self-supporting general obligation bonds.

(2) Includes all tax-supported bonds.

Source: Municipal Debt Advisory Commission, Oregon State Treasury

MULTNOMAH COUNTY, OREGON
INSURANCE IN FORCE
June 30, 1997
(unaudited)

Insurance Company	Coverage	Expiration
American Protection Insurance Co. Policy No. 3ZT746102-06	Buildings and Equipment	10/02/97
American Protection Insurance Co. Policy No. 3ZT746102-06	Comprehensive Boiler and Machinery	10/02/97
American Protection Insurance Co. Policy No. 3ZT746102-06	Hull and Machinery Marine Policy	10/02/97
American Protection Insurance Co. Policy No. 3ZG002026-03	Justice Center Equipment Policy	11/28/97
Lumbermens Mutual Casualty Policy No. 3MF746102-06	Liability Policy	10/02/97
Republic Western Policy No. RWC 38-55407	Excess Workers' Compensation	07/01/97
Hartford Insurance Co. Policy No. PEBZE 67 13	Blanket Faithful Performance Bond	01/01/98
Hartford Insurance Co. Bond No. 5088331	Faithful Performance Bond - Public Official Tax Collector	01/01/98
Bond No. 5088332	Finance Director	01/01/98
Bond No. 5091060	Treasury Manager	01/01/98
Hartford Insurance Co. Bond No. 5088334	Faithful Performance Bond - Public Guardian	01/01/98
Hartford Insurance Co. Bond No. SUN404213	DEQ License Bond (Multnomah County)	01/01/98
Hartford Insurance Co. Bond No. 5087364	DEQ License Bond (Mechanic/Equipment Operator)	01/01/99
Hartford Insurance Co. Bond No. SUN404214	DEQ License Bond (Mechanic/Equipment Operator/Fleet Inspector)	01/01/98
Hartford Insurance Co. Bond No. 5088391	DEQ License Bond (Mechanic/Equipment Operator)	01/01/99
Hartford Insurance Co. Bond No. SUN403141	DEQ License Bond (Fleet Inspector)	01/01/99

Source: County Insurance Agent

MULTNOMAH COUNTY, OREGON
POPULATION, PER CAPITA INCOME AND UNEMPLOYMENT
LAST TEN FISCAL YEARS
(unaudited)

<u>Fiscal</u> <u>Year</u>	<u>Population</u>	<u>Per capita</u> <u>income (1)</u>	<u>Unemployment</u> <u>rate (2)</u>	
1988	570,500	\$ 17	4.8	%
1989	581,000	18	4.5	
1990	580,029	19	4.2	
1991	600,000	19	4.9	
1992	605,000	21	6.2	
1993	615,000	23	6.0	
1994	620,000	24	4.5	
1995	626,500	26	3.8	
1996	636,000	N/A	4.8	
1997	636,000	N/A	4.0	(3)

(1) Amounts expressed in thousands

(2) Portland Metropolitan Statistical Area

(3) Preliminary estimate

N/A means not available at current time

Source: Employment Division, Oregon State Department of Human Resources

MULTNOMAH COUNTY, OREGON
MAJOR EMPLOYERS IN METROPOLITAN AREA
June 30, 1997
(unaudited)

Employer	Product or Service	1996 Employment
Intel Corporation	Semiconductor integrated circuits	9,500
Fred Meyer, Inc.	Grocery and retail variety chain	8,905
Kaiser Permanente	Hospitals and clinics	7,663
Providence Health Care System	Hospitals and clinics	7,250
U. S. Bancorp	Bank and holding company	6,242
Legacy Health System	Hospital and health services	4,900
Freightliner Corporation	Medium and heavy-duty trucks	4,600
Tektronix	Electronic instruments	4,548
Wells Fargo & Co.	Bank	4,000
Safeway Stores	Grocery chain stores	4,000
Nike	Sports shoes and apparel	3,900
U. S. West Communications	Communications utility	3,440
United Parcel Service	Small package transport	3,100
Hewlett-Packard Co.	Computer printers	2,679
Thrifty Payless Inc.	Retail drug stores	2,300
Portland General Corp.	Electric energy	2,250
Pacificorp	Diversified electric utility	2,247
James River Corporation	Pulp and paper packaging	2,150
Precision Castparts	Steel castings	2,120
Blue Cross & Blue Shield of Oregon	Health insurance & HMO	2,105
Consolidated Freightways Inc.	Transportation	2,000
Shari's Management Corp.	Restaurant chain	2,000
Southwest Washington Medical Center	Medical care	2,000
Meier and Frank Company	Department stores	1,985
Horizon Air	Airline	1,800
Nordstrom	Retail specialty stores	1,700
Sequent Computer Systems	Parallel computer systems	1,650
Adventist Medical Center	Health services	1,500
McDonalds Corporation	Restaurants	1,500
SEH America	Silicon wafers	1,450
The Boeing Co.	Aircraft parts	1,400
Red Lion Hotels & Inns	Hotel/motel chain	1,400
Gunderson Inc.	Railroad freight cars	1,300
J.C. Penney Co.	Department stores	1,300
Restaurant Management Northwest	Burger King restaurants	1,300
Federal Government		17,600
State Government		12,700
Portland School District		6,600
City of Portland		5,172
Multnomah County		3,948
Tri - Met		2,230

Source: Chamber of Commerce and Employment Division, State of Oregon

MULTNOMAH COUNTY, OREGON
MISCELLANEOUS STATISTICAL DATA
 June 30, 1997
 (unaudited)

GENERAL

Date of incorporation	1854
Date present charter adopted	1967
Date present charter amended	1990
Form of government	Home Rule Charter
Area - square miles	465
Multnomah County employees:	
Management and exempt	581
Bargaining units	3,367

MILES OF STREETS (UNINCORPORATED AREA)

Paved	322
Unpaved	11

FIRE PROTECTION

Number of stations	38
Number of employees:	
Full-time	850
Part-time	2
Volunteer	105

SHERIFF PROTECTION

Number of arrests (Parts 1, 2 & 3 crimes)	2,523
Vehicular patrol units	28
Number of employees (sworn and civilian)	729
Jails:	
Facilities	5
Population	1,406

RECREATION

Parks:	
Number of acres	2,089
Number of facilities	28
Number of playgrounds	7
Number of golf courses	1

EDUCATION

Number of schools:	
Elementary	130
Alternative special	7
Junior/senior high school	2
High schools	17
Colleges	18
Employees:	
Principals and vice principals	247
Administrative and support	3,145
Teachers	4,640
Number of students (estimated)	85,848
Average daily attendance (estimated)	84,227

SEWAGE DISPOSAL

Number of accounts	553
--------------------	-----

STREET LIGHTING

Number of Lights	3,113
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ELECTIONS

Number of registered voters	404,098
Number of votes cast in last general election	273,594
Percentage of registered voters voting in last general election	67.7%

AUDIT COMMENTS AND DISCLOSURES
Required by State Regulations

**MULTNOMAH COUNTY, OREGON
AUDIT COMMENTS AND DISCLOSURES
REQUIRED BY STATE REGULATIONS**

Introduction

Oregon Administrative Rules 162-10-050 through 162-10-320 of the Minimum Standards for Audits of Oregon Municipal Corporations, as prescribed by the Secretary of State in cooperation with the Oregon State Board of Accountancy, enumerate the financial statements, schedules, comments and disclosures required in audit reports. The required financial statements and schedules are set forth in the preceding sections of this report.

KPMG Peat Marwick LLP's Report on Compliance and on Internal Control Over Financial Reporting Based on an Audit of the Financial Statements Performed in Accordance with *Government Auditing Standards* is set forth in the following pages.

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Suite 2000
1211 South West Fifth Avenue
Portland, OR 97204

Independent Auditors' Report on Compliance and on Internal Control
Over Financial Reporting Based on an Audit of Financial Statements
Performed in Accordance with Government Auditing Standards

The Board of County Commissioners
Multnomah County, Oregon
Portland, Oregon:

We have audited the general purpose financial statements of Multnomah County, Oregon (the County) as of and for the year ended June 30, 1997, and have issued our report thereon dated December 5, 1997. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the County's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, including provisions of Oregon Revised Statutes as set forth below, noncompliance with which could have a direct and material effect on the determination of financial statement amounts:

- The amount and adequacy of collateral pledged by depositors to secure the deposit of public funds.
- The legal requirements relating to debt.
- The legal requirements relating to the preparation, adoption and execution of the annual budget for fiscal 1997 and 1998.
- The legal requirements relating to insurance and fidelity bond coverage.
- The appropriate laws, rules and regulations pertaining to programs funded wholly or partially by other governmental agencies.
- The legal requirements pertaining to the use of revenue from taxes on motor vehicle use fuel funds.
- The statutory requirements pertaining to the use of road funds.
- The legal requirements pertaining to the investment of public funds.
- The legal requirements pertaining to the awarding of public contracts and the construction of public improvements.

The Board of County Commissioners
Multnomah County, Oregon

However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed instances of noncompliance concerning overexpenditures of budgeted appropriations as described in note 10 to the County's general purpose financial statements.

We considered the aforementioned instances of noncompliance in forming our opinion on whether the County's 1997 general purpose financial statements are presented fairly, in all material respects, in conformity with generally accepted accounting principles, and this report does not affect our report dated December 5, 1997 on those general purpose financial statements. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the County's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the general purpose financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

However, we noted other matters involving the internal control over financial reporting that we have reported to management of the County in a separate letter dated December 5, 1997.

This report is intended for the information of the Board of County Commissioners, management and federal awarding agencies and pass-through entities. However, this report is a matter of public record and its distribution is not limited.

KPMG Peat Marwick LLP

December 5, 1997
Portland, Oregon



MULTNOMAH COUNTY OREGON



Central Library Front Steps

THE SINGLE AUDIT REPORT

Fiscal Year Ended June 30, 1997



About the Cover

Historic Central Library has been closed for renovation since December 1995, and is expected to reopen in the spring of 1997. Prior to beginning the 2-1/2 year, \$24.6 million renovation project, Central's extensive books and materials were moved to TransCentral Library, a temporary facility located at Fourth and Columbia streets in downtown Portland.

The 83-year old building, which is on the National Register of Historic Places, was completed in 1913. This line drawing, artist unknown, first appeared on a publication entitled "The First 100 Years", published in 1964 on the occasion of the Library's 100th birthday.

About the Artist

The artist of this line drawing is unknown to the Library. As the Budget Office was preparing this line drawing for reproduction, we believe we identified the initials of the artist. If you look on the lower left side of the drawing, right atop the railing, we believe you will see the initials "E.R.". We don't think this is the artist's attempt to draw more leaves on the bush above it.

Can you help us identify the artist?



Suite 2000
1211 South West Fifth Avenue
Portland, OR 97204

MULTNOMAH COUNTY, OREGON

Audit of Federal Awards Performed
in Accordance with U.S. Office of
Management and Budget Circular A-133

June 30, 1997



Member Firm of
KPMG International

MULTNOMAH COUNTY, OREGON

Table of Contents

	<u>Page(s)</u>
Independent Auditors' Report on Compliance and on Internal Control Over Financial Reporting Based on an Audit of General Purpose Financial Statements Performed in Accordance with Government Auditing Standards	1 - 2
Independent Auditors' Report on Compliance with Requirements Applicable to Each Major Program and Internal Control Over Compliance in Accordance with OMB Circular A-133	3 - 4
Schedule of Expenditures of Federal Awards	5 - 8
Notes to Schedule of Expenditures of Federal Awards	9
Schedule of Findings and Questioned Costs	10 - 14
Schedule of Prior Year Findings	15 - 19

Suite 2000
1211 South West Fifth Avenue
Portland, OR 97204

Independent Auditors' Report on Compliance and on
Internal Control Over Financial Reporting Based on an
Audit of General Purpose Financial Statements Performed
in Accordance with Government Auditing Standards

The Board of County Commissioners
Multnomah County, Oregon
Portland, Oregon:

We have audited the general purpose financial statements of Multnomah County, Oregon (the County) as of and for the year ended June 30, 1997, and have issued our report thereon dated December 5, 1997. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the County's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the County's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

The Board of County Commissioners
Multnomah County, Oregon

However, we noted other matters involving the internal control over financial reporting that we have reported to management of the County in a separate letter dated December 5, 1997.

This report is intended for the information of the Board of County Commissioners, management, and federal awarding agencies and pass-through entities. However, this report is a matter of public record and its distribution is not limited.

KPMC Post Mawick LLP

Portland, Oregon
December 5, 1997

Suite 2000
1211 South West Fifth Avenue
Portland, OR 97204

Independent Auditors' Report on Compliance with Requirements
Applicable to Each Major Program and Internal Control Over
Compliance in Accordance with OMB Circular A-133

The Board of County Commissioners
Multnomah County, Oregon
Portland, Oregon:

Compliance

We have audited the compliance of Multnomah County, Oregon (the County) with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 1997. The County's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the County's management. Our responsibility is to express an opinion on the County's compliance based on our audit.

We conducted our audit of compliance in accordance with generally accepted auditing standards; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the County's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the County's compliance with those requirements.

In our opinion, the County complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 1997. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 97-1 through 97-3.

The Board of County Commissioners
Multnomah County, Oregon

Internal Control Over Compliance

The management of the County is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the County's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the general purpose financial statements of the County as of and for the year ended June 30, 1997, and have issued our report thereon dated December 5, 1997. Our audit was performed for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the general purpose financial statements. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the general purpose financial statements taken as a whole.

This report is intended for the information of the Board of County Commissioners, management and federal awarding agencies and pass-through entities. However, this report is a matter of public record and its distribution is not limited.

KPMG Peat Marwick LLP

Portland, Oregon
December 5, 1997

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 1997

Grantor and program title	Federal CFDA number	Program award amount	Receivable (advance) at July 1, 1996	Receipts	Expenditures	Receivable (advance) at June 30, 1997
<u>U.S. Department of Agriculture</u>						
Passed through State Department of Human Resources:						
Food Distribution	10.550	\$ 385,504	-	385,504	385,504	-
National School Lunch Program	10.555	140,784	8,944	124,373	140,784	25,355
Special Supplemental Food Program for Women, Infants, and Children (WIC Program)	10.557*	1,833,027	11,216	1,844,243	1,833,027	-
State Administrative Matching Grants for Food Stamp Program	10.561	<u>201,972</u>	<u>18,887</u>	<u>220,859</u>	<u>201,972</u>	<u>-</u>
Total Department of Agriculture		<u>2,561,287</u>	<u>39,047</u>	<u>2,574,979</u>	<u>2,561,287</u>	<u>25,355</u>
<u>U.S. Department of Health and Human Resources</u>						
Direct Programs:						
Special Programs for the Aging:						
Title IV Training, Research, and Discretionary Projects and Programs	93.048	-	42,044	42,044	-	-
Linking Community - Based Primary Care, Substance Abuse, HIV/AIDS and Mental Health Treatment Services	93.109	1,374,359	42,505	320,461	341,004	63,048
Maternal and Child Health Federal Consolidated Programs (SPRANS)	93.110	400,457	30,677	152,183	121,506	-
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118	1,524,765	21,096	155,369	199,632	65,359
Health Center Grants for the Homeless Populations	93.151	2,034,062	104,515	1,101,509	1,115,748	118,754
Community Health Centers	93.224*	5,614,404	219,480	2,916,716	2,924,919	227,683
Drug Abuse Research Programs	93.279	1,386,568	22,684	137,214	166,598	52,068
Social Services Block Grant	93.667*	2,950,000	291,472	839,112	768,338	220,698
Medicare - Supplementary Medical Insurance	93.774	302,621	32,751	310,425	302,621	24,947
HIV Emergency Relief Project Grants	93.914*	3,202,657	(192,319)	907,795	1,245,376	145,262
HIV Emergency Relief Formula Grants	93.915*	2,957,008	249,080	1,637,614	1,895,465	506,931
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918	1,188,020	-	408,909	407,887	(1,022)
Special Projects of National Significance (SPNS)	93.928	635,060	14,445	151,517	151,081	14,009
Tuberculosis Demonstration, Research, Public and Professional Education	93.947	117,314	-	48,959	57,476	8,517
Passed through Oregon Department of Business and Consumer Services:						
Medicare - Supplementary Medical Insurance	93.774	14,146	3,537	14,146	14,146	3,537
Passed through Oregon Commission on Children and Families:						
Family Preservation and Support Services	93.556	440,778	-	61,736	164,168	102,432
Child Care and Development Block Grant	93.575	439,218	(11,558)	109,810	221,479	100,111
Social Services Block Grant	93.667*	2,139,000	702,042	1,337,775	614,325	(21,408)
Passed through Oregon Housing and Community Services Division:						
Low-income Home Energy Assistance	93.568	5,324,431	389,525	2,708,782	2,949,783	630,526
Passed through Oregon Health Sciences University:						
Community Services Block Grant Discretionary Awards - Demonstration Partnerships	93.573	71,076	-	71,076	71,076	-
Passed through State Department of Human Resources:						
Special Programs for the Aging - Title VII, Chapter 3 - Programs for Prevention of Elder Abuse, Neglect and Exploitation of Older Individuals	93.041	8,727	(1,019)	929	1,908	(40)
Special Programs for the Aging - Title III, Part F - Disease Prevention and Health Promotion Services	93.043	25,000	7,355	3,172	-	4,183
Special Programs for the Aging - Title III, Part B - Grants for Supportive Services and Senior Centers	93.044	725,000	(14,044)	372,438	495,689	109,207
Special Programs for the Aging - Title III, Part C - Nutrition Services	93.045	846,330	(144,723)	697,579	738,911	(103,391)
Special Program for the Aging - Title III, Part D - In Home Services for the Frail Older Individuals	93.046	32,000	21,090	47,735	27,890	1,245
Project Grants and Cooperative Agreements for Tuberculosis Control Programs	93.116	101,979	10,245	89,917	101,979	22,307
Acquired Immunodeficiency Syndrome (AIDS) Activity Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services	93.118	657,399	31,583	467,407	657,399	221,575
Projects for Assistance in Transition From Homelessness (PATH)	93.119	709	-	709	709	-
Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)	93.150	228,138	-	228,138	228,138	-
	93.169	<u>197,952</u>	<u>-</u>	<u>197,952</u>	<u>197,952</u>	<u>-</u>
Balances carried forward		<u>34,939,178</u>	<u>1,872,463</u>	<u>15,539,128</u>	<u>16,183,203</u>	<u>2,516,538</u>

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards, Continued

<u>Grantor and program title</u>	<u>Federal CFDA number</u>	<u>Program award amount</u>	<u>Receivable (advance) at July 1, 1996</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Receivable (advance) at June 30, 1997</u>
Balances brought forward		\$ 34,939,178	1,872,463	15,539,128	16,183,203	2,516,538
<u>U.S. Department of Health and Human Resources, Continued</u>						
Passed through State Department of Human Resources, continued:						
Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities	93.196*	1,891,780	-	1,891,780	1,891,780	-
Childhood Lead Poisoning Prevention Projects - State and Community-Based Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (CLPPP)	93.197	226,328	53,685	230,550	212,949	36,084
Family Planning Services (Umbrella Councils)	93.217	282,358	57,583	318,807	282,358	21,134
Childhood Immunization Grants	93.268	561,833	(139,280)	519,691	561,833	(97,138)
Centers for Disease Control and Prevention - Investigations and Technical Assistance	93.283	149,622	14,069	134,105	148,392	28,356
Child Support Enforcement (Title IV-D)	93.563	1,161,238	295,290	1,108,711	1,161,238	347,817
Refugee and Entrant Assistance - State Administered Programs	93.566	610,000	16,250	275,193	307,493	48,550
Refugee and Entrant Assistance - Discretionary Grants	93.576	35,517	941	33,842	35,517	2,616
Medical Assistance Program (Medicaid; Title XIX)	93.778*	16,098,218	647,142	16,630,701	16,098,218	114,659
HIV Care Formula Grants	93.917	236,397	91,457	307,590	231,447	15,314
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	572,714	33,102	362,994	353,656	23,764
HIV Prevention Activities - Health Department Based (HIV Prevention Program)	93.940	35,828	55,394	91,222	35,828	-
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (HIV/AIDS Surveillance)	93.944	40,917	15,667	56,584	40,917	-
Community-Based Comprehensive HIV/STD/TB Outreach Services for High Risk Substance Abusers Demonstration Program	93.949	170,498	-	170,493	170,493	-
Block Grants for Community Mental Health Services	93.958	391,362	-	391,362	391,362	-
Block Grants for Prevention and Treatment of Substance Abuse (SAPT)	93.959	2,806,443	-	2,806,443	2,806,443	-
Preventive Health Services - Sexually Transmitted Diseases Control Grants	93.977	84,000	22,915	99,410	84,000	7,505
Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems	93.988	18,000	6,916	23,451	18,000	1,465
Preventive Health and Health Services Block Grant (PHHS)	93.991	269,659	19,818	267,110	269,653	22,361
Maternal and Child Health Services Block Grant to the State	93.994*	1,138,674	81,042	1,079,425	1,130,395	132,012
Passed through City of Portland:						
Community Prevention Coalitions (Partnership) Demonstration Grant	93.194	321,200	184,959	449,948	292,226	27,237
Community Services Block Grant	93.569	866,698	223,338	389,458	456,683	290,563
Passed through University of Minnesota:						
Drug Abuse Research Programs	93.279	<u>127,000</u>	<u>46,224</u>	<u>47,909</u>	<u>1,685</u>	<u>-</u>
Total Department of Health and Human Resources		<u>63,035,462</u>	<u>3,598,975</u>	<u>43,226,680</u>	<u>43,165,769</u>	<u>3,538,837</u>
<u>Corporation for National and Community Service</u>						
Passed through Oregon Commission for National and Community Service:						
Americorps	94.006	<u>469,326</u>	<u>(140,010)</u>	<u>236,409</u>	<u>214,765</u>	<u>(161,654)</u>
Total Corporation for National and Community Service		<u>469,326</u>	<u>(140,010)</u>	<u>236,409</u>	<u>214,765</u>	<u>(161,654)</u>

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Expenditures of Federal Awards, Continued

Grantor and program title	Federal CFDA number	Program award amount	Receivable (advance) at July 1, 1996	Receipts	Expenditures	Receivable (advance) at June 30, 1997
<u>U.S. Department of Housing and Urban Development</u>						
Direct Programs:						
Community Development Block Grants/Entitlement Grants	14.218	\$ 3,072,787	379,189	624,280	625,367	380,276
Supportive Housing Program	14.235	8,098,645	758,459	1,488,388	1,635,207	905,278
Passed through City of Gresham:						
Community Development Block Grants/Entitlement Grants	14.218	81,860	84,805	158,868	81,860	7,797
Passed through Oregon Housing and Community Services						
Division:						
Emergency Shelter Grants Program (ESPG)	14.231	4,063	-	4,063	4,063	-
Supportive Housing Program	14.235	183,600	54,801	63,552	8,751	-
Supplemental Assistance for Facilities to Assist the Homeless (SAFAH)	14.236	515,998	76,474	188,660	303,068	190,882
Passed through State Department of Human Resources:						
Supplemental Assistance for Facilities to Assist the Homeless (SAFAH)	14.236	882	-	882	882	-
Passed through City of Portland:						
Bureau of Community Development:						
Community Development Block Grants/Entitlement Grants	14.218	1,295,488	705,751	1,093,984	1,204,658	816,425
Emergency Shelter Grants Program (ESG)	14.231	253,723	323,340	365,179	244,706	202,867
HOME Investment Partnership Program	14.239	30,000	-	-	30,000	30,000
Passed through Housing Authority of Portland:						
Supportive Housing Program	14.235	476,829	-	-	121,069	121,069
Public and Indian Housing - Comprehensive Improvement Assistance Program	14.852	194,292	-	194,292	194,292	-
Total Department of Housing and Urban Development		14,208,167	2,382,819	4,182,148	4,453,923	2,654,594
<u>U.S. Department of Justice</u>						
Direct Programs:						
Law Enforcement Assistance - Narcotics and Dangerous Drugs - Laboratory Analysis	16.001	-	(14,378)	-	-	(14,378)
State Criminal Alien Assistance Program (SCAAP)	16.572	286,065	-	-	286,065	286,065
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	600,000	33,851	203,957	201,700	31,594
Drug Court Discretionary Grants Program (Drug Court Program)	16.585	512,055	47,055	277,022	288,238	58,271
Executive Office for Weed and Seed (Weed and Seed Program)	16.595	125,000	-	-	83,685	83,685
Public Safety Partnership and Community Policing Grants ("Cops" Grants)	16.710	36,338	-	11,576	15,558	3,982
Passed through the Institute for Intergovernmental Research:						
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	494	-	494	494	-
Passed through State Department of Justice:						
Crime Victim Assistance	16.575	86,674	11,232	38,513	40,409	13,128
Byrne Formula Grant	16.579*	476,000	91,060	247,758	310,867	154,169
Local Law Enforcement Block Grant Program	16.592	71,759	-	23,459	23,459	-
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	86,000	190,396	241,559	86,000	34,837
Passed through City of Portland:						
Juvenile Justice and Delinquency Prevention - Special Emphasis	16.541	35,418	-	25,015	35,418	10,403
Passed through Portland Police Bureau:						
Local Law Enforcement Block Grants Program	16.592	150,000	-	-	-	-
Total Department of Justice		2,465,803	359,216	1,069,353	1,371,893	661,756
<u>U.S. Department of Transportation</u>						
Passed through Metro:						
Highway Planning and Construction	20.205*	3,331	-	3,331	3,331	-
Passed through Oregon State Marine Board:						
Boating Safety Financial Assistance	20.005	140,095	-	140,095	140,095	-
Passed through State Public Utility Commission:						
Motor Carrier Safety Assistance Program (MCSAP)	20.218	192,200	-	112,820	143,800	30,980
Passed through State Department of Transportation:						
State and Community Highway Safety	20.600	157,871	-	109,606	119,674	10,068
Passed through Oregon Department of Transportation:						
State Highway - Flood Relief	20.205*	1,779,882	(37,794)	1,742,088	1,779,882	-
Total Department of Transportation		2,273,379	(37,794)	2,107,940	2,186,782	41,048

(Continued)

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards, Continued

<u>Grantor and program title</u>	<u>Federal CFDA number</u>	<u>Program award amount</u>	<u>Receivable (advance) at July 1, 1996</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Receivable (advance) at June 30, 1997</u>
<u>U.S. Department of Energy</u>						
Direct Programs	81.881	\$ 64,000	-	81,599	47,775	(33,824)
Passed through Exon:						
Weatherization Assistance for Low-Income Persons	81.042	6,000	-	-	6,000	6,000
Passed through Oregon Housing and Community Services Division:						
Weatherization Assistance for Low-Income Persons	81.042	<u>447,805</u>	<u>-</u>	<u>196,649</u>	<u>211,013</u>	<u>14,364</u>
Total Department of Energy		<u>517,805</u>	<u>-</u>	<u>278,248</u>	<u>264,788</u>	<u>(13,460)</u>
<u>Federal Emergency Management Agency</u>						
Direct Programs:						
Disaster Assistance	83.516	22,377	504,146	526,523	22,377	-
Emergency Management Institute - Training Assistance	83.527	872	-	324	872	548
Passed through State Executive Department:						
Emergency Management - State and Local Assistance	83.534	76,177	19,353	75,969	76,177	19,561
Passed through United Way:						
Emergency Management - State and Local Assistance	83.534	<u>577,872</u>	<u>41,506</u>	<u>444,980</u>	<u>320,583</u>	<u>(82,891)</u>
Total Federal Emergency Management Agency		<u>677,298</u>	<u>565,005</u>	<u>1,047,796</u>	<u>420,009</u>	<u>(62,782)</u>
<u>U.S. Department of Education</u>						
Direct Programs:						
Literacy Programs for Prisoners	84.255	44,981	-	44,981	44,981	-
Passed through State Department of Human Resources:						
Public Library Services (LSCA)	84.034	102,392	21,814	104,756	102,392	19,450
Safe and Drug Free Schools - State Grants	84.186	51,865	-	51,865	51,865	-
Passed through Portland Public Schools:						
Drug-Free Schools and Communities-Emergency Grants	84.233	<u>-</u>	<u>8,363</u>	<u>8,363</u>	<u>-</u>	<u>-</u>
Total Department of Education		<u>199,238</u>	<u>30,177</u>	<u>209,965</u>	<u>199,238</u>	<u>19,450</u>
<u>Other Federal Assistance</u>						
Department of Agriculture:						
U.S. Forest Service - Patrol Contract		151,276	-	116,184	96,409	(19,775)
U.S. Environmental Protection Agency:						
EPA Pest Control		-	5,222	5,222	-	-
Federal Bureau of Investigation:						
FBI Space Rental		1,000	-	1,000	1,000	-
Department of Housing and Community Development:						
Passed through City of Portland Department of Housing and Community Development:						
VAHON		554,169	190,339	360,445	278,975	108,869
Department of Interior:						
O & C Grant		796,127	-	796,127	796,127	-
Sale/Lease Federal Land		1,985	-	1,985	1,985	-
Passed through State Executive Department:						
Forest Reserve Yield (PILT)		712,676	-	712,676	712,676	-
Department of Justice:						
U.S. Marshall - Forfeitures		13,794	-	13,794	13,794	-
Department of Transportation:						
Direct Programs:						
Bridge Replacement		196,325	-	196,325	196,325	-
DOT CMAQ		67,362	-	67,362	67,362	-
Department of Veteran Affairs:						
Passed through Willamette National Cemetery:						
Willamette National Cemetery		<u>6,000</u>	<u>-</u>	<u>-</u>	<u>6,000</u>	<u>6,000</u>
Total Other Federal Assistance		<u>2,500,714</u>	<u>195,561</u>	<u>2,271,120</u>	<u>2,170,653</u>	<u>95,094</u>
Total Federal Assistance		\$ <u>88,908,479</u>	<u>6,992,996</u>	<u>57,203,865</u>	<u>57,009,107</u>	<u>6,798,238</u>

*Represents a major program.

See accompanying notes to Schedule of Expenditures of Federal Awards.

MULTNOMAH COUNTY, OREGON

Notes to Schedule of Expenditures of Federal Awards

June 30, 1997

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of Multnomah County, Oregon (the County) for the year ended June 30, 1997. The County's reporting entity is defined in note 1 to the County's June 30, 1997 general purpose financial statements.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using a basis of accounting which is consistent with the general purpose financial statements, as described in note 1 to the County's general purpose financial statements.

(3) Relationship to Combined Financial Statements

As described in note 2 to the general purpose financial statements, federal financial assistance revenues reported in the County's general purpose financial statements are included with intergovernmental revenues.

(4) Noncash Awards

The accompanying Schedule of Expenditures of Federal Awards includes a noncash award from the Department of Health and Human Resources in the form of vaccines (CFDA #93.268 - Childhood Immunization Grants). The value of the Childhood Immunization Grant award of \$561,833 was determined by the granting agency. The amount expended and advanced at June 30, 1997 is calculated on a proportionate basis.

MULTNOMAH COUNTY, OREGON

Schedule of Findings and Questioned Costs

Year ended June 30, 1997

(1) Summary of Auditors' Results

- (a) The type of report issued on the general purpose financial statements: **unqualified**
- (b) Reportable conditions in internal control were disclosed by the audit of the financial statements: **no** Material weaknesses: **no**
- (c) Noncompliance which is material to the general purpose financial statements: **no**
- (d) Reportable conditions in internal control over major programs: **no** Material weaknesses: **no**
- (e) The type of report issued on compliance for major programs: **unqualified opinion**
- (f) Any audit findings which are required to be reported under Section .510(a) of OMB Circular A-133: **yes**
- (g) Major programs:
 - CFDA #10.557 Department of Agriculture Women and Infant Children Program
 - CFDA #16.579 Department of Justice Discretionary Grants
 - CFDA #20.205 Department of Transportation State Highway Flood Relief Program
 - CFDA #93.196 Department of Health and Human Services Target Cities Program
 - CFDA #93.224 Department of Health and Human Services Community Health Centers Program
 - CFDA #93.667 Department of Health and Human Services Social Services Block Grant
 - CFDA #93.778 Department of Health and Human Services Medicaid Program
 - CFDA #93.914 Department of Health and Human Services HIV Emergency Relief Project Grants
 - CFDA #93.915 Department of Health and Human Services HIV Emergency Relief Formula Grants
 - CFDA #93.994 Department of Health and Human Services Maternal and Child Health Project Grants

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Findings and Questioned Costs, Continued

- (h) Dollar threshold to distinguish between type A and type B programs: \$1,710,273
- (i) Auditee qualified as a low risk auditee under Section .530 of OMB Circular A-133:
yes

(2) Findings Relating to the General Purpose Financial Statements Reported in Accordance with *Government Auditing Standards*: None

(3) Findings and Questioned Costs Relating to Federal Awards:

#97-1

Programs:

CFDA #10.557 Department of Agriculture Women and Infant Children Program

CFDA #93.224 Department of Health and Human Services Community Health Centers Program

CFDA #93.994 Department of Health and Human Services Maternal and Child Health Project Grants

Finding:

The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. KPMG noted in its sample of 45 payroll records that the salary and wage distribution system was based on a quarterly review of one week's performance rather than a pay period (semimonthly or biweekly).

