

ANNOTATED MINUTES

Thursday, March 7, 2002 - 9:30 AM
Multnomah Building, First Floor Commissioners Boardroom 100
501 SE Hawthorne Boulevard, Portland

REGULAR MEETING

Chair Diane Linn convened the meeting at 9:37 a.m., with Vice-Chair Lonnie Roberts and Commissioner Serena Cruz present, Commissioner Maria Rojo de Steffey excused, and Commissioner Lisa Naito arriving at 9:50 a.m.

CONSENT CALENDAR

AT THE REQUEST OF CHAIR LINN, COMMISSIONER CRUZ MOVED AND COMMISSIONER ROBERTS SECONDED, TO REMOVE ITEMS C-9, C-10 AND C-11 FROM THE CONSENT CALENDAR. CHAIR LINN EXPLAINED THAT ATTACHMENT B SUBMITTED FOR THESE THREE ITEMS WAS A DRAFT AND STAFF WOULD BE PROVIDING A FINAL VERSION. MOTION APPROVED REMOVING ITEMS C-9, C-10 AND C-11 FROM THE CONSENT CALENDAR, WITH COMMISSIONERS CRUZ, ROBERTS AND LINN VOTING AYE.

UPON MOTION OF COMMISSIONER ROBERTS, SECONDED BY COMMISSIONER CRUZ, CONSENT CALENDAR ITEMS C-1 THROUGH C-8 AND C-12 WERE APPROVED, WITH COMMISSIONERS CRUZ, ROBERTS AND LINN VOTING AYE.

NON-DEPARTMENTAL

- C-1 Appointment of Teresa Williams to the MULTNOMAH COUNTY AUDIT COMMITTEE
- C-2 Appointments of Shirley Starr and Barry Eastwood to the FOOD SERVICE ADVISORY BOARD

- C-3 Appointments of Marti Frank and Hung Vo to the DEPARTMENT OF COMMUNITY JUSTICE CITIZEN BUDGET ADVISORY COMMITTEE
- C-4 Appointment of Sarah Bailen to the NON-DEPARTMENTAL CITIZEN BUDGET ADVISORY COMMITTEE
- C-5 Appointment of Nancy Johnson to the SHERIFF'S OFFICE CITIZEN BUDGET ADVISORY COMMITTEE

PUBLIC CONTRACT REVIEW BOARD

- C-6 ORDER Exempting from the Formal Competitive Bid Process a Contract for the Purchase of Twelve Model 6210 Library SelfCheck Machines, Software, First Year Maintenance, Initial Installation, Consulting and Training from 3M

ORDER 02-025.

SHERIFF'S OFFICE

- C-7 Interagency Cooperative Agreement 0210047 with the United States Coast Guard for the Enforcement of Boating Under the Influence of Intoxicants (BUII) Laws, Providing Detection and Processing Expertise for Breath Analyzer Operation July 1, 2002 through June 30, 2005
- C-8 Renewal of ORS 190 Government Agreement 0010303 with the City of Wood Village, Providing Law Enforcement and Patrol Services within the Corporate Limits of Wood Village from July 1, 2002 through June 30, 2003

DEPARTMENT OF COUNTY HUMAN SERVICES

- C-12 Amendment 2 to Intergovernmental Revenue Agreement 0210019 with the Regional Drug Initiative, for Personnel Services for the Period January 1, 2002 through March 31, 2002

REGULAR AGENDA **PUBLIC COMMENT**

Opportunity for Public Comment on Non-Agenda Matters. Testimony Limited to Three Minutes Per Person.

NO ONE WISHED TO COMMENT.

DEPARTMENT OF HEALTH

- R-1 NOTICE OF INTENT to Apply for Grant Funding from the Department of Health and Human Service's Bureau of Primary Health Care, to Expand the Capacity of the Department's Dental Services Provided to Clients at the East County Health Center

**COMMISSIONER CRUZ MOVED AND
COMMISSIONER ROBERTS SECONDED,
APPROVAL OF R-1. CAROL FORD AND DR.
GORDON EMPEY EXPLANATION AND RESPONSE
TO QUESTIONS OF CHAIR LINN REGARDING
MATCHING FUNDS AND FINANCIAL STABILITY.
NOTICE OF INTENT APPROVED, WITH
COMMISSIONERS CRUZ, ROBERTS AND LINN
VOTING AYE.**

- R-2 NOTICE OF INTENT to Apply for Grant Funding from the Department of Health and Human Service's Bureau of Primary Health Care to Expand the Capacity of the Department's East County Health Center, and North Portland Health Center

**COMMISSIONER CRUZ MOVED AND
COMMISSIONER ROBERTS SECONDED,
APPROVAL OF R-2. CAROL FORD EXPLANATION.
NOTICE OF INTENT APPROVED, WITH
COMMISSIONERS CRUZ, ROBERTS AND LINN
VOTING AYE.**

DEPARTMENT OF MANAGEMENT AND BUSINESS SERVICES

- R-3 Presentation and Acknowledgement of 17th Consecutive Certificate of Achievement for Excellence in Financial Reporting Award for Multnomah County's June 30, 2000 Comprehensive Annual Financial Report

**CHAIR LINN PRESENTATION AND BOARD
ACKNOWLEDGEMENT AND APPRECIATION OF
THE EFFORTS OF DAVE BOYER, MINDY HARRIS
AND THE ENTIRE FINANCE DIVISION STAFF
PRESENT IN THE AUDIENCE. DAVE BOYER AND
MINDY HARRIS EXPLANATION AND COMMENTS
IN APPRECIATION OF TEAM EFFORTS.**

Commissioner Lisa Naito arrived at 9:50 a.m.

- R-4 Multnomah County, Oregon Comprehensive Annual Financial Report and Single Audit Report for the Fiscal Year Ended June 30, 2001. Presented by David Boyer, Mindy Harris and Ray Barlow.

DAVE BOYER INTRODUCED AUDITORS RAY BARLOW AND GARY HOLMSBY AND CITIZEN BUDGET ADVISORY COMMITTEE CHAIR JACK PESSIA. RAY BARLOW, DAVE BOYER, MINDY HARRIS AND JACK PESSIA PRESENTATIONS AND RESPONSE TO BOARD QUESTIONS AND DISCUSSION ON ISSUES INCLUDING AUDIT COMMITTEE MEETING; PROCUREMENT CARDS; BEHAVIORAL HEALTH LIABILITY FUND; RISK MANAGEMENT FUND; AND RESERVES. BOARD COMMENTS IN APPRECIATION.

- R-5 First Reading and Possible Adoption of an ORDINANCE Amending Multnomah County Code to Change Name of Department from Management and Business Services to Business and Community Services, and Declaring an Emergency

ORDINANCE READ BY TITLE ONLY. COPIES AVAILABLE. COMMISSIONER NAITO MOVED AND COMMISSIONER ROBERTS SECONDED, APPROVAL OF FIRST READING AND ADOPTION. CECILIA JOHNSON INTRODUCED DEPARTMENT NAME CONTEST WINNER BARBARA BLANCHARD FROM THE BRIDGE SHOP, EMPLOYED WITH THE COUNTY SINCE 1990. MS. JOHNSON ADVISED THERE WERE 274 SUBMISSIONS AND THAT MS. BLANCHARD'S PRIZE IS LUNCH OUT TODAY WITH HER. NO ONE WISHED TO TESTIFY. CHAIR LINN COMMENTS IN APPRECIATION TO MS. BLANCHARD FOR FINDING THE RIGHT BALANCE FOR THE NEW DEPARTMENT NAME. IN RESPONSE TO A QUESTION OF COMMISSIONER ROBERTS, MS. JOHNSON ADVISED THEY WOULD NOT BE GOING TO BURGER KING FOR TODAY'S LUNCH. ORDINANCE 978 UNANIMOUSLY ADOPTED.

- R-6 RESOLUTION Establishing Fees and Charges for Chapter 7, Business and Community Services, of the Multnomah County Code and Repealing Resolution No. 02-015

COMMISSIONER NAITO MOVED AND COMMISSIONER CRUZ SECONDED, APPROVAL OF R-6. TOM SPONSLER EXPLANATION, ADVISING ONLY THE NAME WAS CHANGED, NOT ANY FEES. RESOLUTION 02-031 UNANIMOUSLY ADOPTED.

- R-7 RESOLUTION Directing the Facilities and Property Management Division to Dispose of Certain County-Owned Properties Deemed Surplus

COMMISSIONER CRUZ MOVED AND COMMISSIONER NAITO SECONDED, APPROVAL OF R-7. DOUG BUTLER AND PETER WILCOX EXPLANATION AND RESPONSE TO BOARD QUESTIONS AND COMMENTS IN SUPPORT. CHAIR DIRECTED STAFF TO CONTINUE WORKING WITH HER CHIEF OF STAFF JOHN RAKOWITZ, AND TO KEEP THE BOARD INFORMED ON EFFORTS TO MAXIMIZE RETURNS, PROVIDE OPPORTUNITIES FOR SPECIAL NEEDS HOUSING, AND POLICY ISSUES. RESOLUTION 02-032 UNANIMOUSLY ADOPTED.

NON-DEPARTMENTAL

- R-8 PROCLAMATION Proclaiming March 2002 as Women's History Month in Multnomah County, Oregon

COMMISSIONER NAITO MOVED AND COMMISSIONER CRUZ SECONDED, APPROVAL OF R-8. COMMISSIONER NAITO PRESENTED EXPLANATION AND READ PROCLAMATION. CHAIR LINN COMMENTS IN SUPPORT. PROCLAMATION 02-033 UNANIMOUSLY APPROVED.

DEPARTMENT OF COUNTY HUMAN SERVICES

- C-9 Retroactive Renewal of Revenue Agreement 0210003 with Centennial School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002
- C-10 Retroactive Renewal of Revenue Agreement 0210001 with Gresham Barlow School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002
- C-11 Retroactive Renewal of Revenue Agreement 0210002 with Portland Public School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002

COMMISSIONER CRUZ MOVED AND COMMISSIONER NAITO SECONDED, APPROVAL OF C-9, C-10 AND C-11. COUNTY ATTORNEY THOMAS SPONSLER EXPLANATION, ADVISING THE ONLY CHANGE TO ATTACHMENT B FOR ALL THREE AGREEMENTS WAS REMOVAL OF THE WORD "DRAFT". AGREEMENTS UNANIMOUSLY APPROVED.

NON-DEPARTMENTAL

- R-9 RESOLUTION Adopting Recommendations from the Child and Family System of Care Phase II Workgroup for Children's Mental Health System Redesign

COMMISSIONER NAITO MOVED AND COMMISSIONER CRUZ SECONDED, APPROVAL OF R-9. COMMISSIONER NAITO, JIM MCCONNELL, MARK MCKECHNIE AND PETER DAVIDSON EXPLANATION AND RESPONSE TO BOARD QUESTIONS AND DISCUSSION ON ISSUES INCLUDING NEED FOR COORDINATED SYSTEM OF CARE; SINGLE ACCESS FOR SERVICES; ADVOCACY; FILLING MANAGER POSITION WITH A PERSON WHO WILL BE A BOUNDARY BUSTER AND WORK WITH STATE IN A HOLISTIC, COOPERATIVE MANNER; MAINTAINING CURRENT SCHOOL PROGRAMS; AND MAKING SURE THE NEEDS OF FOSTER

**CHILDREN AND JUVENILE JUSTICE YOUTH ARE
ADDRESSED. BOARD COMMENTS IN SUPPORT
AND APPRECIATION. RESOLUTION 02-034
UNANIMOUSLY ADOPTED.**

DEPARTMENT OF COUNTY HUMAN SERVICES

R-10 Briefing on Culturally-Specific Mental Health Contracts and Planning for the Secure Evaluation Unit. Presented by Hank Balderrama, Jim McConnell, Peter Davidson, and Marie Dahlstrom.

JIM MCCONNELL, MARIE DAHLSTROM, PETER DAVIDSON, LOVIE JACKSON, HANK BALDERRAMA, PATRICK JONES AND PAUL DUCOMMUN PRESENTATIONS AND RESPONSE TO BOARD DISCUSSION ON ISSUES INCLUDING MENTAL HEALTH SYSTEM QUARTERLY REPORT DATA; ACKNOWLEDGEMENT IN APPRECIATION OF THE WORK DONE BY THE CULTURAL COMPETENCY COMMITTEE; LINKAGES BETWEEN CHILDREN AND ADULT SERVICES; CAPACITY BUILDING; PROPOSED SECURE EVALUATION UNIT; APRIL 3, 2002 COMMUNITY FORUM AT DONALD E LONG FACILITY; CITY OF PORTLAND ZONING PERMIT PROCESS; KUDOS FOR WORK OF PATRICK JONES AND LYNN DINGLER; CHAIR LINN ADVISED HER CHIEF OPERATIONS OFFICER JOHN BALL IS WORKING ON ALTERNATE OPTIONS FOR SECURE EVALUATION UNIT; AND KUDOS TO BEHAVIORAL HEALTH STAFF STEVE BULLOCK AND TO BRENDA SANCHEZ-HUGGETT FOR HER TRANSLATION SERVICES. DR. DAVIDSON INTRODUCED NEW BEHAVIORAL HEALTH STAFF PETER AGABI IN AUDIENCE, ADVISING HE WILL BE WORKING CLOSELY WITH MS. DAHLSTROM. MR. MCCONNELL COMMENTS IN APPRECIATION OF MS. DAHLSTROM. CHAIR LINN COMMENTS IN APPRECIATION OF THE EFFORTS OF EVERYONE INVOLVED.

There being no further business, the regular meeting was adjourned and the briefing was convened at 11:41 a.m.

Thursday, March 7, 2002 - 11:30 AM
Multnomah Building, First Floor Commissioners Boardroom 100
501 SE Hawthorne Boulevard, Portland

BOARD BRIEFING

- B-1 Discussion on Legislative Special Session II and Impact to County Services.
Presented by Gina Mattioda and Stephanie Soden.

**GINA MATTIODA AND STEPHANIE SODEN
PRESENTATION AND RESPONSE TO BOARD
QUESTIONS AND DISCUSSION ON ISSUES
INCLUDING STATEWIDE FUNDING CUTS AND
RESTORATIONS; GOVERNOR'S PLANS TO
EXERCISE HIS LINE ITEM VETO AUTHORITY
AND TO MAKE FURTHER PROGRAM AND
ADMINISTRATIVE CUTS BY MARCH 12, 2002;
AND GOVERNOR'S ANNOUNCEMENT TO CALL
THE LEGISLATURE BACK INTO SPECIAL
SESSION IN JUNE, AFTER THE RELEASE OF
THE MAY ECONOMIC AND REVENUE
FORECAST. STAFF TO PREPARE BOARD
LETTER TO GOVERNOR RESTATING BOARD'S
SUPPORT FOR LONG-TERM REVENUE
SOLUTIONS; NEED FOR COUNTIES TO HAVE
FLEXIBILITY TO IMPLEMENT CUTS TO
PROGRAMS AND SERVICES; IMPACTS TO
COUNTY PROGRAMS AND SERVICES IN THE
LEGISLATURE'S AND GOVERNOR'S BUDGET
REBALANCE PACKAGE; AND POTENTIAL
IMPACTS TO COUNTY PROGRAMS AND
SERVICES WITH GOVERNOR'S ADDITIONAL
ADMINISTRATIVE RULE CUTS FOR BOARD
REVIEW, SIGNATURE AND FAX TO GOVERNOR
BY FRIDAY, MARCH 8, 2002.**

There being no further business, the meeting was adjourned at 11:51 a.m.

BOARD CLERK FOR MULTNOMAH COUNTY, OREGON

Deborah L. Bogstad



Multnomah County Oregon

Board of Commissioners & Agenda

connecting citizens with information and services

BOARD OF COMMISSIONERS

Diane Linn, Chair

501 SE Hawthorne Boulevard, Suite 600
Portland, Or 97214

Phone: (503) 988-3308 FAX (503) 988-3093

Email: mult.chair@co.multnomah.or.us

Maria Rojo de Steffey,
Commission Dist. 1

501 SE Hawthorne Boulevard, Suite 600
Portland, Or 97214

Phone: (503) 988-5220 FAX (503) 988-5440

Email: district1.@co.multnomah.or.us

Serena Cruz, Commission Dist. 2

501 SE Hawthorne Boulevard, Suite 600
Portland, Or 97214

Phone: (503) 988-5219 FAX (503) 988-5440

Email: serena@co.multnomah.or.us

Lisa Naito, Commission Dist. 3

501 SE Hawthorne Boulevard, Suite 600
Portland, Or 97214

Phone: (503) 988-5217 FAX (503) 988-5262

Email: lisa.h.naito@co.multnomah.or.us

Lonnie Roberts, Commission Dist. 4

501 SE Hawthorne Boulevard, Suite 600
Portland, Or 97214

Phone: (503) 988-5213 FAX (503) 988-5262

Email: lonnie.j.roberts@co.multnomah.or.us

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MARCH 7, 2002

BOARD MEETING

FASTLOOK AGENDA ITEMS OF INTEREST

Pg 3	9:30 a.m. Opportunity for Public Comment on Non-Agenda Matters
Pg 4	9:55 a.m. County 2001 Financial Report and Single Audit Report
Pg 4	10:10 a.m. Ordinance Changing Department Name to Business and Community Services
Pg 4	10:20 a.m. Resolution Directing Disposition of Certain Surplus County Properties
Pg 4	10:45 a.m. Resolution Adopting Workgroup Recommendations for Children's Mental Health System Redesign
Pg 4	11:00 a.m. Briefing on Culturally Specific Mental Health Contracts and Planning for the Secure Evaluation Unit

Thursday meetings of the Multnomah County Board of Commissioners are cable-cast live and taped and may be seen by Cable subscribers in Multnomah County at the following times:

Thursday, 9:30 AM, (LIVE) Channel 30

Friday, 11:00 PM, Channel 30

Saturday, 10:00 AM, Channel 30

Sunday, 11:00 AM, Channel 30

Produced through Multnomah Community
Television

(503) 491-7636, ext. 333 for further info

or: <http://www.mctv.org>

Thursday, March 7, 2002 - 9:30 AM
Multnomah Building, First Floor Commissioners Boardroom 100
501 SE Hawthorne Boulevard, Portland

REGULAR MEETING

CONSENT CALENDAR - 9:30 AM

NON-DEPARTMENTAL

- C-1 Appointment of Teresa Williams to the MULTNOMAH COUNTY AUDIT COMMITTEE
- C-2 Appointments of Shirley Starr and Barry Eastwood to the FOOD SERVICE ADVISORY BOARD
- C-3 Appointments of Marti Frank and Hung Vo to the DEPARTMENT OF COMMUNITY JUSTICE CITIZEN BUDGET ADVISORY COMMITTEE
- C-4 Appointment of Sarah Bailen to the NON-DEPARTMENTAL CITIZEN BUDGET ADVISORY COMMITTEE
- C-5 Appointment of Nancy Johnson to the SHERIFF'S OFFICE CITIZEN BUDGET ADVISORY COMMITTEE

PUBLIC CONTRACT REVIEW BOARD

- C-6 ORDER Exempting from the Formal Competitive Bid Process a Contract for the Purchase of Twelve Model 6210 Library SelfCheck Machines, Software, First Year Maintenance, Initial Installation, Consulting and Training from 3M

SHERIFF'S OFFICE

- C-7 Interagency Cooperative Agreement 0210047 with the United States Coast Guard for the Enforcement of Boating Under the Influence of Intoxicants (BUII) Laws, Providing Detection and Processing Expertise for Breath Analyzer Operation July 1, 2002 through June 30, 2005
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DEPARTMENT OF COUNTY HUMAN SERVICES

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REGULAR AGENDA - 9:30 AM

PUBLIC COMMENT - 9:30 AM

Opportunity for Public Comment on Non-Agenda Matters. Testimony Limited to Three Minutes Per Person.

DEPARTMENT OF HEALTH - 9:30 AM

- R-1 NOTICE OF INTENT to Apply for Grant Funding from the Department of Health and Human Service's Bureau of Primary Health Care, to Expand the Capacity of the Department's Dental Services Provided to Clients at the East County Health Center
- R-2 NOTICE OF INTENT to Apply for Grant Funding from the Department of Health and Human Service's Bureau of Primary Health Care to Expand the Capacity of the Department's East County Health Center, and North Portland Health Center

DEPARTMENT OF MANAGEMENT AND BUSINESS SERVICES - 9:45 AM

- R-3 Presentation and Acknowledgement of 17th Consecutive Certificate of Achievement for Excellence in Financial Reporting Award for Multnomah County's June 30, 2000 Comprehensive Annual Financial Report

- R-4 Multnomah County, Oregon Comprehensive Annual Financial Report and Single Audit Report for the Fiscal Year Ended June 30, 2001. Presented by David Boyer, Mindy Harris and Ray Barlow. 20 MINUTES REQUESTED.
- R-5 First Reading and Possible Adoption of an ORDINANCE Amending Multnomah County Code to Change Name of Department from Management and Business Services to Business and Community Services, and Declaring an Emergency
- R-6 RESOLUTION Establishing Fees and Charges for Chapter 7, Business and Community Services, of the Multnomah County Code and Repealing Resolution No. 02-015
- R-7 RESOLUTION Directing the Facilities and Property Management Division to Dispose of Certain County-Owned Properties Deemed Surplus

NON-DEPARTMENTAL - 10:35 AM

- R-8 PROCLAMATION Proclaiming March 2002 as Women's History Month in Multnomah County, Oregon
- R-9 RESOLUTION Adopting Recommendations from the Child and Family System of Care Phase II Workgroup for Children's Mental Health System Redesign

DEPARTMENT OF COUNTY HUMAN SERVICES - 11:00 AM

- R-10 Briefing on Culturally-Specific Mental Health Contracts and Planning for the Secure Evaluation Unit. Presented by Hank Balderrama, Jim McConnell, Peter Davidson, and Marie Dahlstrom. 25 MINUTES REQUESTED.

Thursday, March 7, 2002 - 11:30 AM
(OR IMMEDIATELY FOLLOWING REGULAR MEETING)
Multnomah Building, First Floor Commissioners Boardroom 100
501 SE Hawthorne Boulevard, Portland

BOARD BRIEFING

- B-1 Discussion on Legislative Special Session II and Impact to County Services. Presented by Gina Mattioda and Stephanie Soden. 30 MINUTES REQUESTED.



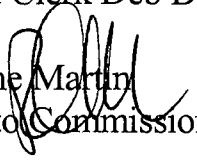
Commissioner Maria Rojo de Steffey

MULTNOMAH COUNTY OREGON

District One

MEMORANDUM

TO: Chair Diane Linn
Commissioner Serena Cruz
Commissioner Lisa Naito
Commissioner Lonnie Roberts
Board Clerk Deb Bogstad

FROM: R. Lyne Martin 
Staff to Commissioner Maria Rojo de Steffey

DATE: January 22, 2002

RE: Board Briefing/Meeting Absence

Commissioner Maria Rojo de Steffey will be in Washington DC attending the NACo conference March 2 thru March 7 and unable to attend the scheduled Board/Briefing meetings during this time.



"Printed on recycled paper"



501 SE Hawthorne Blvd., Suite 600, Portland, Oregon 97214
Phone: (503) 988-5220, FAX: (503) 988-5440, E-Mail: district1@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-1
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointment to the Multnomah County Audit Committee

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: Consent Agenda

DEPARTMENT: Non-Departmental DIVISION: Chair's Office
CONTACT: Delma Farrell TELEPHONE #: (503) 988-3953
BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Appointment of Teresa Williams to the Multnomah County Audit Committee

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Diane M. Linn
(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-2
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointments to the Food Service Advisory Board

BOARD BRIEFING: DATE REQUESTED: _____
 REQUESTED BY: _____
 AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
 AMOUNT OF TIME NEEDED: Consent Agenda

DEPARTMENT: Non-Departmental DIVISION: Chair's Office
CONTACT: Delma Farrell TELEPHONE #: (503) 988-3953
 BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Appointments of Shirley Starr and Barry Eastwood to the Food Service Advisory Board

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Diane M. Linn
(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-3
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointments to Department of Community Justice Citizen Budget Advisory Committee

BOARD BRIEFING: DATE REQUESTED: _____
 REQUESTED BY: _____
 AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
 AMOUNT OF TIME NEEDED: Consent Agenda

DEPARTMENT: Non-Departmental DIVISION: Chair's Office

CONTACT: Delma Farrell TELEPHONE #: (503) 988-3953
 BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: N/A

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Appointments of Marti Frank and Hung Vo to the Department of Community Justice Citizen Budget Advisory Committee

SIGNATURES REQUIRED:

ELECTED OFFICIAL: *Diane M. Linn*

(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-4
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointment to the Non-Departmental Citizen Budget Advisory Committee

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: Consent Agenda

DEPARTMENT: Non-Departmental DIVISION: Chair's Office
CONTACT: Delma Farrell TELEPHONE #: (503) 988-3953
BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: N/A

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Appointment of Sarah Bailen to the Non-Departmental Citizen Budget Advisory Committee

SIGNATURES REQUIRED:

ELECTED OFFICIAL: *Diane M. Linn*
(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-5
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Appointment to Sheriff's Office Citizen Budget Advisory Committee

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: Consent Agenda

DEPARTMENT: Non-Departmental DIVISION: Chair's Office
CONTACT: Delma Farrell TELEPHONE #: (503) 988-3953
BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Appointment of Nancy Johnson to the Sheriff's Office Citizen Budget Advisory Committee

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Diane M. Linn
(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

MEETING DATE: March 7, 2002
AGENDA NO: C-6
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: PCRB EXEMPTION REQUEST TO PURCHASE TWELVE MODEL 6210 LIBRARY SELF-CHECK MACHINES, SOFTWARE, FIRST YEAR MAINTENANCE, INITIAL INSTALLATION, CONSULTING AND TRAINING FROM 3 M

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: N/A

DEPARTMENT: DMBS DIVISION: Finance/CPCA

CONTACT: Franna Hathaway TELEPHONE #: 988-5111 X22651
BLDG/ROOM #: 503/4th floor

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

PCRB EXEMPTION REQUEST TO PURCHASE TWELVE MODEL 6210 LIBRARY SELF-CHECK MACHINES, SOFTWARE, FIRST YEAR MAINTENANCE, INITIAL INSTALLATION, CONSULTING AND TRAINING FROM 3M

03.08.02 copies to Catherine Kwong

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: David Boyer

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



MULTNOMAH COUNTY LIBRARY

DEPARTMENT OF LIBRARIES
COMPUTER SERVICES
801 S.W. 10TH AVENUE
PORTLAND, OREGON 97205
PHONE (503) 988-4563
FAX (503) 988-5226

BOARD OF COUNTY COMMISSIONERS
DIANE M. LINN • CHAIR OF THE BOARD
MARIA ROJO de STEFFEY • DISTRICT 1 COMMISSIONER
SERENA CRUZ • DISTRICT 2 COMMISSIONER
LISA NAITO • DISTRICT 3 COMMISSIONER
LONNIE ROBERTS • DISTRICT 4 COMMISSIONER

TO: Franna Hathaway, Manager
Central Procurement & Contract Administration

Cc: Ginnie Cooper
Ruth Metz
Sue Robinson

FROM: Lance Murty, Information Technology Manager
Library Computer Services

DATE: February 8, 2002

SUBJECT: Exemption for purchase of 3M 6210 SelfCheck
equipment

Request for Exemption : The Department of Libraries is requesting the authority to contract with 3M for Model 6210 SelfCheck equipment, software and annual maintenance. This exemption would provide procurement authority for a contract purchase amount of approximately \$288,000 for the acquisition of twelve Model 6210 SelfCheck machines, software, first year maintenance, initial installation consulting and training. Annual maintenance renewals beyond the first year will be built into the library budget.

Basis for Exemption : The RFP exemption request is based on PCRB Rules:

Division 10, Administrative Rule 10.100 "*Single Seller Contracts*" and

Division 20, Administrative Rule 20.030 "*Single Manufacture of Compatible Products*".

Background : The library has acquired eight 3M SelfCheck machines over the course of the past five years to allow library users the option and convenience of self-service when checking out library materials. The library wishes to augment the existing equipment with additional units to be placed in libraries that either have no units, or where circulation volume supports multiple machines. By expanding "express checkout" self service, the library intends to use technology to process an increasing level of materials circulation without additional staff, and to free some existing circulation staff for other customer interaction. This equipment also supports the library's efforts to increase services in multiple languages, and will allow library visitors to choose menus in either Spanish or English.

The library acquired its first 3M SelfCheck machine when the Midland library was opened in the fall of 1996. Four more SelfCheck machines were added as part of the Central Library renovation, completed in 1997. The 3M equipment was the only equipment available that worked with the library's on-line catalog, DYNA, and was purchased from Epixtech, the company that

manufactures DYNA. Additional machines were acquired and installed as part of the renovations of the Gresham, Woodstock, and Belmont libraries. All of these machines were purchased under exemption requests, citing PRCB Administrative Rule 10.100 and 20.030, as 3M was the only equipment available that operated with the Library's on-line catalog, DYNA.

As noted above, the 3M equipment has been designed and configured to work with the library's automated library system, DYNA. Because the setup and configuration work has already been accomplished and is well understood by library computer services staff, installation of additional 3M self checkout machines requires no added programming within DYNA, nor any significant staff support.

The additional benefits of a single set of self checkout equipment system-wide include:

- leveraging existing public and staff familiarity and knowledge
- reduced technology support and licensing costs
- use of existing training programs and support services
- ability to share parts and equipment between libraries
- consistency of service at all library locations

3M has offered the library a substantial discount of 17% for the purchase of 10 units and a discount of 10% on the coverage of all units under a hardware and software maintenance contract.

Given the discount offered to the County, 3M meets the Administrative Rule 10.100 of "*Single Seller Contracts*" since no other distributor of this product can offer this equipment at this discount.

Given the technical requirement to be directly integrated into the library's automated library system, DYNA, 3M meets the Administrative Rule 20.030 of "*Single Manufacture of Compatible Products*".

If additional information is required, please me at extension 84563.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD

ORDER NO. _____

Exempting from the Formal Competitive Bid Process a Contract for the Purchase of Twelve Model 6210 Library SelfCheck Machines, Software, First Year Maintenance, Initial Installation, Consulting and Training from 3M

The Multnomah County Board of Commissioners Finds:

- a. The Board, acting in its capacity as the Multnomah County Public Contract Review Board to review, pursuant to PCRB Rules AR10.140 and AR20.060, a request from the Department of Library for exemption from the formal competitive bid process a contract for the purchase of twelve Model 6210 SelfCheck machines, software, first year maintenance, initial installation, consulting and training from 3M.
- b. As it appears in the memorandum from Lance Murty, the request for exemption is based upon the fact that The library has acquired eight 3M SelfCheck machines over the course of the past five years and wishes to augment the existing equipment with additional units to be placed in libraries that either have no units, or where circulation volume supports multiple machines.

The library acquired its first 3M SelfCheck machine when the Midland library was opened in the fall of 1996. The 3M equipment was the only equipment available that worked with the library's on-line catalog, DYNA, and was purchased from 3M, the company that manufactures DYNA. Additional machines were then acquired and installed. The 3M equipment has been designed and configured to work with the library's automated library system, DYNA. Because the setup and configuration work has already been accomplished and is well understood by library computer services staff, installation of additional 3M self checkout machines requires no added programming within DYNA, nor any significant staff support.

The additional benefits of a single set of self checkout equipment system-wide include:

- leveraging existing public and staff familiarity and knowledge
- reduced technology support and licensing costs
- use of existing training programs and support services
- ability to share parts and equipment between libraries
- consistency of service at all library locations

3M has offered the library a substantial discount of 17% for the purchase of 10 units and a discount of 10% on the coverage of all units under a hardware and software maintenance contract. The amount of the purchase is approximately \$288,000.

- c. This exemption request is in accord with the requirements of Multnomah County Public Contract Review Board Administrative Rules AR10.140 and AR20.060.

The Multnomah County Board of Commissioners, Acting as the Public Contract Review Board Orders:

The Contract to purchase twelve Model 6210 selfcheck machines, software, first year maintenance, initial installation, consulting and training from 3M be exempted from the formal competitive bid process.

ADOPTED this 7th day of March, 2002.

BOARD OF COUNTY COMMISSIONERS FOR
MULTNOMAH COUNTY, OREGON, ACTING
AS THE PUBLIC CONTRACT REVIEW BOARD

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By _____
John Thomas, Assistant County Attorney

BOGSTAD Deborah L

From: HATHAWAY Franna T
Sent: Friday, March 01, 2002 10:51 AM
To: NOVICK Steve; MURTY Lance
Cc: BOGSTAD Deborah L
Subject: RE: SelfCheck equipment

Hi everyone, Serena and I exchanged voice mails last night and resolved her question. Her primary concern was that in purchasing this equipment from a large corporation that we weren't excluding opportunities for small and minority firms. When I explained to her that the only other seller of this type of equipment was a Canadian firm she was fine with letting this exemption go forward. The exemption is on the consent calendar for March 7, and it will not be necessary to have anyone from the Library at this meeting. Please let me know if there are any other questions.

-----Original Message-----

From: NOVICK Steve
Sent: Thursday, February 28, 2002 1:54 PM
To: MURTY Lance; HATHAWAY Franna T
Subject: SelfCheck equipment

Lance, Franna -- I see we're bringing back this procurement exemption thing to the board next week. (Lance, I don't recall if we've met -- I'm on Diane Linn's staff.) Diane was fine with this last time, but Serena had a question about why the exemption was appropriate. The memo looks about the same as last time but I don't have the old memo. Can I tell Diane that this memo elaborates on why this item meets "Single Seller" and "Single Manufacturer" requirements? And have you maybe e-mailed Serena's office to make sure she'll be OK? Thanks ...

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON
ACTING AS THE PUBLIC CONTRACT REVIEW BOARD

ORDER NO. 02-025

Exempting from the Formal Competitive Bid Process a Contract for the Purchase of Twelve Model 6210 Library SelfCheck Machines, Software, First Year Maintenance, Initial Installation, Consulting and Training from 3M

The Multnomah County Board of Commissioners Finds:

- a. The Board, acting in its capacity as the Multnomah County Public Contract Review Board to review, pursuant to PCRB Rules AR10.140 and AR20.060, a request from the Department of Library for exemption from the formal competitive bid process a contract for the purchase of twelve Model 6210 SelfCheck machines, software, first year maintenance, initial installation, consulting and training from 3M.
- b. As it appears in the memorandum from Lance Murty, the request for exemption is based upon the fact that The library has acquired eight 3M SelfCheck machines over the course of the past five years and wishes to augment the existing equipment with additional units to be placed in libraries that either have no units, or where circulation volume supports multiple machines.

The library acquired its first 3M SelfCheck machine when the Midland library was opened in the fall of 1996. The 3M equipment was the only equipment available that worked with the library's on-line catalog, DYNA, and was purchased from 3M, the company that manufactures DYNA. Additional machines were then acquired and installed. The 3M equipment has been designed and configured to work with the library's automated library system, DYNA. Because the setup and configuration work has already been accomplished and is well understood by library computer services staff, installation of additional 3M self checkout machines requires no added programming within DYNA, nor any significant staff support.

The additional benefits of a single set of self checkout equipment system-wide include:

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- reduced technology support and licensing costs
- use of existing training programs and support services
- ability to share parts and equipment between libraries
- consistency of service at all library locations

3M has offered the library a substantial discount of 17% for the purchase of 10 units and a discount of 10% on the coverage of all units under a hardware and software maintenance contract. The amount of the purchase is approximately \$288,000.

- c. This exemption request is in accord with the requirements of Multnomah County Public Contract Review Board Administrative Rules AR10.140 and AR20.060.

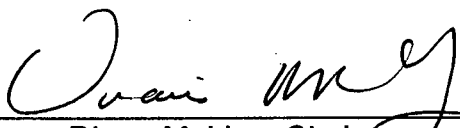
The Multnomah County Board of Commissioners, Acting as the Public Contract Review Board Orders:

The Contract to purchase twelve Model 6210 SelfCheck machines, software, first year maintenance, initial installation, consulting and training from 3M be exempted from the formal competitive bid process.

ADOPTED this 7th day of March, 2002.




BOARD OF COUNTY COMMISSIONERS FOR
MULTNOMAH COUNTY, OREGON, ACTING
AS THE PUBLIC CONTRACT REVIEW BOARD



Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By 

John Thomas, Assistant County Attorney

MEETING DATE: March 7, 2002
AGENDA NO: C-7
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Provide BUII detection and processing expertise for breath analyzer operation.

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____
AMOUNT OF TIME NEEDED: N/A

DEPARTMENT: Sheriff's Office DIVISION: Enforcement

CONTACT: Barbara Simon TELEPHONE #: 503-988-4326
BLDG/ROOM #: 503 / 350 / Simon

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

[] INFORMATIONAL ONLY [] POLICY DIRECTION [X] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Cooperative Agreement with the Coast Guard for the Enforcement of Boating Under the Influence (BUII) Laws

03.08.02 originals to David Beaksma

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Dan Noelle

(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

**Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us**



Multnomah County Sheriff's Office

501 SE Hawthorne Blvd. Ste 350. Portland, OR 97214

DAN NOELLE
SHERIFF

Phone: (503) 988-4300

TTY: (503) 988-4500

Supplemental Staff Report

To: Board of County Commissioners
From: Barbara Simon
Requested Placement Date: February 28, 2002
Subject: Interagency Cooperation Agreement between the Multnomah County Sheriff's Office and the United States Coast Guard.

1. Recommendation/Action Requested

Approval of Interagency Cooperation Agreement between the Multnomah County Sheriff's Office and the United States Coast Guard for enforcement of Boating Under the Influence of Intoxicants (BUII).

2. Background Analysis

BUII in Oregon State waters is a crime. It is the policy of MCSO to assist other law enforcement agencies and public bodies in the performance of their lawful duties. Under this agreement, MCSO agrees to provide BUII detection and processing for all persons stopped by USCG for BUII during normal business hours. This contract will be effective July 1, 2002 and terminated June 30, 2005. Either party may terminate this agreement earlier by giving the other party not less than 30 days written notice

3. Financial Impact

None though the MCSO will have final approval on whether to send personnel out to assist USCG personnel on individual incidents involving BUII.

4. Legal Issues

The County Attorney's Office has reviewed this agreement.

5. Controversial Issues

None

6. Link to Current County Policies

Reducing Crime
Good Government

7. Citizen Participation

None

8. Other Government Participation

United States Coast Guard Station Portland

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

Pre-approved Contract Boilerplate (with County Counsel signature) ☐ Attached ☐ Not Attached Contract #: 0210047
Amendment #: _____

CLASS I	CLASS II	CLASS III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input checked="" type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCR8 Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <div style="text-align: center; margin-top: 10px;"> APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # <u>C-7</u> DATE <u>03-07-02</u> PER BOOSTAD, BOARD CLERK </div>

Department: Sheriff's Office Division: Law Enforcement Date: 8/19/1999
 Originator: Captain Lee Graham Phone: 503-251-2407 Bldg/Rm: River Patrol
 Contact: Dave Braaksma Phone: 503-988-4415 Bldg/Rm: 503/350

Description of Contract: Provide BUII detection and processing expertise for Intoxilyzer 1400 breath analyzer operation.

RENEWAL: ☐ PREVIOUS CONTRACT #(S): 0010947

RFP/BID: _____ RFP/BID DATE: _____

EXEMPTION _____ EXEMPTION EXPIRATION _____ ORS/AR _____

#/DATE: _____ DATE: _____ # _____

CONTRACTOR IS: ☐ MBE ☐ WBE ☐ ESB ☐ QRF ☒ N/A ☐ NONE (Check all boxes that apply)

Contractor <u>United States Coast Guard</u>		Remittance address _____
Address <u>6767 North Basin</u>		(If different) _____
<u>Portland, Oregon 97217</u>		
James Spitzer, Captain of the Port		
Phone <u>503-240-9301</u>	Payment Schedule / Terms	
Employer ID# or SS# <u>N/A</u>	<input type="checkbox"/> Lump Sum \$ _____	<input type="checkbox"/> Due on Receipt
Effective Date <u>07/01/02</u>	<input type="checkbox"/> Monthly \$ _____	<input type="checkbox"/> Net 30
Termination Date <u>06/30/05</u>	<input type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other
Original Contract Amount \$ <u>-0-</u>	<input type="checkbox"/> Requirements Not to Exceed \$ _____	
Total Amt of Previous Amendments \$ _____	Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No	
Amount of Amendment \$ _____		
Total Amount of Agreement \$ <u>-0-</u>		

REQUIRED SIGNATURES:

Department Manager Peter C. Vaghe

Purchasing Manager _____

(Class II Contracts Only) _____

County Counsel _____

County Chair _____

Sheriff _____

Contract Administration _____

(Class I, Class II Contracts only)

DATE 2-5-02

DATE _____

DATE 2/7/02

DATE 03-07-02

DATE 2-6-02

DATE _____

LGFS VENDOR CODE						DEPT REFERENCE					
LINE #	FUND	AGENCY	ORG	SUB ORG	ACTIVITY	OBJ/ REV	SUB OBJ	REP CAT	LGFS DESCRIPTION	AMOUNT	INC DEC
01											
02											
03											

Exhibit A, Rev. 3/25/98 DIST: Originator, Accts Payable, Contract Admin - Original If additional space is needed, attach separate page. Write contract # on top of page.

INTERAGENCY COOPERATION AGREEMENT

THIS AGREEMENT is made and entered into between the MULTNOMAH COUNTY SHERIFF'S OFFICE ("MCSO"), and the UNITED STATES COAST GUARD STATION PORTLAND ("USCG"). MCSO and USCG will be referred to collectively as the "parties."

WHEREAS, USCG is a law enforcement agency formed and operating under the laws of the Federal Government; and

WHEREAS, it is the policy of MCSO to assist other law enforcement agencies and public bodies in the performance of their lawful duties; and

WHEREAS, USCG officers are authorized to arrest a person the federal officer has probable cause to believe has committed a crime in the officer's presence and deliver said person to a peace officer pursuant to ORS 133.245; and

WHEREAS, the MCSO and USCG want to cooperate in enforcing Oregon State Statutes relating to the crime of Boating Under the Influence of Intoxicants ("BUII) in Oregon State waters,

NOW, THEREFORE, the parties agree to be bound as follows:

SCOPE OF SERVICE -- MCSO

1. MCSO agrees to provide BUII detection and processing specifically through intoxilyzer 1400 breath analyzer operation, for all persons stopped by USCG for BUII.
2. MCSO agrees to assist USCG in their BUII enforcement endeavors during MCSO normal business hours.
3. MCSO agrees to provide USCG with a list of certified Intoxilyzer 1400 operators for call outs after normal MCSO hours of operation.
4. The parties agree that MCSO will have final approval on whether to send MCSO personnel out to assist USCG personnel on individual incidents involving BUII.

SCOPE OF SERVICE -- USCG

5. USCG agrees to deliver to MCSO for criminal prosecution those offenders they stop for BUII.
6. USCG agrees to provide written statements for MCSO personnel regarding their observations of all offenders they stop for BUII.

7. USCG agrees to appear in court, for the State of Oregon, as directed to do so by the Multnomah County District Attorney's Office for the purpose of criminally prosecuting BUII offenders they initiated complaints on.

INDEMNIFICATION AND LIABILITY

8. Subject to the limitations of the Oregon Tort Claims Act and the Oregon Constitution, MCSO shall indemnify, defend and hold harmless USCG, its officers, employees and agents from all claims, suits, actions or expenses of any nature resulting from or arising out of the acts, errors or omissions of MCSO personnel acting pursuant to the terms of this agreement.
9. Subject to the limitation of the Federal Tort Claims Act, USCG shall indemnify, defend and hold harmless MCSO, its officers, employees and agents from all claims, suits, actions or expenses of any nature resulting from or arising out of the acts, errors or omissions of USCG personnel acting pursuant to the terms of this agreement.

CONTRACT ADMINISTRATION

10. MCSO designates Captain Lee Graham to represent MCSO in all matters pertaining to administration of this agreement.
11. USCG designates OIC Station Portland, to represent USCG in all matters pertaining to administration of this agreement.
12. Any notice or notices provided for by this agreement or by law to be given or served upon either party shall be given or served by certified letter, deposited in the US Mail, postage prepaid, and addressed to:

Dan Noelle
Multnomah County Sheriff
503 SE Hawthorne Blvd.
Suite 350
Portland, OR 97214

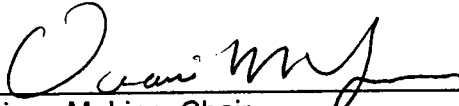
James Spitzer, Captain of the Port
USCG, Group Portland
6767 N. Basin
Portland, OR 97217

CONTRACT MODIFICATION AND TERMINATION

13. This agreement shall be effective July 1, 2002, and terminated June 30, 2005.
14. The parties agree that any party to this agreement may terminate this agreement by giving the other party(s) not less than 30 days written notice.
15. The parties agree that this agreement may be modified or amended by mutual agreement of the parties. Any modification to this agreement shall be effective only when incorporated herein by written amendments and signed by both the Multnomah County Sheriff's Office and the United States Coast Guard. Approval must also be granted by the Multnomah County Board of Commissioners.

IN WITNESS WHEREOF, the parties have caused this agreement to be
executed by their duly appointed officers on the last date written below.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

By: 
Diane M. Linn, Chair

Date: 3.7.02

MULTNOMAH COUNTY SHERIFF'S OFFICE

UNITED STATES COAST GUARD

By: 
Dan Noelle, Sheriff

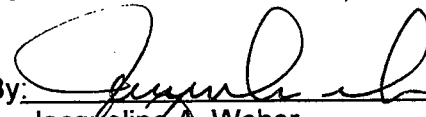
By: _____
James Spitzer, Captain of the Port

Date: 3-21-02

Date: _____

REVIEWED:

THOMAS SPONSER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By: 
Jacqueline A. Weber
Assistant County Attorney

Date: 2/7/02

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # C-7 DATE 03-07-02
DEB BOGSTAD, BOARD CLERK

MEETING DATE: March 7, 2002
AGENDA NO: C-8
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Provide general law enforcement services and additional patrols within the corporate limits of Wood Village. This Agreement raises the current level of participation from 2 FTE to 2.5 FTE.

BOARD BRIEFING: DATE REQUESTED: _____
 REQUESTED BY: _____
 AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____
 AMOUNT OF TIME NEEDED: N/A

DEPARTMENT: Sheriff's Office DIVISION: Enforcement

CONTACT: Barbara Simon TELEPHONE #: (503) 988-4326
 BLDG/ROOM #: 503 / 350 / Simon

PERSON(S) MAKING PRESENTATION: Consent Calendar

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Renewal of ORS 190 Government Agreement 0010303 with the City of Wood Village, Providing Law Enforcement and Patrol Services within the Corporate Limits of Wood Village from July 1, 2002 through June 30, 2003

03.08.02 ORIGINALS to DAVID BEAKSMA

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Dan Noelle

(OR)

DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Multnomah County Sheriff's Office

501 SE Hawthorne Blvd. Ste 350. Portland, OR 97214

DAN NOELLE
SHERIFF

Phone: (503) 988-4300

TTY: (503) 988-4500

SUPPLEMENTAL STAFF REPORT

To: Board of County Commissioners
From: Barbara Simon
Requested Placement Date: February 28, 2002
Subject: Renewal of Revenue IGA with Wood Village for Law Enforcement Services

1. Recommendation/action requested

Approval of Intergovernmental Agreement with the City of Wood Village to provide police services within the corporate limits of the City.

2. Background Analysis

This is a renewal of an existing revenue agreement between the City of Wood Village and the Multnomah County Sheriff's Office for police services. The original contract was for one year beginning July 1, 2001 and ending June 30, 2002. This renewal extends the contract for one year commencing July 1, 2002 and ending June 30, 2002.

3. Financial Impact

It will cover the cost of 2.5 patrol officers and a prorated patrol vehicle less the cost of the space that MCSO rents from the City. Wood Village will pay Multnomah County a total cost of \$173,285 for the 2002/2003 contract.

4. Legal Issues

The County Attorney has reviewed the IGA. There are no legal issues.

5. Controversial Issues

None

6. Link to Current County Policies

Reducing Crime
Neighborhood Livability
Good Government

7. Citizen Participation

None

8. Other Government Participation

Wood Village

INTERGOVERNMENTAL AGREEMENT

This Agreement is made and entered into pursuant to the authority found in ORS 190.010 et seq. and ORS 206.345 by and between the Multnomah County Sheriff's Office (MCSO), jointly with and on behalf of Multnomah County (County), and the City of Wood Village (CITY), a municipal corporation in the State of Oregon.

PURPOSE:

The purpose of this agreement is for MCSO to provide police services within the corporate limits of the CITY.

The parties agree as follows:

1. **TERM** The term of this agreement shall be from July 1, 2001 to June 30, 2002. This agreement may be renewed for four (4) additional one (1) year terms.

2. CITY RESPONSIBILITIES

- A.) The CITY agrees to perform contractual agreements and pay costs for police dispatch and emergency and non-emergency call taking for the CITY, provided by the Bureau of Emergency Communications (BOEC), City of Portland.
- B.) The CITY agrees that all matters incident to the performance of the services described herein, including standards of performance and supervision and discipline of assigned personnel, shall be and remain the responsibility of the MCSO. The CITY further agrees that the assigned personnel provided hereunder by MCSO shall be and remain employees of the COUNTY. The assigned personnel shall be supervised by MCSO and shall perform their duties in accordance with the administrative and operational procedures of the MCSO. Scheduling, payment of salary, benefits and all other employee rights shall be in compliance with the negotiated contract between the Multnomah County Deputy Sheriff's Association and the MCSO.
- C.) Upon receipt of quarterly billing, City agrees to compensate the MCSO for partial costs of delivering the above stated law enforcement services.

Billing schedule will be as follows:

October 1 st for	-	July, August, September
January 1 st for	-	October, November, December
April 1 st for	-	January, February, March
July 1 st for	-	April, May, June

- D.) Payment is to be made on a quarterly basis and mailed to:
Multnomah County Sheriff's Office
ATTN: Accounts Receivable / Sharon Lowell
501 S.E. Hawthorne, Suite 350
Portland, OR 97214
- E.) The remittance will be equal the cost of two (2) patrol officers at the rate of \$153,260 (based on the salary and benefits for an average Deputy Sheriff in Fiscal Year 2001 – 2002, multiplied by 2) In addition, a prorated patrol vehicle at the rate of \$11,879 will be charged for a total cost of \$165,139 less the one

year rental of MCSO office space of \$12,915. The total amount to be paid by the CITY for the 2001/2002 contract will be \$152,224.

- F.) CITY will notify MCSO in writing no later than ninety (90) days prior to the annual contract expiration date of the City's intent to consider termination or non-renewal of the contract, and the CITY will notify MCSO in writing no later than thirty (30) days prior to contract expiration date, of any final decision to terminate or non-renew the contract.

3. COUNTY RESPONSIBILITIES

- A.) The MCSO agrees to provide police service within the corporate limits of the CITY. The police services shall include the duties and law enforcement functions customarily rendered by the MCSO under the statutes of the State of Oregon and the CITY. These services shall include response to emergency situations where life and property are in danger, criminal law enforcement, neighborhood patrol, traffic enforcement, enforcement of City ordinances, and similar law enforcement activities within the legal authority of the MCSO to provide, including follow-up investigation of reported criminal activities. The MCSO shall assign armed uniformed deputy sheriffs to the CITY to perform police patrol functions.
- B.) MCSO agrees that ORS 206.345(2), which states, "During the existence of the contract, the Sheriff shall exercise such authority as may be vested in them by terms of the contract, including full power and authority to arrest for violation of all duly enacted ordinances of the contracting city," shall prevail and shall perform accordingly. Pursuant to ORS 190.010, the Sheriff shall also have the authority to enforce civil infractions pursuant to the CITY's Municipal Code.
- C.) The MCSO will provide all law enforcement services at a level consistent with the provisions of the contract. The MCSO will provide patrol services at a level consistent with section 12 of this Agreement.
- D.) MCSO will consult with the CITY prior to assigning or reassigning deputies to perform the services in fulfillment of this contract (names of deputies and phone numbers to be provided to the City Administrator) and shall investigate and respond to any concerns expressed by the CITY regarding deputy performance.
- E.) With the exception noted in F below, the MCSO agrees to provide all necessary labor, supervision, equipment, communication facilities, supplies and administrative support services – including police records functions, necessary to provide the services herein. The MCSO will perform the law enforcement services with deputy sheriffs certified as police officers by the Oregon Department of Public Safety Standards and Training (DPSST)

- F.) Neither the MCSO nor the COUNTY is responsible for the contractual agreements or costs for police dispatch and emergency and non-emergency call taking for the CITY, provided by the Bureau of Emergency Communications (BOEC0, City of Portland.
 - G.) The MCSO shall provide to the CITY a monthly report that includes summary reports on criminal occurrences, a synopsis of enforcement and other activities related to community policing. The report will continue to document and report numbers of incidents to which MCSO responded and the amount of time spent on incidents, neighborhood patrol and investigations.
 - H.) MCSO will provided an officer at the regular monthly City Council meetings (second Wednesday of each month at 6:00 PM) to orally inform the Council of service demands and any identified areas of concern.
 - I.) The MCSO agrees to maintain a satellite patrol office within Wood Village City Hall. The rental cost for the space will be the equivalent of \$1,076.25 per month, to be credited quarterly, for a total of \$12,915 during the 2001/2002 fiscal year and will be adjusted each year by the average rental market rate increase in the greater Gresham area.
 - J.) MCSO will inform the CITY administrator by January 31st of each year of the cost to renew and/or notice of intent not to renew the contract for the next fiscal year.
4. **FUNDS AVAILABLE** In the event that funds cease to be available to County in the amounts anticipated for this agreement, County may terminate or reduce the scope of services to be provided and reduce funding accordingly. In the event that the funds cease to be available to CITY in the amounts anticipated for this agreement, CITY may terminate or reduce the scope of the services to be provided and reduce funding accordingly.
5. **INDEMNIFICATION** Subject to the conditions and limitations of the Oregon Constitution and the Oregon Tort Claims Act, ORS 30.260 through 30.300, County shall indemnify, defend and hold harmless City from and against all liability, loss and costs arising out of or resulting from the acts of County, its officers, employees and agents in the performance of this agreement. Subject to the conditions and limitations of the Oregon Constitution and the monetary limits of the Oregon Tort Claims Act, ORS 30.260 through 30.300 City shall indemnify, defend and hold harmless County from and against all liability, loss and costs arising out of or resulting from the acts of City, its officers, employees and agents in the performance of this agreement.
6. **INSURANCE** County and City shall each be responsible for providing worker's compensation insurance as required by law. Neither party shall be required to provide or show proof of any other insurance coverage.
7. **ADHERENCE TO LAW** County and City shall comply with all federal, state and local laws and ordinances applicable to this agreement.

8. **NON-DISCRIMINATION** County and City shall comply with all requirements of federal and state civil rights and rehabilitation statutes and local non-discrimination ordinances.
9. **ACCESS TO RECORDS** Each party shall have access to the books, documents and other records of the other which are related to this agreement for the purpose of examination, copying and audit.
10. **SUBCONTRACTS AND ASSIGNMENT** Neither party will subcontract or assign any part of this agreement without the written consent of the other party.
11. **THIS IS THE ENTIRE AGREEMENT** This Agreement constitutes the entire Agreement between the parties. This Agreement may be modified or amended only by the written agreement of the parties.
12. **ADDITIONAL TERMS AND CONDITIONS:** The ratio of Deputy Sheriff full-time equivalents (FTE) per 1,000 City residents, provided for by the terms of the original Agreement of July 1, 1999, was approximately zero point three three (0.33). It was and is the intent of the MCSO to provide, and of the CITY to fund, an annual incremental increase in the number of Deputy Sheriffs FTE according to the schedule below, with the goal of eventually attaining a ratio of one point zero (1.0) Deputy Sheriff FTE per 1,000 CITY residents. For the Fiscal Period 2001-2002, MCSO and the CITY agree to a level of two point zero (2.0) Deputy Sheriff FTEs., or zero point sixty-nine (.69) FTE per 1,000 population (based on a population of 2,915).

Schedule:

Fiscal Period	Deputy Sheriff FTE
2001/2002	2.0
2002/2003	2.5
2003/2004	3.0
2004/2005	3.0
2005/2006	3.0

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed on their behalf by their duly authorized representatives on the dates indicated under their signature on this page.

MULTNOMAH COUNTY, OREGON

CITY OF WOOD VILLAGE

By _____
Dan Noelle, Sheriff

By _____
David Fuller, Mayor

Date _____

Date _____

By _____
Sheila M. Ritz, City Administrator

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Bill Farver Interim Chair

Date: _____

Reviewed:
Thomas Sponsler, County Counsel
For Multnomah County

Approved as to form:

Jacquie Weber, Assistant County Counsel

Jeffrey Condit, City Attorney

Date _____

Date: _____

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

Pre-approved Contract Boilerplate (with County Counsel signature) ☐ Attached ☐ Not Attached Contract #: 0010303
Amendment #: 2

CLASS I	CLASS II	CLASS III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input checked="" type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input type="checkbox"/> Expenditure <input checked="" type="checkbox"/> Revenue <div style="text-align: center; margin-top: 10px;"> APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # <u>C-8</u> DATE <u>03.07.02</u> DEB BOGSTAD, BOARD CLERK </div>

Department: Sheriff's Office Division: ENF Date: February 12, 2001
 Originator: Chief Deputy Van Dyke Phone: 503-988-4308 Bldg/Rm: 503/350
 Contact: Dave Braaksma Phone: 503-988-4415 Bldg/Rm: 503/350

Description of Contract: Provide general law enforcement services and additional patrols within the corporate limits of Wood Village

RENEWAL: ☐ PREVIOUS CONTRACT #(S): _____

RFP/BID: _____ RFP/BID DATE: _____

EXEMPTION _____ EXEMPTION EXPIRATION _____ ORS/AR _____

#/DATE: _____ DATE: _____ #: _____

CONTRACTOR IS: ☐ MBE ☐ WBE ☐ ESB ☐ QRF ☐ N/A ☐ NONE (Check all boxes that apply)

Contractor <u>City of Wood Village</u>		Remittance address _____	
Address <u>2055 NE 238th Dr.</u>		(If different) _____	
<u>Wood Village, OR 97060-1095</u>			
<u>Sheila M. Ritz, City Coordinator</u>			
Phone <u>667-6211</u>		Payment Schedule / Terms	
Employer ID# or SS# _____		<input type="checkbox"/> Lump Sum \$ _____	<input type="checkbox"/> Due on Receipt
Effective Date <u>July 1, 2002</u>		<input type="checkbox"/> Monthly \$ _____	<input type="checkbox"/> Net 30
Termination Date <u>June 30, 2003</u>		<input checked="" type="checkbox"/> Other \$ _____	<input type="checkbox"/> Other
Original Contract Amount \$ <u>154,224</u>		<input type="checkbox"/> Requirements Not to Exceed \$ _____	
Total Amt of Previous Amendments \$ _____			
Amount of Amendment \$ <u>19,061</u>			
Total Amount of Agreement \$ <u>173,285</u>		Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No	

REQUIRED SIGNATURES:

Department Manager 

Purchasing Manager _____

(Class II Contracts Only) _____

County Counsel 

County Chair 

Sheriff 

Contract Administration _____

(Class I, Class II Contracts only)

DATE 1-30-02

DATE _____

DATE 2/04/02

DATE 3.07.02

DATE 11/31/02

DATE _____

LGFS VENDOR CODE						DEPT REFERENCE					
LINE #	FUND	AGENCY	ORG	SUB ORG	ACTIVITY	OBJ/ REV	SUB OBJ	REP CAT	LGFS DESCRIPTION	AMOUNT	INC DEC
01											
02											
03											

Exhibit A, Rev. 3/25/98 DIST: Originator, Accts Payable, Contract Admin - Original If additional space is needed, attach separate page. Write contract # on top of page.

MULTNOMAH COUNTY GOVERNMENT CONTRACT AMENDMENT
(Renewal of ORS 190 Government Agreement)
CONTRACT NO. 0111023

This is a renewal of Multnomah County Government contract # 0111023 effective July 1, 2001 between the Multnomah County Sheriff's Office, hereinafter referred to as MCSO, and the City of Wood Village hereinafter referred to as CITY.

The parties agree:

1. Contract # 0111023 is renewed for an additional one (1) year period commencing July 1, 2002 and ending June 30, 2003.

2. The following changes are made to Contract No 0111023:

- a) Section 2, **CITY RESPONSIBILITIES**, Subsection E.) shall be changed to read:

The remittance will be equal the cost of two and a half (2.5) patrol officers at the rate of \$171, 851 (based on the salary and benefits for an average Deputy Sheriff in Fiscal Year 2002 – 2003, multiplied by 2.5) In addition, a prorated patrol vehicle at the rate of \$14,736 will be charged for a total cost of \$186,587 less the one year rental of MCSO office space of \$13,302. The total amount to be paid by the CITY for the 2002/2003 contract will be \$173,285.

- b) Section 3, **COUNTY RESPONSIBILITIES**, subsection I.) shall be changed to read:

The MCSO agrees to maintain a satellite patrol office within Wood Village City Hall. The rental cost for the space will be the equivalent of \$1,108.50 per month, to be credited quarterly, for a total of \$13,302 during the 2002/2003 fiscal year and will be adjusted each year by the average rental market rate increase in the greater Gresham area.

3. All other terms and conditions of the contract shall remain the same.
-

IN WITNESS WHEREOF, the parties hereto have caused this Amendment to be executed on their behalf by their duly authorized representatives on the dates indicated under their signature on this page.

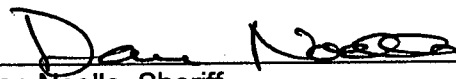
BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Diane M. Linn, Chair

Date: 3.7.02

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # C-8 DATE 03.07.02
DEB BOGSTAD, BOARD CLERK

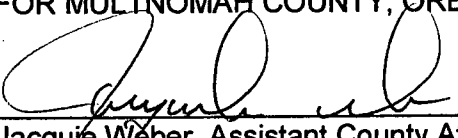
MULTNOMAH COUNTY, OREGON


Dan Noelle, Sheriff

Date: 2-21-02

REVIEWED:

THOMAS SPONSLE, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON


Jacquie Weber, Assistant County Attorney

Date: 2/4/02

CITY OF WOOD VILLAGE

David Fuller, Mayor

Date: _____

Sheila M. Ritz, City Administrator

APPROVED AS TO FORM:

CITY OF WOOD VILLAGE

Jeffrey Condit, City Attorney

Date: _____

MEETING DATE: March 7, 2002
AGENDA NO: C-9
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Retroactive renewal of Centennial School District Revenue Agreement for Children's Mental Health Partnership.

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Next Available
AMOUNT OF TIME NEEDED: Consent

DEPARTMENT: County Human Services DIVISION: Managed Care
CONTACT: James McConnell/Rita Rathkey TELEPHONE #: (503) 988-3999, ext. 26466
BLDG/ROOM #: 166/5

PERSON(S) MAKING PRESENTATION: _____ N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Retroactive Renewal of Revenue Agreement 0210003 with Centennial School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002

03.08.02 originals to Alicia Boris
SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: James McConnell

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Department of County Human Services
MULTNOMAH COUNTY OREGON

421 SW Sixth – Seventh Floor
Portland OR 97216-1618
(503) 988-3691 phone
(503) 988-3379 fax

TO: Board of County Commissioners

FROM: James McConnell, Interim Director
Department of County Human Services

DATE: February 22, 2002

SUBJECT: Retroactive Intergovernmental Revenue Agreement between Department of
County Human Services and Centennial School District

I. Recommendation/Retroactive Status: The Department of County Human Services recommends Board of County Commissioner approval of the revenue agreement with the Centennial School District, for the period July 1, 2001 through June 30, 2002. This agreement is retroactive due to a delay in finalizing the language pending clarification by the State and the County Attorney regarding legal restrictions on the use of the funding.

II. Background/Analysis: The Department of County Human Services is entering into a revenue agreement with the Centennial School District. The Agreement pays for mental health services to high-risk children served through the Children's Mental Health Partnership. The Partnership members consist of Portland Public School District, Centennial School District, Gresham-Barlow School District, and Multnomah County Department of County Human Services, and other members which may be added during the year which are in agreement with the vision, values and mission of the PARTNERSHIP.

III. Financial Impact: The Agreement is for \$60,000 for the period July 1, 2001 through June 30, 2002. The funds are included in the Department budget.

IV. Legal Issues: none

V. Controversial Issues: none

VI. Link to Current County Policies: This agreement supports activities to strengthen families, reduce the potential for crime, and increase intergovernmental cooperation and coordination. It also addresses the Urgent County Benchmark; and provides mental health services to those citizens in Multnomah County in need of such services.

VII. Citizen Participation: N/A

VIII. Other Government Participation: The agreement represents an ongoing intergovernmental, cooperative project to support families.