This repeats a finding from the prior year.

Recommendation:

The Health Department should base its time distributions on random surveys four times a year which each covering at least one pay period (this approach was approved by the County's cognizant agency). All other aspects of the new Circular A-87 should be followed as appropriate.

Questioned Cost:

None

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Findings and Questioned Costs, Continued

County's Response:

The Health Department has requested that its cognizant federal agency (HRSA) approve a substitute system that allocates costs based on charges (Medicare RVUs) rather than time spent. The Health Department prefers its cost allocation alternative because:

- (1) It will increase clinical productivity by reducing clinic staff time required to perform an additional timekeeping component.
- (2) Internal tests predict it will allocate costs accurately.
- (3) It is fully automated and requires few programming enhancements.
- (4) It will create significant cost savings by reducing the need for additional compliance staff.
- (5) Medicare RVUs, which are the product of another federal agency (HCFA), have wide recognition and are accepted as industry standards.

Allowing the Department to use its alternative approach will allow the use of more grant funds for direct client services that would otherwise be spent on administration with no consequent benefit other than compliance. The Health Department is waiting for a response from HRSA.

Women and Infant Children Program staff are no longer charged to multiple-funded grants as of July 1997.

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Findings and Questioned Costs, Continued

#97-2
Program:

CFDA #93.914 Department of Health and Human Services HIV
Emergency Relief Project Grants

Finding:

Cash management requirements require the grantee to minimize the time elapsed between the transfer of funds from the U.S. Treasury and the disbursement of funds by the grantee.

During the current fiscal year, the Health Services Department improperly billed the federal government twice for the same services. The 1996-1997 grant period ended February 1997 and the grant was renewed for another year, March 1997 through February 1998. This error resulted in the County overbilling the federal government \$172,243 at June 30, 1997 relating to the 1997-1998 grant period. The County did not overspend the 1996-1997 grant and the overbilling amount was offset against 1997-1998 grant billings in September 1997. None of the costs were unallowed under the grants and, therefore, are not considered questioned costs and are only considered a violation of the aforementioned cash management requirement.

This finding is substantially the same as one from the prior year.

Recommendation:

The Health Services Department should implement procedures to compare expenditures against reimbursement requests. This procedure should be reviewed by a least one other person in a supervisory or peer position.

Questioned Cost:

None

County's Response:

The Health Department already had control procedures in place that led to the discovery of the improper billing during fiscal year 1998.

The Health Department has implemented additional steps to strengthen the draw process. The general ledger download has been integrated into a single matrix, thus eliminating the possibility of duplicate draws for the same expenditure. The Health Department has also implemented separate reporting and draw breakouts for each budget year of a grant.

(Continued)

MULTNOMAH COUNTY, OREGON

Schedule of Findings and Questioned Costs, Continued

#97-3
Program: CFDA #93.196 Department of Health and Human Services Target Cities Program

Finding: The OMB Circular A-102 *Common Rule* requires that the County: (1) maintain equipment records for all equipment obtained with federal funds or a mix of federal and state funds, (2) conduct a physical inventory of equipment at least once every two years, and (3) have an appropriate internal control system to maintain and safeguard equipment.

The County's Department of Community and Family Services does not have a system in place to adequately track equipment purchased with federal funds.

Recommendation: We recommend that the County implement policies and procedures which address the need to account for property and equipment acquired with federal funds. Due to the small amount of equipment purchased by the Department with federal funds, an adequate internal control structure could be as simple as maintaining a listing of all property and equipment, earmarking those items purchased with grant funds, and documenting a physical count of those items every two years.

Questioned Cost: None

County's Response: The Department of Community and Family Services will implement a process combined with the Department's annual capital equipment audit to ensure that assets purchased with federal grant funds are properly inventoried.

MULTNOMAH COUNTY, OREGON

Summary of Prior Year Findings

Program: CFDA #93.224 Community Health Centers.

Finding: Reporting and recordkeeping requirements state that all grantees must submit a final financial status report 90 days after the end of the project period. In the current fiscal year the financial status report was prepared 91 days after the end of the project period.

Recommendation: The Health Department should implement procedures to ensure that reports are issued in a timely manner and within grant determined requirements.

Questioned Costs: None.

Multnomah County Response: The Department requests an extension from the Grantor when it knows it will be unable to file a timely report. The Grantor (in this case the cognizant Federal agency), was consulted and allowed the Health Department to file its report a day late.

1997 Update: The financial status report for 1997 was filed in a timely manner.

MULTNOMAH COUNTY, OREGON

Summary of Prior Year Findings, Continued

Program:	CFDA #93.224 Community Health Centers.
Finding:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical sampling system or other substitute system had been approved by the cognizant Federal agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. KPMG noted in its sample of ten (10) payroll records that the salary and wage distribution was based on a quarterly review of one week's performance rather than a pay period (semimonthly or biweekly).
Recommendation:	The Health Department should base its time distributions on random time surveys four times a year which each cover at least one pay period (this approach was approved by the County's cognizant agency). All other aspects of the new Circular A-87 should be followed as appropriate.
Questioned Costs:	None.
Multnomah County Response:	Management agrees with the recommendation and has begun redesigning the time distribution system to serve dual purposes: (1) comply with revised OMB Circular A-87, and (2) provide additional management information not currently available.
1997 Update:	The County is not in compliance with requirements related to time distributions in the current fiscal year. This item is reported as a finding in the Schedule of Findings and Questioned Costs.

MULTNOMAH COUNTY, OREGON

Summary of Prior Year Findings, Continued

Program: CFDA #93.778 Medical Assistance Program (Medicaid; Title XIX).

Finding: Specific requirements for eligibility determination for the Developmental Disabilities program state that if the recipient qualifies for the Title XIX waived services, a Title XIX waiver form must be completed and updated on an annual basis by case managers.

Ten client files were examined for updated Title XIX waiver forms. All of the files had the form in them but four of the forms were not updated.

Recommendation: We recommend personnel responsible for the Developmental Disabilities program develop a file checklist which tracks each recipient, the update deadline, and the date the update was performed.

Questioned Costs: None

Multnomah County Response: Currently, a listing of approved Title XIX waivers is maintained and updated by a service coordinator assistant. The listing identifies when a Title XIX waiver needs to be reviewed by a service coordinator (case manager). In the month prior to a review, the service coordinator is provided a form with names of individuals who need Title XIX waivers completed. Forms are returned to the service coordinator assistant with a signature and date. This indicates that reviews have been completed/updated. The updated forms are then filed in the client files.

Two additional steps will be added to the process to ensure that reviews occur within the appropriate time period and that files contain updated documentation. The service coordinator assistant will now notify the supervisor if forms are not reviewed and returned before the end of the month that the review is due. The supervisor will then follow up with the service coordinator to ensure the review is completed.

In addition, in situations where individuals previously waived are no longer eligible for waiver, the service coordinator will make a written notation on the last waiver form that the individual is no longer eligible for a waiver. This procedure should eliminate the problem of old Title XIX waiver forms being in the file and mistakenly appearing to be valid, but not updated.

1997 Update: No problems were detected in fiscal year 1997.

MULTNOMAH COUNTY, OREGON

Summary of Prior Year Findings, Continued

Program: CFDA #93.914 HIV Emergency Relief Project Grants.

Finding: Cash management requirements require the grantee to minimize the time elapsed between the transfer of funds from the U.S. Treasury and the disbursement of funds by the grantee.

During the current fiscal year, the Health Services Department improperly billed the federal government twice for the same services. The 1995-1996 grant period ended February 1996 and the grant was renewed for another year, March 1996 through February 1997. This error resulted in the County overbilling the federal government \$192,319 at June 30, 1996 relating to the 1996-1997 grant period. The County did not overspend the 1995-1996 grant and the overbilling amount was offset against 1996-1997 grant billings in September 1996. None of the costs were unallowed under the grants and, therefore, are not considered questioned costs and are only considered a violation of the aforementioned cash management requirement.

Recommendation: The County's Health Services Department should develop and implement procedures to compare expenditures against reimbursement requests. This procedure should be reviewed by at least one other person in a supervisory or peer position.

Questioned Costs: None

Multnomah County Response: The Department has addressed the auditors' recommendation with the following procedures: (1) monthly expenditure reports are prepared by the grants accounting manager and are reviewed by both the program manager and the Department director. (2) grant revenues are shown on the expenditure reports. (3) activity codes are used to identify the grant period for approved expenditures.

1997 Update: The Health Services Department improperly billed the federal government twice for certain expenditures during 1997. This item will be reported as a finding in the current year's Schedule of Findings and Questioned Costs.

MULTNOMAH COUNTY, OREGON

Summary of Prior Year Findings, Continued

Program:	CFDA #93.568 Low-income Home Energy Assistance.
Finding:	The weatherization program uses client application forms to determine applicant eligibility. The forms are reviewed and authorized by County personnel. In a sample of 25 recipient files, 6 did not contain an authorizing signature on the client application.
Recommendation:	All reviewed applications should contain an authorized signature of acceptance or rejection of the application. During the internal review of the client's file, the reviewers should ensure that proper authorization of the application was documented.
Questioned Costs:	None
Multnomah County Response:	Division of Community Action and Development agrees with the recommendation. A staff position has recently been reassigned to provide administrative oversight of client files. This person will review files to ensure appropriate documentation of each application and applicant eligibility.
1997 Update:	No problems were detected in fiscal year 1997.

Meeting Date: APR 09 1998
Agenda No: R-4
Estimated Start time: 10:30 Am

APR 16 1998

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

R-4
9:50

SUBJECT: Exempt Employee Job Title and Salary Range Revisions

BOARD BRIEFING

Date Requested: _____

Requested by: _____

Amount of Time Needed: _____

REGULAR MEETING:

Date Requested: April 9

Amount of Time Needed: 10 minutes

DEPARTMENT: Support Services DIVISION: Employee Services

CONTACT: Rudy Williams TELEPHONE #: x83113

BLDG/ROOM #: 106/1430

PERSON(S) MAKING PRESENTATION: Rudy Williams

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

An ordinance amending Ordinance No. 880, in order to add and delete exempt pay ranges and titles and to make special adjustments.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

OR

DEPARTMENT MANAGER: 

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 MAR 25 AM 9:12
MULTNOMAH COUNTY
OREGON



MULTNOMAH COUNTY OREGON

BEVERLY STEIN
COUNTY CHAIR

EMPLOYEE SERVICES
FINANCE
LABOR RELATIONS
PLANNING & BUDGET
RISK MANAGEMENT

(503) 248-5015
(503) 248-3312
(503) 248-5135
(503) 248-3883
(503) 248-3797

(503) 248-5170 TDD

PORTLAND BUILDING
1120 S.W. FIFTH, 14TH FLOOR
P.O. BOX 14700
PORTLAND, OREGON 97293

PURCHASING, CONTRACTS
& CENTRAL STORES

(503) 248-5111

2505 S.E. 11TH, 1ST FLOOR
PORTLAND, OREGON 97202

TO: BOARD OF COUNTY COMMISSIONERS

FROM: Vickie Gates, Director
Department of Support Services

DATE: March 25, 1998

REQUESTED PLACEMENT DATE: April 9, 1998

RE: An ordinance amending Ordinance No. 880, in order to add and delete exempt pay ranges and titles and to make a special adjustment.

I. Recommendation/Action Requested: Adoption of Ordinance.

II. Background/Analysis: The Board adopted a new exempt employee compensation system, effective July 1, 1991. Since that time, the Personnel Section has kept the system up to date by bringing periodic changes to the Board. This is the most recent update.

Section II of the ordinance deletes four (4) titles that are no longer needed, due to departmental reorganization of responsibilities.

Section III adds two (2) titles that are necessary due to departmental reorganization of responsibilities. As each new position is created, the Board has or will consider a budget modification that adds the position and specifies the funding source for the position.

Section IV awards a special adjustment to one of the Employee Services Specialist 2's in the Training and Organizational Development unit of the Employee Services Division. This special adjustment is needed to bring into alignment the salaries of the three Specialists that have been appointed within the last year and a half in this section. The employee in question transferred from the Health Department and no salary review was done at that time. Since two additional employees have been hired at higher salary rates, it is necessary to review this salary to maintain equity among the staff in this unit.

III. Financial Impact: The special adjustment will cost about \$10,000 during the current fiscal year; the additional cost will be absorbed within current budgeted funds for this unit.

IV. Legal Issues: None.

V. Controversial Issues: None.

VI. Link to Current County Policies: Ordinance No. 855 requires that the exempt compensation plan be kept current..

VII. Citizen Participation: None.

VIII. Other Government Participation: None

c: Rudy Williams
Shery Stump

1 BEFORE THE BOARD OF COUNTY COMMISSIONERS

2 FOR MULTNOMAH COUNTY OREGON

3 ORDINANCE No. _____

4 An ordinance amending Ordinance No. 880, in order to add and delete exempt
5 pay ranges and titles and to make special adjustments.

6 MULTNOMAH COUNTY ORDAINS AS FOLLOWS:

7 Section I. Findings.

8 A) Multnomah County, Oregon employs a variety of individuals excluded from
9 any collective bargaining agreement referred to as "exempt" employees.

10 B) It is the County's policy to establish an exempt compensation plan that
11 provides such pay as necessary for the County to recruit, select, and retain qualified
12 management, supervisory, administrative, and professional employees; that recognizes
13 employee performance, growth, and development; that maintains an appropriate
14 internal relationship among classifications and employees based on job responsibilities,
15 qualifications, and authority; and that maintains parity between equivalent exempt and
16 non-exempt positions.

17 C) The Personnel Officer is responsible for developing and recommending
18 compensation plan adjustments to the Multnomah County Board of Commissioners.

19 Section II. Deletion of Job Titles.

20 The following job titles established in Exhibit A of Ordinance No. 880 are deleted,
21 effective January 1, 1998:

1 Assessment & Taxation Manager/Senior

2 Equipment Unit Administrator

3 Library Administrator/Central

4 Property/Commissary/Laundry Administrator

5 Section III. Addition of Job Titles and Ranges.

6 The following job titles and pay ranges are added to Exhibit A of Ordinance No.
7 880, effective January 1, 1998:

8 <u>Job Title</u>	<u>Min</u>	<u>Mid</u>	<u>Max</u>
9 Auxiliary Services Admin	\$46,721	\$56,065	\$65,409
10 Clinical Program Pharmacist	\$56,065	\$60,737	\$65,409

11 Section IV. Special Adjustment.

12 The following employee will receive a one-time salary adjustment, effective July
13 1, 1997, to the following annual salary rate. This adjustment is necessary to maintain
14 appropriate internal and external relationships among exempt employees.

15 <u>Employee</u>	<u>Job Title</u>	<u>Annual Salary</u>
16 April Lewis	Employee Services Spec 2	\$46,152

1 ADOPTED the _____ day of _____,

2 1998, being the date of its second reading before the Board of County Commissioners
3 of Multnomah County, Oregon.

4
5 By _____

6 Beverly Stein, Chair

7 MULTNOMAH COUNTY, OREGON

8
9
10
11
12
13 REVIEWED:

14 TOM SPONSLER, COUNTY COUNSEL FOR

15 MULTNOMAH COUNTY, OREGON

16
17
18
19 

20 Tom Sponsler, County Counsel

MEETING DATE: APR 09 1998
AGENDA NO: R-5
ESTIMATED START TIME: 9:45

(Above Space for Board Clerk's Use ONLY)

APR 16 1998

R-5
9:55

AGENDA PLACEMENT FORM

SUBJECT: Ordinance amending risk management code provisions

BOARD BRIEFING:

DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: March 12, 1998
AMOUNT OF TIME NEEDED: 15 minutes

DEPARTMENT: ND

DIVISION: County Counsel

CONTACT: Thomas Sponsler

TELEPHONE #: x22834
BLDG/ROOM #: 106/1530

PERSON(S) MAKING PRESENTATION: Thomas Sponsler

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Amendments to Risk Management Provisions for Multnomah County

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT
MANAGER: _____

Thomas Sponsler

BOARD OF
COUNTY COMMISSIONERS
98 FEB 25 PM 12:02
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277



OFFICE OF MULTNOMAH COUNTY COUNSEL

THOMAS SPONSER
County Counsel

SANDRA N. DUFFY
Chief Assistant

1120 S.W. FIFTH AVENUE, SUITE 1530
PORTLAND, OREGON 97204-1977

FAX 248-3377
(503) 248-3138

SUSAN DUNAWAY
KATIE GAETJENS
GERALD H. ITKIN
JEFFREY B. LITWAK
STEVEN J. NEMIROW
MATTHEW O. RYAN
AGNES SOWLE
JOHN S. THOMAS
JACQUELINE A. WEBER
Assistant

STAFF REPORT: ORDINANCE AMENDING CODE PROVISIONS FOR RISK MANAGEMENT, LOSS PREVENTION AND THE INSURANCE FUND

I. ACTION REQUESTED

Adopt an ordinance which revises the code provisions relating to risk management, loss prevention and the insurance fund (to be renamed "Risk Management Fund").

2. INTRODUCTION

This ordinance updates the existing code sections pertaining to risk management, loss prevention and the risk management fund. They were originally created in 1983, revised in 1988 and again in 1992. The purpose is to bring the code up-to-date with actual practices and make changes to reflect an expanded vision of risk management.

3. ANALYSIS

This ordinance revision:

- ◆ Changes department references to the current name of the Department of Support Services and renames the Insurance Fund as the Risk Management Fund.
- ◆ Clearly articulates the county policy of proactive prevention of losses.
- ◆ Allows expenditures for reasonable litigation and claims expenses incurred in all types of litigation involving the County and its personnel, including, e.g., code enforcement, tax litigation, affirmative litigation and defense of professional employee licensing matters pertinent to the employment.
- ◆ Includes all legal services within the Risk Management Fund. Under current practice, 50% of the County Counsel budget is allocated to the fund and this codification ends that arbitrary division. The role of County Counsel is prevention in both advice and litigation. It also recognizes the County RESULTS policies emphasizing teamwork and client service. Well thought out, timely legal advice to County clients before problems arise is an effective form of loss prevention.

- ◆ Provides the Chair has formal decision-making authority for claims settlement except in cases involving the sheriff. The ordinance does not change current practice. County Counsel works with each effected department at the appropriate level to obtain consensus in claims decisions. This will not change. Each department will have input into a consensus building process.

4. FINANCIAL IMPACT

None. The ordinance merely moves that portion of County Counsel's budget currently in the general fund into the Risk Management fund with no change in dollar amounts.

5. EVALUATION

This recodification, like those previously made in 1983, 1988 and 1992, bring the code up to date to reflect existing practice. In addition, this revision reflects the RESULTS-based aspirations of the County to proactive risk management rather than merely reactive loss adjustment and litigation.

It also reflects the importance of prospective advisory legal services as a key element in loss prevention.

6. LEGAL ISSUES

None.

7. CONTROVERSIAL ISSUES

None.

8. LINK TO CURRENT COUNTY POLICIES AND BENCHMARK

As stated above, the code revisions are designed to reflect both the current actual practices as well as the aspirational element of prevention.

9. CITIZEN PARTICIPATION

None.

10. PARTNERSHIPS AND COLLABORATION

The drafts of the ordinance revisions have been circulated and discussed in detail with Vicki Gates, Jean Miley, David Boyer, Dave Warren and Rudy Williams, each of whom have provided input into the ordinance which now reflects a consensus of all involved.

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

ORDINANCE NO. _____

An Ordinance Amending Risk Management Code Provisions of MCC 2.60

(Shaded Language is to be deleted; Bold Language is new)

Multnomah County ordains as follows:

Section 1. MCC 2.60.115 is amended as follows:

2.60.115. Risk management policy.

The Board of County Commissioners recognizes that a coordinated risk assessment and management, and loss prevention programs are important to the preservation of County assets, the health and safety of its County employees, and the financial interest of Multnomah County's residents. Risk management includes identifying potential loss exposures, analyzing alternatives, selecting and implementing loss reduction methods, and evaluating the results. Multnomah County's policy, with respect to the management of all risks of accidental loss, shall have as its objectives:

(A) The prevention of accidental loss by the creation and administration of a proactive approach to loss prevention and reduction, risk assessment and management. The County will work to create a service environment in which County employees and members of the public can enjoy safety and security while transacting County business.

(B) The protection of the County against the financial consequences of accidental losses.

(C) The preservation of the County's assets and public service capabilities from loss, destruction, or depletion.

(D) The promotion of a balanced, comprehensive and cost-effective mix of exposure identification, risk evaluation, risk treatment and program implementation and monitoring activities.

(E) The minimization of the long-term cost to the County of all activities related to the identification, prevention and control of accidental losses and their consequences.

(F) The creation of a coordinated risk management and employee health and benefits program with internal procedures for incident and claim reporting of all incidents, claims and losses incurred by the County, providing a constant assessment of fluctuating exposure to loss, loss-bearing capacity and available financial resources, including insurance.

The establishment, to the extent possible of an exposure-free County work and service environment in which County employees as well as members of the public can enjoy safety and security in the course of their daily activities.

Section 2. MCC 2.60.120 is amended as follows:

2.60.120. Insurance fund Risk management fund

(A) Definitions: The County has an insurance fund, an internal service fund, a risk management fund (fund) created by the Board of County Commissioners separate from the general fund. The fund was created to centrally account for all expenditures and reserves associated with the protection of the County's assets, employees, programs and operations. Accounts are established in The fund will account for the financing administration of the workers' compensation, general liability, auto liability, property, employee medical/dental employees benefits, legal services, life insurance, long-term disability, retiree insurance,

unemployment and insured and self-insured programs provided for in the County's adopted budget.

(B) *Revenue Sources:* Appropriations to the fund will be made as provided in MCC

2.60.130(B)

(B) *Disbursements:* In accordance with ordinance and administrative procedures,

the The following expenditures may be charged to the insurance fund accounts:

- (1) Insurance premiums for County operations;
- (2) Costs and expenses related to administration, investigation, adjustment and litigation of all insured and uninsured claims, and loss arising from the County's operations;
- (3) All costs for repairing and replacing personal property, money, and improvements to real property owned or leased by the County to the extent the County has contractually assumed risk of loss, where such property losses are within the coverage and retention level of insurance coverage carried by the County;
- (4) Assessments, licenses, fees, and bonds related to programs funded under Section (A) required promulgated by state law statute;
- (5) Employee workers' compensation claim expenditures in accordance to applicable statutes;
- (6) County risk management administration and legal services expenses;
- (7) Loss prevention programs and projects may be funded by the insurance fund if they:
 - (a) Are clearly targeted toward loss control, and are inappropriate for either a budget modification or the regular budget process, or

(b) Are beneficial to all departments, or

(c) (b) Reduce the costs of loss immediately, or

(d) (c) Reduce the administrative costs of the risk management program, or

(e) (d) are mandated by state or federal law and affect more than one department.

Capital projects are excluded unless specifically approved by the Board of County commissioners.

(8) County unemployment obligations and related administrative expenditures;

(9) Employee medical/dental health care claims and insurance claims, health promotion programs, and related administrative expenditures;

(10) Any other insurance or self-insurance related expenditures as deemed appropriate by the County Chair within standard budgetary procedures;

(11) Cost and expenses related to any legal action, matter or proceeding in any court or tribunal when authorized by the Chair, Board, Sheriff or Auditor.

(C) *Fund Reporting.* A report shall be provided semi-annually to the County Chair and Board of County commissioners on the financial status of the insurance fund accounts.

(D) *Fund equity and cash balance.* The insurance fund (equity and cash) balance shall be maintained at a level to pay all claims, premiums, disbursements administration expenditures, reserves and incurred but not reported (IBNR) claims. Amounts shall not be transferred from the insurance fund unless a program defined by subsection (A) MCC 2.60.120(A) is discontinued without further financial obligation and/or it is determined by a qualified independent actuary that the fund level may be adjusted.

(1) In order to obtain an exemption from the security deposit requirement under Oregon Revised Statute 656.407, the worker's compensation reserves established by

the actuarial evaluation performed under MCC 2.60.120(F) are dedicated for payments of compensation and amounts due the director of the Department of Insurance and Finance of the State of Oregon. The director of the department of insurance shall have first lien and priority rights to the full amount of the worker's compensation funds required to pay the present discounted value of all present and future claims under ORS Chapter 656.

(E) An actuarial evaluation shall be performed by a qualified independent actuary on the worker's compensation, retiree insurance and liability sections of the insurance fund at least once every three years.

Section 3. MCC 2.60.130 is amended to read as follows:

2.60.130. Risk management function.

(A) The office of the chair Department of Support Services shall direct and manage all risk management and employee health and benefit insurance programs for Multnomah the County. Authority granted to the Department may be delegated to the Risk Management Section of the Finance Division. The Authority granted to the Department includes, but is not limited to, the following authority:

- (1) To purchase all insurance coverage required by law and contracts, or desirable for the effective and efficient operation of County government including, but not limited to: casualty insurance, property insurance, workers' compensation insurance, and other specialty forms of coverage;
- (2) To consolidate insurance coverage and combine with self-insurance as is in the best interest of the County;

(B) The Department of Support Services in consultation with County Counsel shall direct and manage all risk management and loss prevention programs for the County. The authority granted includes, but is not limited to, the following:

(3) (1) To acquire actuarial, claims management, investigative and appraisal services for insured and self-insured program administration;

(4) (2) To promulgate rules and procedures to govern the administration of the County's insurance and risk management activities;

(5) (3) To administer all loss prevention activities and claims arising from and out of the County's operations including, but not limited to, the County's general, auto and professional liability, auto property, workers' compensation, employee health care life and disability benefits and unemployment claims exposures;

(6) (4) To coordinate the claims activity internally and/or with contracted claims service providers, legal counsel, department management and insurance companies;

(7) (5) To identify loss exposures and administer programs to control and minimize losses sustained on to County assets, and property, employees and the general public doing business with the County;

(8) (6) To develop and maintain an information system for timely and accurate recording of loss experience, insurance premiums, property values, insurance fund cash flow and reserving obligations and other identified risk related information;

(9) (7) To develop manuals and programs for training County personnel on loss control/safety programs and activities techniques;

(10) (8) To ascertain that contributions to the fund are adequate and appropriations and reserve balances are financially and actuarially sound.

(B) (C) The planning and budget division and finance division Department of Support Services shall apportion to and collect from each County department, office, board, or commission its contribution to a total sum for loss reserves, risk management and County Counsel administrative expenses, insurance premiums and loss expenditures. The contribution shall be based, wherever appropriate, upon the relative exposure and loss experience of each department for each aspect of risk and will be maintained in the County's insurance fund and subject to annual budgetary approval.

Section 4. MCC 2.60.140 is amended to read as follows:

2.60.140. Risk assessment and loss prevention.

Departments shall be responsible to conform to county, state and federal safety standards. Each Administrators, managers, and supervisors shall be responsible to conduct their his/her operations in a manner which will safeguard the County's assets from loss or damage and employees from employment-related illness and injury. Each department in consultation with the Department of Support Services and County Counsel shall identify significant risks to the general public doing business with the County, County employees and County property. Where significant risks are identified, the Department of Support Services and County Counsel will recommend remedial action. Departments will be taken take action to reduce these exposures within available County resources. Managers are responsible for reporting all losses or claims to the risk management division Department of Support Services, regardless of size of loss, in a timely manner as directed by County administrative procedures. The Department of Support Services Risk management is responsible for ensuring that mechanisms exist for reporting, record keeping and follow-up and that these are known throughout the County.

Section 5. MCC 2.60.050 is amended as follows:

2.60.050. Authority.

Authority for settlement of ~~general liability~~ claims and litigation against the County or its employees ~~and to enter into disputed claim settlements in workers' compensation cases~~ shall rest with the County Chair or ~~his/her~~ the Chair's designee, except that claims arising out of the sheriff's office shall be settled upon authority of the sheriff or the sheriff's designee.

Section 6. Effective Date.

This Ordinance shall become effective on July 1, 1998.

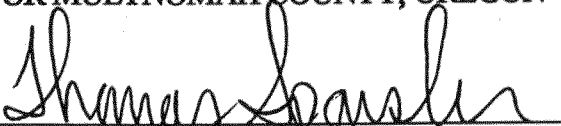
ADOPTED this ____ day of April, 1998, being the date of its second reading before the Board of County Commissioners of Multnomah County, Oregon.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON



Thomas Sponsler, County Counsel

H:/Data/Advisory/Ordinances/165 Risk Management/Loss Prevention

BOGSTAD Deborah L

From: TRACHTENBERG Robert J
Sent: Tuesday, March 31, 1998 11:13 AM
To: SPONSLER Thomas
Cc: BOGSTAD Deborah L
Subject: RE: R-4

I think the Board should pass an amended version for the first reading. I just want to be sure the changes are ready and get to Deb.

From: SPONSLER Thomas
Sent: Tuesday, March 31, 1998 11:08 AM
To: TRACHTENBERG Robert J
Subject: RE: R-4

I had not planned to for the first reading. I had thought the changes could be noted and an amendment made at the meeting. The changes would then be made for the 2nd reading. Would you prefer a substitute with the changes for the 4/2 meeting?

From: TRACHTENBERG Robert J
Sent: Tuesday, March 31, 1998 10:52 AM
To: SPONSLER Thomas
Subject: R-4

Are you going to be preparing submitting amendments or a substitute ordinance with the changes to 2.60.115 and 2.60.050?

BOGSTAD Deborah L

From: BOGSTAD Deborah L
Sent: Tuesday, March 31, 1998 11:47 AM
To: STEIN Beverly E; HANSEN Gary D; KELLEY Sharron E
Cc: BAX Carolyn M; FORD Carol M; DELMAN Mike H; TRACHTENBERG Robert J; MILEY Jean M; SPONSLER Thomas
Subject: Proposed amendments to R-4 risk management code provisions ordinance

I will need an amendment to page 1, section 2.60.115, first sentence . . . and loss prevention programs - to not delete "are" important to the preservation of County assets, . .

and

an amendment to page 8, section 2.60.050, first sentence Authority for settlement of - to not delete "general liability" claims and litigation against the County . . .

BOGSTAD Deborah L

From: BOGSTAD Deborah L
Sent: Tuesday, March 31, 1998 2:19 PM
To: STEIN Beverly E; HANSEN Gary D; KELLEY Sharron E
Cc: WALKER Jerry W; BAX Carolyn M; FORD Carol M; DELMAN Mike H; TRACHTENBERG Robert J; BOYER Dave A; MILEY Jean M; SPONSLER Thomas
Subject: 4 Amendments to R-4 risk management code provisions ordinance
Importance: High

Thanks to eagle eyes out there, two areas have been identified as Scribner's errors which will need an amendment to correct. I am attaching the proposed corrected ordinance below. On April 2nd, I would appreciate if Gary would be so kind to move, and Sharron second the following amendments:

Amendment to page 1, section 2.60.115, first sentence . . . and loss prevention programs - to not delete "are" important to the preservation of County assets, . . .

and

Amendment to page 4, section (C) deleting "semi", to read annually;

and

Amendment to page 7, section (C) deleting "County's insurance", to read in the fund

and

Amendment to page 8, section 2.60.050, first sentence Authority for settlement of - to not delete "general liability" claims and litigation against the County . . .

Thank you for your assistance and patience!!



Risk Management
s Prevention Ordinan

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

ORDINANCE NO. _____

An Ordinance Amending Risk Management Code Provisions of MCC 2.60

(Shaded Language is to be deleted; Bold Language is new)

Multnomah County ordains as follows:

Section 1. MCC 2.60.115 is amended as follows:

2.60.115. Risk management policy.

The Board ~~of County Commissioners~~ recognizes that ~~a~~ **coordinated risk assessment and management, and loss prevention** programs are important to the preservation of County assets, the **health and safety of its** County employees, and the financial interest of Multnomah County's residents. **Risk management includes identifying potential loss exposures, analyzing alternatives, selecting and implementing loss reduction methods, and evaluating the results.** Multnomah County's ~~policy, with respect to the management of all risks of accidental loss~~ shall have as its objectives:

(A) **The prevention of accidental loss by the creation and administration of a proactive approach to loss prevention and reduction, risk assessment and management. The County will work to create a service environment in which County employees and members of the public can enjoy safety and security while transacting County business.**

~~(A)~~ (B) **The protection of the County against the financial consequences of accidental losses.**

~~(B)~~ (C) **The preservation of the County's assets and public service capabilities from loss, destruction, or depletion.**

(C) (D) The promotion of a balanced, comprehensive and cost-effective mix of exposure identification, risk evaluation, risk treatment and program implementation and monitoring activities.

(E) The minimization of the long-term cost to the County of all activities related to the identification, prevention and control of accidental losses and their consequences.

(D) (F) The creation of a coordinated risk management and employee health and benefits program with internal procedures for **incident and claim** reporting of all incidents, claims and losses incurred by the County, providing a constant assessment of fluctuating exposure to loss, loss-bearing capacity and available financial resources, including insurance.

(E) The establishment, to the extent possible of an exposure-free County work and service environment in which County employees as well as members of the public can enjoy safety and security in the course of their daily activities

Section 2. MCC 2.60.120 is amended as follows:

2.60.120. **Insurance fund Risk management fund**

(A) Definitions: The County has **an insurance fund, an internal service fund, a risk management fund (fund)** created by the Board **of County Commissioners** separate from the general fund. The fund was created to **centrally** account for **all** expenditures and reserves associated with the protection of the County's assets, employees, programs and operations. **Accounts are established in** The fund will account for the financing administration of the workers' compensation, general liability, auto liability, property, employee medical/dental **employees** benefits, legal services, life insurance, long-term disability, retiree insurance,

unemployment and insured and self-insured programs provided for in the County's adopted budget.

(B) *Revenue Sources:* Appropriations to the fund will be made as provided in MCC

2.60.130(B)

(B) *Disbursements:* In accordance with ordinance and administrative procedures,

the following expenditures may be charged to the insurance fund accounts:

- (1) Insurance premiums for County operations;
- (2) Costs and expenses related to administration, investigation, adjustment and litigation of all insured and uninsured claims, and loss arising from the County's operations;
- (3) All costs for repairing and replacing personal property, money, and improvements to real property owned or leased by the County to the extent the County has contractually assumed risk of loss, where such property losses are within the coverage and retention level of insurance coverage carried by the County;
- (4) Assessments, licenses, fees, and bonds related to programs funded under

Section (A) required promulgated by state law statute;

- (5) Employee workers' compensation claim expenditures in accordance to applicable statutes;
- (6) County risk management administration and legal services expenses;
- (7) Loss prevention programs and projects may be funded by the insurance fund if they:
 - (a) Are clearly targeted toward loss control, and are inappropriate for either a budget modification or the regular budget process; or

(b) Are beneficial to all departments, or

(c) (b) Reduce the costs of loss immediately, or

(d) (c) Reduce the administrative costs of the risk management program, or

(e) (d) are mandated by state or federal law and affect more than one department.

Capital projects are excluded unless specifically approved by the Board of County commissioners.

(8) County unemployment obligations and related administrative expenditures;

(9) Employee medical/dental health care claims and insurance claims, health promotion programs, and related administrative expenditures;

(10) Any other insurance or self-insurance related expenditures as deemed appropriate by the County Chair within standard budgetary procedures;

(11) Cost and expenses related to any legal action, matter or proceeding in any court or tribunal when authorized by the Chair, Board, Sheriff or Auditor.

(C) *Fund Reporting.* A report shall be provided annually to the County Chair and Board of County commissioners on the financial status of the insurance fund accounts.

(D) *Fund equity and cash balance.* The insurance fund (equity and cash) balance shall be maintained at a level to pay all claims, premiums, disbursements administration expenditures, reserves and incurred but not reported (IBNR) claims. Amounts shall not be transferred from the insurance fund unless a program defined by subsection (A) MCC 2.60.120(A) is discontinued without further financial obligation and/or it is determined by a qualified independent actuary that the fund level may be adjusted.

(1) In order to obtain an exemption from the security deposit requirement under Oregon Revised Statute 656.407, the worker's compensation reserves established by

the actuarial evaluation performed under MCC 2.60.120(F) are dedicated for payments of compensation and amounts due the director of the Department of Insurance and Finance of the State of Oregon. The director of the department of insurance shall have first lien and priority rights to the full amount of the worker's compensation funds required to pay the present discounted value of all present and future claims under ORS Chapter 656.

(E) An actuarial evaluation shall be performed by a qualified independent actuary on the worker's compensation, retiree insurance and liability sections of the insurance fund at least once every three years.

Section 3. MCC 2.60.130 is amended to read as follows:

2.60.130. Risk management function.

(A) The office of the chair Department of Support Services shall direct and manage all risk management and employee health and benefit insurance programs for Multnomah the County. Authority granted to the Department may be delegated to the Risk Management Section of the Finance Division. The Authority granted to the Department includes, but is not limited to, the following authority:

- (1) To purchase all insurance coverage required by law and contracts, or desirable for the effective and efficient operation of County government including, but not limited to, casualty insurance, property insurance, workers' compensation insurance, and other specialty forms of coverage;
- (2) To consolidate insurance coverage and combine with self-insurance as is in the best interest of the County;

(B) The Department of Support Services in consultation with County Counsel shall direct and manage all risk management and loss prevention programs for the County. The authority granted includes, but is not limited to, the following:

(3) (1) To acquire actuarial, claims management, investigative and appraisal services for insured and self-insured program administration;

(4) (2) To promulgate rules and procedures to govern the administration of the County's insurance and risk management activities;

(5) (3) To administer all loss prevention activities and claims arising from and out of the County's operations including, but not limited to, the County's general, auto and professional liability, auto, property, workers' compensation, employee health care life and disability benefits and unemployment claims exposures;

(6) (4) To coordinate the claims activity internally and/or with contracted claims service providers, legal counsel, department management and insurance companies;

(7) (5) To identify loss exposures and administer programs to control and minimize losses sustained on to County assets, and property, employees and the general public doing business with the County;

(8) (6) To develop and maintain an information system for timely and accurate recording of loss experience, insurance premiums, property values, insurance fund cash flow and reserving obligations and other identified risk related information;

(9) (7) To develop manuals and programs for training County personnel on loss control/safety programs and activities techniques;

(10) (8) To ascertain that contributions to the fund are adequate and appropriations and reserve balances are financially and actuarially sound.

(B) (C) The **planning and budget division and finance division** Department of Support Services shall **may** apportion to and collect from each County department, office, board, or commission its contribution **to a total sum** for loss reserves, **risk management and County Counsel administrative** expenses, insurance premiums and loss expenditures. The contribution shall be based, wherever appropriate, upon the relative exposure and loss experience of each department for each aspect of risk and will be maintained in the fund and subject to annual budgetary approval.

Section 4. MCC 2.60.140 is amended to read as follows:

2.60.140. Risk assessment and loss prevention.

Departments shall be responsible to conform to county, state and federal safety standards. **Each** Administrators, managers, and supervisors shall be responsible to conduct **their his/her** operations in a manner which will safeguard the County's assets from loss or damage and employees from employment-related illness and injury. **Each department in consultation with the Department of Support Services and County Counsel shall identify significant risks to the general public doing business with the County, County employees and County property. Where significant risks are identified, the Department of Support Services and County Counsel will recommend remedial action. Departments will be taken** take action to reduce these exposures within available County resources. Managers are responsible for reporting all losses or claims to the **risk management division** Department of Support Services, regardless of size of loss, in a timely manner as directed by County administrative procedures. **The Department of Support Services Risk management** is responsible for ensuring that mechanisms exist for reporting, record keeping and follow-up and that these are known throughout the County.

Section 5. MCC 2.60.050 is amended as follows:

2.60.050. Authority.

Authority for settlement of general liability claims and litigation against the County or its employees and to enter into disputed claim settlements in workers' compensation cases shall rest with the County Chair or ~~his/her~~ the Chair's designee, except that claims arising out of the sheriff's office shall be settled upon authority of the sheriff or the sheriff's designee.

Section 6. Effective Date.

This Ordinance shall become effective on July 1, 1998.

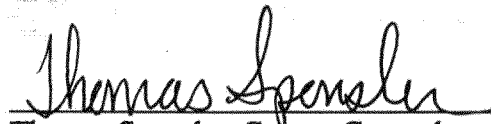
ADOPTED this _____ day of April, 1998, being the date of its second reading before the Board of County Commissioners of Multnomah County, Oregon.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON


Thomas Sponsler, County Counsel

H:/Data/Advisory/Ordinances/165 Risk Management/Loss Prevention

APR 16 1998

MEETING DATE: April 9, 1998

AGENDA #: R-6

ESTIMATED START TIME: 10:55 am 9:57

(Above Space for Board Clerk's use only)

AGENDA PLACEMENT FORM

SUBJECT: Intergovernmental Agreement with the City of Gresham

BOARD BRIEFING:

DATE REQUESTED: _____

REQUESTED BY: _____

AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: Thursday, April 9, 1998

AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: Non-Departmental

DIVISION: Chair Beverly Stein

CONTACT: John Rakowitz

TELEPHONE #: 306-5797

BLDG/ROOM #: 106/1515

PERSON(S) MAKING PRESENTATION: John Rakowitz

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Intergovernmental Agreement 501108 with the City of Gresham Establishing a
Permanent Revenue Sharing Formula for the Community Service Fee Paid by LSI
Logic per the Strategic Investment Program

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

Beverly Stein

(OR)

DEPARTMENT

MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions? Call the Board Clerk @ 248-3277

CLERK OF
COUNTY COMMISSIONERS
98 APR - 2 PM 7:00
MULTNOMAH COUNTY
OREGON

SUPPLEMENTAL STAFF REPORT

TO: Board of County Commissioners

FROM: John Rakowitz, Chair's Office

DATE: 4/8/98

RE: Community Service Fee Revenue Sharing Formula

1. Recommendation/Action Requested:

Board approval is requested for the Intergovernmental Agreement with the City of Gresham establishing a permanent revenue sharing formula for the Community Service Fee.

2. Background/Analysis:

The 1995 Strategic Investment Program (SIP) Agreement entered into by Multnomah County, City of Gresham and LSI Logic provides that LSI Logic will pay a Community Service Fee equal to 25% of the property taxes abated, not to exceed two million dollars in any tax year.

The first tax abatement and Community Service Fee revenues are expected to occur in tax year 1998-99.

Oregon law requires Multnomah County to distribute the Community Service Fees based upon an agreement with Gresham, the City within which the project is located.

Multnomah County and the City of Gresham recently conducted a joint analysis to examine potential revenue sharing options. The analysis included a review of state statutes, Multnomah County SIP policies and existing property tax formulas.

This analysis concluded the most equitable approach would be to model the formula after the property tax distribution rates in effect.

The recommended formula of 53% Multnomah County/47% Gresham reflects the approximate share each jurisdiction would have received if no SIP abatement program existed.

This rate will be applied annually to the CSF revenues after the established SIP administration costs are deducted.

3. Financial Impact:

The total estimated Community Service Fee revenues anticipated over the life of the agreement is approximately 25 million dollars. This Intergovernmental Agreement establishes a permanent source of non-general fund revenue to fund SIP administration costs for the life of the SIP agreement. Multnomah County's share of community service fee revenues will be a subject of the annual budget process.

4. Legal Issues:

There are no known legal issues associated with this agreement.

5. Controversial Issues:

There are no known controversial issues associated with this agreement.

6. Link to Current County Policies:

The County/City distribution agreement required by Oregon law was not a matter addressed in the SIP agreement or the County SIP policy.

7. Citizen Participation:

This agreement will be considered for Board action at a regular public meeting.

8. Other Government Participation:

The formula established in the Intergovernmental Agreement was the result of the joint City/County analysis. Gresham City Council unanimously approved this Intergovernmental Agreement at its regular meeting on 4/7/98.



CONTRACT APPROVAL FORM

(See Administrative Procedure #2106)

Rev. 5/92

MULTNOMAH COUNTY OREGON

Contract # 501108

Amendment #

<p>CLASS I</p> <p><input type="checkbox"/> Professional Services under \$25,000</p>	<p>CLASS II</p> <p><input type="checkbox"/> Professional Services over \$25,000 (RFP, Exemption)</p> <p><input type="checkbox"/> PCRB Contract</p> <p><input type="checkbox"/> Maintenance Agreement</p> <p><input type="checkbox"/> Licensing Agreement</p> <p><input type="checkbox"/> Construction</p> <p><input type="checkbox"/> Grant</p> <p><input type="checkbox"/> Revenue</p>	<p>CLASS III</p> <p><input checked="" type="checkbox"/> Intergovernmental Agreement</p> <p>APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS</p> <p>AGENDA # _____ DATE _____</p> <p>BOARD CLERK</p>
--	--	--

Department Non-Departmental Division Chair Beverly Stein Date April 2, 1998

Contract Originator John Rakowitz Phone 306-5797 Bldg/Room 106/1515

Administrative Contact John Rakowitz Phone 306-5797 Bldg/Room 106/1515

Description of Contract Community Service Fee Revenue Sharing Formula Intergovernmental Agreement with the City of Gresham Paid by LSI Logic per the Strategic Investment Program

RFP/BID # _____ Date of RFP/BID _____ Exemption Exp. Date _____

ORS/AR # _____ Contractor is ☐ MBE ☐ WBE ☐ QRF

Contractor Name City of Gresham

Mailing Address 1333 NW Eastman Parkway
Gresham, Or. 97030-3813

Phone _____

Employer ID# or SS# _____

Effective Date Upon execution

Termination Date _____

Original Contract Amount \$ _____

Total Amount of Previous Amendments \$ _____

Amount of Amendment \$ _____

Total Amount of Agreement \$ _____

REQUIRED SIGNATURES:

Department Manager _____

Purchasing Director _____
(Class II Contracts Only)

County Counsel Sandra N. Duffy

County Chair / Sheriff _____

Contract Administration _____
(Class I, Class II Contracts Only)

LSI Logic Corporation
23400 NE Glisan, Gresham, Or. 97030
Remittance Address _____
(If Different)

Payment Schedule _____ Terms _____

☐ Lump Sum \$ _____ ☐ Due on receipt

☐ Monthly \$ _____ ☐ Net 30

☐ Other \$ _____ ☐ Other _____

☐ Requirements contract - Requisition required.

Purchase Order No. _____

☐ Requirements Not to Exceed \$ _____

Encumber: Yes ☐ No ☐

Date _____

Date _____

Date 4-3-98

Date _____

Date _____

VENDOR CODE			VENDOR NAME						TOTAL AMOUNT \$		
LINE NO.	FUND	AGENCY	ORGANIZATION	SUB ORG	ACTIVITY	OBJECT/ REV SRC	SUB OBJ	REPT CATEG	LGFS DESCRIPTION	AMOUNT	INC/ DEC IND
01.											
02.											
03.											
* If additional space is needed, attach separate page. Write contract # on top of page.											

INSTRUCTIONS ON REVERSE SIDE

WHITE - CONTRACT ADMINISTRATION

CANARY - INITIATION

PINK - FINANCE

COMMUNITY SERVICE FEE REVENUE SHARING FORMULA INTERGOVERNMENTAL AGREEMENT

Pursuant to ORS 190.010, MULTNOMAH COUNTY through the Strategic Investment Program (COUNTY) and CITY OF GRESHAM (CITY) enter into the following Intergovernmental Agreement:

RECITALS

1. The COUNTY, the CITY and LSI Logic Corporation (LSI) entered into a Strategic Investment Program Contract (the SIP contract) on August 3, 1995.
2. Pursuant to SIP contract provision II.B.1 and ORS 285.330, LSI agreed to pay a Community Service Fee (CSF) equal to 25% of the property taxes abated, not to exceed two million dollars, in any tax year under the Strategic Investment Program. The CSF is estimated at 25 million dollars over the life of the SIP contract.
3. Pursuant to ORS 285.330 the COUNTY and the CITY must enter into an agreement to determine the distribution of the CSF.
4. It is anticipated by the parties that the first year of tax abatements and payment of a CSF will occur in tax year 1998-99. The first payment, pursuant to the SIP contract, will be made prior to November 15, 1998.
5. The COUNTY and the CITY conducted an analysis to determine an equitable basis for a long term CSF revenue sharing agreement.
6. The analysis included the SIP law, Multnomah County SIP policy and existing property tax distribution formulas already in existence.
7. The analysis concluded that the best approach to the distribution formula was to model it after existing property tax distribution processes and to fix the formula for the term of the SIP agreement (maximum of 15 years). The formula is based on an average of property tax rates over the last four years. The formula reflects the approximate share of revenue each jurisdiction would have received if no SIP tax abatement program existed.
8. The COUNTY and the CITY have determined that a distribution formula will be in the best interests of both jurisdictions, with actual expenditures determined in the annual budget process of each jurisdiction.

TERMS AND CONDITIONS

9. SIP direct administrative costs (as set out in Exhibit 1 attached) shall be deducted first from the annual CSF payment made by LSI. Formula distributions to the COUNTY and CITY are the net proceeds after deduction of the SIP administrative costs.
10. The COUNTY shall disburse to the CITY 47% of the net CSF proceeds collected each year from LSI.
11. The COUNTY shall retain 53% of the net CSF proceeds collected each year from LSI.
12. The COUNTY and the CITY agree that each jurisdiction is solely responsible for determining the use of their proportionate share of the CSF funds.
13. This agreement is effective upon execution by both parties and terminates when no tax abatement benefit accrues to LSI and no CSF is paid by LSI to COUNTY. It is anticipated to be in effect for 15 years beginning November, 1998.

MULTNOMAH COUNTY

CITY OF GRESHAM

Beverly Stein, Chair
Multnomah County Commissioners

Gussie McRobert
Mayor, City of Gresham

Date

Date

Reviewed:

Reviewed:
City Attorney

Sandra N. Duffy

Sandra N. Duffy
Chief Assistant County Counsel

Richard Faus
Sr. Asst. City Attorney

H:\Data\Advisory\Duffy\Community Service Fee.IGA.doc

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # _____ DATE _____

BOARD CLERK

**ADMINISTRATIVE COSTS OF THE STRATEGIC
INVESTMENT PROGRAM**

Detail

Year	County Admin cost
1998-1999	\$ 100,000.00
1999-2000	\$ 100,000.00
2000-2001	\$ 100,000.00
2001-2002	\$ 100,000.00
2002-2003	\$ 100,000.00
2003-2004	\$ 75,000.00
2004-2005	\$ 75,000.00
2005-2006	\$ 75,000.00
2006-2007	\$ 75,000.00
2007-2008	\$ 75,000.00
2008-2009	\$ 50,000.00
2009-2010	\$ 50,000.00
2010-2011	\$ 50,000.00
2011-2012	\$ 50,000.00
2012-2013	\$ 50,000.00
Total	\$1,125,000.00

Note: LSI Logic advanced \$197,000 in CSF money from 95-98 to the County for administrative purposes.

MEETING DATE: APR 16 1998
AGENDA NO: B-7
ESTIMATED START TIME: 10:00

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: PCRB EXEMPTION REQUEST FOR PURCHASE OF IBM HARDWARE FOR THE ASSESSMENT AND TAXATION COMPUTER SYSTEM

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: APRIL 16, 1998
AMOUNT OF TIME NEEDED: 5 MINUTES

DEPARTMENT: DSS/DES DIVISION: Purchasing/A&T
CONTACT: Franna Hathaway/Doug Fischer TELEPHONE #: 22651/83670
BLDG/ROOM #: 421/1st 327

PERSON(S) MAKING PRESENTATION: Franna Hathaway/Doug Fischer

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

REQUEST FOR EXEMPTION FOR THE PURCHASE OF IBM HARDWARE FOR THE ASSESSMENT AND TAXATION COMPUTER SYSTEM FROM THE SOFTWARE GROUP

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT
MANAGER: JA



CLERK OF
COUNTY COMMISSIONERS
MULTI-JURISDICTIONAL COUNTY
OREGON
98 APR 8 AM 11:30

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

**BOARD OF COUNTY COMMISSIONERS
AGENDA ITEM BRIEFING
STAFF REPORT SUPPLEMENT**

TO: BOARD OF COUNTY COMMISSIONERS

FROM: *JA* Franna Hathaway, Purchasing Manager

TODAY'S DATE: April 7, 1998

REQUESTED PLACEMENT DATE: April 16, 1998

RE: Request for exemption from the formal competitive bid process for the purchase of IBM hardware for the Assessment and Taxation Computer System from The Software Group

I. Recommendation/Action Requested:

The Department of Environmental Services, Assessment and Taxation Division has requested an exemption from the formal competitive bid process for the purchase of a IBM hardware platform to support a new A&T computer system.

II. Background/Analysis:

In late 1996 the County did a request for proposal to purchase a new A&T system. Prior to a contract award Oregon voters passed Measure 47. A decision was made to postpone the purchase of a new system until M47 was implemented.

Earlier this year, Marion County did an RFP and selected The Software Group (TSG) to provide their Assessment and Taxation system. There are four components to this contract award, software, hardware, services, and maintenance. Marion County's contracts allow for other governmental entities to purchase off these contracts. Since Marion County is currently using Hewlett Packard (HP) equipment and has staff trained in it's use, they specified HP hardware in their RFP. Multnomah County has IBM equipment and trained staff.

The TSG system can be run on either a HP or IBM platform therefore three of the four components of the Marion County contracts that A&T wishes to contract for are as specified in the RFP. TSG is a full solution provider and in addition to providing the software and support will be responsible for working with the County to identify the size and capacity for all hardware to fit our needs. TSG will then procure the hardware as part of the overall package to be purchased from them. The hardware cost is approximately \$319,621.

Time is of the essence. A new Assessment and Taxation system must be fully installed and implemented in order to meet all Year 2000 requirements.

III. Financial Impact:

Cost savings are difficult to identify but due to the fact that County staff are trained on IBM equipment and have no knowledge or experience with HP equipment there would be a considerable increase in the staff time required to install and maintain this system. Additionally interfacing with other County systems will be more costly due to the lack of familiarity with HP products such as installing or supporting connectivity to/from the WAN, Enterprise Server or the MINT.

IV. Legal Issues:

ORS 279.015 (g), allows public agencies to make purchases based on the competitive procurement process of other public agencies provided that they are for substantially the same product.

V. Controversial Issues:

N/A

VI. Link to Current County Policies:

PCRB Rule 10.140 allows the Board to grant exemptions to the competitive bid process. This exemption request is to approve the purchase of IBM hardware which is not substantially the same product as specified in the Marion County procurement. PCRB Rule 20.060 allows the Board to grant exemptions for a specific brand of equipment when the efficient utilization of existing equipment requires the acquisition of compatible equipment.

VII. Citizen Participation

N/A

VIII. Other Government Participation:

Marion County did a formal request for proposal process for the Assessment and Taxation system awarding contracts to TSG. Washington County has used the TSG system for 10 years and Lincoln, Klamath, and Josephine Counties have also purchased and are using this system.



MULTNOMAH COUNTY OREGON

DEPARTMENT OF ENVIRONMENTAL SERVICES
2115 S.E. MORRISON
PORTLAND, OREGON 97214
(503) 248-5000

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
DAN SALTZMAN • DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
TANYA COLLIER • DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

TO: Franna Hathaway
From: Larry Nicholas *Larry E. Nicholas*
Date: April 6, 1998
Subject: Exemption

Background

The Assessment & Taxation (A&T) system was developed in-house. The main part of the system was developed 20 years ago. Since then a number of subsystems have been developed to give A&T additional automated support. A&T is looking to replace this system for a variety of reasons.

1. The current system is not Year 2000 (Y2K) compliant – Effects approximately 1500 programs.
2. The structure of the main database file is outdated and does not support current business requirements. One example is when the current system was developed, 6 years of tax history was sufficient. Today tax payment history requirements can span more than seven years. The file structure does not allow for more than 6 years. A&T uses sub-accounts to track additional years. This work around has resulted in the loss of revenue and additional work for the staff in setting up and tracking the sub-account.
3. COBOL programs (80) contain code that is not supported by Software AG, the Adabas support group, and support skills are essentially unavailable in either permanent or contractor staff.
4. Legislation in the last few years has made programming support for this system expensive. Particularly Measure 5 in 1991 and Measure 50 in 1997 each cost over \$700K to re-program. It is assumed that on-going developments in tax legislation will continue to affect required maintenance support.

5. Due to the added mandated programming, enhancements to the current system have been minimal leaving business areas with minimal automated functionality.

Late 1996, A&T developed a request for proposal (RFP) to purchase a new system. While that RFP was out, Oregon voters passed Measure 47. A&T management decided to postpone the new system decision until M47 was implemented.

Earlier this year, Marion County selected The Software Group (TSG) Assessment and Taxation System using the request for proposal process. TSG is a Texas Company. Their system is installed in more than 80 counties in Texas. TSG is running at 4 counties in Oregon (Washington, Lincoln, Klamath, and Josephine). Washington County, Oregon has been using this system for 10 years. The Marion County contracts include language that allows us to purchase the TSG system off their contract.

A&T management believe the TSG system is the system they would select if they were to go out with another RFP for the following reasons.

- a. TSG has been in business since 1981
- b. System is Y2K compliant.
- c. The system has been running in Oregon for 10 years at Washington County. Also, it is installed in Klamath, Josephine and Lincoln counties. Contracts are signed with Marion County for installation July, 1998.
- d. The system complies with all Oregon Tax Laws. They have a proven record of being able to meet Oregon mandated functions.
- e. System provided M5 and M50 legislative upgrades with minimal impact to county. The cost to counties for the 1997 M50 change was covered through their normal maintenance agreement.
- f. Sharing a base system with other Oregon counties also provides a sharing of the overall cost of legislative mandates. The M50 changes replaced additional system functions or enhancements for the year, but all four existing county accounts now have the same process for handling the changes.
- g. Participation in a TSG user group for the Oregon product brings each county more in line with a streamlined business solution for everyone, providing some level of continuity. Each county then shares in software upgrades without having to pay the full price.
- h. Although TSG does not run in a true GUI Windows environment, they have attempted to provide links to many of the Windows features available. Some of those features are:
 - Data exchange links
 - Merge documents
 - Access to Windows programming tools
 - Storage of image files
- i. The system runs on client-server, providing ability to separate the functional data processes used daily at A&T from the daily queries of non-A&T entities

- j. The company has a proven track record of on-time delivery of systems, data conversion and software upgrades both in and out of Oregon.
- k. Staff to support development and on-going maintenance seems adequate based on the current client base and the reported support performance.
- l. Daily operations are a "lights out" process, with the burden of support carried by TSG in Texas.

Why the Exemption

TSG is a full solution provider. Their contract will cover all aspects of the system, software, hardware, and support for both. They will be responsible for identifying the size and capacity for all hardware to fit our needs. Multnomah County Assessment & Taxation plans to purchase the system software and system support using the Marion County contracts. We would contract to purchase the hardware under this exemption agreement in the estimated amount of \$319,621.

Marion County selected Hewlett Packard (HP) equipment to run the TSG system. Marion County selected the HP equipment for compatibility with other equipment in their computer room. Their computer staff is familiar with HP equipment, interfaces, and support staff.

The TSG system can be run on either a HP or IBM platform. There are a number of compelling reasons to request that the system be installed on the IBM RS 6000 platform.

1. The vendor (TSG) has more experience running the application on the RS 6000 platform and recommends that choice.
2. Multnomah County has had 30+ years experience working with IBM and no experience working with HP. The respective staffs know how to interface to each other. The County staff knows how to get information and solicit help from the appropriate IBM resource. The County knows who are the experts at IBM regarding the many issues that will need to be addressed during this project. There would be a learning period required with HP to build this database of experience and establish the necessary working relationships.
3. Our Technical Support staff has had AIX (the operating system for the RS/6000) training in operating system utilities and interfaces.
4. The new system will need to build several interfaces to the County IBM enterprise server. This will be necessary so that large reports and tax bills can be printed on the enterprise server's high-speed laser printer. Also, interfaces are needed to permit other government agencies that are attached to the IBM enterprise server to access the new A&T system. With both platforms being

supplied by the same manufacturer (IBM) more options for connecting the platforms are available.

5. Both platforms being from the same manufacturer eliminates the scenario of HP and IBM blaming each other when something does not work as expected.
6. The County is familiar with the RS 6000 line of computers. It has no knowledge or experience with the HP line of equipment. Minimal training for the HP solution would be 2 Systems programmers for two weeks. In addition, 6 computer operators would also need to receive training.
7. The hidden costs are more subtle, for instance, when installing or supporting connectivity to/from the WAN, Enterprise Server or the MINT, the process would take much longer due to the unfamiliarity with HP products. Further, every future change would incur this penalty - not a good start on a project in which time is so critical!

While many of these issues can be overcome eventually, they have the potential to delay the project and increase its risk. The "drop-dead" nature of not being prepared in time for the Year 2000 increases the potential negative impacts if the project is delayed beyond its deadline. The project has many challenges to meet. Selecting the equipment is one of the key decisions that has to be made before other things can progress. We would like to resolve the equipment vendor issue in a timely manner through an exemption from bid process.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD

In the Matter of exempting from the formal)
competitive bid process a contract for the)
purchase of IBM hardware for the A&T)
computer system from The Software Group)

A P P L I C A T I O N

Application to the Public Contract Review Board on behalf of a request from the Department of Environmental Services, Assessment and Taxation Division, is hereby made pursuant to the Board's Administrative Rules 10.140 and 20.060 adopted under the provisions of ORS 279.015 for an order exempting a contract for the purchase of IBM hardware for the Assessment and Taxation computer system from The Software Group for the approximate amount of \$319,621.

The Assessment and Taxation computer system consists of four components, software, hardware, services and maintenance. The software, services and maintenance may all be procured under the Marion County RFP for these products.

Due to the fact that Marion County specified Hewlett Packard hardware in it's RFP and Multnomah County requires IBM hardware for compatibility and efficiency the hardware component may not be purchased based on the Marion County RFP.

The Software Group is a full solution provider and the hardware necessary for the County to utilize this system needs to be purchased from The Software Group as part of their overall design and support of the system.

Time is of the essence. The Assessment and Taxation system must be fully installed and implemented in order to meet all Year 2000 requirements.

Purchasing recommends approval of this exemption from the competitive bid process to purchase IBM hardware for the A&T computer system from The Software Group.

Dated this 8th day of April, 1998

Franna Hathaway
Franna Hathaway, Manager
Purchasing Section

Attachments

**BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD**

In the Matter of exempting from the formal)
competitive bid process a contract for the)
purchase of IBM hardware for the A&T)
computer system from The Software Group)

ORDER

The above entitled matter is before the Board of County Commissioners, acting in its capacity as the Multnomah County Public Contract Review Board, to review, pursuant to PCRB Rules 10.140 and 20.060, a request from the Department of Environmental Services, Assessment and Taxation Division, a request for exemption from the formal competitive bid process for a contract for the purchase of IBM hardware for the Assessment and Taxation computer system from The Software Group for the approximate amount of \$319,621.

It appearing to the board that the request for exemption, as it appears in the application, the staff report from Franna Hathaway and the memorandum from Larry Nicholas, is based upon the fact that software, service and maintenance for this system may be purchased under the Marion County RFP, the County requires IBM hardware to be compatible and efficiently use current equipment and staff and that The Software Group is a full solution provider and will provide the hardware necessary for the County as part of their overall design and support of the system and that time is of the essence in meeting Year 2000 requirements.

It appears to the Board that this exemption request is in accord with the requirements of Multnomah County Public Contract Review Board Administrative Rules 10.140 and 20.060; therefore,

IT IS ORDERED that the contract for the purchase of IBM hardware for the Assessment and Taxation computer system be exempted from the requirements of public bidding.

Dated the _____ day of April, 1998

**BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT
REVIEW BOARD:**

By _____
Beverly Stein, Chair

REVIEWED:

**THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON**

By _____
John Thomas, Assistant County Counsel

MEETING DATE: APR 16 1998
AGENDA NO: R-8
ESTIMATED START TIME: 10:03

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: PCRB EXEMPTION REQUEST FOR Y2K MISSION CRITICAL PURCHASES
BETWEEN \$50,000 AND \$100,000

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: APRIL 16, 1998
AMOUNT OF TIME NEEDED: 5 MINUTES

DEPARTMENT: DSS DIVISION: ISD

CONTACT: Franna Hathaway/Chris Apgar TELEPHONE #: 22651/26068
BLDG/ROOM #: 421/1st - 327

PERSON(S) MAKING PRESENTATION: Franna Hathaway/Chris Apgar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

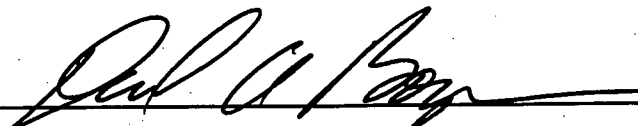
PCRB EXEMPTION REQUEST FOR PURCHASES OF Y2K MISSION CRITICAL
PURCHASES BETWEEN \$50,000 AND \$100,000

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

(OR)

DEPARTMENT
MANAGER: A




BOARD OF
COUNTY COMMISSIONERS
98 APR - 8 11:11:38
MULTI-NOMINATING
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277

**BOARD OF COUNTY COMMISSIONERS
AGENDA ITEM BRIEFING
STAFF REPORT SUPPLEMENT**

TO: BOARD OF COUNTY COMMISSIONERS
FROM:  Franna Hathaway, Purchasing Manager
TODAY'S DATE: April 8, 1998
REQUESTED PLACEMENT DATE: April 16, 1998
RE: PCRB exemption request for Year 2000 (Y2K) mission critical purchases between \$50,000 and \$100,000

I. Recommendation/Action Requested:

The Department of Support Services, Information Services Division has requested an exemption from the formal competitive bid process for the purchase of Y2K mission critical purchases between \$50,000 and \$100,000 through June 30, 2000.