ATTACHMENT B
DRAFT
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

The child must be under 21 and meet all of the eligibility criteria for enrollment into the Children's Mental Health Partnership Care Coordination Services as defined below.

- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's

Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

c. If under extraordinary circumstances the Board approves by consensus,.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedure CON-1)

Contract #: 0210003

Pre-approved Contract Boilerplate (with County Counsel signature) ☐ Attached ☒ Not Attached

Amendment #: 0

Class I	Class II	Class III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input type="checkbox"/> Expenditure <input checked="" type="checkbox"/> Revenue APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # C-9 DATE 03-07-02 DEB BOGSTAD, BOARD CLERK

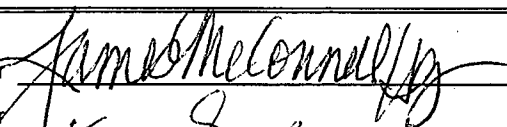
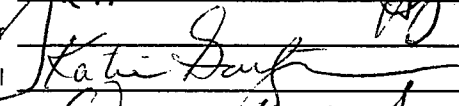
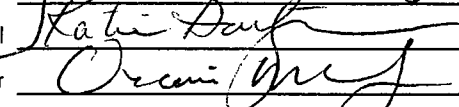
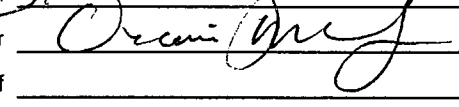
Department:	County Human Services	Division:	Behavioral Health	Date:	February 22, 2002
Originator:	Rita Rathkey	Phone:	26466	Bldg/Rm:	166/5
Contact:	Alicia Boris	Phone:	29807	Bldg/Rm:	166/5

Description of Contract **Provides Mental Health services revenue for children served through the Children's Mental Health Partnership**

RENEWAL: <input checked="" type="checkbox"/>	PREVIOUS CONTRACT #(S):	0110421
RFP/BID: N/A	RFP/BID DATE:	
EXEMPTION	EXEMPTION EXPIRATION	ORS/AR
#/DATE:	DATE:	#:
CONTRACTOR IS: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> ESB <input type="checkbox"/> QRF <input checked="" type="checkbox"/> N/A <input type="checkbox"/> NONE (Check all boxes that apply)		

Contractor	Centennial School District	
Address	18135 SE Brooklyn Street	Remittance Address
	Portland OR 97236	(If different)
Phone	503.760.7990	Payment Schedule / Terms
Employer ID# or SS#	93-600847	<input type="checkbox"/> Lump Sum \$ <input type="checkbox"/> Due on Receipt
Effective Date	July 1, 2001	<input checked="" type="checkbox"/> Monthly \$ Quarterly <input type="checkbox"/> Net 30
Termination Date	June 30, 2002	<input type="checkbox"/> Other \$ <input type="checkbox"/> Other
Original Contract Amount \$	\$60,000.00	
Total Amt of Previous Amendments \$	0	<input type="checkbox"/> Requirements \$
Amount of Amendment \$	0	
Total Amount of Agreement \$	\$60,000.00	Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No

REQUIRED SIGNATURES

Department Manager		DATE	2/22/02
Purchasing Manager		DATE	
County Counsel		DATE	2/22/02
County Chair		DATE	3-7-02
Sheriff		DATE	
Contract Administration		DATE	

SAP CUSTOMER NUMBER: 300057		DEPT REFERENCE
LINE #	WBS #	
01	CAAP.PAR.CAS	
02	CAAP.ADM.MGCR.CAS	

INTERGOVERNMENTAL AGREEMENT

THIS is an Agreement between Centennial School District, and

Multnomah County Department of County Human Services (formerly Department of Community and Family Services)
421 SW 6th, 7th Floor (Administrative Office)
Portland, OR 97204, **hereafter called COUNTY**

pursuant to authority in ORS Chapter 190.

PURPOSE: The purpose of this agreement is to fund mental health services through the Children's Mental Health Partnership (PARTNERSHIP).

The parties agree as follows:

1. **TERM** The term of this agreement shall be from July 1, 2001 to June 30, 2002.
2. **RESPONSIBILITIES OF COUNTY** In fulfillment of its services responsibilities under this Agreement, COUNTY shall:
 - A. COUNTY Services Responsibilities
 - 1) Assist in identifying children eligible for PARTNERSHIP fund services. Eligible children are those under 21 who meet the following criteria:
 - a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
 - b. The child is residing in one of the funding school districts or falls under the exception criteria described in Attachment B #5.
 - c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
 - d. The child is experiencing multiple problems.
 - e. The child has significant mental health needs.
 - f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
 - g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
 - h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.
 - 2) Screen referred children for appropriateness for service using established criteria and a team composed of representatives from participating agencies.
 - 3) Develop a plan of care based on individual treatment service needs through the use of an inter-agency service planning team.

- 4) Arrange, authorize, and coordinate services based on each child's individual plan. The services will be authorized and coordinated by a managed care coordinator employed by COUNTY or a participating PARTNERSHIP agency.
- 5) Pursuant to 3 and 4 above, pay when appropriate, for mental health rehabilitative services provided as part of the plan of care. Services provided shall include traditional behavioral health rehabilitative services as well as non-traditional flexible wrap-around services. Services may include but are not limited to: evaluation, therapeutic school, supported classroom, stabilization classroom, respite care, intensive family-based treatment services, outpatient treatment, skill training and individualized mental health services. Educational services that are not related to mental health, substance abuse treatment and residential and inpatient treatments are excluded from the scope of this Agreement.

B. COUNTY Administrative Responsibilities

In fulfillment of its administrative responsibilities, COUNTY shall:

- 1) Manage the blended pool of funds under the direction of PARTNERSHIP Board.
- 2) Collect and provide to Partner agencies data to:
 - a) identify clients served by that agency;
 - b) provide relevant demographic and other information on clients served;
 - c) provide reports on services and cost of services provided to clients served by that agency;
 - d) enable the agency to assess the costs and benefits of the model of care as part of the overall goals of the agency; and
 - e) provide other evaluative reports and information requested by PARTNERSHIP agencies.
- 3) Provide monthly fiscal and quarterly service reports on funds expended and services provided through the blended funds pool.
- 4) Beginning July 2001, The COUNTY shall appoint a PARTNERSHIP Coordinator who will be supervised directly by the Administrator of Child and Adolescent Mental Health Services of the Department of County Human Services.

Within the limits available time, the Coordinator will:

- a) staff PARTNERSHIP Board as an inter-agency collaboration and service system integration project;
 - b) staff the Board's Executive, Finance & Evaluation and Service Integration committees and such ad hoc committees as may be established by the Board and;
 - c) exercise day-to-day operational responsibilities for coordinating management of PARTNERSHIP blended funds; and
 - d) perform other duties as are identified by PARTNERSHIP Board for achieving and
- 5) Work with PARTNERSHIP agencies to improve and upgrade information collection and develop and implement process and outcome measures.
- 6) Provide fiscal analysis and reporting, and devote technical and analytic services and staff to maintain and further develop an overall information system for financial service, and other evaluation reports for PARTNERSHIP.

- 7) Manage implementation of an evaluation design paid for with designated PARTNERSHIP funds under the direction of PARTNERSHIP Board.

3. RESPONSIBILITIES OF CONTRACTOR

DISTRICT Responsibilities

- a. DISTRICT will appoint at least one district representative to participate as a member of PARTNERSHIP Board, and a representative of the DISTRICT will participate in all meetings of the Board's Finance & Evaluation Committee.
- b. DISTRICT will participate as an active member in PARTNERSHIP model of care, including provisions of a dedicated care manager as appropriate, active participation in the development of plans of care, and integrating sources of funding and services.
- c. DISTRICT will participate, as requested by COUNTY, in service plan discussions for any enrolled child.
- d. DISTRICT will actively plan for and implement data gathering and evaluation measures as jointly agreed by PARTNERSHIP.
- e. DISTRICT agrees to pay COUNTY a total sum of \$60,000 upon execution of the contract or in accordance with a mutually agreed upon schedule, to be managed in accordance with the Criteria for Use of Blended funds (Attachment B) or otherwise as directed by PARTNERSHIP's Board.
- f. DISTRICT will carry over any unexpended funds from this contract to Fiscal Year 2002-2003 for expenditure on designated purpose as directed by the PARTNERSHIP Board.

4. RESPONSIBILITIES OF THE PARTNERSHIP

DESCRIPTION OF SERVICES. This agreement addresses mutual obligations of the parties to provide services to children and youth with mental health needs through a system of care model under the auspices of Multnomah County's Children's Mental Health Partnership; **hereafter called PARTNERSHIP.**

PARTNERSHIP consists of Centennial School District, Gresham-Barlow School District, Multnomah County's Department of County Human Services, Multnomah County Department of Community Justice, Portland Public Schools, the State Office of Services to Children and Families, and such members which may be added during the year which are in agreement with the vision, values and mission of PARTNERSHIP (Attachment A).

PARTNERSHIP will provide leadership in planning for, and delivery of services to, children and youth with mental health needs and their families using a system of care model. A primary aim of PARTNERSHIP is to implement and provide a system of care that provided appropriate services to all children, youth, and families meeting criteria for services and accepted by the PARTNERSHIP, regardless of family circumstances or insurance status.

PARTNERSHIP's goals and strategies are to:

- 1) Provide collaborative leadership in efforts to reform the local system of care for children and youth with mental health needs who live in Multnomah County and their families, by:

- a) identifying unmet needs, gaps, and areas for improvement in the local system of care for delivery of services to children and youth with mental health needs and their families;
 - b) advocating for funding, public policies, and agency practices which effectively respond to these unmet needs, service gaps and areas for improvement;
 - c) supporting innovative and integrated approaches to serving children and youth with mental health needs who are at risk of needing services and serving children and youth with the highest mental health needs in our community and their families.
- 2) Align effectively the systems of care for child welfare, education, health, juvenile justice and mental health services which are available to insured and uninsured children and youth with mental health needs who are at high risk of needing services, as well as align services which are provided to insured and uninsured children, and youth with the highest mental health needs in our community and their families through:
- a) interagency collaboration and the use of managed care technologies across juvenile systems, to ensure appropriate access to, cost of, and quality in the delivery of services to children and youth and their families;
 - b) coordination of funding streams, staffing and other resources among service systems to ensure coordination of care to all clients served, regardless of financial status or funding source, and to reduce duplication of services; and
 - c) integration in the delivery of prevention and intervention services that are provided to children and youth with mental health needs and their families, including the increasing use of single, individualized plans of care, coordinated case management and flexible service approaches to reduce the fragmentation of care.
- 3) Create and jointly manage a blended pool of funds contributed by PARTNERSHIP members by:
- a) providing intensive case management and "wrap-around" services to uninsured and underinsured children and youth who are involved with multiple service systems and who have the highest mental health needs in our community;
 - b) filling gaps in the local system of care for children and youth with mental health needs and their families; and
 - c) leveraging these funds to; 1) achieve other cooperative efforts among services systems for reforming the local system of care for children and youth with mental health needs and their families, and; 2) for responding to unmet needs, service gaps and areas of improvement in the delivery or provision of services to children and youth with the highest mental health needs in our community.

In addition, PARTNERSHIP has identified these developmental objectives for Fiscal Year 2001-2002:

- 1) Engage other appropriate partner agencies with an interest in aligning services for children and youth with mental health needs and seek additional public and private

funding for service system reform and alignment (e.g. drug and alcohol, health care, inpatient services, and residential treatment).

- 2) Explore opportunities to further align and integrate the service system for high risk children and youth with the highest mental health needs in our community, including exploration of opportunities for partnerships with providers of residential treatment services, in order to achieve better service delivery systems, cost containment, and performance-based contracts.
- 3) Evaluate the effectiveness of the system of care, including the provision of ongoing information to Partner agencies and the establishment of systems for regular collection of evaluation information (e.g. on outcome and satisfaction).

In addition, PARTNERSHIP has identified these developmental objectives for 2001-2002:

- 1) Engage other appropriate partner agencies with an interest in aligning services for children and youth with mental health needs and seek additional public and private funding for service system reform and alignment (e.g. drug and alcohol, health care, inpatient services, and residential treatment).
- 2) Explore opportunities to further align and integrate the service system for high risk children and youth with the highest mental health needs in our community, including exploration of opportunities for partnerships with providers of residential treatment services, in order to achieve better service delivery systems, cost containment, and performance-based contracts.
- 3) Evaluate the effectiveness of the system of care, including the provision of ongoing information to Partner agencies and the establishment of systems for regular collection of evaluative information (e.g. on outcome and satisfaction).

PARTNERSHIP will be evaluated on its success in strengthening community-based services available to children and youth with mental health needs and their families in order to: a) maximize the potential for serving more children in the least restrictive setting (home, neighborhood, school, community), b) serve more children in need, and c) improve outcomes for children and families.

5. TERMINATION

- A. This contract may be terminated by mutual consent of both parties or by either party upon thirty (30) days notice in writing and delivered by certified mail or in person.
- B. Termination under any provision of this paragraph shall not affect any right, obligation, or liability of CONTRACTOR or liability of CONTRACTOR or COUNTY which accrued prior to termination.

6. **INDEMNIFICATION** Subject to the conditions and limitations of the Oregon Constitution and the Oregon Tort claims Act, ORS 30.260 through 30.300, County shall indemnify, defend and hold harmless DISTRICT from and against all liability, loss and costs arising out of or resulting from the acts of County, its officers, employees and agents in the performance of this agreement. Subject to the conditions and limitations of the Oregon Constitution and the monetary limits of the Oregon Tort Claims Act, ORS 30.260 through 30.300 DISTRICT shall indemnify, defend and hold harmless County from and against all liability, loss and costs arising out of or resulting

from the acts of CONTRACTOR, its officers, employees and agents in the performance of this agreement.

7. **INSURANCE** Each party shall each be responsible for providing worker's compensation insurance as required by law. Neither party shall be required to provide or show proof of any other insurance coverage.
8. **ADHERENCE TO LAW** Each party shall comply with all federal, state and local laws and ordinances applicable to this agreement.
9. **NON-DISCRIMINATION** Each party shall comply with all requirements of federal and state civil rights and rehabilitation statutes and local non-discrimination ordinances.
10. **ACCESS TO RECORDS** Each party shall have access to the books, documents and other records of the other which are related to this agreement for the purpose of examination, copying and audit, unless otherwise limited by law.
11. **SUBCONTRACTORS AND ASSIGNMENT** Neither party will subcontract or assign any part of this agreement without the written consent of the other party.
12. **THIS IS THE ENTIRE AGREEMENT** This Agreement constitutes the entire Agreement between the parties. This Agreement consists of this contract document, the attached Conditions of Contract, Attachment A, and Attachment B. This Agreement may be modified or amended only by the written agreement of the parties

MULTNOMAH COUNTY, OREGON

CENTENNIAL SCHOOL DISTRICT

BY *James McConnelley* 2/22/02
 Director of Dept. County Human Services Date

BY _____
 Agency Authorized Signer Date

 (Print Name)

BY *Diane M. Linn* 03-07-02
 Diane M. Linn, Chair Date
 Board of County Commissioners

 Title

REVIEWED:
 THOMAS SPONSLER, County Attorney
 for Multnomah County, Oregon

BY *Katie Dahl* 2/22/02
 Date

APPROVED MULTNOMAH COUNTY
 BOARD OF COMMISSIONERS
 AGENDA # C-9 DATE 03-07-02
 DEB BOGSTAD, BOARD CLERK

ATTACHMENT A

MULTNOMAH COUNTY CHILDREN'S MENTAL HEALTH PARTNERSHIP VISION, VALUES, MISSION, GOALS, STRATEGIES AND COLLABORATION

VISION

A Community which meets the mental health needs of all children and youth through collaborative, family centered and individualized services.

VALUES

We value services that are:

- ❖ Collaborative in coordinating resources and integrating delivery of services among systems
- ❖ Community-based
- ❖ Comprehensive
- ❖ Culturally appropriate
- ❖ Family centered in recognizing parents as full partners in planning and decision making
- ❖ Individualized to address the unique needs of the individual child or youth and family
- ❖ Protective of the rights and safety of children and youth
- ❖ Provided in the least restrictive setting
- ❖ Sensitive to transition issues

MISSION

The mission of the Multnomah County Children's Mental Health Partnership is to develop a **coalition of public and private funding organizations and family advocacy groups** from child welfare, education, health, juvenile justice and mental health that are committed to a vision of collaborative, family centered and individualized services for children and youth with mental health needs and their families. The Partnership shares beliefs in common that accomplishing this vision will require: interagency **collaboration** to more effectively align child welfare, education, health, juvenile justice and mental health systems of care; **coordination of funding streams, staffing and other resources** amount services systems; and **integration in the delivery of prevention and intervention services** provided to children and youth with mental health needs and their families.

GOALS AND STRATEGIES

The goals and strategies of the Children's Mental Health partnership are to:

1. Provide collaborative leadership in efforts to reform the local system of care for children and youth with mental health needs who live in Multnomah County and their families through:
 - a) Identifying unmet needs, gaps in the local system of care and areas for improvement in the delivery or provision of services to children and youth with mental health needs and their families;
 - b) Advocating for funding, public policies and organization practices which effectively respond to these unmet needs, service gaps and area for improvement;
 - c) Support innovative and integrated approaches to serving children and youth, with mental health needs who are at high risk for needs and services, and to serving children and youth with the highest mental health needs in our community and their families.
2. Effectively align the service system for child welfare, education, health, juvenile justice and mental health which are available to insured and uninsured children and youth with mental health needs who are at high risk of needing services, as well as align services which are

provided to insured and uninsured children and youth with the highest mental health needs in our community and their families, through:

- a) Interagency collaboration and the use of managed care technologies across service systems, to ensure appropriate access to services, cost of services and quality in the delivery of services to children and youth and their families;
 - b) Coordination of funding streams, staffing and other resources amount service systems; and
 - c) Integration in the delivery of prevention and intervention services that are provided to children and youth with mental health needs and their families, including the increasing use of single, individualized plans of care, coordinated case management and flexible service approaches to reduce the fragmentation of care.
3. Create and jointly manage a braided pool of funds contributed by Partnership members, through:
- a) Providing intensive case management and wraparound services to uninsured and underinsured children and youth who are involved with multiple service systems and who have the highest mental health needs in our community;
 - b) Filling gaps in the local system of care for children and youth who have mental health needs and their families; and
 - c) Leveraging these funds 1) to achieve other cooperative efforts among service systems for reforming the local system of care for children and youth with mental health needs and their families, and 2) for responding to unmet needs, service gaps and areas for improvement in the delivery or provision of services to children and youth with mental health needs who are at high risk of needing services, as well as to children and youth with the highest mental health needs in our community and their families.

COLLABORATION

Collaborative decisions for the Children's Mental Health Partnership will be made through a Partnership Board. Compliance with Board decisions will be voluntary, but will be encouraged.

The Partnership Board will consist of representatives of those public and private funding organizations and family advocacy groups from child welfare, education, health, juvenile justice and mental health which are in agreement with the vision, values and mission of the Partnership.

The Partnership Board will operate under the sponsorship and will support the Local Mental Health Authority for Multnomah County.

The Partnership Board will be sanctioned by its members to carry out the identified goals and strategies of the Children's Mental Health Partnership.

The Partnership Board will determine its by-laws, membership criteria and operating procedures, and will elect its own officers.

The Partnership Board will elect a Chair who will act as the spokesperson for the Board.

The Partnership Board will have no less than three subcommittees:

A Service Integration Committee which is charged with implementation of Goal 2;

A Finance and Evaluation Committee which is charged with implementation of Goal 3;

An Executive Committee which will include the Board Chair and the Chairs of the other subcommittees, and which will plan meeting agendas;

And other ad hoc and on-going subcommittees as may be established by the Board.

Decision making will be by consensus whenever possible, otherwise by a vote of member organizations.

**ATTACHMENT B
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS**

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

The child must be under 21 and meet all of the eligibility criteria for enrollment into the Children's Mental Health Partnership Care Coordination Services as defined below.

- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's Mental Health Partnership, the Partnership

Board will review on a case by case basis and approve or disapprove continued funding.

- b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.
- c. If under extraordinary circumstances the Board approves by consensus.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

MEETING DATE: March 7, 2002
AGENDA NO: C-10
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Retroactive renewal of Gresham Barlow School District Revenue Agreement for Children's Mental Health Partnership

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____ Next Available
AMOUNT OF TIME NEEDED: _____ Consent

DEPARTMENT: County Human Services DIVISION: Managed Care

CONTACT: James McConnell/Rita Rathkey TELEPHONE #: (503) 988-3999, ext. 26466
BLDG/ROOM #: 166/5

PERSON(S) MAKING PRESENTATION: _____ N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Retroactive Renewal of Revenue Agreement 0210001 with Gresham Barlow School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002

03.08.02 ORIGINALS to Alicia Boeris

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: James McConnell

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Department of County Human Services
MULTNOMAH COUNTY OREGON

421 SW Sixth – Seventh Floor
Portland OR 97216-1618
(503) 988-3691 phone
(503) 988-3379 fax

TO: Board of County Commissioners

FROM: James McConnell, Interim Director
Department of County Human Services

DATE: February 22, 2002

SUBJECT: Retroactive Intergovernmental Revenue Agreement between Department of
County Human Services and Gresham Barlow School District

I. Recommendation/Retroactive Status: The Department of County Human Services recommends Board of County Commissioner approval of the revenue agreement with the Gresham Barlow School District, for the period July 1, 2001 through June 30, 2002. This agreement is retroactive due to a delay in finalizing the language pending clarification by the State and the County Attorney regarding legal restrictions on the use of the funding.

II. Background/Analysis: The Department of County Human Services is entering into a revenue agreement with the Gresham Barlow School District. The Agreement pays for mental health services to high-risk children served through the Children's Mental Health Partnership. The Partnership members consist of Portland Public School District, Centennial School District, Gresham-Barlow School District, and Multnomah County Department of County Human Services, and other members which may be added during the year which are in agreement with the vision, values and mission of the PARTNERSHIP.

III. Financial Impact: The Agreement is for \$100,000 for the period July 1, 2001 through June 30, 2002. The funds are included in the Department budget.

IV. Legal Issues: none

V. Controversial Issues: none

VI. Link to Current County Policies: This agreement supports activities to strengthen families, reduce the potential for crime, and increase intergovernmental cooperation and coordination. It also addresses the Urgent County Benchmark; and provides mental health services to those citizens in Multnomah County in need of such services.

VII. Citizen Participation: N/A

VIII. Other Government Participation: The agreement represents an ongoing intergovernmental, cooperative project to support families.

ATTACHMENT B
DRAFT
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

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- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's

Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

c. If under extraordinary circumstances the Board approves by consensus,.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

(See Administrative Procedure CON-1)

Amendment #: 0

Amendment #: 0

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INTERGOVERNMENTAL AGREEMENT

This is an Agreement between Gresham-Barlow School District (DISTRICT) and

Multnomah County Department of County Human Services
421 SW 6th, 7th Floor (Administrative Office)
Portland, OR 97204, **hereafter called COUNTY**

pursuant to authority granted in ORS Chapter 190.

PURPOSE: The purpose of this agreement is to fund mental health services through the Children's Mental Health Partnership (PARTNERSHIP).

The parties agree as follows:

1. **TERM** The term of this agreement shall be from July 1, 2001 to June 30, 2002.
2. **RESPONSIBILITIES OF COUNTY** In fulfillment of its service responsibilities under this Agreement, COUNTY shall:

A. COUNTY Service Responsibilities

- 1) Assist in identifying children eligible for PARTNERSHIP fund services. Eligible children are those under 21 who meet the following criteria:
 - a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
 - b. The child is residing in one of the funding school districts or falls under the exception criteria described in Attachment B #5.
 - c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
 - d. The child is experiencing multiple problems.
 - e. The child has significant mental health needs.
 - f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
 - g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
 - h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.
- 2) Screen referred children for appropriateness for service using established criteria and a team composed of representatives from participating agencies.
- 3) Develop a plan of care-based on individual treatment and service needs through the use of an inter-agency service planning team.;

- 4) Arrange, authorize, and coordinate services based on each child's individual plan. The services will be authorized and coordinated by a managed care coordinator employed by COUNTY or a participating PARTNERSHIP agency.
- 5) Pursuant to 3 and 4 above, pay, when appropriate, for mental health rehabilitative services provided as part of the plan of care. Services provided shall include traditional behavioral health rehabilitative services as well as non-traditional flexible wrap-around services. Services may include but are not limited to: evaluation, therapeutic school, supported classroom, stabilization classroom, respite care, intensive family-based treatment services, outpatient treatment, skill training and individualized mental health services. Educational services that are not related to mental health, substance abuse treatment and residential and inpatient treatments are excluded from the scope of this Agreement.

B. COUNTY Administrative Responsibilities

In fulfillment of its administrative responsibilities, COUNTY shall:

- 1) Manage the blended pool of funds under the direction of PARTNERSHIP Board.
- 2) Collect and provide to Partner agencies data to:
 - a) identify clients served by that agency;
 - b) provide relevant demographic and other information on clients served;
 - c) provide reports on services and cost of services provided to clients served by that agency;
 - d) enable the agency to assess the costs and benefits of the model of care as part of the overall goals of the agency; and
 - e) provide other evaluative reports and information requested by PARTNERSHIP agencies.
- 3) Provide monthly fiscal and quarterly service reports on funds expended and services provided through the blended funds pool.
- 4) Beginning July, 2001, The COUNTY shall appoint a PARTNERSHIP Coordinator who will be supervised directly by the Administrator of Child and Adolescent Mental Health Services of the Department of County Human Services.

Within the limits of available time, the Coordinator will:

- a) staff PARTNERSHIP Board as an inter-agency collaboration and service system integration project;
- b) staff the Board's Executive, Finance & Evaluation and Service Integration committees and such ad hoc committees as may be established by the Board; and
- c) exercise day-to-day operational responsibility for coordinating management of PARTNERSHIP blended funds; and
- d) perform other duties as are identified by PARTNERSHIP Board for achieving the goals and objectives of PARTNERSHIP.

- 5) Work with PARTNERSHIP agencies to improve and upgrade information collection and develop and implement process and outcome measures.
- 6) Provide fiscal analysis and reporting, and devote technical and analytic services and staff to maintain and further develop an overall information system for financial, service, and other evaluative reports for PARTNERSHIP.
- 7) Manage implementation of an evaluation design paid for with designated PARTNERSHIP funds under the direction of PARTNERSHIP Board.

3. RESPONSIBILITIES OF CONTRACTOR

DISTRICT Responsibilities

- a. DISTRICT will appoint at least one district representative to participate as a member of PARTNERSHIP Board, and a representative of the DISTRICT will participate in all meetings of the Board's Finance & Evaluation Committee.
- b. DISTRICT will participate as an active member in PARTNERSHIP model of care, including provision of a dedicated care manager as appropriate, active participation in the development of plans of care, and integrating sources of funding and services.
- c. DISTRICT will participate, as requested by COUNTY, in service plan discussions for any enrolled child.
- d. DISTRICT will actively plan for and implement data gathering and evaluation measures as jointly agreed by PARTNERSHIP.
- e. DISTRICT agrees to pay COUNTY a total sum of \$100,000 upon execution of the contract or in accordance with a mutually agreed upon schedule, to be managed in accordance with the Criteria for Use of Blended funds (Attachment B) or otherwise as directed by PARTNERSHIP's Board.
- f. DISTRICT will carry over any unexpended funds from this contract to Fiscal Year 2002-2003 for expenditure on designated purposes as directed by the PARTNERSHIP Board.

4. RESPONSIBILITIES OF THE PARTNERSHIP

DESCRIPTION OF SERVICES. This agreement addresses mutual obligations of the parties to provide services to children and youth with mental health needs through a system of care model under the auspices of Multnomah County's Children's Mental Health Partnership, hereafter called PARTNERSHIP.

PARTNERSHIP consists of Centennial School District, Gresham-Barlow School District, Multnomah County's Department of County Human Services, Multnomah County Department of Community Justice, Portland Public Schools, the State Office of Services to Children and Families, and such members which may be added during the year which are in agreement with the vision, values and mission of PARTNERSHIP (Attachment A).

PARTNERSHIP will provide leadership in planning for, and delivery of services to, children and youth with mental health needs and their families using a system of care model. A primary aim of PARTNERSHIP is to implement and provide a system of care that provides appropriate services to all children, youth, and families meeting criteria for service and accepted by the PARTNERSHIP, regardless of family circumstances or insurance status.

PARTNERSHIP's goals and strategies are to:

- 1) Provide collaborative leadership in efforts to reform the local system of care for children and youth with mental health needs who live in Multnomah County and their families, by:
 - a) identifying unmet needs, gaps, and areas for improvement in the local system of care for delivery of services to children and youth with mental health needs and their families;
 - b) advocating for funding, public policies, and agency practices which effectively respond to these unmet needs, service gaps and areas for improvement;
 - c) supporting innovative and integrated approaches to serving children and youth with mental health needs who are at risk of needing services and serving children and youth with the highest mental health needs in our community and their families.
- 2) Align effectively the systems of care for child welfare, education, health, juvenile justice and mental health services which are available to insured and uninsured children and youth with mental health needs who are at high risk of needing services, as well as align services which are provided to insured and uninsured children, and youth with the highest mental health needs in our community and their families through:
 - a) interagency collaboration and the use of managed care technologies across juvenile systems, to ensure appropriate access to, cost of, and quality in the delivery of services to children and youth and their families;
 - b) coordination of funding streams, staffing and other resources among service systems to ensure coordination of care to all clients served, regardless of financial status or funding source, and to reduce duplication of services; and
 - c) integration in the delivery of prevention and intervention services that are provided to children and youth with mental health needs and their families, including the increasing use of single, individualized plans of care, coordinated case management and flexible service approaches to reduce the fragmentation of care.
- 3) Create and jointly manage a blended pool of funds contributed by PARTNERSHIP members, by:

- a) providing intensive case management and "wrap-around" services to uninsured and underinsured children and youth who are involved with multiple service systems and who have the highest mental health needs in our community;
- b) filling gaps in the local system of care for children and youth with mental health needs and their families; and
- c) leveraging these funds to; 1) achieve other cooperative efforts among service systems for reforming the local system of care for children and youth with mental health needs and their families, and; 2) for responding to unmet needs, service gaps and areas for improvement in the delivery or provision of services to children and youth who are at high risk of needing services as well as to children and youth with the highest mental health needs in our community.

In addition, PARTNERSHIP has identified these developmental objectives for Fiscal Year 2001-2002:

- 1) Engage other appropriate partner agencies with an interest in aligning services for children and youth with mental health needs and seek additional public and private funding for service system reform and alignment (e.g. drug and alcohol, health care, inpatient services, and residential treatment).
- 2) Explore opportunities to further align and integrate the service system for high risk children and youth with the highest mental health needs in our community, including exploration of opportunities for partnerships with providers of residential treatment services, in order to achieve better service delivery systems, cost containment, and performance-based contracts.
- 3) Evaluate the effectiveness of the system of care, including the provision of ongoing information to Partner agencies and the establishment of systems for regular collection of evaluative information (e.g. on outcome and satisfaction).

PARTNERSHIP will be evaluated on its success in strengthening community-based services available to children and youth with mental health needs and their families in order to: a) maximize the potential for serving more children in the least restrictive setting (home, neighborhood, school, community), b) serve more children in need, and c) improve outcomes for children and families.

5. TERMINATION

A. This contract may be terminated by mutual consent of both parties or by either party upon thirty (30) days notice in writing and delivered by certified mail or in person.

B. Termination under any provision of this paragraph shall not affect any right, obligation, or liability of CONTRACTOR or liability of CONTRACTOR or COUNTY which accrued prior to termination.

6. **INDEMNIFICATION** Subject to the conditions and limitations of the Oregon Constitution and the Oregon Tort Claims Act, ORS 30.260 through 30.300, County shall indemnify, defend and

hold harmless DISTRICT from and against all liability, loss and costs arising out of or resulting from the acts of County, its officers, employees and agents in the performance of this agreement. Subject to the conditions and limitations of the Oregon Constitution and the monetary limits of the Oregon Tort Claims Act, ORS 30.260 through 30.300 DISTRICT shall indemnify, defend and hold harmless County from and against all liability, loss and costs arising out of or resulting from the acts of CONTRACTOR, its officers, employees and agents in the performance of this agreement.

7. **INSURANCE** Each party shall each be responsible for providing worker's compensation insurance as required by law. Neither party shall be required to provide or show proof of any other insurance coverage.

8. **ADHERENCE TO LAW** Each party shall comply with all federal, state and local laws and ordinances applicable to this agreement.

9. **NON-DISCRIMINATION** Each party shall comply with all requirements of federal and state civil rights and rehabilitation statutes and local non-discrimination ordinances.

10. **ACCESS TO RECORDS** Each party shall have access to the books, documents and other records of the other which are related to this agreement for the purpose of examination, copying and audit, unless otherwise limited by law.

11. **SUBCONTRACTS AND ASSIGNMENT** Neither party will subcontract or assign any part of this agreement without the written consent of the other party.

12. **THIS IS THE ENTIRE AGREEMENT** This Agreement constitutes the entire Agreement between the parties. This Agreement consists of this contract document, the attached Conditions of Contract, and Attachment A and Attachment B. This Agreement may be modified or amended only by the written agreement of the parties.

MULTNOMAH COUNTY, OREGON

GRESHAM-BARLOW SCHOOL DISTRICT

By *James McConnelly* 2/22/02
Director Date
Department of County Human Services

By _____ Date

BY *Diane M. Linn* 3.7.02
Diane M. Linn, Chair Date

Title _____

Reviewed:

Katie Linn
TOM SPONSLER, COUNTY COUNSEL
FOR MULTNOMAH COUNTY

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS

AGENDA # C-10 DATE 03.07.02

DEB BOGSTAD, BOARD CLERK

Page 6 of 6

**MULTNOMAH COUNTY CHILDREN'S MENTAL HEALTH PARTNERSHIP VISION,
VALUES, MISSION, GOALS, STRATEGIES AND COLLABORATION**

A Community which meets the mental health needs of all children and youth through collaborative, family centered and individualized services.

We value services that are:

- ❖ Collaborative in coordinating resources and integrating delivery of services among systems
- ❖ Community-based
- ❖ Comprehensive
- ❖ Culturally appropriate
- ❖ Family centered in recognizing parents as full partners in planning and decision making
- ❖ Individualized to address the unique needs of the individual child or youth and family
- ❖ Protective of the rights and safety of children and youth
- ❖ Provided in the least restrictive setting
- ❖ Sensitive to transition issues

The mission of the Multnomah County Children's Mental Health Partnership is to develop a **coalition of public and private funding organizations and family advocacy groups** from child welfare, education, health, juvenile justice and mental health that are committed to a vision of collaborative, family centered and individualized services for children and youth with mental health needs and their families. The Partnership shares beliefs in common that accomplishing this vision will require: **interagency collaboration** to more effectively align child welfare, education, health, juvenile justice and mental health systems of care; **coordination of funding streams, staffing and other resources** amount services systems; and **integration in the delivery of prevention and intervention services** provided to children and youth with mental health needs and their families.

The goals and strategies of the Children's Mental Health partnership are to:

1. Provide collaborative leadership in efforts to reform the local system of care for children and youth with mental health needs who live in Multnomah County and their families through:
 - a) Identifying unmet needs, gaps in the local system of care and areas for improvement in the delivery or provision of services to children and youth with mental health needs and their families;
 - b) Advocating for funding, public policies and organization practices which effectively respond to these unmet needs, service gaps and area for improvement;
 - c) Support innovative and integrated approaches to serving children and youth, with mental health needs who are at high risk for needs and services, and to serving children and youth with the highest mental health needs in our community and their families.
2. Effectively align the service system for child welfare, education, health, juvenile justice and mental health which are available to insured and uninsured children and youth with mental health needs who are at high risk of needing services, as well as align services which are

provided to insured and uninsured children and youth with the highest mental health needs in our community and their families, through:

- a) Interagency collaboration and the use of managed care technologies across service systems, to ensure appropriate access to services, cost of services and quality in the delivery of services to children and youth and their families;
 - b) Coordination of funding streams, staffing and other resources amount service systems; and
 - c) Integration in the delivery of prevention and intervention services that are provided to children and youth with mental health needs and their families, including the increasing use of single, individualized plans of care, coordinated case management and flexible service approaches to reduce the fragmentation of care.
3. Create and jointly manage a braided pool of funds contributed by Partnership members, through:
- a) Providing intensive case management and wraparound services to uninsured and underinsured children and youth who are involved with multiple service systems and who have the highest mental health needs in our community;
 - b) Filling gaps in the local system of care for children and youth who have mental health needs and their families; and
 - c) Leveraging these funds 1) to achieve other cooperative efforts among service systems for reforming the local system of care for children and youth with mental health needs and their families, and 2) for responding to unmet needs, service gaps and areas for improvement in the delivery or provision of services to children and youth with mental health needs who are at high risk of needing services, as well as to children and youth with the highest mental health needs in our community and their families.

COLLABORATION

Collaborative decisions for the Children's Mental Health Partnership will be made through a Partnership Board. Compliance with Board decisions will be voluntary, but will be encouraged.

The Partnership Board will consist of representatives of those public and private funding organizations and family advocacy groups from child welfare, education, health, juvenile justice and mental health which are in agreement with the vision, values and mission of the Partnership.

The Partnership Board will operate under the sponsorship and will support the Local Mental Health Authority for Multnomah County.

The Partnership Board will be sanctioned by its members to carry out the identified goals and strategies of the Children's Mental Health Partnership.

The Partnership Board will determine its by-laws, membership criteria and operating procedures, and will elect its own officers.

The Partnership Board will elect a Chair who will act as the spokesperson for the Board.

The Partnership Board will have no less than three subcommittees:

A Service Integration Committee which is charged with implementation of Goal 2;

A Finance and Evaluation Committee which is charged with implementation of Goal 3;

An Executive Committee which will include the Board Chair and the Chairs of the other subcommittees, and which will plan meeting agendas;

And other ad hoc and on-going subcommittees as may be established by the Board.

Decision making will be by consensus whenever possible, otherwise by a vote of member organizations.

ATTACHMENT B
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

The child must be under 21 and meet all of the eligibility criteria for enrollment into the Children's Mental Health Partnership Care Coordination Services as defined below.

- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's Mental Health Partnership, the Partnership

Board will review on a case by case basis and approve or disapprove continued funding.

- b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.
- c. If under extraordinary circumstances the Board approves by consensus.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

MEETING DATE: March 7, 2002
AGENDA NO: C-11
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Retroactive renewal of Portland Public School District Revenue Agreement for Children's Mental Health Partnership.

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____ Next Available
AMOUNT OF TIME NEEDED: _____ Consent

DEPARTMENT: County Human Services DIVISION: Managed Care

CONTACT: James McConnell/Rita Rathkey TELEPHONE #: (503) 988-3999, ext. 26466
BLDG/ROOM #: 166/5

PERSON(S) MAKING PRESENTATION: _____ N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Retroactive Renewal of Revenue Agreement 0210002 with Portland Public School District, Providing Mental Health Services Revenue for Children Served through the Children's Mental Health Partnership through June 30, 2002

03-08-02 ORIGINALS to Alicia Boris
SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: James McConnell

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Department of County Human Services
MULTNOMAH COUNTY OREGON

421 SW Sixth – Seventh Floor
Portland OR 97216-1618
(503) 988-3691 phone
(503) 988-3379 fax

TO: Board of County Commissioners

FROM: James McConnell, Interim Director
Department of County Human Services

DATE: February 22, 2002

SUBJECT: Retroactive Intergovernmental Revenue Agreement between Department of
County Human Services and Portland Public School District

I. Recommendation/Retroactive Status: The Department of County Human Services recommends Board of County Commissioner approval of the revenue agreement with the Portland Public School District, for the period July 1, 2001 through June 30, 2002. This agreement is retroactive due to a delay in finalizing the language pending clarification by the State and the County Attorney regarding legal restrictions on the use of the funding.

II. Background/Analysis: The Department of County Human Services is entering into a revenue agreement with the Portland Public School District. The Agreement pays for mental health services to high-risk children served through the Children's Mental Health Partnership. The Partnership members consist of Portland Public School District, Centennial School District, Gresham-Barlow School District, and Multnomah County Department of County Human Services, and other members which may be added during the year which are in agreement with the vision, values and mission of the PARTNERSHIP.

III. Financial Impact: The Agreement is for \$422,437 for the period July 1, 2001 through June 30, 2002. The funds are included in the Department budget.

IV. Legal Issues: none

V. Controversial Issues: none

VI. Link to Current County Policies: This agreement supports activities to strengthen families, reduce the potential for crime, and increase intergovernmental cooperation and coordination. It also addresses the Urgent County Benchmark; and provides mental health services to those citizens in Multnomah County in need of such services.

VII. Citizen Participation: N/A

VIII. Other Government Participation: The agreement represents an ongoing intergovernmental, cooperative project to support families.

ATTACHMENT B
DRAFT
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

The child must be under 21 and meet all of the eligibility criteria for enrollment into the Children's Mental Health Partnership Care Coordination Services as defined below.

- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's

Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.

c. If under extraordinary circumstances the Board approves by consensus,.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedure CON-1)

Contract #: 0210002

Pre-approved Contract Boilerplate (with County Counsel signature) ☐ Attached ☒ Not Attached

Amendment #: 0

Class I	Class II	Class III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input type="checkbox"/> Expenditure <input checked="" type="checkbox"/> Revenue RECEIVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS AGENDA # C-11 DATE 03.07.02 DEB STASTAD, BOARD CLERK

Department: Community and Family Services Division: Behavioral Health Date: February 22, 2002
 Originator: Rita Rathkey Phone: 26466 Bldg/Rm: 166/5
 Contact: Alicia Boris Phone: 29807 Bldg/Rm: 166/5

Description of Contract Provides Mental Health services revenue for children served through the Children's Mental Health Partnership

RENEWAL <input checked="" type="checkbox"/>	PREVIOUS CONTRACT #(S) 0110759
RFP/BID: N/A	RFP/BID DATE:
EXEMPTION	EXEMPTION EXPIRATION
#/DATE:	DATE:
CONTRACTOR IS: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> ESB <input type="checkbox"/> QRF <input checked="" type="checkbox"/> N/A <input type="checkbox"/> NONE (Check all boxes that apply)	

Contractor Portland School District Address 531 SE 14th Avenue Portland, OR 97214 Phone 503.916.5840 ext. 356 Employer ID# or SS# 93-600830 Effective Date July 1, 2001 Termination Date June 30, 2002 Original Contract Amount \$ \$422,437.00 Total Amt of Previous Amendments \$ 0 Amount of Amendment \$ 0 Total Amount of Agreement \$ \$422,437.00	Remittance Address _____ (If different) _____ Payment Schedule / Terms <input type="checkbox"/> Lump Sum \$ _____ <input checked="" type="checkbox"/> Monthly \$ <u>Quarterly</u> <input type="checkbox"/> Other \$ _____ <input type="checkbox"/> Requirements \$ _____ Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No
---	---

REQUIRED SIGNATURES

Department Manager <i>James McConnell</i>	DATE <u>2/22/02</u>
Purchasing Manager <i>Kate Gault</i>	DATE _____
County Counsel <i>Chris May</i>	DATE <u>2/22/02</u>
County Chair _____	DATE <u>3.7.02</u>
Sheriff _____	DATE _____
Contract Administration _____	DATE _____

SAP CUSTOMER NUMBER: 300057		DEPT REFERENCE	
LINE #		WBS #	
01	CAAP.PAR.PPS		
02	CAAP.ADM.MGCR.PPS		

REVENUE AGREEMENT

THIS CONTRACT is between Portland School District, hereafter called **DISTRICT**, and

Multnomah County Department of County Human Services (Formerly the Department of Community and Family Services)
421 SW 6th, 7th Floor (Administrative Office)
Portland, OR 97204, hereafter called **COUNTY**

THE PARTIES AGREE:

A. COUNTY Service Responsibilities

In fulfillment of its service responsibilities under this Agreement, COUNTY shall:

- 1) Assist in identifying children eligible for PARTNERSHIP fund services. Eligible children are those under 21 who meet the following criteria:
 - a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
 - b. The child is residing in one of the funding school districts or falls under the exception criteria described in Attachment B #5.
 - c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
 - d. The child is experiencing multiple problems.
 - e. The child has significant mental health needs.
 - f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
 - g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
 - h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.
- 2) Screen referred children for appropriateness for service using established criteria and a team composed of representatives from participating agencies.
- 3) Develop a plan of care-based on individual treatment and service needs through the use of an inter-agency service planning team
- 4) Arrange, authorize, and coordinate services based on each child's individual plan. The services will be authorized and coordinated by a managed care coordinator employed by COUNTY or a participating PARTNERSHIP agency.
- 5) Pursuant to 3 and 4 above, pay, when appropriate, for mental health rehabilitative services provided as part of the plan of care. Services provided shall include traditional behavioral health rehabilitative services as well as non-traditional flexible wrap-around services.

Services may include but are not limited to: evaluation, therapeutic school, supported classroom, stabilization classroom, respite care, intensive family-based treatment services, outpatient treatment, skill training and individualized mental health services. Educational services that are not related to mental health, substance abuse treatment and residential and inpatient treatments are excluded from the scope of this Agreement.

B. COUNTY Administrative Responsibilities

In fulfillment of its administrative responsibilities, COUNTY shall:

- 1) Manage the blended pool of funds under the direction of PARTNERSHIP Board.
- 2) Collect and provide to Partner agencies data to:
 - a) identify clients served by that agency;
 - b) provide relevant demographic and other information on clients served;
 - c) provide reports on services and cost of services provided to clients served by that agency;
 - d) enable the agency to assess the costs and benefits of the model of care as part of the overall goals of the agency; and
 - e) provide other evaluative reports and information requested by PARTNERSHIP agencies.
- 3) Provide monthly fiscal and quarterly service reports on funds expended and services provided through the blended funds pool.
- 4) Beginning July 2001, The COUNTY shall appoint a PARTNERSHIP Coordinator who will be supervised directly by the Administrator of Child and Adolescent Mental Health Services of the Department of County Human Services.

Within the limits of available time, the Coordinator will:

- a) staff PARTNERSHIP Board as an inter-agency collaboration and service system integration project;
 - b) staff the Board's Executive, Finance & Evaluation and Service Integration committees and such ad hoc committees as may be established by the Board; and
 - c) exercise day-to-day operational responsibility for coordinating management of PARTNERSHIP blended funds; and
 - d) perform other duties as are identified by PARTNERSHIP Board for achieving the goals and objectives of PARTNERSHIP.
- 5) Work with PARTNERSHIP agencies to improve and upgrade information collection and develop and implement process and outcome measures.
 - 6) Provide fiscal analysis and reporting, and devote technical and analytic services and staff to maintain and further develop an overall information system for financial, service, and other evaluative reports for PARTNERSHIP.

- 7) Manage implementation of an evaluation design paid for with designated PARTNERSHIP funds under the direction of PARTNERSHIP Board.

C. DISTRICT Responsibilities

- 1) DISTRICT will appoint at least one district representative to participate as a member of PARTNERSHIP Board, and a representative of the DISTRICT will participate in all meetings of the Board's Finance & Evaluation Committee.
- 2) DISTRICT will participate as an active member in PARTNERSHIP model of care, including provision of a dedicated care manager as appropriate, active participation in the development of plans of care, and integrating sources of funding and services.
- 3) DISTRICT will participate, as requested by COUNTY, in service plan discussions for any enrolled child.
- 4) DISTRICT will actively plan for and implement data gathering and evaluation measures as jointly agreed by PARTNERSHIP.

I. COMPENSATION.

- A. DISTRICT agrees to pay COUNTY a total sum of \$422,437 upon execution of the contract or in accordance with a mutually agreed upon schedule, to be managed in accordance with the Criteria for Use of Blended funds (Attachment B) or otherwise as directed by PARTNERSHIP's Board.
- B. Any unexpended funds from this contract shall be carried over to the 2002-2003 fiscal year for expenditure on designated purposes as directed by the PARTNERSHIP Board.

III. TERM. The term of this Agreement shall be from July 1, 2001 through June 30, 2002, unless sooner terminated under the provisions hereof.


IV. CONTRACT DOCUMENTS. This Contract consists of this contract document, the attached Attachment A. Multnomah County Children's Mental Health Partnership Vision, Values, Mission, Goals, Strategies and Collaboration and Attachment A and Attachment B. Children's Mental Health Partnership Criteria for use of Blended Funds.

V. SIGNATURES.

In witness whereof, the parties hereto have caused this Contract to be executed by their authorized officers.

MULTNOMAH COUNTY, OREGON

PORTLAND SCHOOL DISTRICT

BY  2/22/02
Director of Dept. County Date
Human Services

BY _____
Agency Authorized Signer Date

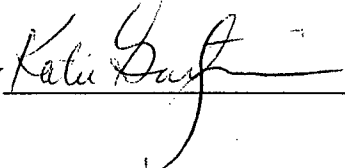
(Please print name)

BY  3.7.02
Diane M. Linn, Chair Date
Board of County Commissioners

Title

REVIEWED:

THOMAS SPONSLER, County Attorney
for Multnomah County, Oregon

BY  2/22/02
Date

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS

AGENDA # C-11 DATE 03.07.02
DEB BOGSTAD, BOARD CLERK

ATTACHMENT A

MULTNOMAH COUNTY CHILDREN'S MENTAL HEALTH PARTNERSHIP VISION, VALUES, MISSION, GOALS, STRATEGIES AND COLLABORATION

VISION

A Community which meets the mental health needs of all children and youth through collaborative, family centered and individualized services.

VALUES

We value services that are:

- ❖ Collaborative in coordinating resources and integrating delivery of services among systems
- ❖ Community-based
- ❖ Comprehensive
- ❖ Culturally appropriate
- ❖ Family centered in recognizing parents as full partners in planning and decision making
- ❖ Individualized to address the unique needs of the individual child or youth and family
- ❖ Protective of the rights and safety of children and youth
- ❖ Provided in the least restrictive setting
- ❖ Sensitive to transition issues

MISSION

The mission of the Multnomah County Children's Mental Health Partnership is to develop a **coalition of public and private funding organizations and family advocacy groups** from child welfare, education, health, juvenile justice and mental health that are committed to a vision of collaborative, family centered and individualized services for children and youth with mental health needs and their families. The Partnership shares beliefs in common that accomplishing this vision will require: interagency **collaboration** to more effectively align child welfare, education, health, juvenile justice and mental health systems of care; **coordination of funding streams, staffing and other resources** amount services systems; and **integration in the delivery of prevention and intervention services** provided to children and youth with mental health needs and their families.

GOALS AND STRATEGIES

The goals and strategies of the Children's Mental Health partnership are to:

1. Provide collaborative leadership in efforts to reform the local system of care for children and youth with mental health needs who live in Multnomah County and their families through:
 - a) Identifying unmet needs, gaps in the local system of care and areas for improvement in the delivery or provision of services to children and youth with mental health needs and their families;
 - b) Advocating for funding, public policies and organization practices which effectively respond to these unmet needs, service gaps and area for improvement;
 - c) Support innovative and integrated approaches to serving children and youth, with mental health needs who are at high risk for needs and services, and to serving children and youth with the highest mental health needs in our community and their families.
2. Effectively align the service system for child welfare, education, health, juvenile justice and mental health which are available to insured and uninsured children and youth with mental health needs who are at high risk of needing services, as well as align services which are

provided to insured and uninsured children and youth with the highest mental health needs in our community and their families, through:

- a) Interagency collaboration and the use of managed care technologies across service systems, to ensure appropriate access to services, cost of services and quality in the delivery of services to children and youth and their families;
 - b) Coordination of funding streams, staffing and other resources amount service systems; and
 - c) Integration in the delivery of prevention and intervention services that are provided to children and youth with mental health needs and their families, including the increasing use of single, individualized plans of care, coordinated case management and flexible service approaches to reduce the fragmentation of care.
3. Create and jointly manage a braided pool of funds contributed by Partnership members, through:
- a) Providing intensive case management and wraparound services to uninsured and underinsured children and youth who are involved with multiple service systems and who have the highest mental health needs in our community;
 - b) Filling gaps in the local system of care for children and youth who have mental health needs and their families; and
 - c) Leveraging these funds 1) to achieve other cooperative efforts among service systems for reforming the local system of care for children and youth with mental health needs and their families, and 2) for responding to unmet needs, service gaps and areas for improvement in the delivery or provision of services to children and youth with mental health needs who are at high risk of needing services, as well as to children and youth with the highest mental health needs in our community and their families.

COLLABORATION

Collaborative decisions for the Children's Mental Health Partnership will be made through a Partnership Board. Compliance with Board decisions will be voluntary, but will be encouraged.

The Partnership Board will consist of representatives of those public and private funding organizations and family advocacy groups from child welfare, education, health, juvenile justice and mental health which are in agreement with the vision, values and mission of the Partnership.

The Partnership Board will operate under the sponsorship and will support the Local Mental Health Authority for Multnomah County.

The Partnership Board will be sanctioned by its members to carry out the identified goals and strategies of the Children's Mental Health Partnership.

The Partnership Board will determine its by-laws, membership criteria and operating procedures, and will elect its own officers.

The Partnership Board will elect a Chair who will act as the spokesperson for the Board.

The Partnership Board will have no less than three subcommittees:

A Service Integration Committee which is charged with implementation of Goal 2;

A Finance and Evaluation Committee which is charged with implementation of Goal 3;

An Executive Committee which will include the Board Chair and the Chairs of the other subcommittees, and which will plan meeting agendas;

And other ad hoc and on-going subcommittees as may be established by the Board.

Decision making will be by consensus whenever possible, otherwise by a vote of member organizations.

ATTACHMENT B
CHILDREN'S MENTAL HEALTH PARTNERSHIP
CRITERIA FOR USE OF BLENDED FUNDS

In order to be eligible for funds from the blended funding pool contributed by child serving agencies into Children's Mental Health Partnership, the following criteria will be met.

1. Eligibility Criteria

The child must be under 21 and meet all of the eligibility criteria for enrollment into the Children's Mental Health Partnership Care Coordination Services as defined below.

- a. The child is not enrolled in an OHP managed care plan, Private Insurance does not cover needed services, or otherwise inadequately funded for mental health services.
- b. The child is residing in one of the funding school districts (or falls under the exception criteria described in #5 below).
- c. The child is involved in multiple systems, e.g. special education, juvenile justice, child welfare.
- d. The child is experiencing multiple problems.
- e. The child has significant mental health needs.
- f. The child's placement in the community is at risk, or the child is returning to the community from a more restrictive placement.
- g. The child's needs have not been met by current or existent service systems, i.e. there is a need for a creative, individualized single plan of care, which also needs to be case coordinated.
- h. The child is enrolled in a Supported Classroom, Behavioral Intervention Classroom, or a designated Special Project.

2. Other Resources

No other reasonable source of funds exists for the needed resources; coordination of benefits is imperative in order to meet the goals of the collaborative funders (see Mission/Value/Goal Statement).

3. Criteria Adjustments

Adjustment to these criteria will be made by consensus of those members of the PARTNERSHIP Board on a case by case basis.

4. Continuation of Services

Clients served will be reviewed according to the above criteria to determine their continuing eligibility for the Children's Mental Health Partnership Program every six months.

5. Exceptions to School District

- a. If the child does not live within the Gresham Barlow, Portland Public or Centennial School Districts but is referred by an agency serving all of Multnomah County the Children's Mental Health Partnership, the Partnership

Board will review on a case by case basis and approve or disapprove continued funding.

- b. If the child moves out of the Gresham Barlow, Portland Public or Centennial School District boundaries and needs continued services from the Children's Mental Health Partnership, the Partnership Board will review on a case by case basis and approve or disapprove continued funding.
- c. If under extraordinary circumstances the Board approves by consensus.

6. Funding Allocation

Allocation of resources is based on following percentage of clients served:

- a. 70% of funds available: Non-covered families.
- b. 30% of funds available: Open card families with additional private insurance who fit # 1 and #2 above, except as specified in the Program Instructions for the Supported Classrooms (as set forth in Attachment B 1 and by this reference incorporated) and the Behavioral Intensive Classroom (as set forth in Attachment B 2 and by this reference incorporated) that the County's contribution to the funding pool shall not be used to supplement or replace Medicaid funding for any OHP covered services. On a case by case basis, the County will consider approving payment for such services only if Contractor cannot bill OMAP after reasonable efforts have been made to collect from all other responsible third party payers.

7. Payment for Care Coordination by Partnership

On the approval of the Children's Mental Health Partnership Board, the Partnership will pay for care coordination on a case rate basis when provided by a non County provider.

MEETING DATE: March 7, 2002
AGENDA NO: C-12
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Approval of amendment 2 for the Division of Behavioral Health's Intergovernmental Agreement with Regional Drug Initiative for the service period from January 1, 2002 through March 31, 2002. This amendment is not to exceed \$24,000.

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: _____ Next Available
AMOUNT OF TIME NEEDED: _____ Consent

DEPARTMENT: County Human Services DIVISION: Behavioral Health

CONTACT: Jim Peterson/Gayle Kron TELEPHONE #: 503.988.5464 x 26392
BLDG/ROOM #: 166/6

PERSON(S) MAKING PRESENTATION: _____ N/A

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Approval of Amendment 2 for the Division of Behavioral Health's Agreement with Regional Drug Initiative.

03/08/02 ORIGINALS to Lynne Ewins

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

INTERIM DEPARTMENT MANAGER: James McConnell

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email

deborah.l.bogstad@co.multnomah.or.us

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Department of Community and Family Services

MULTNOMAH COUNTY OREGON

421 SW Sixth – Seventh Floor
Portland OR 97216-1618
(503) 988-3691 phone
(503) 988-3379 fax

TO: Board of County Commissioners

FROM: James McConnell, Interim Director
Department of County Human Services

DATE: February 13, 2002

SUBJECT: Intergovernmental Revenue Agreement with Regional Drug Initiative

- I. **Recommendation/Retroactive Action Requested:** The Department of County Human Services recommends approval of the attached intergovernmental agreement with the Regional Drug Initiative for the period January 1, 2002 through March 31, 2002. This agreement is retroactive due to final negotiations with this provider.
- II. **Background/Analysis:** The Regional Drug Initiative is an intergovernmental agency, which contracts with Multnomah County to provide administrative services for personnel and motor pool expenses.
- III. **Financial Impact:** This revenue agreement is not to exceed \$24,000.
- IV. **Legal Issues:** None
- V. **Controversial Issues:** None
- VI. **Link to Current County Policies:** The agreement seeks to continue an effort with Multnomah County to implement programs and services to combat drug abuse in the County.
- VII. **Citizen Participation:** None
- VIII. **Other Government Participation:** RDI is an intergovernmental entity formed through an agreement between Multnomah County and the City of Portland.

MULTNOMAH COUNTY CONTRACT APPROVAL FORM

(See Administrative Procedure CON-1)

Contract #: 0210019

Pre-approved Contract Boilerplate (with County Counsel signature) ☐ Attached ☒ Not Attached

Amendment #: 2

Class I	Class II	Class III
<input type="checkbox"/> Professional Services not to exceed \$50,000 (and not awarded by RFP or Exemption) <input type="checkbox"/> Revenue not to exceed \$50,000 (and not awarded by RFP or Exemption) <input checked="" type="checkbox"/> Intergovernmental Agreement (IGA) not to exceed \$50,000 <input type="checkbox"/> Expenditure <input checked="" type="checkbox"/> Revenue Non 190 Agreement <input type="checkbox"/> Architectural & Engineering not to exceed \$10,000 (for tracking purposes only)	<input type="checkbox"/> Professional Services that exceed \$50,000 or awarded by RFP or Exemption (regardless of amount) <input type="checkbox"/> PCRB Contract <input type="checkbox"/> Maintenance Agreement <input type="checkbox"/> Licensing Agreement <input type="checkbox"/> Construction <input type="checkbox"/> Grant <input type="checkbox"/> Revenue that exceeds \$50,000 or awarded by RFP or Exemption (regardless of amount)	<input type="checkbox"/> Intergovernmental Agreement (IGA) that exceeds \$50,000 <input type="checkbox"/> Expenditure <input type="checkbox"/> Revenue <p align="center">APPROVED MULTNOMAH COUNTY BOARD OF COMMISSIONERS</p> <p>AGENDA # <u>C-12</u> DATE <u>03-07-02</u> DEB BOGSTAD, BOARD CLERK</p>

Department: Community and Family Services Division: Behavioral Health Date: February 11, 2002
 Originator: Gayle Kron Bldg/Rm: 166/6
 Contact: Lynn Ervins & Jeff Neubert (GA) Phone: 26644 & 29020 Bldg/Rm: 166/7

Description of Contract **The service period for this Intergovernmental Agreement for personnel services is for January 1, 2002 through March 31, 2002.**

RENEWAL: <input type="checkbox"/>	PREVIOUS CONTRACT #(S): <u>0110764</u>
RFP/BID: <u>Exempt as Governmental Agency</u>	RFP/BID DATE: _____
EXEMPTION #/DATE: _____	EXEMPTION EXPIRATION DATE: _____
CONTRACTOR IS: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> ESB <input type="checkbox"/> QRF <input checked="" type="checkbox"/> N/A <input type="checkbox"/> NONE (Check all boxes that apply)	

Contractor Regional Drug Initiative Address 521 SW 11th, Suite 301 Portland, OR 97205-2621 Phone 503.294.7074 Employer ID# or SS# N/A Effective Date January 1, 2002 Termination Date March 31, 2002 Original Contract Amount \$ 48,260 Total Amt of Previous Amendments \$ 24,000 Amount of Amendment \$ _____ Total Amount of Agreement \$ 72,260	Remittance Address _____ (If different) _____ Payment Schedule / Terms <input type="checkbox"/> Lump Sum \$ _____ <input type="checkbox"/> Due on Receipt <input checked="" type="checkbox"/> Monthly \$ <u>Invoice</u> <input type="checkbox"/> Net 30 <input type="checkbox"/> Other \$ _____ <input type="checkbox"/> Other <input type="checkbox"/> Requirements \$ _____ Encumber <input type="checkbox"/> Yes <input type="checkbox"/> No
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REQUIRED SIGNATURES

Department Manager [Signature] DATE 2/13/02
 Purchasing Manager [Signature] DATE _____
 County Counsel [Signature] DATE 2/21/02
 County Chair [Signature] DATE 3-7-02
 Sheriff _____ DATE _____
 Contract Administration _____ DATE _____

CUSTOMER VENDOR CODE 300053				PREVIOUS DEPT REFERENCE REV205			
LINE#	WBS				FUNDING AMOUNT		TOTAL FUNDING
01	BH RDI GRANT				\$48,260		
02	BH RDI GRANT				\$24,000		\$72,260

AGREEMENT

An agreement between the Regional Drug Initiative ("RDI") and Multnomah County ("County" or "Contractor") to provide staff assistance to the Regional Drug Initiative.

RECITALS:

1. The Regional Drug Initiative (RDI), a legal entity formed by intergovernmental Agreement, pursuant to ORS 190.010(5), seeks to continue an effort with Multnomah County to implement programs and services to combat drug abuse in Multnomah County.
2. The County (Contractor) seeks to enter into an agreement with RDI to delineate the means by which the County will be reimbursed for personnel and motor pool costs for RDI staff.
3. The period of the contract is from January 1, 2002 through March 31, 2002.

AGREED:

I. Scope of Services

The County (Contractor) will provide staffing to perform the duties as outlined in the attached job descriptions.

II. Compensation and Method of Payment

The County (Contractor) will be compensated by RDI for personnel and motor pool costs incurred. Payment to the County for eligible expenses will be made not more frequently than monthly upon submission of a statement of expenditures from the County. Supporting documentation of actual expenditures must be included in these submissions. Total compensation to the County for the period of January 1, 2002 through March 31, 2002, shall not exceed \$24,000. Personnel costs shall be for the following positions:

Program Administrator – Jeanna Cernazanu	1.00 FTE for 1 week
Program Development Specialist - Larry Langdon	1.00 FTE

Estimated motor pool costs are \$45.

Compensation includes County indirect costs for personnel and material and supplies.

Indirect costs are \$2,900.

III. Project Manager

The RDI Interim Director shall be Bob Hazen or such other person as shall be designated in writing by the RDI Chair, Dave Barnes.

The Interim Director is authorized to approve work and billings hereunder, to give notices referred to herein, to terminate this Agreement as provided herein, and to carry out any other RDI actions referred herein.

IV. General Contract Provisions

- A. **TERMINATION FOR CAUSE.** If, through any cause, the Contractor shall fail to fulfill in timely and proper manner his/her obligations under this Agreement, or if the Contractor shall violate any of the covenants, agreements or stipulations of this Agreement, RDI shall have the right to terminate this Agreement by giving written notice to the Contractor of such termination and specifying the effective date thereof at least 30 days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, and reports prepared by the Contractor under this Agreement shall, at the option of RDI, become the property of RDI and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed on such documents.

Notwithstanding the above, the Contractor shall not be relieved of liability to RDI for damage sustained by RDI by virtue of any breach of the Agreement by the Contractor, and RDI may withhold any payments to the Contractor for the purpose of setoff until such time as the exact amount of damages due RDI from the Contractor is determined.