II. Background/Analysis:

This exemption is required to meet critical time lines imposed by the century change. The upcoming century change requires the County to, in a short period of time, assess and fix non-Y2K compliant information technology (IT) and non-IT applications and systems that support the County's mission critical business functions. The resources needed to accommodate these corrections are becoming scarcer while the cost is increasing.

All purchases made under this exemption will require the prior approval of the requesting Department Manager, Purchasing Manager and the Chief Information Officer and must meet the defined criteria set forth in the County's Y2K Acquisition Policy.

Competition will still be sought through the informal quotation process. Existing processes, such as use of professional services through the Information Services Division, State price agreements and the formal bid/RFP process will be used where feasible.

III. Financial Impact:

N/A

IV. Legal Issues:

N/A

V. Controversial Issues:

N/A

VI. Link to Current County Policies:

PCRB Rules require that formal competitive bid or RFP be used for purchases over \$50,000. PCRB Rule 10.140 allows the Board to grant exemptions to the competitive bid/RFP process.

VII. Citizen Participation

N/A

VIII. Other Government Participation:

N/A



MULTNOMAH COUNTY OREGON

DEPARTMENT OF SUPPORT SERVICES
INFORMATION SERVICES DIVISION
4747 EAST BURNSIDE
PORTLAND, OREGON 97215
(503) 248-3749

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
• DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
• DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

TO: Franna Hathaway

CC: Ben Berry
Dave Boyer
John Thomas
Steve Pearson
Dale Baugh

FROM: Vickie Gates
Vickie.C.Gates@co.multnomah.or.us

DATE: April 2, 1998

SUBJECT: Y2K Purchasing Exemption Request

Dear Franna:

The upcoming century change requires the County to, in a short period of time, assess and fix non-year 2000 (Y2K) compliant information technology (IT) and non-IT applications and systems that support the County's mission critical business functions. The purpose of this memo is to request a Y2K-related purchasing exemption to assist departments fix non-compliant systems prior to the century change.

It may be necessary, in some cases, to step outside the normal purchasing process to accommodate a tight time line to complete system corrections. The resources needed to accommodate these corrections are becoming scarcer and scarcer while the cost continues to increase at a rapid pace. It is in the County's best interest to allow specifically defined exemptions from the established purchasing process to assist departments in insuring mission critical business applications continue to function on and after January 1, 2000.

Following are the proposed requirements departments would be expected to follow to request a Y2K purchasing exemption. The purpose of this exemption is not to limit competition. Competition will still be sought but on an informal basis.

Specific Exemption to Accommodate Necessary Year 2000-Related Purchases:

1. The temporary purchasing exemption outlined below shall remain valid through June 30, 2000. The purpose is to accommodate remediation of mission critical County information technology (IT) and non-IT applications that would not otherwise continue to properly operate following December 31, 1999 or other defined potential application failure date prior to December 31, 1999. This exemption is required to meet critical time lines imposed by the century change.

2. Year 2000 compliance, as used in this exemption, shall be defined by the criteria set forth in the County Year 2000 Acquisition Policy.
3. For the purpose of achieving year 2000 compliance of mission critical IT and non-IT applications, Departments are exempted from the formal bid/RFP process and authorized to expend, up to \$100,000 through the informal quotation process. This includes acquisition of goods, trade related services, and professional services as related to Y2K compliance.
4. Such acquisitions as described in (3) require the approval of the Department Head, Purchasing Manager and the Chief Information Officer prior to acquisition.
5. The temporary provisions outlined in (1) through (4) do not replace existing procurement policies and rules except as necessary for continuation of mission critical County functions that may be disrupted due to the century change. Departments shall use existing processes, such as use of professional services through the Information Services Division, State price agreements and the formal bid/RFP process where feasible.

The short time frame and dwindling resources associated with bringing mission critical County business applications into Y2K compliance necessitates increased flexibility in acquiring resources. For the reasons stated in this memo, I formerly request the Board consider and approve this request to exempt certain purchases related to Y2K compliance from the normal purchasing process.

If you have any questions or need additional information, please let me know. Thank you for your consideration.

Regards...Vickie Gates

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD

In the Matter of exempting from the formal)
competitive bid process the purchase of) APPLICATION
Y2K mission critical goods and services)
between \$50,000 and \$100,000)

Application to the Public Contract Review Board on behalf of a request from the Department of Support Services, Information Services Division, is hereby made pursuant to the Board's Administrative Rule 10.140 adopted under the provisions of ORS279.015 for an order exempting purchases of Y2K mission critical goods, trade related and professional services between \$50,000 and \$100,000 from the formal competitive bid process through June 30, 2000.

The century change has imposed critical time lines on the County to assess and correct non-year 2000 (Y2K) compliant information technology (IT) and non-IT applications and systems that support the County's mission critical business functions.

All purchases made under this exemption will be required to receive prior approval of the requesting Department Manager, Purchasing Manager and Chief Information Officer and must meet the defined criteria set forth in the County's Y2K Acquisition Policy.

Competition will still be sought through the informal quotation process. Existing processes, such as use of professional services through the Information Services Division, State price agreements and the formal bid/RFP process will be used where feasible.

Purchasing recommends approval of this exemption from competitive bid process for the purchase of Y2K mission critical goods, trade related and professional services through June 30, 2000.

Dated this 8th day of April, 1998


Franna Hathaway, Manager
Purchasing Section

Attachments

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD

In the Matter of exempting from the formal)
competitive bid process the purchase of)
Y2K mission critical goods and services)
between \$50,000 and \$100,000)

O R D E R

The above entitled matter is before the Board of County Commissioners, acting in its capacity as the Multnomah County Public Contract Review Board, to review, pursuant to PCRB Rule 10.140, a request from the Department of Support Services, Information Services Division, a request for exemption from the formal competitive bid process for purchases of Y2K mission critical goods, trade related and professional services between \$50,000 and \$100,000 through June 30, 2000.

It appearing to the board that the request for exemption, as it appears in the application, the staff report from Franna Hathaway and the memorandum from Vickie Gates, is based upon the upcoming century change which has imposed critical time lines on the County to assess and correct non-year 2000 (Y2K) compliant information technology (IT) and non-IT applications and systems that support the County's mission critical business functions; and that only purchases that meet County's Y2K Acquisition Policy criteria and receive prior approval will be purchased under this exemption; and the informal quotation process will be followed for these purchases.

It appears to the Board that this exemption request is in accord with the requirements of Multnomah County Public Contract Review Board Administrative Rule 10.140; therefore,

IT IS ORDERED that the purchase of Y2K mission critical goods, trade related and professional services between \$50,000 and \$100,000 be exempted from the formal competitive bid process through June 30, 2000.

Dated the ____ day of April, 1998

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT
REVIEW BOARD:

By _____
Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

By  _____
John Thomas, Assistant County Counsel

Meeting Date: APR 02 1998 APR 09 1998
Agenda No: R-9
Est. Start Time: 10:25 10:35

APR 16 1998

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Public Reading of an Ordinance amending the Multnomah County Zoning Code (MCC 11.15) to update the code enforcement provisions (C 5-97).

BOARD BRIEFING Date Requested:
 Amt. of Time Needed:
 Requested By:

REGULAR MEETING Date Requested: April 2, 1998
 Amt. of Time Needed: 15 Min.

DEPARTMENT: DES
CONTACT: Lisa Estrin

DIVISION: Transportation & Land Use Planning
TELEPHONE: 248-3043
BLDG/ROOM: 412 / 109

PERSON(S) MAKING PRESENTATION: Lisa Estrin / Kathy Busse

ACTION REQUESTED

☐ Informational Only ☐ Policy Direction ☒ Approval ☐ Other

SUGGESTED AGENDA TITLE

Public Reading of an Ordinance amending the Multnomah County Zoning Code (MCC 11.15) to update the code enforcement provisions (C 5-97).

SIGNATURES REQUIRED

Elected Official: _____

or

Department Manager: _____

KPB Larry F. Nicholas / no

BOARD OF
COUNTY COMMISSIONERS
98 MAR 18 AM 10:24
MULTNOMAH COUNTY
OREGON

**BOARD OF COUNTY COMMISSIONERS
AGENDA ITEM BRIEFING
STAFF REPORT SUPPLEMENT**

To: Board of County Commissioners

From: Planning Staff

Today's Date: March 17, 1998

**Requested
Placement Date:** April 2, 1998

Subject: Public hearing on an ordinance to amend section 11.15 of the Multnomah County Code to update the code enforcement provisions of land use planning (Planning case file C 5-97)

I. Recommendation / Action Requested

Recommend adoption of an ordinance that will delete the Enforcement Proceeding regulations contained in MCC 11.15.8135 and amend the Violation and Enforcement regulations contained in MCC 11.15.9052 to increase the capability of the County to gain compliance with land use regulations.

II. Background / Analysis

Multnomah County Code 11.15.9052 (Violations and Enforcement) currently contains two processes for land use violations. Land use violations may be enforced through the County Hearings Officer pursuant to MCC 11.15.8135 (Enforcement Proceedings) or through County Counsel instituting appropriate legal proceedings on behalf of the County at the request of the Director of Environmental Services. The current practice utilizes County Counsel.

In 1996, the Land Use Planning Division began reviewing the methods available to increase compliance with land use regulations while reducing the dependence on County Counsel for unresolved zoning violations. Through 3 workshops with the Planning Commission, the alternatives for modifying the code enforcement procedures were explored. The Planning Commission and Land Use Planning wanted to create a system that reduced the time required for most code enforcement cases but allowed for review of the violation before a scheduled court proceeding. In addition, the proposed changes will increase the number of enforcement options available to staff to better correspond with the types of violations occurring in the field.

The proposed amendment creates three alternatives for Planning staff in enforcing land use violations. The first option is a Notice of Violation process. This system is quite similar to the current procedure. A complaint is received, investigated and if found to be valid, a Notice of Violation letter is sent to the property owner. The owner is given 30 days to

comply, make a land use application to correct the situation or appeal the Notice of Violation. If the property owner failed to do any of three options, a penalty may be assessed per MCC 11.15.9053. Currently MCC 11.15.9053 allows assessment of up to \$500.00 per day for continuing land use violations.

The second alternative proposed is for land use violations which cause irreparable harm or an immediate health and safety concern. For land use violations in this category, a Stop Work Order may be issued. A Stop Work Order must be posted at the property or hand delivered to the person causing the site damage. The violation is to cease immediately and a penalty as provided in .9053 shall be issued. In addition, a Notice of Violation is sent to the property owner providing options for correcting the violation and allowing for appeal of the Stop Work Order / Notice of Violation.

The third alternative which may be utilized at any time during the Code Enforcement process - is for Multnomah County to institute a complaint in the Circuit Court for any remedy provided by law, including injunction, mandamus, abatement, or other appropriate proceedings to correct the land use violation.

In addition to creating the three alternatives in processing land use violations, the proposed amendment codifies a current policy of the Land Use Planning Division. Currently, staff policy is that no land use action, which is usually a building permit, will be issued unless the violation on a property is corrected. The proposed amendment will change this from a staff policy to Multnomah County Code. This will increase staff's ability to enforce the land use codes.

The current method of code enforcement in the Land Use Planning section has created a large backlog of unresolved cases. Modifications are necessary in order to better achieve the land use goals of Multnomah County. The current code enforcement process has very limited ability to deter land use violations and may promote them due to the fact that no additional costs are associated with violation cases except seeking the original land use action needed. In addition, the current process requires a significant amount of land use planning staff time and County Counsel resources to achieve compliance for a single land use violation. The proposed amendments will increase staff efficiency, reduce reliance on the use of County Counsel for minor code enforcement actions and create penalties for property owners seeking to avoid the costs associated with land uses and development.

III. Financial Impact

Fiscal impacts will be neutral. Any penalties assessed will be returned to the General Fund.

IV. Legal Issues

No legal issues have been identified. The revisions proposed are not known to be in violation of any County Planning Policy, Statewide Planning Goals, Statutes or Rules.

V. Controversial Issues

Planning staff held three workshops and one public hearing before the Multnomah County Planning Commission. Limited public comment regarding the proposed ordinance was received. The predominate concern of the Planning Commission members was to allow for the code enforcement planner's determination of a land use violation to be appealed. The proposed ordinance contains a codified method to appeal a Notice of Violation and Stop Work Order.

VI. Link to Current County Policies

The Sauvie Island / Multnomah Channel Rural Area Plan contains material supporting the enforcement of land use laws. Policy 8(b) states "Multnomah County should make enforcement of zoning laws in the channel a higher priority to the Transportation and Land Use Planning Department. In addition, a number of other land use policies in the three rural area plans cannot be easily achieved without land use planning inspections and possible enforcement to ensure compliance.

VII. Citizen Participation

Notice of the Planning Commission hearing on the proposed ordinance was published in the *Oregonian* newspaper. No one testified at the Planning Commission hearing. Notice of this hearing before the Board was also published in the *Oregonian*.

VIII. Other Government Participation

Clackamas County, Washington County, the Cities of Portland and Gresham were contacted regarding their enforcement procedures.

Attachments:

Ordinance C 5-97
Planning Commission Resolution
Code Enforcement Quarterly Report

**DECISION OF THE
MULTNOMAH COUNTY PLANNING COMMISSION**

In the matter of repealing Multnomah County
Zoning Ordinance Enforcement Proceedings section)
and amending the Multnomah County Zoning)
Ordinance Violations and Enforcement section to)
modify the code enforcement process to allow the)
Land Use Planning section to assess penalties in)
land use violation cases and to allow emergency)
enforcement of land use laws in cases were)
irreparable harm will result or presents an)
immediate health and safety danger.)

**RESOLUTION
C 5-97**

WHEREAS, Amendments of the text of the Zoning Code may be initiated by request of the Planning Director (MCC 11.15.8405); and

WHEREAS, A public hearing shall be held by a majority of the entire Planning Commission on the proposed amendments to the Code; and

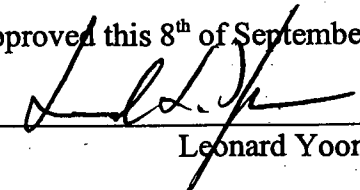
WHEREAS, The current utilization of the Code is limited in its capability to resolve land use violations and requires considerable expenditures of Land Use Planning staff and County Counsel resources to resolve zoning code violations and does not assess penalties to deter property owners from committing land use violations; and

WHEREAS, The modification of the Violations and Enforcement section of the Zoning Code will increase staff efficiency in achieving compliance with the Code and reduce the case load on the court system resulting from zoning; and

WHEREAS, The Planning Commission conducted a public hearing on September 8, 1997, to accept public testimony on the proposed amendment to the zoning code text; and

NOW, THEREFORE BE IT RESOLVED that the Planning Commission hereby recommends that the Board of County Commissioners amend the zoning code as indicated in the draft ordinance prepared by staff and identified as the attached Exhibit A.

Approved this 8th of September, 1997

By 
Leonard Yoon, Chair

Code Enforcement Quarterly Report

(October - December, 1997)

Code Enforcement Case Load	July - Sept. '97	Oct. - Dec. '97	Jan. - March '98	April - June '98
Active Violation Cases	57/64*	57		
Complaints Under Review	158/167*	182		
Quarterly Total	215/231*	239		

* Database correction

Number of Inspections:

July - Sept. '97: 77

Oct. - Dec. '97: 83

Quarterly Hours:	July - Sept. '97	October - December '97	Jan. - March '98	April - June '98
General Zoning Work Hours:	63.6	64.95		
On-site Inspection Hours:	48.4	42.7		
Total:	112.0	107.65		

Complaints Received:	July - Sept. '97	Oct. - Dec. '97	Jan. - March '98	April - June '98
Totals	37	22		

Number of Current Complaints/Violations by Category	July - September '97	October - December '97	January - March '98	April - June '98
Grading and Erosion Control/ Hillside Development Permit	36/40*	38		
Non-Permitted Dwellings	73/79*	80		
-- Replacement Dwellings	16/17*	17		
Health Hardships	14/15*	14		
Other	43/47*	49		
Junk Yards	19/19*	19		
Commercial	37/36*	38		
Miscellaneous Violations	18/20*	21		
Land Use Permits/ Development Standards	25/30*	35		
Resorts/Kennels	07/07*	08		
Total	215/231*	239		

*Database Correction

Open Complaints/Violations by Category and Date Received

Category	GBC/ HDP	Non-Permitted Dwellings	Link Yards	Commercial	Misc	Illegal Street/ Dev. Standards	Resort/ Kennels	Total
No Date	3	4	0	1	1	1	0	10
'94-'95	10	5	4	9	3	2	3	36
Jan- March '96	0	3	2	2	2	0	0	9
April- June '96	0	4	2	4	0	2	1	13
July - Sept. '96	2	4	1	3	1	5	1	17
Oct. - Dec. '96	4	6	3	3	0	5	0	21
Jan- March '97	6	12	4	4	2	3	1	32
April- June '97	5	19	2	8	9	3	0	46
July - Sept. '97	4	18	0	2	2	8	1	35
Oct. - Dec. '97	4	5	1	2	1	6	1	20
Total	38	80	19	38	21	35	8	239

Cases Closed:

July - Sept. '97: 32
 Oct. - Dec. '97: 14

Closed Complaints/Violations For October - December '97 by Category and Date Received

Category	GBC/ HDP	Non-Permitted Dwellings	Link Yards	Commercial	Misc	Illegal Street/ Dev. Standards	Resort/ Kennels	Total
No Date	0	0	0	0	0	0	0	0
'94-'95	0	1	0	0	0	0	0	1
Jan- March '96	0	0	0	0	0	0	0	0
April- June '96	0	2	0	0	0	0	0	2
July - Sept. '96	4	0	0	0	0	0	0	4
Oct. - Dec. '96	0	0	0	0	0	1	0	1
Jan- March '97	0	0	0	0	0	0	0	0
April- June '97	1	1(HH)	1	0	0	0	0	3
July - Sept. '97	1	0	0	0	0	0	0	1
Oct. - Dec. '97	0	0	0	1	1	0	0	2
Total	6	4	1	1	1	1	0	14

1 **BEFORE THE BOARD OF COUNTY COMMISSIONERS**
2 **FOR MULTNOMAH COUNTY, OREGON**
3 **ORDINANCE NO. _____**
4

5 An Ordinance deleting Enforcement Proceeding regulations contained in
6 MCC 11.15.8135 and amending the Violation and Enforcement regulations
7 contained in MCC 11.15.9052 for land use violations to increase the capability of
8 the County to gain compliance with land use regulations.

9 (Underlined sections are new replacements; [bracketed] sections are deleted.)
10

11 Multnomah County ordains as follows:
12

13 Section I. Findings.
14

15 (A) The current ordinance procedures contained in MCC 11.15.9052
16 (Violations and Enforcement) contain two processes for land use violations.
17 The Director of the Department of Environmental Services may enforce land
18 use violations through a hearing with a County Hearings Officer pursuant to
19 MCC .8135 (Enforcement Proceedings); or County Counsel may institute
20 appropriate legal proceedings on behalf of the County at the request of the
21 Director of Environmental Services. The current practice utilizes County
22 Counsel.

23 (B) The result of the proposed ordinance amendment is to repeal MCC
24 11.15.8135 and amend MCC 11.15.9052 to allow penalties to be assessed by
25 the Land Use Planning section. The amendment of the procedures should
26 reduce the backlog and dependence on County Counsel for unresolved zoning
27 violations. In addition, the time period required to gain compliance for most

1 land use violations should be greatly reduced.

2 (C) On September 8, 1997 the Planning Commission held a public hearing
3 pursuant to MCC 11.15. 8410 and recommended approval of the proposed
4 ordinance amendment. Hearings before the Board of County Commissioners
5 followed on April 2 and April 9, 1998. All interested persons were given an
6 opportunity to appear and be heard at the hearings.

7
8 Multnomah County Code Chapter 11.15 is amended to read as follows:

9
10 Section II. Deletion of Enforcement Proceedings ordinance section MCC
11 11.15.8135.

12
13 A. Repeal section [MCC 11.15.8135 Enforcement Proceedings].

14 Section III. Amendment of the Violations and Enforcement ordinance section MCC
15 11.15.9052.

16
17 B. MCC 11.15.9052 Violations and Enforcement

18
19 ~~[Any use of land in violation of any provision of MCC 11.15, or the terms and~~
20 ~~conditions of any development permit by an operator, shall be punishable as~~
21 ~~provided in MCC .9053.~~

22
23 ~~(A) The Department of Environmental Services must give written notice of any~~
24 ~~violation to the operator of the violation. Failure of the operator to receive the~~
25 ~~notice of violation does not invalidate any enforcement actions taken by the~~
26 ~~County.~~

27 ~~(B) The provisions of MCC 11.15, or a land use decision made or conditions of a~~

1 ~~land use approval applied thereunder may be enforced in one or more of the~~
2 ~~following ways:~~

3 ~~(1) By the Director of the Department of Environmental Services pursuant to~~
4 ~~MCC .8135; or~~

5 ~~(2) County Counsel may institute appropriate legal proceedings on behalf of the~~
6 ~~County at the request of the Director of Environmental Services.~~

7 ~~(C) — The County may institute appropriate suit or legal action, in law or equity,~~
8 ~~in any court of competent jurisdiction to enforce the provisions of any order of the~~
9 ~~Hearings Officer acting under the provisions of MCC .8135, including, but not~~
10 ~~limited to, its suit or action to obtain judgment for any civil penalty imposed by an~~
11 ~~order of the Hearings Officer.]~~

12
13 Any use of land in violation of any provision of MCC 11.15, MCC 11.45, MCC
14 9.10 and MCC 9.40 or the terms and conditions of any development permit by a
15 person shall be punishable as provided by MCC 11.15.9052(D)(E) & (F).

16
17 (A) Definitions

- 18
19 1. "County Ordinance" means all ordinances duly enacted by Multnomah
20 County, including but not limited to zoning, planning, and building
21 ordinances, as specified above.
- 22 2. "Notice of Violation": written notice mailed to operator /or property
23 owner when the Code Enforcement Planner identifies violations of any
24 provision of the Multnomah County Code or the terms and conditions of a
25 development permit.
- 26 3. "Violator" means any person who has admitted violation of a County
27 Ordinance or a person who has been found to have violated a County

1 Ordinance.

2 4. "Person" includes:

3 a) The United States or agencies thereof, any state, public or private
4 corporation, local governmental unit, public agency, individual,
5 partnership, association, firm, trust, estate or any other legal entity,
6 contractor, subcontractor or combination thereof. For the purposes
7 of this ordinance, "person" also includes those residing in or
8 conducting business or activities in the unincorporated areas of
9 Multnomah County; and

10 b) The owner, title holder, contract seller, or contract buyer of the land
11 upon which the violation is occurring, is equally responsible for the
12 violation of County Ordinance, as is the possessor of the land, user
13 of the land, or the person who is taking the action, conduct or
14 omission which constitutes a violation of any County Ordinance.

15 5. "Decision of Appeal": The decision of the Planning Director in the appeal
16 of the Notice of Violation.

17 6. "Grace Period": Time allotted to a property owner to correct a zoning
18 violation without assessment of penalties, additional code enforcement
19 inspections or legal action being taken for the cited violation during that
20 assigned time period. A Grace Period begins from the date the Notice of
21 Violation is sent or posted. A grace period for a noticed violation does not
22 grant a property owner the right to continue a use for the time period
23 specified or prevent inspection or citation of new or other land use
24 violations.

25
26 (B) Compliance Required

1 No application for a land use permit or division of land shall be approved for a site,
2 which is subject to an enforcement action pursuant to the provisions of this section.
3 A land use permit or division of land may only be issued if it corrects the land use
4 violation contained in the Notice of Violation.

5
6 (C) Code Enforcement Planner

7
8 The Planning Director shall appoint one or more persons to act as the code
9 enforcement planner(s) for purposes of issuing Notice of Violation(s), and for the
10 enforcement of MCC 11.15, MCC 11.45, MCC 9.10 and MCC 9.40 or the terms
11 and conditions of any development permit by an operator or property owner.

12
13 (D) Enforcement Action

14
15 (1) An enforcement action may be initiated by the Land Use Planning staff on
16 its own action, when the Division of Transportation and Land Use
17 Planning Division receives a complaint, known or anonymous or receives
18 a directive from the Board of County Commissioners. All complaints are
19 to be kept confidential, until such time as the violation is closed.

20
21 (2) If the Code Enforcement Planner determines the existence of a violation,
22 the Code Enforcement Planner shall send a Notice of Violation to the
23 property owner and if known, the operator/tenant.

24
25 a) The notice shall outline the nature of the violation(s) and set forth
26 options to correct the violation(s).

27 b) The notice shall notify the property owner and the operator/tenant

1 that failure to comply with the Ordinance within thirty days of the
2 date of the Notice of Violation will result in enforcement under
3 MCC 11.15.9052(D)(3) and that a penalty of up to \$500.00 per day
4 may be assessed per MCC 11.15.9053.

5 c) A statement shall also notify the property owner and the
6 operator/tenant of the right to appeal the determination of a land use
7 violation and the time limits established by this section.

8 d) If notice is mailed, the compliance time shall be extended by an
9 additional three days.

10
11 (3) If the property owner and operator/tenant fails to correct the violation
12 within the time given, the Code Enforcement Planner may issue a penalty
13 in accordance with MCC 11.15.9053. Said penalty shall be recorded as a
14 lien against real property in the Office of the County Recorder if not paid
15 within sixty days of notification of the property owner and operator/tenant
16 of the issuance of the penalty.

17
18 (4) The property owner or his representative may file an appeal of the Notice
19 of Violation with the Land Use Planning section within the initial 30-day
20 grace period as stipulated in the Notice of Violation. The appellant has 45
21 days from the date of filing the appeal to provide written documentation to
22 the Code Enforcement Planner in support of the appeal. All enforcement
23 actions, except for actions taken under .9052(E), will be stayed until the
24 Planning Director reviews the written testimony and determines by a
25 preponderance of the evidence that a violation has occurred. In the event
26 that the Planning Director finds in the favor of the appellant, the Notice of
27 Violation will be rescinded.

1 (a) Upon filing of an appeal by the property owner, written notice and
2 opportunity to comment on the appeal of the Notice of Violation shall
3 be provided to the complainant, if known, and the surrounding property
4 owners within:

5 (1) 100 feet of the subject property when inside the Urban Growth
6 Boundary; or

7 (2) 250 feet of the subject property where the subject property is
8 outside the Urban Growth Boundary and not within a farm or forest
9 resource zone; or

10 (3) 500 feet of the subject property where the subject property is within
11 a farm or forest resource zone.

12 (b) The Planning Director may consider any other written testimony
13 submitted in support of and in opposition to the Notice of Violation.

14 (c) After review of the written testimony, the Planning Director shall serve
15 the property owner with a Decision of Appeal; and

16 (d) If the Notice of Violation is upheld, penalties as provided in .9053 shall
17 be assessed by the Planning Director;

18 (e) The Planning Director may delay additional penalties at the time of the
19 Decision of Appeal by specifying an additional grace period to allow
20 the property owner to remove the violation from the property. If an
21 additional grace period is granted, it shall not be less than five days.

22 (f) Said penalty shall be recorded as a lien against real property in the
23 Office of the County Recorder if not paid within sixty days of
24 notification of the property owner and operator/tenant of the issuance
25 of the penalty.

26
27 (5) If the property owner chooses to correct the violation by applying for a

1 land use permit, penalties shall accrue during the application process time
2 period as provided in MCC .9053.

3
4 (a) Penalties assessed from the date of formal application for a land use
5 permit to completion of the project through final inspection may be
6 waived by the Planning Director provided the property owner
7 completes the application process within 180 days of filing and
8 complies with the timeline established as a condition of approval of the
9 project and if no additional violations occur during the completion of
10 the conditions of approval.

11 (b) A timeline for compliance with the land use permit shall be included as
12 a condition of approval of the land use permit and, if possible, shall not
13 extend past a single construction season.

14
15 (E) Emergency Enforcement

16
17 (1) If the Code Enforcement Planner determines, as a result of a site visit
18 by the Code Enforcement Planner or Code Enforcement Inspector, that
19 the violation is such that irreparable harm will result, will be difficult to
20 correct if allowed to continue, or presents an immediate health and
21 safety danger, the Code Enforcement Planner may, without notice,
22 issue a Stop Work Order which shall also serve as the Notice of
23 Violation.

24
25 (2) The Stop Work Order shall require the property owner to immediately
26 discontinue the use and shall impose a fine as provided in MCC .9053
27 pursuant to which the property owner must cease all uses listed in the

1 Stop Work Order. Penalties may be imposed pursuant to MCC .9053
2 for each 24-hour period in which work continues in violation of the
3 Stop Work Order. Said penalty shall be recorded as a lien against real
4 property in the Office of the County Recorder if not paid within sixty
5 days of notification of the property owner and operator/tenant of the
6 issuance of the penalty.

7
8 (3) The property owner shall make land use application or correct the
9 violation within 15 days of the Stop Work Order being issued. If the
10 property owner fails to make land use application or correct the
11 violation within the 15-day time period, the Code Enforcement Planner
12 may issue a penalty in accordance with MCC 11.15.9053. Said
13 penalty shall be recorded as a lien against real property in the Office of
14 the County Recorder if not paid within sixty days of notification of the
15 property owner and operator/tenant of the issuance of the penalty.

16
17 (4) If the property owner files an appeal under .9052(D)(4), the property
18 owner shall not resume the discontinued use(s) until such time as a
19 Decision of Appeal has been issued and the Planning Director removes
20 the Stop Work Order from the property.

21
22 (5) The Planning Director or Code Enforcement Planner may require the
23 placement of erosion and sediment control devices and/or other health
24 and safety corrections to occur at his discretion.

25
26 (F) Notice of Violation; Occurrence; Other Remedies
27

- 1 1. Except as otherwise specifically provided in this ordinance, a Notice of
2 Violation shall be used for violation of MCC 11.15, 11.45, 9.10 and 9.40.
3
- 4 2. Each day (24-hour period) a violation exists shall be a separate citable
5 offense.
6
- 7 3. The remedies and procedures provided in this ordinance shall be in
8 addition to any other remedy or procedure provided by any applicable law.
9 (a) In addition to any other remedy provided by law to the County, the
10 County shall be entitled to its reasonable attorney fees and costs.
11

12 (G) Judicial Review
13

14 Review of the Decision of Appeal of the Planning Director under this section by any
15 aggrieved party, including the County of Multnomah, shall be by writ of review as
16 provided in ORS 34.010 - 34.100.
17

18 (H) Comprehensive Framework Plan and Zoning or Land Division Ordinance
19 Interpretations
20

21 If the Notice of Violation involves a Comprehensive Framework Plan, Zoning or
22 Land Division Ordinance interpretation by the Planning Staff, not previously
23 decided by the Planning Commission or the Board of County Commissioners, in
24 order to appeal Planning staff's interpretation, the property owner must include the
25 request for interpretation by the Planning Commission in the appeal of the Notice of
26 Violation. The property owner shall deposit with the Land Use Planning section at
27 the time of appeal, the fee established under MCC 11.15.9010 for a Planning
28 Commission Interpretation. The Planning Director shall initiate an action for an

1 interpretation per MCC 11.15.9045 prior to the Decision of the Appeal. An
2 interpretation by the Planning Commission shall be rendered within 45 days of
3 initial Planning Commission meeting in which the item was presented. The Planning
4 Director shall utilize the Planning Commission's Interpretation in making the
5 determination of the Decision of Appeal.

6
7 (I) Reporting to the Planning Commission

8
9 The Land Use Planning section shall report to the Planning Commission on code
10 enforcement activities every six months.

11
12 ADOPTED this 9th day of April, 1998, being the date its second reading before
13 the Board of County Commissioners of Multnomah County.