- B. **TERMINATION FOR CONVENIENCE.** RDI and Contractor may terminate this Agreement at any time by mutual written agreement. If the Agreement is terminated by RDI as provided herein, the Contractor will be paid an amount which bears the same ratio to the total compensation as the services actually performed bear to the total services of the Contract by this Agreement less payments of compensation previously made.
- C. **REMEDIES.** In the event of termination under Section A hereof by RDI due to a breach by the Contractor, then RDI may complete the work either itself or by agreement with another contractor, or by a combination thereof. In the event the cost of completing the work exceeds the amount actually paid to the Contractor hereunder plus the remaining unpaid balance of the compensation provided herein, then the Contractor shall pay to RDI the amount of excess.

The remedies provided to RDI under Section A and C hereof for a breach by the Contractor shall not be exclusive. RDI also shall be entitled to any other equitable and legal remedies that are available.

In the event of breach of this Agreement by RDI, then the Contractor's remedy shall be limited to termination of the Agreement and receipt of payment as provided in Section B hereof.

- D. **CHANGES.** RDI may, from time to time, request changes in the scope of services or terms and conditions hereunder. Such changes, including any increase or decrease in the amount of the Contractor's compensation, shall be incorporated in written amendments to this Agreement. Any change that increases the amount of compensation payable to the Contract must be approved by the RDI Task Force.
- E. **MAINTENANCE OF RECORDS.** The Contractor shall maintain records on a current basis to support its billings to RDI. RDI or its authorized representative shall have the authority to inspect, audit, and copy on reasonable notice and from time to time any records of the Contractor regarding its billings or its work hereunder. The Contractor

shall retain these records for inspection, audit, and copying for three years from the date of completion or termination of this Agreement.

- F. **AUDIT OF PAYMENTS.** RDI, either directly or through a designated representative, may audit the records of the Contractor at any time during the three-year period established by Section E above.

If an audit discloses that payments to the Contractor were in excess of the amount to which the Contractor was entitled, the Contractor shall repay the amount of the excess to RDI.

- G. **INDEMNIFICATION.** Subject to the conditions and limitations of the Oregon Constitution and the Oregon Tort Claims Act, ORS.30.260 through 30.030, Contractor shall indemnify, defend and hold harmless RDI from and against all liability, loss and costs arising out of or resulting from the acts of Contractor, its officers, employees and agents in the performance of this agreement. Subject to the conditions and limitations of the Oregon Constitution and the Oregon Tort Claims Act, ORS 30,260 through 30.300, RDI shall indemnify, defend and hold harmless Contractor from and against all liability, loss and costs arising out of or resulting from the acts of RDI, its officers, employees and agents in the performance of this agreement.

- H. **LIABILITY INSURANCE.** The Contractor shall maintain public liability and property damage insurance that protects the Contractor and RDI actions, and suits for damage to property or personal injury, including insurance shall provide coverage for not less than \$100,000 for personal injury to each person, \$50,000 for each occurrence involving property damages; or a single limit policy of not less than \$50,000 covering all claims per occurrence. The insurance shall be without prejudice to coverage otherwise existing and shall name as additional insured RDI and its officers, agents, and employees. The insurance shall provide that it shall not terminate or be canceled without 30 days' written notice first being given to RDI Project Manager. Notwithstanding the naming of additional insureds, the insurance shall protect each insured in the same manner as though a separate policy has been issued to each, but nothing herein shall operate to increase the insurer's liability as set forth elsewhere in the policy beyond the amount or amounts for which the insurer would have been liable if only one person or interest had been named as insured. The coverage must apply as to claims between insureds on the policy. The limits of the insurance shall be subject to statutory changes as to maximum limits of liability imposed on municipalities of the State of Oregon during the term of this Agreement.

The Contractor shall maintain on file with RDI a certificate of insurance certifying the coverage required under this section. Failure to maintain liability insurance shall be cause for immediate termination of this agreement by RDI.

In lieu of filing the certificate of insurance required herein, Contractor shall furnish a declaration that Contractor is self-insured for public liability and property damage for a minimum of the amounts set forth in 30.270.

- I. **WORKER'S COMPENSATION INSURANCE.** The Contractor shall obtain workers' compensation insurance coverage for all of its workers, employees and subcontractors either as a carrier-insured employer or a self-insured employer, as provided by Chapter

656 of the Oregon Revised Statutes, before this Agreement is executed. A certification of insurance, or copy thereof, shall be attached to this Agreement, and shall be incorporated herein and made a term and part of this Agreement. The Contractor further agrees to maintain workers' compensation insurance coverage for the duration of this Agreement.

In the event the Contractor's workers' compensation insurance coverage expires during the term of this Agreement, the Contractor agrees to timely renew its insurance, either as a carrier-insured employer or a self-insured employer as provided by Chapter 656 of the Oregon Revised Statutes, before its expiration, and the Contractor agrees to provide RDI such further certification of worker's compensation insurance as renewals of said insurance occur. In lieu of filing the certificate of insurance required herein, Contractor shall furnish a declaration that Contractor is self-insured for public liability and property damage for a minimum of the amounts set forth in 30.270.

- J. **SUBCONTRACTING AND ASSIGNMENT.** The Contractor shall not subcontract its work under this Agreement, in whole or in part, without the written approval of RDI. The Contractor shall require any approved subcontractor to agree, as to the portion subcontracted, to fulfill all obligations of the Contractor as specified in this Agreement. Notwithstanding RDI approval of a subcontractor, the Contractor shall remain obligated for full performance hereunder, and RDI shall incur no obligation other than its obligations to the Contractor hereunder. The Contractor agrees that if subcontractors are employed in the performance of this Agreement, the Contractor and its subcontractors are subject to the requirements and sanctions of ORS Chapter 656, Worker's Compensation. The Contractor shall not assign this Agreement in whole or in part or any right or obligation hereunder, without prior written approval of RDI.

- K. **INDEPENDENT CONTRACTOR STATUS.** The Contractor is engaged as an independent contractor and will be responsible for any federal, state, or local taxes and fees applicable to payments hereunder.

The Contractor and its subcontractors and employees are not employees of RDI and are not eligible for any benefits through RDI, including without limitation federal social security, health benefits, workers' compensation, unemployment compensation, and retirement benefits.

- L. **REPORTING REQUIREMENTS.** No RDI officer or employee, during his or her tenure or for one year thereafter, shall have any interest, direct, or indirect in this Agreement or the proceeds thereof.
- M. No RDI officer or employees who participate in the award of this Agreement shall be employed by the Contractor during the period of the Agreement.
- N. **CONTRACT ADMINISTRATION.** The Contractor will comply with the provisions of the OMB Circular A-128, particularly regarding cash depositories, program income, standards for financial management systems, property management, procurement standards and audit requirement. The Contractor is required to submit two copies of their audit in conformance with A-128 no later than 30 days after its completion.

Additionally, the Contractor, shall comply with the provision of OMB Circular A-87, Cost Principles for State and Local Governments.

- O. OREGON LAW AND FORUM. This Agreement shall be construed according to the law of the State of Oregon.

Any litigation between RDI and the Contractor arising under this Agreement or out of work performed under this Agreement shall occur, if in the state courts, in the Multnomah County court having jurisdiction thereof, and if in the federal courts, in the United States District Court for the State of Oregon.

- P. AVAILABILITY OF FUNDS. It is understood by all parties to this Agreement that the funds used to pay for services provided herein are provided by RDI solely through the RDI Trust Fund. In the event that funding is reduced, recaptured, or otherwise made unavailable to the city, RDI reserves the right to terminate the Agreement as provided under Section B hereof, or change the scope of services as provided under section D hereof.
- Q. COMPLIANCE WITH LAWS. In connection with its activities under this Agreement, the Contractor shall comply with all applicable federal, state, and local laws and regulations.

MULTNOMAH COUNTY

By James McCounell 2/13/02
Interim Director Date
Dept. of County Human Svcs.

By Diane M. Linn 3-07-02
Date
Multnomah County Chair

APPROVED MULTNOMAH COUNTY
BOARD OF COMMISSIONERS
AGENDA # C-12 DATE 03-07-02
DEB BOGSTAD, BOARD CLERK

**THOMAS SPONSER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY**

Reviewed By: Kurti Scott 2/21/02
Assistant County Attorney Date

V. Period of Agreement

This agreement shall be in effect for the period starting January 1, 2002 and ending March 31, 2002.

Dated this _____ day of _____, 2002.

In witness whereof, the parties hereto have caused this Agreement to be executed by their authorized officers.

REGIONAL DRUG INITIATIVE:

By: _____ Date: _____
Dave Barnes
RDI Chair

MULTNOMAH COUNTY, OREGON:

By: _____ Date: _____
Interim Director
Department of County Human Services

By: _____ Date: _____
Diane Linn
Multnomah County Chair

REVIEWED:

Thomas Sponsler
County Counsel for Multnomah County, Oregon

By: _____ Date: _____

JOB DESCRIPTION MULTNOMAH COUNTY

PLEASE READ INSTRUCTIONS BEFORE COMPLETING THIS FORM

SECTION 1. POSITION INFORMATION

- a. Department Name: DCFS/Regional Drug Initiative
 b. Work Unit/Location: 522 S.W. 5th Ave., Suite 1310, Portland, OR 97204
 c. Employee Name:
 d. Supervisor Name/Title: Jim Peterson, Addictions Services Manager
 e. Proposed Job Class: DCFS Administrator
 f. Working Title: Executive Director, Regional Drug Initiative
 g. Phone Numbers for Supervisor/Employee: 294-7074

EMPLOYEE SERVICES USE ONLY

- ☐ Supervisory Responsibility
☐ Classified
☐ Unclassified
☐ Represented
☐ Non-represented
☐ New ☐ Revised
 Class Title: _____
 Position Number: _____
 Overtime: _____
 FLSA: Exempt / Non-Exempt

- h. Position Type: ☒ Permanent ☐ Temporary ☐ Oncall ☐ Academic Year
☒ Full Time ☐ Part Time ☐ Intermittent ☐ Job Share

SECTION 2. PROGRAM/POSITION INFORMATION

- a. Describe the program (in which this job exists) Please include program purpose, who is effected, size, and scope. Include relationship to agency mission. You may attach a program description and mission statement if available.
 The Regional Drug Initiative is a community coalition that operates as an inter-governmental agency. Its mission is to reduce substance abuse with a focus on Multnomah County. Some of RDI's activities (media, drug-free workplaces) are state-wide in scope. RDI works with all sectors of the community and is governed by the RDI Task Force of 56 leaders from business, government, schools, health care, community programs, youth, labor, law enforcement, and the faith community. RDI's work promotes community partnerships, collaboration among agencies, and improvement in customer service.

- b. Describe the purpose of this job/position (why does this position exist?)
 The RDI Executive Director oversees all program operations and coordinates with national agencies (ONDCP, Join Together, CADCA, Partnership for a Drug-Free America, the Ad Council, Public Relations Society of America). This staff position includes administrative oversight of budget, staffing, resource development, communications, evaluation and program implementation.

SECTION 3. DESCRIPTION OF JOB DUTIES

List major duties. Note percentage of time duties are performed.

% of Time	DUTIES
20%	Staff RDI Executive Committee and Task Force meetings: – Meet with Chair and Vice Chair to develop agenda – Develop materials, documents, program components – Coordinate communications
5%	Budget oversight
30%	Resource Development – Local, state, national program coordination – Oversight of grant proposal development – Foundation presentations – Private sector case statement development
20%	Supervision of staff/program oversight – Convening of staff team – Staff one-on-ones – Project development – Program committee communications
10%	Media/public relations – Handle all media/public relations requests – Promote RDI & substance abuse issues to public
5%	Volunteer recruitment
10%	Provide technical assistance to local, state, national agencies and organizations
100%	TOTAL

SECTION 4. GUIDELINES

- a. List any established guidelines used to do this job, such as state or federal laws or regulations, policies, manuals or desk procedures.
State, federal regulations regarding workplace safety and operations, Multnomah County personnel policies and procedures, RDI staff and committee standards.
- b. How are these guidelines used to perform the job?
Multnomah County procedures govern all personnel at RDI. RDI staff and committee standards regulate program implementation.

SECTION 5. WORK CONTACTS

With whom outside of coworkers in this work unit must this position regularly come in contact?

Who Contacted	How	Purpose	How Often?
RDI Task Force members	phone/in person	program operations	daily
City of Portland Grants Management	phone/in person	fiscal oversight	monthly
Federal agencies	phone/in person	various	weekly
Community agencies	phone/in person	coordination	monthly
Other DCFS workers	phone/in person	coordination	daily
Media	phone/in person	info., media coverage	weekly
State Office of Alcohol & Drug Programs	phone/in person	coordination	monthly
Community Coalitions	phone/in person	coordination	daily

Describe the nature of any difficult interpersonal contacts encountered:
Strangers calling who are hostile/threatening about RDI's work.

SECTION 6. JOB-RELATED DECISION MAKING

Describe the kinds of decisions likely to be made by this position. Indicate effect of these decisions where possible.
Staff hiring, promotion, termination; budget, program implementation, legal oversight, operations, purchasing, local and national coordination. Effects: efficient functioning of RDI, including cost efficiency of operations, non-duplication of efforts, increased interagency collaboration.

SECTION 7. BUDGET AUTHORITY

If this position has authority to commit Department operating money, indicate in what area, how much money and types of funds:
RDI has a separate budget in addition to an allocation from the Department budget. The Department budget covers the cost of .50 FTE for an RDI staff person, indirect costs for the RDI/County personnel contract, and \$10,000 for a SICA grant to provide parent training to reduce substance abuse. This position approves RDI's role in utilizing the Department's contribution.

SECTION 8. REVIEW OF WORK

Who reviews the work of this position? (List name, job title and position number.) How? How often? Purpose of the review?
Jim Peterson, annually for performance. The RDI Task Force Chair and Vice Chair as an ongoing function. Purpose of the review is to ensure that RDI meets its goals.

SECTION 9. SUPERVISORY DUTIES

Names of employees supervised: Larry Langdon, Kamesha Robinson (student intern), Chocka Guiden (student intern), Karen Gress (VISTA member), and an OA2 (to be hired).
For positions you supervise. Please clarify your level of responsibility for the following decisions. Check the appropriate boxes.

Function	RESPONSIBILITY			
	Take Action/ Inform Spvr.	Effectively Recommend	Provide Input	N/A
Hiring	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Termination/Firing	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Promotion	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Performance Appraisal	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Employee Discipline (suspension)	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Assign Duties	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Setting Goals and Objectives	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Grievance Handling	<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>
Schedule the Work	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Training Staff	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SECTION 10. PHYSICAL FACTORS

Check the box that best describes the overall amount of physical effort typically required by your job.

- * **Standard** – Normally seated, standing or walking at will; normal physical ability to do some bending and light carrying.
- ☐ **Restricted/Mobile** – Confined to immediate work area; can only leave work station during assigned breaks.
- ☐ **Exertive** – Extensive walking, recurring bending, crouching, stooping, stretching, reaching or similar activities; recurring lifting of light or moderately heavy items.
- ☐ **Strenuous** – Considerable and rapid physical exertion or demands on the body such as frequent climbing of tall ladders, continuous lifting of heavy objects, crouching or crawling in restricted areas; exertion requires highly intense muscular action leading to substantial muscular exhaustion.

Please identify each appropriate physical activity required in the performance of this job and indicate the relative code (see below) for each activity.

Frequency Codes:

I = Infrequent (less than 10%)
S = Seldom, Minimal (10% - 25%)

M = Moderate, Average (25% - 50%)
A = Almost Always (more than 50%)

Condition	Relative Frequency			
	I	S	M	A
Heavy lifting/carrying, 45 lbs. & over	X			
Moderate lifting/carrying, 15-45 lbs.		X		
Light lifting/carrying, under 15 lbs.			X	
Pulling/Pushing	X			
Reaching	X			
Use of fingers				X
Both hands required				X
Walking		X		
Standing	X			
Sitting				X
Crawling	X			
Kneeling	X			
Repeated bending	X			
Climbing	X			
Operating of motorized equipment			X	
Ability to discharge firearms	X			
Speech				X
Visual requirements				X
– Near vision, 20 inches or less				X
– Mid-range, more than 20 inches/less than 20 ft				X
– Distance, 20 feet or more				X
– Color, ability to identify and distinguish colors		X		
– Depth Perception				X
Repetitive motions			X	
Hearing requirements				X
Special Factors not listed:				

SECTION 11. WORKING CONDITIONS

Describe special working conditions, if any, that are a regular part of this job. Include items such as standing for long periods, environment if other than office, exposure to heat/health risks/violent individuals/wild animals, etc. and the frequency of exposure.

Some travel is required, primarily to Washington, D.C.

SECTION 12. ADDITIONAL JOB-RELATED INFORMATION**COMMENTS:****SPECIAL RECRUITING REQUIREMENTS:**

Ability to communicate, experience in management of programs, knowledge of substance abuse issues, supervisory skills,

ORGANIZATIONAL CHART

Attach a current organizational chart. See instructions for detail to be included on the chart.

SIGNATURES:

Employee Signature Date

Supervisor Signature Date

Appointing Authority Signature Date

JOB DESCRIPTION MULTNOMAH COUNTY

PLEASE READ INSTRUCTIONS BEFORE COMPLETING THIS FORM

EMPLOYEE SERVICES USE ONLY

☐ Supervisory Responsibility
☐ Classified
☐ Unclassified
☐ Represented
☐ Non-represented
☐ New ☐ Revised
 Class Title: _____
 Position Number: _____
 Overtime: _____
 FLSA: Exempt / Non-Exempt

SECTION 1: POSITION INFORMATION

a. Department Name: DCFS / Regional Drug Initiative
 b. Work Unit/Location: 522 SW 5th Ave., Suite 1310, Portland, OR 97204
 c. Employee Name: Larry Langdon
 d. Supervisor Name/Title:
 e. Proposed Job Class: Program Development Specialist
 f. Working Title: Information Specialist
 g. Phone Numbers for Supervisor/Employee: 294-7074

h. Position Type: ☒ Permanent ☐ Temporary ☐ Oncall ☐ Academic Year
 ☒ Full Time ☐ Part Time ☐ Intermittent ☐ Job Share

SECTION 2: PROGRAM/POSITION INFORMATION

- a. Describe the program (in which this job exists) Please include program purpose, who is effected, size, and scope. Include relationship to agency mission. You may attach a program description and mission statement if available.
- See attached materials
- b. Describe the purpose of this job/position (why does this position exist?)
 Position has changed recently. 50% now involves staff support for the State Incentive Cooperative Agreement to create and implement a county-wide youth substance abuse prevention plan under the direction of DCFS, Behavioral Health. The position also provides staff support for the RDI Drug Impact Index Committee and RDI Evaluation Oversight Committee. The overarching purpose of the position is to provide a full range of information services for RDI, including providing information and technical assistance to staff and in response to phone and written requests from local and national agencies, media and the public; obtaining and organizing substance abuse related information in the RDI library and on the RDI web site; creating print and other RDI information products; and handling all Information Systems duties.

SECTION 3: DESCRIPTION OF JOB DUTIES

List major duties. Note percentage of time duties are performed.

% of Time	DUTIES
40%	Direct county-wide prevention (SICA) planning 20% Meetings, including SICA, staff, and outreach (8 hours per week) 15% Information acquisition and writing (6 hours per week) 5% Coordination and meeting planning (2 hours per week) (10% -4 hours per week - from other categories below is also SICA-related)
7.5%	Write, create publications (including Web pages) (3 hours per week)
7.5%	Research, obtain and organize materials and library (3 hours per week)
10%	General support, coordination, technical assistance, historian, etc. (4 hours per week)
15%	Answer technical assistance & information requests - public, staff, agency, & media (6 hours per week)
10%	Index Committee staffing (4 hours per week)
5%	Evaluation Oversight Committee staffing (2 hours per week)
5%	Information Systems work (2 hours per week)
100%	TOTAL (40 hours per week)

SECTION 4. GUIDELINES

- a. List any established guidelines used to do this job, such as state or federal laws or regulations, policies, manuals or desk procedures. (State Incentive Cooperative Agreement and Biannual Implementation Plan guidelines from State Office of Alcohol and Drug Abuse Programs (OADAP), RDI guidelines.
- b. How are these guidelines used to perform the job?
Guidelines provide only general guidance regarding SICA planning. RDI guidelines are used for program implementation.

SECTION 5. WORK CONTACTS

With whom outside of coworkers in this work unit must this position regularly come in contact?

<u>Who Contacted</u>	<u>How</u>	<u>Purpose</u>	<u>How Often?</u>
Agency & organization workers	Phone, person	Coordination	5 contacts per day
General public, media, agency workers	Phone, writing	Provide Info	1 contact per day
Other DCFS workers	Phone, person	Coordination	Daily

Describe the nature of any difficult interpersonal contacts encountered:
Difficulty of adequately coordinating among numerous agencies and individuals.

SECTION 6. JOB-RELATED DECISION MAKING

Describe the kinds of decisions likely to be made by this position. Indicate effect of these decisions where possible.
Work prioritization, who to coordinate with, content of written materials, dissemination of materials.
Effect is determining what is accomplished, how well community is informed, networked, and coordinated.

SECTION 7. BUDGET AUTHORITY

If this position has authority to commit Department operating money, indicate in what area, how much money and types of funds:
(None)

SECTION 8. REVIEW OF WORK

Who reviews the work of this position? (List name, job title and position number.) How? How often? Purpose of the review?
Executive Director. Biweekly checkins for direction and coordination.

SECTION 9. SUPERVISORY DUTIES

Names of employees supervised:

(None)

For positions you supervise. Please clarify your level of responsibility for the following decisions. Check the appropriate boxes.

Function	RESPONSIBILITY			
	Take Action/ Inform Spvr.	Effectively Recommend	Provide Input	N/A
Hiring	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Termination/Firing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Promotion	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Performance Appraisal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Employee Discipline (suspension)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Assign Duties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Setting Goals and Objectives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Grievance Handling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schedule the Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Training Staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SECTION 10 - PHYSICAL FACTORS

Check the box that best describes the overall amount of physical effort typically required by your job.

- ☒ **Standard** – Normally seated, standing or walking at will; normal physical ability to do some bending and light carrying.
- ☐ **Restricted/Mobile** – Confined to immediate work area; can only leave work station during assigned breaks.
- ☐ **Exertive** – Extensive walking, recurring bending, crouching, stooping, stretching, reaching or similar activities; recurring lifting of light or moderately heavy items.
- ☐ **Strenuous** – Considerable and rapid physical exertion or demands on the body such as frequent climbing of tall ladders, continuous lifting of heavy objects, crouching or crawling in restricted areas; exertion requires highly intense muscular action leading to substantial muscular exhaustion.

Please identify each appropriate physical activity required in the performance of this job and indicate the relative code (see below) for each activity.

Frequency Codes:

I = Infrequent (less than 10%)
S = Seldom, Minimal (10% - 25%)

M = Moderate, Average (25% - 50%)
A = Almost Always (more than 50%)

Condition	Relative Frequency			
	I	S	M	A
Heavy lifting/carrying, 45 lbs. & over	X			
Moderate lifting/carrying, 15-45 lbs.	X			
Light lifting/carrying, under 15 lbs.	X			
Pulling/Pushing				
Reaching				
Use of fingers			X	
Both hands required			X	
Walking			X	
Standing				
Sitting			X	
Crawling				
Kneeling				
Repeated bending				
Climbing				
Operating of motorized equipment				
Ability to discharge firearms				
Speech			X	
Visual requirements				
– Near vision, 20 inches or less				
– Mid-range, more than 20 inches/less than 20 ft			X	
– Distance, 20 feet or more				
– Color, ability to identify and distinguish colors	X			
– Depth Perception				
Repetitive motions				
Hearing requirements				
Special Factors not listed: Driving, keyboarding		X		

SECTION 11. WORKING CONDITIONS

Describe special working conditions, if any, that are a regular part of this job. Include items such as standing for long periods, environment if other than office, exposure to heat/health risks/violent individuals/wild animals, etc. and the frequency of exposure.

(none)

SECTION 12. ADDITIONAL JOB-RELATED INFORMATION

COMMENTS:

SPECIAL RECRUITING REQUIREMENTS:

ORGANIZATIONAL CHART

Attach a current organizational chart. See instructions for detail to be included on the chart.

SIGNATURES:

Employee Signature Date

Supervisor Signature Date

Appointing Authority Signature Date

Information Specialist (Larry Langdon): Reports to the Executive Director. Co-Staff for State Incentive Cooperative Agreement (SICA) for county-wide prevention planning. Staffs the Drug Impact Index Committee and Evaluation Oversight Committee. Researches and analyzes information for RDI committees and staff. Maintains the RDI resource library. Responds to questions from other partnerships and coalitions, agencies, media and the public, especially regarding statistical, research, reference, resource and networking information. Expert on technical information, data, research, and computers.

2001/2002

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JAN 02 2001

DEPT. OF COMM. &
FAM. SVCS./FISCAL

INDIRECT COST RATES
and
COUNTYWIDE
COST ALLOCATION PLAN



Multnomah County, Oregon

FISCAL YEAR 2001/2002

Indirect Cost Rates

And

Consolidated Countywide

Cost Allocation Plan

Based on the

Year Ending

June 30, 2000

Finance Division

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The rates contained in this document are applicable to grants in existence during the Fiscal Year beginning July 1, 2001 and ending June 30, 2002.

Questions regarding the contents of the proposal should be directed to Lynn Rasmussen.

Multnomah County Finance
501 SE Hawthorne Ave. #400
Portland, OR 97293-0700
(503) 988-3312

INDIRECT COST RATES

EXPLANATION OF THE INDIRECT COST RATES

The Federal government recognizes that County Organizations perform identifiable overhead costs in support of grants and contracts.

Costs are categorized in two ways. The first identifies countywide support costs and the other establishes support costs internal to individual departments within the County.

The Central Service Cost Allocation plan identifies and distributes the cost of services provided by County support organizations (i.e., Purchasing, Auditor) to those County departments (Health, Sheriff, etc.) awarded grants or contracts.

The Indirect Cost Rates include the departmental administrative costs incurred within those organizations, as well as appropriate central service costs calculated above.

SUMMARY OF INDIRECT COST RATES

Rates As Calculated (Use For All Grants)

<u>Grantee</u>	<u>Cost Rate</u>	<u>Page</u>	<u>Indirect Costs</u>	<u>Direct Costs</u>
Community and Family	15.88%	4	\$3,798,383	\$23,924,272
Aging and Disability Services	3.53%	6	843,638	23,925,776
Juv & Adult Comm Justice	5.08%	8	2,770,748	54,587,769
Health Services	1.74%	10	1,251,987	71,786,612
District Attorney	5.49%	12	916,791	16,699,053
Sheriff's Office	8.91%	14	7,003,889	78,570,739
Environmental Services	4.07%	16	2,799,629	68,711,252
Other County	2.40%	18	1,587,506	66,074,152
Library	4.26%	20	<u>1,413,171</u>	<u>33,154,746</u>
			\$22,385,743	\$437,434,372

Flow Through Funds	0.70%
--------------------	-------

COMPUTATION OF INDIRECT COST RATE

- Community & Family Services -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$2,930,967		\$2,930,967
All Other	\$38,159,204	1,090,982	\$23,924,272	63,174,458
Flow Through	114,716,276			114,716,276
Sub Total	\$152,875,480	\$4,021,949	\$23,924,272	\$180,821,701
Central Services Adjustments		579,447 (803,014)		579,447 (803,014)
Totals	\$152,875,480	\$3,798,383	\$23,924,272	\$180,598,134

Rate Calculation

$$\frac{\text{Indirect } \$3,798,383}{\text{All Other } \$23,924,272} = 15.88\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

2001-2002 Indirect Cost Rates

DETAIL OF DEPARTMENTAL INDIRECT

- Community & Family Services -

Total actual Personal Services: \$4,809,335

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$1,510,731

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
Employee Services Specialist Sr	9748	\$33,386	\$10,424	\$43,810	
CFS Administrator	9745	61,600	19,337	80,937	
Budget Analyst/Principal	9734	51,445	16,165	67,610	
Management Assistant	9710	49,242	15,409	64,652	
Employee Services Specialist II	9670	73,866	23,265	97,131	
CFS Manager	9661	464	151	615	
Information Systems Manager/Sr	9657	31,772	9,971	41,742	
Information Systems Manager	9653	13,997	4,381	18,378	
Information Systems Supervisor	9652	48,057	15,107	63,165	
Deputy Director	9619	170,139	53,480	223,619	
CFS Mgr Senior	9612	100,952	31,725	132,677	
Department Director	9610	93,699	29,459	123,159	
Staff Assistant	9400	72,460	22,812	95,272	
Fiscal Spec Senior	9340	70,218	22,057	92,275	
Fiscal Specialist Supervisor	9335	68,853	21,603	90,456	
Prog Development Spec Sr	9115	145,403	45,624	191,027	
CFS Supervisor	9008	235,446	74,026	309,472	
Administrative Analyst	9006	70,329	22,057	92,386	
Temporary Worker	8000	22,028	6,949		28,978
Program Evaluation Specialist	6368	42,797	13,446		56,242
Database Administrator	6197	65,245	20,546	85,791	
Information Systems Spec III	6193	23,063	7,252		30,314
Information Systems Spec I	6191	7,563	2,417		9,980
Information Systems Analyst I	6190	74,324	23,416	97,740	
Information Systems Analyst II	6189	175,727	55,142	230,869	
Information Systems Analyst Sr	6187	12,084	3,777	15,861	
Data Technician	6074	2,102	604		2,706
Data Analyst	6073	31,679	9,971		41,650
Network Analyst III	6053	51,377	16,165	67,541	
Fiscal Specialist II	6030	160,303	50,307	210,610	
Fiscal Specialist I	6029	120,290	37,768		158,059
Fiscal Assistant / Senior	6027	11,513	3,626		15,138
Prog Development Spec	6021	260,176	81,731	341,907	
Program Development Tech	6020	138,649	43,509		182,158
Construction Projects Specialist	6017	39,726	12,539	52,265	
Data Entry Operator	6007	2,560	755		3,315
Admin Sec	6005	82,984	26,136		109,119
Office Asst Sr	6002	121,323	38,070		159,394
Office Asst II	6001	223,680	70,249		293,929
Total		\$3,060,520	\$961,429	\$2,930,967	\$1,090,982

2001-2002 Indirect Cost Rates

COMPUTATION OF INDIRECT COST RATE

- Aging and Disability Services -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$350,008		\$350,008
All Other	\$4,043,608	69,258	\$23,925,776	28,038,642
Flow Through	7,397,825			7,397,825
Sub Total	\$11,441,433	\$419,266	\$23,925,776	\$35,786,475
Central Services		476,157		476,157
Adjustments		(51,785)		(51,785)
Totals	\$11,441,433	\$843,638	\$23,925,776	\$36,210,847

Rate Calculation

$$\frac{\text{Indirect } \$843,638}{\text{All Other } \$23,925,776} = 3.53\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

2001-2002 Indirect Cost Rates

DETAIL OF DEPARTMENTAL INDIRECT

- Aging and Disability Services -

Total actual Personal Services: \$1,872,277

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$728,564

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
Employee Services Spec/Senior	9748	\$8,489	\$3,279	\$11,767	
Info Systems Supervisor	9652	15,818	\$6,120	\$21,938	
Aging Services Program Manager	9611	47,025	\$18,287	\$65,312	
Department Director	9610	3,365	\$1,311	\$4,677	
Administrative Services Officer	9607	13,514	\$5,246	\$18,760	
Program Development Spec/Sr.	9115	28,042	10,928	38,971	
Employee Services Specialist I	9080	6,207	2,404	8,612	
Administrative Analyst	9006	4,624	1,821	6,445	
Information Systems Specialist III	6192	15,147	5,901		21,049
Information Systems Analyst II	6189	23,100	8,961	32,062	
Information Systems Analyst/Sr	6187	7,483	2,914	10,397	
Network Analyst	6186	11,777	4,590	16,367	
Records Administration Asst	6116	12,021	4,663		16,684
Fiscal Specialist II	6030	19,864	7,723	27,587	
Fiscal Specialist I	6029	3,895	1,530		5,425
Fiscal Assistant/Sr	6027	5,288	2,040		7,328
Program Development Specialist	6021	62,707	24,407	87,114	
Administrative Secretary	6005	1,178	437		1,615
Office Assistant / Sr.	6002	3,663	1,457		5,120
Office Assistant II	6001	8,685	3,351		12,037
Total		\$301,894	\$117,372	\$350,008	\$69,258

COMPUTATION OF INDIRECT COST RATE**- Juvenile and Adult Community Justice -****Organizational Cost Categories**

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$1,880,713		\$1,880,713
All Other	\$4,428,253	319,513	\$54,587,769	59,335,535
Flow Through	7,871,027			7,871,027
Sub Total	\$12,299,279	\$2,200,226	\$54,587,769	\$69,087,275
Central Services		625,619		625,619
Adjustments		(55,097)		(55,097)
Totals	\$12,299,279	\$2,770,748	\$54,587,769	\$69,657,797

Rate Calculation

$$\frac{\text{Indirect } \$2,770,748}{\text{All Other } \$54,587,769} = 5.08\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

2001-2002 Indirect Cost Rates

DETAIL OF DEPARTMENTAL INDIRECT

- Juvenile and Adult Community Justice-

Total actual Personal Services: \$2,812,172

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$3,317,606

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
Comm Corrections Prog Admin	9772	\$82,003	\$96,874	\$178,877	
Information Systems Manager	9653	\$96,435	113,794	210,229	
Deputy Director	9619	225,214	265,740	490,954	
Fiscal Specialist/Sr	9340	71,220	83,935	155,156	
Program Development Spec/Sr	9115	167,507	197,729	365,237	
Administrative Analyst	9006	61,955	72,987	134,943	
Administrative Analyst/Sr	9005	71,742	84,599	156,341	
Fiscal Specialist II	6030	33,263	39,148	72,410	
Fiscal Specialist I	6029	94,259	111,140		\$205,399
Program Development Specialist	6021	53,533	63,035	116,567	
Administrative Secretary	6005	52,407	61,707		114,115
Total		\$1,009,537	\$1,190,689	\$1,880,713	\$319,513

COMPUTATION OF INDIRECT COST RATE

- Health Services -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect*	All Other	Total
Administration & Planning		\$0		\$0
All Other	\$34,248,717	0	\$71,786,612	106,035,330
Flow Through	8,887,101			8,887,101
Sub Total	\$43,135,818	\$0	\$71,786,612	\$114,922,431
Central Services		1,314,197		1,314,197
Adjustments		(62,210)		(62,210)
Totals	\$43,135,818	\$1,251,987	\$71,786,612	\$116,174,418

Rate Calculation

$$\frac{\text{Indirect } \$1,251,987}{\text{All Other } \$71,786,612} = 1.74\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

*Beginning in 2000/01, all Health Services department costs are charged directly.

DETAIL OF DEPARTMENTAL INDIRECT

- Health Services -*

Total actual Personal Services: \$0

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$0

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
Total		\$0	\$0	\$0	\$0

*Beginning in 2000/01, all Health Services department costs are charged directly.

COMPUTATION OF INDIRECT COST RATE

- District Attorney -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$375,123		\$375,123
All Other	\$966,741	84,597	\$16,699,053	17,750,391
Flow Through	393,339			393,339
Sub Total	\$1,360,080	\$459,720	\$16,699,053	\$18,518,853
Central Services		459,825		459,825
Adjustments		(2,753)		(2,753)
Totals	\$1,360,080	\$916,791	\$16,699,053	\$18,975,925

Rate Calculation

$$\frac{\text{Indirect } \$916,791}{\text{All Other } \$16,699,053} = 5.49\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

DETAIL OF DEPARTMENTAL INDIRECT

- District Attorney -

Total actual Personal Services: \$1,731,854

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$1,291,606

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
<u>Administration</u>					
Staff Assistant	9400	\$91,523	\$68,257	\$159,780	
Fiscal Specialist I	6029	48,457	36,139		84,597
<u>Family Justice</u>					
Deputy Dist. Attorney/Chief	9450	123,350	91,994	215,343	
Total		\$263,330	\$196,390	\$375,123	\$84,597

COMPUTATION OF INDIRECT COST RATE

- Sheriff's Office -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$3,845,851		\$3,845,851
All Other	\$7,081,481	2,355,981	\$78,570,739	88,008,202
Flow Through	818,706			818,706
Sub Total	\$7,900,187	\$6,201,832	\$78,570,739	\$92,672,758
Central Services		807,789		807,789
Adjustments		(5,731)		(5,731)
Totals	\$7,900,187	\$7,003,889	\$78,570,739	\$93,474,815

Rate Calculation

$$\frac{\text{Indirect } \$7,003,889}{\text{All Other } \$78,570,739} = 8.91\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

DETAIL OF DEPARTMENTAL INDIRECT

- Sheriff's Office -

Total actual Personal Services: \$5,788,532

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$4,922,105

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
<u>Executive Office</u>					
Executive Assistant	9460	146,180	124,300	270,480	
Chaplain	9007	61,998	52,718	114,716	
Legislative/Admin Secretary	9001	57,462	48,861	106,322	
Community Information Specialist	6013	31,958	27,175		59,133
<u>Support/Admin. Services</u>					
Employee Services Spec/Senior	9748	62,872	53,461	116,333	
MSCO Plan/Research Unit Admin	9719	91,197	77,547	168,744	
Fiscal Officer	9716	104,304	88,692	192,996	
MCSO Payroll Unit Admin	9712	78,268	66,553	144,821	
Auxiliary Services Admin	9673	83,944	71,380	155,324	
Information Systems Manager	9653	89,332	75,961	165,293	
Undersheriff	9626	69,770	59,327	129,097	
Chief Deputy	9625	68,281	58,061	126,342	
Administrative Services Officer	9607	80,918	68,806	149,724	
Program Development Spec/Sr.	9115	140,824	119,746	260,570	
Employee Services Specialist I	9080	61,754	52,511	114,265	
Administrative Analyst	9006	37,931	32,253	70,184	
Administrative Analyst / Senior	9005	68,200	57,992	126,191	
Info Systems Specialist II	6192	57,425	48,830		106,255
Info Systems Analyst II	6189	51,386	43,694	95,080	
Network Analyst II	6186	59,827	50,872	110,699	
Purchasing Specialist I	6112	48,013	40,826		88,839
Equipment/Property Technician	6107	191,110	162,505		353,615
Network Analyst III	6053	157,274	133,734	291,008	
Fiscal Specialist II	6030	60,596	51,526	112,123	
Fiscal Specialist I	6029	91,293	77,629		168,922
Program Coordinator	6022	58,061	49,370		107,431
Community Information Specialist	6013	49,073	41,728		90,801
Word Processing Operator	6004	36,915	31,389		68,304
Office Assistant/Senior	6002	29,509	25,092		54,602
Office Assistant II	6001	106,931	90,926		197,857
Corrections Officer	2029	68,194	57,986		126,180
Sergeant III LG	1158	91,369	77,693		169,062
Sergeant III	1131	72,864	61,958		134,821
Deputy Sheriff II	1122	79,768	67,828		147,596
Corrections Sergeant / 7% LG	1015	50,753	43,157		93,910
Corrections Sergeant / 7%	1009	50,582	43,011		93,593
<u>Program Services</u>					
Captain	9627	224,591	190,975	415,566	
Chief Deputy	9625	209,077	177,782	386,859	
Administrative Analyst	9006	12,491	10,621	23,112	
Administrative Secretary	6005	50,236	42,717		92,952
Corrections Sergeant / 7%	1009	32,243	27,417		59,660
Corrections Sergeant / 7%	1007	76,986	65,463		142,449
Total		\$3,351,762	\$2,850,070	\$3,845,851	\$2,355,981

COMPUTATION OF INDIRECT COST RATE

- Environmental Services -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$855,568		\$855,568
All Other	\$55,585,519	101,446	\$68,711,252	124,398,217
Flow Through	20,653,698			20,653,698
Sub Total	\$76,239,216	\$957,014	\$68,711,252	\$145,907,482
Central Services		1,987,191		1,987,191
Adjustments		(144,576)		(144,576)
Totals	\$76,239,216	\$2,799,629	\$68,711,252	\$147,750,097

Rate Calculation

$$\frac{\text{Indirect } \$2,799,629}{\text{All Other } \$68,711,252} = 4.07\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

DETAIL OF DEPARTMENTAL INDIRECT

- Environmental Services -

Total actual Personal Services: \$636,922

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$322,044

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
<u>Administration</u>					
Deputy Director - DES	9658	\$112,462	\$56,864	\$169,326	
Department Director	9610	132,503	66,997	199,500	
Management Assistant	9710	114,627	57,958	172,585	
Administrative Serv Officer	9607	97,144	49,118	146,262	
Employee Services Specialist I	9080	49,070	24,811	73,882	
Administrative Analyst	9006	62,442	31,572	94,014	
Temporary	8000	12,350	6,244		18,594
Admin Secretary	6005	47,264	23,898		71,162
Office Assistant II	6001	7,764	3,925		11,689
Total		\$635,625	\$321,388	\$855,568	\$101,446

COMPUTATION OF INDIRECT COST RATE

- Other County -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$257,199		\$257,199
All Other	\$66,051,319	19,552	\$66,074,152	132,145,023
Flow Through	16,525,394			16,525,394
Sub Total	\$82,576,714	\$276,750	\$66,074,152	\$148,927,616
Central Services		1,426,434		1,426,434
Adjustments		(115,678)		(115,678)
Totals	\$82,576,714	\$1,587,506	\$66,074,152	\$150,238,371

Rate Calculation

$$\frac{\text{Indirect } \$1,587,506}{\text{All Other } \$66,074,152} = 2.40\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

2001-2002 Indirect Cost Rates

DETAIL OF DEPARTMENTAL INDIRECT

- Other County -

Total actual Personal Services: \$631,321

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$714,921

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
<u>DSS Admin</u>					
Department Director	9610	\$24,867	\$28,159	\$53,026	
Management Assistant	9710	14,949	16,929	31,878	
Staff Assistant	9400	66,357	75,144	141,501	
Legislative/Admin Secretary	9001	4,887	5,535	10,422	
Info Systems Analyst Sr	6187	9,553	10,818	\$20,372	
Admin Secretary	6005	9,169	10,383		19,552
Total		\$129,782	\$146,968	\$257,199	\$19,552

COMPUTATION OF INDIRECT COST RATE

- Library Services -

Organizational Cost Categories

Organizational Units	Not Allowable	Indirect	All Other	Total
Administration & Planning		\$214,093		\$214,093
All Other	\$18,531,757	52,125	\$33,154,746	51,738,628
Flow Through	530,179			530,179
Sub Total	\$19,061,936	\$266,218	\$33,154,746	\$52,482,900
Central Services		1,150,664		1,150,664
Adjustments		(3,711)		(3,711)
Totals	\$19,061,936	\$1,413,171	\$33,154,746	\$53,629,853

Rate Calculation

$$\frac{\text{Indirect } \$1,413,171}{\text{All Other } \$33,154,746} = 4.26\%$$

Adjustments Reflect Costs Attributable to "Pass Through" Monies.

DETAIL OF DEPARTMENTAL INDIRECT

- Library Services -

Total actual Personal Services: \$1,245,296

Total actual M&S exclusive of lines
6050, 6060, 7100, 7250, 7550 & 7608 \$660,553

Position Description	Posi- tion Number	Personal Services	Materials & Services	Admin & Planning	All Other
<u>Director's Office</u>					
Library Director	9779	\$46,481	\$24,655	\$71,136	
Deputy Director/Library	9775	39,638	21,026	60,664	
<u>Admin/Support</u>					
Library Support Svcs/Admin	9786	31,282	16,593	47,875	
Purchasing Specialist II	6111	22,489	11,929	34,418	
Fiscal Specialist I	6029	18,194	9,651		27,845
Office Asst/Sr	6002	15,865	8,415		24,280
Total		\$173,949	\$92,269	\$214,093	\$52,125



MULTNOMAH COUNTY OREGON

**CONSOLIDATED COUNTYWIDE
COST ALLOCATION PLAN**



MULTNOMAH COUNTY OREGON

2001-2002 Cost Allocation Plan

CENTRAL SERVICE ALLOCATIONS

- Summary -

Central Service	Community & Family Svcs	Aging & Dsbl Svcs	Juv & Adult Comm Justice	Health Services	District Attorney	Sheriff's Office	Environmental Services	Other County	Library	Total
Affirmative Action	\$25,639	\$21,966	\$34,532	\$31,721	\$12,323	\$59,048	\$24,244	\$16,363	\$27,383	\$253,218
Auditor	73,377	76,312	35,337	30,316	4,403	22,438	83,612	32,093	9,655	367,543
Budget & Quality Svcs	175,324	264,969	186,615	5,410	45,042	72,784	62,761	85,522	25,654	924,081
County Attorney	(42,179)	(16,445)	(5,165)	(23,080)		(14,536)	(191,668)	(37,620)	(11,207)	(341,900)
Human Resources	79,884	66,293	93,029	37,776	34,250	183,024	52,147	50,161	74,080	670,645
Equipment Use	1,706	1,234	155,671	15,282	19,748	156,541	98,552	476,133	305,207	1,230,074
Finance	267,361	212,896	387,226	948,987	306,951	425,681	1,708,564	248,598	763,936	5,270,201
Labor Relations	46,737	40,403	65,396	68,082	23,160	107,798	48,123	29,967	51,804	481,470
Purchasing	74,542	(748)	70,898	551,007	14,262	120,920	427,566	666,720	114,348	2,039,514
Records	58,605	16,475	25,232	119,338	103,209	51,306	12,055	48,373	884	435,477
Section 2										
Over/Under Charges	(181,549)	(207,199)	(423,151)	(470,642)	(103,522)	(377,215)	(338,763)	(189,878)	(211,081)	(2,503,000)
Total Allocation	\$579,447	\$476,157	\$625,619	\$1,314,197	\$459,825	\$807,789	\$1,987,191	\$1,426,434	\$1,150,664	\$8,827,324

Multnomah County, Oregon

Description of Services

-Affirmative Action-

Affirmative Action assures that Multnomah County conforms to regulatory requirements for monitoring, reporting, planning and implementing programs and strategies that provide creative solutions to work force and service program diversity.

The Affirmative Action program helps assure compliance with various equal opportunity laws. The need for such services has increased due to new federal regulations, equal opportunity and ADA requirements contained in federal grant regulations, and ongoing interpretations of regulatory requirements.

AFFIRMATIVE ACTION

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$192,849		\$192,849
Material & Services	12,905		12,905
Capital Outlay			
Total Organization	\$205,754		\$205,754
LAN Administration	2,392		2,392
DSS Director	43,048		43,048
Organization/Adj. Total	\$251,194		\$251,194

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$251,194
 Basis of Allocation: # of Employees

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	417	8.65%	\$21,732
Aging & Disability Services	370	7.68%	19,283
Juv & Adult Community Justice	648	13.44%	33,770
Health Services	878	18.22%	45,757
District Attorney	225	4.67%	11,726
Sheriff's Office	966	20.04%	50,343
Environmental Services	533	11.06%	27,777
Other County	271	5.62%	14,123
Library	512	10.62%	26,683
Totals	4,820	100.00%	\$251,194

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$17,825	\$21,732	\$3,907	\$25,639
Aging & Disability Services	16,599	19,283	2,684	21,966
Juv & Adult Community Justice	33,009	33,770	761	34,532
Health Services	59,793	45,757	(14,036)	31,721
District Attorney	11,129	11,726	597	12,323
Sheriff's Office	41,638	50,343	8,705	59,048
Environmental Services	31,311	27,777	(3,534)	24,244
Other County	11,883	14,123	2,240	16,363
Library	25,983	26,683	700	27,383
Totals	\$249,170	\$251,194	\$2,024	\$253,218

Description of Services

- Auditor -

The Auditor conducts performance and fiscal audits in conformance with the US GAO Government Auditing Standards. The annual audit schedule is based upon a risk analysis of County services, with the majority of office resources focused on performance audits to increase efficiency, effectiveness, and accountability. Activities of the Auditor may include examination of expenditure reports for discrepancies or variances, reviews of internal controls, and testing transactions for compliance with state and federal regulations.

In keeping with the standards, the Auditor emphasizes a coordinated audit approach with the external auditors, and the state and federal agencies. County audits are complementary and never duplicate the audit efforts of the other organizations. The Auditor's efforts help insure that County financial and administrative policies are being followed throughout the organization, including federal programs and are, therefore, deemed allowable.

Normal costs of County government have been eliminated from the allocation.

The roll forward computation has been removed from the allocation formula because the nature of audit activities results in large yearly variations in these amounts.

AUDITOR

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$541,599	\$238,619	\$302,980
Material & Services	109,032	48,038	60,995
Capital Outlay			
Total Organization	\$650,631	\$286,657	\$363,975
LAN Administration	6,379	2,811	3,569
DSS Director			
Organization/Adj. Total	\$657,011	\$289,467	\$367,543

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$367,543
 Basis of Allocation: % of Total Hours

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	1,900	19.96%	\$73,377
Aging & Disability Services	1,976	20.76%	76,312
Juv & Adult Community Justice	915	9.61%	35,337
Health Services	785	8.25%	30,316
District Attorney	114	1.20%	4,403
Sheriff's Office	581	6.10%	22,438
Environmental Services	2,165	22.75%	83,612
Other County	831	8.73%	32,093
Library	250	2.63%	9,655
Totals	9,517	100.00%	\$367,543

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services		\$73,377		\$73,377
Aging & Disability Services		76,312		\$76,312
Juv & Adult Community Justice		35,337		\$35,337
Health Services		30,316		30,316
District Attorney		4,403		4,403
Sheriff's Office		22,438		22,438
Environmental Services		83,612		83,612
Other County		32,093		32,093
Library		9,655		9,655
Totals		\$367,543		\$367,543

Description of Services

-Budget and Quality Services-

The Budget and Quality Services Division is responsible for preparation of the County budget and the monitoring of that budget once adopted. Included among the division's activities is the review of the County programs to ensure compliance with local budget law.

Since all grant programs must comply with local budget law and the Budget and Quality Services Division provides services necessary for the successful cooperation of federal programs, the Budget and Quality Services allocation is deemed allowable. Allowable costs are allocated on the actual hours worked in each area.

Normal costs of County government have been eliminated from the allocation.

BUDGET & QUALITY SERVICES

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$707,088	\$274,612	\$432,476
Material & Services	238,554	\$63,239	175,315
Capital Outlay			
Total Organization	\$945,642	\$337,852	\$607,790
LAN Administration	14,353	5,574	8,779
DSS Director	43,048	16,719	26,329
Organization/Adj. Total	\$1,003,043	\$360,145	\$642,899

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$642,899
 Basis of Allocation: Personnel Costs

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	\$61,159	14.14%	\$107,015
Aging & Disability Services	\$109,417	25.30%	\$138,715
Juv & Adult Community Justice	\$84,607	19.56%	\$129,833
Health Services	\$18,977	4.39%	\$24,059
District Attorney	\$21,301	4.93%	\$27,005
Sheriff's Office	\$18,977	4.39%	\$66,629
Environmental Services	\$40,773	9.43%	\$51,691
Other County	\$51,004	11.79%	\$64,661
Library	\$26,259	6.07%	\$33,291
Totals	\$432,476	100.00%	\$642,899

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$38,706	\$107,015	\$68,309	\$175,324
Aging & Disability Services	12,462	138,715	126,253	264,969
Juv & Adult Community Justice	73,050	129,833	56,783	186,615
Health Services	42,708	24,059	(18,649)	5,410
District Attorney	8,968	27,005	18,037	45,042
Sheriff's Office	60,474	66,629	6,155	72,784
Environmental Services	40,621	51,691	11,070	62,761
Other County	43,800	64,661	20,861	85,522
Library	40,927	33,291	(7,636)	25,654
Totals	\$361,716	\$642,899	\$281,183	\$924,081

Description of Services

-County Attorney-

The County Attorney provides legal services to the County in tort defense, litigation, legal consultation, and drafting appropriate answers to inquire from the public.

The services benefit grant programs in the areas of providing legal advice, drafting contracts, and providing legal counsel in litigation involving County activities during the course of administering grant programs and projects.

Normal costs of County government have been eliminated from the allocation.

During FY 1998-1999 the County Attorney was charged direct. Therefore there are no 1999-2000 indirect charges to departments. The roll forward continues to be in effect for two years after direct charging begins.

COUNTY ATTORNEY

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services			
Material & Services			
Capital Outlay			
Total Organization			
LAN Administration			
DSS Director			
Organization/Adj. Total			

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated:

Basis of Allocation:

Personnel Costs

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services			
Aging & Disability Services			
Juv & Adult Community Justice			
Health Services			
District Attorney			
Sheriff's Office			
Environmental Services			
Other County			
Library			
Totals			

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$42,179		(\$42,179)	(\$42,179)
Aging & Disability Services	16,445		(16,445)	(16,445)
Juv & Adult Community Justice	5,165		(5,165)	(5,165)
Health Services	23,080		(23,080)	(23,080)
District Attorney				
Sheriff's Office	14,536		(14,536)	(14,536)
Environmental Services	191,668		(191,668)	(191,668)
Other County	37,620		(37,620)	(37,620)
Library	11,207		(11,207)	(11,207)
Totals	\$341,900		(\$341,900)	(\$341,900)

Description of Services

-Human Resources-

The Human Resources Division is responsible for classification of County positions, overall County personnel policy administration, and maintenance of personnel records.

Human Resources utilizes various communication media to advertise for suitable candidates, in addition to directly contacting prospective candidates. Examinations are conducted, administered, and scored by Human Resources. Reliability and validation studies of tests are undertaken regularly.

Human Resources classifies all job positions in the County as to educational and experience requirements together with on-job performance duties and maintains personnel history records reflecting data pertaining to employees' work.

The variety of personnel services performed by Human Resources is judged allowable since they benefit all organizations of the County. They benefit federal programs to the extent that County employee are used. Accordingly, costs of Human Resources have been distributed to County organizations on the percentage of employees in each organization.

HUMAN RESOURCES

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$668,600		\$668,600
Material & Services	336,494		336,494
Capital Outlay			
Total Organization	\$1,005,094		\$1,005,094
LAN Administration	17,018		17,018
DSS Director	43,048		43,048
Organization/Adj. Total	\$1,065,160		\$1,065,160

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$1,065,160
 Basis of Allocation: Number of Employees

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	417	8.65%	\$92,152
Aging & Disability Services	370	7.68%	81,765
Juv & Adult Community Justice	648	13.44%	143,200
Health Services	878	18.22%	194,027
District Attorney	225	4.67%	49,722
Sheriff's Office	966	20.04%	213,474
Environmental Services	533	11.06%	117,786
Other County	271	5.62%	59,888
Library	512	10.62%	113,146
Totals	4,820	100.00%	\$1,065,160

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$104,420	\$92,152	(\$12,268)	\$79,884
Aging & Disability Services	97,238	81,765	(15,473)	66,293
Juv & Adult Community Justice	193,371	143,200	(50,171)	93,029
Health Services	350,278	194,027	(156,251)	37,776
District Attorney	65,194	49,722	(15,472)	34,250
Sheriff's Office	243,924	213,474	(30,450)	183,024
Environmental Services	183,426	117,786	(65,640)	52,147
Other County	69,614	59,888	(9,726)	50,161
Library	152,211	113,146	(39,065)	74,080
Totals	\$1,459,676	\$1,065,160	(\$394,516)	\$670,645

Description of Services

-Equipment Use-

Multnomah County has no depreciation schedule for equipment. As per FMC circular A-87, the County allocates 6.67% of each department's equipment as a use charge. The charges are based on purchase price of all equipment used, according to the County's asset records. Equipment purchased with grant funds is not included in the total cost of equipment.

EQUIPMENT USE

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Community & Family Services	\$514,565	\$488,990	\$25,575
Aging & Disability Services	277,517	260,081	17,436
Juv & Adult Community Justice	1,919,585	478,386	1,441,199
Health Services	527,765	254,535	273,230
District Attorney	308,448	79,770	228,678
Sheriff's Office	2,832,919	184,065	2,648,854
Environmental Services	35,764,888	34,400,528	1,364,360
Other County	4,250,068	73,357	4,176,711
Library	6,917,062	1,416,182	5,500,880
Organization/Adj. Total	\$53,312,817	\$37,635,894	\$15,676,923

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$15,676,923
 Basis of Allocation: Allowable Use Charges

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	\$25,575	6.67%	\$1,706
Aging & Disability Services	\$17,436	6.67%	1,163
Juv & Adult Community Justice	\$1,441,199	6.67%	96,128
Health Services	\$273,230	6.67%	18,224
District Attorney	\$228,678	6.67%	15,253
Sheriff's Office	\$2,648,854	6.67%	176,679
Environmental Services	\$1,364,360	6.67%	91,003
Other County	\$4,176,711	6.67%	278,587
Library	5,500,880	6.67%	366,909
Totals	\$15,676,923		\$1,045,652

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$1,706	\$1,706		\$1,706
Aging & Disability Services	1,092	1,163	71	1,234
Juv & Adult Community Justice	36,585	96,128	59,543	155,671
Health Services	21,166	18,224	(2,942)	15,282
District Attorney	10,758	15,253	4,495	19,748
Sheriff's Office	196,817	176,679	(20,138)	156,541
Environmental Services	83,454	91,003	7,549	98,552
Other County	81,041	278,587	197,546	476,133
Library	428,611	366,909	(61,702)	305,207
Totals	\$861,230	\$1,045,652	\$184,422	\$1,230,074

Description of Services

-Finance-

The Finance Division is responsible for assuring that the County's financial activities are accurately reflected in the accounting records and that the County's cash is properly managed.

Activities of this organization include providing administrative support for federal grants, performing centralized payroll functions, and paying vendors. In addition, Accounting and Treasury perform banking services and manage County cash.

Accounting and Treasury services are deemed necessary for the successful conduct of federal programs and are, therefore, deemed allowable. Allowable costs were allocated on the basis of the number of voucher lines per organization.

The portion of Finance considered normal cost of County government has been eliminated from this allocation.

FINANCE

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$1,866,951	\$55,165	\$1,811,786
Material & Services	2,601,790	76,877	2,524,912
Capital Outlay			
Total Organization	\$4,468,740	\$132,042	\$4,336,698
LAN Administration	22,783	673	22,110
DSS Director	21,524	636	20,888
Organization/Adj. Total	\$4,513,047	\$133,351	\$4,379,696

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$4,379,696
 Basis of Allocation: # of Voucher lines Processed

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	6,498	5.39%	\$236,065
Aging & Disability Services	4,618	3.83%	167,767
Juv & Adult Community Justice	8,746	7.25%	317,732
Health Services	21,838	18.11%	793,349
District Attorney	6,552	5.43%	238,027
Sheriff's Office	10,093	8.37%	366,667
Environmental Services	35,826	29.72%	1,301,517
Other County	9,774	8.11%	355,078
Library	16,612	13.78%	603,495
Totals	120,557	100.00%	\$4,379,696

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$204,769	\$236,065	\$31,296	\$267,361
Aging & Disability Services	122,637	167,767	45,130	212,896
Juv & Adult Community Justice	248,238	317,732	69,494	387,226
Health Services	637,712	793,349	155,637	948,987
District Attorney	169,102	238,027	68,925	306,951
Sheriff's Office	307,653	366,667	59,014	425,681
Environmental Services	894,470	1,301,517	407,047	1,708,564
Other County	461,558	355,078	(106,480)	248,598
Library	443,053	603,495	160,442	763,936
Totals	\$3,489,192	\$4,379,696	\$890,504	\$5,270,201

Description of Services

-Labor Relations-

The Labor Relations Division is responsible for negotiating and administering labor contracts, representing the County in civil service hearings and advising managers on disciplinary action.

Labor Relations directly benefits grants programs through its work with employees and managers within those programs. The basis of cost allocation is the number of total employees within each department.

LABOR RELATIONS

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$297,176		\$297,176
Material & Services	63,833		63,833
Capital Outlay	26,478	26,478	
Total Organization	\$387,487	\$26,478	\$361,009
LAN Administration	6,379		6,379
DSS Director	43,048		43,048
Organization/Adj. Total	\$436,914	\$26,478	\$410,436

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$410,436
 Basis of Allocation: Number of Employees

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	417	8.65%	\$35,509
Aging & Disability Services	370	7.68%	31,507
Juv & Adult Community Justice	648	13.44%	55,179
Health Services	878	18.22%	74,764
District Attorney	225	4.67%	19,159
Sheriff's Office	966	20.04%	82,258
Environmental Services	533	11.06%	45,386
Other County	271	5.62%	23,076
Library	512	10.62%	43,598
Totals	4,820	100.00%	\$410,436

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$24,280	\$35,509	\$11,229	\$46,737
Aging & Disability Services	22,610	31,507	8,897	40,403
Juv & Adult Community Justice	44,962	55,179	10,217	65,396
Health Services	81,446	74,764	(6,682)	68,082
District Attorney	15,159	19,159	4,000	23,160
Sheriff's Office	56,717	82,258	25,541	107,798
Environmental Services	42,650	45,386	2,736	48,123
Other County	16,186	23,076	6,890	29,967
Library	35,392	43,598	8,206	51,804
Totals	\$339,402	\$410,436	\$71,034	\$481,470

Description of Services

-Purchasing-

Purchasing provides central purchasing and supply services to all County organizations.

It procures all supplies, materials, equipment, labor, and contractual services for the performance of professional, technical, or expert service. In addition, Purchasing oversees the solicitation and processing of bids for services and products of a specialized nature needed by the County.

Purchasing directly benefits federal programs to the extent it procures supplies and services for use in those programs. Allowable costs are allocated on the basis of requisitions and purchase orders per organization.

PURCHASING

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$1,188,940		\$1,188,940
Material & Services	535,285		535,285
Capital Outlay			
Total Organization	\$1,724,225		\$1,724,225
LAN Administration			
DSS Director	21,524		21,524
Organization/Adj. Total	\$1,745,749		\$1,745,749

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated:

\$1,745,749

Basis of Allocation:

of Purchase Orders/Requisitions

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	302	4.78%	\$83,420
Aging & Disability Services	56	0.89%	15,469
Juv & Adult Community Justice	258	4.08%	71,266
Health Services	1,536	24.30%	424,283
District Attorney	84	1.33%	23,203
Sheriff's Office	366	5.79%	101,099
Environmental Services	1,660	26.27%	458,535
Other County	1,728	27.34%	477,319
Library	330	5.22%	91,155
Totals	6,320	100.00%	\$1,745,749

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$92,299	\$83,420	(\$8,879)	\$74,542
Aging & Disability Services	31,685	15,469	(16,216)	(748)
Juv & Adult Community Justice	71,635	71,266	(369)	70,898
Health Services	297,560	424,283	126,723	551,007
District Attorney	32,144	23,203	(8,941)	14,262
Sheriff's Office	81,278	101,099	19,821	120,920
Environmental Services	489,505	458,535	(30,970)	427,566
Other County	287,917	477,319	189,402	666,720
Library	67,961	91,155	23,194	114,348
Totals	\$1,451,984	\$1,745,749	\$293,765	\$2,039,514

Description of Services

-Records-

The Records Center is responsible for maintaining a library of County records, including storage, retention, and distribution of these records.

Activities of the Records Center include photocopying County records on microfilm, maintaining a film library of recorded documents, and coordinating County records in all organizations.

As County records pertaining to federal programs are maintained by the Records Center, services necessary to the successful conduct of federal programs are provided by via documentation and distribution of information. Allowable costs are allocated on the basis of the number of boxes stored and retrieved by each department.

RECORDS

ACTUAL EXPENDITURES

Expenditure Category	Total Cost	Not Allowable	Allowable
Personal Services	\$207,326	\$2,444	\$204,882
Material & Services	144,482	1,703	142,778
Capital Outlay			
Total Organization	\$351,808	\$4,148	\$347,660
LAN Administration			
DSS Director			
Organization/Adj. Total	\$351,808	\$4,148	\$347,660

ALLOCATION OF ALLOWABLE COSTS

Allowable Cost to be Allocated: \$347,660
 Basis of Allocation: Retrievals & Boxes In

County Organization	Basis of Allocation	Percent	Allocation
Community & Family Services	2,274	10.90%	\$37,881
Aging & Disability Services	619	2.97%	10,312
Juv & Adult Community Justice	2,021	9.68%	33,667
Health Services	6,646	31.84%	110,711
District Attorney	4,353	20.86%	72,514
Sheriff's Office	1,899	9.10%	31,634
Environmental Services	1,069	5.12%	17,808
Other County	1,952	9.35%	32,517
Library	37	0.18%	616
Totals	20,870	100.00%	\$347,660

CENTRAL SERVICE ROLL FORWARD COMPUTATION

County Organization	Actual 30-Jun-98	Actual 30-Jun-00	Adj. Roll Forward	Fixed 30-Jun-02
Community & Family Services	\$17,157	\$37,881	\$20,724	\$58,605
Aging & Disability Services	4,148	10,312	6,164	16,475
Juv & Adult Community Justice	42,101	33,667	(8,434)	25,232
Health Services	102,085	110,711	8,626	119,338
District Attorney	41,819	72,514	30,695	103,209
Sheriff's Office	11,962	31,634	19,672	51,306
Environmental Services	23,561	17,808	(5,753)	12,055
Other County	16,661	32,517	15,856	48,373
Library	349	616	267	884
Totals	\$259,843	\$347,660	\$87,817	\$435,477



MULTNOMAH COUNTY OREGON

APPENDIX

Region X
M/S RX-04
2201 Sixth Avenue
Seattle, WA 98121

March 9, 1990

Ben Buisman
Financial Systems Manager
Department of General Services
Multnomah County
1430 Portland Building
1120 S.W. Fifth Avenue
Portland, Oregon 97204

Dear Mr. Buisman:

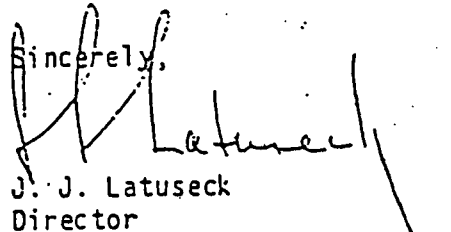
As the cognizant Federal Agency, this is to inform you that pursuant to the Office of Management and Budget Circular A-87, Attachment A, Section J.5.a., current and future central services cost allocation plans and departmental/divisional indirect cost proposals will not have to be submitted for review by this office.

You are advised, however, that central services cost allocation plans and departmental/divisional indirect cost proposals must be prepared in accordance with the appropriate Federal cost principles and be available as of the time a claim is made against a Federal award. The documentation in support of the claim must be retained for a period of three years.

This policy will remain in effect until advised otherwise by this office or a newly designated cognizant Federal Agency.

We remain available to answer technical questions or otherwise provide information consistent with the functions of this office.
Thank you for your cooperation.

Sincerely,


J. J. Latuseck
Director
Division of Cost Allocation

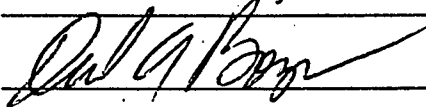
Enclosure

CERTIFICATE OF INDIRECT COSTS

This is to certify that I have reviewed the indirect cost rate proposal submitted herewith and to the best of my knowledge and belief:

1. All costs included in this proposal for the fiscal year ended June 30, 2000 to establish billing or final indirect cost rates for the fiscal year July 1, 2001 through June 30, 2002 are allowable in accordance with the requirements of the Federal award to which they apply and OMB Circular A-87, "Cost Principles for State and Local Governments." Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.
2. All costs included in this proposal are properly allocable to the Federal awards on the basis of a beneficial or causal relationship between expenses incurred and the agreements to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently and the Federal Government will be notified of any accounting changes that would affect the predetermined rate.

I declare that the foregoing is true and correct.


Government Unit:	Multnomah County
Signature:	
Name of Official:	David A. Boyer
Title:	Finance Director
Date of Execution:	December 29, 2000

CERTIFICATE OF COST ALLOCATION PLAN

This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

1. All costs included in this proposal for the fiscal year ended June 30, 2000 to establish cost allocations or billings for the fiscal year July 1, 2001 through June 30, 2002 are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State and Local Governments," and the Federal award to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.
2. All costs included in this proposal are properly allocable to the Federal awards on the basis of a beneficial or causal relationship between expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently.

I declare that the foregoing is true and correct.

Government Unit:	Multnomah County
Signature:	
Name of Official:	David A. Boyer
Title:	Finance Director
Date of Execution:	December 29, 2000

MEETING DATE: March 7, 2002
AGENDA NO: R-1
ESTIMATED START TIME: 9:30 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Notice of Intent to Apply for Grant Funding

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 10 minutes

DEPARTMENT: Health DIVISION: Office of the Director

CONTACT: Lillian Shirley TELEPHONE #: (503) 988-3674, #26293
BLDG/ROOM #: Portland Bldg., 106/14

PERSON(S) MAKING PRESENTATION: Lillian Shirley, RN, MPH, MPA, Dept. Director

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Notice of Intent to Apply for Grant Funding from the US Department of Health and Human Services' Bureau of Primary Health Care to Expand Oral Health Services Capacity.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: Lillian Shirley

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



MULTNOMAH COUNTY OREGON



TO: Diane Linn, County Chair

FROM: Lillian Shirley, RN, MPH, MPA, Director *CWF*

SUBJECT: Notice of Intent to Apply for Grant Funding through the Bureau of Primary Health Care – Expanded Capacity of Oral Health Services

DATE: February 20, 2002

REQUESTED PLACEMENT DATE: March 7, 2002

I. Recommendation/Action Requested

Authorize the Director of the Health Department to apply for grant funding from the Department of Health and Human Service's Bureau of Primary Health Care to expand the capacity of the Department's Dental Services provided to clients at the East County Health Center.

II. Background/Analysis

The Bureau of Primary Health Care has release a Request for Applications to organizations that operate federally funded Community Health Centers that may be interested in expanding access to comprehensive oral health services¹ for low-income residents. Approximately \$1.4 million is available for an estimated 25 to 30 awards that will be made by the Bureau during June 2002.

The burden of oral health disease restricts activities in school, work, and home, and often significantly diminishes the quality of life for individuals who are unable to obtain access to oral health care. The populations served by the Health Department's community health centers often experience significant barriers to oral health services because of income, language and/or cultural differences, or because they lack the ability to pay for services. The US Surgeon General reported in 2001 that the oral health care needs of low-income, minority, and other vulnerable population groups continue to be greater than those of the general population, and that lack of access to oral health care is the primary reason for this disparity.

Based on the most recent survey data (1998), 34.2 percent of Multnomah County's residents were without dental care insurance. Assuming that this rate has remained constant, this represents 225,720 residents. The Health Department's Dental Services Division has the resources to provide oral health care for approximately 29,000 client visits annually, only ten percent of these visits (2,900) are provided to individuals without insurance.

¹ Comprehensive oral health services is defined by the Bureau of Primary Health Care as personal oral health care, delivered in the context of family, culture, and community, that includes all but the most specialized oral health care needs of the individuals being served. The range of services includes preventive care, and education, emergency services, basic restorative services, and periodontal services. Additional services may include basic restorative services to enable an individual to eat, benefit from basic self-esteem, and have increased employment acceptability.

The purpose of this application is to expand oral health services at the Department's East County Health Center in Gresham. This site was selected because of the ability to expand capacity without the need to invest in costly capital improvements.

It is estimated that federal funding will give the Department the financial resources to hire a half time dentist and a dental assistant. This will enable Dental Services to serve approximately 600 new clients (or 1,200 encounters) annually.

III. Financial Impact

The one-year cost of this project is estimated to be \$140,000 for staffing, space, laboratory, records, interpretation and other related costs. The Health Department's Dental Services Division will request \$100,000 from the Bureau of Primary Health Care, and it is projected that resulting revenues will be at least \$40,000 based on a payer mix of clients that includes uninsured/underinsured (self-pay), Medicaid (Oregon Health Plan), and privately insured clients. If funds are awarded, the Bureau of Primary Health Care will add the amount of the grant (\$100,000) to the Department's federal grant for dental services after the first year to ensure a sustainable level of service delivery.

IV. Legal Issues

None identified.

V. Controversial Issues

None identified.

VI. Link to Current County Policies

The proposed project is consistent with the Health Department's mission, "...to assure, promote, and protect the health of the people of Multnomah County."

VII. Citizen Participation

This application for funding will be prepared with input and approval from the Multnomah County Community Health Council (the Council's membership includes individual consumers of the Department's health care services, health care providers, and government representatives).

VIII. Other Government Participation

See "Citizen Participation" above.

MEETING DATE: March 7, 2002
AGENDA NO: R-2
ESTIMATED START TIME: 9:40 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Notice of Intent to Apply for Grant Funding

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 10 minutes

DEPARTMENT: Health DIVISION: Office of the Director

CONTACT: Lillian Shirley TELEPHONE #: (503) 988-3674, #26293
BLDG/ROOM #: Portland Bldg., 106/14

PERSON(S) MAKING PRESENTATION: Lillian Shirley, RN, MPH, MPA, Dept. Director

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Notice of Intent to Apply for Grant Funding from the US Department of Health and Human Services' Bureau of Primary Health Care to Expand Primary Health Care Services Capacity.

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: Lillian Shirley

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



MULTNOMAH COUNTY OREGON



TO: Diane Linn, County Chair

FROM: Lillian Shirley, RN, MPH, MPA, Director *LS*

SUBJECT: Notice of Intent to Apply for Grant Funding through the Bureau of Primary Health Care – Expanded Primary Health Care Services Capacity

DATE: February 20, 2002

REQUESTED PLACEMENT DATE: March 7, 2002

I. Recommendation/Action Requested

Authorize the Director of the Health Department to apply for grant funding from the Department of Health and Human Service's Bureau of Primary Health Care to expand the capacity of the Department's East County Health Center, and North Portland Health Center.

II. Background/Analysis

The Bureau of Primary Health Care, a division of the US Department of Health and Human Services, has released a Request for Applications to organizations that operate federally funded Community Health Centers that may be interested in expanding access to primary health care services for low-income residents.¹ Approximately \$42 million is available for an estimated 100 awards to be made by the Bureau during June 2002.

The Health Department currently serves over 50,000 clients with over 143,000 primary care visits annually at its seven health clinics, including the East County Health Center, Mid-County Health Center, Southeast Health Center, Northeast Health Center, La Clinica de Buena Salud, North Portland Health Center, and Westside Health Center. People receiving services at County facilities include low-income individuals who face barriers to accessing health services because of income, language and/or cultural differences, or because they lack the ability to pay for services. Services are also provided to underserved populations, which include homeless people, migrant workers, residents of public housing, and individuals with special health care needs. The Department's clinics also provide health care to residents who receive publicly financed health insurance because many private physicians are no longer accepting new Oregon Health Plan clients. These "open card" clients currently number over 31,700 Multnomah County residents. For many of the county's underserved residents, options for care include using a non-profit health clinic, or a hospital emergency room, or they simply do without care. Non-profit clinics

¹ Primary health care includes family medicine, internal medicine, pediatrics, and obstetrics and gynecology, prenatal and perinatal services, well-child care and immunizations, and screenings for breast and cervical cancer, communicable diseases, diabetes and hypertension. Grant funding under this solicitation cannot be used to provide dental services, or mental health services.

and emergency rooms do not provide the full scope of primary health care, but are available on a limited basis to address acute and urgent medical conditions.

The purpose of this application will be to expand services at two of the seven health center sites, including East County (Gresham), and North Portland (St. Johns). These sites were selected because of the ability to expand capacity without the need to invest in costly capital improvements.

It is estimated that federal funding will give the Department the financial resources to hire three a provider teams. A provider team consists of a physician, community health nurse, and two medical assistants. Each team will be able to serve between 1,000 and 1,200 additional clients (or 3,000 to 3,600 encounters) annually. The total number of new clients that will receive services as a result of this funding opportunity is estimated to be between 3,000 and 3,600 (or 9,000 to 10,800 encounters) annually.

III. Financial Impact

The annualized cost of this project is approximately \$1.2 million for staffing, space, pharmacy, records, interpretation and other related costs. The Health Department's Primary Care Division will request \$600,000 each year for two years from the Bureau of Primary Health Care, and it is projected that resulting revenues will be at least \$600,000 annually based on a payer mix of clients that includes uninsured/underinsured (self-pay), Medicaid (Oregon Health Plan), and privately insured clients. If funds are awarded, the Bureau of Primary Health Care will add the annual amount of the grant (\$600,000) to the Department's federal grant for primary care after the initial two years to ensure a sustainable level of service delivery.

IV. Legal Issues

None identified.

V. Controversial Issues

None identified.

VI. Link to Current County Policies

The proposed project is consistent with the Health Department's mission, "...to assure, promote, and protect the health of the people of Multnomah County."

VII. Citizen Participation

This application for funding will be prepared with input and approval from the Multnomah County Community Health Council (the Council's membership includes individual consumers of the Department's health care services, health care providers, and government representatives).

VIII. Other Government Participation

See "Citizen Participation" above.

MEETING DATE: March 7, 2002
AGENDA NO: R-3
ESTIMATED START TIME: 9:45 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Certificate of Achievement for Excellence in Financial Reporting Award

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: March 7, 2002
AMOUNT OF TIME NEEDED: 10 Minutes

DEPARTMENT: BCS DIVISION: Finance

CONTACT: Dave Boyer TELEPHONE #: (503) 988-3903
BLDG/ROOM #: 503/4th

PERSON(S) MAKING PRESENTATION: Chair Diane Linn, Dave Boyer

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☒ OTHER

SUGGESTED AGENDA TITLE:

Presentation and Acknowledgement of 17th Consecutive Certificate of Achievement for Excellence in Financial Reporting Award for Multnomah County's June 30, 2000 Comprehensive Annual Financial Report

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: David Boyer

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or e-mail
deborah.l.bogstad@co.multnomah.or.us

MULTNOMAH COUNTY RECEIVES 17TH CONSECUTIVE FINANCIAL AWARD

Multnomah County's June 30, 2000, Financial Report meets the national standards of excellence to receive the Certificate of Achievement for Excellence in Financial Reporting from the National Government Finance Officers Association. The Certificate of Achievement is the highest form of national recognition in governmental accounting and financial reporting.

The County has received this prestigious award for 17 consecutive years. Nationally, approximately 2,500 out of about 82,000 (3%) governments receive this award.

In order to earn this award, a 10 page application form listing all the criteria that the County must meet had to be completed and an impartial panel of CPA's, government finance officers and educators evaluated the report. A unanimous vote of the review committee is necessary to achieve the award.

To earn this award the report had to effectively and accurately communicate information about the County's financial status and activities.

MEETING DATE: March 7, 2002
AGENDA NO: R-4
ESTIMATED START TIME: 9:55 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Comprehensive Annual Financial Report

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 20 minutes

DEPARTMENT: BCS DIVISION: Finance

CONTACT: Mindy Harris TELEPHONE #: (503) 988-3786
BLDG/ROOM #: 503/4

PERSON(S) MAKING PRESENTATION: Dave Boyer, Mindy Harris, Ray Barlow

ACTION REQUESTED:

☒ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☐ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Multnomah County, Oregon Comprehensive Annual Financial Report and Single Audit Report for the Fiscal Year Ended June 30, 2001

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: David Boyer

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or e-mail
deborah.l.bogstad@co.multnomah.or.us



MULTNOMAH COUNTY, OREGON

DEPARTMENT OF SUPPORT SERVICES FINANCE DIVISION

COUNTY COMMISSIONERS

DIANE LINN, CHAIR
MARIA ROJO DE STEFFEY, DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD. 4TH FLOOR
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
FAX (503) 988-3292

FORD BUILDING
2505 SE 11TH 1ST FLOOR
PORTLAND, OR 97202
PHONE (503) 988-5111
FAX (503) 988-3252
TDD (503) 988-5170

SUPPLEMENTAL STAFF REPORT

TO: Board of County Commissioners

FROM: Multnomah County Audit Committee
David A. Boyer, Finance Director
Mindy Harris, Accounting Manager

DATE: March 4, 2002

RE: June 30, 2001 Comprehensive Annual Financial Report and Single Audit Report

1. Recommendation/Action Requested: No action requested; Board briefing only.
2. Background/Analysis: We are pleased to submit to you our Multnomah County Comprehensive Annual Financial Report (CAFR) and Single Audit Report for the fiscal year ended June 30, 2001.

The Audit Committee met with Grant Thornton, LLP, external auditors, and County staff on February 25, 2002 to discuss the aforementioned reports. The following are the highlights of this meeting:

- The County received an unqualified opinion from the auditors, indicating that the financial statements fairly represent the financial position of Multnomah County.
- The auditors and management did not encounter any disagreements.
- The financial report was prepared according to generally accepted accounting principles.
- The auditors did not determine any audit adjustments.
- The County complied with:
 - The legal requirements related to debt.

- The appropriate laws pertaining to programs funded by other governmental agencies.
 - ORS 279 regarding the awarding of public contracts.
 - ORS 294 relating to preparation, adoption, and execution of budgets.
 - ORS 295 relating to collateral requirements.
 - Cost accounting guidelines.
- The County had several budgetary over expenditures in dedicated funds. All of the overexpenditures were offset by revenues earned in FY01. In the previous fiscal year, the County did not have any budgetary overexpenditures, but with the complexities of implementing MERLIN, we discovered these overexpenditures after June 30, 2001, but before we closed the books.

The overexpenditures are identified in footnote 10, on page 43 of the CAFR. Reasons for the overexpenditures are explained in Attachment A to this staff report.

- The County did not have any material instances of noncompliance with the Federal Government's OMB Circular A-133 relating to grant monies.
- Pages 13-16 of the Single Audit Report detail the Schedule of Findings and Questioned Costs.
- Report to Management – action to be taken is noted in the management response.

3. Financial Impact: None.
4. Legal Issues: None.
5. Controversial Issues: None.
6. Link to Current County Policies: Is consistent with County Policy.
7. Citizen Participation: Two members of the Audit Committee are citizens.
8. Other Government Participation: None.

This information is being provided to you to gain a more complete understanding of the County's financial condition. If you have any questions, please contact Mindy Harris, 988-3786.

Thank you for the opportunity you have provided to present these documents to you.

Board of County Commissioners Staff Report

Attachment A

Explanation of Budgetary Overexpenditures for fiscal year ended June 30, 2001

Following is a summary and explanation of the budgetary overexpenditures for fiscal year ended June 30, 2001:

1. Health Department, Federal State Fund, \$1,736,000

The Health Department changed the method by which they recorded revenue for the Federally Qualified Health Centers. This required a change by which the corresponding expenditures were recorded, and thus resulted in the department exceeding both the revenue and expenditure appropriations for the fiscal year. These expenditures were reimbursed by federal funds.

2. Sheriff's Office, Federal State Fund, \$101,000

This overexpenditure is due to River Patrol program expenses being erroneously charged to the Federal State Fund, rather than the General Fund. This error occurred when the County implemented SAP and has been corrected for FY02.

3. Support Services, Federal State Fund, \$135,000

This overexpenditure represents Weed & Seed grant expenditures for a grant that was not budgeted in the department. The County collected \$138,000 revenue, which was also not budgeted. The department has budgeted for this grant for FY02.

4. Environmental Services, Tax Title Land Sales Fund, \$51,000

This overexpenditure is for pass-through monies that were collected and are required to be turned over to other jurisdictions. The pass-through revenues also exceeded the budgeted amount.

5. Environmental Services, Corner Preservation Fund, \$54,000

This overexpenditure represents survey fees that were incurred in FY00, but due to the SAP implementation were not recorded in the correct fiscal year.

6. Non-Departmental, PERS Pension Bond Fund, \$79,000

The County was liable for attorney expenses related to the PERS litigation, and this amount was not anticipated when the budget request for FY01 was submitted.

7. Support Services, Building Project Fund, \$221,000

This overexpenditure is the result of implementing MERLIN, and was not anticipated when the budget request for FY01 was submitted. The Board allocated these bond funds to Merlin in FY00 but the appropriation was carried forward in FY01.

8. Support Services, Risk Management Fund, \$187,000

This overexpenditure is due to an increase in unemployment payments, and to the settlement of several claims that were pending against the County.

January 23, 2002

The Board of County Commissioners
Multnomah County
501 SE Hawthorne Blvd, 6th Floor
Portland, Oregon 97293-0700

Ladies and Gentlemen:

In connection with our audit of the financial statements of Multnomah County as of June 30, 2001, we noted certain matters that we believe you should consider. Our observations were formed as a by-product of our audit procedures, which did not include a comprehensive review for the purpose of submitting detailed recommendations. The matters discussed herein are those that we have noted as of December 4, 2001 and we have not updated our procedures regarding these matters since that date to the current date.

Authorized Signers on Bank Accounts

During our review of cash, we noted the authorized signers on certain bank accounts did not have the most current information. Specifically, the Bank of America controlled disbursement account listed the former Chair as an authorized signer. We also noted that US Bank could not locate a list of authorized signers for the County Finance account.

We recommend the County review and update the authorized signers on file with each financial institution when employees with signature authority leave the County. We also recommend that you work with US Bank to provide them a new list of the authorized signers.

Prepaid Expenses

In our review of the prepaid expenses, we noted a number of small dollar balances that had not changed from the prior year. Upon inquiry with management it was determined that most of the items underlying these balances had expired and the balances should be expensed. We recommend the prepaid expense accounts be reconciled and adjusted at least annually.

Budgeting Process

Oregon budget law requires two notices of the budget committee meeting be published 5-30 days before the budget committee meeting, if the notice is published in the newspaper. Multnomah County did not publish

the second notice for the committee meeting as required by the law. Per our discussion with the Budget and Quality department, they will take appropriate steps to ensure compliance with Oregon law in the future. We recommend the Director of Support Services review the plan for compliance and monitor the process during the fiscal year 2002-2003 budget process.

Procurement Cards

During the audit, we performed specific testing of Multnomah County's purchasing cards to determine compliance with written purchasing regulations. It was found that there was a general misunderstanding among County employees regarding the purchasing regulations. Violations we noted during our testing included 1) charging purchases for prohibited classes of expenditures such as registration fees and gifts, 2) charging purchases over the card's 'per transaction' limit by splitting one transaction into several smaller charges posted on the same day, 3) charging purchases in excess of the \$2,500 limit without obtaining quotes and a purchase order, 4) credit card monthly statements not approved by a supervisor, and 5) receipts for meals lacking the appropriate documentation – business purpose of the meal, attendees and their titles and the detailed receipt to document that alcohol was not purchased. The internal audits performed by the Accounts Payable Department also detected similar violations. County employees audited by Accounts Payable receive a 'findings' letter, which recommends the change in operating practice to be implemented.

We believe re-emphasizing the existing policies with cardholders could eliminate many of these items. We recommend re-distributing the written purchasing regulations to all cardholders. We also recommend that a signature page be added to the regulations requiring each cardholder to acknowledge that they have read the regulations. The signature page should be returned to a central control person in the Accounts Payable Department. We also recommend the existing requirement that all monthly statements be approved by a supervisor should be strictly enforced. The supervisors' approval should be evidenced by their initials. The process for revoking a purchasing card should be exercised in a timely manner for departments that repeatedly violate purchasing regulations.

Behavioral Health Liability Accrual

In our review of accrued liabilities, we noted the incurred but not reported liability and the claims clearing liability – two accounts in the Behavioral Health fund that are reconciled by the Department of Community and Family Services, had year-end balances that had not been properly adjusted and that certain transactions during the year had been misposted to the general ledger. Auditing these liabilities at year-end was inefficient and required a significant amount of additional time due to the nature of the support provided and records maintained during the year. Reports provided to us were not the most current version and contained formula errors, which made it difficult to audit and reconcile to the general ledger. Ultimately, several journal entries were necessary to correct the year-end ledgers for the programs in the Behavioral Health Fund (3002) and the Federal & State Fund (1505).

We recommend that these accounts be reconciled periodically (monthly or quarterly). The reconciliations should specifically address whether a) the support clearly identifies paid/unpaid status of claims, b) the support reconciles to the general ledger or reconciliation to the general ledger is provided, and c) the support contains the final, correct, and complete information at the County's administrative cut-off date for recording the liability in the accounting system.

Year-End Cutoff

We noted a few inaccuracies in the County's year-end cutoff during our testing of revenues and expenditures. Currently, the County has written procedures in place to properly record year-end transactions. Upon further review with management, the aggregate amount incorrectly recorded to fiscal year 2002 was not material, and we passed on recording the actual dollar amount.

We recommend that the County remind all employees of its policy regarding year-end cutoff procedures. This training should focus on revenue and expenditure recognition criteria and cut-off procedures.

Clearing Transactions

During our review of liability accounts and accounts receivable, we noted a significant number of related transactions that had not been cleared out, and as a result, remain as individual detail transactions in the Goods Receipts/Invoice Receipts clearing account and accounts receivable. Upon further investigation we noted these accounts are not being reconciled in a timely manner. Per inquiry with the accounting staff, it appears that the delay is partly due to insufficient knowledge of how to resolve the issue in the accounting system. Excessive detail transactions in general ledger accounts often creates time consuming reconciliations at a later date which is not the most cost effective approach.

We recommend that departments determine an appropriate schedule for reviewing transactions in order to remove any "closed" transactions from the general ledger detail.

Compensated Absences Accrual

In auditing the compensated absences accrual, we attempted to trace the rates used on the external spreadsheet reconciliation to the hourly employee rates as recorded in SAP. We encountered an error in this testing where the hourly rate for an employee's benefits accrued was lower than the employee's rate of pay according to SAP. Upon discovering the error, the County investigated further and found that there was a formula error that caused the accrual of employee benefits to be understated for approximately 1,100 employees. Because of the cumulative effect of offsetting adjustments, the County did not record this adjustment. Depending on a number of factors, including the future materiality of such unrecorded amounts,

future financial statements may be materially misstated if such adjustment is not recorded in those financial statements.

To ensure that all employee rates in year-end reconciliations are accurate, we recommend the year-end employee wages be verified with SAP. In addition, an independent random check should be performed of wage rates in the year-end reconciliation workbook.

Single Audit Reporting

In our testing of federal financial assistance, we noted late reporting of financial status and progress reports on behalf of the County. Per the March 2001 Compliance Supplement, Part 7, Step 3, First Paragraph, late reporting does not meet the criteria of an audit finding and therefore will not be reported as such in the Schedule of Findings. It should be noted that late reporting is a violation of grant requirements and penalties could be assessed. In our grant testing, we discovered that late reporting occurred with the Weed & Seed Grant Program (CFDA #16.595), Temporary Assistance for Needy Families (CFDA #93.558), Low-income Energy Assistance Program (CFDA #93.568) and Juvenile Accountability Incentive Block Grant (CFDA #16.523).

We recommend management implement procedures to ensure timely financial reporting to avoid exposure to penalties and fines.

SAP Payroll Limitations

SAP does not allow for a line-by-line report of employee salaries charged to a grant. Instead, employee charges are sent to a cost center / work breakdown structure (WBS element) and then charged to grants based on existing percentages of the salary split. OMB Circular A-87 requires actual time spent on grants be charged against grants rather than percentage time splits.

We recommend that you work with system programmers to determine how SAP could be adapted to capture the required information.

Internal Control Procedures

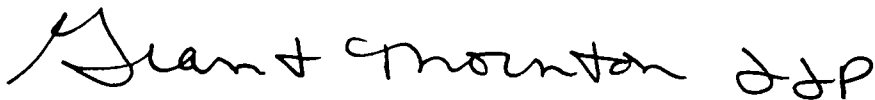
During the testing of the payroll and expenditure cycles we noted instances where existing controls and procedures were not always followed. Instances include petty cash reimbursement forms lacking approval, timecards missing employee or supervisor signatures and timecards that were not obtained as the information was taken via telephone. Also, certain expenditures were not supported by the appropriate documentation / invoices, etc.

We encourage finance personnel to enforce existing policies. This will help ensure they are followed consistently and communicates the importance of adhering to these policies.

* * * * *

Should you desire further information concerning these matters, we will be happy to meet with you at your convenience.

Very truly yours,

 Sean Thornton JJP



MULTNOMAH COUNTY, OREGON

DEPARTMENT OF SUPPORT SERVICES FINANCE DIVISION

COUNTY COMMISSIONERS

DIANE LINN, CHAIR
MARIA ROJO DE STEFFEY, DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD. 4TH FLOOR
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
FAX (503) 988-3292

FORD BUILDING
2505 SE 11TH 1ST FLOOR
PORTLAND, OR 97202
PHONE (503) 988-5111
FAX (503) 988-3252
TDD (503) 988-5170

MEMORANDUM

TO: Board of County Commissioners
FROM: Dave Boyer, Finance Director
Mindy Harris, Accounting Manager
DATE: March 4, 2002
SUBJECT: Response to Management Advisory Comments from External Auditors

We are pleased to present to you our Comprehensive Annual Financial Report for the fiscal year ended June 30, 2001. We offer the following responses to the auditors' recommendations in their management letter dated February 19, 2002:

Authorized Signers on Bank Accounts

Checks bearing the signature of the former Chair continue to be outstanding. The Bank of America has advised the County to maintain the former Chair's signature until either all checks bearing her signature have cleared or have been canceled and turned over to the State as unclaimed funds. The latter will occur at the end of March, 2003 and the signature will be removed from the bank's records at that time.

US Bank has been provided with a current signatory card for the County Finance account.

Prepaid Expenses

The Accounting staff is working through the list of prepaid expenses that the auditors have identified, and will expense these amounts in FY02. In addition, a more thorough ongoing review of prepaid items is being established within the Accounting unit and is easier to perform using Merlin than the County's former accounting software.

Budgeting Process

The County Budget Office will take appropriate measures to ensure compliance with Oregon budget law in the future.

Procurement Cards

The procurement card system the County is currently using is relatively new. In addition, the auditing functions performed by the Accounts Payable staff are a new responsibility since implementing Merlin. The Finance Division is currently working with key staff in departments to

update administrative procedures, including the procedure that applies to procurement cards. When the procedure update is complete, Finance will provide training to users to achieve a greater understanding of the appropriate use of procurement cards.

The Accounts Payable unit is also working to refine their audit procedures to detect ongoing issues related to procurement card use and other general accounts payable matters. Signature authorization and other approval requirements will be specifically reviewed.

Behavioral Health Liability Accrual

The Department of County Human Services will revise the current procedure to insure periodic reconciliation of the liability accounts in the Behavioral Health Fund. A quarterly reconciliation will be completed and forwarded to the County's Finance Division. Supporting records will also be maintained monthly to insure that final information at fiscal year end is accurate and reconciles to the general ledger.

Year-End Cutoff

This year end closing process was the County's first using SAP. The Accounting staff worked with the department fiscal staff to put together a comprehensive process and schedule for year end closing. Training and ongoing consultation was provided to all users. During the year end process, we found a few areas that needed modification or improvement, and detailed notes were kept to be used next fiscal year. The Accounting unit will continue to provide training and consultation, and will emphasize efforts in the areas where the problems existed last fiscal year.

Clearing Transactions

Accounting staff have been working for several weeks with the Merlin technical staff to address this issue. Together we have developed improved procedures for clearing old transactions out of the system in order to increase our ability to manage the reconciliation of the outstanding transactions. In addition, several training issues have been identified and the Merlin team is producing procedural instructions for departmental users. It is expected that by fiscal year end, we will have a manageable number of transactions in these accounts and the accounting staff and auditors will be able to reconcile and review the accounts quickly and efficiently.

Compensated Absences Accrual

The calculation used to determine the compensated absences expense amount was indeed erroneous. We have identified an individual that will randomly review the calculation and related formulas to ensure that this type of error does not repeat.

Single Audit Reporting

Timely and accurate grant accounting continues to be a problem throughout the County. We feel that this is primarily due to a lack of a centralized grant function, and therefore a lack of expertise in all aspects of grant accounting and reporting.

Implementation of the County's new enterprise system, Merlin, has enabled the County to improve grant accounting and to record expenses and revenues in the year they are accrued. This enables the County to submit more timely reimbursement requests, although it is clear there is still room for improvement. General Ledger will continue to work with Departmental staff to account for grants in a timely and consistent manner.

SAP Payroll Limitations

The grant/personnel reporting changes that Merlin has presented is problematic. The Finance Division is working with the State of Oregon, Department of Revenue, to try to find a level of detailed reporting that is acceptable to grantors yet will not require excessive amounts of labor to produce. The OMB Circular A-87 requirement to report actual time spent on grants rather than percentage time splits presents additional problems. The Finance Division has reported these requirements to SAP with the expectation that SAP will address the grant reporting issues as they increase their presence in the government market. In addition, the County is implementing new reporting software that will assist us in meeting grant reporting requirements.

Internal Control Procedures

The Finance Division staff is currently working on updating a number of administrative procedures. Once the procedures are completed, we will provide training to address these specific requirements. We will also continue to audit department fiscal activity and when internal controls are not being followed, we will advise the departments of the proper solution and follow up until the problem is resolved.

In addition, a Merlin/Finance users group has recently been formed and is being facilitated by the Finance Division. Through this group we will continue to educate and inform users of proper accounting practices and procedures, and other related fiscal controls.

<http://www.co.multnomah.or.us/dss/fin/cafr2001/CAFR2001.pdf> (Rather than attach the entire 2.67 MB file to this email, here is the link to the full Comprehensive Annual Financial Report on the internet)

Schedule of Expenditures of Federal
Awards and Reports of Independent
Certified Public Accountants

Multnomah County, Oregon

Year ended June 30, 2001

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Report of Independent Certified Public Accountants
on the County's Compliance and Internal Control Over
Financial Reporting Based on an Audit of General Purpose Financial Statements
Performed in Accordance with *Government Auditing Standards*

The Board of County Commissioners
Multnomah County, Oregon

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether Multnomah County, Oregon's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered Multnomah County, Oregon's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weakness.

This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

/s/ Grant Thornton LLP

Report of Independent Certified Public Accountants
on the County's Compliance with Requirements Applicable to Each Major
Program and Internal Control Over Compliance in Accordance with
OMB Circular A-133

The Board of County Commissioners
Multnomah County, Oregon

Compliance

We have audited the compliance of Multnomah County, Oregon with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133, Compliance Supplement*, that are applicable to each of its major federal programs for the year ended June 30, 2001. Multnomah County's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of Multnomah County, Oregon's management. Our responsibility is to express an opinion on Multnomah County, Oregon's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Multnomah County, Oregon's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on Multnomah County, Oregon's compliance with those requirements.

In our opinion, Multnomah County, Oregon complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2001. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 01-1 through 01-2.

Internal Control Over Compliance

The management of Multnomah County, Oregon is responsible for establishing and maintaining effective internal control over the compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered Multnomah County, Oregon's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. Our audit was performed for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the general purpose financial statements. Such information has been subjected to the auditing procedures applied in the audit of the general purposes financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the general purpose financial statements taken as a whole.

This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners, the Secretary of State, Division of Audits, of the State of Oregon and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

/s/ Grant Thornton LLP

Multnomah County, Oregon

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE A – GENERAL

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal awards programs of Multnomah County, Oregon (the County) for the year ended June 30, 2001. The County's reporting entity is defined in Note 1 to the County's June 30, 2001 general purpose financial statements.

NOTE B – BASIS OF ACCOUNTING

The accompanying Schedule of Expenditures of Federal Awards is presented using a basis of accounting which is consistent with the general purpose financial statements, as described in Note 1 to the County's general purpose financial statements.

NOTE C – RELATIONSHIP TO GENERAL PURPOSE FINANCIAL STATEMENTS

As described in Note 2 to the general purpose financial statements, federal financial assistance revenues reported in the County's general purpose financial statements are included with intergovernmental revenues.

NOTE D – NONCASH AWARDS

The accompanying Schedule of Expenditures of Federal Awards includes a noncash award from the Department of Health and Human Resources in the form of vaccines (CFDA #93.268 – Childhood Immunization Grants). The value of the Childhood Immunization Grant award was determined by the granting agency. The amount expended and advanced at June 30, 2001 is calculated on a proportionate basis.

Multnomah County, Oregon

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

NOTE E – SUBRECIPIENTS

Of the federal expenditures presented in the schedule, Multnomah County provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Community Development Block Grants/Entitlement Grants	14.218	\$
Supportive Housing Program	14.235	
Home Investment Partnerships Program	14.239	
Title V - Delinquency Prevention Program	16.548	
Employment Services and Job Training Pilots - Demonstrations and Research	17.249	
Weatherization Assistance for Low Income Persons	81.042	
Emergency Food and Shelter National Board Program	83.523	
Safe and Drug Free Schools and Communities - State Grant	84.186	
Special Programs for the Aging - Title III, Part C - Nutrition Services	93.045	
Maternal and Child Health Federal Consolidated Programs	93.110	
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118	
Projects for Assistance in Transition from Homelessness (PATH)	93.150	
Health Center Grants for the Homeless Populations	93.151	
Community Prevention Coalitions (Partnership) Demonstration Grant	93.194	
Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities	93.196	
Consolidated Knowledge Development and Application Program	93.230	
Alcohol Research Programs	93.273	
Low-Income Home Energy Assistance Program	93.568	
Community Services Block Grant	93.569	
Child Care and Development Block Grant (Discretionary Fund of the Child Care and Development Fund)	93.575	
Social Services Block Grant	93.667	
Medicaid Assistance Program (Medicaid, Title XIX)	93.778	
HIV Emergency Relief Project Grants	93.914	
HIV Care Formula Grants	93.917	
Cooperative Agreements for State - Based Comprehensive Breast And Cervical Cancer Early Detection Programs	93.919	
Healthy Start Initiative (Targeted Infant Mortality Initiative)	93.926	
Block Grant for Community Mental Health Services	93.958	
Block Grants for Prevention and Treatment of Substance Abuse (SAPT)	93.959	
Youth Employment and Empowerment	None	
Total subrecipient pass through		\$

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

SECTION I – SUMMARY OF AUDITOR’S RESULTS

Financial Statements

Type of auditor’s report issued:

Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? ☐ yes ☒ no
- Reportable condition(s) identified that are not considered to be material weaknesses? ☐ yes ☒ none reported
- Noncompliance material to financial statements noted? ☐ yes ☒ no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? ☐ yes ☒ no
- Reportable condition(s) identified that are not considered to be material weaknesses? ☐ yes ☒ none reported

Type of auditor’s report issued on compliance for major programs:

Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

☒ yes ☐ no

Identification of major programs:

CFDA Number	<u>Name of Federal Program</u>
14.235	Department of Housing and Urban Development, Supportive Housing Program
16.523	Department of Justice, Juvenile Accountability Incentive Block Grant
16.595	Department of Justice, Executive Office for Weed and Seed
93.224	Department of Health and Human Services, Community Health Centers
93.558	Department of Health and Human Services, Temporary Assistance for Needy Families
93.568	Department of Health and Human Services, Low-Income Home Energy Assistance
93.914	Department of Health and Human Services, HIV Emergency Relief Project Grants

Dollar threshold used to distinguish between type A and type B programs:

\$1,842,650

Auditee qualified as low-risk auditee?

☒ yes ☐ no

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

SECTION II – FINANCIAL STATEMENT FINDINGS

There were no financial statement findings.

SECTION III – FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

Findings and Questioned Costs are listed on the following pages.

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

FINDING 01-1

Program:	CFDA #16.595 Executive Office for Weed and Seed CFDA #93.568 Low-Income Energy Assistance Program CFDA #14.235 Supportive Housing Program
Federal Agency:	Department of Justice Department of Health and Human Services Department of Housing and Urban Development
Award Year:	2000-2001
Requirement:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employed working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods.
Finding:	The salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The County should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.
County Response:	The County discussed this issue with Department of Health and Human Services (DHHS) when the SAP system was being implemented. The County told DHHS that this finding was likely to occur in fiscal year 2001, and the County committed to DHHS that monthly surveys to address the time distribution requirements of Circular A-87 would be implemented in fiscal year 2002.

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

FINDING 01-2

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	2000-2001
Requirement:	The grantee agrees to require that organizations which receive grant funds certify, as part of the contract, that they have appropriate hiring policies and screening procedures for employees who will be working with youth and other residents as part of the Weed and Seed strategy.
Finding:	County contracts with organizations receiving grant funds did not contain language specifically required by grant special conditions.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	Include required language in contracts as necessary.
County Response:	The County will include the employment screening requirements in the contracts when they are renewed in fiscal year 2002.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN

FINDING 00-1

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 - 2000
Requirement:	Program costs must be paid for by County funds before reimbursement is requested from the Federal government.
Finding:	The County requested and received reimbursement for funds prior to payment of costs.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The County should reconcile monthly reimbursement requests to monthly expenditure reports and only request reimbursements after they have been paid by the County.
County Response:	The request for reimbursement for the period July 1, 1999-September 30, 1999 was filed on October 20, 1999. At that time, the grant period ended September 30, 1999. Therefore all costs for services provided during the period July 1, 1999-September 30, 1999 were included in the request because it was the final billing. The grant was subsequently extended.
2001 Update:	The County is currently reviewing cash versus accrual reporting for grant reimbursement and will set procedures in place for all departments to be consistent in reporting on all contracts and grants.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-2

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 - 2000
Requirement:	Grantee must ensure that organizations which receive grant funds certify, as part of the contract, that they have appropriate hiring policies and screening procedures for employees who will be working with youth.
Finding:	County contracts with organizations receiving grant funds did not contain language specifically required by grant special conditions.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	Include required language in contracts as necessary.
County Response:	Effective May 2000, appropriate language regarding hiring policies and screening procedures was incorporated as a standard requirement for all Juvenile Justice Division contracts.
2001 Update:	The County is working to include the appropriate language and hiring procedures for all required contracts.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-3

Program: CFDA #16.595, Weed and Seed Program

Federal Agency: Department of Justice

Award Year: 1999 – 2000

Requirement: Quarterly financial status reports are due 45 days after the end of the quarter. Semi-annual progress reports are due 30 days after the end of the semi-annual period.

Finding: Program staff did not submit certain quarterly fiscal and semi-annual progress reports as required, as shown below:

	<u>Quarter Ended</u>	<u>Date Due</u>	<u>Date Sent</u>
2000-WS-QX-0024	06/30/99	08/15/99	09/01/99
2000-WS-QX-0024	09/30/99	11/15/99	11/17/99
1998-WS-QX-0044	06/30/99	08/15/99	09/24/99
	<u>Semi- annual Period Ended</u>	<u>Date Due</u>	<u>Date Sent</u>
2000-WS-QX-0024	06/30/00	07/31/00	08/05/00

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response: This finding encompasses two departments. The responses are as follows:

Juvenile Justice Division

Changes in staffing and year-end fiscal reconciliation caused a delay in the filing of the fiscal reports. Since that time, the department has reorganized and hired two new grant accountants to ensure that reports are submitted accurately and timely.

District Attorney's Office

The District Attorney's Office has taken note of the time requirements for quarterly fiscal and semi-annual progress reports. Corrective action has been taken to ensure future compliance.

2001 Update: The County is continuing to improve upon the system implemented in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-4

Program: CFDA #16.595, Weed and Seed Program

Federal Agency: Department of Justice

Award Year: 1999 - 2000

Requirement: Semi-annual progress reports are due 30 days after the end of the semi-annual period.

Finding: There was insufficient documentation available to determine whether semi-annual progress reports were filed.

	Semi- annual Period Ended	Date Due	Date Sent
2000-WS-QX-0164	12/31/99	01/31/00	Unknown
2000-WS-QX-0164	06/30/00	07/31/00	Unknown
1998-WS-QX-0044	12/31/99	01/31/00	Unknown
1998-WS-QX-0044	06/30/00	07/31/00	Unknown

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

County Response: Progress reports were sent by the Weed and Seed Coordinator directly to the Project Manager in Washington D.C. Copies of the reports were not provided to the County. It was unclear who in the Juvenile Justice Division was responsible for ensuring that progress reports were filed and obtaining copies for our files. That role has since been clarified.

2001 Update: The County is continuing to improve upon the system implemented in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-5

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 – 2000
Requirement:	Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.
Finding:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The District Attorney's Office and Juvenile Justice Division should base their time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response:

This finding encompasses two departments. The responses are as follows:

Juvenile Justice Division

Personnel costs were charged pursuant to the grant budget. We are currently developing procedures for obtaining time usage of employees charged to multiple grants (both direct and match) to prepare activity reports.

District Attorney's Office

Up to this point, employee time was split using a straight percentage based upon the budget; a percentage agreed upon by the funding sources. In order to abide by Circular A-87, the District Attorney's Office will alter this practice as follows: starting on the second pay period in December 2000 any employee whose time is split between various funding sources will be entering the actual time spent on the different programs.

2001 Update:

With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-6

Program: CFDA #93.568, Low-Income Home Energy Assistance

Federal Agency: Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Quarterly fiscal reports are due 20 days after the end of the quarter.
Quarterly program reports are due 15 days after the end of the quarter.

Finding: The program staff did not submit certain of the quarterly fiscal and program reports as required, as shown below:

	<u>Quarter Ended</u>	<u>Fiscal Report Date Due</u>	<u>Date Sent</u>
LIEAP – Client Education	06/30/00	07/20/00	07/26/00
LIEAP – Energy	06/30/00	07/20/00	07/26/00

	<u>Quarter Ended</u>	<u>Program Report Date Due</u>	<u>Date Sent</u>
LIEAP – Weather	09/30/99	10/15/99	10/21/99

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response:

The audit notes one late program report, which the DCPD program office is responsible for, in September 1999. In December of 1999 programs were audited and informed of prior late reports. In response, on 12/13/99 last year a requirement was put in writing that all program reports from that point forward be submitted on time or early for LIEAP. Since that date, the program office has corrected the process problem of prior years.

The fiscal reports are late largely due to the terms set in the provider contracts which require fiscal reports from the same by the 20th day following fiscal year end. That date happens to be the same day the state/federal fiscal report is due. To change that requirement to an earlier date would give providers inadequate time to complete their June month closing process (or FYE closing in many cases). Given the difficulty in obtaining required reporting information at the end of the fiscal year, the reporting requirement for this particular month (July) is not reasonable. All other fiscal quarterly reporting due dates have been met.

2001 Update:

The County is continuing to improve upon the system implementation in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-7

Program:	CFDA #14.235, Supportive Housing Program CFDA #14.218, Community Development Block Grant CFDA #93.230, Consolidated Knowledge Development and Application Program CFDA #93.568, Low Income Home Energy Assistance
Federal Agency:	Department of Housing and Urban Development Department of Health and Human Services
Award Year:	1999 – 2000
Requirement:	Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.
Finding:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The Department of Community and Family Services should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.
County Response:	The department has historically used salary defaults and salary splits as described in the project budget documents to report personnel costs. Inasmuch as this is inadequate, the department's grant reporting accountants and program staff will work on developing a system to gather personnel activity. The department will work closely with the auditors on developing a system that meets their interpretation of A-87
2001 Update:	With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-8

Program: CFDA #93.224, Department of Health and Human Services Community Health Centers Program
CFDA #93.926, Healthy Start Initiative

Federal Agency: Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.

Finding The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on a quarterly review of one week's performance rather than a pay period (semimonthly or biweekly).

This repeats a finding from the prior year.

Questioned Cost: None

Prevalence: Systemic

Recommendation: The Health Department should base its time distributions on random surveys four times a year with each covering at least one pay period (this approach was approved by the County's cognizant agency). All other aspects of the new Circular A-87 should be followed as appropriate.

County Response: In July 2000, the Health Department began a new method of cost allocation (RVU allocation) in 33 of its clinics. HRSA (the Health Department's cognizant federal agency) approved the substitute method under the provisions of Revised Circular A-87. The method is automated, generates cost savings by reducing unnecessary effort and is more accurate than time sampling.

2001 Update: With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-9

Program:	CFDA #93.045, Special Programs for the Aging
Federal Agency:	Department of Health and Human Services
Award Year:	1999 – 2000
Requirement:	Contractors are required to determine that the customers served are over the age of 60.
Finding:	For 4 out of 25 sample items selected, there was insufficient documentation on file to determine compliance with this requirement.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The program staff should monitor eligibility criteria more closely.
County Response:	The County's Aging and Disability Services Department will review the age determination requirement with all contractors and establish a periodic review procedure to monitor compliance with this requirement.
2001 Update:	The department is working towards ensuring all requirements of contracts are being followed and that adequate supporting documentation is tracked for audit purposes.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-10

Program:	CFDA #93.044 and 93.045, Special Programs for the Aging
Federal Agency:	Department of Health and Human Services
Award Year:	1999 – 2000
Requirement:	Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.
Finding:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The Department of Aging and Disability Services should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.
County Response:	<p>The employees who work on multiple grants are, for the most part, providing central administrative and support service functions to the entire Aging and Disability Services Department. The services these employees provide are not conducive to an after-the-fact time reporting system. The time and effort spent on developing a personnel or fiscal policy is not done for a specific grant, but rather is done for the entire department. The department has developed a plan for the allocation of these costs to each funding source. The department will work with the Federal cognizant agency to get approval of this cost allocation plan.</p> <p>Also, the above allocations are already approved annually by the Oregon State Senior and Disabled Services Division as part of the Annual Area Plan filed by the County. The preparation and filing of the Area Plan is a requirement of the Federal Older Americans Act.</p>
2001 Update:	With the County's implementation of the new general ledger, SAP, in fiscal year 2001, they are continuing to improve the system to meet requirements.

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
<u>U.S. Department of Agriculture</u>			
Passed Through State Department of Education:			
National School Lunch Program	10.555	2613007	\$ 109,951
Passed Through State Department of Human Services/Adult and Family Services Division:			
State Administrative Matching Grants for Food Stamp Program	10.561	11400 / 6416 / 72101	27,362
Passed Through State Department of Human Services/Health Division:			
State Administrative Matching Grants for Food Stamp Program	10.561	85087-3	805,989
Special Supplemental Nutrition Program for Women, Infants, & Children (WIC Program)	10.557	936002309	1,842,611
Passed Through State Department of Human Services/Senior & Disabled Services Division:			
Nutrition Program for the Elderly (Commodities) (NPE)	10.570	85084	306,834
Total Department of Agriculture			<u>3,092,747</u>
<u>U.S. Department of Housing and Urban Development</u>			
Direct Programs:			
Community Development Block Grants/Entitlement Grants	14.218		1,121,827
Supportive Housing Program	* 14.235		2,618,452
Passed Through City of Gresham:			
Community Development Block Grants/Entitlement Grants	14.218	1731	38,950
Passed Through City of Portland-			
Bureau of Community Development:			
Community Development Block Grants/Entitlement Grants	14.218	0110880 / B00MC410003-4	522,927
Supportive Housing Program	* 14.235	0210215	38,440
Home Investment Partnerships Program	14.239	01100880 / M98DC410203	5,394
Lead-Based Paint Hazard Control in Privately -Owned Housing	14.900	33318	425,573
Passed Through Housing Authority of Portland:			
Supportive Housing Program	* 14.235	110872	77,464
Public Housing Capital Fund (CFP)	14.872	MULTN002	82,495
Total Department of Housing and Urban Development			<u>4,931,522</u>
<u>U.S. Department of Interior</u>			
Direct Programs:			
Distribution of Receipts to State and Local Governments	15.227		675,196
Total Department of Interior			<u>675,196</u>
<u>U.S. Department of Justice</u>			
Direct Programs:			
Edward Byrne Memorial State and Local Law Enforcement			
Assistance Discretionary Grants Program	16.580		253,268
Drug Court Discretionary Grant Program (Drug Court Program)	16.585		16,431
Violence Against Women Discretionary Grants for			
Indian Tribal Governments	16.587		<u>75,009</u>
Balance carried forward			344,708

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Balance brought forward			\$ 344,708
Local Law Enforcement Block Grants Program	16.592		14,895
Executive Office for Weed and Seed	* 16.595		137,977
Bullet Proof Vest Partnership Program	16.607		20,904
Public Safety Partnership and Community Policing Grants ("Cops" Grant)	16.710		10,000
Passed Through State Department of Justice:			
Title V - Delinquency Prevention Program	16.548	TV2000-5 / TV2000-9	98,386
Crime Victim Assistance	16.575	98-0839 / 99-00712 / 00-01143	277,556
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	LAO2001-85	10,000
Executive Office for Weed and Seed	* 16.595	2000-WS-QX-0029	154,438
Passed Through State Police:			
Juvenile Accountability Incentive Block Grants (JAIBG)	* 16.523	99-624	1,082,943
Violence Against Women Formula Grants	16.588	01-759	52,058
Byrne Formula Grant Program	16.579	99-018	65,305
Grants to Encourage Arrest Policies and Enforcement of Protection Orders	16.590	WE-VX-0007	55,913
Passed Through Portland Police Bureau:			
Grants to Encourage Arrest Policies and Enforcement of Protection Orders	16.590	1999-WE-VX-0007	66,893
Local Law Enforcement Block Grants Program	16.592	98LBVX2371	141,448
Local Law Enforcement Block Grants Program	16.592	1999LBVX7834	24,225
Passed Through Westat Research Corp:			
Public Safety Partnership and Community Policing Grants ("Cops" Grant)	16.710	7293 / Multnomah County Department of Community and Family Services	60,850
Total Department of Justice			<u>2,618,499</u>
<u>U.S. Department of Labor Employment and Training</u>			
Direct Programs:			
Employment Services and Job Training Pilots- Demonstration and Research Programs	17.249		640,618
Total Department of Labor Employment and Training			<u>640,618</u>
<u>U.S. Department of Transportation</u>			
Passed Through Oregon Sheriff's Association, Inc.:			
Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	20.605	Multnomah County	86,624
Passed Through Oregon State Marine Board:			
Boating Safety Financial Assistance	20.005	1936002309001	132,193
Passed Through State Department of Transportation:			
Highway Planning and Construction	20.205	936002309	259,946
Highway Planning and Construction	20.205	X-HPP-C051	255,879
Highway Planning and Construction	20.205	X-STP-C051	33,696
Highway Planning and Construction	20.205	X-BHF-C051	78,818
Highway Planning and Construction	20.205	X-IBRC-C051	10,299
National Motor Carrier Safety Assistance Program (MCSAP)	20.218	936002309	112,652
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	936002309	22,632

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	J 8 01-12-32	30,169
Total Department of Transportation			<u>1,022,908</u>
<u>National Foundation on the Arts and Humanities</u>			
Passed Through Oregon State Library:			
State Library Program	45.310	99-5-3.3	\$ 14,948
State Library Program	45.310	01-5-2.7	48,525
State Library Program	45.310	Multnomah County	118,048
State Library Program	45.310	01-L-4	38,100
State Library Program	45.310	01-5-2.7A	12,020
Total National Foundation on the Arts and Humanities			<u>231,641</u>
<u>Environmental Protection Agency</u>			
Passed Through State Department of Human Resources:			
State Public Water System Supervision	66.432	936002309	1,564
Total Environmental Protection Agency			<u>1,564</u>
<u>U.S. Department of Energy</u>			
Passed Through Oregon Housing and Community Services Division:			
Weatherization Assistance for Low-Income Persons	81.042	90271	258,174
Total Department of Energy			<u>258,174</u>
<u>Federal Emergency Management Agency</u>			
Passed Through Oregon Emergency Management:			
Hazard Mitigation Grant (HMGP)	83.548	19360002309	61,394
Project Impact - Building Disaster Resistant Communities (Project Impact Grants)	83.551	19360002309	64,642
Emergency Management Performance Grants (EMPG)	83.552	19360002309	97,019
Passed Through United Way:			
Emergency Food and Shelter National Program	83.523	18-7080-00	176,779
Emergency Food and Shelter National Program	83.523	19-7080-00	361,356
Total Federal Emergency Management Agency			<u>761,190</u>
<u>U.S. Department of Education</u>			
Passed Through Oregon Department of Human Resources:			
Safe and Drug-Free Schools and Communities - State Grants	84.186	926001	(9,034)
Passed Through Portland Public Schools:			
Safe and Drug-Free Schools and Communities - National Programs	84.184L	210024	1,043,421
Passed Through Portland State University:			
Library Research and Publications	84.039	LIB08.7 / LIB08.14	69,983
Total Department of Education			<u>1,104,370</u>

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
<u>U.S. Department of Health & Human Services</u>			
Direct Programs:			
Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Services	93.109		\$ 3,072
Health Center Grants for the Homeless	93.151		1,253,039
Community Health Centers	* 93.224		3,198,532
Consolidated Knowledge Development and Application Program	93.230		926,351
Drug Abuse Research Programs	93.279		309,524
Center for Disease Control and Prevention: Investigations and Technical Assistance	93.283		15,399
Refugee and Entrant Assistance: Discretionary Grants	93.576		72,000
Medicare-Supplementary Medical Insurance (Medicare)	93.774		428,867
Diabetes, Endocrinology and Metabolism Research	93.847		24,391
HIV Emergency Relief Project Grants	* 93.914		2,721,683
Grants to Provide Outpatient Early Intervention Services With Respect to HIV Disease	93.918		775,860
Healthy Start Initiative (Targeted Infant Mortality Initiative)	93.926		1,070,255
Pilot Clinical Pharmacology Training	93.928		257,790
Passed Through Oregon Department of Business and Consumer Services:			
Health Care Financing Research, Demonstrations and Evaluations	93.779	44199-20	15,146
Passed Through Oregon Commission on Children and Families:			
Child Care and Development Block Grant	93.575	1936002309	435,664
Social Services Block Grant	93.667	1936002309	817,188
Passed Through Oregon Housing and Community Services Division:			
Temporary Assistance for Needy Families	* 93.558	90271	81,795
Low-Income Home Energy Assistance	* 93.568	90271	3,620,972
Community Services Block Grant	93.569	90271	722,375
Passed Through Oregon Health Sciences University:			
Alcohol Research Programs	93.273	8407541	6,371
Community Services Block Grant Discretionary Awards - Demonstration Partnerships	93.573	26390	44,268
Passed Through State Department of Human Resources:			
Special Programs for the Aging - Title VII, Chapter 3 - Prevention of Elder Abuse, Neglect and Exploitation	93.041	85084	13,646
Special Programs for the Aging - Title III, Part F - Disease Prevention and Health Promotion Services	93.043	85084	94,924
Special Programs for the Aging - Title III, Part B - Grants for Supportive Services & Senior Centers	93.044	85087-2	942,357
Special Programs for the Aging - Title III, Part C - Nutrition Services	93.045	85084	858,664
Special Programs for the Aging - Title IV Training, Research and Discretionary Projects and Programs	93.048	91386 / 90-AM-2423	65,000
Project Grants & Cooperative Agreements for Tuberculosis Control Programs	93.116	936002309	158,529
Balance carried forward			18,933,662
Balance brought forward			\$ 18,933,662
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118	936002309	592,497
Projects for Assistance in Transition from Homelessness (PATH)	93.150	926001	266,083
Cooperative Agreements for Drug Abuse Treatment			
Improvement Projects in Target Cities (Target Cities)	93.196	926001	41,567
Family Planning Services	93.217	936002309	244,377

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Consolidated Knowledge Development and Application Program	93.230	926001	3,975
Immunization Grants	93.268	936002309	885,473
Centers for Disease Control and Prevention: Investigations and Technical Assistance	93.283	936002309	259,926
Temporary Assistance for Needy Families	* 93.558	0101ORTANF / 277038	96,117
Temporary Assistance for Needy Families	* 93.558	926001	1,970,000
Child Support Enforcement (Title IV-D)	93.563	OR Admin Rule 461-195-255	149,967
Child Support Enforcement (Title IV-D)	93.563	00126	1,360,590
Refugee and Entrant Assistance - State Administered Programs	93.566	936002309	24,915
Refugee and Entrant Assistance - Discretionary Grants	93.576	936002309	560,319
Community-Based Family Resource and Support Grants	93.590	9801ORFRPG / 277038	8,532
Social Services Block Grant	93.667	936002309	205,629
Medical Assistance Program (MEDICAID, Title XIX)	93.778	1936002309034	289,091
Medical Assistance Program (MEDICAID, Title XIX)	93.778	926001	1,076,476
Medical Assistance Program (MEDICAID, Title XIX)	93.778	85087-3	12,351,097
Medical Assistance Program (MEDICAID, Title XIX)	93.778	050711	44,018
Medical Assistance Program (MEDICAID, Title XIX)	93.778	043211	580,513
Medical Assistance Program (MEDICAID, Title XIX)	93.778	0110874	454,526
HIV Care Formula Grants	93.917	936002309	421,952
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	936002309	238,583
Human Immunodeficiency Virus (HIV)/ Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance	93.944	936002309	79,570
Block Grants for Community Mental Health Services (CMHS Block Grant)	93.958	926001	530,516
Block Grants for Prevention and Treatment of Substance Abuse (SAPT)	93.959	926001	3,038,132
Preventive Health Services - Sexually Transmitted Diseases Control Grants	93.977	936002309	67,916
Preventive Health and Health Services Block Grant (PHHS)	93.991	936002309	37,515
Maternal and Child Health Services Block Grant to the States	93.994	936002309	564,633
Balance carried forward			45,378,167
Balance brought forward			\$ 45,378,167
Passed Through Oregon Research Institute: Alcohol Research Programs	93.273	9910401	200,943
Passed Through Partnership For Community Health: HIV Emergency Relief Project Grants	* 93.914	Multnomah County	11,895
Passed Through City of Portland: Community Prevention Coalitions (PARTNERSHIP) Demonstration Grant	93.194	0210019	204,903
Passed Through Oregon Office Of Drug Abuse Programs: Consolidated Knowledge Development and Application Program	93.230	86-330	64,848
Passed Through University of Washington: AIDS Education and Training Centers	93.145	193902	61,293

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

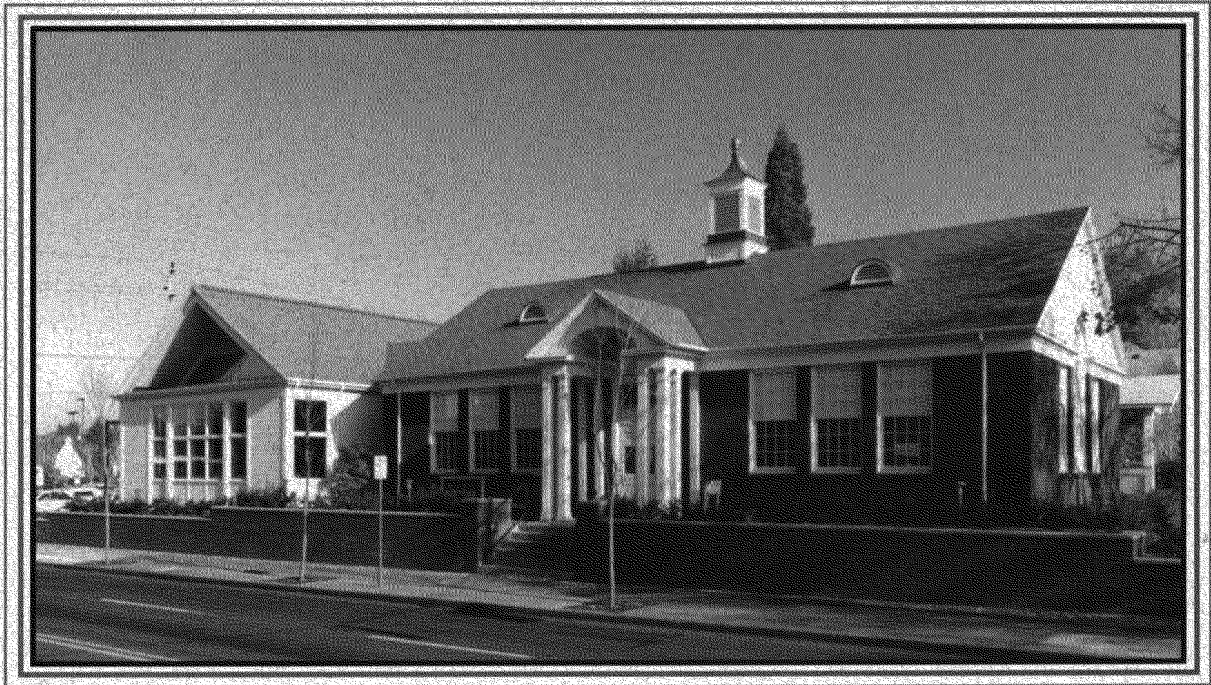
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Total Department of Health and Human Services			<u>45,922,049</u>
<u>Corporation for National and Community Service</u>			
Passed Through University of Maryland Baltimore County:			
Americorp	94.006	95ADNM017	21,514
Total Corporation for National and Community Service			<u>21,514</u>
<u>Other Federal Assistance (No CFDA)</u>			
<u>Department of Agriculture</u>			
Direct Programs:			
U.S. Forest Service-Patrol Contract	10.01-LE-11060600-498		28,214
U.S. Forest Service-Patrol Contract	10.900847		67,600
Total Department of Agriculture			<u>95,814</u>
<u>U.S. Department of Energy</u>			
Direct Programs:			
Youth Employment Project/Bonneville Power Administration	81.93BP99731		27,708
Total Department of Energy			<u>27,708</u>
<u>Department of Veteran Affairs</u>			
Passed Through Willamette National Cemetery:			
Willamette National Cemetery	64.90017		16,157
Total Department of Veteran Affairs			<u>16,157</u>
Total Other Federal Assistance			<u>139,679</u>
Total Federal Assistance			<u>\$ 61,421,671</u>

* Represents a major program



MULTNOMAH COUNTY OREGON



COMPREHENSIVE ANNUAL FINANCIAL REPORT
Fiscal Year Ended June 30, 2001



About the Cover

The cover is a photograph of the Belmont Library which was built in 1923. It was renovated in 1937, 1990 and again in 1999 for a one year, \$2.3 million renovation project, reopening in July 2000. The most recent renovation increased the building size from 3,554 square feet to 5,954. The library now has added space for better access to the book collection, more PCs with Internet capability, more reader seats and a meeting room for library programs and community meetings. Other changes include seismic, mechanical and electrical upgrades; a new roof; and improvements to the exterior of the building. New data lines provide the infrastructure for adding the personal computers, providing expanded access to information.