14
15 BOARD OF COUNTY COMMISSIONERS
16 FOR MULTNOMAH COUNTY, OREGON

17
18
19
20 _____
Beverly Stein, Chair

21 REVIEWED.

22
23 THOMAS SPONSLER, COUNTY COUNSEL
24 FOR MULTNOMAH COUNTY, OREGON

25
26 By

Sandra H. Duffy

27 Sandra Duffy, Chief Assistant Counsel
28

MEETING DATE: APR 09 1998
AGENDA #: R-10
ESTIMATED START TIME: 10:05 Am
APR 16 1998

(Above Space for Board Clerk's Use ONLY)

R-10
10:06

AGENDA PLACEMENT FORM

SUBJECT: Multnomah County Comment to Metro on CGC's Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary

BOARD BRIEFING:

DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING:

DATE REQUESTED: April 9, 1998

AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: DES

DIVISION: Trans & Land Use Planning

CONTACT: R. Scott Pemble

TELEPHONE #: 83182

BLDG/ROOM #: 412/Plan

PERSON(S) MAKING PRESENTATION: Pemble

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Multnomah County Comment to Metro on CGC, Inc.'s Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary for property described as portion of 1S, 3E, Sec. 22, Tax Lot 400.

SIGNATURES REQUIRED:

ELECTED
OFFICIAL: _____

(OR)

DEPARTMENT
MANAGER: _____

KB Larry F. Nicholas/mro

BOARD OF
COUNTY COMMISSIONERS
98 APR - 1 PM 3:45
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

MEMORANDUM

TO: Board of County Commissioners

FROM: R. Scott Pemble

DATE: April 1, 1998

RE: CGC, Inc.'s Minor Locational Adjustment to the Urban Growth Boundary

I. Recommendation/Action Requested:
Adopt Resolution that "Expresses no preference on the petition".

II. Background/Analysis:

CGC, Inc. is applying to Metro for a Minor Locational Adjustment to the Urban Growth Boundary. The Metro Code requires the local governing body with land use jurisdiction over the area in a petition to provide a written statement as part of the petition. The County is the local governing body that has jurisdiction over CGC, Inc.'s site.

The Metro Code list three responses the local governing body can make:

- (A) recommend that Metro approve the petition;
- (B) recommend that Metro deny the petition; or
- (C) expresses no preference on the petition.

Staff recommends option "C".

The subject 19.6-acre site is located south of the Persimmon Golf Course and west of Hogan road. The subject site is a portion of a larger 106.21- acre site zoned EFU that has an approved Golf Course use under the County's Zoning Code, Community Service provisions. Metro has included the subject site in the Urban Reserve #3 area. Water and sewer services provided by the City of Gresham terminate approximately 1000 feet and 1400 feet respectively from the site. The Petitioner indicates the City of Gresham can serve the site and the City has indicated support for the Minor Locational Adjustment to the Urban Growth Boundary petition.

The County has processed several land use applications to allow for the development of the Golf Course and adjacent home sites. The proposed use of the subject site is to develop home sites adjacent to the Golf Course by extending the street system from residential areas situated immediately to the west and located within the City of Gresham. (Refer to the Petitioner's attached Metro petition for discussion of service provisions to the site and site development plans.)

The County's policy pertaining to Urban Reserve Areas is found in the County's Urban Planning Area Agreements with the City of Gresham. In a planning intergovernmental agreement the County and City have agreed the City will be responsible for planning urban areas and arranging for the provision of urban

services to areas designated as part of the City's Urban Planning Area. In the Metro designated #3 Urban Reserve area, jurisdictional decisions (governance agreements) have not been made. The County and City will need to amend planning agreements to incorporate Urban Reserve area #3 into the City's Urban Planning Area.

Given the comment of support from the City of Gresham, the County's Urban Planning Area Agreements with the City and the fact that governance agreements have not been completed, the staff recommends the Board "expresses no preference on the petition". The Metro Council will review all complete applications by applying their code criteria for Minor Locational Adjustment to the Urban Growth Boundary and will consider the comments submitted by the City of Gresham. CGC, Inc.'s petition will be evaluated on its merits in light of Metro Code requirements.

III. Financial Impact:
None

IV. Legal Issues:
The Metro Code requires the County to comment on CGC, Inc.'s petition in order for their petition to be deemed complete.

V. Controversial Issues:
The 19.6-acre subject site is part of a larger 106-acre site. If the subject site is brought into the Urban Growth Boundary there will need to be clear delineation of the subject site via a partitioning process. The mechanics and timing of the process will need to be coordinate between the County, City, Metro and the petitioner.

VI. Link to Current County Policies:
The current policy is stated in the County's Urban Planning Area Agreement (UPAA) with the City of Gresham. As indicated above, the UPAA establishes the need to resolve governance issues with the City.

VII. Citizen Participation:
The Metro Council process provides opportunity for citizen participation.

VIII. Other Government Participation:
Metro Council has jurisdiction for making Minor Locational Adjustments to the Urban Growth boundary. Petitions for the Minor Location Adjustments can be made once a year. The petition must include a comment from the local government having land use jurisdiction over the area being petitioned. Multnomah County has land use jurisdiction over the subject site. Comments from agencies providing services to the subject site must be included with the petition. The City of Gresham supports the application.

**BULLIVANT HOUSER
BAILEY
PENDERGRASS
& HOFFMAN**
A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

300 Pioneer Tower
888 S.W. Fifth Avenue
Portland, OR 97204-2089
(503) 228-6351

Fax (503) 295-0915
Cable Address Portlaw

JOHN M. JUNKIN
Of Counsel
Direct Dial (503) 499-4613

April 1, 1998

BY FACSIMILE (248 3389)

Scott Pemble
Multnomah County Planning Director
2115 S. E. Morrison Street
Portland, OR 97214

Re: Metro UGB Locational Adjustment/ Persimmon Golf
Course

Dear Mr. Pemble:

I represent CGC, Inc. in its petition to Metro for an UGB locational adjustment at the Persimmon Golf Country Club. Attached is a copy of the petition. Pursuant to Metro Code 3.01.035 CGC submitted a timely petition to Metro, however, the petition has been deemed incomplete and returned due to, among other things, the lack of the necessary written statement of the governing body having land use jurisdiction over the subject property (Metro Code 3.01.033(h)(1)). That governing body is the Multnomah County Commission.

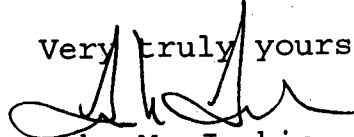
Prior to submitting the petition to Metro we contacted Multnomah County regarding the process of obtaining the required written statement and were advised that due to vacancies and illness on the Board, that there was not the necessary quorum to authorize the written statement. I understand that there will be a quorum at the April 9 meeting of the Board and hereby, on behalf of CGC, ask that you present the CGC request for a written statement at that meeting.

The Metro Code requirements provide that your Board's written statement may recommend approval, denial or no preference in regards to the petition. We appreciate that your office has not had the opportunity to review the petition, and therefore request that you ask the Board to provide a written statement of no preference on the petition. Please be advised that the subject property is contiguous to the City of Gresham and that the City is on record in support of CGC's efforts to bring the property into the UGB by a locational adjustment.

Scott Pemble
April 1, 1998
Page 2

I apologize for the short time you have in considering this request, however, it only recently came to our attention that a Board quorum would be present at the April 9 meeting and we are under time constraints to complete the application. Thank you for your cooperation and courtesies.

Very truly yours,



John M. Junkin

JMJ:lo
Attachments
cc: Brian Lessler
Don Hanson



METRO

March 25, 1998

Mr. Don Hanson
Otak, Inc.
17355 S.W. Boones Ferry Road
Lake Oswego, OR 97035

Dear Mr. Hanson:

This letter is to inform you that C.G.C., Inc.'s petition for an Urban Growth Boundary (UGB) Locational adjustment is not complete. Under Metro Code Section 3.01.33 (c), the petitioner must remedy any identified deficiencies within fourteen (14) days of the date of this notification. You have, therefore, until 5:00 p.m., Wednesday, April 8, 1998; to complete and submit the items listed below. After this date, an incomplete petition will be returned, along with the fee, and no further consideration shall be given to it.

Please note that the order of completed petitions received will determine the ranking of the petitions. Metro Council can approve up to 100 acres for any one year under the locational adjustment process. Metro staff plans to process all petitions, including those over the 100-acre limit, through the Hearing Officer step. This process serves to establish a queue for petitions to be considered by the Metro Council. Once the 100-acre limit is achieved for this year, the remaining petitions will be given first consideration on March 15, 1999.

The following items were found to be incomplete or missing from the petition packet. See enclosed pages from petition form and Metro Code for these requirements.

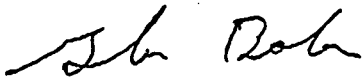
1. Provide completed "Request for Comment from Service Provider" forms from providers of urban services to the subject site. Providers may include special districts, cities or counties. Urban services include, but are not limited to water, sewer, storm drainage, transportation, parks and open space (Metro instruction (H)).
2. A statement by the local governing body with land use jurisdiction over the subject site (Metro Code Section 3.01.033(h)(1)). In this case, a statement is needed from

Mr. Don Hanson
Otak, Inc.
March 25, 1998
Page 2

the Multnomah Board of Commissioners. The cited code section above states that "...a petition shall not be considered completed for hearing unless the petition includes a written statement by the governing body....". The only exception to this requirement, found in Metro Code Section 3.01.033(h)(4), is the applicant's proof that he/she filed with the local government for a statement at least 120 days previously. Another option is to request a waiver of the locational petition deadline (Metro Code Section 3.01.033(d)).

If you have any questions about the above requirements, please call me at 797-1593.

Sincerely,



Glen Bolen
Associate Regional Planner
Growth Management Services Department

GB/srb
I:\GM\UGBadmt.98\Inc.Letters\98Linc.ltr.doc

Enclosures

cc: Brian Lessler, C.G.C., Inc.
Mary Weber

Letter of Transmittal

9175
Approvals

Transmitted By...

Date

March 16, 1998

Project #

L9175.L01

■ Courier

Project

Persimmon Hillside Site Locational Adjustment

To

Metro Growth Management Section

We Are Sending You...



17355 SW Boones Ferry Rd.
Lake Oswego, OR 97035
Phone (503) 635-2618
Fax (503) 635-5395

Copies Page # Description

2		Petition form
2		Responses to Subsection 3.01.035
2		Map sets
2		Letters from Gresham Mayor McRoberts

1		Fee deposit check for \$2,700.00
2		Statement of intent to annex
1		Tax Assessors maps of site and area
1		Fee Deposit calculation form

Remarks

Please accept this petition for a locational adjustment of the UGB in the Gresham area. Please review for completeness and let me know what else is needed. We will deliver the service provider letters and notification list within the next few days.

From Jerry Offer, 699-2417

cc Brian Lessler, CGC Inc.

PAGE 05 5036355395 21:11 00 10 000

PETITION FOR AN AMENDMENT TO
THE METRO URBAN GROWTH BOUNDARY (UGB)

Check one of the following:

Major Amendment (greater than 20 acres) _____

Locational Adjustment (20 acres or less) X

Natural Area Locational Adjustment _____

Check one: This is an addition X, removal _____, trade _____.

1. a. Petitioner's name and address:

C. G. C., Inc (Brian Lessler)

8015 SE Hogan Road

Gresham, OR 97080

Phone Number: 667-7508

b. Contact person, if other than petitioner (consultant or attorney) or if petitioner is a local government:

Otak, Inc. (Don Hanson or Jerry Offer)

17355 SW Boones Ferry Road

Lake Oswego, OR 97035

Phone Number: 635-3618

2. What is petitioner's interest in the property:

X Property Owner

_____ Contract Buyer

_____ Option to Buy

_____ Other Legal Interest (Specify: _____)

_____ Local Government

3. County in which property is located: Multnomah County

4. If the amendment requested were approved, would you seek annexation to (or de-annexation from) a city?

X Yes, the City of Gresham

_____ No

5. Does the property lie outside the Metro boundary?

 Yes X No

6. Description of properties included in the petition (list each lot individually and attach a copy of the appropriate tax assessor's map(s)):

a. Legal Description (Township, Range, Section, Lot):

A portion of 1S 3E 22 Tax lot 400

b. Acres:

19.6 acres

c. Owner's Name and Address (Mark "Same" if same as petitioner):

Same

d. Improvements on Property (e.g., none, one single family dwelling, barn, gas station, etc.):

None

Attach additional sheets as needed.

7. a. What sewerage facilities currently serve the property?

 X None, All Land is Vacant

 Package Sewage Treatment Plant

 Sewer Line to Public System

 Septic Tank

b. If septic tanks, have any septic tanks in the area failed?

 Yes, (Explain: _____

_____)

 No

8. a. How close is the nearest sewer trunk? approximately 1,400 feet to the north

b. Where is the nearest sewer trunk located? along Hogan Creek, north of Butler Road

9. a. Are additional sewer trunks for the area planned?

 Yes X No

b. If yes, how close to the property and where would the planned sewer lines run? _____

10. How is water provided to the property?

☐ Private Well

☐ Inch Water Line Provided by _____ (city or water district)

☒ No Water Provided

11. a. How close is the nearest water main? approximately 1,000 feet to the north

b. Where is the nearest water main located? Butler Road

12. a. Are additional water mains for the area planned?

☒ Yes ☐ No

b. How close to the property and where would planned water lines run? Two water mains would be stubbed at the western edge of the site when Persimmon

Phase 5 is developed.

13. Give local plan classification for all roads and list all other transportation services and facilities, within 500' of the property:

None at present. Phase 5 of the Persimmon PUD has been approved for development immediately west of the site. Two local streets within Phase 5 are planned to be stubbed to the western edge of the site.

14. Are there any natural or man-made boundaries to development running along or near your property (rivers, cliffs, etc.)?

☐ Yes (Describe: _____)

Mark location on assessor's map or attach other map or photo.

☒ No

15. What is the local comprehensive plan designation of the property?

EFU

16. What is the current local zoning designation?

EFU

17. Does the comprehensive plan identify any natural hazards in this area?

☒ Yes (Describe and explain applicable comprehensive plan policies: Steep slopes.
Erosion potential should be considered in the hilly areas of East County
and south of Gresham. On highly erosive slopes, it is important to use
both non-mechanical and mechanical measures as erosion controls.

☐ No

18. Does the comprehensive plan identify any natural, cultural, or historic resources in this area?

☐ Yes (Describe resources and explain applicable plan policies: _____

☒ No

19. Are there any agricultural lands (Class I-IV soils) present on the property or adjoining the property, which are designated by the applicable comprehensive plan for farm or forest use?

☐ Yes (Describe type, location and acreage): _____

☒ No

20. Is the property or a portion of the property identified as open space, natural area or the equivalent in a city or county comprehensive plan, or in Metro's natural area and open space inventory?

☒ Yes ☐ No If Yes, how many acres? 19.6

21. How do you plan to develop the property if your petition is approved, and what zoning designation would apply?

If the petition is approved, annexation to the City of Gresham and LDR (Low
Density Residential) zoning will be requested. Development of 25 to 35 detached
single family residences is the likely development scenario for the site.

22. Has the local government, subject to this petition being approved, provided any conditional zoning for the land area?

_____ Yes (Please explain.)

 X No

23. On a separate sheet of paper, please discuss how approval of your petition would comply with each of the applicable standards from Metro Code: see Chapter 3.01.25 and 3.01.30 for Major Amendment Criteria, or Chapter 3.01.35 for Locational Adjustment Procedures which includes Natural Area Locational Adjustments, removals and trades. Only petitions found consistent with these criteria may be approved. It is your responsibility to address these criteria, if they are not adequately addressed the petition may be found incomplete.

24. Petitioners Signatures

I/WE THE UNDERSIGNED HEREBY PETITION THE METRO TO ADD TO/REMOVE FROM THE URBAN GROWTH BOUNDARY THE PROPERTY DESCRIBED HEREIN.

SIGNED,

Name

CGC, INC

Tax Lot

Date

by Brian Hessler

3/16/98

c:\pdu\ugb\petition

CALCULATION OF UGB AMENDMENT DEPOSIT

1. Deposit toward administrative costs (actual costs billed (actual costs billed at \$35.00 per hour for Land Use Coordinator time)¹

Enter \$700.00 if petition is 20 acres or less, \$1,400.00 if more than 20 acres, but less than 50 acres, \$2,500.00, if this is a major amendment of more than 50 acres. \$ 700.00

2. Deposit toward Hearings Officer and Public Notice costs (actual costs billed from invoices received)

(\$2,000.00)

Total

\$ 2,700.00

3. Submit the Total amount calculated with the petition as a deposit towards the costs incurred. Actual costs will be charged from the date the petition is considered complete and eligible until final Council action.

erb
Egm/ugb/deposit
3/27/96

Security enhanced document. See back for details.

PERSIMMON COUNTRY CLUB
500 SE BUTLER RD. 503-674-3200
GRESHAM, OR 97080

6168

PAY TO THE ORDER OF Metro DATE 3-16-98 96-653/1252

Twenty Seven Hundred & no/100 \$ 2700⁰⁰

DOLLARS

MB THE
MERCHANTS BANK
of Gresham
P.O. Box 2314
Gresham, OR 97030
503/661-6666
Telebank 1-888-727-3737, Ext. 102

FOR 7A Donja M. Cottrell

⑈006168⑈ ⑆123206639⑆ 01 001890⑈

PAGE 11 5036353305

otak

March 16, 1998

Metro
600 NE Grand
Portland, OR

Re: *UGB Amendment for Persimmon — Otak Project No. L9175.L01*

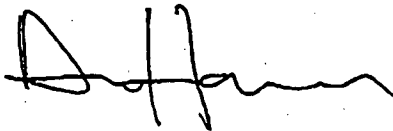
Dear Sir or Madam:

We have discussed the proposed UGB amendment extensively with the City of Gresham. When the UGB amendment is approved the applicant intends to petition for annexation into the City of Gresham within 90 calendar day.

Please fee free to call with any questions or concerns you have regarding this matter.

Sincerely,

Otak, Incorporated



Don Hanson
Principal

DH:ek

55 sw boones ferry road
Lake Oswego, Oregon 97035-5217
(503) 635-3618
fax (503) 635-5395

www.otak.com

P:\PROJECT\9100\9175\031696.LTR

A S E
ARCHITECTURE
ENGINEERING
LANDSCAPE
ARCHITECTURE
PLANNING
SURVEYING &
MAPPING
URBAN DESIGN



CITY OF GRESHAM

Office of the Mayor & City Council

March 12, 1998

Mayor

Gussie McRobert

Mike Burton, Executive Officer
METRO
600 NE Grand Ave.,
Portland, OR 97232

RE: UGB Locational Adjustment – Urban Reserve Area #3

City Council

Bob Moore
Council President
Position 5

Dear Mr. Burton:

The City of Gresham supports this applicant's petition for a UGB locational adjustment for the property identified as Urban Reserve Area #3. Gresham supported the inclusion of this area as an Urban Reserve Area last year.

Jack Gallagher
Position 1

Approval of this adjustment would allow the developers another phase of their Persimmon development in south Gresham. Services are now in place just west of the site and inclusion of the area would not negatively impact Gresham's ability to provide services at some time in the future when this property is annexed.

Glenn McIntire
Position 2

Yours truly,

John Leuthauser
Position 3

Debra Noah
Position 4

Gussie McRobert
Mayor

David Widmark
Position 6

GM:JD

cc:\gov\persimmon1.doc

Letter of Transmittal

Transmitted By... **Date** March 25, 1998 **Project #** L9175.L01
■ Courier
Project Persimmon Hillside Site Locational Adjustment
To Metro Growth Management Section

We Are Sending You...

Copies	Page #	Description
2		Responses to Subsection 3.01.035
2		Map sets



17355 SW Boones Ferry Rd.
 Lake Oswego, OR 97035
 Phone (503) 635-3618
 Fax (503) 635-5395

Remarks

Please accept the attached as replacements for the responses and maps we submitted for as part of our application for a locational adjustment of the UGB in the Gresham area. The primary change in the responses and maps relates to more detailed information regarding the agricultural suitability classifications for the soils on the site. The new colored map shows that most of the soil on the subject site is Class VI soil, with only a small portion of the site consisting of Class IV soils. Please call me if you have questions.

From Jerry Offer, 699-2417

cc Brian Lessler, CGC Inc.
 John Junkin, Bullivant, Houser, Bailey

Persimmon Hillside Site UGB Amendment

Response to 3.01.035 Locational Adjustment Procedures - Applicable Approval Criteria

(a) *It is the purpose of sections 3.01.035 and 3.01.037 to establish procedures to be used by the district in making minor UGB amendments. The sections are intended to incorporate relevant portions of statewide goals 2 and 14, and, by restricting the size, character, and annual acreage of UGB adjustments that may be approved under this chapter, this section obviates the need to specifically apply these goal provisions to UGB amendments approved hereunder.*

(b) *All locational adjustment additions and administrative adjustments for any one year shall not exceed 100 net acres and no individual locational adjustment shall exceed 20 net acres. Natural areas adjustments shall not be included in the annual total of 100 acres, and shall not be limited to 20 acres, except as specified in 3.01.035 (g), below.*

Response: The requested locational adjustment would bring 19.6 acres within the urban growth boundary. Therefore, the requested adjustment is consistent with this 20 net acre maximum individual locational adjustment size criterion.

(c) *All petitions for locational adjustments except natural area petitions shall meet the following criteria:*

- (1) *Orderly and economic provision of public facilities and services. A locational adjustment shall result in a net improvement in the efficiency of public facilities and services, including but not limited to, water sewerage, storm drainage, transportation, parks and open space in the adjoining areas within the UGB. Any area to be added must be capable of being served in an orderly and economical fashion.*

Response: Due to the steep topography between Persimmon Phase 5 and Phase 6, it will be necessary to construct a public road through the subject site as illustrated in Figures 3-5 in order that the road satisfy maximum road gradient and construction standards of the City of Gresham and Multnomah County. It is not possible for a road which is consistent with the maximum road gradient standards to be built in the area between Phases 5 and 6 which is currently within the UGB. In addition, sanitary sewer, storm sewer, and water lines will be installed within this road in order to take advantage of construction efficiencies, in order to provide

Persimmon Hillside Site UGB Amendment

Continued

adequate access to these public utility lines for maintenance purposes, and to avoid construction in the steeper, more sensitive area located between Phases 5 and 6. The proposed locational adjustment would therefore result in a net improvement in the efficiency of public facilities and service because additional dwellings would be able to be developed along this road between Persimmon Phase 5 and Phase 6, thereby providing better utilization of these utility facilities.

(2) *Maximum efficiency of land uses.* The amendment shall facilitate needed development on adjacent existing urban land. Needed development, for the purposes of this section shall mean consistent with the local comprehensive plan and/or applicable regional plans.

Response: As noted above, a road will need to be constructed through the subject site to provide for the development of Persimmon Phase 6. The area of Persimmon Phase 6 is located within the City of Gresham and is designated by the City of Gresham Comprehensive Plan for Low Density Residential development. There is no other feasible route for connecting the future Phase 6 to other portions of the City of Gresham other than through development of a road through the subject site. Although this needed road could still be built if the subject site was outside of the UGB, development of the road and public utility facilities within the road without urban levels of residential development along the road would be an inefficient use of those facilities. Therefore, the requested adjustment would promote an efficient land use pattern in this area.

(3) *Environmental, energy, economic and social consequences. Any impact on regional transit corridor development must be positive and any limitations imposed by the presence of hazard or resource lands must be addressed.*

Response: The subject site is a steeply-sloped, wooded area of land located between the Persimmon Golf Course and the future Phases 5 and 6 of the approved Persimmon residential planned unit development. The subject area is wooded with primarily low quality, unmanaged big leaf maples and alders. The site has been logged in the past. There is no standing water on the site. There are intermittently flowing drainages which pass through the site. Due to the extensive alteration of the site by past logging, wildlife habitat quality on the site is low to moderate quality. Inclusion of the site within the UGB would not have significant environmental impacts upon important tree stands, water resources, or wildlife habitat.

Persimmon Hillside Site UGB Amendment

Continued

The site contains no known energy resources. Therefore, inclusion of the site within the UGB would therefore have no significant impacts on energy resources. In addition, the subject site is located more than one mile from the nearest regional transit corridor (Powell Road); Therefore, the requested adjustment would not have any impact on regional transit corridor development or any significant positive impacts upon energy usage.

The site is not currently in agricultural or forestry production. If the site is included with the UGB, it is presumed that the site would be used for residential use rather than industrial or commercial use due to its location away from major roads and its steep slope. Therefore, inclusion of the site within the UGB would not have any significant economic consequences.

The site is not currently developed. Inclusion of the site within the UGB and subsequent development would not have any direct impacts upon neighboring property owners. Therefore, inclusion of the site within the UGB would therefore have no significant social consequences.

(4) Retention of agricultural land. When a petition includes land with Agricultural Class I - IV soils designated in the applicable comprehensive plan for farm or forest use, the petition shall not be approved unless it is factually demonstrated that:

(A) Retention of any agricultural land would preclude urbanization of an adjacent area already inside the UGB, or

(B) Retention of the agricultural land would make the provision of urban services to an adjacent area inside the UGB impracticable.

Response: The subject site is zoned EFU by Multnomah County and contains predominantly Agricultural Class VI soils, as is illustrated on the attached soils map. Some minor areas of Class IV soils are shown as being located on the northern-most and southern-most portions of the subject site. However, since the subject site is a wooded hillside located between the Persimmon Golf Course and the future Phases 5 and 6 of the approved Persimmon residential planned unit development, the actual agricultural suitability of the area of Class IV soils would be minimal. The slopes are too severe for cultivation and the surrounding uses make pasturing of animals or forestry uses impractical. In addition, there is no adjacent commercial forestry or

Persimmon Hillside Site UGB Amendment

Continued

agricultural activity with which the area of Class IV soils could be combined in order to add to their viability for agricultural use.

- (5) *Compatibility to proposed urban uses with nearby agricultural activities. When a proposed adjustment would allow an urban use in proximity to existing agricultural activities, the justification in terms of all factors of this subsection must clearly outweigh the adverse impact of any incompatibility.*

Response: Since the subject site is a wooded hillside located between the Persimmon Golf Course and the future Phases 5 and 6 of the approved Persimmon residential planned unit development, there are no nearby agricultural activities which would be affected by development of the subject site with urban uses.

- (d) *Petitions for locational adjustments to remove land from the UGB may be approved...*

Response: This petition would not remove any land from the current UGB. Therefore this section is not applicable.

- (e) *A petition for a locational adjustment to remove land from the UGB in one location and add land to the UGB in another location (trades) may be approved...*

Response: This petition would not remove any land from one location within the current UGB in exchange for adding land in another location. Therefore this section is not applicable.

- (f) *Petitions for locational adjustments to add land to the UGB may be approved under the following conditions:*

- (1) *An addition of land to make the UGB conterminous with the nearest property lines may be approved without consideration of the other conditions in this subsection if the adjustment will add a total of two gross acres or less, the adjustment would not be clearly inconsistent with any of the factors in subsection (c) this section, and the adjustment includes all contiguous lots divided by the existing UGB.*

Persimmon Hillside Site UGB Amendment

Continued

Response: This petition does not propose an addition to the UGB to make the UGB coterminous with nearby property lines. Therefore this section is not applicable.

- (2) For all other additions, the proposed UGB must be superior to the UGB as presently located based on a consideration of the factors in the subsection (c) of this section.*

Response: As noted in the response to subsection (c) above, the proposed adjustment would result in a more efficient land use pattern by allowing urban levels of development on either side of a road which must be developed to connect two portions of the Persimmon residential planned unit development. Extending the road through the subject property up to Phase 6 also facilitates providing a much needed secondary access to a large lot development south of Phase 6 in Clackamas County. The proposed access road would connect to SE Yellowhammer Road and alleviate a hazardous closed road (one access) system. In addition a pedestrian and bicycle path extension would be provided from the subject property east to SE Hogan Road. The proposed adjustment would not result in any significant environmental, energy, economic, or social consequences. Without the proposed adjustment, the road can be constructed anyway, but the land within the subject area will remain undeveloped and will not be important for resource purposes. Therefore, the proposed UGB would result in a superior boundary as compared to the current boundary.

- (3) The proposed UGB amendment must include all similarly situated contiguous land which could also be appropriately included within the UGB as an addition based on the factors above.*

Response: The proposed adjustment includes all land which can reasonably be directly serviced by the public utilities within the road between Persimmon Phases 5 and 6 and therefore all similarly situated contiguous land is included as part of this petition.

- (g) All natural area petitions for locational adjustments must meet the following conditions...*

Response: This criteria is not applicable.