The Belmont Library has over 310,000 visitors annually and houses nearly 50,000 books and materials. More than three quarters of a million books were checked out during fiscal year 2000-2001 and almost 4,000 new library cards were issued.

About the Libraries

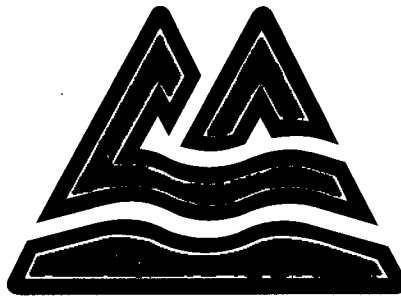
Multnomah County Library is the oldest public library west of the Mississippi, with a history that reaches back to 1864. Today, Central Library, 14 branch libraries and one cooperative library make up a library system that houses a collection of almost 2 million books and other library materials. As Oregon's largest public library, Multnomah County Library serves over one-fifth of the state's population.

Multnomah County Library will open two new branches in fall 2001 – Northwest Library and Fairview Columbia Library. New facilities for the Hollywood and Sellwood-Moreland branches are currently under construction, and groundbreaking for the new Hillsdale building will occur in early 2002. An additional new library is also being planned for the North Interstate Corridor area. Since 1996, 12 libraries have been renovated.

In a typical year, Multnomah County Library customers check out over 12 million items, including books, tapes, compact discs, CD-ROMs, audio cassettes, videos and other library materials. Library staff fill nearly a million holds and volunteers donate nearly 50,000 hours of their time to the library, the equivalent of 5,750 eight-hour days.

More than 30,000 children participate in the library's countywide Summer Reading program each year. Other library programming, targeted to all age groups, from infants to elders, reaches an annual audience of 50,000.

MULTNOMAH COUNTY, OREGON
COMPREHENSIVE ANNUAL FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED JUNE 30, 2001

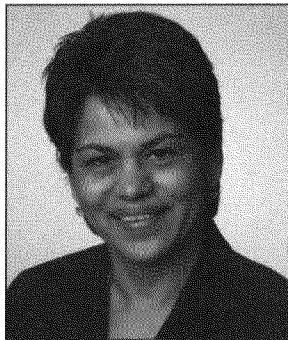


Prepared by:
Finance Division
David A. Boyer, Finance Director
501 SE Hawthorne Blvd, 4th Floor
PO Box 14700
Portland, Oregon 97293-0700

ELECTED OFFICIALS - MULTNOMAH COUNTY OREGON



DIANE LINN
Chair



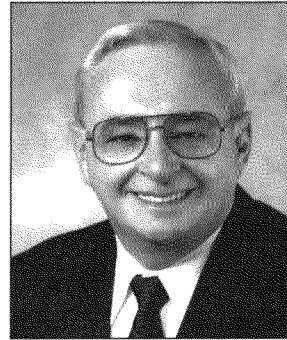
MARIA ROJO DE STEFFEY
Commissioner



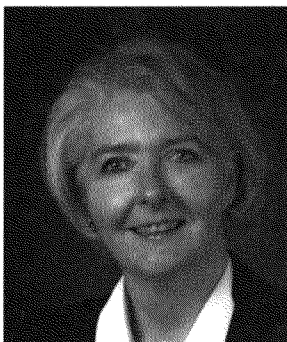
SERENA CRUZ
Commissioner



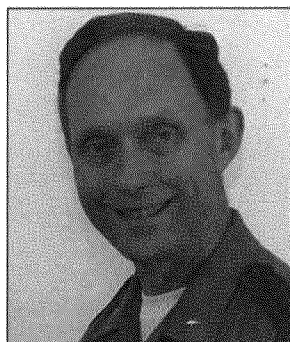
LISA NAITO
Commissioner



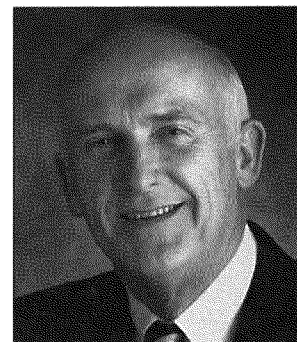
LONNIE ROBERTS
Commissioner



SUZANNE FLYNN
Auditor



DAN NOELLE
Sheriff



MICHAEL SCHRUNK
District Attorney

MULTNOMAH COUNTY, OREGON
COMPREHENSIVE ANNUAL FINANCIAL REPORT
For the fiscal year ended June 30, 2001
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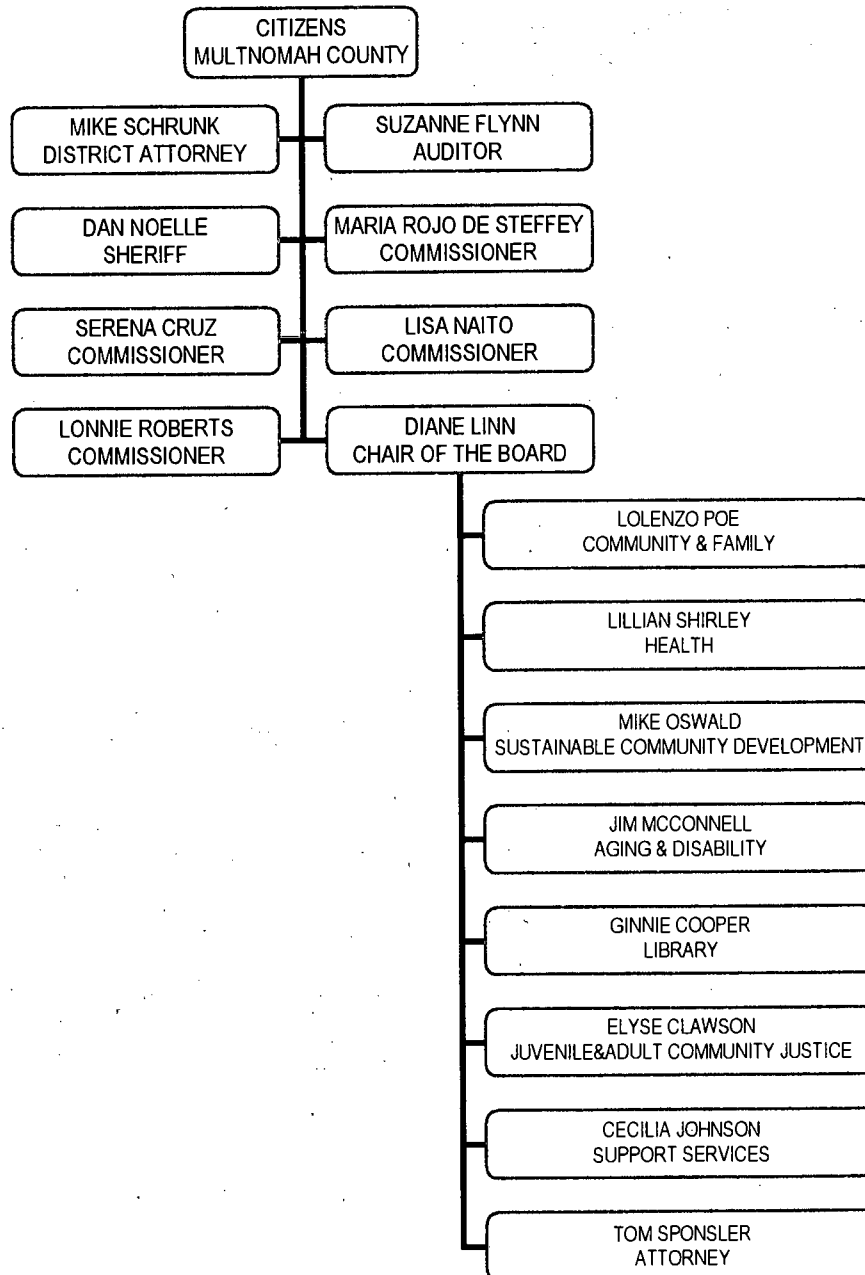
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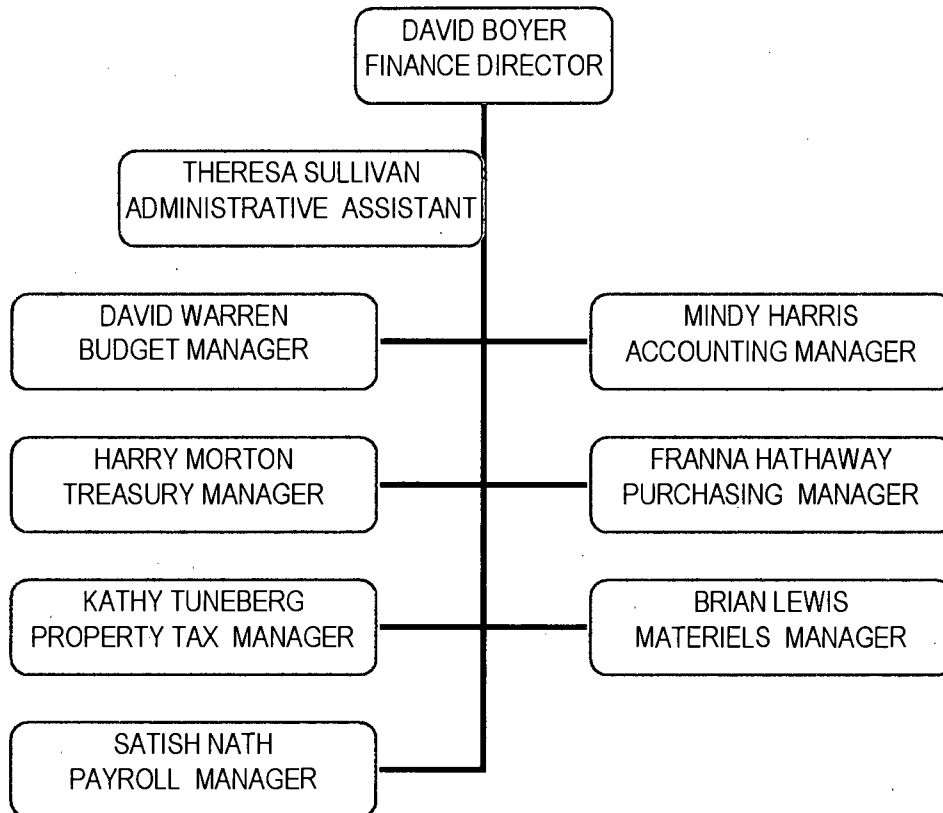
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INTRODUCTORY SECTION

MULTNOMAH COUNTY, OREGON



MULTNOMAH COUNTY, OREGON
Finance Program Area



**MULTNOMAH COUNTY, OREGON
PRINCIPAL OFFICERS
JUNE 30, 2001**

<u>Title</u>	<u>Name</u>	<u>Term Expires</u>
<u>Board of County Commissioners</u>		
Chair of Board	Diane Linn 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2002
District No. 1	Maria Rojo de Steffey 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2004
District No. 2	Serena Cruz 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2002
District No. 3	Lisa Naito 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2004
District No. 4	Lonnie Roberts 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2004
<u>Other Elected Officers</u>		
County Auditor	Suzanne Flynn 501 SE Hawthorne, 6 th Floor Portland, OR 97214	12/31/2002
County District Attorney	Michael D. Schrunk 1021 SW Fourth Avenue Portland, OR 97204-1976	12/31/2004
County Sheriff	Dan Noelle 501 SE Hawthorne, 3 rd Floor Portland, OR 97214	12/31/2002
<u>Other Appointed Officers</u>		
Department of Support Services Director	Cecilia Johnson	Not elected
Finance Director	David A. Boyer	Not elected
Accounting Manager	Mindy Harris	Not elected
County Attorney	Thomas Sponsler	Not elected

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MULTNOMAH COUNTY OREGON

COUNTY COMMISSIONERS

DEPARTMENT OF SUPPORT SERVICES

DIANE M. LINN, CHAIR
MARIA ROJO DE STEFFEY, DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD 4TH FL
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
TDD (503) 988-5170

December 17, 2001

Honorable County Chair, Board of County Commissioners
and Citizens of Multnomah County, Oregon

INTRODUCTION

We are pleased to submit the Comprehensive Annual Financial Report of Multnomah County, Oregon, for the fiscal year ended June 30, 2001, together with the opinion thereon of our independent certified public accountants, Grant Thornton LLP.

This report, required by State law, Oregon Revised Statutes 297.425, is prepared by the Finance Division and is organized into three primary sections: Introductory, Financial and Statistical. The Introductory Section includes this letter of transmittal, the County's and Finance Division's organizational charts and a list of principal officers. This section is intended to inform the reader of the organization, services, scope and financial activities of the County. The Financial Section includes the General Purpose Financial Statements, the related notes and additional information. The additional information contains the combining and individual fund and account group statements and schedules, and other schedules. This section provides summary and more detailed financial statements and schedules of County activities. The Statistical Section contains historical financial and statistical information, generally presented on a multi-year basis. The Statistical Section provides broader financial data for a more comprehensive understanding of the County.

This report also includes Audit Comments and Disclosures required under the Minimum Standards for Audits of Oregon Municipal Corporations Section of the Oregon Administrative Rules.

In addition, the County is required to have a comprehensive single audit of its Federal Assistance Programs in accordance with the Single Audit Act of 1984, OMB Circular A-133 and the provisions of Government Auditing Standards promulgated by the U.S. Comptroller General as they pertain to financial and compliance audits. A report on the County's compliance with applicable Federal laws and regulations related to the Single Audit Act of 1984 has been issued under separate cover.

Multnomah County management is responsible for the information in this report and we believe that the information presented is accurate in all material respects and is organized in a manner to clearly present the financial position and results of the operations of the County's various funds and account groups. Further, all necessary disclosures have been included to enable the reader to gain maximum understanding of the financial affairs of the County.

The dollar amounts shown in this transmittal letter and the dollar amounts in the financial report, unless indicated otherwise, are expressed in thousands.

REPORTING ENTITY

This report includes all funds, account groups, agencies, boards, commissions and authorities that are controlled by or dependent on the County as defined by GASB. The financial statements include the Dunthorpe-Riverdale Service District No. 1 and Mid County Service District No. 14. The Board of County Commissioners is the governing board of these entities and Multnomah County is responsible for their financial activities. These districts provide sewer and lighting services, are reported as enterprise activities and are presented as blended component units in the financial statements.

ECONOMY AND FINANCIAL OUTLOOK

Economy: Multnomah County is located in northwestern Oregon at the confluence of the Columbia and Willamette rivers, approximately 110 river miles and 80 highway miles from the Pacific Ocean. The County covers 465 square miles, most of which lies in the Willamette Valley, between the Tualatin Mountains west of the Willamette River and the Cascade Mountains to the east. The elevation ranges from 77 feet above sea level in Portland to 322 feet in Gresham and 1,224 feet at Big Bend Mountain in the Cascade foothills.

Multnomah County is the most populous county in the state with a July 1, 2000, population of 662,400. The cities of Portland, with a population of 531,600, and Gresham, with a population of 90,835, are the largest incorporated cities located in the County.

The Portland metropolitan area is the financial, trade, transportation and service center for Oregon, southwest Washington state and the Columbia River basin. Its manufacturing base includes electronics, machinery, transportation equipment and fabricated metals.

The Portland-Vancouver, OR-WA PMSA's (Primary Metropolitan Statistical Area), which includes Multnomah, Clackamas, Washington, Columbia and Yamhill counties in Oregon and Clark County in Washington, economy declined during fiscal year 2001. Over the last 12 months the Portland PMSA's payrolls have added 5,400 jobs. The Portland PMSA's total nonfarm payroll employment rose from 963,400 employees at June 30, 2000, to 968,800 employees at June 30, 2001. However, the nonfarm payroll employment declined to 958,200 at July 31, 2001. The area's unemployment rate was 5.2% at June 30, 2001, compared to a rate of 4.2% at June 30, 2000. The Portland-Vancouver Consumer Price Index (CPI) (1982-84 = 100) was 179.5 at June 30, 2001, and represents a 2.9% increase from June 30, 2000.

Financial outlook: The financial outlook for the County's General Fund over the next five years is expected to decline.

The regional economy is not expected to grow and a recovery before 2002 is questionable. The State of Oregon's recent forecast also suggests downturn of business activity.

The sluggish economy is impacting the County's revenue stream. Local government revenues in Oregon have been constrained in the 1990's by two citizen initiated property tax limitation measures. Measure 5 passed in 1990, and Measure 47 passed in 1996 and was enacted into law under the provisions of Measure 50. Property tax revenues account for about 55% of total discretionary revenue and, under Measure 50, is generally limited to 3% annual growth. New construction can be added to the assessed values and will raise the property tax growth slightly over the 3% limitation. We expect our property taxes to grow at between 3.5% to 4%. We are expecting our Business

Income Tax to remain constant with little or no growth and Motor Vehicle Rental Tax to also remain flat. Overall revenue growth is forecast between 3% to 3.5% over the next few years. Even if inflation remains at its current low levels, the level of revenue growth will not be sufficient to provide funding at current service levels. To address this shortfall the County will be making budgetary cuts of approximately \$11,000 during fiscal year 2002 to maintain priority programs and adequate reserves.

COUNTY STRUCTURE AND SERVICES PROVIDED

Multnomah County, Oregon, was incorporated in 1854 and is governed according to its Home Rule Charter, which became effective January 1967. The County's charter adopted in 1967 has had several subsequent amendments. The County is governed by a Board of County Commissioners consisting of four non-partisan members elected from designated districts within the County and the Chair of the Board, elected at large. The Board of County Commissioners conducts all legislative business of the County in one formal Board meeting per week. It also holds informal meetings during the week for the purpose of hearing informational briefings from staff, departments and outside agencies. The Board also holds other hearings as required by State law or County Charter. The County organization and the basic services provided are as follows:

Department of Community and Family Services: Services include:

- Alcohol and other drug screening, assessment, treatment and prevention services;
- Anti-poverty programs to provide advocacy, economic opportunities and self-sufficiency support to individuals along with weatherization assistance;
- Development of affordable housing and public works improvements;
- Services to individuals with developmental disabilities, including advocacy, service coordination, residential, vocational, respite, family support and emergency services;
- Mental health screening and evaluation, treatment, family support and crisis services; and
- A network of seven family centers located throughout the County provides a full spectrum of programs for youth and families.

Department of Health Services: Services include:

- Primary health care and dental services at primary health care centers, dental clinics, school based health centers and correctional facilities;
- Home visits to high risk families, offering child abuse prevention, parenting skills training, and health education;
- Prevention and treatment of communicable diseases, such as tuberculosis, sexually transmitted diseases, hepatitis, and HIV;
- Inspection and regulation of certain businesses and public services including ancillary health care services such as ambulance services and death investigation; and
- Advocacy for the improved health of the community, particularly the medically under served.

Department of Aging and Disability Services: Services to senior citizens include:

- Services through information and referral, gatekeepers and twenty-four hour access;
- Case management/needs assessment, eligibility, case plan development and service monitoring;
- Adult care home regulation and licensing;
- Public Guardian/Conservatorship; and
- Nutrition, transportation and in-home services.

Department of Juvenile and Adult Community Justice Services: Services include:

- Detention services for youth awaiting adjudication, receiving secure mental health intervention, or being held as a sanction for parole violations;

- Supervision to youth on probation including home visits, linking to treatment services, monitoring school attendance and intervention in gang behavior;
- Advice to the court on needs of children of families involved in alleged child abuse and neglect;
- Supervision services for adult pre- and post-sentenced offenders;
- Evaluation services addressing sentencing recommendations, substance abuse and mental health treatment services;
- Services to address substance abuse, mental health, housing, literacy, employment, child custody, marriage and reconciliation, and basic living skill needs; and
- Sanction programs that provide structured alternatives to prison.

Department of Library Services: Services include:

- Check out books and other library materials at the Central Library, fifteen branch libraries and through outreach services;
- Assist patrons in finding books and information;
- Select, acquire, organize and process a wide variety of books and other materials on numerous subjects expressing wide-ranging points of view for people of all ages;
- Provide age appropriate materials and services for children and young adults; and
- Provide materials and services to those county residents not able to come to county libraries or use conventional materials.

Department of Sustainable Community Development: Services include:

- Animal Control is responsible for the community's animal ownership ordinances that protect people and animals and operating an animal shelter for lost, stray and unwanted animals;
- Emergency Management coordinates the performance of essential and emergency services for the public's benefit prior to, during, and following an emergency situation;
- Facilities and Property Management is responsible for facilities operations and maintenance, property management, tax foreclosed property, and capital improvement projects;
- Fleet, Records, Electronics and Distribution is responsible for operational support services in the areas of county vehicles, records management, electronic equipment maintenance and interoffice and US mail processing; and
- Transportation and Land Use Planning is responsible for road, bridge and bikeway maintenance and capital projects, and to regulate planning activities in Multnomah County.

Sheriff's Office: Services include:

- Corrections programs such as work release and out-of-custody supervision for pre-trial and sentenced offenders in Multnomah County;
- In-jail alcohol and drug intervention services;
- Patrol services to rural areas of unincorporated Multnomah County;
- Narcotics education and intervention through the D.A.R.E. Program and narcotics enforcement through the Special Investigations Unit;
- Civil process service and civil court enforcement of "execution process";
- Water safety education and patrol of 97 miles of waterways within the boundaries of the County; and
- Secure incarceration of inmates and the transportation of inmates.

Department of Support Services: Services include:

- Finance Program Area is responsible for accounts payable, accounts receivable, contract administration, general ledger, materials management, payroll, purchasing, liability and property insurance, treasury functions; designing and coordinating the budget process, financial

forecasting, property tax collections, recording, property records management, property foreclosures, Board of Equalization and Tax Title Fund management;

- Business Service Program Area is responsible for property assessment, for performing all functions relating to the conduct of all elections for governmental jurisdictions in Multnomah County;
- Human Resources is responsible for personnel, for the negotiations of collective bargaining agreements, employee benefits, workers' compensation, loss control and for assuring that the County conforms to regulatory requirements for monitoring, reporting, planning and implementing programs and strategies as they relate to equal opportunity laws; and
- Information Services is responsible for data processing and telecommunications;
- Countywide Office of Organizational Learning supports training, diversity learning systems, support of financial and human resource integrated resource planning system and change management;

District Attorney's Office: Services include:

- Felony prosecution;
- Targeted crimes prosecution (Regional Organized Crime Narcotics "ROCN" Task Force);
- Misdemeanor and violation prosecutions (DUII, traffic crimes);
- Multidisciplinary child abuse teams;
- Juvenile prosecutions (delinquency and dependency cases);
- Child Support enforcement; and
- Victims assistance.

Nondepartmental: Functions which are outside the scope of the aforementioned include:

- Office of the County Chair;
- The Board of County Commissioners;
- The County Auditor;
- County Attorney;
- The Tax Supervising and Conservation Commission;
- Multnomah Commission on Children and Families; and
- Citizen Involvement Committee.

Employees: At June 30, 2001, the County had 4,827 employees not including temporary employees. There are nine bargaining units representing 4,033 employees as listed below. In addition, there are 794 management and exempt employees.

<u>Bargaining Unit</u>	<u>Employees</u>
General Employees (Local 88)	2,969
Electricians (Local 48)	22
Operating Engineers (Local 701)	14
Paint Makers (Local 1094)	3
Corrections (Teamsters 223)	516
Deputy Sheriffs Association	93
Oregon Nurses Association	261
Juvenile Group Workers (Local 86)	70
Prosecuting Attorneys Association	85
Total	<u>4,033</u>

MAJOR INITIATIVES

In fiscal year 2001. The County continued the RESULTS campaign. RESULTS stands for Reaching Excellent Service Using Leadership and Team Strategies and is Multnomah County's program for redesigning government. The goals of RESULTS are to build our capacity to meet our community and county benchmarks, to make sure we use tax dollars efficiently and to ensure quality services.

Enterprise Resource Planning System (ERP). During the year, the County began using an ERP system named "MERLIN", that replaced the legacy financial, payroll, procurement and human resource systems. The integrated system was implemented during a ten month period at a cost of about \$10,000 and this financial report was prepared from this system.

Multnomah County Employee Benefits Board. During the year, the County established a Multnomah County Employee Benefits Board made up of labor and management employees to work together to reach agreement on the level of benefits and a governing structure of the Benefits Board. This Board was established to help control the County's escalating costs of medical and dental benefits. All bargaining groups and management representatives have a member on the board and the agreements reached will be the basis of defining our benefit plans for the various bargaining and management groups. The level of benefits and charter were agreed to during fiscal year 2001.

Multnomah County Mental Health System. Community members, providers and County staff have been working together since 1999 to design an improved Mental Health system for people in Multnomah County. In September 2000, the Board of County Commissioners adopted values and principles for a "Consumer and Family Centered Mental Health System." And in November of 2000 County staff started to plan and implement the changes needed to achieve these goals in three phases:

- Phase I creates a County-run 24 hour Call Center, providing easy access to services, crisis care coordination, and member services. This phase puts services in place to replace the functions of the Crisis Triage Center, including new Walk-In Centers and Mobile Crisis Teams.
- Phase II implements Clinical Accountability for Providers, including new alternatives to Acute Care. It will complete planning for the next stage of redesign.
- Phase III, beginning July 2002, will focus on full implementation of a seamless service system for all people, including children, who need Mental Health or Addiction services. This will include coordination with related State, County and community services.

The Redesign Plan is based on developmental and recovery models. The central goal is to assist each adult or child receiving services to achieve his or her full potential. Individuals and families will empower themselves to become less in need of support from the Mental Health or Addiction systems, and more successful in their lives, through functional interdependence with natural support systems.

Elected Official changes. In February 2001, Beverly Stein resigned as Chair of the Board of County Commissioners to run for the office of the Governor. In addition, Diane Linn, County Commissioner of District #1 also resigned to run for the Office of County Chair. County charter requires that an elected official must resign from office if they elect to run for another elected office. Also in accordance with the County charter, Bill Farver, Executive to Chair Beverly Stein became acting County Chair. In May of 2001, Diane Linn was elected County Chair and Maria Rojo de Steffey was elected as County Commissioner of District #1.

Bond Issues. On November 1, 2000, the County issued Revenue Bonds Series 2000 A, in the amount of \$2,000, and B, in the amount of \$3,500 to finance the costs construction, renovation, improvements of certain County owned properties. The 2000A issue is for the Port City Development project and the 2000B issue is for the Oregon Food Bank Inc. The County has pledged its Motor Vehicle Rental Tax as a source of repayment of the bonds. The total interest cost on the bonds is 5.12%. At June 30, 2001, \$5,500 was outstanding. The bonds are guaranteed by MBIA.

For the future. The County continues to use the three long-term benchmarks adopted September 16, 1996, as a factor in developing the fiscal year 2001 budget. During the budget process the County added a fourth long-term benchmark that charged County agencies to work with community experts, analyze research data and determine the most cost effective ways to deliver community related services. The Departments will also work to align programs and services around common strategies.

The County is also continuing to work with the Public Safety Council to develop programs for an alcohol and drug treatment relapse program, mental health treatment for dual diagnosis individuals and drug-free housing.

The mental health system redesign implementation will continue to be a high priority for the County.

The Library local option tax levy will be up for renewal and operating funds for the new Wapato jail and secure alcohol and drug treatment center will need to come from a local option levy.

FINANCIAL AND ACCOUNTING POLICIES

This report is prepared in conformance with the guidelines for financial reporting developed by the Government Finance Officers Association of the United States and Canada and the principles established by Governmental Accounting Standards Board (GASB), including all effective GASB pronouncements. It presents fairly the financial position of the various funds and account groups of the County at June 30, 2001, and the results of operations of such funds and the cash flows of the proprietary fund types for the year then ended in conformity with generally accepted accounting principles (GAAP).

The County budgets a total of forty-one funds, of which thirty-two are governmental fund types and nine are proprietary fund types. The County also maintains five fiduciary fund types, of which four are agency funds and one is a pension trust fund. The Governmental fund types and agency funds are maintained on a modified accrual basis, with revenues being recorded when measurable and available and expenditures being recorded when the goods or services are received. The proprietary funds and pension trust fund are maintained on the accrual basis of accounting.

INTERNAL ACCOUNTING CONTROLS AND BUDGETARY PROCESS

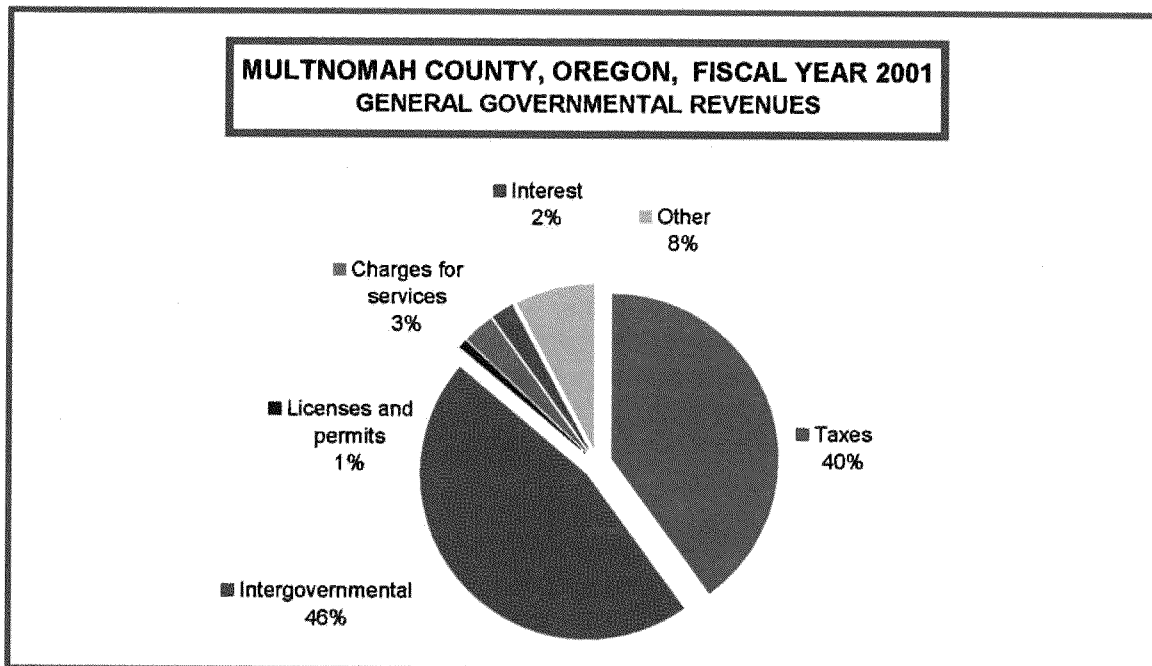
Multnomah County maintains, and management relies upon, a system of internal controls designed to provide reasonable assurance that assets are safeguarded, and that accounting transactions are executed and properly recorded so that financial statements can be prepared in accordance with GAAP and the County's budgetary requirements. The internal control structure also ensures that federal and state financial assistance funds are expended in compliance with applicable laws and regulations related to those programs. In establishing the internal control system, management considers the inherent limitations of various control procedures and weighs their cost against the benefit derived. To assure that reliable and timely information is prepared in the most efficient

manner possible, accounting policies, procedures and systems, together with related internal controls, are constantly monitored and revised, where necessary, to meet changing requirements.

The County's budget is prepared on the modified accrual basis of accounting. In accordance with State statutes, the County budgets all funds except trust and agency funds. The County budget is adopted by the Board of County Commissioners by department for each fund. The expenditure appropriations lapse at the end of the fiscal year. Additional resources and corresponding appropriations may be added to the budget during the fiscal year through a supplemental budget process. The original and supplemental budgets require budget hearings before the public, publications in newspapers and final adoption by the Board of County Commissioners. Original and supplemental budgets may be modified during the fiscal year by the use of appropriation transfers between categories. The appropriation transfers must be approved by the Board of County Commissioners in public meetings. During the fiscal year, one supplemental budgets was adopted.

FINANCIAL SUMMARY OF GENERAL GOVERNMENT FUNCTIONS

Governmental Fund Type Revenues: Revenues accounted for in the Governmental Fund Types totaled \$667,484 for the fiscal year 2001. The revenues, percent of total revenue by source and changes from the previous fiscal year are shown in the following chart and table:

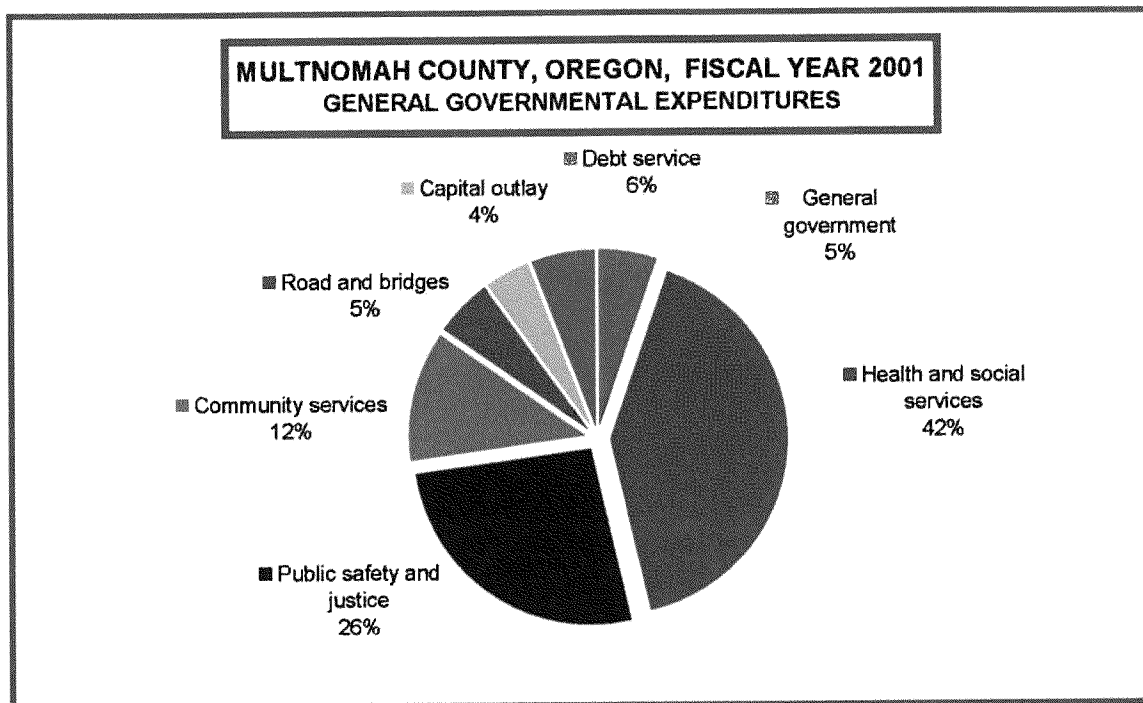


	2001 Amount	2000 Amount	Amount Increase (Decrease)	Percent Increase (Decrease)
Taxes	\$ 266,466	\$ 254,514	\$ 11,952	4.7%
Intergovernmental	308,993	242,966	66,027	27.2%
Licenses and permits	5,037	2,734	2,303	84.2%
Charges for services	20,234	15,008	5,226	34.8%
Interest	14,760	12,201	2,559	21.0%
Other	51,994	49,575	2,419	4.9%
Total	<u>\$ 667,484</u>	<u>\$ 576,998</u>	<u>\$ 90,486</u>	15.7%

The major increases are:

- The increase of taxes is due to an increase in property tax collections.
- The increase of intergovernmental revenues is due to an increase in federal and state funded programs.
- Increase in other is related to internal services charged to other departments.
- Increase in interest is due to higher yields on investments.

Governmental Fund Type Expenditures: Expenditures accounted for in the Governmental Fund Types totaled \$697,210 for fiscal year 2001. The expenditures, percent of total by function and changes from the previous fiscal year are shown in the following chart and table.



	2001 Amount	2000 Amount	Amount Increase (Decrease)	Percent Increase (Decrease)
General government	\$ 36,612	\$ 219,323	\$ (182,711)	-83.3%
Health and social services	285,999	237,566	48,433	20.4%
Public safety and justice	183,897	179,799	4,098	2.3%
Community services	85,143	72,429	12,714	17.6%
Road and bridges	35,110	35,969	(859)	-2.4%
Capital outlay	30,517	37,141	(6,624)	-17.8%
Debt service	39,932	28,552	11,380	39.9%
Total	<u>\$ 697,210</u>	<u>\$ 810,779</u>	<u>\$ (113,569)</u>	-14.0%

The major increases (decreases) are:

- General government decreased due to the pass through payments made to PERS in fiscal year 2000 to fund the County's unfunded liability.

- Health and social services and public safety and justice increased due to cost of living increases and an increase in state funded programs.
- Community services increased due to cost of living increases and an increase in state funded programs.
- Capital outlay decreased due to the completion of several capital projects in fiscal year 2000.
- Debt service increased due to the PERS bonds and other new debt issues.

PROPRIETARY OPERATIONS

Operating revenues accounted for in the Proprietary Funds, exclusive of the pension trust fund, for the fiscal year ended June 30, 2001, were \$117,871, an increase of \$6,915 from fiscal year 2000. Operating expenses totaled \$126,109 for the fiscal year ended June 30, 2001, an increase of \$19,125 from fiscal year 2000. The net loss for the year ended June 30, 2001, was \$5,610 compared to the net income of \$4,044 for fiscal year 2000.

FIDUCIARY OPERATIONS

Multnomah County manages and accounts for monies received from various sources in a fiduciary capacity. Such monies are reported in the Agency Funds within the Fiduciary Fund Types. Disbursements are made in accordance with the agreement or applicable legislative enactment for each fund.

The County also administers the Library Retirement Fund, a pension trust fund. This fund was created as a result of the Library Association of Portland and Multnomah County Transfer Agreement effective July 1, 1990. This pension plan was frozen as a result of the transfer agreement.

Substantially all other County employees participate in the statewide Oregon Public Employees Retirement System. More detailed information on the County's retirement systems and deferred compensation is disclosed in the Pension Plans and Deferred Compensation notes.

RISK MANAGEMENT

Risk Management's purpose is to protect the human, physical, and financial assets of the County and is responsible for identification of potential causes of loss.

The County is partially self-insured for employee medical, dental and vision benefits, unemployment, workers' compensation, property, tort and general liability claims. The Risk Management Fund, an Internal Service Fund, is governed by an ordinance adopted by the Board of County Commissioners. The ordinance requires that a financial status report be submitted to the Board of County Commissioners on an annual basis. Every two to three years an actuarial valuation is performed on the workers' compensation and liability programs to evaluate the County's incurred but not reported (IBNR) claims. The medical and dental IBNR claims are based on projected monthly claims costs, projected enrollment and the number of days it takes an average claim to clear the claims paying system. All IBNR claims are recorded as an expense in the year they are incurred and a corresponding liability is recorded in the Risk Management Fund. The most recent actuarial valuation was performed in the summer of 2001.

The Risk Management Fund allocates the cost of providing claims servicing and claims payment by charging a premium to the various County programs based on the actuarial estimates or actual insurance premiums paid.

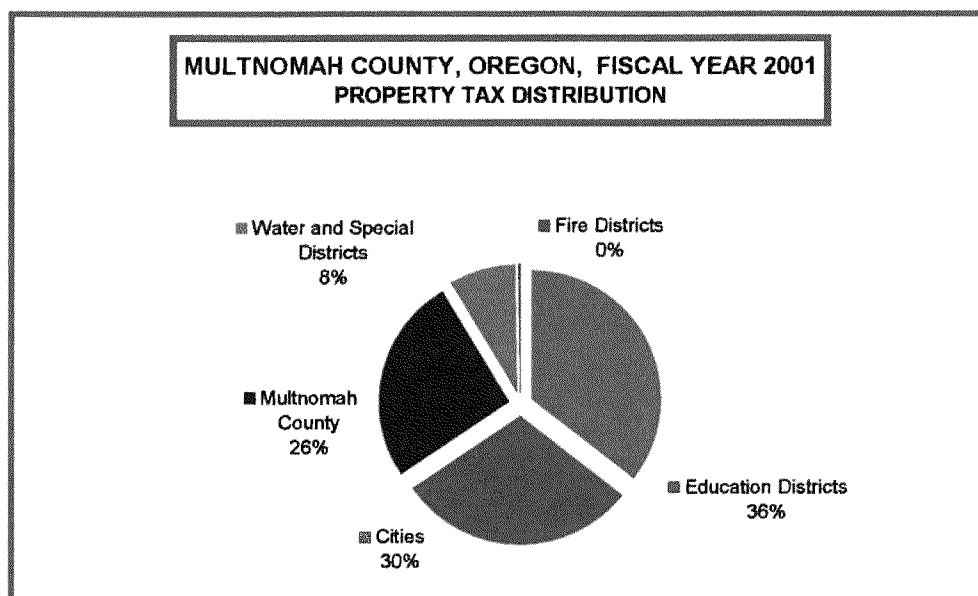
The County also funds post retirement benefits for a portion of medical insurance benefits for retirees between the ages of 58 to 65. Every three years an actuarial valuation is performed on the program to evaluate the unfunded liability and funding requirements. The most recent actuarial valuation was performed in the summer of 2001.

GENERAL FIXED ASSETS

The general fixed assets of the County are those fixed assets used in the performance of general governmental functions and exclude fixed assets of the Enterprise and Internal Service Funds. At June 30, 2001, the general fixed assets of the County amounted to \$380,277. This amount represents the original or estimated cost of the assets and is considerably less than the estimated replacement cost.

PROPERTY TAXES

Multnomah County serves as the property tax assessor and collector for all taxing bodies located in the County. The total levy for all entities within the County, as extended by the assessor, was \$800,299 for fiscal year 2001. The major levying entities, amounts, and changes from the previous fiscal year are displayed in the following chart and table:



	2001 Amount	2000 Amount	Amount Increase (Decrease)	Percent Increase (Decrease)
Education Districts	\$ 285,341	\$ 260,605	\$ 24,736	9.5%
Cities	239,905	224,449	15,456	6.9%
Multnomah County	205,468	193,076	12,392	6.4%
Water and Special Districts	66,860	59,701	7,159	12.0%
Fire Districts	2,725	2,657	68	2.6%
Total	<u>\$ 800,299</u>	<u>\$ 740,488</u>	<u>\$ 59,811</u>	8.1%

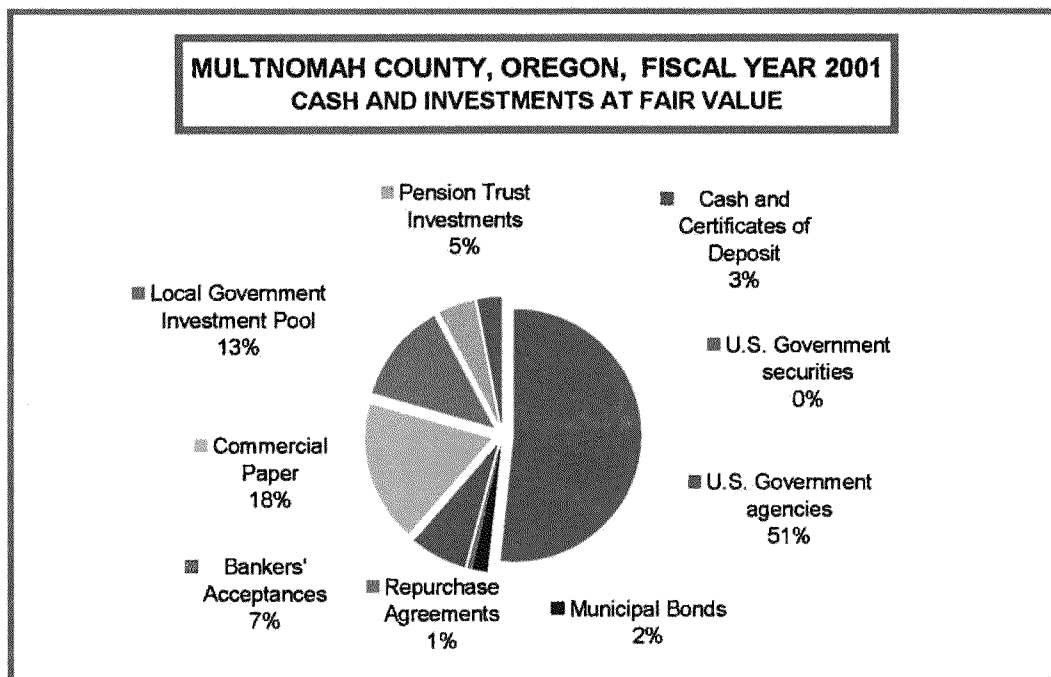
CASH AND INVESTMENTS

To obtain maximum return on investments, Multnomah County pools most funds for investment purposes. Multnomah County's investment transactions are governed by a written Investment Policy. The Investment Policy, which is reviewed and adopted annually by the Board of County

Commissioners, regulates the County's investment objectives, diversification, limitations and reporting requirements. The County also utilizes an independent Investment Advisory Board to review the County's investment plan and investment performance.

The average daily balance of investments for all funds, including trust funds, for fiscal year 2001 was \$281,210 and the average yield on these investments was 6.15%, as compared to the fiscal year 2000 average balance of \$264,552 and average yield of 5.66%. Investment interest earnings on all funds, excluding trust funds, for the fiscal year 2001 was \$16,606. The fair value of Cash and Investments at June 30, 2001, totaled \$235,850. The County recorded \$1,707 of unrealized gains as a result of marking its investments to fair value.

The investments are displayed in a note to the financial statements disclosing the fair values. The note also discloses the "level of credit risk" associated with the investment types. The following table and chart represents the various cash and investment types, at fair value, changes from the previous fiscal year and percent of total:



	2001 Amount	2000 Amount	Amount Increase (Decrease)
U.S. Government securities	\$ -	\$ 4,994	\$ (4,994)
U.S. Government agencies	121,579	170,741	(49,162)
Municipal Bonds	5,009	-	5,009
Repurchase Agreements	1,300	-	1,300
Bankers' Acceptances	16,967	3,974	12,993
Commercial Paper	42,681	49,192	(6,511)
Local Government Investment Pool	29,786	26,541	3,245
Pension Trust Investments	11,241	11,038	203
Cash and Certificates of Deposit	7,287	4,002	3,285
Total	\$ 235,850	\$ 270,482	\$ (34,632)

DEBT ADMINISTRATION

General Obligation Bonds: Under Oregon Revised Statutes 287.054 the County's total general obligation bonded debt issues are subject to a limitation of 2% of the real market value of taxable property. On June 30, 2001, this limit was \$1,126,305 and the County had \$106,260 outstanding. Multnomah County has an Aa1 rating from Moody's Investors Service, Inc. on all general obligation bonds issued.

On March 1, 1994, the County issued general obligation bonds in the amount of \$22,000 to renovate the Central Library and to build a new Midland Branch Library. The debt service is paid from the proceeds of a property tax levy. A portion of these bonds were advance refunded by the 1999 issue. The remaining bonds mature each October through October 2005. At June 30, 2001, \$5,120 was outstanding.

On October 1, 1994, the County issued general obligation bonds in the amount of \$9,000 to renovate the Central Library and to build a new Midland Branch Library. The debt service is paid from the proceeds of a property tax levy. A portion of these bonds were advance refunded by the 1999 issue. The remaining bonds mature each October through October 2004. At June 30, 2001, \$1,510 was outstanding.

On October 1, 1996, the County issued \$29,000 in General Obligation Library Bonds Series 1996A to finance the construction, acquisition and reconstruction of certain library facilities and equipment. A portion of these bonds were advance refunded by the 1999 issue. The debt service is paid from the proceeds of a property tax levy. The remaining bonds mature each October through October 2007. At June 30, 2001, \$7,340 was outstanding.

On October 1, 1996, the County issued \$79,700 in General Obligation Public Safety Bonds Series 1996B to finance the construction, acquisition and reconstruction of certain public safety facilities and equipment. The debt service is paid from the proceeds of a property tax levy. A portion of these bonds were advance refunded by the 1999 issue. The bonds mature each October through October 2008. At June 30, 2001, \$26,520 was outstanding.

On February 1, 1999, the County issued \$66,115 in General Obligation Bonds with a net interest cost of 5.12% to advance refund \$62,180 in outstanding general obligation bonds. The debt service is paid from the proceeds of a property tax levy. The bonds mature each October through October 2016. At June 30, 2001, \$65,770 was outstanding.

Revenue Bonds: On October 1, 1998, the County issued Revenue Bonds Series 1998 in the amount of \$3,155 to finance the costs of construction, renovation, improvement and equipping of certain facilities located on County-owned property known as the Regional Children's Campus, Inc. (RCC). The facility is to be operated as a children's service center. The County has pledged its Motor Vehicle Rental Tax revenues as the source of repayment of the bonds. The total interest cost on the bonds is 4.52%. At June 30, 2001, \$3,000 was outstanding. The bonds are rated A3 by Moody's Investors Service, Inc.

On November 1, 2000, the County issued Revenue Bonds Series 2000 A, in the amount of \$2,000, and B, in the amount of \$3,500 to finance the costs construction, renovation, improvements of certain County owned properties. The 2000A issue is for the Port City Development project and the 2000B issue is for the Oregon Food Bank Inc. The County has pledged its Motor Vehicle Rental Tax as a source of repayment of the bonds. The total interest cost on the bonds is 5.12%. At June 30, 2001, \$5,500 was outstanding. The bonds are guaranteed by MBIA.

Limited Tax Full Faith and Credit Bonds: Under Oregon Revised Statutes 287.053 the County's total limited tax bonded debt issues are subject to a limitation of 1% of the real market value of taxable property. On June 30, 2001, this limit was \$563,152 and the County had \$277,713 outstanding.

On April 1, 1999, the County issued Certificates of Participation in the amount of \$36,125 to finance the acquisition of an administrative building, renovating, constructing and improving certain other County facilities and structures. On April 1, 2000, under the terms of the Financing Agreement, these Certificates were converted to Full Faith and Credit Bonds. At June 30, 2001, \$34,960 was outstanding. The certificates mature each August through August 2019. The bonds are rated Aa2 by Moody's Investors Service, Inc.

On December 1, 1999, the County issued \$184,548 in taxable Revenue Pension Obligation Bonds to fund the County's Public Employees Retirement System (PERS) unfunded accrued actuarial liability (UAAL). The present value savings by issuing the debt to fund the UAAL instead of paying PERS, over a thirty year period, will be \$35,776. The total interest cost is 7.67%. At June 30, 2001, \$184,548 was outstanding. Payment of principal and interest, except for a term bond, will be guaranteed by MBIA. The term bond is rated Aa2 by Moody's Investor Service, Inc.

On April 1, 2000, the County issued \$61,215 in Full Faith and Credit Bonds to finance the the costs of acquiring and installing an integrated enterprise computer system, acquire land, acquire facilities and construct other County facilities and structures. The total interest cost is 5.23%. At June 30, 2001, \$58,205 was outstanding. \$57,944 is recorded in the General Long-Term Obligations Account Group and \$261 is recorded in the Internal Service Funds. The bonds are rated Aa2 by Moody's Investors Service, Inc.

Tax and Revenue Anticipation Notes: On July 1, 2000, the County issued \$20,000 in Tax and Revenue Anticipation Notes (TRANS). The notes had a stated interest rate of 5.25% and a yield of 4.37%. The TRANS were rated MIG1 by Moody's Investors Service, Inc. The notes matured on June 29, 2001.

Per Capita Debt Levels: Per capita debt levels for the County consist not only of debt issued by the County, but also include debt issued by overlapping jurisdictions. The following table shows the debt ratios for the County (general obligation and full faith and credit debt) and overlapping districts (Per Capita in dollars):

	Values	Per Capita	Percent of RMV
Population June 30, 2001	662,400		
2000-01 real market value (RMV)	\$56,315,243	\$85,017	
Gross direct bonded debt	\$443,971	\$670	.79%
Net direct debt and net overlapping debt	\$1,491,531	\$2,252	2.65%

INTERGOVERNMENTAL AGREEMENTS, LOANS AND CAPITAL LEASES

Multnomah County has entered into various lease/purchase, loan and intergovernmental agreements to acquire or improve facilities and to acquire equipment. These acquisitions have been capitalized in the Data Processing Fund, an Internal Service Fund, or in the General Fixed Assets Account Group. At June 30, 2001, the total amount payable in the General Long-Term Obligations Account Group is \$53,965 and \$3,740 in the Data Processing Fund. The following is a summary of the various types of transactions the County has entered into:

Intergovernmental Agreement: On January 22, 1981, the County entered into an intergovernmental agreement in the amount of \$3,475 with the City of Portland for the purchase of two floors in the Portland Building. This agreement will be paid in full in fiscal year 2008. At June 30, 2001, \$1,693 was outstanding.

Loans: On February 15, 1996, the County entered into an intergovernmental agreement in the amount of \$528 with the State of Oregon to obtain an energy loan to replace the Multnomah County Courthouse chillers. This agreement will be paid in full in fiscal year 2011. At June 30, 2001, \$408 was outstanding.

On July 5, 1996, the County entered into two intergovernmental agreements in the total amount of \$42 with the City of Gresham for sewer assessment loans. These agreements will be paid in full in fiscal year 2016. At June 30, 2001, \$36 was outstanding.

On October 1, 1996, the County entered into an intergovernmental agreement in the amount of \$86 with the State of Oregon to obtain an energy loan for conservation measures at the McCoy Building. This agreement will be paid in full in fiscal year 2007. At June 30, 2001, \$52 was outstanding.

On December 1, 1996, the County entered into an intergovernmental agreement in the amount of \$35 with the State of Oregon to obtain an energy loan for conservation measures at the Elections Building. This agreement will be paid in full in fiscal year 2007. At June 30, 2001, \$21 was outstanding.

On January 15, 1997, the County entered into an intergovernmental agreement in the amount of \$56 with the State of Oregon to obtain an energy loan for conservation measures at the Kelly Building. This agreement will be paid in full in fiscal year 2007. At June 30, 2001, \$36 was outstanding.

On February 8, 1999, the County entered into an intergovernmental agreement in the amount of \$155 with the State of Oregon to obtain an energy loan for conservation measures for various County facilities. This agreement will be paid in full in fiscal year 2009. At June 30, 2001, \$133 was outstanding.

On October 26, 1999, the County entered into an intergovernmental agreement in the amount of \$204 with the State of Oregon to obtain an energy loan for conservation measures for various County facilities. This agreement will be paid in full in fiscal year 2015. At June 30, 2001, \$185 was outstanding.

Lease/Purchase Contracts: On September 1, 1996, the County entered into a lease/purchase contract in the amount of \$299 with Xerox to purchase a laser printer. The contract was paid in full in fiscal year 2001.

On March 1, 1999, the County entered into a lease/purchase contract in the amount of \$1,656 with IBM to purchase data processing equipment. The contract will be paid in full in fiscal year 2002. At June 30, 2001, \$433 was outstanding.

Certificates of Participation: Certificates of Participation represent a long-term lease under an optional contract for purchase between Multnomah County and a bank's trust department. The County obtains the initial financing and then assigns the ownership of the assets to the trustee to whom the County makes lease payments. The County has maintained an Aa3 rating from Moody's Investors Service, Inc. on Certificates of Participation issues.

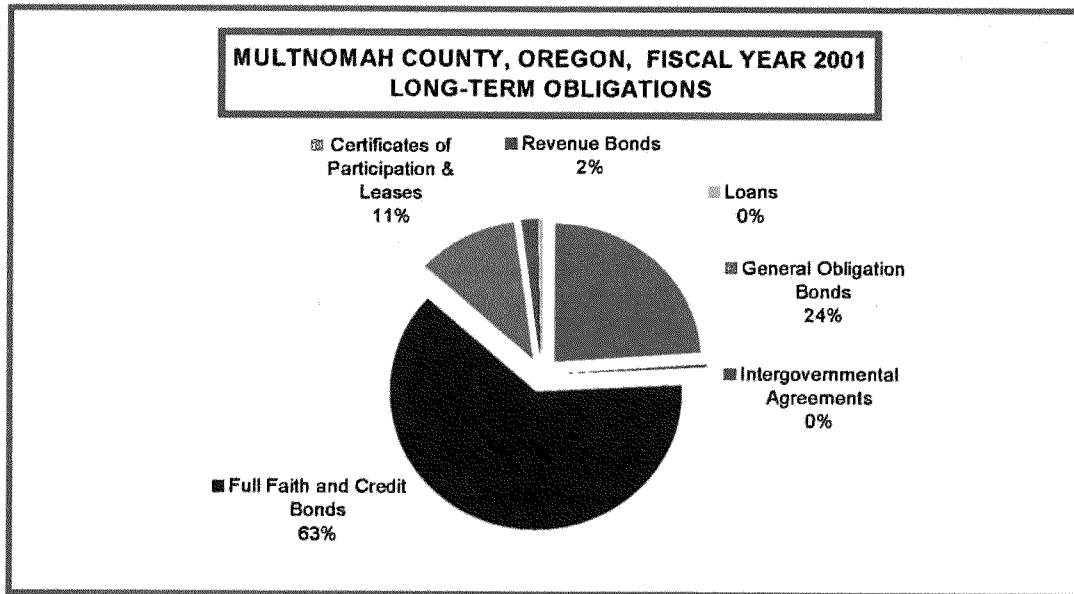
On July 1, 1990, the County acquired an office for the County's probation program by issuing \$455 in Certificates of Participation "Series 1990C". The certificates were paid in full in fiscal year 2001.

On May 1, 1993, the County advance refunded three Certificates of Participation issues and issued additional certificates for the purpose of financing the acquisition and improvements of certain other health care facilities. The certificates were issued in two series, "1993A and 1993B". The 1993A was issued in the amount of \$17,845 and the Series 1993B, a taxable issue, was issued in the amount of \$2,045, for a total of \$19,890. At June 30, 2001, \$12,520 was outstanding. These certificates mature each July through July 2013.

On June 1, 1996, the County issued Certificates of Participation in the amount of \$1,845 to purchase computer equipment. The certificates were paid in full in fiscal year 2001.

On February 1, 1998, the County issued Certificates of Participation in the amount of \$48,615 to advance refund the August 1, 1992, (Series 1992A) Certificate of Participation and to finance the acquisition of computer equipment and the construction and improvements of certain other County facilities and structures. At June 30, 2001, \$37,285 was outstanding.

The outstanding amount of general obligation bonds, certificates of participation, lease purchases, loans and intergovernmental agreements for 2001 and 2000, changes from the previous fiscal year and percent of total are shown in the following chart and table:



	2001 Amount	2000 Amount	Amount Increase (Decrease)
General Obligation Bonds	\$ 106,260	\$ 115,555	\$ (9,295)
Intergovernmental Agreements	1,693	1,865	(172)
Full Faith and Credit Bonds	277,713	281,888	(4,175)
Certificates of Participation & Leases	50,249	55,840	(5,591)
Revenue Bonds	8,500	3,155	5,345
Loans	870	939	(69)
Total	<u>\$ 445,285</u>	<u>\$ 459,242</u>	<u>\$ (13,957)</u>

SUBSEQUENT EVENTS

On July 2, 2001, the County issued \$20,000 in Tax and Revenue Anticipation Notes to meet anticipated cash flow requirements prior to the collection of property taxes in November and other revenues received after November. The stated interest rate is 3.50% with a yield of 2.60%. The notes mature on June 28, 2002, and are rated MIG1 by Moody's Investors Service, Inc.

INDEPENDENT AUDIT

Oregon State law requires that an annual audit of the financial records and transactions of all County functions be performed by an independent certified public accountant. This requirement has been complied with and the general purpose financial statements have been audited by Grant Thornton LLP, our independent auditors. See the Financial Section for the full text of our auditors' report.

CERTIFICATE OF ACHIEVEMENT FOR EXCELLENCE IN FINANCIAL REPORTING

The Government Finance Officers Association of the United States and Canada (GFOA) awarded the Certificate of Achievement for Excellence in Financial Reporting to Multnomah County, Oregon, for its comprehensive annual financial report for the fiscal year ended June 30, 2000. The Certificate of Achievement is a prestigious national award recognizing conformance with the highest standards for preparation of state and local government financial reports. Multnomah County has received a Certificate of Achievement award for seventeen consecutive years. In order to be awarded a Certificate of Achievement, a governmental unit must publish an easily readable and efficiently organized comprehensive annual financial report, whose contents conform to program standards. Such reports must satisfy both generally accepted accounting principles and applicable legal requirements.

A Certificate of Achievement is valid for a period of one year only. We believe our current report continues to conform to the Certificate of Achievement program's requirements, and we are submitting it to GFOA to determine its eligibility for another certificate.

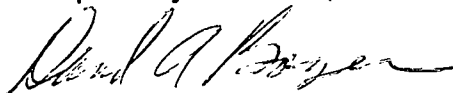
SUMMARY

Multnomah County's financial position continues to remain strong. As of June 30, 2001, the fund balances in the Governmental Fund Types was \$159,054 as compared to the June 30, 2000, balance of \$183,311. At June 30, 2001, \$18,127 of the fund balances is reserved for debt retirement, \$11,241 is reserved for employee retirement benefits, \$103,095 is reserved for capital projects, \$2,202 is reserved for inventories, and \$787 is reserved for prepaid items.

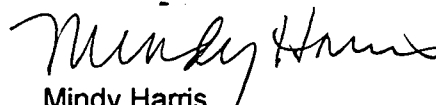
ACKNOWLEDGMENTS

We wish to express our sincere appreciation to the employees in the Finance Program Area who maintained the records and assisted in the preparation of this report. Special thanks is extended to the General Ledger Staff who were instrumental in preparing this report. Appreciation is also extended to the Chair of the Board, Board of County Commissioners, Department Managers, Budget & Quality Services and other County personnel for their assistance and support in planning and conducting the financial operations of the County in a prudent manner.

Respectfully Submitted,



David A. Boyer
Finance Director



Mindy Harris
Accounting Manager

Certificate of Achievement for Excellence in Financial Reporting

Presented to

Multnomah County,
Oregon

For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended
June 30, 2000

A Certificate of Achievement for Excellence in Financial Reporting is presented by the Government Finance Officers Association of the United States and Canada to government units and public employee retirement systems whose comprehensive annual financial reports (CAFRs) achieve the highest standards in government accounting and financial reporting.



Thomas A. Brown
President

Jeffrey L. Esser
Executive Director

FINANCIAL SECTION

Report of Independent Certified Public Accountants

The Board of County Commissioners
Multnomah County, Oregon

We have audited the accompanying general purpose financial statements of Multnomah County, Oregon as of June 30, 2001 and for the year then ended, as listed in the table of contents. These general purpose financial statements are the responsibility of Multnomah County, Oregon's management. Our responsibility is to express an opinion on these general purpose financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America, and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the general purpose financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall general purpose financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the general purpose financial statements referred to above present fairly, in all material respects, the financial position of Multnomah County, Oregon as of June 30, 2001 and the results of its operations and the cash flows of its proprietary fund types and the changes in plan net assets for its pension trust fund for the year then ended, in conformity with accounting principles generally accepted in the United States of America.

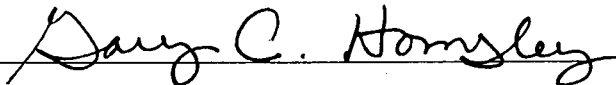
In accordance with *Government Auditing Standards*, we issued our reports dated December 4, 2001 on our consideration of Multnomah County's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grants. That report is an integral part of an audit performed in accordance with *Government Audit Standards* and should be read in conjunction with this report in considering the results of our audit.

Our audit was made for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The combining and individual fund and account group financial statements and schedules listed in the table of contents are presented for purposes of additional analysis and are not a required part of the general purpose financial statements of Multnomah County, Oregon. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements, and in our opinion, is fairly presented, in all material respects, in relation to the general purpose financial statements taken as a whole.

The statistical section listed in the table of contents is not a required part of the basic financial statements. We did not audit or apply limited procedures to such information and do not express any assurance on such information.

GRANT THORNTON LLP

Portland, Oregon
December 4, 2001

By 

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GENERAL PURPOSE FINANCIAL STATEMENTS
("Liftable" Combined Financial Statements)

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MULTNOMAH COUNTY, OREGON
COMBINED BALANCE SHEET
ALL FUND TYPES AND ACCOUNT GROUPS
June 30, 2001
(amounts expressed in thousands)

	Governmental Fund Types			
	General	Special Revenue	Debt Service	Capital Projects
ASSETS AND OTHER DEBITS:				
Assets:				
Cash and investments	\$ 17,954	\$ 28,117	\$ 18,023	\$ 108,823
Receivables:				
Taxes	13,551	4,161	920	-
Accounts	6,353	48,545	-	113
Loans	-	1,268	-	-
Interest	2,858	-	-	-
Special assessments	11	-	-	-
Contracts	5,962	438	10,966	2,165
Due from other funds	5,410	-	-	-
Inventories	816	1,386	-	-
Prepaid items	53	734	-	-
Fixed assets (net, where applicable, of accumulated depreciation)	-	-	-	-
Other debits:				
Amount available for retirement of long-term obligations	-	-	-	-
Amount to be provided for retirement of long-term obligations	-	-	-	-
Total assets and other debits	<u>\$ 52,968</u>	<u>\$ 84,649</u>	<u>\$ 29,909</u>	<u>\$ 111,101</u>
LIABILITIES, EQUITY AND OTHER CREDITS:				
Liabilities:				
Payrolls payable	\$ 1,908	\$ 3,721	\$ -	\$ 2
Accounts payable	15,816	39,683	42	5,379
Due to other funds	-	5,290	-	-
Compensated absences	4,342	6,054	-	9
Deferred revenue	15,132	7,828	11,740	2,616
Due to other governmental units	-	-	-	-
Amounts held in trust	11	-	-	-
General obligation bonds payable	-	-	-	-
Revenue bonds payable	-	-	-	-
Capitalized leases	-	-	-	-
Bonds Payable	-	-	-	-
Loans payable	-	-	-	-
Total liabilities	<u>37,209</u>	<u>62,576</u>	<u>11,782</u>	<u>8,006</u>
Equity and other credits:				
Investment in general fixed assets	-	-	-	-
Contributed capital	-	-	-	-
Retained earnings, unreserved	-	-	-	-
Fund balances:				
Reserved for capital projects	-	-	-	103,095
Reserved for debt service	-	-	18,127	-
Reserved for employees' retirement benefits	-	-	-	-
Reserved for inventories	816	1,386	-	-
Reserved for prepaid items	53	734	-	-
Unreserved, undesignated	14,890	19,953	-	-
Total equity and other credits	<u>15,759</u>	<u>22,073</u>	<u>18,127</u>	<u>103,095</u>
Total liabilities, equity and other credits	<u>\$ 52,968</u>	<u>\$ 84,649</u>	<u>\$ 29,909</u>	<u>\$ 111,101</u>

The accompanying notes are an integral part of the combined financial statements.

Proprietary Fund Types		Fiduciary Fund Types	Account Groups		Totals
Enterprise	Internal Service	Trust and Agency	General Fixed Assets	General Long-term Obligations	(Memorandum Only)
\$ 7,848	\$ 23,751	\$ 31,334	\$ -	\$ -	\$ 235,850
-	-	37,935	-	-	56,567
113	1,386	1,351	-	-	57,861
-	-	-	-	-	1,268
-	-	-	-	-	2,858
25	-	-	-	-	36
-	-	-	-	-	19,531
-	-	-	-	-	5,410
-	867	-	-	-	3,069
-	350	-	-	-	1,137
2,234	7,832	-	380,277	-	390,343
-	-	-	-	18,127	18,127
-	-	-	-	428,660	428,660
<u>\$ 10,220</u>	<u>\$ 34,186</u>	<u>\$ 70,620</u>	<u>\$ 380,277</u>	<u>\$ 446,787</u>	<u>\$ 1,220,717</u>
\$ 48	\$ 342	\$ -	\$ -	\$ -	\$ 6,021
2,823	10,764	441	-	-	74,948
-	120	-	-	-	5,410
82	1,133	-	-	4,027	15,647
-	-	-	-	-	37,316
-	-	36,880	-	-	36,880
-	-	22,058	-	-	22,069
-	-	-	-	106,260	106,260
-	-	-	-	8,500	8,500
-	2,264	-	-	49,678	51,942
-	261	-	-	277,452	277,713
-	-	-	-	870	870
<u>2,953</u>	<u>14,884</u>	<u>59,379</u>	<u>-</u>	<u>446,787</u>	<u>643,576</u>
-	-	-	380,277	-	380,277
3,450	11,102	-	-	-	14,552
3,817	8,200	-	-	-	12,017
-	-	-	-	-	103,095
-	-	-	-	-	18,127
-	-	11,241	-	-	11,241
-	-	-	-	-	2,203
-	-	-	-	-	787
-	-	-	-	-	34,842
<u>7,267</u>	<u>19,302</u>	<u>11,241</u>	<u>380,277</u>	<u>-</u>	<u>577,141</u>
<u>\$ 10,220</u>	<u>\$ 34,186</u>	<u>\$ 70,620</u>	<u>\$ 380,277</u>	<u>\$ 446,787</u>	<u>\$ 1,220,717</u>

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
ALL GOVERNMENTAL FUND TYPES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	General	Special Revenue	Debt Service	Capital Projects	Totals (Memorandum Only)
REVENUES:					
Taxes	\$ 206,580	\$ 45,786	\$ 14,100	\$ -	\$ 266,466
Intergovernmental	18,989	289,477	-	527	308,993
Licenses and permits	2,446	2,591	-	-	5,037
Charges for services	7,442	12,217	-	575	20,234
Interest	4,729	2,523	1,167	6,341	14,760
Other	21,234	3,473	23,415	3,872	51,994
Total revenues	<u>261,420</u>	<u>356,067</u>	<u>38,682</u>	<u>11,315</u>	<u>667,484</u>
EXPENDITURES:					
Current:					
General government	20,064	12,731	130	3,687	36,612
Health and social services	13,445	272,554	-	-	285,999
Public safety and justice	103,309	77,920	-	2,668	183,897
Community services	14,477	62,542	-	8,124	85,143
Roads and bridges	-	35,110	-	-	35,110
Capital outlay	244	3,175	-	27,098	30,517
Debt service:					
Principal	-	-	17,863	-	17,863
Interest	1,044	-	21,025	-	22,069
Total expenditures	<u>152,583</u>	<u>464,032</u>	<u>39,018</u>	<u>41,577</u>	<u>697,210</u>
Excess of revenues over (under) expenditures	<u>108,837</u>	<u>(107,965)</u>	<u>(336)</u>	<u>(30,262)</u>	<u>(29,726)</u>
OTHER FINANCING SOURCES (USES):					
Bond proceeds	-	-	250	5,249	5,499
Operating transfers in	999	108,610	-	15,210	124,819
Operating transfers out	(108,339)	(4,558)	(2,875)	(9,077)	(124,849)
Total other financing sources (uses)	<u>(107,340)</u>	<u>104,052</u>	<u>(2,625)</u>	<u>11,382</u>	<u>5,469</u>
Excess of revenues and other sources over (under) expenditures and other uses	<u>1,497</u>	<u>(3,913)</u>	<u>(2,961)</u>	<u>(18,880)</u>	<u>(24,257)</u>
FUND BALANCES, JUNE 30, 2000	<u>14,262</u>	<u>25,986</u>	<u>21,088</u>	<u>121,975</u>	<u>183,311</u>
FUND BALANCES, JUNE 30, 2001	<u>\$ 15,759</u>	<u>\$ 22,073</u>	<u>\$ 18,127</u>	<u>\$ 103,095</u>	<u>\$ 159,054</u>

The accompanying notes are an integral part of the combined financial statements.

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MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
BUDGET AND ACTUAL
ALL GOVERNMENTAL FUND TYPES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	General Fund			Special Revenue Funds		
	Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)
REVENUES:						
Taxes	\$ 220,803	\$ 206,580	\$ (14,223)	\$ 43,176	\$ 45,786	\$ 2,610
Intergovernmental	20,635	18,989	(1,646)	283,655	289,477	5,822
Licenses and permits	1,982	2,446	464	1,593	2,591	998
Charges for services	11,071	7,442	(3,629)	15,131	12,217	(2,914)
Interest	4,613	4,729	116	1,254	2,523	1,269
Other	29,865	21,234	(8,631)	6,997	3,473	(3,524)
Total revenues	<u>288,969</u>	<u>261,420</u>	<u>(27,549)</u>	<u>351,806</u>	<u>356,067</u>	<u>4,261</u>
EXPENDITURES:						
Current:						
General government	20,332	20,064	268	14,694	12,731	1,963
Health and social services	16,134	13,445	2,689	287,458	272,554	14,904
Public safety and justice	108,321	103,309	5,012	87,460	77,920	9,540
Community services	18,737	14,477	4,260	65,441	62,542	2,899
Roads and bridges	-	-	-	43,486	35,110	8,376
Capital outlay	389	244	145	8,496	3,175	5,321
Debt service:						
Principal	-	-	-	-	-	-
Interest	550	1,044	(494)	-	-	-
Total expenditures	<u>164,463</u>	<u>152,583</u>	<u>11,880</u>	<u>507,035</u>	<u>464,032</u>	<u>43,003</u>
Excess of revenues over (under) expenditures	<u>124,506</u>	<u>108,837</u>	<u>(15,669)</u>	<u>(155,229)</u>	<u>(107,965)</u>	<u>47,264</u>
OTHER FINANCING SOURCES (USES):						
Bond sales	-	-	-	-	-	-
Operating transfers in	1,235	999	(236)	130,876	108,610	(22,266)
Operating transfers (out)	(133,042)	(108,339)	24,703	(4,794)	(4,558)	236
Total other financing sources (uses)	<u>(131,807)</u>	<u>(107,340)</u>	<u>24,467</u>	<u>126,082</u>	<u>104,052</u>	<u>(22,030)</u>
Excess of revenues and other sources over (under) expenditures and other uses	<u>(7,301)</u>	<u>1,497</u>	<u>8,798</u>	<u>(29,147)</u>	<u>(3,913)</u>	<u>25,234</u>
FUND BALANCES, JUNE 30, 2000	<u>20,391</u>	<u>14,262</u>	<u>(6,129)</u>	<u>24,670</u>	<u>25,986</u>	<u>1,316</u>
FUND BALANCES, JUNE 30, 2001	<u>\$ 13,090</u>	<u>\$ 15,759</u>	<u>\$ 2,669</u>	<u>\$ (4,477)</u>	<u>\$ 22,073</u>	<u>\$ 26,550</u>

The accompanying notes are an integral part of the combined financial statements.

Debt Service Funds			Capital Projects Funds			Totals (Memorandum only)		
Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)	Budget	Actual	Variance favorable (unfavorable)
\$ 13,961	\$ 14,100	\$ 139	\$ -	\$ -	\$ -	\$ 277,940	\$ 266,466	\$ (11,474)
-	-	-	11,112	527	(10,585)	315,402	308,993	(6,409)
-	-	-	-	-	-	3,575	5,037	1,462
-	-	-	162	575	413	26,364	20,234	(6,130)
777	1,167	390	3,990	6,341	2,351	10,634	14,760	4,126
14,234	23,415	9,181	6,716	3,872	(2,844)	57,812	51,994	(5,818)
28,972	38,682	9,710	21,980	11,315	(10,665)	691,727	667,484	(24,243)
76	130	(54)	6,394	3,687	2,707	41,496	36,612	4,884
-	-	-	-	-	-	303,592	285,999	17,593
-	-	-	1,683	2,668	(985)	197,464	183,897	13,567
-	-	-	8,286	8,124	162	92,464	85,143	7,321
-	-	-	-	-	-	43,486	35,110	8,376
-	-	-	83,988	27,098	56,890	92,873	30,517	62,356
17,900	17,863	37	-	-	-	17,900	17,863	37
12,937	21,025	(8,088)	-	-	-	13,487	22,069	(8,582)
30,913	39,018	(8,105)	100,351	41,577	58,774	802,762	697,210	105,552
(1,941)	(336)	1,605	(78,371)	(30,262)	48,109	(111,035)	(29,726)	81,309
350	250	(100)	5,000	5,249	249	5,350	5,499	149
-	-	-	4,027	15,210	11,183	136,138	124,819	(11,319)
(2,875)	(2,875)	-	(89)	(9,077)	(8,988)	(140,800)	(124,849)	15,951
(2,525)	(2,625)	(100)	8,938	11,382	2,444	688	5,469	4,781
(4,466)	(2,961)	1,505	(69,433)	(18,880)	50,553	(110,347)	(24,257)	86,090
19,216	21,088	1,872	138,512	121,975	(16,537)	202,789	183,311	(19,478)
\$ 14,750	\$ 18,127	\$ 3,377	\$ 69,079	\$ 103,095	\$ 34,016	\$ 92,442	\$ 159,054	\$ 66,612

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS
ALL PROPRIETARY FUND TYPES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Enterprise</u>	<u>Internal Service</u>	<u>Totals (Memorandum only)</u>
OPERATING REVENUES:			
Charges for sales and services	\$ 27,205	\$ 89,379	\$ 116,584
Insurance premiums	-	1,061	1,061
Experience ratings and refunds	-	226	226
Total operating revenues	<u>27,205</u>	<u>90,666</u>	<u>117,871</u>
OPERATING EXPENSES:			
Cost of sales and services	26,527	88,098	114,625
Administration	1,719	3,878	5,597
Depreciation	146	5,741	5,887
Total operating expenses	<u>28,392</u>	<u>97,717</u>	<u>126,109</u>
Operating loss	<u>(1,187)</u>	<u>(7,051)</u>	<u>(8,238)</u>
NONOPERATING REVENUES (EXPENSES):			
Interest revenue	588	1,258	1,846
Interest expense	-	(137)	(137)
Contributed connection fees	3	-	3
Contributed fixed assets	421	566	987
Loss on disposal of fixed assets	-	(100)	(100)
Total nonoperating revenues	<u>1,012</u>	<u>1,587</u>	<u>2,599</u>
Net loss before operating transfers	<u>(175)</u>	<u>(5,464)</u>	<u>(5,639)</u>
OPERATING TRANSFERS IN	527	2,404	2,931
OPERATING TRANSFERS (OUT)	<u>-</u>	<u>(2,902)</u>	<u>(2,902)</u>
Net income (loss)	352	(5,962)	(5,610)
RETAINED EARNINGS, JUNE 30, 2000	3,465	14,162	17,627
RETAINED EARNINGS, JUNE 30, 2001	<u>\$ 3,817</u>	<u>\$ 8,200</u>	<u>\$ 12,017</u>

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
COMBINED STATEMENT OF CASH FLOWS
ALL PROPRIETARY FUND TYPES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Enterprise	Internal Service	Totals (Memorandum only)
Increase (Decrease) in Cash & Cash Equivalents:			
CASH FLOWS FROM OPERATING ACTIVITIES:			
Operating loss	\$ (1,187)	\$ (7,051)	\$ (8,238)
Adjustments to reconcile operating income to cash and cash equivalents provided (used) by operating activities:			
Depreciation	146	5,741	5,887
Changes in assets and liabilities:			
Accounts receivable	696	(977)	(281)
Inventories	-	(217)	(217)
Due from other funds	-	14,000	14,000
Prepaid items	-	118	118
Accounts payable	(754)	2,610	1,856
Payrolls Payable	48	342	390
Compensated absences	15	114	129
Increase in due to other funds	-	120	120
Net cash provided (used) by operating activities	<u>(1,036)</u>	<u>14,800</u>	<u>13,764</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:			
Operating transfers in	527	2,404	2,931
Operating transfers out	-	(2,902)	(2,902)
Contributed connection fees	<u>3</u>	<u>-</u>	<u>3</u>
Net cash provided (used) by noncapital financing activities	<u>530</u>	<u>(498)</u>	<u>32</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:			
Acquisition of fixed assets	(514)	(1,926)	(2,440)
Principal paid on capitalized leases	-	(1,595)	(1,595)
Interest paid on capitalized leases	<u>-</u>	<u>(137)</u>	<u>(137)</u>
Net cash used by capital and related financing activities	<u>(514)</u>	<u>(3,658)</u>	<u>(4,172)</u>
CASH FLOWS FROM INVESTING ACTIVITIES:			
Interest on investments	<u>588</u>	<u>1,258</u>	<u>1,846</u>
Net cash provided by investing activities	<u>588</u>	<u>1,258</u>	<u>1,846</u>
Net increase (decrease) in cash and cash equivalents	(432)	11,902	11,470
CASH AND CASH EQUIVALENTS, JUNE 30, 2000	<u>8,280</u>	<u>11,849</u>	<u>20,129</u>
CASH AND CASH EQUIVALENTS, JUNE 30, 2001	<u><u>\$ 7,848</u></u>	<u><u>\$ 23,751</u></u>	<u><u>\$ 31,599</u></u>
NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:			
Disposal of fixed assets, net book value	<u>\$ 5</u>	<u>\$ 100</u>	<u>\$ 105</u>
Transfer of fixed assets from General Fixed Assets Account Group, net book value	<u>\$ -</u>	<u>\$ 566</u>	<u>\$ 566</u>
Gain (Loss) on Disposal of fixed assets	<u>\$ -</u>	<u>\$ (100)</u>	<u>\$ (100)</u>

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
STATEMENT OF CHANGES IN PLAN NET ASSETS
PENSION TRUST FUND
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

ADDITIONS:

Contributions	\$ 128
Investments income - interest	781
Total additions	<u>909</u>

DEDUCTIONS:

Benefits	625
Refunds	60
Administrative expense	<u>22</u>
Total deductions	<u>707</u>
Net increase	202
Net assets held in trust for pension benefits	
Beginning of year	11,039
End of year	<u><u>\$ 11,241</u></u>

The accompanying notes are an integral part of the combined financial statements.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS
June 30, 2001
(amounts expressed in thousands)

NOTE 1. Summary of Significant Accounting Policies:

The financial statements of Multnomah County (the County) have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The following is a summary of significant accounting policies used by the County in the preparation of the accompanying combined financial statements:

Basis of Accounting

The accounting and financial reporting treatment applied to a fund is determined by its measurement focus.

The governmental and agency fund types are accounted for and presented on the modified accrual basis of accounting. Under the modified accrual basis of accounting, revenues are recognized in the accounting period in which they become both measurable and available. "Measurable" means the amount of the transaction can be determined, and "available" means collectible within the current period or soon enough thereafter to be used to pay liabilities of the current period. Expenditures are recorded when related fund liabilities are incurred.

Significant revenues which are measurable and available under the modified accrual basis of accounting are as follows:

- Property taxes collected within sixty days of year end.
- Federal and state financial assistance (to the extent that related expenditures which are eligible for reimbursement have been incurred).
- Intergovernmental revenues.
- Motor fuel use taxes.
- Motor vehicle rental and transient lodging taxes.

The measurement focus for all governmental fund types is on current financial resources. With this measurement focus, only current assets and current liabilities generally are included on the balance sheet. Operating statements of these funds present increases (i.e., revenues and other financing sources) and decreases (i.e., expenditures and other financing uses) in net current assets.

The proprietary fund types and the pension trust fund are accounted for and presented on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time liabilities are incurred. The measurement focus of the proprietary funds and the pension trust fund is on a flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operation of these funds are included on the balance sheet. Fund equity (i.e., net total assets) is segregated into contributed capital and retained earnings components. Proprietary fund-type operating statements present increases (e.g., revenues) and decreases (e.g., expenses) in net total assets. The proprietary fund types have applied all Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions and Accounting Research Bulletins of the Committee on Accounting Procedures issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements.

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The County's agency funds are purely custodial (assets equal liabilities) and do not involve the measurement of operations. These funds are used to account for assets that the County holds for others in an agency capacity.

Governmental Reporting Entity

Based on Governmental Accounting Standards Board Statement No. 14, the combined financial statements of the reporting entity include those of the County (primary government) and its component units. Based upon criteria set forth in GASB No. 14, the component units are included in the reporting entity because of the significance of their operational or financial relationships with the County.

The County believes the financial data of all component units included in the financial reporting entity meet the criteria for blended presentation due to the fact that the Multnomah County Board of Commissioners is the governing body of each of the component units. Brief descriptions of blended component units are as follows:

- Dunthorpe-Riverdale Service District No. 1 was organized in 1964 under the provisions of ORS 451. The District's purpose was to finance the construction and operation of sanitary sewer systems in the southwest areas of the County, bordering the Willamette River, and a small portion of northern Clackamas County. The principal funding source is charges for sanitary services provided.
- Mid County Service District No. 14 was organized in 1968 as Tulip Acres Lighting District under the provisions of ORS Chapter 451. The District now provides street lighting to the unincorporated urban areas of Multnomah County and the cities of Maywood Park, Troutdale, and Fairview. The principal funding source is charges for lighting services provided.

Complete financial statements for each of the individual component units may be obtained at the following address:

Multnomah County, Oregon
Finance Division
501 SE Hawthorne, 4th floor
PO Box 14700
Portland, OR 97293-0700

There are many other governmental agencies, including certain school districts and various special service districts, which provide services within the boundaries of Multnomah County. These agencies have independently elected or appointed governing boards and are fiscally independent of the County. Therefore, financial information for these agencies is not included in the accompanying combined financial statements.

Cash and Investments

General County investments, included in cash and investments in the combined balance sheet, are carried at fair value including the investments in the Library Retirement Pension Trust Fund. The composition of the County's investments is controlled by the County's investment policy which is adopted by the County Commissioners.

For purposes of the statement of cash flows for the proprietary fund types, cash and cash equivalents include all assets in the cash and investment pool. The cash and investment pool has the general characteristic of a demand deposit account for the Enterprise and Internal Service Funds in that these

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funds may deposit additional cash at any time and also effectively may withdraw cash at any time without prior notice or penalty. In general, interest earned from pooled investments is allocated to each fund based on the average earnings rate and daily cash balance of each fund.

Receivables

Uncollected property taxes receivable for the governmental fund types which are collected within sixty days following year end are considered measurable and available and are recognized as revenues in the funds. All other uncollected property taxes receivable for the governmental fund types are offset by deferred revenues and, accordingly, have not been recorded as revenue. Property taxes receivable in the Agency Funds are recorded as assets and liabilities.

Assessments receivable which are collected within sixty days following year end are recognized as revenues. Assessments receivable not considered measurable and available are offset by deferred revenues and, accordingly, have not been recorded as revenue.

Receivables for federal and state financial assistance are recorded as revenue in all funds as earned.

Receivables of the Enterprise Funds are recorded as revenue when earned, including charges for services rendered but not billed, net of any required allowance for doubtful accounts.

Inventories

Inventories of materials and supplies in the General Fund and Special Revenue (Road, Tax Title, and Federal and State Program) Funds are valued at average cost and are offset by a reservation of fund balance. Expenditures are recorded as inventories are used.

Internal Service Fund inventories are valued at the lower of average cost or market and are expensed when used.

Prepaid Items

Payments in excess of \$10 made to vendors for items or services that will benefit periods beyond June 30 are recorded as prepaid items. The fund balances in the governmental fund types have been reserved for amounts equal to the prepaid expenditures.

Prepayments to vendors are recorded as prepaid items in the Enterprise Funds.

Insurance premiums in excess of \$10 paid for insurance coverage beyond June 30 and prepayments made to vendors are recorded as prepaid items in the Internal Service Funds.

Foreclosed Properties

Foreclosed property is recorded at the value of the assessments for which it was foreclosed, or fair market value as indicated by the County's Assessment and Taxation Division at the date of foreclosure, whichever is less and is offset by a reservation of fund balance. Any interest on foreclosed taxes, that may be collected upon the ultimate disposal of the foreclosed property, is recognized at the time of sale or as received, whichever is later.

Fixed Assets

General fixed assets are stated at cost or estimated historical cost. Fixed assets valued at estimated historical cost are minor. Donated fixed assets are recorded at the estimated fair market value at the date of donation. Fixed assets are charged to expenditures in the governmental fund types as purchased and capitalized in the General Fixed Assets Account Group. Minor expenditures below

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established limits, most routine remodeling costs and infrastructure assets such as road, curb, gutter, sidewalk, and drainage systems are not capitalized. Upon disposal of fixed assets, the cost or estimated cost is removed from the General Fixed Assets Account Group and any proceeds are recorded as other financing sources in the appropriate fund. Depreciation is not computed on fixed assets in the General Fixed Assets Account Group. Interest incurred during construction is not capitalized on general fixed assets.

Fixed assets of the Enterprise and Internal Service Funds are stated at cost, net of accumulated depreciation, computed using the straight-line method over the estimated useful lives as follows:

- Motor vehicles - 3 years
- Equipment, including software - 3 to 10 years
- Sewer systems - 50 years
- Buildings and improvements - 50 years
- Street lighting - 10 to 30 years

Six months depreciation is taken in the year the assets are acquired or retired. Normal maintenance and repairs are charged to operations as incurred. Outlays for major additions, improvements and replacements are capitalized. Amortization of capitalized leases is included in depreciation expense. Depreciation expense on contributed capital is charged to retained earnings. Transfers of fixed assets from the General Fixed Assets Account Group are recorded at the net book value calculated as if depreciation had been recognized on the asset since acquisition. Gains or losses from sales or retirements are included as non-operating revenues or expenses.

Long-term Obligations

Long-term obligations, including capital lease obligations, general obligation bonds, full faith and credit bonds, revenue bonds, loans payable, and vacation and compensatory time pay liabilities, are accounted for in the General Long-term Obligations Account Group. Long-term obligations of proprietary fund types are accounted for in the respective funds. For governmental fund types, bond premiums and discounts, as well as issuance costs, are recognized during the current period. Interest on long-term obligations is recorded when paid. Bond proceeds are reported as other financing sources net of the applicable premium or discount. Issuance costs, whether or not withheld from the actual net proceeds received, are reported as debt service expenditures.

Self Insurance

The County is partially self-insured for employee medical, dental and vision benefits, workers' compensation, property, tort and general liability claims. The County purchases stop loss insurance protection for self-insured medical claims, per individual, that exceed \$250. The County purchases all risk property insurance with deductibles of \$100 per occurrence, \$250 for earthquakes, and \$250 for flood. The County also has an excess insurance policy for individual workers' compensation claims over \$500. The County currently provides for estimated losses to be incurred from pending claims and for incurred but not reported (IBNR) claims for medical, dental, vision, tort and general liability and workers' compensation claims, based on actuarial valuations. IBNR claims are claims that are incurred through the end of the fiscal year but not reported until after that date.

The operations and activities of the self insurance program are accounted for in the Risk Management Fund. Premiums are charged to various County funds based on periodically adjusted rates.

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Contributed Capital

The following transactions are recorded as contributed capital in the proprietary fund types:

- Receipts from federal and state financial assistance restricted to acquiring fixed assets.
- Fixed assets contributed from other funds or the General Fixed Assets Account Group.

Assistance Receipts Unapplied

Amounts received for grant programs in excess of expenditures are shown as assistance receipts unapplied in the combined balance sheet.

Fund Balances Reserved

Portions of the fund balance of the General Fund, which represent inventory and prepaid items, have been segregated from unreserved fund balance to indicate these amounts are not available expendable resources.

Portions of the fund balances of the Special Revenue Funds, which represent inventory, foreclosed properties, and prepaid items, have been segregated from unreserved fund balance to indicate that these amounts are not available expendable resources.

The fund balances of the Debt Service Funds have been segregated from unreserved fund balance for amounts legally required to be set aside to pay debt service on general obligation bonds, revenue bonds, or capital leases in accordance with the lease purchase or financing agreements and for additional debt service amounts as designated by County management.

The fund balances of the Capital Projects Funds, which represent prepaid items, mortgages receivable, general obligation bond proceeds, revenue bond proceeds, and loan proceeds to be used for designated capital projects, have been segregated from unreserved fund balance to indicate that these amounts do not represent available expendable resources.

The fund balance of the Pension Trust Fund is reserved for employees' retirement benefits and administrative costs.

Compensated Absences

Vacation and compensatory time pay expected to be liquidated with expendable available resources is reported as expenditures and fund liabilities in the governmental fund types when earned. Other vacation and compensatory pay for governmental fund types which has been earned but is not expected to be liquidated with expendable available resources is recorded in the General Long-term Obligations Account Group. The amount of accumulated vacation and compensatory time pay is considered normal. Vacation and compensatory time pay is recorded as an expense in the proprietary fund types when earned. Sick pay is charged when leave is taken because it does not vest when earned.

Totals (Memorandum only) Columns

The "Totals (Memorandum only)" columns on the combined financial statements represent an aggregate of the columnar statements by fund type and account group and are presented only to facilitate financial analysis. Amounts in these columns do not present financial position, results of operations, or cash flows in conformity with generally accepted accounting principles. Such amounts do not represent consolidated financial information as interfund eliminations have not been made in the aggregation of this data.

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Budgets

In accordance with Oregon Revised Statutes, the County budgets all funds except Trust and Agency Funds. The Board of County Commissioners adopts a Board Order authorizing appropriations for each fund and establishes the level by which expenditures cannot legally exceed appropriations. Total expenditures by department are the levels of control for each fund established by the Board Order. The detail budget document, however, is required to contain more specific detailed information for the above mentioned expenditure categories. Appropriations lapse at the end of each fiscal year.

Unexpected additional resources may be appropriated through the use of a supplemental budget and Board of County Commissioner's action. The original and supplemental budgets require budget hearings before the public, publications in newspapers and approval by the Board of County Commissioners. Original and supplemental budgets may be modified during the fiscal year by the use of appropriation transfers between the legal categories. Such transfers require approval by the Board of County Commissioners. The County made numerous appropriation transfers between categories during the fiscal year. The County budgets all fund types, except fiduciary fund types, on the modified accrual basis of accounting.

NOTE 2. Organization and Operations:

Multnomah County, Oregon is governed under its home rule charter, effective January 1, 1967 and subsequent amendments, adopted under Article VI, Section 10 of the Oregon State Constitution. Its boundaries are established by ORS 201.260. The County is governed by a nonpartisan, independently elected Chair of the Board, and by the Board of County Commissioners consisting of four nonpartisan independent members elected from districts within the County. The County's financial operations are accounted for in the following funds:

Governmental Fund Types

General Fund: Accounts for the financial operations of the County which are not accounted for in any other funds. The principal sources of revenues for this fund are property taxes, business income taxes, federal and state shared revenue and billings for interfund services.

Special Revenue Funds: Account for revenue derived from specific taxes or other earmarked revenue sources, including federal and state financial assistance awards, which are legally restricted to finance particular functions or activities. When a Special Revenue Fund is not an operating fund, transfers are made from the Special Revenue Fund to the operating funds authorized to make expenditures. Funds included in this fund category are:

- | | |
|-------------------------------------|--|
| • Strategic Investment Program Fund | • Animal Control Fund |
| • Road Fund | • Willamette River Bridges Fund |
| • Emergency Communications Fund | • Recreation Fund |
| • Assessment and Taxation Fund | • Library Fund |
| • Bicycle Path Construction Fund | • Justice Services Special Operations Fund |
| • Federal and State Program Fund | • Inmate Welfare Fund |
| • County School Fund | • Special Excise Tax Fund |
| • Corner Preservation Fund | • Public Safety Levy Fund |
| • Tax Title Land Sales Fund | |

Debt Service Funds: Account for the retirement of general obligation bonds from the collection of property taxes and for the retirement of certificates of participation (capital leases), for the retirement of revenue bonds and other lease purchase arrangements. The unmatured outstanding general obligation

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bonds, full faith and credit bonds, certificates of participation and other outstanding lease purchases are accounted for in the General Long-term Obligations Account Group. Funds included are:

- Capital Lease Retirement Fund
- PERS Pension Bond Fund
- General Obligation Bond Fund
- Revenue Bond Sinking Fund

Capital Projects Funds: Account for expenditures on major construction projects or equipment acquisition. The principal sources of revenues are proceeds from certificates of participation issued to finance capital acquisitions, proceeds from the sale of County owned property, general obligation bond proceeds, full faith and credit bonds, and revenue bonds. Funds included are:

- Justice Bond Project Fund
- Revenue Bond Fund
- SB 1145 Fund
- Equipment Acquisition Fund
- Deferred Maintenance Project Fund
- Library Construction Fund/1996 Bonds
- Capital Improvement Fund
- Capital Acquisition Fund
- Building Project Fund
- Asset Preservation Fund

Proprietary Fund Types

Enterprise Funds: Account for the operations of predominantly self-supporting activities which render services to the public on a user charge basis. Funds included are:

- Dunthorpe-Riverdale Service District No. 1 Fund (blended component unit of Multnomah County)
- Mid County Service District No. 14 Fund (blended component unit of Multnomah County)
- Behavioral Health Managed Care Fund

Internal Service Funds: Account for activities and services performed primarily for other organizational units within the County. Funds included are:

- Risk Management Fund
- Fleet Management Fund
- Telephone Fund
- Data Processing Fund
- Mail/Distribution Fund
- Facilities Management Fund

Fiduciary Fund Types

Trust and Agency Funds: Account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund. Funds included are:

- Sundry Taxing Bodies Fund
- Visitor's Facility Trust Fund
- Department and Offices Agency Fund
- Public Guardian Fund
- Library Retirement Fund (Pension Trust Fund)

Account Groups

General Fixed Assets Account Group: Accounts for the County's investment in fixed assets with the exception of those assets held by the proprietary fund types. Expenditures for the acquisition of general fixed assets are recorded in the various governmental fund types; the costs of such assets are capitalized in this account group. As fixed assets are disposed, the original cost or estimated original cost is removed from this account group; any receipts from the sale of general fixed assets is accounted for as other financing sources in the appropriate fund depending on the original funding source.

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General Long-term Obligations Account Group: Accounts for long-term obligations of the County resulting from capitalized lease transactions, certificates of participation lease transactions, vacation and compensation time pay liabilities, general obligation bonds, full faith and credit bonds, revenue bonds, loans, and other long-term obligations, which will be financed from resources of the governmental fund types.

NOTE 3. Cash and Investments:

Multnomah County pools virtually all funds for investment purposes. All appropriate funds are allocated interest based on the average daily cash balance of the fund and the average monthly yield of the County's investment portfolio. Each fund type's portion of this pool is displayed on the combined balance sheet as "Cash and Investments."

Fair Value of Investments

Pursuant to GASB Statement No. 31, investments are reported at fair value as of June 30, 2001. The total fair value of deposits and investments is \$235,850 and the total adjusted cost basis is \$234,832. During the fiscal year 2000-2001, the County realized a net gain of \$184 from the sale of investments. The calculation of realized loss is independent of the calculation of the net change in the fair value of investments. The net decrease in the fair value of investments during fiscal year 2000-2001 was \$34,635. This amount takes into account all changes in fair value (including purchases and sales) that occurred during the year. The unrealized gain on investments held at year end was \$1,018. The difference between fiscal year 1999-2000's year end unrealized loss of \$689 and fiscal year 2000-2001's year end unrealized gain of \$1,018, is an unrealized gain of \$1,707. Fair value of the County's investments is provided by the County's third-party custodian and by an independent broker/dealer.

Deposits with Financial Institutions

At year end, the carrying amount of the County's deposits was \$7,287 and the bank balance was \$7,170. The bank balance was covered by federal depository insurance or by collateral held by one or more of the State's authorized collateral pool managers in the name of the County as the County's agent. The balance of \$117 was uninsured and uncollateralized. State law requires that collateral be deposited with a value of 25% of the balances above federal deposit insurance, but in some instances, the State Banking Commission can require banks to provide more than 25% of the balances of municipal corporations' deposits as collateral. The County cannot, however, determine which, if any, institutions have been required to meet a collateral requirement larger than 25%. The County independently monitors its depository institutions for indications that could potentially cause loss of County funds. At all times during the year ended, and at June 30, 2001, the County was fully collateralized under State requirements.

Investments

Oregon Revised Statutes, Chapter 294, authorizes the County to invest in obligations of the U.S. Treasury, U.S. Government agencies and instrumentalities, bankers' acceptances guaranteed by a qualified financial institution, commercial paper, repurchase agreements, State of Oregon Local Government Investment Pool (LGIP), and various interest-bearing bonds of Oregon municipalities. The County's investment policy requires that the market value plus accrued interest of the securities collateralizing repurchase agreements exceeds the face amount of the repurchase agreement by margins prescribed in writing by the Oregon Short-Term Fund Board, providing the County with a margin against a decline in the market value of the securities. Daily marking-to-market also protects the County against declines in market value.

The market value plus accrued interest of the securities purchased under repurchase agreements did

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not fall below the required level during the year. State statutes and County policy permit the County to enter into reverse repurchase agreements, which are the sale of securities to a broker/dealer with a simultaneous agreement to repurchase them at a specified time in the future at a specified price, plus a contracted rate of interest. Any interest accruing on the sold securities belongs to the County. The market value of the securities underlying reverse repurchase agreements normally exceeds the cash received by 102%, providing the broker/dealer with a margin against a decline in the market value of the securities. Daily marking-to-market protects the County against increases in the market value.

If a broker/dealer defaults on its obligation to re-sell the securities at the maturity of the agreement, the County could suffer an economic loss equal to the difference between the market value of the securities and the amount of the reverse repurchase agreement less the contracted interest. The County did not enter into any reverse repurchase agreements at any time during the fiscal year ended June 30, 2001.

The County is authorized to invest in the LGIP, an external investment pool, within prescribed limits. The investments are booked at fair value and are the same as the value of the pool shares. The LGIP investments are governed by a written investment policy that is reviewed annually by the Oregon Short-Term Fund Board. The Oregon Short-Term Fund Board is comprised of members of local government and private investment professionals, who are appointed by the Governor of the State of Oregon.

The County's investments are governed by a written investment policy that is reviewed annually by both the Oregon Short-Term Fund Board and the Multnomah County Investment Advisory Board, and is adopted annually by the Board of County Commissioners. The policy specifies the County's investment objectives, benchmarks, required diversification by security type and by maturity, and the reporting requirements.

The County's cash deposits and investments are categorized below to give an indication of risk assumed by the County at June 30, 2001. Category 1 includes investments that are insured or registered, or for which the securities are held by the County or its third-party custodian in the County's name. Category 2 includes uninsured and unregistered investments for which the securities are held by the bank's trust department in the County's name. Category 3 includes uninsured and unregistered investments for which the securities are held by counterparties or a trust department, but not in the County's name. The level of risk indicated below at June 30, 2001, is generally reflective of the level of risk assumed by the County during the year. For reporting purposes, adjusted cost basis is the amortized or accreted value of investments.

	Category 1	Category 2	Category 3	Fair Value
U.S. Government Agencies	\$121,309	\$270	\$ -	\$121,579
Repurchase Agreements	1,300	-	-	1,300
Municipal Bonds	5,009	-	-	5,009
Bankers' Acceptances	16,967	-	-	16,967
Commercial Paper	42,681	-	-	42,681
Pension Trust Investments	-	-	11,241	11,241
	<u>\$187,266</u>	<u>\$270</u>	<u>\$ 11,241</u>	<u>198,777</u>
Local Government Investment Pool				29,786
Cash Deposits and Certificates of Deposit				7,287
Total Cash and Investments				<u>\$235,850</u>

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NOTE 4. Interfund Transactions:

The following amounts due to and due from other funds recorded on the Combined Balance Sheet are temporary advances. All other interfund transfers are reported as operating transfers.

<u>Due from:</u>	<u>Due to:</u>	<u>Amount</u>
Emergency Communications Fund	General Fund	\$ 50
Federal State Grant Fund	General Fund	5,240
Facilities Management Fund	General Fund	120
Total Interfund Transactions		<u>\$ 5,410</u>

The following schedule reconciles operating transfers in and out on the Combined Statement of Revenues, Expenditures and Changes in Fund Balances - All Governmental Fund Types:

Operating transfers in	\$124,819
Plus: Operating transfers in as recorded in the Combined Statement of Revenues, Expenses, and Changes in Retained Earnings - All Proprietary Fund Types and Similar Trust Funds:	
Behavioral Health Managed Care	527
Data Processing Fund	309
Risk Management Fund	205
Facilities Management Fund	1,890
rounding	1
	<u>2,932</u>
Less: Operating transfers out as recorded in the Combined Statement of Revenues, Expenses, and Changes in Retained Earnings - All Proprietary Fund Types and Similar Trust Funds:	
Facilities Management Fund	(2,902)
Operating transfers out	<u>\$124,849</u>

NOTE 5. Property Taxes:

The County reviews, bills, collects and distributes property taxes for all taxing jurisdictions within its boundaries in accordance with State law. Property taxes collected by the County are distributed to the other taxing districts on a monthly basis except for the period from November 1 through November 30, when the distribution is made weekly. Uncollected taxes, including delinquent amounts, are deemed to be substantially collectible or recoverable through foreclosure. Accordingly, no allowance for doubtful tax accounts is deemed necessary. Property taxes are levied and become a lien on July 1. Property taxes are assessed in October and tax payments are due November 15th of the same year. Under the partial payment schedule, the first one-third of taxes are due November 15, the second one-third on February 15 and the remaining one-third on May 15. A three-percent discount is allowed if full payment is made by November 15 and a two-percent discount is allowed if two-thirds payment is made by November 15. Taxes become delinquent if not paid by the due date and interest accrues after each trimester at a rate of one percent per month. Property foreclosure proceedings are initiated four years after the tax due date. Property taxes are recorded on the date levied.

The Oregon Constitution limits property taxes for local governments. Local governments must share no more than one percent of the value of real property as current year property taxes. The maximum rate

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for all local governments is \$10 per thousand of assessed value. This limit applies to the local government tax base as well as special levies. Property taxes to support voter approved debt service are exempt from the limitation. Educational districts do not fall within the definition of local governments.

On May 20, 1997, the voters approved Ballot Measure 50. Measure 50 cut or reduced property taxes imposed statewide by 17% from fiscal year 1997-98 levels unless such taxes were exempt. Measure 50 rolled back the assessed value of each unit of property for the tax year 1997-98 to its 1995-96 "real market value," less 10%. The new resulting tax rates became the new constitutional limits for each jurisdiction replacing the levy based tax system with a rate based tax system. The measure limits any increase in assessed value to 3% per year for tax years after 1997-98. There are special exemptions for property that is substantially improved, rezoned, subdivided, or annexed, or when property ceases to qualify for a property tax exemption. In addition to limiting the amount of property taxes that can be collected, the measure requires a double majority voter approval, except at a general election, for new or increased property taxes, restricts the use of general obligation bond proceeds on certain capital maintenance and equipment outlays, and restricts other means by which the State and local governments can raise revenue in lieu of property taxes. The full text of Ballot Measure 50 should be read to gain a better understanding of the limitation.

NOTE 6. Assessments Receivable:

Assessments receivable represent uncollected amounts levied against benefited property for the cost of street lighting and sewer improvements. An allowance for uncollectible amounts is not deemed necessary as substantially all amounts, including delinquent assessments, should be recoverable through liens. Substantially all assessments are payable over a period of ten years or less. Assessments bear interest at 6% to 10%. The Assessment District Operating Fund, a Special Revenue Fund, was closed to the General Fund in fiscal year 1996 because the outstanding receivables were considered to be immaterial.

NOTE 7. Contracts Receivable:

The following is a summary of contracts receivable at June 30, 2001:

	<u>Total</u>	<u>Due within one year</u>
<u>General Fund:</u>		
Contract from sale of Juvenile Justice beds. Due in periodic installments through fiscal year 2016-2017.	\$ 2,458	\$ 154
Contract from sale of Juvenile Justice beds. Due in periodic installments through fiscal year 2010-2011.	3,504	350
	<u>5,962</u>	<u>504</u>
<u>Special Revenue Fund:</u>		
Tax Title Land Sales Fund - Contracts from sale of foreclosed property, payable at 6% to 12% interest generally over terms up to twenty years, net of City of Portland equity therein.	438	85

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Debt Service Funds:

Contract sale for Regional Children's Campus. Due in periodic installments through fiscal year 2014-2015.	3,852	292
Contract sale for Oregon Food Bank. Due in periodic installments through fiscal year 2014-2015.	4,294	128
Contract sale for Port City Development. Due in periodic installments through fiscal year 2014-2015.	2,820	131
	<u>10,966</u>	<u>551</u>

Capital Projects Funds:

Capital Improvement Fund contract from the sale of Juvenile Justice beds. Due in periodic installments through fiscal year 2012-2013.	1,233	103
Capital Improvement Fund contract for Regional Children's Campus. Due in periodic installments through fiscal year 2014-2015.	566	43
Capital Acquisition Fund amendment to Regional Children's Campus. Due in periodic installments through fiscal year 2013-2014.	366	-
	<u>2,165</u>	<u>146</u>
Total Contracts Receivable	<u>\$19,531</u>	<u>\$1,286</u>

NOTE 8. Deferred Revenue:

Deferred revenue as of June 30, 2001 consists of the following:

Property taxes receivable	\$10,985
Assessments receivable	11
Contracts receivable	19,531
Other receivables	6,789
Total	<u>\$37,316</u>

NOTE 9. Fixed Assets:

The changes in the General Fixed Assets Account Group for the fiscal year ended June 30, 2001, and fixed assets by major classes for the General Fixed Assets Account Group are as follows:

General Fixed Assets:	Balance June 30, 2000	Additions	Retirements and Transfers	Balance June 30, 2001
Land and Improvements	\$ 11,693	\$ 2,812	\$ -	\$ 14,505
Buildings and Improvements	243,049	27,810	37,667	308,526
Work in Progress	37,667	1,626	(37,667)	1,626
Bridges	37,421	-	-	37,421
Equipment	18,858	565	(1,224)	18,199
Total	<u>\$348,688</u>	<u>\$32,813</u>	<u>\$(1,224)</u>	<u>\$380,277</u>

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The following is a summary of proprietary fund type fixed assets for June 30, 2001:

<u>Proprietary Funds:</u>	<u>Enterprise Funds</u>	<u>Internal Service Funds</u>
Land and Improvements	\$ -	\$ 18
Buildings and Improvements	3,842	188
Equipment	50	35,104
Less: Accumulated Depreciation	(1,658)	(27,478)
Total	<u>\$ 2,234</u>	<u>\$ 7,832</u>

Beginning with fiscal year 2001, the County is recording fixed assets by source of funds. A schedule of changes in fixed assets by source has been added to the financial portion of this report.

NOTE 10. Deficit Fund Balance and Budgetary Over Expenditures:

Assessment and Taxation, a Special Revenue Fund, has a deficit fund balance of \$144. In fiscal year 2002, the County will make a transfer from the General Fund to correct this deficit.

Dunthorpe-Riverdale Service District No. 1, an Enterprise Fund, has negative retained earnings of \$339. However, the Fund has positive total fund equity.

Data Processing, an Internal Service Fund, has negative retained earnings of \$2,810. However, the Fund has positive fund equity.

Facilities Management, an Internal Service Fund, has negative retained earnings of \$5. However, the Fund has positive fund equity.

The following funds had overexpenditures. These overexpenditures were funded by available fund balances.

<u>Fund</u>	<u>Agency</u>	<u>Amount</u>
Federal State Fund	Health Department	\$1,736
Federal State Fund	Sheriff's Office	101
Federal State Fund	Support Services	135
Tax Title Land Sales Fund	Environmental Services	51
Corner Preservation Fund	Environmental Services	54
PERS Pension Bond Fund	Non-Departmental	79
Building Project Fund	Support Services	221
Risk Management Fund	Support Services	187
Total overexpenditures		<u>\$2,564</u>

NOTE 11. Tax and Revenue Anticipation Notes (TRANS):

The County issued \$20,000 in TRANS on July 1, 2000 with a maturity date of June 30, 2001. The yield was 4.37%. The TRANS were issued to provide for seasonal cash flow needs of the General Fund. The TRANS are issued pursuant to Oregon Revised Statutes, and approved by the Board of County Commissioners, which authorize the County to borrow sufficient funds to meet current expenditures pending the collection of property taxes and other unpledged revenues. The TRANS were rated MIG1 by Moody's Investors Service.

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NOTE 12. Long-Term Obligations:

General Obligation Bonds

Bonded debt recorded in the General Long-term Obligations Account Group are general obligation bonds issued for the rehabilitation of the Central Library, construction of the Midland Library facilities, construction and acquisition of other library facilities and equipment, and construction and acquisition of certain public safety facilities and equipment. The general obligation bonds are direct obligations and pledge the full faith and credit of Multnomah County. The original total issue amounts were \$22,000, \$9,000, \$29,000 and \$79,700 respectively. On February 1, 1999 the County advance refunded a portion of the above general obligation bonds by issuing \$66,115 in new general obligation bonds.

General obligation bonds outstanding at year end are as follows:

<u>Purpose</u>	<u>Interest Rates</u>	<u>Amount</u>
Governmental activities	3.70-6.00%	\$ 40,490
Governmental activities - refunding	3.90-5.65%	65,770
		<u>\$106,260</u>

Annual debt service requirements to maturity for General Obligation Bonds are as follows:

<u>Year Ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2002	\$ 9,725	\$ 4,588
2003	4,925	4,263
2004	5,165	4,033
2005	5,420	3,787
2006	5,685	3,525
Thereafter	<u>75,340</u>	<u>19,119</u>
	<u>\$106,260</u>	<u>\$39,315</u>

Revenue Bonds

On October 1, 1998 the County issued \$3,155 of revenue bonds to finance the construction, renovation, improvement and equipping County owned facilities, and entered into a public/private partnership with the Regional Children's Campus (RCC), a 501(c)(3) non profit agency. The revenue bonds are recorded in the General Long-term Obligations Account Group.

On November 1, 2000, the County issued \$3,500 of revenue bonds to finance the costs of acquiring land and constructing, renovating, improving and equipping certain facilities to be used as a vocational training center for developmentally disabled residents of Multnomah County. The County entered into a public/private partnership with Port City Development, a 501(c)(3) non-profit agency. The revenue bonds are recorded in the General Long Term Obligations Account Group.

On November 1, 2000, the County issued \$2,000 of revenue bonds to re-finance the costs of acquisition of real property and constructing facility improvements related to the Oregon Food Bank. The revenue bonds are recorded in the General Long Term Obligations Account Group.

Revenue bonds outstanding at year end are as follows:

<u>Purpose</u>	<u>Interest Rates</u>	<u>Amount</u>
Governmental activities	4.00-5.20%	\$ 8,500

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Annual debt service requirements to maturity for revenue bonds are as follows:

<u>Year Ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2002	\$ 165	\$ 389
2003	445	377
2004	465	357
2005	490	337
2006	515	314
Thereafter	6,420	1,626
	<u>\$8,500</u>	<u>\$3,400</u>

Full Faith & Credit Bonds

On December 1, 1999, the County issued \$184,548 in taxable Revenue Pension Obligation Bonds to fund the County's accrued actuarial liability (UAAL). The County estimates that by funding the actuarial liability, the County will receive a present value savings of about \$35,776 between the amount calculated by PERS to retire the UAAL and the amount of the debt repayment. The total interest cost is 7.67%. Payment of principal and interest, except for a term bond, will be guaranteed by MBIA. The term bonds are rated Aa2 by Moody's Investor Service, Inc.

On April 1, 2000, the County issued \$61,215 in full faith and credit bonds to finance the costs of acquiring and installing the integrated enterprise computer system, acquire land, acquire facilities and construct other County facilities and structures. Full faith and credit bonds are direct obligations and pledge the full faith and credit of the government.

On April 1, 1999, the County issued \$36,125 in Certificates of Participation at a net interest cost of 4.71% to finance the costs of acquiring land and facilities. Full faith and credit bonds are direct obligations and pledge the full faith and credit of the government.

Full faith and credit bond obligations outstanding at year end are as follows:

<u>Purpose</u>	<u>Interest Rates</u>	<u>Amount</u>
Governmental activities	4.00-7.74%	\$277,452
Proprietary activities	5.00-5.50%	261
		<u>\$277,713</u>

Annual debt service requirements to maturity for full faith and credit bonds are as follows:

<u>Year Ending June 30</u>	<u>General Government Funds</u>		<u>Proprietary Funds</u>	
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>
2002	\$ 4,753	\$ 12,921	\$127	\$ 16
2003	5,551	12,687	134	8
2004	6,560	12,400	-	-
2005	7,535	12,051	-	-
2006	8,345	11,648	-	-
Thereafter	244,708	444,841	-	-
	<u>\$277,452</u>	<u>\$506,548</u>	<u>\$261</u>	<u>\$ 24</u>

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Long Term Capital Lease Obligations

The County has entered into various lease/purchase agreements to acquire property and equipment. The lease obligations of the General Fixed Assets Account Group are recorded as liabilities in the General Long-term Obligations Account Group. All other lease obligations are related to the Data Processing Fund, an Internal Service Fund, and are recorded as capitalized lease obligations. These leases have been capitalized in accordance with generally accepted accounting principles.

Long term capital lease obligations outstanding at year end are as follows:

<u>Purpose</u>	<u>Interest Rates</u>	<u>Amount</u>
Governmental activities	3.75-7.25%	\$ 37,158
Governmental activities – refunding	2.75-7.50%	12,520
Proprietary activities	3.75-4.90%	2,264
		<u>\$51,942</u>

Annual debt service requirements to maturity for general long term capital lease obligations are as follows:

<u>Year Ending June 30</u>	<u>General Government Funds</u>		<u>Proprietary Funds</u>	
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>
2002	\$ 3,993	\$ 2,403	\$1,336	\$ 79
2003	4,184	2,206	928	35
2004	3,535	2,027	-	-
2005	3,714	1,841	-	-
2006	3,914	1,645	-	-
Thereafter	30,338	5,788	-	-
	<u>\$49,678</u>	<u>\$15,910</u>	<u>\$2,264</u>	<u>\$114</u>

Loans Payable

The County has entered into several loans with other governmental agencies for the purpose of making capital improvements. The loan obligations outstanding at year end are as follows:

<u>Purpose</u>	<u>Interest Rates</u>	<u>Amount</u>
Governmental activities	5.65-7.20%	\$870

Annual debt service requirements to maturity for long term loans outstanding at year end are as follows:

<u>Year Ending June 30</u>	<u>Principal</u>	<u>Interest</u>
2002	\$ 73	\$ 56
2003	79	51
2004	85	44
2005	92	38
2006	95	35
Thereafter	446	87
	<u>\$870</u>	<u>\$311</u>

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Changes in long-term liabilities

Long-term liability activity for the year ended June 30, 2001 was as follows:

	Beginning Balance	Additions	Reductions	Ending Balance	Due Within One Year
<u>Governmental Activities:</u>					
General Obligation Bonds	\$115,555	\$ -	\$9,295	\$106,260	\$9,725
Revenue Bonds	3,155	5,500	155	8,500	165
Full Faith and Credit Bonds	281,508	-	4,056	277,452	4,753
Long Term Capital Leases	53,965	-	4,287	49,678	3,993
Loans Payable	939	-	69	870	73
Compensated Absences	3,100	929	-	4,027	-
Governmental activity long-term liabilities:	<u>\$458,222</u>	<u>\$6,429</u>	<u>\$17,862</u>	<u>\$446,787</u>	<u>\$18,709</u>
<u>Proprietary Activities:</u>					
Full faith and credit bonds	\$ 380	\$ -	\$ 119	\$ 261	\$ 127
Long-term capital leases	3,740	-	1,476	2,264	1,336
Compensated absences	1,086	129	-	1,215	-
Proprietary activity long-term liabilities:	<u>\$ 5,206</u>	<u>\$ 129</u>	<u>\$ 1,595</u>	<u>\$ 3,740</u>	<u>\$1,463</u>

Defeased General Obligation Bonds

In prior years, the County defeased certain general obligation bond issues by placing the proceeds of the new bonds in irrevocable trusts to provide for all future debt service on the old bonds. Accordingly, the trust account assets and related liability for the defeased bonds are not included in the County's financial statements. At June 30, 2001, the amount of these bonds outstanding totaled \$62,180.

Defeased Certificates of Participation

In prior years, the County defeased certain certificates of participation issues by placing the proceeds of the new certificates in irrevocable trusts to provide for all future debt service on the old certificates. Accordingly, the trust account assets and related liability for the defeased certificates are not included in the County's financial statements. At June 30, 2001, the amount of these certificates outstanding totaled \$26,020.

Conduit Financing

On November 1, 1997, the County issued \$31,600 in Educational Facilities Revenue Bonds which have not been recorded in the County's financial statements. The proceeds of these bonds were assigned to the University of Portland (the University) to finance capital improvements to the University, pay issue costs and advance refund \$17,750 of the Series 1994 issue. On April 1, 2000, the County issued \$17,160 in Conduit Educational Revenue Bonds for the University to finance the construction of a student housing facility, parking garage and street lighting. These bonds are not recorded on the books of the County but are assigned to the University. The responsibilities of the County in this bond transaction were limited to adopting the resolution authorizing the issuance of the bonds, executing the bonds and the bond documents to which it is a party, issuing and delivering the Bonds, assigning certain of its rights to the Trustee as provided in the indenture, and directing the Trustee as to the application of monies received from the University to pay the bonds in accordance

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with the indenture. The County has no obligation to take any other action relating to the bonds. Since the County does not own any of the assets constructed or assume any liabilities associated with repayment, there is no balance sheet disclosure or recognition of revenues and expenditures within the County's financial statements. As of June 30, 2001, \$ 44,490 of Educational Facilities Revenue Bonds were outstanding.

On December 3, 1998, the County created the Hospital Facilities Authority of Multnomah County, Oregon (the Authority). On March 1, 1999, the Authority issued Hospital Revenue Bonds (Terwilliger Plaza), which have not been recorded as a liability for generally accepted accounting principles purposes. The proceeds of these bonds are used by health care facilities to finance various capital projects and refund outstanding bonds. The Hospital Revenue Bonds have not been recognized as a liability of the County because the bonds are secured solely by the provisions of the Bond indenture and payments are made by the health care facility. Terwilliger Plaza has pledged the gross revenues of the health care facility making up Terwilliger Plaza to secure payment of the bonds. The bonds shall not be payable from a charge upon any funds, assets, nor shall the County be subject to any liability. No holder or holders of the bonds shall ever have the right to exercise the taxing power of the County to pay the bonds or the interest, nor to enforce payment against any property of the County. Upon completion of the project, the assets constructed or purchased are owned by Terwilliger Plaza. Since the County does not own any of the assets or assume any of the liabilities associated with the repayment, there is no balance sheet disclosure or recognition of revenues within the County's financial statements. As of June 30, 2001, \$25,500 of these bonds were outstanding.

NOTE 13. Arbitrage Payable:

Under certain conditions, the Tax Reform Act of 1986 requires governmental units to remit excess arbitrage earnings arising from invested bond proceeds to the Internal Revenue Service. At June 30, 2001, the County recorded a liability of \$1,341 in the combined financial statements for such estimated excess arbitrage earnings.

NOTE 14. Risk Management:

Multnomah County is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. Multnomah County has established an internal service fund to account for risk management activities, including payment of insurance policy premiums, payment of claims, loss control and prevention activities, including risk assessment, training and consultation to reduce the frequency and severity of loss, and to finance its uninsured risks of loss. Under this program the Risk Management Fund provides risk of loss coverage as follows:

- General liability, bodily injury and property damage of third parties resulting from the negligence of Multnomah County or its employees and errors and omissions risks;
- Property damage to County-owned facilities with deductibles of \$50 per occurrence, \$250 for earthquakes, and \$250 for flood;
- Workers' compensation, bodily injury or illness to the employees while in the course of employment: Individual workers' claims up to \$500 are covered by the Risk Management Fund. The County has an insurance policy for any claim that exceeds \$500;
- Employee medical, dental, vision, life insurance, and disability benefits: The County has a portion of these benefits covered by insurance and the remaining benefits are covered by the Risk Management Fund. On the portion covered by the Risk Management Fund, the County has stop loss protection for medical claims per individual that exceed \$250; and

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- Unemployment insurance: All unemployment claims are covered by the Risk Management Fund.

Multnomah County did not have any significant reduction in insurance coverage from the prior year. The County has not experienced settlements in excess of insurance coverage in prior years. An actuarial valuation and estimates of liabilities for unpaid liability and workers' compensation claims were prepared by an independent actuary in July 2001. Multnomah County monitors claims administration to ensure that proper reserves are maintained. The Risk Management Fund allocates the cost of providing claims administration and claims payment by charging a "premium" to the various funds based upon actuarial estimates of the amounts needed to pay prior and current year claims and to establish sufficient reserves. The Risk Management Fund is funded on an actuarially sound basis as required by state law for self-insureds in Oregon. Tort claims against governmental entities are limited under the Oregon Tort Claims Act to a maximum loss of \$500 per occurrence. Claims liabilities are reevaluated periodically to take into consideration recently settled claims, the frequency of claims, other economic and social factors, and specific, incremental claim adjustment expenses. The estimated claims liability of \$8,712 reported in the Risk Management Fund at June 30, 2001 was established in accordance with the requirements of Governmental Accounting Standards Board (GASB) Statement No. 10, which requires a liability for claims to be reported if information prior to issuance of the financial statements indicates that it is probable that a liability has been incurred at the date of the financial statements and the amount of the loss can be reasonably estimated. The estimated claims liability at fiscal year end does not include the Risk Management Fund's operational accounts payable in the sum of \$666. A portion of the loss reserves have been discounted, with the actuary using the estimated payout pattern for liability and workers' compensation and an assumed investment rate of 5.5% in preparing estimates. Multnomah County does not purchase annuity contracts from commercial insurers to pay any aggregate amount of outstanding claims liability. Changes in the Risk Management Fund self insured claims liability, including an estimate of the ultimate cost of the claims and incurred but not reported claims, for fiscal years 1999, 2000 and 2001 were:

Fiscal Year	Balance at Beginning of Fiscal Year	Current Year Claims and Changes in Estimates	Claims Payments	Balance at End of Fiscal Year
1999	\$6,201	\$12,214	\$10,598	\$7,817
2000	7,817	9,926	9,889	7,854
2001	7,854	18,716	17,858	8,712

NOTE 15. Fund Equity and Contributed Capital:

Contributed capital is recorded in proprietary funds that have received capital grants or contributions from developers, customers or other funds. Reserves represent those portions of fund equity not available for expenditures or legally segregated for a specific future use.

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During the year, contributed capital in the Enterprise and Internal Service Funds changed as follows:

	Enterprise Funds	Internal Service Funds
Balance, June 30, 2000	\$3,455	\$11,102
Deduct:		
Disposals	(5)	-
Balance, June 30, 2001	<u>\$3,450</u>	<u>\$11,102</u>

The County adopted GASB No. 33, Accounting and Financial Reporting for Nonexchange Transactions, effective July 1, 2000. Accordingly, the County began recognizing capital contributions to proprietary funds as revenues instead of contributed capital.

NOTE 16. Post Retirement Health Care Benefits:

In addition to providing pension benefits, the County provides certain health care and life insurance benefits for retired County employees. Substantially all of the County's employees are eligible for life insurance benefits when they reach normal retirement age.

The County provides a portion of health care benefits to substantially all County employees. The County pays one-half (50%) of the monthly medical insurance premium from the retiree's fifty-eighth birthday or date of retirement, whichever is later, until the retiree's sixty-fifth birthday. These health care benefit obligations are required by labor bargaining agreements and the exempt employee ordinance. The cost of retiree health care and insurance benefits is recognized as an expense in the Risk Management Fund as claims are incurred. For fiscal year 2001, those costs totaled \$198, net of payments made by retirees. The County funds the actuarially determined amount of medical benefits that will be payable in the future for retirees' health insurance.

The accrued costs of all benefits are measured by the projected "unit credit actuarial cost method." The unfunded actuarial liability created is amortized as a level percentage of salary over a thirty-year period.

Significant actuarial assumptions used in the valuation include (a) a rate of return on the investment of present and future assets of 6.0%, (b) projected salary increases starting at 6.0% at age 20 and gradually declining to 4.0% at age 60; and (c) trend rate increases starting at 7.5% in year one and gradually declining to 6.0%. The actuarial calculation used to determine the post-retirement benefit obligation assumed two costs for the insurance claims. The County is using retiree costs that are 150% of the average costs for all covered participants. This cost is more in line with actual costs.

The applicable funding rate established by an actuarial review for fiscal year 2001 is .88% of the County's payroll, and the County's contribution has met the actuarial requirements. Following is the activity and the funding status of the post retirement health care benefits for the last three years.

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<u>Fiscal Year</u>	<u>Number of Retirees Covered</u>	<u>Premium Received</u>		<u>Claim/Premium Incurred</u>	<u>Net Costs</u>
1999	372	\$ 704	(1)	\$ (1,011)	\$ (307)
2000	392	773	(1)	(1,121)	(348)
2001	428	1,164	(1)	(1,362)	(198)

<u>Funding Status ⁽²⁾</u>	<u>1999</u>	<u>2000</u>	<u>2001</u>
Post-retirement benefit obligation	\$10,788	\$10,788	\$10,788
Net assets available for benefits	9,423	9,938	8,142
Total unfunded liability	<u>\$ 1,365</u>	<u>\$ 850</u>	<u>\$ 2,646</u>

- (1) All or a portion of the premiums are paid directly to the County's third party administrator by the retirees.
(2) Actuarial valuation done every two to three fiscal years.

NOTE 17. Pension Plans and Deferred Compensation:

State of Oregon Public Employees Retirement System (PERS) Plan Description

Substantially all County employees are participants in PERS, an agent multiple-employer public employee retirement system that acts as a common investment and administrative agent for governmental units in the State of Oregon. PERS issues a publicly available financial report that includes financial statements and required supplementary information. Those reports may be obtained by writing:

PERS
PO Box 23700
Tigard, OR 97281-3700

The County's payroll for employees covered by PERS for the year ended June 30, 2001, was \$200,956. The County's total payroll was \$211,977. All full-time County employees are eligible to participate in PERS. Benefits generally vest after five years of continuous service. Retirement is allowed at age 58 (Tier 1) or at age 60 (Tier 2) with unreduced benefits, but retirement is generally available after age 55 with reduced benefits. Tier 1 applies to employees hired or vested prior to January 1, 1996. Compulsory retirement age is 70. Tier 2 applies to employees hired on or after January 1, 1996. Retirement benefits are based on salary and length of service, are calculated using a formula and are payable in a lump sum or monthly using several payment options. PERS also provides death and disability benefits. These benefit provisions and other requirements are established by state statutes. The information for retirees, beneficiaries or terminated employees entitled to benefits but not yet receiving them is not presented because PERS pools the risk related to such employees among all employers. PERS fully funds these obligations at the time of retirement or separation from service. Accordingly, the following information covers only current employees.