Metro Council First Tier Urban Reserves

March 6, 1997

REPRESENTATION OF THE METRO COUNCIL'S FIRST TIER URBAN RESERVES

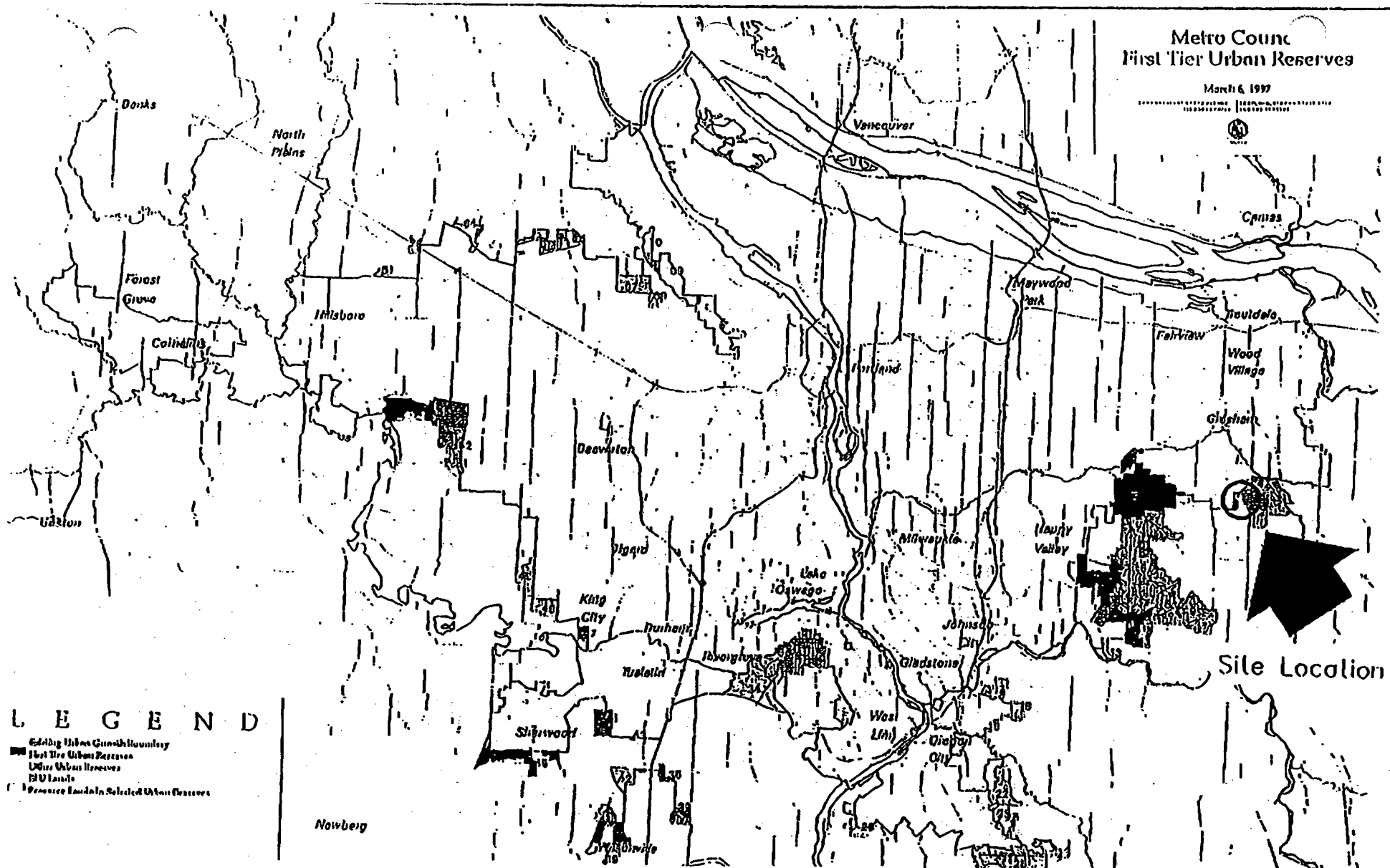
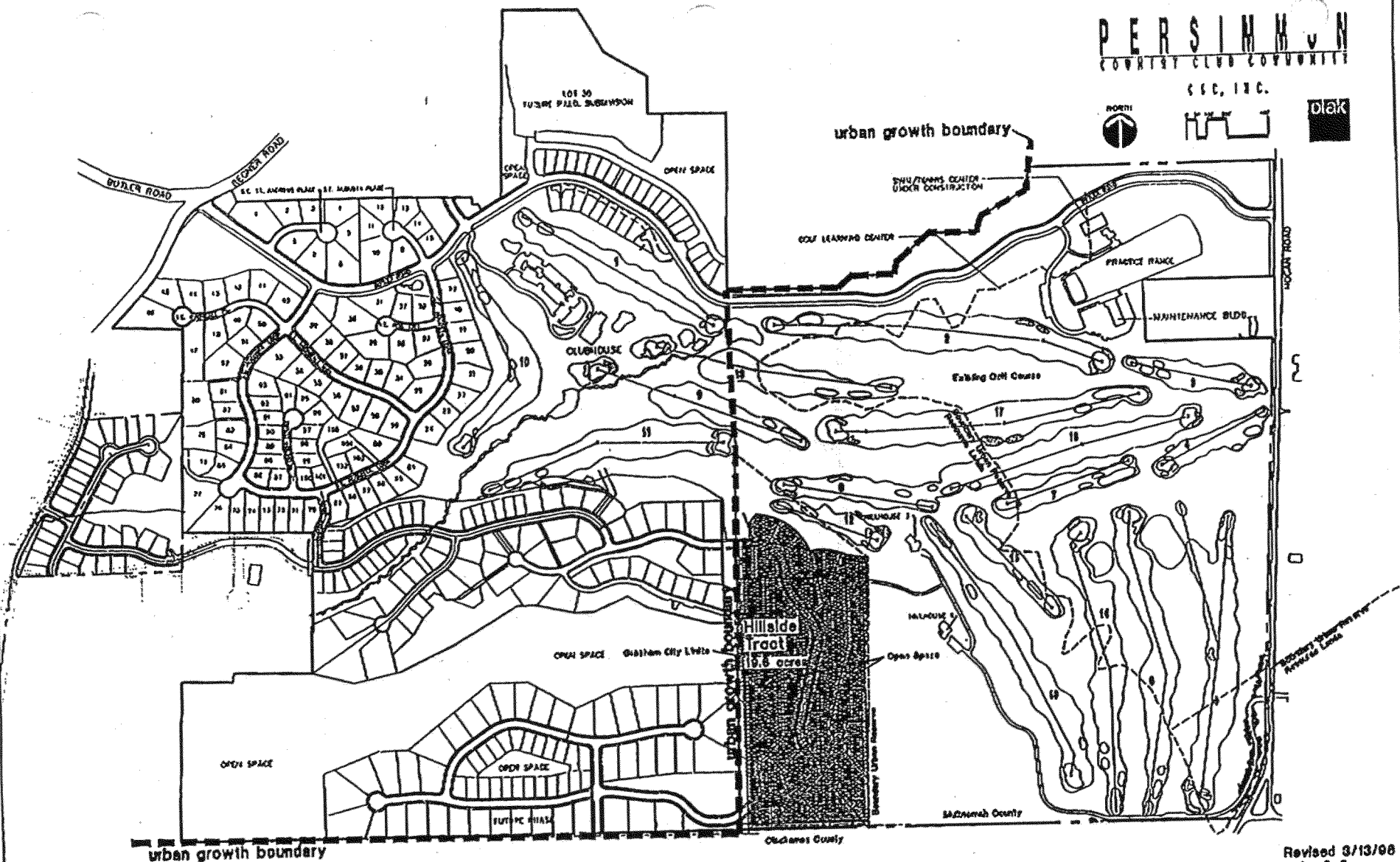






FIG. 1 Site Location Map



PERSIMMON URBAN RESERVE AREA ANALYSIS

-  Gresham City Limits
-  Urban Growth Boundary
-  County Boundary
-  Subject Property

Soil Classification

- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8

Soils Map



400' 0 400'

1" = 400'



Print Date 3/23/00

Subject Property

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

Comment to Metro on CGC Inc.'s) RESOLUTION
Petition for an Urban Growth Boundary)
Minor Locational Adjustment)

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS FINDS:

- a. The Metro Code [3.01.033(h)(1)] requires the local governing body with land use jurisdiction over the area in a petition for a Minor Locational Adjustment to the Urban Growth Boundary to provide a comment as part of a complete petition.
- b. The local governing body may make one of three comments to Metro: approve, deny or express no preference. [Metro Code 3.01.033(h)(1)].
- c. John Junkin representing CGC, Inc. is petitioning for a Minor Locational Adjustment to the Urban Growth Boundary for property located south of the Persimmon Golf Course and west of Hogan road (Legal Description: portion of 1S, 3E, Sec 22, Tax Lot 400).
- d. The subject 19.6-acre site is located within unincorporated Multnomah County; the County has land use jurisdiction; the subject site is zoned EFU; and, Metro has designated the subject site Urban Reserve.
- e. As part of a completed petition, Metro also requires potential service providers to comment on the provision of urban services to the subject site of a petition.
- f. The City of Gresham extends water and sewer services to 1000 feet and 1400 feet respectively from the subject site and the petitioner indicates these services will be extended to the site.
- g. The City of Gresham has recommended approval of the petition.

- h. The County's policy pertaining to the extension of land use planning services to Urban Reserve areas is found in the County's Urban Planning Area Agreement (UPAA) with the City of Gresham.
- i. In the County's UPAA, the City has agreed to assume planning responsibilities for those areas for which there is an agreement that the City will be the general urban services provider.
- j. There has been no agreement designating the City of Gresham as the general urban service provider this Urban Reserve area.
- k. The County's ability to comment on CGC, Inc.'s petition, based on the UPAA with the City, is limited until governance agreements have been established.
- l. The Metro Council must consider each complete petition for a Minor Locational Adjustment to the Urban Growth Boundary using criteria listed in the Metro Code.

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS RESOLVES:

1. The Multnomah County Board of Commissioners hereby adopts a "no preference" comment in response to CGC, Inc.'s petition for a Metro Minor Locational Adjustment to the Urban Growth Boundary.

APPROVED this 9th day of April 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

- By Jeffrey B. Litwak
Jeffrey B. Litwak, Assistant County Counsel

MEETING DATE: APR 16 1998
AGENDA #: R-11
ESTIMATED START TIME: 10:00 AM
APR 16 1998

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

R-11
10:00

SUBJECT: Multnomah County Comment to Metro on Jerry Johnson's Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: April 9, 1998

AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: DES DIVISION: Trans & Land Use Planning

CONTACT: R. Scott Pemble TELEPHONE #: 83182
BLDG/ROOM #: 412/Plan

PERSON(S) MAKING PRESENTATION: Pemble

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Multnomah County Comment to Metro on Jerry Johnson's Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary

SIGNATURES REQUIRED:

ELECTED
OFFICIAL: _____
(OR)
DEPARTMENT
MANAGER: K. Blawie

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 1 PM 2:06

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk 248-3277

MEMORANDUM

TO: Board of County Commissioners

FROM: R. Scott Pemble

DATE: March 31, 1998

RE: Jerry Johnson's Minor Locational Adjustment to the Urban Growth Boundary

I. Recommendation/Action Requested:
Adopt Resolution that "Expresses no preference on the petition".

II. Background/Analysis:
Mr. Jerry Johnson is applying to Metro for a Minor Locational Adjustment to the Urban Growth Boundary. The Metro Code requires the local governing body with land use jurisdiction over the area in a petition to provide a written statement as part of the petition. The County is the local governing body that has jurisdiction over Mr. Johnson's site.

The Metro Code list three responses the local governing body can make:

- (A) recommend that Metro approve the petition;
- (B) recommend that Metro deny the petition; or
- (C) expresses no preference on the petition.

Staff recommends option "C".

The subject site of Mr. Johnson's petition is located at 16900 SE McKinley. McKinley is situated the eastside of Foster road. The 14.8-acre site is located in the Pleasant Valley, Tier 1, Urban Reserve area. Water and sewer services provided by the City of Portland terminate approximately 400 feet from the site, at the intersection of McKinley and Jenney road.

The County's policy pertaining to Urban Reserve Areas is found in the County's Urban Planning Area Agreements with the City's of Portland and Gresham. In both agreements the County and Cities have agreed that the appropriate City will be responsible for land use planning and arranging for the provision of urban services.

In the Pleasant Valley, Tier 1, Urban Reserve area, jurisdictional decisions (governance agreements) have not been made. A planning program to determine jurisdictional responsibilities is underway and a decision is expected within the next six to twelve months. Three Cities are possible general service providers in the Pleasant Valley, Tier 1, Urban Reserve area: Gresham, Happy Valley and Portland.

The City of Portland Bureau staffs have prepared comments for Mr. Johnson's petition. Generally, the Bureaus responsible for infrastructures have indicated

services can be extended and Bureaus with planning responsibilities have indicated that Governance agreements and Metro required Urban Reserve Plans should be prepared before the subject site is brought into the Urban Growth Boundary. The City of Gresham has not prepared a comment because the City of Portland is expected to extend services due to the site's proximity to Portland's services. (The City of Portland's Bureau of Planning comment is attached to this memorandum.)

Given the mixed comments from the City of Portland's Bureaus, the County's Urban Planning Area Agreements with the cities and the fact that governance agreements will not be completed soon, the staff recommends the Board "expresses no preference on the petition". The Metro Council will review all complete applications by applying their code criteria for Minor Locational Adjustment to the Urban Growth Boundary and will consider the comments submitted by the City of Portland Bureaus. Mr. Johnson's petition will be evaluated on its merits in light of Metro Code requirements.

III. Financial Impact:

None

IV. Legal Issues:

The Metro Code requires the County to comment on Mr. Johnson's petition in order for his petition to be deemed complete.

V. Controversial Issues:

The City of Portland Bureau of Planning has raised a timing issue because the subject site is within the Pleasant Valley, Tier 1, Urban Reserve area. This area will undergo a comprehensive review of land use and public service provisions over the next year and one half. They argue the premature inclusion of the subject site may impact the Urban Reserve Plan for the Pleasant Valley area and request that this site not be brought into the UGB at this time.

VI. Link to Current County Policies:

The current policy is stated in the County's Urban Planning Area Agreements (UPAA's) with the Cities of Gresham and Portland. As indicated above, the UPAA's establish the need to resolve governance issues between general service providers and then have the appropriate City prepare the Metro required Urban Reserve Plan.

VII. Citizen Participation:

The Metro Council process provides opportunity for citizen participation.

VIII. Other Government Participation:

Metro Council has jurisdiction for making Minor Locational Adjustments to the Urban Growth boundary. Petitions for the Minor Location Adjustments can be made once a year. The petition must include a comment from the local government having land use jurisdiction over the area being petitioned. Multnomah County has land use jurisdiction over the subject site. Comments from agencies providing services to the subject site must be included with the petition. The City of Portland bureaus have provided a variety of comments.



CITY OF
PORTLAND, OREGON
BUREAU OF PLANNING

Charlie Hales, Commissioner
David C. Knowles, Director
1120 S.W. 5th, Room 1002
Portland, Oregon 97204-1966
Telephone: (503) 823-7700
FAX (503) 823-7800

March 9, 1998

To: Ray Vallone, Metro Staff for UGB Amendments
Metro Growth Management Services Department

FM: David Knowles, Director *D Knowles*

RE: Request for Comments from the Portland Planning Bureau on a proposed Urban
Growth Boundary Minor Locational Adjustment in the Tier I Pleasant Valley Urban
Reserve Area

We have received a request for comments form from Mr. Jerry Johnson of JJ Development for a UGB Minor Locational Adjustment to the Urban Growth Boundary in the Pleasant Valley Urban Reserve Area for property of approximately 14 acres adjacent to the Portland City Limits. We are also in receipt of a February 19th letter from Metro Executive Officer, Mike Burton, notifying the city and the Planning Bureau of the March 15th annual deadline for acceptance of petitions for UGB locational adjustments. We understand that according to Metro Code 3.01, the proposed 14 acre request from JJ Development meets one of the threshold criteria for a minor locational adjustment in that it is less than 20 acres.

Below are the comments from the Planning Bureau on both general policy and specific land use issues raised by the proposal. These comments are as yet not the official position of the city. As we understand, these comments are used to complete the full application. Portland may be asked to take a formal position once a complete application has been submitted.

The subject proposed petition raises at least one major policy threshold question:

Should the city support and Metro approve, UGB expansions in Tier I areas now anticipated for Metro-mandated Urban Reserve Plans?

During this past year's decision-making and selection of urban reserves by Metro, it was repeatedly understood that the best way to ensure that these areas achieved the 2040 Growth Concept objectives was to require that an urban reserve plan be prepared before bringing areas inside the boundary and before they were annexed and developed. Much has been made of the difficulties created by incremental UGB expansion. Until the urban reserve plan is completed, who is to say that this 14 acre site is best suited for the purposes that will be envisioned in a reserve plan? Who is to say that the city's ability to serve, size, and locate infrastructure for these 14 acres will be in the best interests of an area plan much less the adjacent property owners. Will the inclusion of this site spur other self-interested owners to petition next year?

Post-It® Fax Note	7671	Date	3/31/98	# of pages	3
To	Scott Pemble	From	Bob Clay		
Co./Dept.	Mult Co	Co.	Planning		
Phone #		Phone #	823-7713		
Fax #	248-3389	Fax #	823-7800		

An Equal Opp
City Government Information TDD (for T

Broad Land Use Policy Issues:

Until an urban reserve plan is prepared, no one knows what the correct balance will be among residential uses and densities to achieve both the affordable housing objectives and the overall 10 housing units per acre requirement for outer-neighborhoods. Each incremental expansion of the boundary into the area pre-commits land and limits the options to balance the 2040 Concept Plan objectives. While 14 acres of a 1500 area is small, it nevertheless presents a dilemma and potential impediment to orderly and efficient development.

The City of Portland has been participating for about nine months on a Pleasant Valley Management Committee to perform work leading to a decision on "governance" and the later preparation of an urban reserve plan. The Committee has prepared the TGM Grant application, retained a consultant, and is currently serving as the oversight committee for the consultant contract with McKee/Morris to review products and address issues of "governance". Portland is working cooperatively with five other governments including Metro. Accordingly, we are reluctant to suggest any action that would affect a good working relationship with our local government partners or that would appear to preempt a deliberative decision to bring lands into the UGB before urban reserve planning has been undertaken.

Technical and Legislative Land Use Planning Issues:

The proposed R5 zoning and level of residential density also raises issues. R5 density yields approximately 8.3 units to the acre, yet the Metro density average standard calls for 10 dwelling units to the acre in urban reserve areas. Initially the applicant has indicated to city staff preference for 6,000 square foot lots, which would yield about 7.2 units per acre. The city has a 90% of maximum allowable density requirement which applies to land divisions in this residential zone. This is done to assure that overall density targets in that zone will be achieved and that density potential will not be lost. For example, the creation of 6,000 square foot lots in R5 would not work under this 90% maximum density zoning code requirement. The only way development in the R5 zone can work and still meet the 10 dwelling unit per acre standard for urban reserves, is if the property is developed as a planned unit development (PUD) and some lots of less than 5,000 square feet are created or some attached housing is built. A PUD allows variations in lot sizes and clustering. It also offers the opportunity to set aside any water bodies or other natural areas as common open space.

Even if the Metro urban reserve density standard can be met using the PUD, several other concerns remain. It appears the subject property, and the area in general, has not had any serious evaluation of natural resource lands per State Land Use Planning Goal 5. The only tool the City has to provide protection is through the zoning conversion chart from city to county designation. Where the county has an SEC zone (Significant Environmental Concern) the City would convert to an environmental overlay zone. The applicant acknowledges and the mapping confirms a drainage through the property. The applicant verbally indicated his intent to provide a 50 foot set back. Despite the drainage, the applicant correctly acknowledges that there is no other protection overlays for environmental or natural resource lands provided by the County.

Typically the City has acknowledged the importance of all drainage's in the Johnson Creek Watershed Basin and has placed an environmental zone overlay over them after a Goal 5 ESEE process and mapping. Extending Goal 5 onto this property requires a legislative study and process.

This area lies immediately adjacent to a portion of the Johnson Creek Basin and Plan District. The Plan District boundaries stop at the Portland City Limits which, in this vicinity, are also the same as the UGB. Among the several purposes of the Plan District is to minimize the effects of development on flooding and water quality impacted from storm water run off. Neither UGB expansion nor later annexation guarantees that the Plan District would be expanded onto this property.

The application of other Metro, State, and local Comprehensive Plan Goals are best addressed through a legislative comprehensive planning effort. The purpose of the urban reserve plan is to accomplish this type of study and process. This proposal offers property specific site-planning.

Procedural Land Use Planning Issues:

An amendment to the UGB to add this property to Portland's UGB would also set in motion a number of planning procedures and notification requirements at the local level. Amending the UGB would subsequently require an amendment to the Portland/Multnomah Urban Planning Area and Urban Services Boundary (USB) prior to annexation. Such an amendment would take a minimum of four to five months for the city and county to coordinate, process, and execute an amendment to the Urban Planning Area Agreement (UPAA). Such an amendment has not been contemplated and is not budgeted for this year or next. The UPAA has been amended only about four times since its inception in 1979. Most recently it was amended to transfer planning authority from the county to the city for West Hayden Island (1996) and to transfer planning authority for the purposes of meeting the Metro 2040 Urban Growth Management Functional Plan for all unincorporated pockets within Multnomah County inside the Portland Urban Services Boundary. The USB change will also mean that the City will need to process an amendment to the Comprehensive Plan showing the boundary change. This requires notice and a hearing before the Planning Commission and City Council.

Recommendation:

The ability of the city to provide planning services to plan and regulate this property in a comprehensive manner which the city typically prefers to follow is not adequate. The city lacks the resources and tools to adequately address the legislative planning issues identified in the foregoing analysis.

Given the current intergovernmental efforts to address a variety of "governance" issues and service delivery issues and the Metro Code requirements for preparing an Urban Reserve Plan, the Bureau of Planning cannot recommend proceeding with a locational adjustment to include this property inside the UGB at this time.

If you have any questions about these comments, please contact Bob Clay at 823-7713.

cc

Commissioner Charlie Hales
John Bonn, OFA
Bob Clay, BOP

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Comment to Metro on Jerry Johnson's)
Petition for an Urban Growth Boundary)
Minor Locational Adjustment)

RESOLUTION

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS FINDS:

- a. The Metro Code [3.01.033(h)(1)] requires the local governing body with land use jurisdiction over the area in a petition for a Minor Locational Adjustment to the Urban Growth Boundary to provide a comment as part of a complete petition.
- b. The local governing body may make one of three comments to Metro: approve, deny or express no preference. [Metro Code 3.01.033(h)(1)].
- c. Jerry Johnson representing JJ Development is petitioning for a Minor Locational Adjustment to the Urban Growth Boundary for property located at 16900 SE McKinley.
- d. The 16900 SE McKinley site is located within unincorporated Multnomah County and the County has land use jurisdiction.
- e. As part of a completed petition, Metro also requires potential service providers to comment on the provision of urban services to the subject site of a petition.
- f. The City of Portland extends water and sewer services to within 400 feet of the subject site and the City's Bureaus providing these services have indicated that services can be extended to the site.
- g. The City of Portland Planning Bureau has recommended the petition be denied because the subject site is situated within a Metro designated Tier 1, Urban Reserve area and that development of this area should be delayed until the Urban Reserve plan for the Pleasant Valley Urban Reserve area is completed.

- h. The County's policy pertaining to the extension of land use planning services to Urban Reserve areas is found in the County's Urban Planning Area Agreements (UPAA's) with the Cities of Gresham and Portland.
- i. In the County's UPAA's, both the Cities have agreed to assume planning responsibilities for those areas when there is agreement that one of the Cities will be the general urban services provider.
- j. There has been no agreement regarding the designation of a City as the general urban service provider for the Pleasant Valley Urban Reserve area.
- k. A planning process is underway to establish governance agreements between the affected Cities, service districts, and Counties and this process is expected to be completed within the next six to twelve months.
- l. The County's ability to comment on Jerry Johnson's petition, based on the UPAA's with the Cities, is limited until governance agreements have been established.
- m. The Metro Council must consider each complete petition for a Minor Locational Adjustment to the Urban Growth Boundary using criteria listed in the Metro Code.

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS RESOLVES:

1. The Multnomah County Board of Commissioners hereby adopts a "no preference" comment in response to Jerry Johnson's petition for a Metro Minor Locational Adjustment to the Urban Growth Boundary:

APPROVED this 9th day of April 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

By Jeffrey B. Litwak
Jeffrey B. Litwak, Assistant County Counsel

MEETING DATE: APR 16 1998
AGENDA #: R-12
ESTIMATED START TIME: 10:09

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Multnomah County Comment to Metro on Carla Ralston's Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: April 16, 1998

AMOUNT OF TIME NEEDED: 5 minutes
DEPARTMENT: DES DIVISION: Trans & Land Use Planning

CONTACT: R. Scott Pemble TELEPHONE #: 83182
BLDG/ROOM #: 412/Plan

PERSON(S) MAKING PRESENTATION: R. Scott Pemble

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Multnomah County Comment to Metro on Carla Ralston's Petition for a Minor Locational Adjustment to Metro Urban Growth Boundary for property described as portion of 2S, 1W, Sec. 32, Tax Lot 18.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)
DEPARTMENT MANAGER: KB Laure E. Chickelars

BOARD OF
COUNTY COMMISSIONERS
98 APR - 8 PM 12:18
MULTNOMAH COUNTY
OREGON

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

MEMORANDUM

TO: Board of County Commissioners

FROM: R. Scott Pemble

DATE: April 7, 1998

RE: Carla Ralston's Minor Locational Adjustment to the Urban Growth Boundary

I. Recommendation/Action Requested:
Adopt Resolution that "Recommend that Metro deny the petition".

II. Background/Analysis:

Carla Ralston is applying to Metro for a Minor Locational Adjustment to the Urban Growth Boundary. The Metro Code requires the local governing body with land use jurisdiction over the area in a petition to provide a written statement as part of the petition. The County is the local governing body that has jurisdiction over Carla Ralston's site.

The Metro Code list three responses the local governing body can make:

- (A) recommend that Metro approve the petition;
- (B) recommend that Metro deny the petition; or
- (C) expresses no preference on the petition.

Staff recommends option "B".

The subject site of Carla Ralston's petition is Tax Lot 18 of Section 32, 2 N, 1 West, which is situated immediately north of property she owns at 11821 NW McNamee road. The 4.22-acre site is not located an Urban Reserve area. Water and sewer services provided by the City of Portland terminate approximately 400 feet and 3.5 miles respectively from the site. The subject site is currently designated for Commercial Forest use in the County's Comprehensive Plan and Zoning Code. The petitioner indicates that the intended use of the site is for several two acres plus residential home sites.

The County's policy pertaining to the management of the Urban Growth Boundary is found in the County's Comprehensive Plan, Policy 6. Even though Policy 6 has not been updated since the creation of Urban Reserves and the Metro 2040 Concept, it is applicable for those Minor Locational Adjustment petitions not situated within an Urban Reserve Area. In particular, subsection 6C.3 of Policy 6 states: "The general need for more housing, unless it can be shown to be unique, cannot, of itself, be used to justify UGB amendments." The petition does not adequately address this unique need requirement.

Moreover, given the petition did address the unique need requirement adequately, the Board should consider Metro's adopted Growth Management Functional Plan requirements, i.e., the regional policies adopted post County's adoption of Policy

6. Under Title 1 of the Functional Plan, the County has two primary obligations; meet Table 1 Housing targets and achieve the densities recommended for each 2040 Concept "Design type". The anticipated design type for the subject site is "Outer Neighborhood" and the recommended density for this design type is thirteen persons per acre (approximately five dwellings per acre given current family formation factors for single-family dwellings). The Multnomah County Table 1 Target is 3089 new dwellings within all incorporated urban areas. The proposed development of large lot (two acre plus) residential use does not advance either of Multnomah County's Title 1 primary objectives.

Lastly, the Board of County Commissioners has on two separate occasions taken actions to support Metro's 2040 Concept, which promotes a compact urban form for future development of the region. By way of example, compact urban growth means ten dwelling units per acre would be required to bring designated "Urban Reserves" areas, new urban areas, into the Urban Growth Boundary. To bring additional "resource" land into the Urban Growth Boundary for the purpose of developing two acres plus residential lots does not comport with the Board's expressed support of a compact urban form for the region.

III.

Financial Impact:

None

IV.

Legal Issues:

The Metro Code requires the County to comment on Carla Ralston's petition in order for his petition to be deemed complete.

V.

Controversial Issues:

None known.

VI.

Link to Current County Policies:

Inconsistent with the County's Comprehensive Framework Plan Policy 6C3. Also, as mentioned above, the Board has committed to a regional compact urban form.

VII.

Citizen Participation:

The Metro Council process provides opportunity for citizen participation.

VIII.

Other Government Participation:

Metro Council has jurisdiction for making Minor Locational Adjustments to the Urban Growth boundary. Petitions for the Minor Location Adjustments can be made once a year. The petition must include a comment from the local government having land use jurisdiction over the area being petitioned. Multnomah County has land use jurisdiction over the subject site. Comments from agencies providing services to the subject site must be included with the petition. The City of Portland bureaus have provided a variety of comments. The Portland Planning Bureau concurs with County Staff comments as stated above.

BEFORE THE BOARD OF COUNTY COMMISSIONERS

FOR MULTNOMAH COUNTY, OREGON

Comment to Metro on Carla Ralston's)
Petition for an Urban Growth Boundary)
Minor Locational Adjustment)

RESOLUTION

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS FINDS:

- a. The Metro Code [3.01.033(h)(1)] requires the local governing body with land use jurisdiction over the area in a petition for a Minor Locational Adjustment to the Urban Growth Boundary to provide a comment as part of a complete petition.
- b. The local governing body may make one of three comments to Metro: approve, deny or express no preference. [Metro Code 3.01.033(h)(1)].
- c. Carla Ralston is petitioning for a Minor Locational Adjustment to the Urban Growth Boundary for property located immediately north of 11821 Northwest McNamee Road (Legal Description: portion of 2N, 1W, Sec 32, Tax Lot 18) to provide for two plus acre residential home sites.
- d. The subject 4.22-acre site is located within unincorporated Multnomah County; the County has land use jurisdiction; the subject site is zoned CFU; and, Metro has not designated the subject site Urban Reserve.
- e. As part of a completed petition, Metro also requires potential service providers to comment on the provision of urban services to the subject site of a petition.
- f. The City of Portland extends water and sewer services to 400 feet and 3.5 miles respectively from the subject site and the City indicate there are no plans to extend services to the subject site.

- g. The County's Comprehensive Framework Plan policy 6C.3 pertains to the maintenance of the Urban Growth boundary for areas not situated within a designate Urban Reserve area.
- h. Policy 6C.3 states: " The general need for more housing, unless it can be shown to be unique, cannot, of itself, be used to justify UGB amendments", and the petition does not satisfy this policy.
- i. The County Board has taken two separate actions that expressly support a compact urban form for the future development of the region and the proposed two-acre plus residential development is inconsistent with these actions.
- j. The Metro Council must consider each complete petition for a Minor Locational Adjustment to the Urban Growth Boundary using criteria listed in the Metro Code.

THE MULTNOMAH COUNTY BOARD OF COMMISSIONERS RESOLVES:

1. The Multnomah County Board of Commissioners hereby adopts a "recommend deny" comment in response to Carla Ralston's petition for a Metro Minor Locational Adjustment to the Urban Growth Boundary.

APPROVED this 16th day of April 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

By Tiffany B. Link

for Sandra Duffy, Chief Assistant County Counsel

MEETING DATE: APR 16 1998
AGENDA NO.: R-13
ESTIMATED START TIME: 10:10am

(Above space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Future of Primary Care/Health Care Access

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: Sharon Armstrong
AMOUNT OF TIME NEEDED: _____
REGULAR MEETING: DATE REQUESTED: April 16, 1998
AMOUNT OF TIME NEEDED: 20 minutes

DEPARTMENT: Health DIVISION: Primary Care
CONTACT: Sharon Armstrong TELEPHONE#: 248-3674
BLDG/ROOM#: 160/8
PERSON(S) MAKING PRESENTATION: Billi Odegaard & Sharon Armstrong

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

The Future of Primary Care / Health Care Access

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____

Or

DEPARTMENT MANAGER: Billi Odegaard

BOARD OF
COUNTY COMMISSIONERS
MULTNOMAH COUNTY
OREGON
98 APR - 7 AM 11:24

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ 248-3277



MULTNOMAH COUNTY OREGON



HEALTH DEPARTMENT
426 S.W. STARK STREET, 8TH FLOOR
PORTLAND, OREGON 97204-2394
(503) 248-3674
FAX (503) 248-3676
TDD (503) 248-3816

BOARD OF COUNTY COMMISSIONERS
BEVERLY STEIN • CHAIR OF THE BOARD
DAN SALTZMAN • DISTRICT 1 COMMISSIONER
GARY HANSEN • DISTRICT 2 COMMISSIONER
TANYA COLLIER • DISTRICT 3 COMMISSIONER
SHARRON KELLEY • DISTRICT 4 COMMISSIONER

SUPPLEMENTAL STAFF REPORT

TO: Beverly Stein, Chair

FROM: Sharon Armstrong, Director, Primary Care Division

DATE: April 6, 1998

RE: Resolution to Address the Future of Primary Care/Health Care Access

REQUESTED PLACEMENT DATE: April 16, 1998

I. Recommendation/Action Requested:

The Multnomah County Health Department is requesting approval to actively pursue the development of a public-private partnership on a tri-county regional basis, while continuing to serve poor and vulnerable County residents as the County's major safety net provider, and advocating for continuing State support as a partner in the safety net services delivery effort.

II. Background/Analysis:

The Multnomah County Health Department has a long history of responding to the health needs of its citizens. It has taken a variety of roles in shaping the local health care delivery system over time, and has responded well to trends and influences operating at various points in history. As the County's role continues to evolve rapidly in a changing local health care environment, it is time to re-evaluate the County's role.

Last fiscal year, the County's Primary Care Division served 23,735 clients, providing a total of 94,534 visits. These clients included 2,000 homeless individuals, and 6,904 Hispanic individuals who were unable to prove legal residence. Seventy seven percent of individuals served were at or below 100% of Federal Poverty Level (FPL), and 47,789 visits required interpretive services in 50 languages.

Even with the projected expansion of the Oregon Health Plan (OHP) and the Family Health Insurance Assistance Program (FHIAP), the Office for Oregon Health Plan Policy and Research estimates that Multnomah County's uninsured rate will be at 9%, or 58,656 residents. This does not include undocumented County residents.

Multnomah County, as a local government, will not continue to be the only local funder for primary health care for the underinsured and uninsured, and for those persons who have other barriers to being served in the private sector. We endorse shared financing arrangements for serving these vulnerable residents, and believe that we have a key role in advocating for and funding efforts to achieve dignified access to needed health care for all County residents.

The Multnomah County Health Department, in collaboration with public-private partners, can shape the arrangements necessary to create a public-private delivery system, and can ensure progress towards universal access through incremental improvements in the existing safety net system. The Health Department should continue as the County's major safety net provider until more collaborative models are in place.

III. Financial Impact:

Continued commitment of Multnomah County to participate in the financing of primary care services.

IV. Legal Issues:

Continuation of current legal liability for primary care service delivery.

V. Controversial Issues: (?)

VI. Link to Current County Policies:

The proposed collaboration is consistent with current policies. The goal of the proposed collaborative model is to support universal dignified access to health care for vulnerable County residents, by providing quality, cost effective primary health care services. The County Health Department has a history of responding to rapidly changing trends in health care service delivery and financing.

VII. Citizen Participation:

The Health Department's Community Health Council has reviewed and discussed these issues. Citizen testimony at the Board meeting is not anticipated.

VIII. Other Government Participation:

As Multnomah County's Health Department continues its strategic planning and systems redesign, it has also worked as a partner in the collaborative development of a regional tri-county public-private partnership under the sponsorship of Oregon Health Systems in Collaboration (OHSIC). This regional partnership includes representatives from Washington and Clackamas Counties. Multnomah County will advocate for continued State support as a partner in the safety net clinic effort, and will continue to take a leadership role in the development of to ensure that low income citizens re served respectfully and adequately.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Addressing the Provision of Primary)	
Health Care Services to Under-Served,)	RESOLUTION
Uninsured, and Under-insured County)	98-
Residents)	

The Multnomah County Board of Commissioners Finds:

- a) Multnomah County has a long history of responding to the community health needs of its citizens, and has provided primary health care services for decades to its poor and vulnerable children and adults who have not traditionally had access to private health care systems.
- b) During the 1996-97 fiscal year, the County provided 94,530 primary care visits to 23,750 County residents. Nearly half of these residents (9,900) were at or below 50% of the Federal Poverty Level (FPL), and utilized 37,080 visits. The County provided 8,600 visits for 2,000 homeless individuals and 20,644 visits for 6,904 Hispanic clients who could not prove legal residency. Over 50 languages are spoken by County primary care clients, with 50.6% of these visits requiring language interpretation.
- c) The County is committed to serving diverse populations for primary care services, and provides multi-lingual staff and interpreters, social service availability and staff expertise in dealing with clients who have multiple, complex, medical and social needs that often require multiple interventions, persistent preventive and treatment oriented education, and acknowledgement of different cultural approaches to healing.
- d) The community is increasingly impacted by non-financial barriers to health care access and service delivery that include: immigration (42,000 refugees from 26 different countries have joined Oregon's population over the past 15 years); a large number of undocumented individuals have also joined the State's population; the de-institutionalization of the chronically and persistently mentally ill; homeless, including women and their children; multigenerational poverty and drug abuse.