Funding Policy and Annual Pension Cost-PERS

The County is required by the rules applicable to PERS employers to contribute a percentage of covered employees' salaries to PERS. The contribution rate is determined based on actuarial valuations which are performed by PERS at least every two years. The contribution rate was 12.28% on July 1, 1999, and was reduced to 9.21% on January 1, 2000. The County's contribution rate decreased to 8.12% effective July 1, 2001. As required by labor agreements, the County contributes the required 6% employee contribution on behalf of all employees.

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PERS' policy provides for actuarially determined periodic contributions that are sufficient to pay benefits when due. Based on the assumptions of the December 31, 1999 actuarial valuation, the County's required contribution, including employees' contributions, was equal to the annual pension cost of \$31,607.

<u>Year Ended</u>	<u>Annual Pension Cost (APC)</u>	<u>Percentage of APC Contributed</u>	<u>Net Pension Obligation</u>
6/30/99	\$29,411	100%	0
6/30/00	32,339 (1)	100%	0
6/30/01	31,607	100%	0

(1) Does not include lump-sum payment of \$180,000 to fund the estimated unfunded liability.

Significant actuarial assumptions used in the most recent valuation (December 31, 1999) include (a) a rate of return on the investment of present and future assets of 8% per year, (b) projected salary increases of 4.25% per year attributable to general wage adjustments, (c) additional increases for promotion and longevity that may vary by age and service, (d) projected automatic cost-of-living benefit increases of 2% per year (the maximum allowable), and (e) demographic assumptions that have been chosen to reflect our emerging experience of the members of the system, and are the same as those used to compute the actuarially required contributions. The entry age actuarial cost method and level percentage amortization method are used. A thirty year amortization period with a closed group fixed term method is used. The actuarial value of assets is based on market value.

Schedule of Funding Progress

<u>Actuarial Valuation Date</u>	<u>Actuarial Value of Assets</u>	<u>Actuarial Accrued Liability</u>	<u>Unfunded (Funded) Actuarial Accrued Liability</u>	<u>Funded Ratio</u>	<u>Covered Payroll</u>	<u>Unfunded (Funded) Actuarial Accrued Liability as a Percent of Covered Payroll</u>
12/31/95	\$201,614	\$330,154	\$ 128,540	61%	\$142,614	90%
12/31/97	291,095	449,588	158,493	65%	155,915	102%
12/31/99	935,746	859,337	(76,409)	109%	191,152	(40)%

Multnomah County Library Retirement Plan

The Multnomah County Library Retirement Plan (the Plan) is a single employer defined benefit Plan. Prior to July 1, 1990, the Plan was administered by the Library Association of Portland (LAP) which was a not-for-profit organization. Effective July 1, 1990, the County Board of Commissioners is responsible for amending Pension Plan provisions. The Principal Mutual Life Insurance Company (Insurance Company) is contracted by the County to be the trustee of the Plan. The County Finance Director is the plan administrator. All employees transferred are now covered by PERS. The Plan does not issue a stand alone financial report. All related financial data is contained in this report.

All former LAP full-time and part-time employees who were 21 years of age or older and had completed two years of service were eligible to participate in the Plan. Benefits vested after two years of continuous service. Retirement is allowed at age 65 with unreduced benefits, but retirement is generally available after age 55 with reduced benefits. Retirement benefits are based on salary and length of service, are calculated using a formula, and are payable in a lump sum or monthly using several payment options. The Plan also provides death benefits.

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Current employees consist of 138 participants who are fully or partially vested in their accrued monthly benefits and 0 participants who are only vested in their accumulated employee contributions. Current employees have an annual covered payroll of \$5,008. The total payroll and covered payroll are the same because the plan is frozen. There are 116 retired participants currently receiving benefits.

The benefits for retired participants are guaranteed by the Insurance Company with investments in Immediate Participation Guarantee Contracts. As a result the related assets and liabilities are excluded for funding purposes.

Funding Policy and Annual Pension Cost- Library Retirement Plan

The Board of County Commissioners establishes and may amend the contribution requirements of the Plan. There are no contribution requirements for employees. The annual required contribution (ARC) of the employer was \$64, based on a contribution rate of 1.8%. The actual contribution was \$128. The actuarial assumptions and methods used in the most recent valuation (January 1, 2000) were as follows:

Actuarial cost method:	Projected unit credit
Amortization method:	Level dollar, closed
Amortization period:	6 years, weighted average
Asset valuation method:	Contract value
Investment rate of return:	7.25%
Projected salary increase:	5.88 - 9.10%
Cost of living adjustments:	Consumer Price Index (limited to 2%)
Inflation Rate:	4%

Summary of Significant Accounting Policies - Library Retirement Plan

The assets of the plan consist of unallocated insurance contracts and therefore are appropriately valued at contract value. Employer contributions to the plan and benefits are recognized when due.

<u>Fiscal Year Ended</u>	<u>Annual Pension Cost</u>	<u>Percentage of Annual Pension Cost Contribution</u>	<u>Net Pension Obligation</u>
6/30/99	\$ 128	61.7%	\$ 49
6/30/00	96	132.7%	-
6/30/01	64	201.4%	-

<u>Actuarial Valuation Date</u>	<u>Actuarial Value of Assets</u>	<u>Actuarial Accrued Liability</u>	<u>Funded Ratio</u>	<u>Unfunded Actuarial Accrued Liability</u>	<u>Covered Payroll</u>	<u>Unfunded Actuarial Accrued Liability as a Percent of Covered Payroll</u>
1/1/99	\$10,750	\$11,445	93.9%	\$696	\$5,422	12.8%
1/1/00	10,998	11,321	97.1%	324	5,056	6.4%
1/1/01	11,197	11,240	99.6%	43	5,008	0.9%

As of January 1, 2000, the actuarial valuation includes retired life assets and liabilities.

Deferred Compensation Plan

The County offers its employees a deferred compensation plan (the Plan) created in accordance with Internal Revenue Code Section 457. During fiscal year 1998, the County adopted GASB Statement No. 32, Accounting and Financial Reporting for Internal Revenue Code Section 457 Deferred Compensation Plans, and entered into trust agreements with the Plan providers in order to comply with the

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requirements of subsection (g) of IRC Section 457. The Plan, available to all permanent County employees, permits them to defer a portion of their salary until future years. The deferred compensation is not available to employees until termination, retirement, death or an unforeseeable emergency.

All assets and income of the Plan are held in trust for the exclusive benefit of participants and their beneficiaries.

The amount deferred, adjusted to fair market value at June 30, 2001, and investment earnings thereon amount to \$89,282. The amounts accumulated under the deferred compensation plan, including investment earnings, are excluded from the financial statements of the County.

No plan assets have been used for purposes other than the payment of benefits.

It is the opinion of the County Attorney that the County has no fiduciary responsibilities under the Plan.

NOTE 18. Commitments and Contingent Liabilities:

Additional commitments under contracts at June 30, 2001 are as follows:

General Fund	\$ 1,891
Special Revenue Funds	32,608
Capital Projects Funds	3,712
Enterprise Funds	2,349
Internal Service Funds	20,235
	<u>\$60,795</u>

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies, principally the federal and state governments. Any disallowed claims, including amounts already collected, could become a liability of the County.

Various claims and lawsuits against the County are pending. These claims are either covered by insurance would be paid by the Risk Management Fund as described in footnote 14. County management believes the total amount of liability, if any, which may arise from such claims and lawsuits beyond that which is covered by insurance would not have a material effect on the County's financial condition or its ability to carry on its activities substantially as now conducted.

NOTE 19. Segment Information for Enterprise Funds:

The County's Enterprise Funds account for the financing of predominantly self-supporting activities to the public on a user charge basis including lighting and sewer services, and mental health care claims administration.

MULTNOMAH COUNTY, OREGON
NOTES TO THE COMBINED FINANCIAL STATEMENTS
June 30, 2001
(amounts expressed in thousands)

Segment information at June 30, 2001 and for the year then ended is as follows:

	Sewer	Lighting	Mental Health Care	Total
Operating revenue	\$ 249	\$ 188	\$26,768	\$27,205
Depreciation expense	34	95	17	146
Operating income (loss)	(37)	(161)	(989)	(1,187)
Operating transfers in			527	527
Net income (loss)	30	314	8	352
Property, plant and equipment:				
Additions at cost	3	424	35	462
Deletions at cost		13		13
Net working capital	495	832	3,706	5,033
Total assets	2,082	2,049	6,089	10,220
Total equity	1,512	2,029	3,726	7,267

NOTE 20. Subsequent Events:

On July 2, 2001, the County issued \$20,000 in Tax and Revenue Anticipation Notes to meet anticipated cash flow requirements prior to the collection of property taxes in November and other revenues received after November. The stated interest rate is 3.50% with a yield of 2.60%. The notes mature on June 28, 2002, and are rated MIG1 by Moody's Investors Service, Inc.

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ADDITIONAL INFORMATION
(Combining and Individual Fund and Account Group Statements and Schedules)

GENERAL FUND

The General Fund accounts for the financial operations of the County which are not accounted for in any other fund. The principal sources of revenues are property taxes and business income taxes. Primary expenditures in the General Fund are made for general government, public safety, and health and social services. The modified accrual basis of accounting is used to record revenues and expenditures.

MULTNOMAH COUNTY, OREGON
GENERAL FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Taxes:			
Property:			
Current year	\$ 164,617	\$ 164,560	\$ (57)
Payments in lieu of taxes	85	97	12
Transient lodging	3	-	(3)
Business income	43,812	30,377	(13,435)
Motor vehicle rental	12,286	11,546	(740)
Intergovernmental:			
Federal	10,317	7,518	(2,799)
State	8,095	9,443	1,348
Local	2,223	2,028	(195)
Licenses and permits	1,982	2,446	464
Charges for services	11,071	7,442	(3,629)
Interest	4,613	4,729	116
Other:			
Miscellaneous	353	1,373	1,020
Service reimbursements	29,512	19,861	(9,651)
Total revenues	<u>288,969</u>	<u>261,420</u>	<u>(27,549)</u>
OTHER FINANCING SOURCES:			
Transfers from other funds:			
Justice Bond Project Fund	89	89	-
Animal Control Fund	1,146	910	(236)
Total other financing sources	<u>1,235</u>	<u>999</u>	<u>(236)</u>
BEGINNING FUND BALANCE	<u>20,391</u>	<u>14,262</u>	<u>(6,129)</u>
Total	<u>\$ 310,595</u>	<u>276,681</u>	<u>(33,914)</u>
EXPENDITURES:			
Aging and Disability Services	\$ 2,302	951	1,351
Health Services	16,161	13,446	2,715
Juvenile and Adult Community Justice Services	41,207	39,079	2,128
District Attorney	14,345	14,030	315
Sheriff	52,839	50,244	2,595
Environmental Services	8,393	7,082	1,311
Support Services	16,672	15,518	1,154
Nondepartmental	12,544	12,233	311
Total expenditures	<u>164,463</u>	<u>152,583</u>	<u>11,880</u>
OTHER FINANCING USES:			
Transfers to other funds:			
Federal and State Program	74,311	53,541	20,770
County School	1,544	1,544	-
Recreation	10	10	-
Library	16,262	15,611	651
Justice Services Special Operations	318	247	71
Assessment and Taxation	6,800	6,800	-
Deferred Maintenance Project	445	445	-
Behavioral Health Managed Care	551	527	24
Data Processing	309	309	-
Facilities Management	2,902	1,891	1,011
Risk Management	205	205	-
Jail Levy	29,385	27,209	2,176
Total other financing uses	<u>133,042</u>	<u>108,339</u>	<u>24,703</u>
Total	<u>297,505</u>	<u>260,922</u>	<u>36,583</u>
ENDING FUND BALANCE	<u>\$ 310,595</u>	<u>\$ 15,759</u>	<u>\$ 2,669</u>

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SPECIAL REVENUE FUNDS

These funds account for revenue derived from specific taxes or other earmarked revenue sources, including state gas tax, grants, and charges for services which are legally restricted to finance particular functions or activities. When a special revenue fund is not an operating fund, transfers are made from the special revenue fund to the operating funds authorized to make the expenditures. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- Strategic Investment Program Fund - accounts primarily for monies received from corporations receiving property tax abatements and paying fees for specific purposes as a part of the reduced tax agreement to be used for community service.
- Road Fund - accounts for revenues primarily from State motor vehicle fees and County gasoline taxes. Expenditures consist of construction, repair, maintenance, and operation of County highways and roads.
- Emergency Communications Fund - accounts for monies received from the State which are designated for an emergency communication network in conjunction with the City of Portland.
- Assessment and Taxation Fund - accounts for revenues and expenditures for property assessment and tax collection.
- Bicycle Path Construction Fund - accounts for revenue and expenditures for bicycle paths.
- Federal and State Program Fund - accounts for the majority of revenues and expenditures related to Federal and State financial assistance programs.
- County School Fund - accounts for transfers from the General Fund and forest reserve yield revenues from the State of Oregon which are apportioned to the County school districts.
- Corner Preservation Fund - accounts for the collection of fees on all recordings of real property transactions and surveying activity. The fund makes expenditures to maintain public land corners.
- Tax Title Land Sales Fund - accounts for the receipt and sale of real property foreclosed upon by the County because of unpaid property taxes. Proceeds are subsequently distributed to all taxing districts.
- Animal Control Fund - accounts for revenues from dog and cat licenses, control fees and transfers to the General Fund which are utilized for animal control activities.
- Willamette River Bridges Fund - accounts for motor vehicle fees and gasoline tax proceeds transferred from the Road Fund for bridge inspections and maintenance.
- Recreation Fund - accounts for the collection and disbursement of park revenues from various funding sources on a pass through basis.
- Library Fund - accounts for the public library operations.
- Justice Services Special Operations Fund - accounts for revenues and expenditures dedicated to justice services in the community corrections department, district attorney's office, and sheriff's office.
- Inmate Welfare Fund - accounts for the proceeds from the sale of commissary items. Expenditures are made for supplies for inmates in County jails.
- Special Excise Tax Fund - accounts for a transient lodging tax collected from all hotels and motels in the County to be used for convention center expenditures.
- Public Safety Levy Fund - accounts for operation of the Inverness Jail and related corrections programs.

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MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
SPECIAL REVENUE FUNDS
June 30, 2001
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	Federal/ State Program	County School
ASSETS:							
Cash and investments	\$ 1,441	\$ 6,663	\$ 2	\$ 475	\$ 207	\$ 4	\$ 63
Receivables:							
Taxes	-	-	-	1	-	-	-
Accounts	19	4,025	48	-	-	43,418	-
Loans	-	-	-	-	-	1,268	-
Contracts	-	-	-	-	-	-	-
Inventories	-	771	-	-	-	193	-
Prepaid items	-	-	-	-	-	529	-
Total assets	<u>\$ 1,460</u>	<u>\$ 11,459</u>	<u>\$ 50</u>	<u>\$ 476</u>	<u>\$ 207</u>	<u>\$ 45,412</u>	<u>\$ 63</u>
LIABILITIES AND FUND BALANCES:							
Liabilities:							
Accounts payable	\$ 26	\$ 5,140	\$ -	\$ 42	\$ -	\$ 29,184	\$ -
Payroll Payable	-	164	-	147	-	2,171	-
Compensated absences	-	442	-	431	-	3,077	-
Due to other funds	-	-	50	-	-	5,240	-
Deferred revenue	-	-	-	-	-	5,740	-
Total liabilities	<u>26</u>	<u>5,746</u>	<u>50</u>	<u>620</u>	<u>-</u>	<u>45,412</u>	<u>-</u>
Fund balances:							
Reserved for inventories	-	771	-	-	-	193	-
Reserved for prepaid items	-	-	-	-	-	529	-
Unreserved, undesignated	1,434	4,942	-	(144)	207	(722)	63
Total fund balances	<u>1,434</u>	<u>5,713</u>	<u>-</u>	<u>(144)</u>	<u>207</u>	<u>-</u>	<u>63</u>
Total liabilities and fund balances	<u>\$ 1,460</u>	<u>\$ 11,459</u>	<u>\$ 50</u>	<u>\$ 476</u>	<u>\$ 207</u>	<u>\$ 45,412</u>	<u>\$ 63</u>

Land Comer Preservation	Tax Title Land Sales	Animal Control	Willamette River Bridges	Recreation	Library	Justice Services Special Operations	Inmate Welfare	Special Excise Tax	Public Safety Levy	Total
\$ 499	\$ 770	\$ 1	\$ 2,984	\$ 9	\$ 7,815	\$ 1,468	\$ 145	\$ 1,617	\$ 3,954	\$ 28,117
4	-	-	-	-	1,231	-	-	2,925	-	4,161
-	-	1	405	18	129	295	47	73	67	48,545
-	-	-	-	-	-	-	-	-	-	1,268
-	438	-	-	-	-	-	-	-	-	438
-	422	-	-	-	-	-	-	-	-	1,386
-	-	-	-	-	205	-	-	-	-	734
<u>\$ 503</u>	<u>\$ 1,630</u>	<u>\$ 2</u>	<u>\$ 3,389</u>	<u>\$ 27</u>	<u>\$ 9,380</u>	<u>\$ 1,763</u>	<u>\$ 192</u>	<u>\$ 4,615</u>	<u>\$ 4,021</u>	<u>\$ 84,649</u>
\$ -	\$ 328	\$ -	\$ 26	\$ 27	\$ 1,993	\$ 21	\$ 95	\$ 2,626	\$ 175	\$ 39,683
-	3	-	59	-	531	43	11	-	592	3,721
-	13	-	130	-	956	84	28	-	893	6,054
-	-	-	-	-	-	-	-	-	-	5,290
-	859	-	-	-	1,063	166	-	-	-	7,828
-	1,203	-	215	27	4,543	314	134	2,626	1,660	62,576
-	422	-	-	-	-	-	-	-	-	1,386
-	-	-	-	-	205	-	-	-	-	734
503	5	2	3,174	-	4,632	1,449	58	1,989	2,361	19,953
503	427	2	3,174	-	4,837	1,449	58	1,989	2,361	22,073
<u>\$ 503</u>	<u>\$ 1,630</u>	<u>\$ 2</u>	<u>\$ 3,389</u>	<u>\$ 27</u>	<u>\$ 9,380</u>	<u>\$ 1,763</u>	<u>\$ 192</u>	<u>\$ 4,615</u>	<u>\$ 4,021</u>	<u>\$ 84,649</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
SPECIAL REVENUE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Strategic Investment Program	Road	Emergency Communications	Assessment and Taxation	Bicycle Path Construction	Federal and State Program	County School
REVENUES:							
Taxes	\$ -	\$ 7,716	\$ -	\$ -	\$ -	\$ -	\$ 151
Intergovernmental	-	27,355	222	3,631	-	246,109	-
Licenses and permits	-	62	-	-	-	-	-
Charges for services	1,199	320	-	337	-	5,625	4
Interest	-	676	6	-	9	17	26
Other	-	763	-	92	31	1,092	-
Total revenues	<u>1,199</u>	<u>36,892</u>	<u>228</u>	<u>4,060</u>	<u>40</u>	<u>252,843</u>	<u>181</u>
EXPENDITURES:							
Current:							
General government	930	-	-	11,050	-	-	-
Health and social services	-	-	-	-	-	268,552	-
Public safety and justice	-	-	-	-	-	34,268	-
Community services	-	-	228	-	4	3,349	1,736
Roads and bridges	-	30,873	-	-	-	-	-
Capital outlay	-	1,508	-	27	-	215	-
Total expenditures	<u>930</u>	<u>32,381</u>	<u>228</u>	<u>11,077</u>	<u>4</u>	<u>306,384</u>	<u>1,736</u>
Excess of revenues over (under) expenditures	<u>269</u>	<u>4,511</u>	<u>-</u>	<u>(7,017)</u>	<u>36</u>	<u>(53,541)</u>	<u>(1,555)</u>
OTHER FINANCING SOURCES (USES):							
Operating transfers in	-	-	-	6,800	51	53,541	1,544
Operating transfers (out)	-	(3,648)	-	-	-	-	-
Total other financing sources (uses)	<u>-</u>	<u>(3,648)</u>	<u>-</u>	<u>6,800</u>	<u>51</u>	<u>53,541</u>	<u>1,544</u>
Excess of revenues and other sources over (under) expenditures and other uses	269	863	-	(217)	87	-	(11)
FUND BALANCES, JUNE 30, 2000	<u>1,165</u>	<u>4,850</u>	<u>-</u>	<u>73</u>	<u>120</u>	<u>-</u>	<u>74</u>
FUND BALANCES, JUNE 30, 2001	<u>\$ 1,434</u>	<u>\$ 5,713</u>	<u>\$ -</u>	<u>\$ (144)</u>	<u>\$ 207</u>	<u>\$ -</u>	<u>\$ 63</u>

Land Comer Preservation	Tax Title Land Sales	Animal Control	Willamette River Bridges	Recreation	Library	Justice Services Special Operations	Inmate Welfare	Special Excise Tax	Public Safety Levy	Total
\$ -	456	\$ -	\$ -	\$ 110	\$ 19,077	\$ -	\$ -	\$ 18,276	\$ -	\$ 45,786
-	-	-	536	-	612	477	-	-	10,535	289,477
-	-	876	-	-	-	1,653	-	-	-	2,591
417	-	-	77	-	1,923	672	1,618	-	25	12,217
-	120	-	-	-	935	86	2	52	594	2,523
-	-	36	5	1	1,296	12	66	-	79	3,473
417	576	912	618	111	23,843	2,900	1,686	18,328	11,233	356,067
-	751	-	-	-	-	-	-	-	-	12,731
-	-	-	-	-	-	-	-	-	4,002	272,554
-	-	-	-	-	-	2,826	1,600	-	39,226	77,920
-	-	-	-	121	40,649	-	-	16,455	-	62,542
722	-	-	3,515	-	-	-	-	-	-	35,110
9	-	-	233	-	1,079	-	-	-	104	3,175
731	751	-	3,748	121	41,728	2,826	1,600	16,455	43,332	464,032
(314)	(175)	912	(3,130)	(10)	(17,885)	74	86	1,873	(32,099)	(107,965)
-	-	-	3,597	10	15,611	247	-	-	27,209	108,610
-	-	(910)	-	-	-	-	-	-	-	(4,558)
-	-	(910)	3,597	10	15,611	247	-	-	27,209	104,052
(314)	(175)	2	467	-	(2,274)	321	86	1,873	(4,890)	(3,913)
817	602	-	2,707	-	7,111	1,128	(28)	116	7,251	25,986
\$ 503	\$ 427	\$ 2	\$ 3,174	\$ -	\$ 4,837	\$ 1,449	\$ 58	\$ 1,989	\$ 2,361	\$ 22,073

MULTNOMAH COUNTY, OREGON
STRATEGIC INVESTMENT PROGRAM FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 1,493	\$ 1,199	\$ (294)
BEGINNING FUND BALANCE	1,065	1,165	100
Total	<u>\$ 2,558</u>	<u>2,364</u>	<u>(194)</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 2,558</u>	930	1,628
ENDING FUND BALANCE		<u>\$ 1,434</u>	<u>\$ 1,434</u>

MULTNOMAH COUNTY, OREGON
ROAD FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Gasoline	\$ 7,552	\$ 7,262	\$ (290)
Forest reserve yield	492	454	(38)
Intergovernmental:			
Federal	8	287	279
State	25,607	26,434	827
Local	1,215	634	(581)
Licenses and permits	74	62	(12)
Charges for services	220	320	100
Interest	240	676	436
Other:			
Miscellaneous	1,107	656	(451)
Service reimbursements	859	107	(752)
Total revenues	<u>37,374</u>	<u>36,892</u>	<u>(482)</u>
BEGINNING FUND BALANCE	<u>3,540</u>	<u>4,850</u>	<u>1,310</u>
Total	<u>\$ 40,914</u>	<u>41,742</u>	<u>828</u>
EXPENDITURES:			
Environmental Services	<u>\$ 37,266</u>	<u>32,381</u>	<u>4,885</u>
OTHER FINANCING USES:			
Transfers to other funds:			
Bicycle Path Construction	51	51	-
Willamette River Bridges	3,597	3,597	-
Total other financing uses	<u>3,648</u>	<u>3,648</u>	<u>-</u>
Total	<u>\$ 40,914</u>	<u>36,029</u>	<u>4,885</u>
ENDING FUND BALANCE		<u>\$ 5,713</u>	<u>\$ 5,713</u>

MULTNOMAH COUNTY, OREGON
EMERGENCY COMMUNICATIONS FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 223	\$ 222	\$ (1)
Interest	6	6	-
Total revenues	<u>229</u>	<u>228</u>	<u>(1)</u>
BEGINNING FUND BALANCE	-	-	-
Total	<u>\$ 229</u>	<u>228</u>	<u>(1)</u>
EXPENDITURES:			
Sheriff	<u>\$ 229</u>	<u>228</u>	<u>1</u>
ENDING FUND BALANCE		<u>\$ -</u>	<u>\$ -</u>

MULTNOMAH COUNTY, OREGON
ASSESSMENT AND TAXATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 4,196	\$ 3,631	\$ (565)
Charges for services	218	337	119
Other:			
Miscellaneous	74	92	18
Service reimbursements	4	-	(4)
Total revenues	<u>4,492</u>	<u>4,060</u>	<u>(432)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	6,800	6,800	-
BEGINNING FUND BALANCE	168	73	(95)
Total	<u>\$ 11,460</u>	<u>10,933</u>	<u>(527)</u>
EXPENDITURES:			
Environmental Services	2,740	2,700	40
Support Services	8,720	8,377	343
Total	<u>\$ 11,460</u>	<u>11,077</u>	<u>383</u>
ENDING FUND BALANCE		<u>\$ (144)</u>	<u>\$ (144)</u>

MULTNOMAH COUNTY, OREGON
BICYCLE PATH CONSTRUCTION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 30	\$ -	\$ (30)
Interest	5	9	4
Charges for Services	63	-	(63)
Miscellaneous	-	31	31
Total revenues	<u>98</u>	<u>40</u>	<u>(58)</u>
OTHER FINANCING SOURCE:			
Transfer from Road Fund	51	51	-
BEGINNING FUND BALANCE	125	120	(5)
Total	<u>\$ 274</u>	<u>211</u>	<u>(63)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 274</u>	<u>4</u>	<u>270</u>
ENDING FUND BALANCE		<u>\$ 207</u>	<u>\$ 207</u>

MULTNOMAH COUNTY, OREGON
FEDERAL AND STATE PROGRAM FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 240,413	\$ 246,109	\$ 5,696
Charges for services	7,680	5,625	(2,055)
Interest	12	17	5
Other:			
Miscellaneous	2,868	493	(2,375)
Service reimbursements	585	599	14
Total revenues	<u>251,558</u>	<u>252,843</u>	<u>1,285</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	72,909	53,541	(19,368)
BEGINNING FUND BALANCE	133	-	(133)
Total	<u>\$ 324,600</u>	<u>306,384</u>	<u>(18,216)</u>
EXPENDITURES:			
Community and Family Services	\$ 162,900	147,142	15,758
Aging and Disability Services	33,669	33,205	464
Juvenile and Adult Community Justice Services	31,491	29,313	2,178
Health Services	86,751	88,487	(1,736)
District Attorney	4,884	4,596	288
Sheriff	179	280	(101)
Environmental Services	1,000	240	760
Support Services	152	287	(135)
Nondepartmental	3,574	2,834	740
Total expenditures	<u>\$ 324,600</u>	<u>306,384</u>	<u>18,216</u>
ENDING FUND BALANCE		<u>\$ -</u>	<u>\$ -</u>

MULTNOMAH COUNTY, OREGON
COUNTY SCHOOL FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Taxes - Forest reserve yield	\$ 162	\$ 151	\$ (11)
Miscellaneous	4	4	-
Interest	27	26	(1)
Total revenues	<u>193</u>	<u>181</u>	<u>(12)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	1,544	1,544	-
BEGINNING FUND BALANCE			
Total	<u>\$ 1,737</u>	<u>74</u> <u>1,799</u>	<u>74</u> <u>62</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 1,737</u>	<u>1,736</u>	<u>1</u>
ENDING FUND BALANCE		<u>\$ 63</u>	<u>\$ 63</u>

MULTNOMAH COUNTY, OREGON
CORNER PRESERVATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Charges for services	\$ 479	\$ 417	\$ (62)
BEGINNING FUND BALANCE	948	817	(131)
Total	<u>\$ 1,427</u>	<u>1,234</u>	<u>(193)</u>
EXPENDITURES:			
Non Departmental	\$ 750	-	750
Environmental Services	677	731	(54)
Total Expenditures	<u>\$ 1,427</u>	<u>731</u>	<u>696</u>
ENDING FUND BALANCE		<u>\$ 503</u>	<u>\$ 503</u>

MULTNOMAH COUNTY, OREGON
TAX TITLE LAND SALES FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes - Sales on foreclosures	\$ 560	\$ 456	\$ (104)
Interest	140	120	(20)
Total revenues	<u>700</u>	<u>576</u>	<u>(124)</u>
BEGINNING FUND BALANCE			
Total	<u>-</u>	<u>602</u>	<u>602</u>
	<u>\$ 700</u>	<u>1,178</u>	<u>478</u>
EXPENDITURES:			
Environmental Services	\$ 700	751	(51)
ENDING FUND BALANCE			
		<u>\$ 427</u>	<u>\$ 427</u>

MULTNOMAH COUNTY, OREGON
ANIMAL CONTROL FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Licenses and permits	\$ 833	\$ 876	\$ 43
Charges for services	262	-	(262)
Other - Miscellaneous	51	36	(15)
Total revenues	<u>1,146</u>	<u>912</u>	<u>(234)</u>
BEGINNING FUND BALANCE	-	-	-
Total	<u>\$ 1,146</u>	<u>912</u>	<u>(234)</u>
OTHER FINANCING USE:			
Transfer to General Fund	\$ 1,146	910	236
ENDING FUND BALANCE		<u>\$ 2</u>	<u>\$ 2</u>

MULTNOMAH COUNTY, OREGON
WILLAMETTE RIVER BRIDGES FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental	\$ 522	\$ 536	\$ 14
Miscellaneous	10	77	67
Other - Service reimbursements	70	5	(65)
Total revenues	<u>602</u>	<u>618</u>	<u>16</u>
 OTHER FINANCING SOURCE:			
Transfer from Road Fund	3,597	3,597	-
 BEGINNING FUND BALANCE	<u>2,071</u>	<u>2,707</u>	<u>636</u>
Total	<u><u>\$ 6,270</u></u>	<u><u>6,922</u></u>	<u><u>652</u></u>
 EXPENDITURES:			
Environmental Services	<u>\$ 6,270</u>	3,748	2,522
ENDING FUND BALANCE		<u><u>\$ 3,174</u></u>	<u><u>\$ 3,174</u></u>

MULTNOMAH COUNTY, OREGON
RECREATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Taxes - Gasoline	\$ 150	\$ 110	\$ (40)
Other - Miscellaneous	-	1	1
Total revenues	150	111	(39)
OTHER FINANCING SOURCE:			
Transfer from General Fund	10	10	-
BEGINNING FUND BALANCE	50	-	(50)
Total	<u>\$ 210</u>	<u>121</u>	<u>(89)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 210</u>	<u>121</u>	<u>89</u>
ENDING FUND BALANCE		<u>\$ -</u>	<u>\$ -</u>

MULTNOMAH COUNTY, OREGON
LIBRARY FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Taxes:			
Property:			
Current year	\$ 19,244	\$ 18,644	\$ (600)
Prior years'	-	368	368
Penalties and interest	-	65	65
Sales to the Public	340	264	(76)
Intergovernmental:			
Federal, state, and local	502	612	110
Charges for services	1,084	1,659	575
Interest	200	935	735
Other:			
Miscellaneous	217	102	(115)
Non-governmental grants	860	1,096	236
Service reimbursements	136	98	(38)
Total revenues	<u>22,583</u>	<u>23,843</u>	<u>1,260</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	16,262	15,611	(651)
BEGINNING FUND BALANCE	4,651	7,111	2,460
Total	<u>\$ 43,496</u>	<u>46,565</u>	<u>3,069</u>
EXPENDITURES:			
Library	<u>\$ 43,496</u>	41,728	1,768
ENDING FUND BALANCE		<u>\$ 4,837</u>	<u>\$ 4,837</u>

MULTNOMAH COUNTY, OREGON
JUSTICE SERVICES SPECIAL OPERATIONS FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal	\$ 135	\$ 47	\$ (88)
Local	370	430	60
Licenses and permits	686	1,653	967
Charges for services	2,092	672	(1,420)
Interest	13	86	73
Other - Miscellaneous	78	12	(66)
Total revenues	<u>3,374</u>	<u>2,900</u>	<u>(474)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	318	247	(71)
BEGINNING FUND BALANCE	<u>616</u>	<u>1,128</u>	<u>512</u>
Total	<u>\$ 4,308</u>	<u>4,275</u>	<u>(33)</u>
EXPENDITURES:			
Juvenile and Adult Community Justice Services	\$ 760	678	82
District Attorney	971	190	781
Sheriff	2,577	1,958	619
Total expenditures	<u>\$ 4,308</u>	<u>2,826</u>	<u>1,482</u>
ENDING FUND BALANCE		<u>\$ 1,449</u>	<u>\$ 1,449</u>

MULTNOMAH COUNTY, OREGON
INMATE WELFARE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Charges for services	\$ 1,513	\$ 1,618	\$ 105
Interest	7	2	(5)
Other - Miscellaneous	7	66	59
Total revenues	<u>1,527</u>	<u>1,686</u>	<u>159</u>
BEGINNING FUND BALANCE	125	(28)	(153)
Total	<u>\$ 1,652</u>	<u>1,658</u>	<u>6</u>
EXPENDITURES:			
Juvenile and Adult Community Justice Services	\$ 71	30	41
Sheriff	1,581	1,570	11
Total expenditures	<u>\$ 1,652</u>	<u>1,600</u>	<u>52</u>
ENDING FUND BALANCE		<u>\$ 58</u>	<u>\$ 58</u>

MULTNOMAH COUNTY, OREGON
SPECIAL EXCISE TAX FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Taxes	\$ 14,676	\$ 18,276	\$ 3,600
Interest	30	52	22
Total revenues	14,706	18,328	3,622
BEGINNING FUND BALANCE	2,000	116	(1,884)
Total	<u>\$ 16,706</u>	<u>18,444</u>	<u>1,738</u>
EXPENDITURES:			
Nondepartmental	\$ 16,706	16,455	251
ENDING FUND BALANCE		<u>\$ 1,989</u>	<u>\$ 1,989</u>

MULTNOMAH COUNTY, OREGON
PUBLIC SAFETY LEVY FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 10,433	\$ 10,535	\$ 102
Charges for services	18	25	7
Interest	575	594	19
Other - Service reimbursements	77	79	2
Total revenues	<u>11,103</u>	<u>11,233</u>	<u>130</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	29,384	27,209	(2,175)
BEGINNING FUND BALANCE	9,178	7,251	(1,927)
Total Revenues	<u>\$ 49,665</u>	<u>45,693</u>	<u>(3,972)</u>
EXPENDITURES:			
Health Services	\$ 4,414	4,002	412
Sheriff	40,754	39,330	1,424
Nondepartmental	4,497	-	4,497
Total expenditures	<u>\$ 49,665</u>	<u>43,332</u>	<u>6,333</u>
ENDING FUND BALANCE		<u>\$ 2,361</u>	<u>\$ 2,361</u>

DEBT SERVICE FUNDS

These funds account for the retirement of general obligation bonds, certificates of participation (capitalized leases) and other lease-purchase arrangements. The modified accrual basis of accounting is used. Funds included are:

- **Capital Lease Retirement Fund** – accounts for lease-purchase principal and interest payments for buildings and major pieces of equipment acquired by the issuance of certificates of participation or other lease-purchase arrangements. Revenues consist of certificates of participation proceeds, service reimbursements and cash transfers from other County funds.
- **PERS Pension Bond Fund** – accounts for payment of principal and interest payments on pension obligation bonds that were issued to fund the County's PERS unfunded liability. Revenues consist of charges to departments and interest.
- **General Obligation Bond Fund** – accounts for payment of principal and interest on general obligation bonds. Revenue is derived from property taxes.
- **Revenue Bond Sinking Fund** – accounts for payment of principal and interest on bonds to be issued to construct the Edgefield Children's Center. The revenues are derived from lease of the facility to the Edgefield Children's Center, Inc. Due to project delay, the bonds were not issued and the lease was not executed.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
DEBT SERVICE FUNDS
June 30, 2001
(amounts expressed in thousands)

	Capital Lease Retirement	General Obligation Bond	PERS Pension Bond	Revenue Bond	Total
ASSETS:					
Cash and investments	\$ 3,203	\$ 12,077	\$ 2,109	\$ 634	\$ 18,023
Receivables:					
Taxes	-	920	-	-	920
Contracts	-	-	-	10,966	10,966
Total assets	<u>\$ 3,203</u>	<u>\$ 12,997</u>	<u>\$ 2,109</u>	<u>\$ 11,600</u>	<u>\$ 29,909</u>
LIABILITIES AND FUND BALANCES:					
Liabilities:					
Accounts payable	\$ -	\$ -	\$ 42	\$ -	\$ 42
Deferred revenue	-	774	-	10,966	11,740
Total liabilities	<u>-</u>	<u>774</u>	<u>42</u>	<u>10,966</u>	<u>11,782</u>
Fund balances:					
Reserved for debt service	3,203	12,223	2,067	634	18,127
Total fund balances	<u>3,203</u>	<u>12,223</u>	<u>2,067</u>	<u>634</u>	<u>18,127</u>
Total liabilities and fund balances	<u>\$ 3,203</u>	<u>\$ 12,997</u>	<u>\$ 2,109</u>	<u>\$ 11,600</u>	<u>\$ 29,909</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
DEBT SERVICE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Capital Lease Retirement	General Obligation Bond	PERS Pension Bond	Revenue Bond Sinking	Total
REVENUES:					
Taxes	\$ -	\$ 14,100	\$ -	\$ -	\$ 14,100
Interest	281	645	199	42	1,167
Other	14,465	-	8,658	292	23,415
Total revenues	<u>14,746</u>	<u>14,745</u>	<u>8,857</u>	<u>334</u>	<u>38,682</u>
EXPENDITURES:					
Current:					
General government	21	-	109	-	130
Debt service:					
Principal	8,413	9,295	-	155	17,863
Interest	7,367	5,002	8,393	263	21,025
Total expenditures	<u>15,801</u>	<u>14,297</u>	<u>8,502</u>	<u>418</u>	<u>39,018</u>
Excess of revenues over (under) expenditures	<u>(1,055)</u>	<u>448</u>	<u>355</u>	<u>(84)</u>	<u>(336)</u>
OTHER FINANCING SOURCES (USES):					
Operating transfers (out)	(2,875)	-	-	-	(2,875)
Bond proceeds	-	-	-	250	250
Total other financing sources (uses)	<u>(2,875)</u>	<u>-</u>	<u>-</u>	<u>250</u>	<u>(2,625)</u>
Excess of revenues and other sources over expenditures and other uses	<u>(3,930)</u>	<u>448</u>	<u>355</u>	<u>166</u>	<u>(2,961)</u>
FUND BALANCES, JUNE 30, 2000	<u>7,133</u>	<u>11,775</u>	<u>1,712</u>	<u>468</u>	<u>21,088</u>
FUND BALANCES, JUNE 30, 2001	<u>\$ 3,203</u>	<u>\$ 12,223</u>	<u>\$ 2,067</u>	<u>\$ 634</u>	<u>\$ 18,127</u>

MULTNOMAH COUNTY, OREGON
CAPITAL LEASE RETIREMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Interest	\$ 57	\$ 281	\$ 224
Other - Service reimbursements	14,234	14,465	231
Total revenues	14,291	14,746	455
BEGINNING FUND BALANCE	7,031	7,133	102
Total	\$ 21,322	21,879	557
EXPENDITURES:			
Nondepartmental	\$ 18,945	18,676	269
ENDING FUND BALANCE	2,377	\$ 3,203	\$ 826
	\$ 21,322		

MULTNOMAH COUNTY, OREGON
GENERAL OBLIGATION BOND FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Taxes:			
Property:			
Current year	\$ 13,960	\$ 13,721	\$ (239)
Prior years'	-	317	317
Penalties and interest	-	56	56
Sales on foreclosures	-	6	6
Interest	720	645	(75)
Total revenues	<u>14,680</u>	<u>14,745</u>	<u>65</u>
BEGINNING FUND BALANCE	11,743	11,775	32
Total	<u>\$ 26,423</u>	<u>26,520</u>	<u>97</u>
EXPENDITURES:			
Nondepartmental	\$ 14,297	14,297	-
ENDING FUND BALANCE	<u>12,126</u>	<u>\$ 12,223</u>	<u>\$ 97</u>
	<u>\$ 26,423</u>		

MULTNOMAH COUNTY, OREGON
PERS PENSION BOND FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUE:			
Interest	\$ 100	\$ 199	\$ 99
Service Reimbursement	9,480	8,658	(822)
Total revenues	9,580	8,857	(723)
BEGINNING FUND BALANCE	1,400	1,712	312
Total	<u>\$ 10,980</u>	<u>10,569</u>	<u>(411)</u>
EXPENDITURE:			
Nondepartmental	\$ 8,423	8,502	(79)
ENDING FUND BALANCE	2,557	\$ 2,067	\$ (490)
	<u>\$ 10,980</u>		

MULTNOMAH COUNTY, OREGON
REVENUE BOND SINKING FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUE:			
Interest	\$ 40	\$ 42	\$ 2
Other - property rentals	546	292	(254)
Total revenues	586	334	(252)
OTHER FINANCING SOURCE:			
Financing proceeds	350	250	(100)
BEGINNING FUND BALANCE	443	468	25
Total	<u>\$ 1,379</u>	<u>1,052</u>	<u>(327)</u>
EXPENDITURE:			
Nondepartmental	\$ 546	418	128
ENDING FUND BALANCE	<u>833</u>	<u>\$ 634</u>	<u>\$ (199)</u>
	<u>\$ 1,379</u>		

CAPITAL PROJECTS FUNDS

These funds account for expenditures on major construction projects, proceeds from certificates of participation issued to finance capital acquisitions, proceeds from the sale of County property, revenue bond proceeds and library and public safety general obligation bond proceeds. The modified accrual basis of accounting is used to record revenues and expenditures. Funds included are:

- Justice Bond Project Fund - accounts for projects to expand Inverness Jail, construct new jail facilities, upgrade other jail facilities and pay for data processing linkages in the Corrections system.
- Edgefield Children's Center Fund - accounts for the construction of an expanded Edgefield Children's Center. The proceeds will be from the issue of revenue bonds. Due to project delay the bonds were not issued.
- SB1145 Fund - accounts for State revenue to build jail beds to house convicted felons with sentences under twelve months.
- Equipment Lease/Purchase Fund - accounts for long-term lease purchases of equipment.
- Lease/Purchase Project Fund - accounts for purchases and construction of capital acquisition by entering into lease/purchase agreements.
- Library Construction Fund/1996 Bonds - accounts for the renovation of branch libraries and upgrades to Library computer systems and linkages.
- Capital Improvement Fund - accounts for the proceeds from the sale of County property and expenditures made to improve County property.
- Capital Acquisition Fund - accounts for purchase of personal computers and capital purchases with economic payoffs of less than five years.
- Deferred Maintenance Projects Fund – accounts for expenditures for building deferred maintenance projects. Resources re derived from certificates of participation or other financing projects.
- Asset Preservation Projects Fund – accounts for the expenditures for building scheduled maintenance projects such as boiler replacement, carpet replacement, roof replacement, etc. Resources are derived from an asset preservation fee that is part of the facilities charges assessed to building tenants.

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MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
CAPITAL PROJECTS FUNDS
June 30, 2001
(amounts expressed in thousands)

	Justice Bond Project	Revenue Bond Project	SB 1145	Equipment Acquisition	Building Project
ASSETS:					
Cash and investments	\$ 54,327	\$ 1,382	\$ 102	\$ 1,254	\$ 18,599
Receivables:					
Accounts	-	113	-	-	-
Contracts	-	-	-	-	-
Total assets	<u>\$ 54,327</u>	<u>\$ 1,495</u>	<u>\$ 102</u>	<u>\$ 1,254</u>	<u>\$ 18,599</u>
LIABILITIES AND FUND BALANCES:					
Liabilities:					
Accounts payable	\$ 1,461	\$ -	\$ 102	\$ -	\$ 2,401
Payroll payable	-	-	-	-	-
Compensated absences	-	-	-	-	-
Deferred revenue	-	-	-	-	-
Total liabilities	<u>1,461</u>	<u>-</u>	<u>102</u>	<u>-</u>	<u>2,401</u>
Fund balances:					
Reserved for capital projects	52,866	1,495	-	1,254	16,198
Total liabilities and fund balances	<u>\$ 54,327</u>	<u>\$ 1,495</u>	<u>\$ 102</u>	<u>\$ 1,254</u>	<u>\$ 18,599</u>

<u>Library Construction/ 1996 Bonds</u>	<u>Capital Improvement</u>	<u>Capital Acquisition</u>	<u>Deferred Maintenance</u>	<u>Asset Preservation</u>	<u>Total</u>
\$ 10,945	\$ 11,387	\$ 2,125	\$ 5,627	\$ 3,075	\$ 108,823
-	-	-	-	-	113
-	1,799	366	-	-	2,165
<u>\$ 10,945</u>	<u>\$ 13,186</u>	<u>\$ 2,491</u>	<u>\$ 5,627</u>	<u>\$ 3,075</u>	<u>\$ 111,101</u>
\$ 408	\$ 305	\$ 633	\$ 16	\$ 53	\$ 5,379
2	-	-	-	-	2
9	-	-	-	-	9
-	2,249	367	-	-	2,616
<u>419</u>	<u>2,554</u>	<u>1,000</u>	<u>16</u>	<u>53</u>	<u>8,006</u>
10,526	10,632	1,491	5,611	3,022	103,095
<u>\$ 10,945</u>	<u>\$ 13,186</u>	<u>\$ 2,491</u>	<u>\$ 5,627</u>	<u>\$ 3,075</u>	<u>\$ 111,101</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
CAPITAL PROJECTS FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Justice Bond Project	Revenue Bond Project	SB 1145	Equipment Acquisition
REVENUES:				
Intergovernmental	\$ -	\$ -	\$ 27	\$ -
Charges for services	146	-	-	-
Interest	2,618	55	-	-
Other:				
Miscellaneous	-	193	-	-
Service reimbursements	-	-	-	-
Total revenues	<u>2,764</u>	<u>248</u>	<u>27</u>	<u>-</u>
EXPENDITURES:				
Current:				
General government	-	-	-	-
Public safety and justice	2,641	-	27	-
Community services	-	428	-	-
Capital outlay	606	3,668	-	-
Total expenditures	<u>3,247</u>	<u>4,096</u>	<u>27</u>	<u>-</u>
Excess of expenditures over revenues	<u>(483)</u>	<u>(3,848)</u>	<u>-</u>	<u>-</u>
OTHER FINANCING SOURCES (USES):				
Bond proceeds	-	5,249	-	-
Operating transfers in	-	-	-	-
Operating transfers (out)	(89)	-	-	-
Total other financing sources (uses)	<u>(89)</u>	<u>5,249</u>	<u>-</u>	<u>-</u>
Excess of revenues and other sources over (under) expenditures and other uses	(572)	1,401	-	-
FUND BALANCES, JUNE 30, 2000	53,438	94	-	1,254
FUND BALANCES, JUNE 30, 2001	<u>\$ 52,866</u>	<u>\$ 1,495</u>	<u>\$ -</u>	<u>\$ 1,254</u>

Building Project	Library Construction/ 1996 Bonds	Capital Improvement	Capital Acquisition	Deferred Maintenance	Asset Preservation	Total
\$ 130	\$ -	\$ 370	\$ -	\$ -	\$ -	\$ 527
-	-	429	-	-	-	575
1,699	555	847	98	314	155	6,341
20	100	57	-	-	-	370
-	-	1,181	2,321	-	-	3,502
1,849	655	2,884	2,419	314	155	11,315
-	-	1,212	2,475	-	-	3,687
-	-	-	-	-	-	2,668
5,050	1,135	-	-	1,399	112	8,124
14,227	4,187	2,158	94	1,299	859	27,098
19,277	5,322	3,370	2,569	2,698	971	41,577
(17,428)	(4,667)	(486)	(150)	(2,384)	(816)	(30,262)
-	-	-	-	-	-	5,249
2,875	-	502	-	7,995	3,838	15,210
-	-	(8,988)	-	-	-	(9,077)
2,875	-	(8,486)	-	7,995	3,838	11,382
(14,553)	(4,667)	(8,972)	(150)	5,611	3,022	(18,880)
30,751	15,193	19,604	1,641	-	-	121,975
\$ 16,198	\$ 10,526	\$ 10,632	\$ 1,491	\$ 5,611	\$ 3,022	\$ 103,095

MULTNOMAH COUNTY, OREGON
JUSTICE BOND PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUE:			
Interest	\$ 2,700	\$ 2,618	\$ (82)
Charges for Services	-	146	146
BEGINNING FUND BALANCE	60,517	53,438	(7,079)
Total	<u>\$ 63,217</u>	<u>56,202</u>	<u>(7,015)</u>
EXPENDITURES:			
Environmental Services	\$ 16,214	1,522	14,692
Sheriff	43,511	1,256	42,255
Support Services	815	469	346
Non Departmental	2,588	-	2,588
Total expenditures	63,128	3,247	59,881
OTHER FINANCING USE:			
Transfer to General Fund	89	89	-
Total	<u>\$ 63,217</u>	<u>3,336</u>	<u>59,881</u>
ENDING FUND BALANCE		<u>\$ 52,866</u>	<u>\$ 52,866</u>

MULTNOMAH COUNTY, OREGON
REVENUE BOND PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
REVENUE:			
Interest	\$ -	\$ 55	\$ 55
Other - Miscellaneous	3,335	193	(3,142)
Total Revenues	<u>3,335</u>	<u>248</u>	<u>(3,087)</u>
OTHER FINANCING SOURCE:			
Bond proceeds	5,000	5,249	249
BEGINNING FUND BALANCE			
Total	<u>\$ 8,335</u>	<u>94</u> <u>5,591</u>	<u>94</u> <u>(2,744)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 8,335</u>	4,096	4,239
ENDING FUND BALANCE		<u>\$ 1,495</u>	<u>\$ 1,495</u>

MULTNOMAH COUNTY, OREGON
SB 1145 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental - State	\$ 10,845	\$ 27	\$ (10,818)
BEGINNING FUND BALANCE	500	-	(500)
Total	<u>\$ 11,345</u>	<u>27</u>	<u>(11,318)</u>
EXPENDITURES:			
Sheriff	\$ 10,845	\$ -	\$ 10,845
Environmental Services	500	27	473
Total	<u>\$ 11,345</u>	<u>\$ 27</u>	<u>\$ 11,318</u>
ENDING FUND BALANCE		<u>\$ -</u>	<u>\$ -</u>

MULTNOMAH COUNTY, OREGON
EQUIPMENT ACQUISITION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	Variance favorable (unfavorable)
BEGINNING FUND BALANCE			
Total	<u>\$ 1,500</u>	<u>\$ 1,254</u>	<u>\$ (246)</u>
	<u>\$ 1,500</u>	<u>1,254</u>	<u>(246)</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 1,500</u>	<u>-</u>	<u>1,500</u>
ENDING FUND BALANCE		<u>\$ 1,254</u>	<u>\$ 1,254</u>

MULTNOMAH COUNTY, OREGON
BUILDING PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUE:			
Intergovernmental	\$ -	\$ 130	\$ 130
Interest	600	1,699	1,099
Other - Miscellaneous	-	20	20
Total Revenues	<u>600</u>	<u>1,849</u>	<u>1,249</u>
 OTHER FINANCING SOURCE:			
Transfer from Capital Lease Retirement Fund	<u>2,875</u>	<u>2,875</u>	<u>-</u>
Total Other Financing Sources	<u>2,875</u>	<u>2,875</u>	<u>-</u>
 BEGINNING FUND BALANCE	<u>39,052</u>	<u>30,751</u>	<u>(8,301)</u>
Total	<u>\$ 42,527</u>	<u>35,475</u>	<u>(7,052)</u>
 EXPENDITURES:			
Environmental Services	\$ 37,112	16,516	20,596
Non Departmental	2,875	-	2,875
Support Services	2,540	2,761	(221)
Total Expenditures	<u>\$ 42,527</u>	<u>19,277</u>	<u>23,250</u>
ENDING FUND BALANCE		<u>\$ 16,198</u>	<u>\$ 16,198</u>

MULTNOMAH COUNTY, OREGON
LIBRARY CONSTRUCTION FUND/1996 BONDS
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUE:			
Interest	\$ 600	\$ 555	\$ (45)
Other - Miscellaneous	-	100	100
BEGINNING FUND BALANCE	20,907	15,193	(5,714)
Total	<u>\$ 21,507</u>	<u>15,848</u>	<u>(5,659)</u>
EXPENDITURES:			
Environmental Services	\$ 17,800	5,184	12,616
Nondepartmental	600	-	600
Library	3,107	138	2,969
Total	<u>\$ 21,507</u>	<u>5,322</u>	<u>16,185</u>
ENDING FUND BALANCE		<u>\$ 10,526</u>	<u>\$ 10,526</u>

MULTNOMAH COUNTY, OREGON
CAPITAL IMPROVEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental - Local	\$ 267	\$ 370	\$ 103
Charges for services	162	429	267
Interest	72	847	775
Other - Miscellaneous	-	57	57
Service reimbursements	-	1,181	1,181
Total revenues	<u>501</u>	<u>2,884</u>	<u>2,383</u>
OTHER FINANCING SOURCES:			
Certificates of participation proceeds	650	-	(650)
Transfer from other funds:			
Facilities Management	502	502	-
Total other financing sources	<u>1,152</u>	<u>502</u>	<u>(650)</u>
BEGINNING FUND BALANCE	<u>14,137</u>	<u>19,603</u>	<u>5,466</u>
Total	<u>\$ 15,790</u>	<u>22,989</u>	<u>7,199</u>
EXPENDITURES:			
Nondepartmental	\$ 317	-	317
Environmental Services	15,473	12,357	3,116
Total	<u>15,790</u>	<u>12,357</u>	<u>3,433</u>
ENDING FUND BALANCE		<u>\$ 10,632</u>	<u>\$ 10,632</u>

MULTNOMAH COUNTY, OREGON
CAPITAL ACQUISITION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Interest	\$ 18	\$ 98	\$ 80
Other - Service reimbursements	3,381	2,321	(1,060)
Total revenues	3,399	2,419	(980)
BEGINNING FUND BALANCE	1,900	1,641	(259)
Total	<u>\$ 5,299</u>	<u>4,060</u>	<u>(1,239)</u>
EXPENDITURES:			
Nondepartmental	<u>\$ 5,299</u>	<u>2,569</u>	<u>2,730</u>
ENDING FUND BALANCE		<u>\$ 1,491</u>	<u>\$ 1,491</u>

MULTNOMAH COUNTY, OREGON
DEFERRED MAINTENANCE PROJECT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Interest	\$ 300	\$ 314	\$ 14
OTHER FINANCING SOURCE:			
Transfer from General Fund	445	445	-
Transfer from Capital Improvement Fund	7,550	7,550	-
Total Other Financing Sources	7,995	7,995	-
BEGINNING FUND BALANCE	150	-	(150)
Total	\$ 8,445	8,309	(136)
EXPENDITURES:			
Environmental Services	\$ 7,907	2,698	5,209
Nondepartmental	538	-	538
Total	\$ 8,445	2,698	5,747
ENDING FUND BALANCE		\$ 5,611	\$ 5,611

MULTNOMAH COUNTY, OREGON
ASSET PRESERVATION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Interest	\$ 150	\$ 155	\$ 5
OTHER FINANCING SOURCE:			
Transfer from Capital Improvement Fund	1,438	1,438	-
Transfer from Facilities Mgmt Fund	2,400	2,400	-
Total Other Financing Sources	3,838	3,838	-
BEGINNING FUND BALANCE			
Total	\$ 3,988	3,993	5
EXPENDITURES:			
Environmental Services	\$ 3,988	971	3,017
ENDING FUND BALANCE		\$ 3,022	\$ 3,022

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ENTERPRISE FUNDS

These funds are used to finance and account for the acquisition, operation and maintenance of sewage treatment facilities, street lighting facilities, improved library services, health care and childrens mental health claims administration, which are supported by user charges. The County accounts for certain expenditures of the enterprise funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. The difference in the accounting basis used relates primarily to the methods of accounting for depreciation, debt and capital outlay. Funds included are:

- Dunthorpe-Riverdale Service District No. 1 Fund - accounts for the operation of the sanitary sewer system in southwest unincorporated Multnomah County. (A blended component unit of Multnomah County.)
- Mid County Service District No. 14 Fund - accounts for the operation of street lights throughout unincorporated Multnomah County. (A blended component unit of Multnomah County.)
- Behavioral Health Managed Care - accounts for all financial activity associated with the State required behavioral health capitated services.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
ENTERPRISE FUNDS
June 30, 2001
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No.1	Mid County Service District No. 14	Behavioral Health Managed Care	Total
ASSETS:				
Current Assets:				
Cash and investments	\$ 1,051	\$ 841	\$ 5,956	\$ 7,848
Receivables (net of allowances for uncollectables):				
Accounts	-	-	113	113
Special assessments	14	11	-	25
Total current assets	<u>1,065</u>	<u>852</u>	<u>6,069</u>	<u>7,986</u>
Fixed assets (net of accumulated depreciation)	<u>1,017</u>	<u>1,197</u>	<u>20</u>	<u>2,234</u>
Total assets	<u>\$ 2,082</u>	<u>\$ 2,049</u>	<u>\$ 6,089</u>	<u>\$ 10,220</u>
LIABILITIES AND FUND EQUITY:				
Liabilities:				
Accounts payable	\$ 570	\$ 20	\$ 2,233	\$ 2,823
Payroll payable	-	-	48	48
Compensated absences	-	-	82	82
Total current liabilities	<u>570</u>	<u>20</u>	<u>2,363</u>	<u>2,953</u>
Fund equity:				
Contributed capital	1,851	1,599	-	3,450
Retained earnings (deficit):				
Unreserved	<u>(339)</u>	<u>430</u>	<u>3,726</u>	<u>3,817</u>
Total fund equity	<u>1,512</u>	<u>2,029</u>	<u>3,726</u>	<u>7,267</u>
Total liabilities and fund equity	<u>\$ 2,082</u>	<u>\$ 2,049</u>	<u>\$ 6,089</u>	<u>\$ 10,220</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS (DEFICIT)
ENTERPRISE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Behavioral Health Managed Care	Total
OPERATING REVENUES:				
Charges for sales and services	\$ 249	\$ 188	\$ 26,768	\$ 27,205
OPERATING EXPENSES:				
Cost of sales and services	245	240	26,042	26,527
Administration	7	14	1,698	1,719
Depreciation	34	95	17	146
Total operating expenses	286	349	27,757	28,392
Operating income (loss)	(37)	(161)	(989)	(1,187)
NONOPERATING REVENUES:				
Interest revenue	64	54	470	588
Contributed connection fees	3	-	-	3
Contributed fixed assets	-	421	-	421
Total nonoperating revenues	67	475	470	1,012
Net income (loss) before operating transfers	30	314	(519)	(175)
OPERATING TRANSFERS IN	-	-	527	527
Net income (loss)	30	314	8	352
RETAINED EARNINGS (DEFICIT), JUNE 30, 2000	(369)	116	3,718	3,465
RETAINED EARNINGS (DEFICIT), JUNE 30, 2001	<u>\$ (339)</u>	<u>\$ 430</u>	<u>\$ 3,726</u>	<u>\$ 3,817</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CASH FLOWS
ENTERPRISE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Behavioral Health Managed Care	Total
Increase (Decrease) in Cash & Cash Equivalents:				
CASH FLOWS FROM OPERATING ACTIVITIES:				
Operating income (loss)	\$ (37)	\$ (161)	\$ (989)	\$ (1,187)
Adjustments to reconcile operating income (loss) to cash and cash equivalents provided (used) by operating activities:				
Depreciation	34	95	17	146
Changes in assets and liabilities:				
Accounts receivable	-	1	695	696
Accounts payable	467	5	(1,226)	(754)
Payroll payable	-	-	48	48
Compensated absences	-	-	15	15
Net cash provided (used) by operating activities	<u>464</u>	<u>(60)</u>	<u>(1,440)</u>	<u>(1,036)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:				
Operating transfers in	-	-	527	527
Contributed connection fees	<u>3</u>	<u>-</u>	<u>-</u>	<u>3</u>
Net cash provided by noncapital financing activities	<u>3</u>	<u>-</u>	<u>527</u>	<u>530</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:				
Acquisition of fixed assets	<u>(510)</u>	<u>(4)</u>	<u>-</u>	<u>(514)</u>
Net cash provided (used) by capital and related financing activities	<u>(510)</u>	<u>(4)</u>	<u>-</u>	<u>(514)</u>
CASH FLOWS FROM INVESTING ACTIVITIES:				
Interest on investments	<u>64</u>	<u>54</u>	<u>470</u>	<u>588</u>
Net cash provided by investing activities	<u>64</u>	<u>54</u>	<u>470</u>	<u>588</u>
Net increase (decrease) in cash and cash equivalents	21	(10)	(443)	(432)
CASH AND CASH EQUIVALENTS, JUNE 30, 2000	<u>1,030</u>	<u>851</u>	<u>6,399</u>	<u>8,280</u>
CASH AND CASH EQUIVALENTS, JUNE 30, 2001	<u>\$ 1,051</u>	<u>\$ 841</u>	<u>\$ 5,956</u>	<u>\$ 7,848</u>
NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:				
Disposal of fixed assets, net book value	<u>\$ -</u>	<u>\$ (5)</u>	<u>\$ -</u>	<u>\$ (5)</u>

MULTNOMAH COUNTY, OREGON
COMBINING SCHEDULE OF CHANGES IN CONTRIBUTED CAPITAL
ENTERPRISE FUNDS

For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Dunthorpe- Riverdale Service District No. 1	Mid County Service District No. 14	Total
CONTRIBUTED CAPITAL, JUNE 30, 2000	<u>1,851</u>	<u>1,604</u>	<u>\$ 3,455</u>
DEDUCT:			
Disposal of fixed assets, net book value	<u>-</u>	<u>(5)</u>	<u>(5)</u>
CONTRIBUTED CAPITAL, JUNE 30, 2001	<u><u>\$ 1,851</u></u>	<u><u>\$ 1,599</u></u>	<u><u>\$ 3,450</u></u>

MULTNOMAH COUNTY, OREGON
DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Assessments - Sewer	\$ 248	\$ 247	\$ (1)
Charges for services	10	3	(7)
Interest	25	64	39
Total revenues	283	314	31
BEGINNING FUND BALANCE	850	929	79
Total	<u>\$ 1,133</u>	<u>1,243</u>	<u>110</u>
EXPENDITURES:			
Environmental Services	\$ 793	761	32
ENDING FUND BALANCE	340	\$ 482	\$ 142
	<u>\$ 1,133</u>		

MULTNOMAH COUNTY, OREGON
MID COUNTY SERVICE DISTRICT NO. 14 FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Assessments - Street lighting			
Current year	\$ 185	\$ 183	\$ (2)
Prior years'	-	4	4
Interest	45	54	9
Total revenues	<u>230</u>	<u>241</u>	<u>11</u>
BEGINNING FUND BALANCE	<u>825</u>	<u>837</u>	<u>12</u>
Total	<u>\$ 1,055</u>	<u>1,078</u>	<u>23</u>
EXPENDITURES:			
Environmental Services	\$ 410	256	154
ENDING FUND BALANCE	<u>645</u>	<u>\$ 822</u>	<u>\$ 177</u>
	<u>\$ 1,055</u>		