- e) The County recognizes that trends in health care have included an expanding, evolving role for Federal and State government funding that has reduced the number of uninsured Oregonians. However, even with the expansion of the Oregon Health Plan (OHP) and the implementation of the Family Health Insurance Assistance Program (FHIAP), the projected rate of Uninsured County residents is 9%, or 58,656 individuals, not including the undocumented.
- f) The County cannot operate a primary care system only for vulnerable and/or non-paying people, and cannot be the sole funder of primary care services for the uninsured, under-insured, and for those persons who have other barriers to being served in the private sector.
- g) The County recognizes that the private health sector cannot be responsive to all people. It is the responsibility of the County to assist the private sector in serving vulnerable populations.
- h) As the County continues its primary care strategic planning and systems redesign, it is also a partner in the collaborative development of a regional Tri-county partnership, under the sponsorship of Oregon Health Systems in Collaboration (OHSIC).
- i) Multnomah County recognizes that it has a role in shaping the arrangements necessary to create a public-private delivery system for its residents, ensuring progress towards universal health care access through incremental improvements in the existing primary care safety net system.

The Multnomah County Board of Commissioners Resolves:

- 1) That Multnomah County will continue to advocate for and fund efforts to achieve dignified access to needed health care for all residents of the County, especially those who are underserved, under-insured, and uninsured.
- 2) That the Health Department and Board of County Commissioners actively pursue the development of a public-private partnership on a tri-county regional basis. The goal of this partnership, and our participation in it, will be:

- i) To achieve the goal of universal access to dignified health care services for Tri-county residents through the public-private partnership.
 - ii) To ensure that incremental progress is made in the existing safety net system as the partnership evolves.
 - iii) To explore arrangements necessary to create a public-private health care delivery system.
- 3) That the County will be advocating for continuing State support as a partner in the safety net services delivery effort. The County will continue to lead in the development of overall health policy, advocating for, and ensuring that low-income residents are served respectively and adequately.
 - 4) That the Health Department should continue as the County's major safety net primary care provider until more collaborative, accountable delivery models are in place.

APPROVED this 16th day of April, 1998.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Beverly Stein, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY, OREGON

By Katie Gaetjens
Katie Gaetjens, Assistant County Counsel

4/8/98

MEETING DATE: APR 16 1998
AGENDA
NO: B-1
ESTIMATED START TIME: 10:30 am

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Briefing on the Adult Community Justice organizational redesign

Board Briefing:

DATE REQUESTED: April 16, 1998
REQUESTED BY: Elyse Clawson
AMOUNT OF TIME NEEDED: 30 minutes

REGULAR MEETING:

DATE REQUESTED: _____
AMOUNT OF TIME NEEDED: _____

DEPARTMENT: Community Justice
CONTACT: Lore Joplin

DIVISION: Adult Comm. Justice
TELEPHONE #: 248-3438
BLDG/ROOM#: 311/JJD

PERSON(S) MAKING PRESENTATION: Elyse Clawson and Bob Grindstaff

ACTION REQUESTED

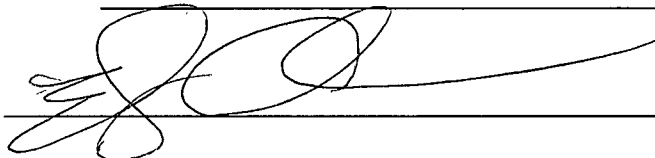
[X] INFORMATIONAL ONLY [] POLICY DIRECTION [] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE

Briefing for the Board of County Commissioners on the Adult Community Justice organizational redesign

SIGNATURES REQUIRED

ELECTED OFFICIAL:
(OR)
DEPARTMENT
MANAGER:



ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any questions? Please call the Board Clerk @ 248-3277

BOARD OF
COUNTY COMMISSIONERS
98 APR 1 PM 3:45
MULTNOMAH COUNTY
OREGON

ADULT COMMUNITY JUSTICE REDESIGN PROGRESS REPORT: 4/16/98

I. Introduction

- A. The redesign is a process that establishes priorities and builds capacity with the objective of *using our limited resources as effectively as possible to enhance public safety and change offender behavior*.
- B. Working with our partners in the justice system, we were able to reach agreement on the types of cases that we would target for a high level of service.
- C. Targeted cases, including high risk offenders, will get maximum supervision, sanctions and services.
- D. Lower risk cases will receive swift and certain, cost-effective sanctions.
- E. The redesign will allow PPO's adequate time to focus on high risk offenders by reducing caseload sizes and workload hours consistent with Oregon Case Management Standards.

II. Rationale for the Redesign

- A. *Program Effectiveness Research*: Don Andrews, Paul Gendreau, and others have completed extensive reviews of evaluation research to determine what intervention strategies are effective.
 - Principle of Risk: High risk offenders are more likely to benefit from treatment and expensive interventions than lower risk offenders.
 - Dynamic risk factors, those that are amenable to change, are the most promising areas for intervention.
 - Principle of Need: Criminogenic needs are those dynamic risk factors that are associated with high rates of recidivism. Individual case plans should target criminogenic needs to reduce recidivism.
 - Principle of Responsivity: Interventions should be delivered in a manner that facilitates the learning of prosocial skills by the offender. Programs should match intervention approach with the learning style and personality of the offender.
- B. *Working with High Risk Offenders*: Joan Petersillia and Susan Turner (*Intensive Supervision for High Risk Offenders: Findings from Three California Experiments*, 1990) found that working with high risk offenders requires a balance of supervision, sanctions, and services.

C. *Cost Effectiveness of Drug Treatment*: Dean Gerstein (*Evaluating Recovery Services: The California Drug and Alcohol Assessment*, 1994) and Michael Finigan (*Societal Outcomes and Cost Savings of Drug and Alcohol Treatment in the State of Oregon*, 1996) demonstrated the cost effectiveness of providing drug treatment for offenders by measuring individual and societal costs and benefits.

D. Multnomah County Auditor's Report (January 1997)

- Need to reduce caseload size
- Need to monitor and audit casework
- Need to expand casebank

E. Development of local sentencing and correctional policy

- Court Work Group: Chief Criminal Judge, District Attorney, Sheriff, Adult Community Justice staff
- Internal Budget Team
- Identification of targeted/high risk cases for a high level of supervision/ services/ sanctions.
- Support for swift and certain cost effective sanctions for lower risk offenders.

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- All high risk cases, regardless of offense, targeted offenses, and most medium risk cases will be supervised by field PPO's.
- Limited, low, and some medium risk offenders will be supervised by Centralized Team Supervision (CTS), formerly known as Casebank.
- CTS PPO's have all of the tools and resources available to field PPO's.

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- African American Project
- Gang Supervision
- Women's Services
- Mental Health

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C. Alternatives for Possession of Controlled Substances

- Probation: High risk cases supervised by field PPO's; other cases supervised by Centralized Team Supervision. DROP program available as a sanction for high risk PCS cases which involves weekly UA's and sanctions for positive tests.
- Alternative Sentence and Sanction Program (ASSP): 3 months probation, sanction and drug education groups. After successful completion of ASSP, limited supervision is provided for 9 months. This option requires the offender to sign a voluntary one year exclusion from drug-free zones.
- S.T.O.P. Drug Court: Includes court-monitored treatment and dismissal of charge for successful participants.

D. Sanctions

- Jail, Work Release, ASSP, Day Reporting, Forest Project, Community Service, Electronic Monitoring, and mandated A&D Treatment.
- Sanctions Tracking Unit: Assures efficient use of all sanction resources.

IV. Organization Development in Support of the Redesign

A. Core Correctional Training (based on the meta-evaluation work of Don Andrews and others) will be presented to all staff over the next 2 years.

- Punishment without correctional treatment does not work.
- Correctional treatment is most effective when delivered in a manner consistent with the principles of risk, need, and responsivity.
- Programs based on behavioral, cognitive-behavioral, and social learning theory are generally more effective.

B. Two-day all-staff meeting to discuss problems related to our internal/external operations.

- Follow-up meetings with Program Administrators, Probation/Parole Officers, Support Staff continue.

- C. Respectful Workplace and Conflict Resolution training to be delivered with assistance from Diversity Committee.
- D. Budget Committee (cross section of staff) assures budget is consistent with redesign.
- E. Continued collaborative program development with the Court, DA, and Sheriff contributes to interagency support for the redesign at the line level

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A. District Managers

- Six DM's in 1996-97 were reduced to four budgeted DM positions on 7/1/97. Two of the four DM positions were filled in 7/97, supplemented by 5 Lead PA's, in an effort to reduce expenditures, decentralize management responsibilities, and provide career development opportunities.
- In 1/98, we recruited for and hired the remaining two budgeted DM positions. The Lead PA positions ended at that time. We concluded that at least four DM positions were necessary because of: (1) the DM workload related to managing our internal and external environments; and (2) the need for PA's to focus on the training, mentoring, and monitoring tasks required to support the redesign and implement new case management models and Local Control legislation (SB 1145 and SB 156).
- District Manager responsibilities are based on appropriately grouped functions and geographic supervision units.

B. Centralized Intake

- Combines intake and assessment functions for probation, parole, Alternative Community Service, and the Forest Project.
- Increases efficiency by eliminating duplicate data entry and interviewing and by assuring that offenders begin their supervision, sanctions, and/or treatment as expeditiously as possible.

C. Centralized Team Supervision (Casebank)

- Provides cost effective supervision for low and limited risk offenders.
- Relies on mail and telephone reporting.

- Consistent with the Auditor's findings and the objectives of our redesign, the program has been expanded to reduce field caseloads, allowing field PPO's more time to work with higher risk cases.

D. Manageable Caseloads

- Accompanying graphs document growth in Centralized Team Supervision and corresponding decrease in field caseload sizes and workload hours, as anticipated.
- Centralized Team Supervision (Casebank) increased from approximately 1,500 in 1/97 to almost 4,000 at present.
- Caseload Size: As recently as 3/97, caseloads averaged over 70 at three field offices. Average caseload sizes are now generally within the 50-55 target. Exceptions are subject to adjustments by the Workload Committee.
- Workload Hours: As recently as 3/97, workload averaged over 140 hours/month at five field offices and about 170 hours at two offices. Average workload hours are now at the 120 hours per month target at two of the five field offices. Other offices are approaching that target.

E. Supervision Fees

- Lower risk offenders generally pay their supervision fees more reliably than higher risk offenders. Because our redesign focuses supervision resources on higher risk offenders, we were concerned about collection levels. Staff were directed to emphasize collections. At the same time, an improved fee tracking system was implemented.
- Supervision Fee Collections: From 10/96 to 2/97, fee collections ranged from \$32,000-\$42,000. With improvements in fee tracking, collections are now approaching \$70,000/month (refer to chart).

F. Supervision Issues

- Some cases that were referred to CTS have proven to be inappropriate for the type of supervision provided. Offenders requiring a higher level of supervision or sanction may be returned to field supervision, increasing the field PPO workload.
- Field PPO's are now supervising caseloads comprised mainly of high and medium risk offenders. Although caseloads are smaller, this type of offender can be difficult to work with and we will continue to be sensitive to burn-out issues.

- Additional clerical support is needed to relieve field PPO's of administrative tasks and enable them to spend more of their time in the community.
- Restitution payment rates have been unsatisfactory for years and that is especially disappointing for a department committed to principles of community and restorative justice. We have begun a pilot project to improve restitution collections that involves our ASSP, CTS, and Victim Services programs and the assistance of the State Courts Information Services (refer to chart).
- Work release beds are not readily available for PPO's to use in sanctioning offenders. We have had some difficulty accessing beds at the Restitution Center.

VI. Local Control

A. Local Control refers to the authority and responsibility that each county has been given by the Legislature through Senate Bills 1145 and 156.

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- SB156 gave counties responsibility for administering the post-prison supervision of offenders sentenced to 12 months or less. This legislation took effect on 11/1/97.

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- Anyone sentenced under Sentencing Guidelines to 12 months or less.
- Anyone whose parole or post-prison supervision is revoked.
- Anyone who receives a sanction of 31 days or more.

C. Local Control is a collaborative effort of this Department and MCSO. Staff from the two agencies meet regularly to assure proper placement and efficient movement of the target population.

D. Local Control offenders in Multnomah County are sentenced/sanctioned through a combination of jail and community-based sanctions. According to the State data system the number of Local Control offenders currently supervised by Adult Community Justice is 141.

E. Local Control requires the department to use swift and certain intermediate sanctions in cases that formerly might have been revoked and committed to prison. This approach is supported by the literature and is consistent with our mission and values.

F. With our implementation of new sanction programs and our enhanced authority to impose jail sanctions of up to 90 days for parole violators, we would expect that the number of revocations should decrease as the use of local sanctions increases.

- Data reveals a sharp decrease in revocations coincident with our new sanctioning authority in 11/97 (refer to chart).

G. Local Control Issues

- Because the State case management system (CIS/ISIS) is unable to identify Local Control offenders unless the cases are "flagged," tracking this population and evaluating the impact of our sanctions and other interventions has been difficult. Hand counts and small, stand-alone databases are being used. We are working with State and County Information Services to resolve this problem.
- Local Control has raised many legal issues because of the shift of responsibilities from State Corrections and the Board of Parole and Post-Prison Supervision to the counties. Procedures regarding warrants, hearings, release dates, and the calculation of work time are still being refined with assistance from County Counsel.
- The number of offenders sentenced to 12 months or less in 1997 has been less than half of the anticipated number. We believe that judges have altered their sentencing practices to sentence some offenders to more than 12 months to result in a State commitment. In 1996 there were 13 sentences of 12 to 13 months. In 1997, there were 78 such sentences.

VII. New or Enhanced Programs

A. Alternative Sentence and Sanction Program (ASSP)

- Provides short-term (90 day) high impact alternative to traditional supervision and jail sanctions for low risk offenders.
- Program is responsive to individual criminogenic needs: employment, substance abuse, parenting, anger management, etc.
- Preliminary performance data indicates that of the 253 referrals to date, there have only been 9 failures. Twenty-five offenders are pending completion; 218 are engaged in the program; 1 died shortly after being referred.

B. Secure Drug Treatment

- Consistent with our redesign and the resulting concentration of difficult high risk offenders under supervision, we are now providing a more compulsory and more structured form of treatment
- Yamhill and Marion County secure treatment beds: contracted beds pending opening of our 300 bed facility.
- Planning for 300 bed treatment facility continues. Staff are working cooperatively with the Sheriff's Office, Facilities Management, and architects.
- The Department's A&D Services Manager, to start work on 4/20, will coordinate our planning for the facility, manage the facility when it opens, and coordinate our interagency A&D planning and program development.

D. Electronic Monitoring: new sanction as alternative to incarceration.

E. STOP Drug Diversion

- Most defendants charged with drug possession are eligible for this 6 month to 1 year treatment program.
- Treatment can begin within a few days of arrest.
- The Court plays an active role in case management.
- Dismissal of the charge provides incentive to complete treatment.
- Participants are not placed on probation, thus helping us keep probation caseloads manageable for PPO's, consistent with the objectives of our redesign.
- Michael Finigan (*An Outcome Evaluation of the Multnomah County S.T.O.P. Drug Diversion Program*, 1998) concluded that participants have significantly lower rates of recidivism than of comparable group of defendants who were eligible, but who did not enter the program.
- Issue: Referrals to the program have decreased since 1/98. We will determine the cause and proper course of action in conjunction with the Court, the DA, the Public Defender, and the treatment provider.

F. Community Court

- An interagency effort to deal with low level crime more effectively.

- Emphasizes visible, community-level outcomes for the prosecution of criminal behavior. Defendants are required to contribute one day of community service to the community they victimized.
- Participants are not placed on probation, thus helping us keep probation caseloads manageable for PPO's, consistent with the objectives of our redesign.

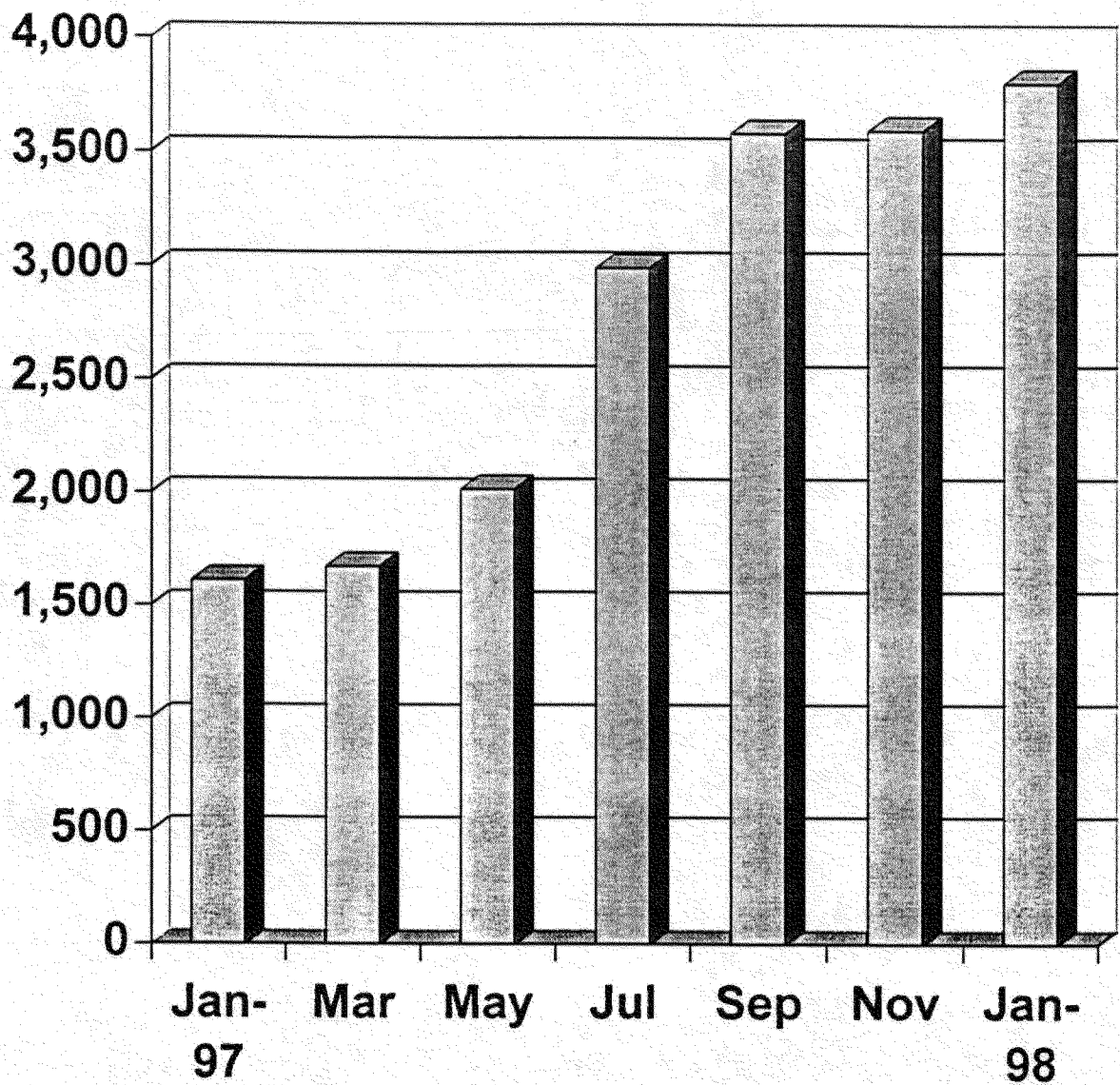
VIII. State Funding

- A. Allocation Formula: The Director and Deputy Director are participating in discussions at the State level on modifying the current community corrections allocation formula. The current formula is somewhat disadvantageous to Multnomah County.
- B. Population Projections: Multnomah County's current share of SB1145 funds could be at risk due to a smaller than anticipated number of offenders sentenced to 12 months or less.

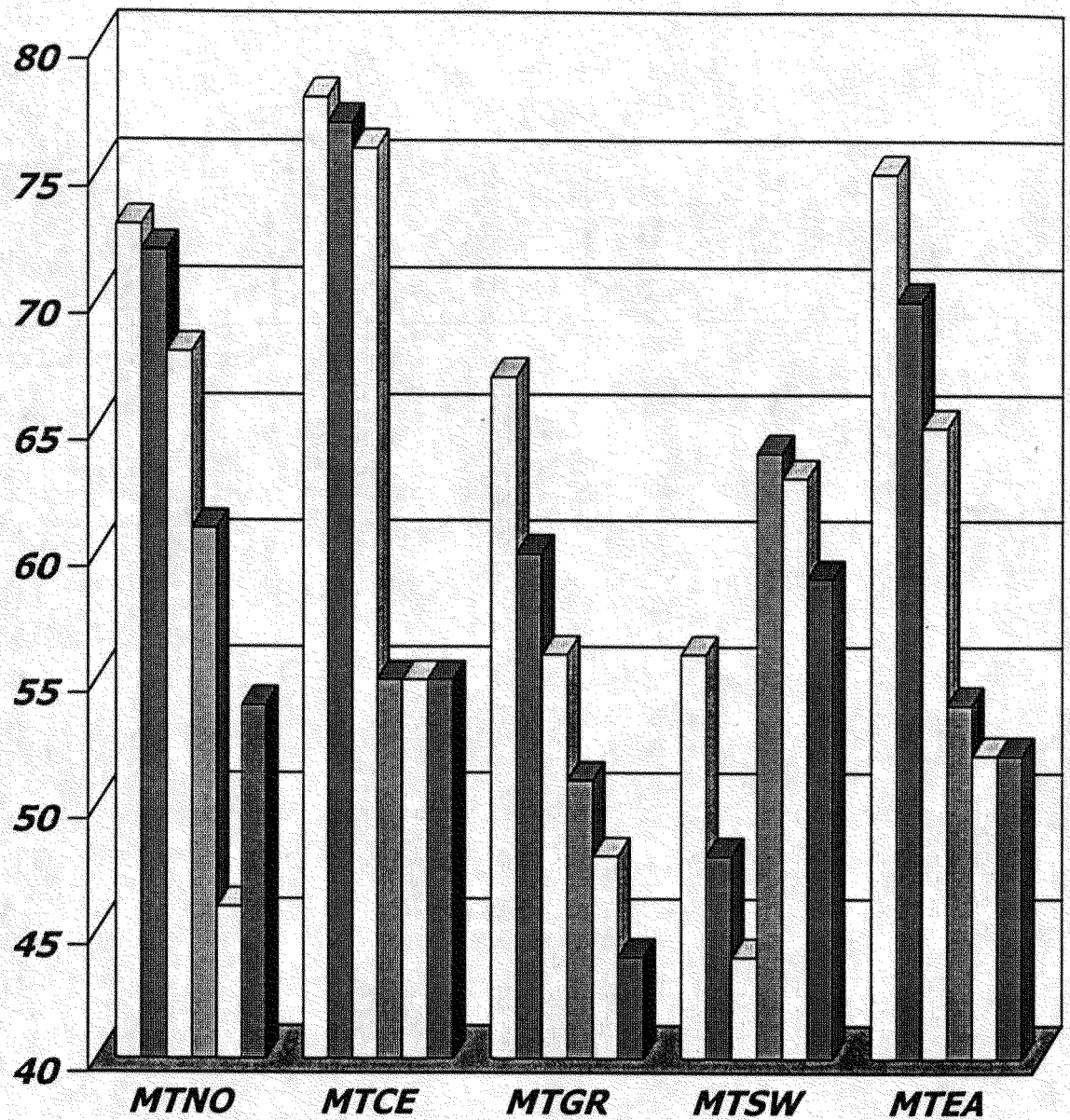
IX. Evaluation of Redesign

- A. Pending Process Evaluation (with preliminary outcome data)
 - Are we doing what we said we would do?
 - What have been the preliminary impacts of the redesign on our operations?
 - What have been the preliminary impacts on other agencies?
 - What have been the preliminary impacts on public safety?
- B. Impact Evaluation
 - A longer-term study of the impacts, costs, and benefits of the redesign.

CASEBANK GROWTH: 1/97 - 1/98

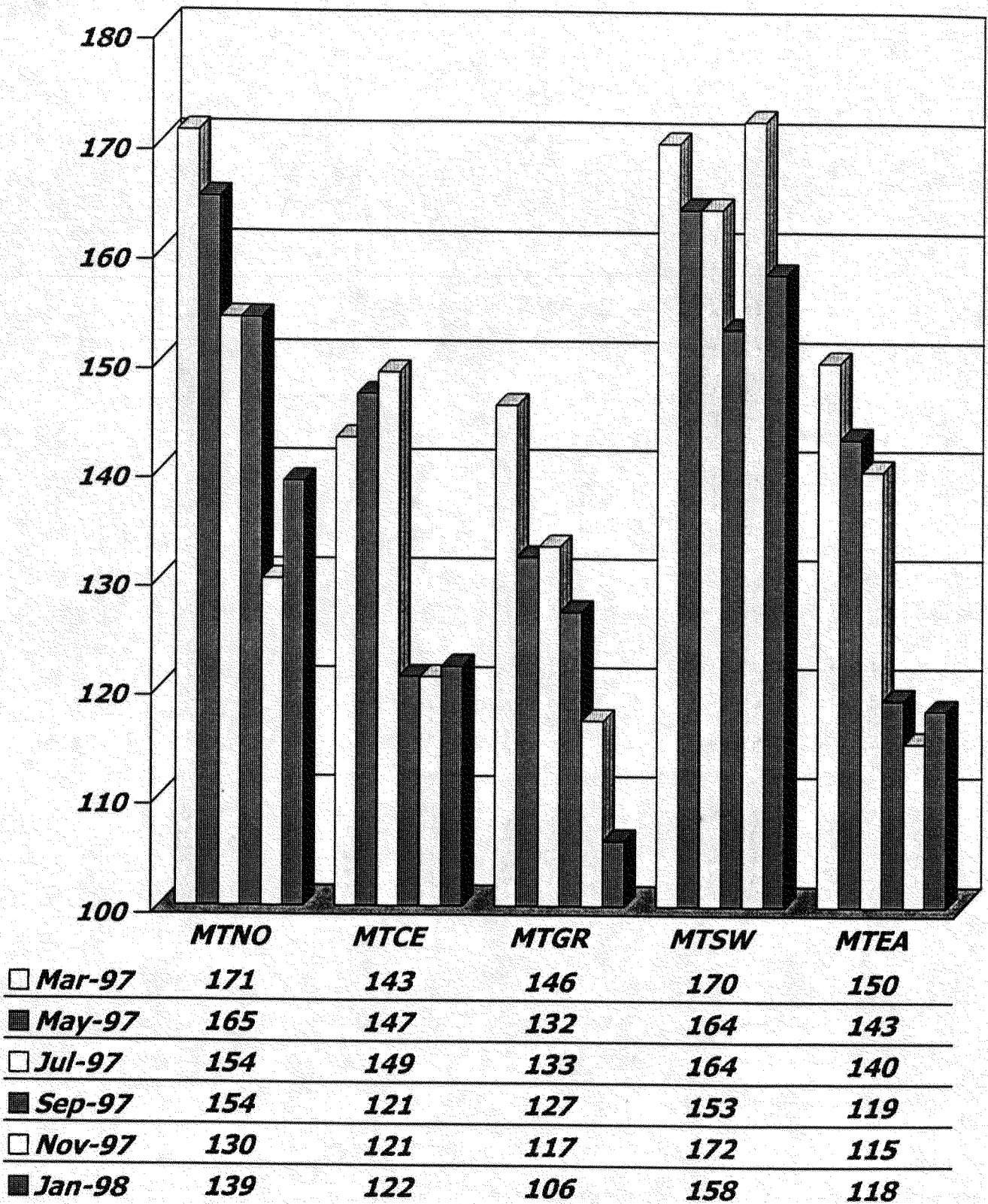


Average Caseload Size

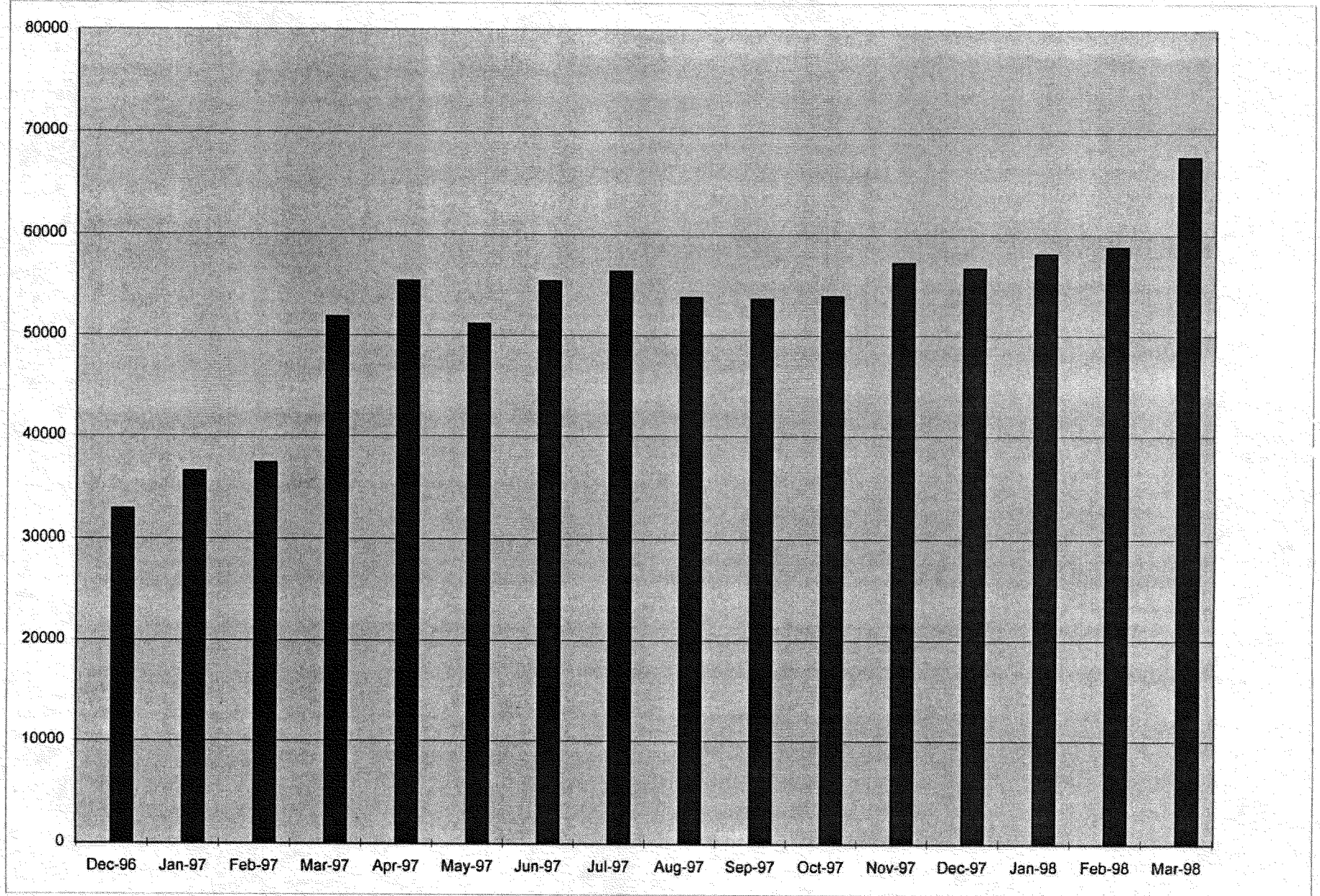


□ Mar-97	73	78	67	56	75
■ May-97	72	77	60	48	70
□ Jul-97	68	76	56	44	65
■ Sep-97	61	55	51	64	54
□ Nov-97	46	55	48	63	52
■ Jan-98	54	55	44	59	52

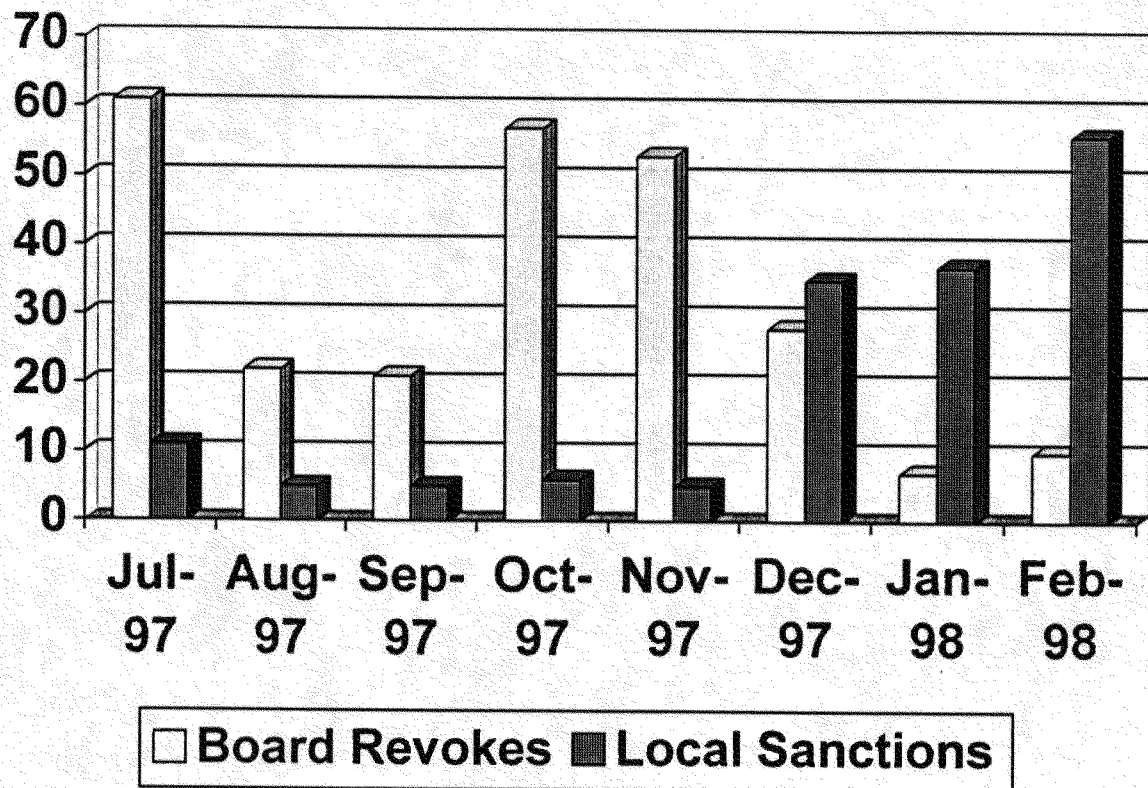
Average Workload Hours



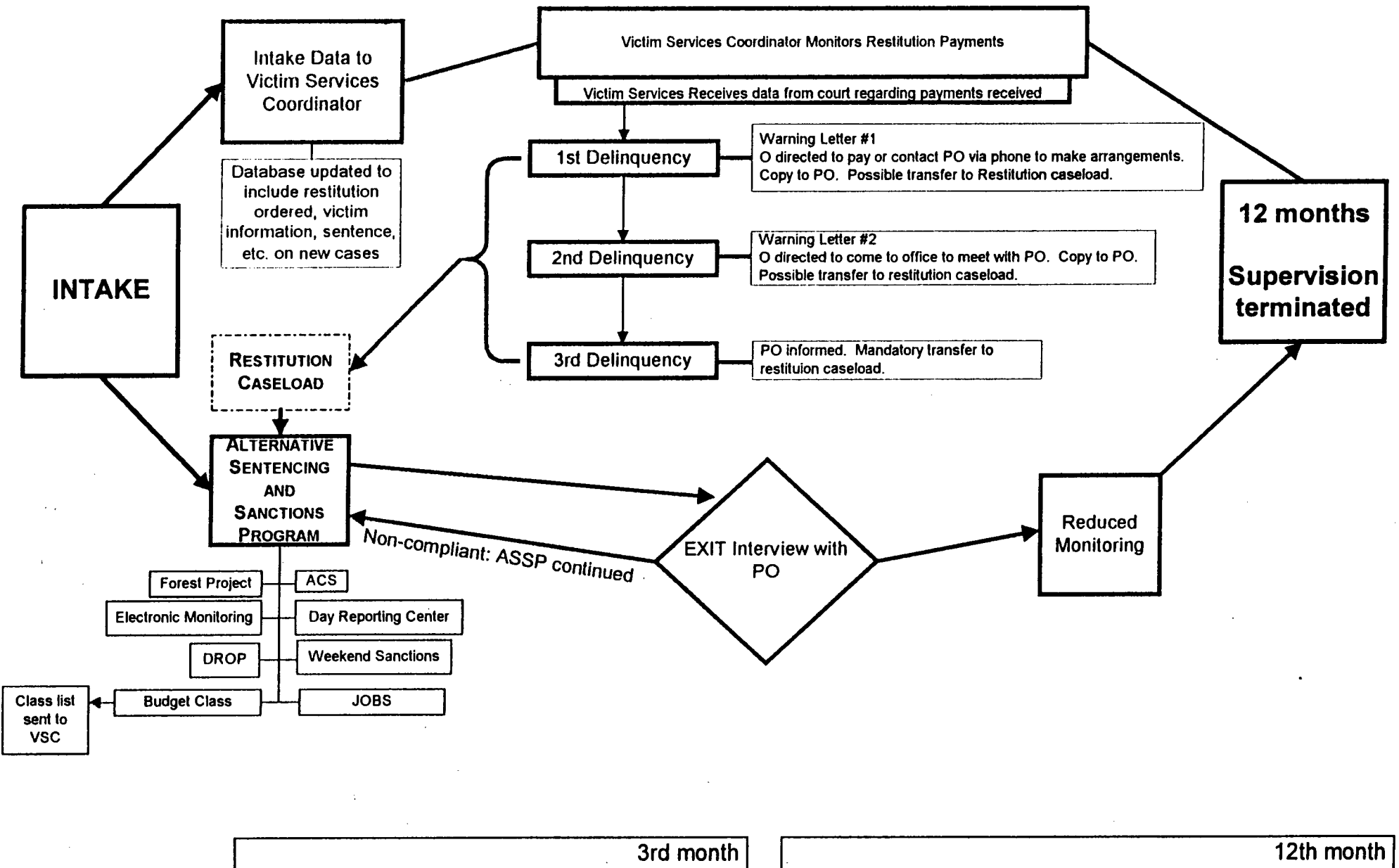
SUPERVISION FEE COLLECTIONS



**USE OF REVOCATIONS AND LOCAL JAIL SANCTIONS OF 30+ DAYS
POST-PRISON SUPERVISION**



Proposed Restitution Tracking Program for ASSP



**Multnomah County
Adult Community Justice
Redesign Progress Report**

April 16, 1998

Description

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- Because the State case management system (CIS/ISIS) is unable to identify Local Control offenders unless the cases are "flagged," tracking this population and evaluating the impact of our sanctions and other interventions has been difficult. Hand counts and small, stand-alone databases are being used. We are working with State and County Information Services to resolve this problem.
- Local Control has raised many legal issues because of the shift of responsibilities from State Corrections and the Board of Parole and Post-Prison Supervision to the counties. Procedures regarding warrants, hearings, release dates, and the calculation of work time are still being refined with assistance from County Counsel.
- The number of sentences of 12 months or less in 1997 was less than half of the anticipated 700 offenders. We believe that judges have altered their sentencing practices to sentence some offenders to more than 12 months to result in a State commitment. In 1996 there were 13 sentences of 12 to 13 months. In 1997, there were 78 such sentences. However, judicial practice doesn't account for all of the reduction in 12 month or less sentences. A portion of the change is due to increased use of local sanctions and other intervention resources

VII. New or Enhanced Programs

A. Alternative Sentence and Sanction Program (ASSP)

- Provides short-term (90 day) high impact alternative to traditional supervision and jail sanctions for low risk offenders.
- Program is responsive to individual criminogenic needs: employment, substance abuse, parenting, anger management, etc.
- Preliminary performance data indicates that of the 253 referrals to date, there have only been 9 failures. Twenty-five offenders are pending completion; 218 are engaged in the program; 1 died shortly after being referred.

B. Secure Drug Treatment

- Consistent with our redesign and the resulting concentration of difficult high risk offenders under supervision, we are now providing a more compulsory and more structured form of treatment
- Yamhill and Marion County secure treatment beds: contracted beds pending opening of our 300 bed facility.
- Planning for 300 bed treatment facility continues. Staff are working cooperatively with the Sheriff's Office, Facilities Management, and architects.
- The Department's A&D Services Manager, to start work on 4/20, will coordinate our planning for the facility, manage the facility when it opens, and coordinate our interagency A&D planning and program development.

D. Electronic Monitoring: new sanction as alternative to incarceration.

E. STOP Drug Diversion

- Most defendants charged with drug possession are eligible for this 6 month to 1 year treatment program.
- Treatment can begin within a few days of arrest.
- The Court plays an active role in case management.
- Dismissal of the charge provides incentive to complete treatment.
- Participants are not placed on probation, thus helping us keep probation caseloads manageable for PPO's, consistent with the objectives of our redesign.
- Michael Finigan (*An Outcome Evaluation of the Multnomah County S.T.O.P. Drug Diversion Program*, 1998) concluded that participants have significantly lower rates of recidivism than of comparable group of defendants who were eligible, but who did not enter the program.
- Issue: Referrals to the program have decreased since 1/98. We will determine the cause and proper course of action in conjunction with the Court, the DA, the Public Defender, and the treatment provider.

F. Community Court

- An interagency effort to deal with low level crime more effectively.

- Emphasizes visible, community-level outcomes for the prosecution of criminal behavior. Defendants are required to contribute one day of community service to the community they victimized.
- Participants are not placed on probation, thus helping us keep probation caseloads manageable for PPO's, consistent with the objectives of our redesign.

VIII. State Funding

- A. Allocation Formula: The Director and Deputy Director are participating in discussions at the State level on modifying the current community corrections allocation formula. The current formula is somewhat disadvantageous to Multnomah County.
- B. Population Projections: Multnomah County's current share of SB1145 funds could be at risk due to a smaller than anticipated number of offenders sentenced to 12 months or less.

IX. Evaluation of Redesign

- A. Pending Process Evaluation (with preliminary outcome data)
 - Are we doing what we said we would do?
 - What have been the preliminary impacts of the redesign on our operations?
 - What have been the preliminary impacts on other agencies?
 - What have been the preliminary impacts on public safety?
- B. Impact Evaluation
 - A longer-term study of the impacts, costs, and benefits of the redesign.

Offender Status

Non-680 Probation	680 Probation	Matrix Parole (Pre & Post Ballot 10)	Local Control / 1145	Matrix Parole + PPS + 156 + Local Custody	SB 156
<p>All Probations prior to 9/1/93 where the offender is not signed up for Chapter 680 or declined to sign up.</p> <p>Any Felony Probation where the Court denied Chapter 680 status.</p> <p>*Note: all Probations prior to 9/1/93 should be either signed up for Chapter 680 when they are activated or entered in the system as an offender opt-out or Court-denied 680 status.</p>	<p>All Probations after 9/1/93, unless Court specifies otherwise.</p>	<p>All cases where the crime occurred prior to 11/1/89.</p>	<p>Inmate status.</p> <p>Revoked / retained locally or any offender sentenced to less than 12 months, including PPS / Revoked (always less than 12 months).</p>	<p>Any offender sentenced to 12 months or less, who also has a sentence which falls under the State Board's jurisdiction (Matrix, Pre and Post Ballot Measure 10 and PPS following sentencing of over 12 months).</p> <p>*156 until Matrix is completed.</p>	<p>Any offender sentenced by Court to 12 months or less, and released on/after 11/1/97.</p> <p>Revoked PPS after 11/1/97 if original sentence is 12 months or less.</p> <p>Any probation revoked and the sentence is 12 months or less, after 11/1/97.</p>

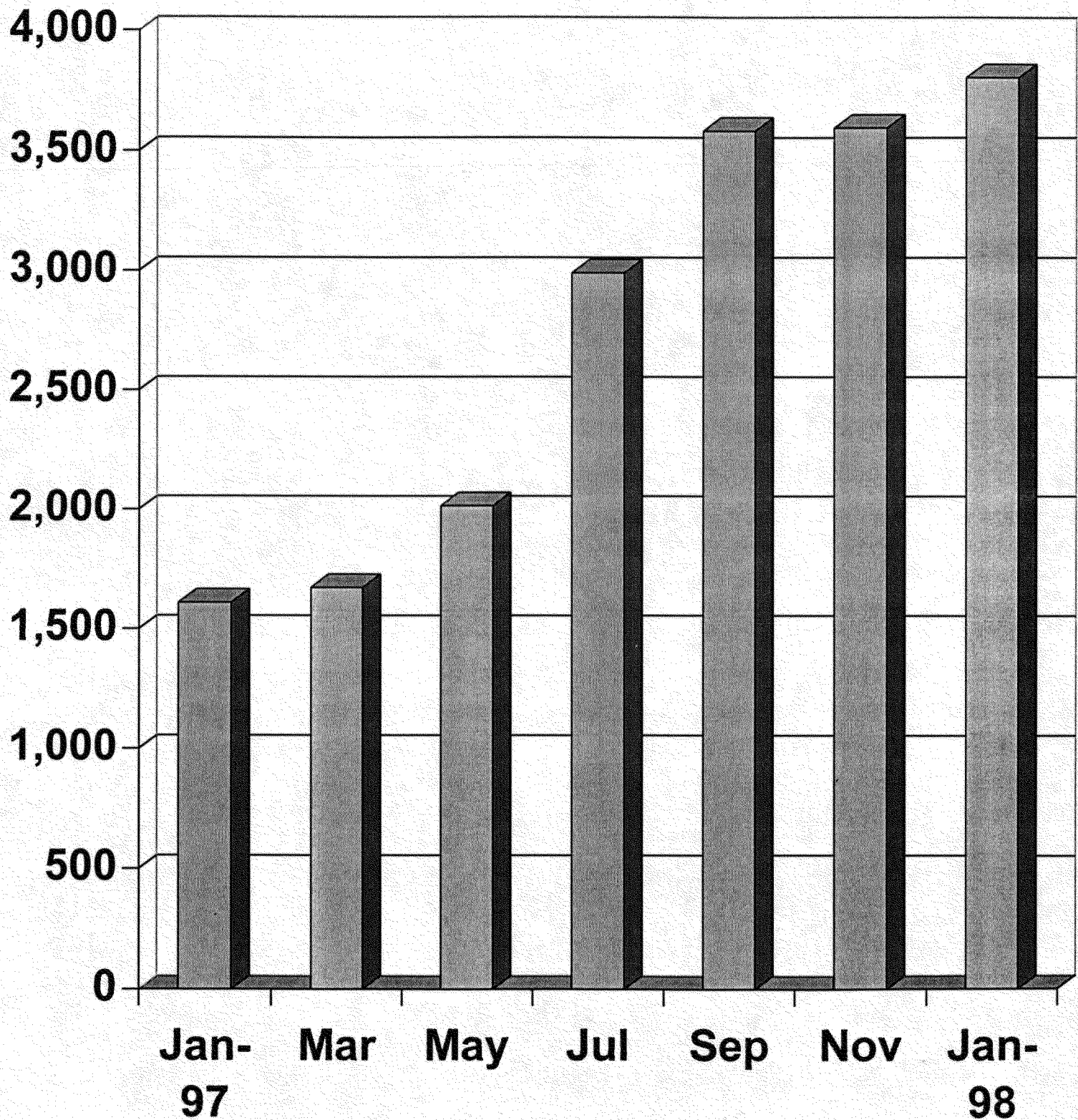
	Who Issues Warrant	Who is Sanctioning Authority	Who Revokes	Who Issues Release Orders	Release Order Type
Non-680 Probation If Sentencing Guidelines	Court	Court	Court	N/A until revoked, then Local Supervisory Authority, if sentence less than 12 months and release after 10/31/97	PPS Order
Non-680 Probation, if <u>Not</u> Sentencing Guidelines	Court	Court	Court	N/A until revoked, then Parole/PPS Board	Parole Order (Matrix)
680 Probation if Sentencing Guidelines	Court (Judicial or Administrative)	Interventions: PPO Sanctions: Supervisor Up to 30 days jail, or use of non-jail custody units as a sanction.	Court	N/A until revoked then, Local Supervisory Authority if sentenced to 12 months or less and/or released after 10/31/97	PPS Order
680 Probation if <u>Not</u> Sentencing Guidelines	Court (Judicial or Administrative)	Interventions: PPO Sanctions: Supervisor Up to 30 days jail, or use of non-jail custody units as a sanction.	Court	N/A until revoked, then Parole/PPS Board	Parole Order (Matrix)
Matrix Parole (Pre- BM 10 Post- BM 10)	Parole Board	Interventions: PPO 0-30 days PPO/Supervisor 31-60 days HO/Designee 61-90 days HO to Parole Board	Parole/PPS Board ▲ returns to prison for Further Disposition Hearings	Parole Board	Parole Order (Matrix)
1145	Sheriff: ▲ is inmate.	N/A, ▲ is inmate.	N/A, ▲ is inmate.	Parole Board if matrix and PPS. Local release authority if ▲ meets 156 criteria.	Parole Order or PPS Order
Matrix + PPS + 156	Parole Board	Intervention's: PPO. Sanctions: Supervisor 0-30 days PPO/Supv. 31-60 days HO/Designee 61-90 days HO to Parole Board	Parole Board if a matrix case is attached, ▲ may return to prison for Further Disposition Hearings.	Parole Board	Parole Order (Matrix) and PPS Order
Local PPS (156)	Local Supervisory Authority	0-30 days PPO/Supervisor 31-60 days HO/Designee 61-90 days HO to Local Supv. Authority	Local Supv. Authority	Local Supv. Authority	PPS Order
Probation + Matrix + 156 + PPS	Court Parole/PPS Board	Court: Non-680 All Others: 0-30 days PPO/Supervisor 31-60 days HO/Designee 61-90 days HO to Local Supv. Authority	Court: Probation All Others: Parole Board	N/A: Probation All Others: Parole/PPS Board	Parole Order and PPS Order

**Multnomah County
Adult Community Justice
Redesign Progress Report**

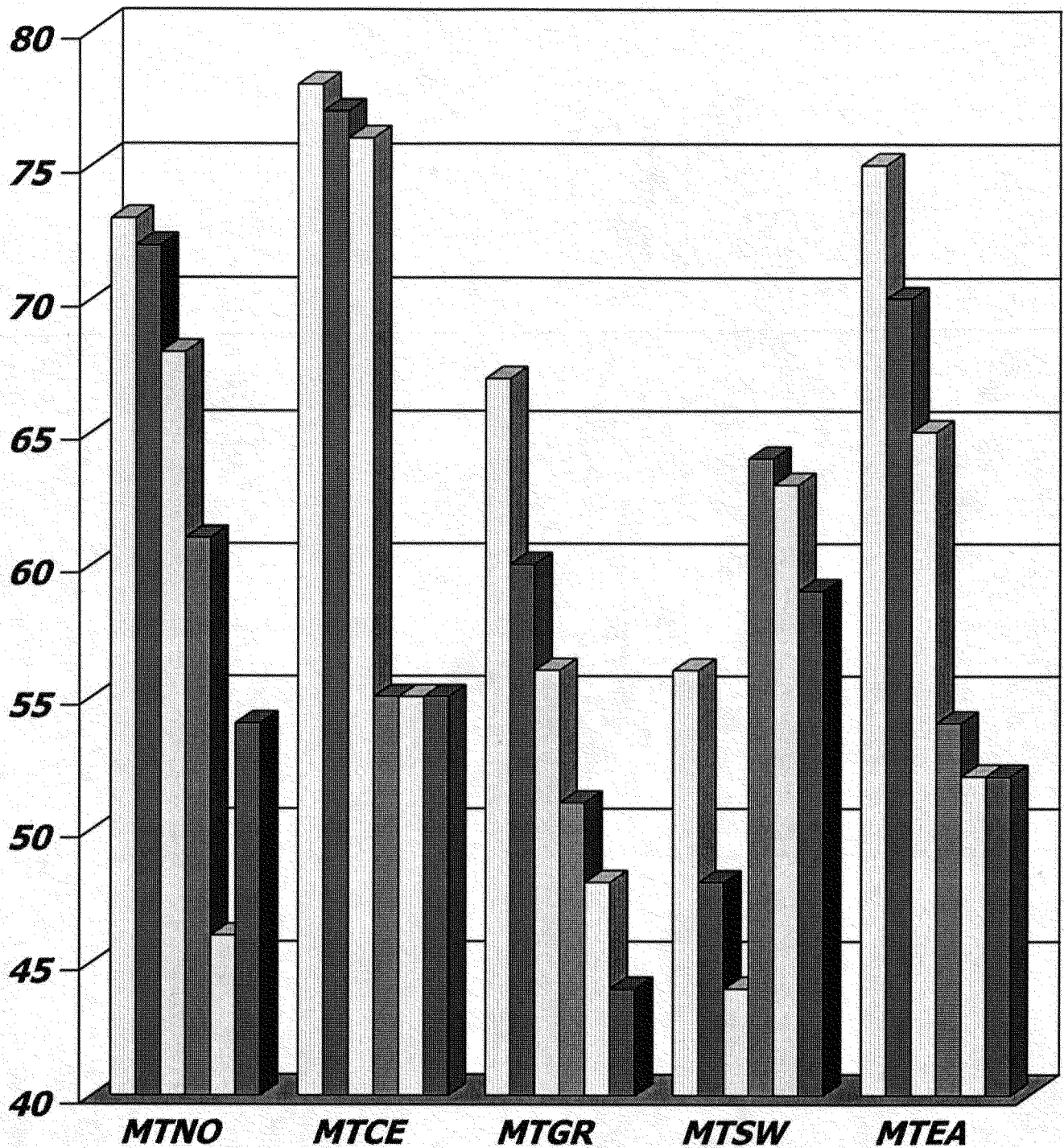
April 16, 1998

Charts

CASEBANK GROWTH: 1/97 - 1/98

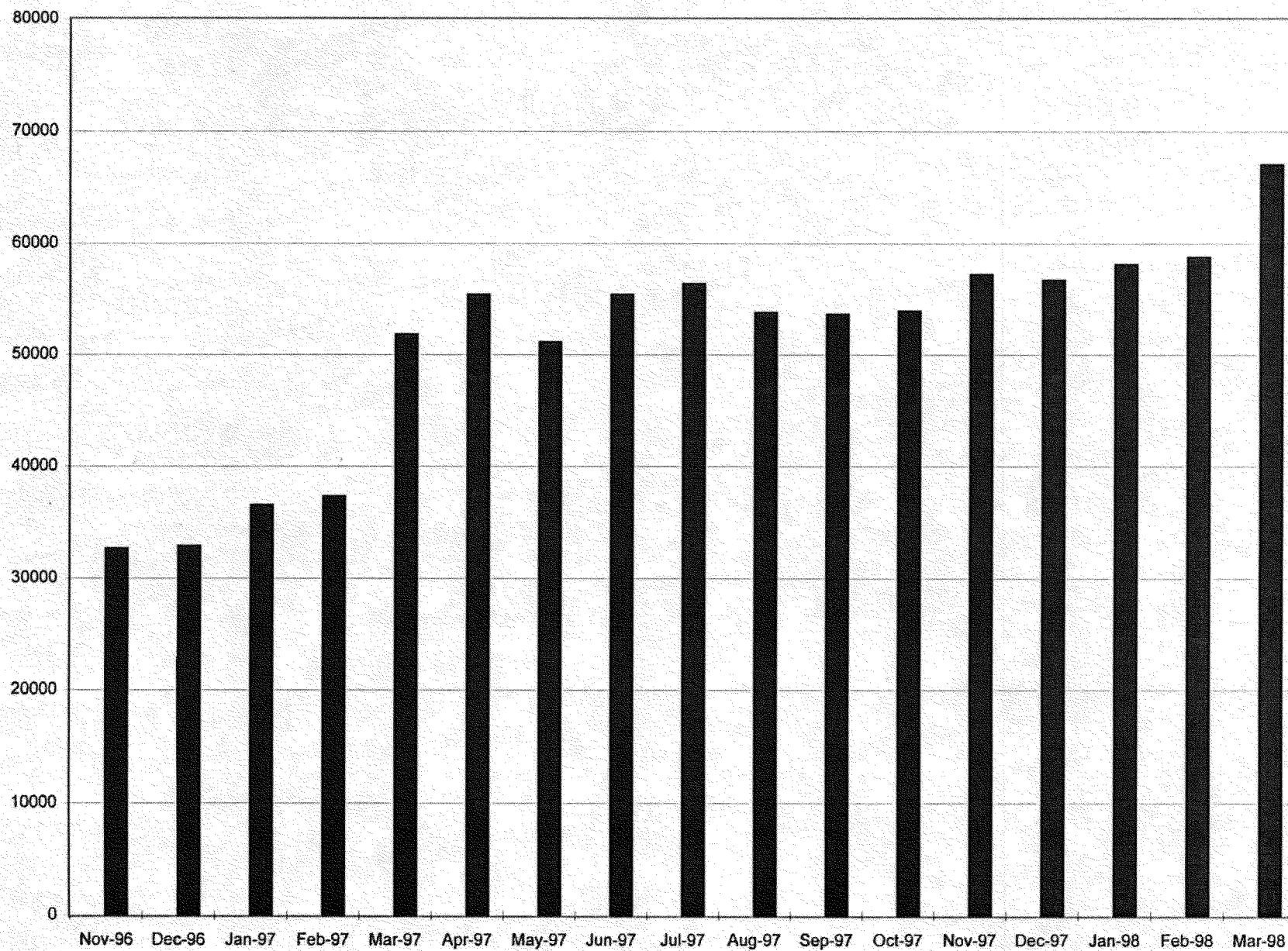


Average Caseload Size



Mar-97	73	78	67	56	75
May-97	72	77	60	48	70
Jul-97	68	76	56	44	65
Sep-97	61	55	51	64	54
Nov-97	46	55	48	63	52
Jan-98	54	55	44	59	52

SUPERVISION FEE COLLECTIONS



USE OF REVOCATIONS AND LOCAL JAIL SANCTIONS OF 30+ DAYS POST-PRISON SUPERVISION

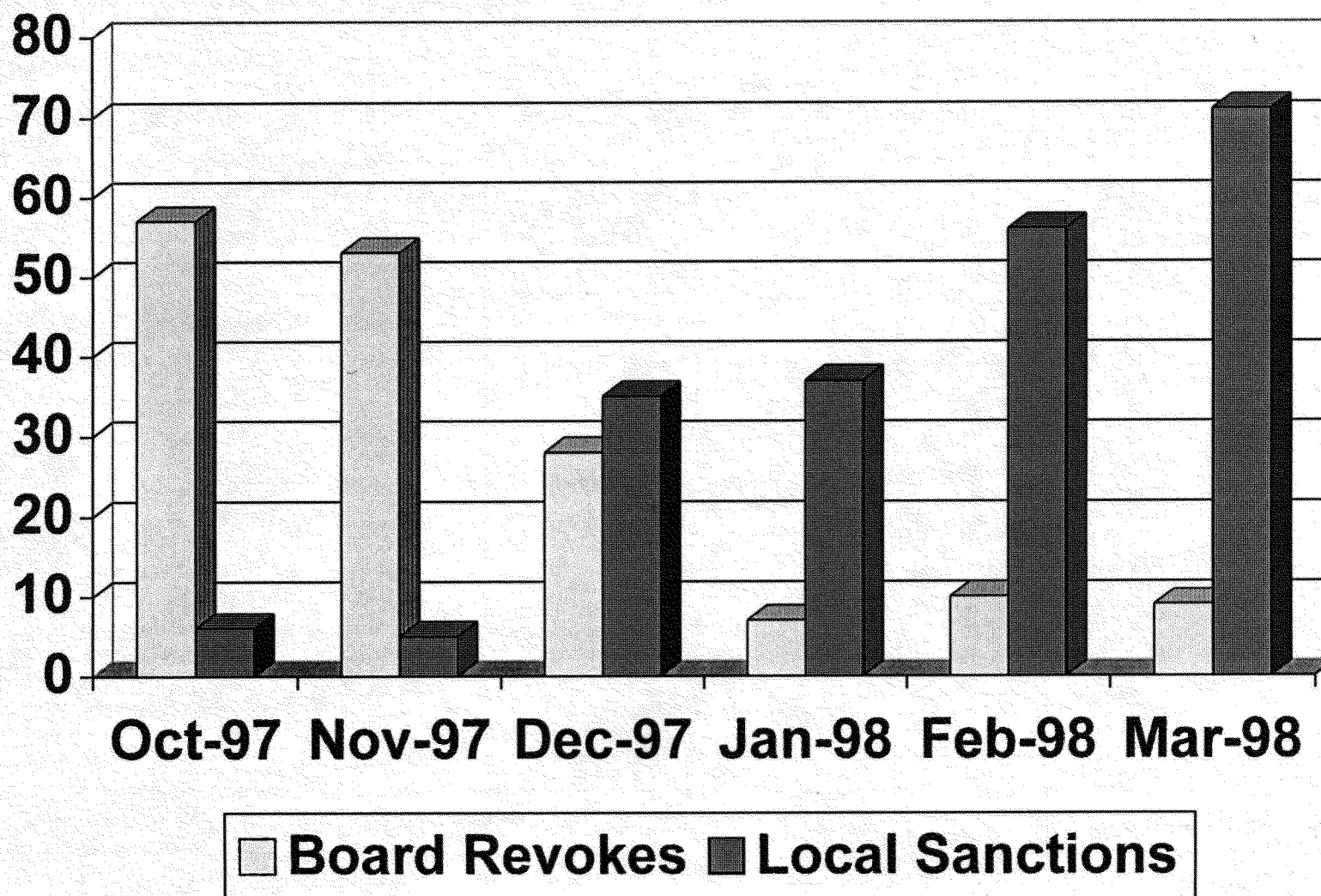


Chart 5

TOTAL JAIL DAYS SERVED

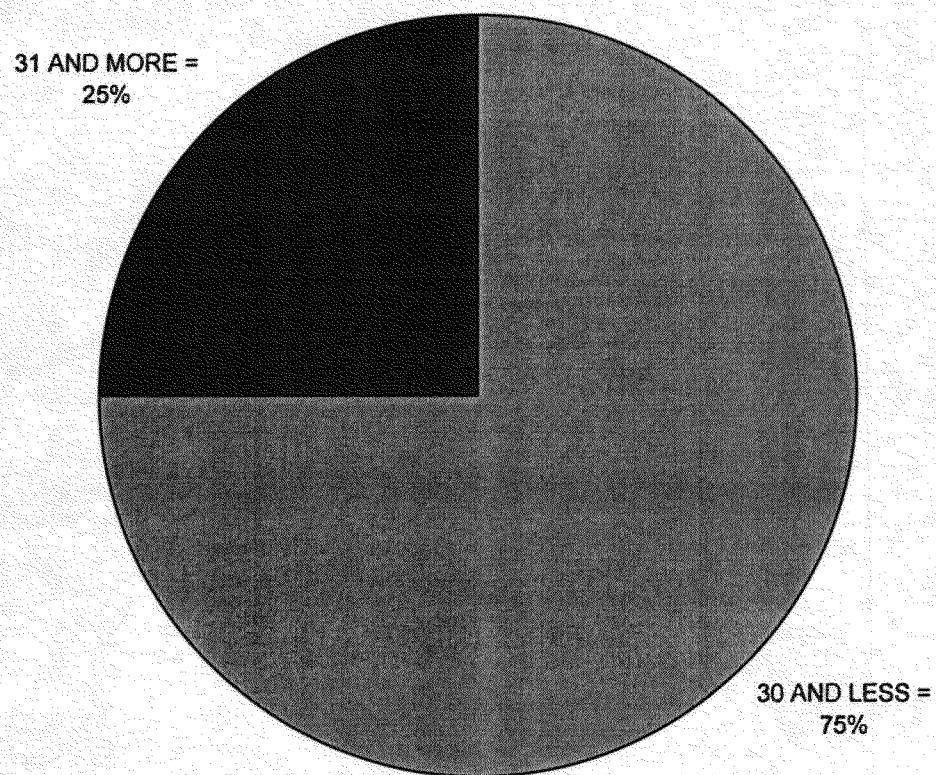


Chart 6

NUMBER OF OFFENDERS SANCTIONED TO JAIL BETWEEN 11-1-97 AND 3-31-98