MULTNOMAH COUNTY, OREGON
BEHAVIORAL HEALTH MANAGED CARE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state and local	\$ 26,772	\$ 26,360	\$ (412)
Interest	394	470	76
Other:			
Miscellaneous	-	31	31
Service reimbursements	233	-	(233)
Total revenues	<u>27,399</u>	<u>26,861</u>	<u>(538)</u>
OTHER FINANCING SOURCE:			
Transfer from General Fund	551	527	(24)
BEGINNING FUND BALANCE	<u>1,955</u>	<u>3,682</u>	<u>1,727</u>
Total	<u>\$ 29,905</u>	<u>31,070</u>	<u>1,165</u>
EXPENDITURES:			
Community and Family Services	\$ 27,956	27,741	215
ENDING FUND BALANCE	<u>1,949</u>	<u>\$ 3,329</u>	<u>\$ 1,380</u>
	<u>\$ 29,905</u>		

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INTERNAL SERVICE FUNDS

These funds account for activities and services performed primarily for other organizational units within the County. Charges to the County agencies are calculated to recover costs and maintain capital. The County accounts for certain expenditures of the Internal Service Funds for budgetary purposes on the modified accrual basis of accounting. For financial reporting purposes the accrual basis of accounting is used. Such differences relate primarily to the methods of accounting for depreciation and capital outlay. Funds included are:

- Risk Management Fund - accounts for the County's risk management activities including insurance coverage.
- Fleet Management Fund - accounts for the County's motor vehicle fleet operations.
- Telephone Fund - accounts for the County's telephone operations.
- Data Processing Fund - accounts for the County's data processing operations.
- Mail/Distribution Fund - accounts for the County's mail/distribution operations.
- Facilities Management Fund - accounts for the management of all County owned and leased property.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
INTERNAL SERVICE FUNDS
June 30, 2001
(amounts expressed in thousands)

	Risk Management	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
ASSETS:							
Current assets:							
Cash and investments	\$ 15,850	\$ 4,008	\$ 2,271	\$ 1,553	\$ 50	\$ 19	\$ 23,751
Accounts receivable	9	333	31	44	17	952	1,386
Inventories	-	570	-	-	37	260	867
Prepaid items	196	-	-	154	-	-	350
Total current assets	16,055	4,911	2,302	1,751	104	1,231	26,354
Fixed assets (net of accumulated depreciation)	45	3,318	2,168	2,246	47	8	7,832
Total assets	<u>\$ 16,100</u>	<u>\$ 8,229</u>	<u>\$ 4,470</u>	<u>\$ 3,997</u>	<u>\$ 151</u>	<u>\$ 1,239</u>	<u>\$ 34,186</u>
LIABILITIES AND FUND EQUITY:							
Current liabilities:							
Accounts payable	\$ 9,378	\$ 177	\$ 528	\$ 165	\$ 2	\$ 514	\$ 10,764
Payroll payable	51	49	13	84	7	138	342
Compensated absences	176	119	25	355	17	441	1,133
Due to other funds	-	-	-	-	-	120	120
Capitalized leases - current	-	-	-	1,336	-	-	1,336
Bonds payable - current	-	-	-	127	-	-	127
Total current liabilities	<u>9,605</u>	<u>345</u>	<u>566</u>	<u>2,067</u>	<u>26</u>	<u>1,213</u>	<u>13,822</u>
Noncurrent liabilities:							
Capitalized leases (net of current portion)	-	-	-	928	-	-	928
Bonds payable (net of current portion)	-	-	-	134	-	-	134
Total noncurrent liabilities	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,062</u>	<u>-</u>	<u>-</u>	<u>1,062</u>
Total liabilities	<u>9,605</u>	<u>345</u>	<u>566</u>	<u>3,129</u>	<u>26</u>	<u>1,213</u>	<u>14,884</u>
Fund equity:							
Contributed capital	2	5,393	1,979	3,678	19	31	11,102
Retained earnings (deficit):							
Unreserved	6,493	2,491	1,925	(2,810)	106	(5)	8,200
Total fund equity	<u>6,495</u>	<u>7,884</u>	<u>3,904</u>	<u>868</u>	<u>125</u>	<u>26</u>	<u>19,302</u>
Total liabilities and fund equity	<u>\$ 16,100</u>	<u>\$ 8,229</u>	<u>\$ 4,470</u>	<u>\$ 3,997</u>	<u>\$ 151</u>	<u>\$ 1,239</u>	<u>\$ 34,186</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS (DEFICIT)
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Risk Management	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
OPERATING REVENUES:							
Charges for services	\$ 33,934	\$ 5,764	\$ 4,793	\$ 9,382	\$ 1,302	\$ 34,204	\$ 89,379
Insurance premiums	1,061	-	-	-	-	-	1,061
Experience rating and refunds	226	-	-	-	-	-	226
Total operating revenues	35,221	5,764	4,793	9,382	1,302	34,204	90,666
OPERATING EXPENSES:							
Cost of sales and services	38,550	3,849	3,464	8,002	925	33,308	88,098
Administration	412	283	637	765	388	1,393	3,878
Depreciation	27	1,823	1,932	1,948	9	2	5,741
Total operating expenses	38,989	5,955	6,033	10,715	1,322	34,703	97,717
Operating income (loss)	(3,768)	(191)	(1,240)	(1,333)	(20)	(499)	(7,051)
NONOPERATING REVENUES (EXPENSES):							
Interest revenue	764	211	128	150	5	-	1,258
Interest expense	-	-	-	(137)	-	-	(137)
Contributed Fixed Assets	-	92	-	474	-	-	566
Loss on disposal of fixed assets	-	(23)	(77)	-	-	-	(100)
Total nonoperating revenues (expenses)	764	280	51	487	5	-	1,587
Net income (loss) before operating transfers	(3,004)	89	(1,189)	(846)	(15)	(499)	(5,464)
OPERATING TRANSFERS IN	205	-	-	309	-	1,890	2,404
OPERATING TRANSFERS (OUT)	-	-	-	-	-	(2,902)	(2,902)
Net income (loss)	(2,799)	89	(1,189)	(537)	(15)	(1,511)	(5,962)
RETAINED EARNINGS (DEFICIT), JUNE 30, 2000	9,292	2,402	3,114	(2,273)	121	1,506	14,162
RETAINED EARNINGS (DEFICIT), JUNE 30, 2001	<u>\$ 6,493</u>	<u>\$ 2,491</u>	<u>\$ 1,925</u>	<u>\$ (2,810)</u>	<u>\$ 106</u>	<u>\$ (5)</u>	<u>\$ 8,200</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CASH FLOWS
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Risk Management	Fleet Management	Telephone	Data Processing	Mail/ Distribution	Facilities Management	Total
CASH FLOWS FROM OPERATING ACTIVITIES:							
Operating income (loss)	\$ (3,768)	\$ (191)	\$ (1,240)	\$ (1,333)	\$ (20)	\$ (499)	\$ (7,051)
Adjustments to reconcile operating income (loss) to cash and cash equivalents provided (used) by operating activities:							
Depreciation	27	1,823	1,932	1,948	9	2	5,741
Changes in assets and liabilities:							
(Increase) decrease in accounts receivable	(9)	(316)	43	47	(17)	(725)	(977)
(Increase) Decrease in inventories	-	(250)	-	-	20	13	(217)
(Increase) in due from other funds	14,000	-	-	-	-	-	14,000
Increase (decrease) in prepaid items	(134)	-	-	24	-	228	118
Increase (decrease) in accounts payable	1,433	137	508	19	1	512	2,610
Increase (decrease) in payroll payable	51	49	13	84	7	138	342
Increase (decrease) in compensated absences	8	16	(12)	51	1	50	114
Increase in due to other funds	-	-	-	-	-	120	120
Net cash provided (used) by operating activities	11,608	1,268	1,244	840	1	(161)	14,800
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:							
Operating transfers in	205	-	-	309	-	1,890	2,404
Operating transfers (out)	-	-	-	-	-	(2,902)	(2,902)
Net cash provided (used) by noncapital financing activities	205	-	-	309	-	(1,012)	(498)
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:							
Acquisition of fixed assets	(9)	(970)	(782)	(99)	(56)	(10)	(1,926)
Principal paid on capitalized leases	-	-	-	(1,595)	-	-	(1,595)
Interest paid on capitalized leases	-	-	-	(137)	-	-	(137)
Net cash used by capital and related financing activities	(9)	(970)	(782)	(1,831)	(56)	(10)	(3,658)
CASH FLOWS FROM INVESTING ACTIVITIES:							
Interest on investments	764	211	128	150	5	-	1,258
Net increase (decrease) in cash and cash equivalents	12,568	509	590	(532)	(50)	(1,183)	11,902
CASH AND CASH EQUIVALENTS, JUNE 30, 2000	3,282	3,499	1,681	2,085	100	1,202	11,849
CASH AND CASH EQUIVALENTS, JUNE 30, 2001	\$ 15,850	\$ 4,008	\$ 2,271	\$ 1,553	\$ 50	\$ 19	\$ 23,751
NONCASH INVESTING, CAPITAL AND FINANCING ACTIVITIES:							
Disposal of fixed assets, net book value	\$ -	\$ 23	\$ 77	\$ -	\$ -	\$ -	\$ 100
Transfer of fixed assets from General Fixed Assets Account Group, net book value	\$ -	\$ 92	\$ -	\$ 474	\$ -	\$ -	\$ 566
Gain (Loss) on Disposal of fixed assets	\$ -	\$ (23)	\$ (77)	\$ -	\$ -	\$ -	\$ (100)

MULTNOMAH COUNTY, OREGON
COMBINING SCHEDULE OF CHANGES IN CONTRIBUTED CAPITAL
INTERNAL SERVICE FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Risk Management</u>	<u>Fleet Management</u>	<u>Telephone</u>	<u>Data Processing</u>	<u>Mail/ Distribution</u>	<u>Facilities Management</u>	<u>Total</u>
CONTRIBUTED CAPITAL, JUNE 30, 2000	\$ 2	\$ 5,393	\$ 1,979	\$ 3,678	\$ 19	\$ 31	\$ 11,102
CONTRIBUTED CAPITAL, JUNE 30, 2001	<u>\$ 2</u>	<u>\$ 5,393</u>	<u>\$ 1,979</u>	<u>\$ 3,678</u>	<u>\$ 19</u>	<u>\$ 31</u>	<u>\$ 11,102</u>

MULTNOMAH COUNTY, OREGON
RISK MANAGEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Charges for services	\$ 229	\$ 638	\$ 409
Interest	765	764	(1)
Other:			
Service reimbursements	36,600	33,934	(2,666)
Experience ratings and miscellaneous	-	5	5
Total revenues	<u>37,594</u>	<u>35,341</u>	<u>(2,253)</u>
OTHER FINANCING SOURCES:			
Transfer from General Fund	205	205	-
BEGINNING FUND BALANCE	10,008	9,231	(777)
Total	<u>\$ 47,807</u>	<u>44,777</u>	<u>(3,030)</u>
EXPENDITURES:			
Support Services	\$ 36,097	36,284	(187)
Nondepartmental	11,710	2,043	9,667
Total expenditures	<u>\$ 47,807</u>	<u>38,327</u>	<u>9,480</u>
ENDING FUND BALANCE		<u>\$ 6,450</u>	<u>\$ 6,450</u>

MULTNOMAH COUNTY, OREGON
FLEET MANAGEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
State	\$ 3	\$ 1	\$ (2)
Local	1,016	996	(20)
Charges for services	78	120	42
Interest	110	211	101
Other:			
Miscellaneous	64	300	236
Service reimbursements	4,496	4,347	(149)
Total revenues	<u>5,767</u>	<u>5,975</u>	<u>208</u>
BEGINNING FUND BALANCE	<u>3,730</u>	<u>3,693</u>	<u>(37)</u>
Total	<u>\$ 9,497</u>	<u>9,668</u>	<u>171</u>
EXPENDITURES:			
Environmental Services	<u>\$ 9,497</u>	<u>5,102</u>	<u>4,395</u>
ENDING FUND BALANCE		<u>\$ 4,566</u>	<u>\$ 4,566</u>

MULTNOMAH COUNTY, OREGON
TELEPHONE FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Charges for services	\$ 457	\$ 463	\$ 6
Interest	33	128	95
Other - Service reimbursements	3,935	4,330	395
Total revenues	<u>4,425</u>	<u>4,921</u>	<u>496</u>
BEGINNING FUND BALANCE	<u>1,928</u>	<u>1,698</u>	<u>(230)</u>
Total	<u>\$ 6,353</u>	<u>6,619</u>	<u>266</u>
EXPENDITURES:			
Support Services	\$ 6,353	4,883	1,470
ENDING FUND BALANCE		<u>\$ 1,736</u>	<u>\$ 1,736</u>

MULTNOMAH COUNTY, OREGON
DATA PROCESSING FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Charges for services	\$ 214	\$ 141	\$ (73)
Interest	50	150	100
Other - Service reimbursements	9,106	9,241	135
Total revenues	<u>9,370</u>	<u>9,532</u>	<u>162</u>
OTHER FINANCING SOURCES:			
Transfer from General Fund	309	309	-
Total other financing sources	<u>309</u>	<u>309</u>	<u>-</u>
BEGINNING FUND BALANCE	1,909	1,904	(5)
Total	<u>\$ 11,588</u>	<u>11,745</u>	<u>157</u>
EXPENDITURES:			
Support Services	<u>\$ 11,588</u>	10,598	990
ENDING FUND BALANCE		<u>\$ 1,147</u>	<u>\$ 1,147</u>

MULTNOMAH COUNTY, OREGON
MAIL/DISTRIBUTION FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Intergovernmental:			
Federal, state, and local	\$ 29	\$ 41	\$ 12
Interest	-	6	6
Other - Service reimbursements	<u>1,422</u>	<u>1,261</u>	<u>(161)</u>
Total revenues	<u>1,451</u>	<u>1,308</u>	<u>(143)</u>
OTHER FINANCING SOURCES:			
Certificates of participation proceeds	25	-	(25)
BEGINNING FUND BALANCE	121	140	19
Total	<u>\$ 1,597</u>	<u>1,448</u>	<u>(149)</u>
EXPENDITURES:			
Environmental Services	<u>\$ 1,597</u>	<u>1,370</u>	<u>227</u>
ENDING FUND BALANCE		<u>\$ 78</u>	<u>\$ 78</u>

MULTNOMAH COUNTY, OREGON
FACILITIES MANAGEMENT FUND
SCHEDULE OF REVENUES AND EXPENDITURES - BUDGET AND ACTUAL
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Budget	Actual	Variance favorable (unfavorable)
REVENUES:			
Intergovernmental - Local	\$ 2,274	\$ 1,001	\$ (1,273)
Charges for services	2,671	1,016	(1,655)
Miscellaneous	-	92	92
Other - Service reimbursements	35,476	32,095	(3,381)
Total revenues	40,421	34,204	(6,217)
OTHER FINANCING SOURCE:			
Transfer from General Fund	2,901	1,890	(1,011)
BEGINNING FUND BALANCE	266	1,537	1,271
Total	<u>\$ 43,588</u>	<u>37,631</u>	<u>(5,957)</u>
EXPENDITURES:			
Environmental Services	\$ 40,666	34,711	5,955
Support Services	20	-	20
Total expenditures	40,686	34,711	5,975
OTHER FINANCING USE:			
Transfer to Asset Preservation Fund	2,400	2,400	-
Transfer to Capital Improvement Fund	502	502	-
Total Other Financing Uses	2,902	2,902	-
Total	<u>\$ 43,588</u>	<u>37,613</u>	<u>5,975</u>
ENDING FUND BALANCE		<u>\$ 18</u>	<u>\$ 18</u>

TRUST AND AGENCY FUNDS

These funds account for resources received and held by the County in a fiduciary capacity. Disbursements from these funds are made in accordance with the trust agreement or applicable legislative enactment for each particular fund. The modified accrual basis of accounting is used to record transactions in the agency funds. The accrual basis of accounting is used in the Library Retirement Fund (Pension Trust). The funds included are:

- Sundry Taxing Bodies Fund - accounts for the collection of property taxes for all governmental entities located in Multnomah County and the disbursement of the collections to such entities.
- Clearing Fund - accounts for Multnomah County checks outstanding, accrued payroll and payroll deductions payable.
- Department and Offices Agency Fund - accounts for the collection and disbursement of various monies held by Multnomah County in a fiduciary capacity.
- Public Guardian Fund - accounts for receipts and disbursements for individuals who are not capable of handling their own financial affairs.
- Library Retirement Fund - this pension trust fund provides pension benefits for former employees of the Library Association of Portland.

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
ALL FIDUCIARY FUND TYPES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Agency Funds	Library Retirement	Total
ASSETS:			
Cash and investments	\$ 20,093	\$ 11,241	\$ 31,334
Receivables:			
Property taxes	37,935	-	37,935
Accounts	1,351	-	1,351
Total assets	<u>\$ 59,379</u>	<u>\$ 11,241</u>	<u>\$ 70,620</u>
LIABILITIES AND FUND BALANCE:			
Liabilities:			
Accounts payable	\$ 441	\$ -	\$ 441
Due to other governmental units	36,880	-	36,880
Amounts held in trust	22,058	-	22,058
Total liabilities	<u>59,379</u>	<u>-</u>	<u>59,379</u>
Fund balance:			
Reserved for employees'			
retirement benefits	-	11,241	11,241
Total liabilities and fund balances	<u>\$ 59,379</u>	<u>\$ 11,241</u>	<u>\$ 70,620</u>

MULTNOMAH COUNTY, OREGON
COMBINING BALANCE SHEET
AGENCY FUNDS
June 30, 2001
(amounts expressed in thousands)

	Sundry Taxing Bodies	Department & Offices Agency	Public Guardian	Visitors Facilities	Total
ASSETS:					
Cash and investments	\$ 8,925	\$ 9,686	\$ 1,052	\$ 430	\$ 20,093
Receivables:					
Taxes	37,113	104	-	718	37,935
Accounts	-	58	-	1,293	1,351
Total assets	<u>\$ 46,038</u>	<u>\$ 9,848</u>	<u>\$ 1,052</u>	<u>\$ 2,441</u>	<u>\$ 59,379</u>
LIABILITIES:					
Accounts payable	\$ -	\$ 378	\$ 63	\$ -	\$ 441
Due to other governmental units	36,880	-	-	-	36,880
Amounts held in trust	9,158	9,470	989	2,441	22,058
Total liabilities	<u>\$ 46,038</u>	<u>\$ 9,848</u>	<u>\$ 1,052</u>	<u>\$ 2,441</u>	<u>\$ 59,379</u>

MULTNOMAH COUNTY, OREGON
COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES
AGENCY FUNDS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	Balance June 30, 2000	Additions	Deletions	Balance June 30, 2001
SUNDRY TAXING BODIES:				
Assets:				
Cash and investments	\$ 7,440	\$ 595,047	\$ 593,562	\$ 8,925
Taxes receivable	32,656	634,735	630,278	37,113
Accounts receivable	417	-	417	-
Total assets	<u>\$ 40,513</u>	<u>\$ 1,229,782</u>	<u>\$ 1,224,257</u>	<u>\$ 46,038</u>
Liabilities:				
Accounts payable	\$ -	\$ 15,945	\$ 15,945	\$ -
Due to other governmental units	32,656	634,268	630,044	36,880
Amounts held in trust	7,857	590,640	589,339	9,158
Total liabilities	<u>\$ 40,513</u>	<u>\$ 1,240,853</u>	<u>\$ 1,235,328</u>	<u>\$ 46,038</u>
DEPARTMENT AND OFFICES AGENCY:				
Assets:				
Cash and investments	\$ 11,833	\$ 920,563	\$ 922,710	\$ 9,686
Taxes receivable	-	780,264	780,160	104
Accounts receivable	90	4,113	4,145	58
Total assets	<u>\$ 11,923</u>	<u>\$ 1,704,940</u>	<u>\$ 1,707,015</u>	<u>\$ 9,848</u>
Liabilities:				
Accounts payable	\$ 974	\$ 28,961	\$ 29,557	\$ 378
Amounts held in trust	10,949	811,406	812,885	9,470
Total liabilities	<u>\$ 11,923</u>	<u>\$ 840,367</u>	<u>\$ 842,442</u>	<u>\$ 9,848</u>
PUBLIC GUARDIAN:				
Assets:				
Cash and investments	\$ 1,133	\$ 2,904	\$ 2,985	\$ 1,052
Accounts receivable	-	1,390	1,390	-
Total assets	<u>\$ 1,133</u>	<u>\$ 4,294</u>	<u>\$ 4,375</u>	<u>\$ 1,052</u>
Liabilities:				
Accounts payable	\$ -	\$ 1,627	\$ 1,564	\$ 63
Payrolls payable	-	6	6	-
Amounts held in trust	1,133	3,721	3,865	989
Total liabilities	<u>\$ 1,133</u>	<u>\$ 5,354</u>	<u>\$ 5,435</u>	<u>\$ 1,052</u>
VISITORS FACILITIES:				
Assets:				
Cash and investments	\$ 9	\$ 11,865	\$ 11,444	\$ 430
Taxes receivable	-	1,352	634	718
Accounts receivable	-	1,300	7	1,293
Total assets	<u>\$ 9</u>	<u>\$ 14,517</u>	<u>\$ 12,085</u>	<u>\$ 2,441</u>
Liabilities:				
Amounts held in trust	\$ 9	\$ 32,911	\$ 30,479	\$ 2,441
Total liabilities	<u>\$ 9</u>	<u>\$ 32,911</u>	<u>\$ 30,479</u>	<u>\$ 2,441</u>
TOTAL - ALL AGENCY FUNDS:				
Assets:				
Cash and investments	\$ 20,415	\$ 1,530,379	\$ 1,530,701	\$ 20,093
Taxes receivable	32,656	1,416,350	1,411,071	37,935
Accounts receivable	507	6,803	5,959	1,351
Total assets	<u>\$ 53,578</u>	<u>\$ 2,953,532</u>	<u>\$ 2,947,731</u>	<u>\$ 59,379</u>
Liabilities:				
Accounts payable	\$ 974	\$ 46,533	\$ 47,066	\$ 441
Payrolls payable	-	6	6	-
Due to other governmental units	32,656	634,268	630,044	36,880
Amounts held in trust	19,948	1,438,678	1,436,568	22,058
Total liabilities	<u>\$ 53,578</u>	<u>\$ 2,119,485</u>	<u>\$ 2,113,684</u>	<u>\$ 59,379</u>

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GENERAL FIXED ASSETS ACCOUNT GROUP

The General Fixed Assets Account Group is a self-balancing account group used to record the fixed assets of the County except for the assets recorded in the Enterprise and Internal Service funds. Expenditures for the acquisition of general fixed assets are recorded in the various governmental fund types; the costs of such assets are capitalized in this account group. As fixed assets are disposed, the original cost or estimated original cost, is removed from this account group; any receipt from the sale of general fixed assets is accounted for as revenue in the appropriate fund depending on the original funding source.

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL FIXED ASSETS
June 30, 2001
(amounts expressed in thousands)

GENERAL FIXED ASSETS:

Land and Land Improvements	\$ 14,505
Buildings and Improvements	308,526
Bridges	37,421
Work in Progress	1,626
Equipment	18,199
Total general fixed assets	<u>\$ 380,277</u>

Investments in general fixed assets:
Balance July 1, 2000

\$ 348,688

Additions provided by:

General Fund	7,363
Road Fund	9
Federal and State Program Fund	24
Library Fund	5,032
Land Corner Preservation Fund	9
Public Safety Fund	43
Assessment and Taxation Fund	27
Justice Bond Project Fund	844
Revenue Bond Project Fund	4,020
Building Project Fund	14,209
Library Construction Fund/1996	1,009
Capital Improvement Fund	154
Capital Acquisition Fund	70
Total general fixed assets additions	<u>32,813</u>

Deductions:

Retirements	(1,224)
Total investment in general fixed assets	<u>\$ 380,277</u>

Reconciliation:

Total capital outlay	<u>\$ 30,685</u>
Deductions:	
Contributions to the Fleet Management Fund	(92)
Contributions to the Data Processing Fund	(474)
Repairs and other general maintenance	(122)
Roads and bridges maintenance	(1,728)
Operating supplies	(54)
Sub-total Deductions	<u>(2,470)</u>
Architectural/Construction Management Services capitalized as part of capital projects	4,598
Total general fixed asset additions	<u>\$ 32,813</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL FIXED ASSETS
BY FUNCTION AND ACTIVITY
June 30, 2001
(amounts expressed in thousands)

	Land and land improvements	Buildings and improvements	Work in progress	Bridges	Equipment	Total
FUNCTION AND ACTIVITY:						
General government:						
Legislative	\$ 203	\$ 6,688	\$ -	\$ -	\$ -	\$ 6,891
Administrative	834	23,695	-	-	3,495	28,024
Sub-total	<u>1,037</u>	<u>30,383</u>	<u>-</u>	<u>-</u>	<u>3,495</u>	<u>34,915</u>
Health and social services:						
Health	2,994	28,370	-	-	859	32,223
Social	3,690	54,134	1,315	-	1,717	60,856
Sub-total	<u>6,684</u>	<u>82,504</u>	<u>1,315</u>	<u>-</u>	<u>2,576</u>	<u>93,079</u>
Public safety:						
Law enforcement	2,215	109,181	311	-	3,450	115,157
Justice	51	4,339	-	-	331	4,721
Sub-total	<u>2,266</u>	<u>113,520</u>	<u>311</u>	<u>-</u>	<u>3,781</u>	<u>119,878</u>
Community services:						
Community service development	145	1,228	-	-	37	1,410
Recreation	202	-	-	-	-	202
Library	3,218	49,230	-	-	7,016	59,464
Sub-total	<u>3,565</u>	<u>50,458</u>	<u>-</u>	<u>-</u>	<u>7,053</u>	<u>61,076</u>
Roads and bridges:						
Roads and bridges	383	-	-	37,421	1,294	39,098
Bridge shops	39	330	-	-	-	369
Road shops	66	9,908	-	-	-	9,974
Administrative	-	-	-	-	-	-
Sub-total	<u>488</u>	<u>10,238</u>	<u>-</u>	<u>37,421</u>	<u>1,294</u>	<u>49,441</u>
External organizations:						
External use	465	21,423	-	-	-	21,888
Total general fixed assets	<u>\$ 14,505</u>	<u>\$ 308,526</u>	<u>\$ 1,626</u>	<u>\$ 37,421</u>	<u>\$ 18,199</u>	<u>\$ 380,277</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF CHANGES IN GENERAL FIXED ASSETS
BY FUNCTION AND ACTIVITY
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	General fixed assets June 30, 2000	Additions	Deductions and reclassifications	General fixed assets June 30, 2001
FUNCTION AND ACTIVITY:				
General government:				
Legislative	\$ 2,353	\$ 835	\$ 3,703	\$ 6,891
Administrative	39,677	3,970	(15,623)	28,024
Sub-total	<u>42,030</u>	<u>4,805</u>	<u>(11,920)</u>	<u>34,915</u>
Health and social services:				
Health	15,032	11,818	5,373	32,223
Social	37,355	6,687	16,814	60,856
Sub-total	<u>52,387</u>	<u>18,505</u>	<u>22,187</u>	<u>93,079</u>
Public safety:				
Law enforcement	111,050	2,808	1,299	115,157
Justice	4,807	78	(164)	4,721
Sub-total	<u>115,857</u>	<u>2,886</u>	<u>1,135</u>	<u>119,878</u>
Community services:				
Community service development	1,392	18	-	1,410
Recreation	202	-	-	202
Library	53,353	6,159	(48)	59,464
Sub-total	<u>54,947</u>	<u>6,177</u>	<u>(48)</u>	<u>61,076</u>
Roads and bridges:				
Roads and bridges	39,141	18	(61)	39,098
Bridge shops	369	-	-	369
Road shops	9,974	-	-	9,974
Administrative	69	-	(69)	-
Sub-total	<u>49,553</u>	<u>18</u>	<u>(130)</u>	<u>49,441</u>
External organizations:				
External use	33,914	422	(12,448)	21,888
Total general fixed assets	<u>\$ 348,688</u>	<u>\$ 32,813</u>	<u>\$ (1,224)</u>	<u>\$ 380,277</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF CHANGES IN GENERAL FIXED ASSETS
BY SOURCE
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

	General fixed assets June 30, 2000	Additions	Deductions	General fixed assets June 30, 2001
Fixed assets acquired through June 30, 2000 ⁽¹⁾	\$ 348,688			\$ 348,688
Investments in governmental funds fixed assets by source:				
General Fund	\$ 7,363	\$ 215		7,148
Road Fund	9	46		(37)
Federal and State Program Fund	24	216		(192)
Willamette River Bridges Fund	-	8		(8)
Library Fund	5,032	18		5,014
Land Corner Preservation Fund	9	6		3
Public Safety Fund	43	-		43
Assessment and Taxation Fund	27	6		21
Justice Bond Project Fund	844	-		844
Revenue Bond Project Fund	4,020	-		4,020
Equipment Acquisition Fund	-	424		(424)
Building Project Fund	14,209	233		13,976
Library Fund	1,009	30		979
Capital Improvement Fund	154	22		132
Capital Acquisition Fund	70	-		70
Total investment in governmental funds fixed assets				\$ 380,277

(1) Assets acquired prior to June 30, 2000 are presented as a single amount. Assets acquired from July 1, 2000 forward will be segregated by funding source.

OTHER SCHEDULES

- Schedule of Property Tax Collections and Outstanding Balances
- Schedule of General Obligation Bonds and Bond Interest Coupon Transactions
- Schedule of Revenue Bonds Outstanding
- Schedule of General Obligation Bonds Outstanding
- Schedule of Loans Outstanding
- Schedule of Full Faith and Credit Bonds Outstanding
- Schedule of Capitalized Lease Purchases Outstanding
- Schedule of Receipts and Deposits – Elected Officials

MULTNOMAH COUNTY, OREGON
SCHEDULE OF PROPERTY TAX COLLECTIONS
AND OUTSTANDING BALANCES
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

Tax Year	Taxes receivable June 30, 2000	Current levy	Add (deduct) corrections and adjustments	Add interest on delinquent taxes	Deduct discounts allowed	Deduct collections including interest on delinquent taxes	Taxes receivable June 30, 2001
2000-01	\$ -	\$ 800,299	\$ (1,846)	\$ 390	\$ (18,857)	\$ (750,790)	29,196
1999-00	25,445	-	(3,902)	474	-	(10,446)	11,571
1998-99	10,471	-	(2,330)	257	-	(2,763)	5,635
1996-97 and prior	8,061	-	(840)	1,031	-	(4,995)	3,257
	<u>\$ 43,977</u>	<u>\$ 800,299</u>	<u>\$ (8,918)</u>	<u>\$ 2,152</u>	<u>\$ (18,857)</u>	<u>\$ (768,994)</u>	<u>\$ 49,659</u>

SUMMARY OF TAXES RECEIVABLE AT JUNE 30, 2001:

	Current levy	Prior years' levy	Total Property Taxes	Other Taxes	Total
General Fund	\$ 6,241	\$ 4,364	\$ 10,605	\$ 2,946	\$ 13,551
Special Revenue Funds:					
Library Fund	722	509	1,231	-	1,231
Special Excise Tax Fund	-	-	-	2,925	2,925
Land Corner Preservation Fund	-	-	-	4	4
Assessment & Taxation Fund	-	-	-	1	1
Total Special Revenue Funds	<u>722</u>	<u>509</u>	<u>1,231</u>	<u>2,930</u>	<u>4,161</u>
General Obligation Bond Fund	532	382	914	6	920
Agency Funds	21,684	15,196	36,880	1055	37,935
Sub-total taxes receivable	<u>29,179</u>	<u>20,451</u>	<u>49,630</u>	<u>6,937</u>	<u>56,567</u>
Special assessments collected through taxes	16	13	29	7	36
Total receivables	<u>\$ 29,195</u>	<u>\$ 20,464</u>	<u>\$ 49,659</u>	<u>\$ 6,944</u>	<u>\$ 56,603</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL OBLIGATION BONDS AND BOND INTEREST COUPON TRANSACTIONS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS:

	Outstanding June 30, 2000		2000-01 Transactions			Outstanding June 30, 2001	
	Matured	Unmatured	Issued	Matured	Refunded or paid	Matured	Unmatured
Dated March 1, 1994	\$ -	\$ 6,010	\$ -	\$ 890	\$ 890	\$ -	\$ 5,120
Dated October 1, 1994	-	1,840	-	330	330	-	1,510
Dated October 1, 1996	-	41,615	-	7,755	7,755	-	33,860
Dated February 1, 1999	-	66,090	-	320	320	-	65,770
	<u>\$ -</u>	<u>\$ 115,555</u>	<u>\$ -</u>	<u>\$ 9,295</u>	<u>\$ 9,295</u>	<u>\$ -</u>	<u>\$ 106,260</u>

GENERAL OBLIGATION BOND INTEREST COUPONS:

Dated March 1, 1994	\$ 261	\$ 261
Dated October 1, 1994	89	89
Dated October 1, 1996	1,759	1,759
Dated February 1, 1999	2,893	2,893
	<u>\$ 5,002</u>	<u>\$ 5,002</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF REVENUE BONDS OUTSTANDING
June 30, 2001
(amounts expressed in thousands)

REVENUE BONDS:

Fiscal Year of maturity	Series 1998 Dated 10/01/98 4.00 to 4.75%		Series 2000A Dated 11/01/00 4.45 to 5.20%		Series 2000B Dated 11/01/00 4.45 to 5.20%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 165	\$ 124	\$ -	\$ 96	\$ -	\$ 169	\$ 165	\$ 389
2003	170	118	100	94	175	165	445	377
2004	175	111	105	89	185	157	465	357
2005	185	104	110	85	195	148	490	337
2006	190	96	120	79	205	139	515	314
2007	200	88	125	74	215	129	540	291
2008	205	80	130	68	225	119	560	267
2009	215	72	135	62	235	109	585	243
2010	225	63	145	55	250	97	620	215
2011	230	53	150	48	265	85	645	186
2012	245	42	160	41	275	72	680	155
2013	255	31	165	33	295	58	715	122
2014	265	19	175	24	310	42	750	85
2015	275	7	185	15	325	26	785	48
2016	-	-	195	5	345	9	540	14
	<u>\$ 3,000</u>	<u>\$ 1,008</u>	<u>\$ 2,000</u>	<u>\$ 868</u>	<u>\$ 3,500</u>	<u>\$ 1,524</u>	<u>\$ 8,500</u>	<u>\$ 3,400</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF GENERAL OBLIGATION BONDS OUTSTANDING
June 30, 2001
(amounts expressed in thousands)

GENERAL OBLIGATION BONDS:

Fiscal Year of maturity	Series 1994 Dated 03/01/94 3.70 to 5.25%		Series 1994B Dated 10/01/94 4.25 to 6.00%		Series 1996A Dated 10/01/96 3.90 to 5.65%	
	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 930	\$ 220	\$ 350	\$ 71	\$ 3,865	\$ 251
2003	975	177	365	53	510	154
2004	1,020	130	385	33	535	130
2005	1,070	81	410	11	565	105
2006	1,125	28	-	-	590	77
2007	-	-	-	-	620	48
2008	-	-	-	-	655	16
2009	-	-	-	-	-	-
2010	-	-	-	-	-	-
2011	-	-	-	-	-	-
2012	-	-	-	-	-	-
2013	-	-	-	-	-	-
2014	-	-	-	-	-	-
2015	-	-	-	-	-	-
2016	-	-	-	-	-	-
2017	-	-	-	-	-	-
	<u>\$ 5,120</u>	<u>\$ 636</u>	<u>\$ 1,510</u>	<u>\$ 168</u>	<u>\$ 7,340</u>	<u>\$ 781</u>

Series 1996B		Series 1999		Total	
Dated 10/01/96		Dated 2/01/99			
3.90 to 5.65%		3.90 to 5.65%			
Principal	Interest	Principal	Interest	Principal	Interest
\$ 4,250	\$ 1,163	\$ 330	\$ 2,883	\$ 9,725	\$ 4,588
2,735	1,008	340	2,871	4,925	4,263
2,870	881	355	2,859	5,165	4,033
3,010	744	365	2,846	5,420	3,787
3,160	597	810	2,823	5,685	3,525
3,320	440	2,020	2,768	5,960	3,256
3,495	271	2,105	2,685	6,255	2,972
3,680	92	2,875	2,585	6,555	2,677
-	-	6,860	2,387	6,860	2,387
-	-	7,160	2,093	7,160	2,093
-	-	7,470	1,780	7,470	1,780
-	-	7,490	1,451	7,490	1,451
-	-	7,835	1,106	7,835	1,106
-	-	6,780	773	6,780	773
-	-	6,330	466	6,330	466
-	-	6,645	158	6,645	158
<u>\$ 26,520</u>	<u>\$ 5,196</u>	<u>\$ 65,770</u>	<u>\$ 32,534</u>	<u>\$ 106,260</u>	<u>\$ 39,315</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF LOANS OUTSTANDING
June 30, 2001
(amounts expressed in thousands)

GENERAL LONG-TERM LOANS:

Fiscal Year of maturity	Dated 02/15/96 7.2%		Dated 07/05/96 5.65%		Dated 10/01/96 7.2%		Dated 12/01/96 7.2%	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 29	\$ 28	\$ 2	\$ 2	\$ 9	\$ 4	\$ 3	\$ 1
2003	31	26	2	2	9	3	4	1
2004	34	24	2	2	9	2	4	-
2005	36	21	2	2	11	1	4	-
2006	39	19	2	2	11	1	4	-
2007	42	16	2	2	3	-	2	-
2008	45	13	2	1	-	-	-	-
2009	48	9	2	1	-	-	-	-
2010	52	6	2	1	-	-	-	-
2011	52	3	3	1	-	-	-	-
2012	-	-	3	1	-	-	-	-
2013	-	-	3	1	-	-	-	-
2014	-	-	3	-	-	-	-	-
2015	-	-	3	-	-	-	-	-
2016	-	-	3	-	-	-	-	-
	<u>\$ 408</u>	<u>\$ 165</u>	<u>\$ 36</u>	<u>\$ 18</u>	<u>\$ 52</u>	<u>\$ 11</u>	<u>\$ 21</u>	<u>\$ 2</u>

Dated 01/15/97 5.9%		Dated 02/08/99 5.9%		Dated 10/26/99 5.9%		Total	
Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
\$ 5	\$ 2	\$ 13	\$ 8	\$ 12	\$ 11	\$ 73	\$ 56
6	2	14	7	13	10	79	51
7	1	15	6	14	9	85	44
7	1	16	5	16	8	92	38
7	1	17	4	15	8	95	35
4	-	18	3	16	6	87	27
-	-	19	2	17	6	83	22
-	-	21	1	19	4	90	15
-	-	-	-	13	3	67	10
-	-	-	-	11	3	66	7
-	-	-	-	10	2	13	3
-	-	-	-	12	1	15	2
-	-	-	-	13	1	16	1
-	-	-	-	3	-	6	-
-	-	-	-	-	-	3	-
<u>\$ 36</u>	<u>\$ 7</u>	<u>\$ 133</u>	<u>\$ 36</u>	<u>\$ 184</u>	<u>\$ 72</u>	<u>\$ 870</u>	<u>\$ 311</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF FULL FAITH AND CREDIT BONDS OUTSTANDING
June 30, 2001
(amounts expressed in thousands)

FULL FAITH AND CREDIT BONDS:

Fiscal Year of maturity	Series 1999 Dated 04/01/99 4.00 to 4.75%		Series 2000A Dated 4/01/00 5.00 to 5.50%		Series 1999 Dated 12/01/99 6.49 to 7.74%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 1,215	\$ 1,530	\$ 3,008	\$ 2,998	\$ 530	\$ 8,393	\$ 4,753	\$ 12,921
2003	1,265	1,479	3,161	2,849	1,125	8,359	5,551	12,687
2004	1,320	1,424	3,450	2,692	1,790	8,284	6,560	12,400
2005	1,375	1,368	3,625	2,520	2,535	8,163	7,535	12,051
2006	1,430	1,312	3,550	2,348	3,365	7,988	8,345	11,648
2007	1,490	1,254	3,735	2,161	4,295	7,753	9,520	11,168
2008	1,550	1,192	3,935	1,965	5,325	7,450	10,810	10,607
2009	1,615	1,126	2,675	1,759	6,470	7,072	10,760	9,957
2010	1,685	1,057	2,820	1,611	7,740	6,609	12,245	9,277
2011	1,760	982	2,975	1,470	9,150	6,052	13,885	8,504
2012	1,840	902	3,140	1,322	10,710	5,388	15,690	7,612
2013	1,925	817	3,315	1,165	4,479	12,563	9,719	14,545
2014	2,125	722	3,505	995	4,472	13,565	10,102	15,282
2015	2,120	624	3,705	811	4,469	14,618	10,294	16,053
2016	2,250	525	2,015	616	6,845	13,341	11,110	14,482
2017	2,325	420	2,135	510	16,985	4,358	21,445	5,288
2018	2,435	306	2,260	396	19,470	3,096	24,165	3,798
2019	2,555	188	2,395	271	22,200	1,649	27,150	2,108
2020	2,680	64	2,540	140	5,319	19,876	10,539	20,080
2021	-	-	-	-	5,208	21,407	5,208	21,407
2022	-	-	-	-	5,098	23,012	5,098	23,012
2023	-	-	-	-	4,989	24,686	4,989	24,686
2024	-	-	-	-	4,881	26,444	4,881	26,444
2025	-	-	-	-	4,775	28,285	4,775	28,285
2026	-	-	-	-	4,670	30,215	4,670	30,215
2027	-	-	-	-	4,566	32,234	4,566	32,234
2028	-	-	-	-	4,463	34,347	4,463	34,347
2029	-	-	-	-	4,362	36,563	4,362	36,563
2030	-	-	-	-	4,262	38,887	4,262	38,887
	<u>\$ 34,960</u>	<u>\$ 17,292</u>	<u>\$ 57,944</u>	<u>\$ 28,599</u>	<u>\$ 184,548</u>	<u>\$ 460,657</u>	<u>\$ 277,452</u>	<u>\$ 506,548</u>

DATA PROCESSING CAPITALIZED LEASE OBLIGATIONS:

Fiscal Year of maturity	Series 2000A Dated 4/01/00 5.00 to 5.50%	
	Principal	Interest
2002	\$ 127	\$ 16
2003	134	8
	<u>\$ 261</u>	<u>\$ 24</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF CAPITALIZED LEASE PURCHASES OUTSTANDING
June 30, 2001
(amounts expressed in thousands)

GENERAL LONG-TERM LEASE OBLIGATIONS:

Fiscal Year of maturity	Dated 01/22/81 6.00% to 7.25%		Dated 05/01/93 2.75% to 7.50%		Dated 02/01/98 3.75 to 4.90%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 186	\$ 145	\$ 1,005	\$ 656	\$ 2,802	\$ 1,602	\$ 3,993	\$ 2,403
2003	202	129	1,045	605	2,937	1,472	4,184	2,206
2004	220	112	1,100	550	2,215	1,365	3,535	2,027
2005	239	93	1,160	491	2,315	1,257	3,714	1,841
2006	259	73	1,215	428	2,440	1,144	3,914	1,645
2007	281	50	1,275	361	2,555	1,022	4,111	1,433
2008	306	26	1,345	289	2,690	891	4,341	1,206
2009	-	-	1,415	213	2,825	753	4,240	966
2010	-	-	1,120	141	2,960	617	4,080	758
2011	-	-	730	87	3,100	480	3,830	567
2012	-	-	350	55	3,250	333	3,600	388
2013	-	-	370	34	3,405	175	3,775	209
2014	-	-	390	11	355	87	745	98
2015	-	-	-	-	375	70	375	70
2016	-	-	-	-	395	51	395	51
2017	-	-	-	-	410	31	410	31
2018	-	-	-	-	436	11	436	11
	<u>\$ 1,693</u>	<u>\$ 628</u>	<u>\$ 12,520</u>	<u>\$ 3,921</u>	<u>\$ 35,465</u>	<u>\$ 11,361</u>	<u>\$ 49,678</u>	<u>\$ 15,910</u>

DATA PROCESSING CAPITALIZED LEASE OBLIGATIONS:

Fiscal Year of maturity	Dated 09/01/96 0%		Dated 02/01/98 3.75% to 4.90%		Dated 03/01/99 3.90%		Total	
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest
2002	\$ 10	\$ -	\$ 893	\$ 72	\$ 433	\$ 7	\$ 1,336	\$ 79
2003	-	-	928	35	-	-	928	35
	<u>\$ 10</u>	<u>\$ -</u>	<u>\$ 1,821</u>	<u>\$ 107</u>	<u>\$ 433</u>	<u>\$ 7</u>	<u>\$ 2,264</u>	<u>\$ 114</u>

MULTNOMAH COUNTY, OREGON
SCHEDULE OF RECEIPTS AND DEPOSITS - ELECTED OFFICIALS
For the fiscal year ended June 30, 2001
(amounts expressed in thousands)

Sheriff's Office

Receipts deposited with Treasurer
<u>\$ 22,248</u>

Summary of receipts: Civil process serving fees, record sales, patrolling contracts, room and board for prisoners from other agencies and miscellaneous reimbursements.

STATISTICAL INFORMATION SECTION

This section contains the following tables and information:

- General Governmental Expenditures by Function
- General Governmental Revenues by Source
- General Governmental Tax Revenues by Source
- Property Tax Levies and Collections
- Real Market Value of Taxable Property
- Consolidated Tax Rates
- Consolidated Tax Levies
- Principal Taxpayers
- Special Assessment Billings and Collections
- Computation of Legal Debt Margin
- Construction, Property Value, and Bank Deposits
- Ratio of Net General Obligation Bonded Debt to Real Market Value and Net General Obligation Bonded Debt per Capita
- Ratio of Annual Debt Service Expenditures for General Obligation Bonded Debt to Total General Governmental Expenditures
- Computation of Direct and Overlapping Debt
- Insurance In Force
- Population, Per Capita Income and Unemployment
- Major Employers in Metropolitan Area
- Miscellaneous Statistical Data

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL EXPENDITURES BY FUNCTION (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	General government	Health and social services	Public safety and justice	Community services	Roads and bridges	Capital outlay	Debt service	Total
1992	\$ 31,503	\$ 116,629	\$ 71,167	\$ 30,481	\$ 25,613	\$ 7,164	\$ 4,286	\$ 286,843
1993	43,612	133,653	76,324	26,315	27,323	14,872	5,146	327,245
1994	45,648	147,372	82,938	27,481	28,220	25,081	4,472	361,212
1995	36,108	165,239	93,059	32,765	30,200	24,539	9,849	391,759
1996	35,086	181,526	96,519	35,707	32,596	33,069	8,648	423,151
1997	28,298	190,540	113,263	56,913	34,052	33,717	18,363	475,146
1998	28,474	190,941	140,510	47,764	35,197	36,773	21,242	500,901
1999	35,786	209,788	165,792	75,996	37,604	59,425	21,493	605,884
2000	219,323 (2)	237,566	179,799	72,429	35,969	37,141	28,552	810,779
2001	36,612	285,999	183,897	85,143	35,110	30,517	39,932	697,210

(1) All Governmental Fund Types (Budgetary basis)

(2) Increase in General Government expenditures due to one time only funding of PERS unfunded liability.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL REVENUES BY SOURCE (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Taxes	Inter-governmental	Licenses and permits	Charges for services	Interest	Special assessments	Other	Total
1992	\$ 127,545	\$ 124,711	\$ 2,566	\$ 10,023	\$ 2,943	\$ 47	\$ 17,952	\$ 285,787
1993	142,569	134,774	2,833	10,763	3,468	45	23,846	318,298
1994	151,617	149,009	2,591	11,280	4,143	21	29,975	348,636
1995	171,068	162,133	2,683	8,880	5,676	-	28,549 (2)	378,989
1996	185,008	172,031	2,703	12,864	5,476	-	29,888 (3)	407,970
1997	217,295	184,697	2,751	14,882	9,691	-	37,244 (4)	466,560
1998	215,100	223,962	2,934	14,471	12,080	-	37,190 (5)	505,737
1999	250,416	232,715	3,026	15,515	11,688	-	42,337	555,697
2000	254,514	242,966	2,734	15,008	12,201	-	49,575	576,998
2001	266,466	308,993	5,037	20,234	14,760	-	51,994	667,484

(1) All Governmental Fund Types (Budgetary basis).

(2) Includes \$12 of special assessments revenue.

(3) Includes \$7 of special assessments revenue.

(4) Includes \$14 of special assessments revenue.

(5) Includes \$3 of special assessments revenue.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
GENERAL GOVERNMENTAL TAX REVENUES BY SOURCE (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Property	Transient lodging	Business income	Gasoline	Motor vehicle rental	Other	Total
1992	\$ 89,789	\$ 3,466	\$ 19,920	\$ 7,023	\$ 4,978	\$ 2,369	\$ 127,545
1993	99,609	3,962	23,872	6,854	5,926	2,346	142,569
1994	110,239	3,553	22,912	6,926	6,389	1,598	151,617
1995	122,259	4,538	27,048	7,838	7,410	1,975	171,068
1996	131,620	5,032	30,040	7,770	8,694	1,852	185,008
1997	158,878	6,162	33,255	7,411	9,589	2,000	217,295
1998	157,885	5,841	32,524	7,393	10,205	1,252	215,100
1999	183,155	6,192	40,904	7,470	10,782	1,913	250,416
2000	187,256	5,956	39,934	7,221	12,445	1,702	254,514
2001	198,188	15,228	30,377	7,372	14,593	708	266,466

(1) All Governmental Fund Types (Budgetary basis)

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
PROPERTY TAX LEVIES AND COLLECTIONS (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Tax levy	Current collections	Collections as a % of levy	Prior year collections	Total collections	Total collections as a % of levy	Uncollected taxes	Uncollected taxes as a % of levy
1992 (2)	\$ 91,957	\$ 84,838	92.26 %	\$ 4,951	\$ 89,789	97.64 %	\$ 10,893	11.85 %
1993	101,479	95,001	93.62	4,608	99,609	98.16	10,481	10.33
1994	110,598	105,430	95.33	4,809	110,239	99.68	10,035	9.07
1995	123,672	117,051	94.65	5,208	122,259	98.86	8,258	6.68
1996	135,104	128,020	94.76	3,600	131,620	97.42	8,152	6.03
1997	162,985	154,723	94.93	4,155	158,878	97.48	8,924	5.48
1998 (3)	158,856	153,321	96.52	4,564	157,885	99.39	8,925	5.62
1999	188,837	179,029	94.81	4,128	183,157	96.99	9,859	5.22
2000	193,076	181,741	94.13	5,514	187,255	96.99	11,294	5.85
2001	205,468	193,397	94.13	4,327	197,724	96.23	12,750	6.21

(1) All Governmental Fund Types (Budgetary basis).

(2) Property tax limitation Measure #5 went into effect.

(3) Property tax limitation Measure #50 went into effect.

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
REAL MARKET VALUE OF TAXABLE PROPERTY (1)
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Personal property	Public utility property	Commercial property	Residential property	Total
1992	\$ 1,130,602	\$ 1,426,209	\$ 9,761,548	\$ 11,007,703	\$ 23,326,062
1993	1,236,666	1,530,373	9,880,502	12,879,169	25,526,710
1994	1,203,111	1,628,475	9,941,135	14,727,420	27,500,141
1995	1,218,157	1,576,140	10,740,016	17,177,183	30,711,496
1996	1,330,246	1,647,640	11,938,742	19,766,868	34,683,496
1997	1,517,728	1,794,013	12,768,546	22,380,651	38,460,938
1998 (2)	1,812,064	2,000,951	14,123,977	24,330,799	42,267,791
1999	2,032,070	2,222,076	N/A	41,278,093 (3)	45,532,239
2000 (4)	2,531,489	2,723,488	N/A	47,072,873	52,327,850
2001	2,626,683	3,106,617	N/A	50,581,943	56,315,243

(1) Prior to the passage of Measure # 50 in 1997, assessed value equaled real market value.

(2) Under Measure #50, assessed value is used for computation of taxes.

(3) As of June 30, 1999, the breakdown of residential and commercial property values are not available.

(4) Prior to 2000 amounts did not include urban renewal excess in taxable real market value.

Source: Multnomah County Division of Assessment and Taxation

MULTNOMAH COUNTY, OREGON
CONSOLIDATED TAX RATES (1)
LAST TEN FISCAL YEARS
(unaudited)

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1992 (2)	\$ 3.94	\$ 5.87	\$ 0.55	\$ 15.62	\$ 0.01	\$ 0.27	\$ 0.76	\$ 27.02
1993	3.98	5.91	0.71	13.33	0.01	0.22	-	24.16
1994	4.02	5.92	0.62	10.76	0.01	0.19	-	21.52
1995	4.03	5.92	0.49	8.12	0.01	0.07	-	18.64
1996	3.90	5.79	0.68	5.58	-	0.08	0.07	16.10
1997	4.24	5.47	0.55	6.20	-	0.07	0.47	17.00
1998 (3)	4.86	6.03	0.68	7.27	-	0.07	1.08	19.99
1999	5.28	5.98	0.67	6.95	-	0.07	1.00	19.95
2000	5.13	5.97	0.61	6.93	-	0.07	0.97	19.68
2001	5.19	6.06	0.62	7.21	0.01	0.07	1.06	20.22

(1) These are average rates and are stated in dollar and cents per \$1,000 of assessed value.

(2) Property tax limitation Measure #5 went into effect.

(3) Measure #50 went into effect which decreases the assessed valuation and results in an increase in tax rates.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports

MULTNOMAH COUNTY, OREGON
CONSOLIDATED TAX LEVIES
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Multnomah County	Cities	Special Purpose Districts	Education Districts	Water Districts	Rural Fire Districts	Urban Renewal Districts	Total
1992 (1)	\$ 91,957	\$ 136,958	\$ 12,780	\$ 364,468	\$ 260	\$ 6,362	\$ 17,656	\$ 630,441
1993	101,479	150,788	18,124	340,345	273	5,539	23	616,571
1994	110,598	162,870	17,068	295,852	290	5,298	21	591,997
1995	123,672	181,848	15,110	249,441	307	2,145	25	572,548
1996	135,104	200,896	23,515	193,685	155	2,633	2,520	558,508
1997	162,985	210,568	20,970	238,347	169	2,763	18,020	653,822
1998 (2)	158,856	196,846	22,318	237,275	154	2,323	35,347	653,119
1999	188,837	214,148	23,798	248,622	159	2,422	35,911	713,897
2000	193,076	224,449	23,106	260,605	169	2,657	36,426	740,488
2001	205,468	239,905	24,569	285,341	239	2,725	42,052	800,299

(1) Property tax limitation Measure #5 went into effect.

(2) Property tax limitation Measure #50 went into effect.

Source: Multnomah County Division of Assessment and Taxation current and prior years' annual reports

MULTNOMAH COUNTY, OREGON
PRINCIPAL TAXPAYERS
June 30, 2001
(amounts expressed in thousands)
(unaudited)

<u>Taxpayer account</u>	<u>Type of business</u>	<u>2001 Assessed Valuation (1)</u>	<u>Percentage of Total Assessed Valuation</u>
QWest Communications	Telephone utility	\$ 429,109	1.08 %
Fujitsu Microelectronics Inc.	Computers and electronics	352,187	0.89
Portland General Electric	Electric utility	288,369	0.73
PacifiCorp (Pacific Power and Light)	(2) Electric utility	229,178	0.58
United Airlines, Inc.	Airline	194,913	0.49
Boeing Company	Aircraft parts	179,799	0.45
Alaska Airlines, Inc.	Airline	153,957	0.39
Delta Airlines, Inc.	Airline	146,382	0.37
Fred Meyer Inc.	Grocery Store Chain	133,026	0.34
One Eleven Tower LLC	Real Estate	116,261	0.29
		<u>\$ 2,223,181</u>	<u>5.61 %</u>

(1) The 2000-01 Assessed Valuation is \$39,595,778; the Real Market Valuation is \$56,315,243.

(2) Pacific Power and Light is a subsidiary of PacifiCorp.

Source: Multnomah County Division of Assessment and Taxation

MULTNOMAH COUNTY, OREGON
SPECIAL ASSESSMENT BILLINGS AND COLLECTIONS
LAST TEN FISCAL YEARS
(amounts expressed in thousands)
(unaudited)

<u>Fiscal Year</u>	<u>Assessments outstanding July 1</u>	<u>Assessments billed</u>	<u>Assessments collected</u>	<u>Assessments outstanding June 30</u>
1992	\$ 178	\$ -	\$ 56	\$ 122
1993	122	-	38	84
1994	84	-	36	48
1995	48	-	12	36
1996	36	-	7	29
1997	29	-	14	15
1998	15	-	3	12
1999	12	-	-	12
2000	12	-	-	12
2001	12	-	1	11

Source: Current and prior years' financial statements

MULTNOMAH COUNTY, OREGON
COMPUTATION OF LEGAL DEBT MARGIN
June 30, 2001
(amounts expressed in thousands)
(unaudited)

ORS 287.054 provides a debt limit on general obligation bonds of 2% of the real market value of all taxable property within the County's boundaries.

Real market value (2000-01)	\$ 56,315,243
Debt limit rate	2.00%
Debt limit	<u>1,126,305</u>
Less bonded debt at June 30	106,260
Legal debt margin	<u><u>\$ 1,020,045</u></u>

ORS 287.053 provides a debt limit on full faith & credit bonds of 1% of the real market value of all taxable property within the County's boundaries.

Real market value (2000-01)	\$ 56,315,243
Debt limit rate	1.00%
Debt limit	<u>563,152</u>
Less bonded debt at June 30	277,713
Legal debt margin	<u><u>\$ 285,439</u></u>

MULTNOMAH COUNTY, OREGON
CONSTRUCTION, PROPERTY VALUE, AND BANK DEPOSITS
LAST TEN FISCAL YEARS
(unaudited)

Fiscal Year	Commercial		Residential		Total		Bank deposits in \$(000) (2)
	Number of units (1)	Value in \$(000)	Number of units (1)	Value in \$(000)	Number of units	Value in \$(000)	
1992	2,387	\$ 134,681	5,852	\$ 281,327	8,239	\$ 416,008	\$ 9,568,464
1993	2,367	211,211	6,026	254,668	8,393	465,879	11,222,080
1994 (3)	1,106	228,787	2,757	117,655	3,863	346,442	9,536,224
1995	920	323,947	2,273	115,317	3,193	439,264	11,552,255
1996	-	- (4)	4,453	320,871	4,453	320,871	11,414,176
1997	-	-	4,331	350,666	4,331	350,666	14,543,385
1998	-	-	3,984	353,060	3,984	353,060	13,045,877
1999	-	-	3,641	315,125	3,641	315,125	14,673,872
2000 (5)	-	-	N/A	N/A	N/A	N/A	15,837,718
2001 (5)	-	-	N/A	N/A	N/A	N/A	13,126,825

(1) Information maintained on a calendar year basis.

(2) June 30, each year.

(3) January through June.

(4) Information on commercial properties no longer available as of January 1996.

(5) Information is not yet available.

Sources: Center for Population Research & Census, Portland State University and State of Oregon Banking Commission

MULTNOMAH COUNTY, OREGON
RATIO OF NET GENERAL OBLIGATION BONDED DEBT
TO REAL MARKET VALUE AND NET GENERAL OBLIGATION BONDED DEBT PER CAPITA
LAST TEN FISCAL YEARS (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Population (2)	Real Market Value (3)	Gross Bonded Debt	Less Debt Service Fund (4)	Net Bonded Debt	Ratio of Net Bonded Debt to Real Market Value	Net Bonded Debt per Capita (5)
1994	620,000	\$ 27,500,141	\$ 22,000	\$ 1,935	\$ 20,065	0.07 %	\$ 32
1995	626,500	30,711,496	29,705	7,021	22,684	0.07	36
1996	636,000	34,683,496	28,710	7,905	20,805	0.06	33
1997	639,000	38,460,938	136,375	14,977	121,398	0.32	190
1998	642,000	42,267,791	128,470	16,240	112,230	0.27	175
1999	646,850	45,532,239	124,170	14,014	110,156	0.24	170
2000	662,400	52,327,850	115,555	11,774	103,781	0.20	157
2001	662,400 (6)	56,315,243	106,260	12,223	94,037	0.17	142

(1) 1994 was the first year in which Multnomah County had general obligation bonded debt in the last ten fiscal years.

(2) Population not expressed in thousands.

(3) Prior to 1998, Real Market Value approximated Assessed Value.

(4) Amount available for repayment of general obligation bonds.

(5) Net Bonded Debt stated in dollars.

(6) Population data for 2000 is the most recent data available.

Source: Current financial statements

MULTNOMAH COUNTY, OREGON
RATIO OF ANNUAL DEBT SERVICE EXPENDITURES
FOR GENERAL OBLIGATION BONDED DEBT
TO TOTAL GENERAL GOVERNMENTAL EXPENDITURES
LAST TEN FISCAL YEARS (1)
(amounts expressed in thousands)
(unaudited)

Fiscal Year	Principal	Interest	Total Debt Service	Total General Government Expenditures	Ratio of Debt Service to General Government Expenditures
1995	\$ 1,295	\$ 1,348	\$ 2,643	\$ 391,759	0.67 %
1996	995	1,474	2,469	423,151	0.58
1997	1,035	2,911	3,946	475,146	0.83
1998	7,905	6,740	14,645	500,901	2.92
1999	8,235	6,347	14,582	605,884	2.41
2000	8,615	5,934	14,549	810,779	1.79
2001	9,295	5,002	14,297	697,210	2.05

(1) 1995 was the first year that Multnomah County began retiring general obligation bonded debt in the last ten fiscal years.

Source: Current financial statements

MULTNOMAH COUNTY, OREGON
COMPUTATION OF DIRECT AND OVERLAPPING DEBT
June 30, 2001
(amounts expressed in thousands)
(unaudited)

Overlapping District	Percent Overlapping	Overlapping	
		Gross (1) Bonded Debt	Net (2) Direct Debt
Mt. Scott Water District	0.74 %	\$ 12	12
Clackamas County RFPD #1	0.05	6	6
Clackamas County School District 7J	0.37	367	367
City of Lake Oswego	6.45	1,912	1,912
City of Milwaukie	0.42	16	16
Columbia County School District 1J	23.44	827	827
Port of Portland	45.13	6,494	6,494
Metro	49.15	91,839	91,839
Tri-County Metropolitan Transp. Service District	48.75	73,105	73,105
Sauvie Island RFPD 30	96.58	251	251
Multnomah County School District 1J	99.27	269,864	269,864
Multnomah County School District 3	100.00	28,360	28,360
Multnomah County School District 7	100.00	70,985	70,985
Multnomah County School District 28J	92.54	35,862	35,862
Multnomah County School District 39	100.00	6,570	6,570
Multnomah County School District 40	100.00	56,050	56,050
Multnomah County School District 51J	96.26	10,358	10,358
Multnomah County School District 10J	84.51	72,884	72,884
Orient School District 6 Bonds	56.13	1,001	1,001
Gresham Grade School District 4 Bonds	100.00	1,700	1,700
Mount Hood Community College	84.27	1,125	1,125
Portland Community College	45.33	42,399	42,399
City of Fairview	100.00	5,375	2,565
City of Gresham	100.00	7,530	7,530
City of Portland	99.59	296,545	247,553
City of Troutdale	100.00	16,452	16,452
City of Wood Village	100.00	845	380
Unified Sewerage Agency	0.64	2	2
Tualatin Valley Fire and Rescue	2.02	188	188
Washington County School District 48J	0.44	1,071	895
Hillsboro 1J	0.00	7	7
North Plains School District 1J	0.13	1	1
		<u>\$ 1,100,003</u>	<u>\$ 1,047,560</u>

(1) Gross Direct Debt includes all Unlimited General Obligation bonds and Limited Tax General Obligation bonds.

(2) Net Direct Debt includes Gross Direct Debt less self-supporting General Obligation and Limited Tax debt.

Source: Municipal Debt Advisory Commission, Oregon State Treasury

MULTNOMAH COUNTY, OREGON
INSURANCE IN FORCE
June 30, 2001
(unaudited)

<u>Insurance Company</u>	<u>Coverage</u>	<u>Expiration</u>
Industrial Risk Insurers Policy #31368142	Buildings & Equipment	10/02/2001
Industrial Risk Insurers Policy #31368142	Comprehensive Boiler and Machinery	10/02/2001
St. Paul Insurance Policy #384PH8074	Hull and Machinery Marine Policy	10/02/2001
St. Paul Insurance Policy #IM08400175	County Vehicles and Equipment	10/02/2001
Industrial Risk Insurers Policy #31368143	Justice Center Equipment	10/02/2001
Lumbermens Mutual Casualty Policy #3MF 746 102-09	Liability Policy (Library)	10/02/2000
Republic Western Policy #RSU 3601134	Excess Workers' Compensation	07/01/2001
Hartford Insurance Co. Policy #52BPEAD4206	Blanket Faithful Performance Bond	01/01/2002
Hartford Insurance Co. Bond #5088331	Faithful Performance Bond-Public Official Tax Collector	01/01/2002
Bond #5088332	Finance Director	01/01/2002
Bond #5091060	Treasury Manager	01/01/2002
Hartford Insurance Co. Bond #5088334	Faithful Performance Bond-Public Guardian	01/01/2002
Hartford Insurance Co. Bond #SUN404213	DEQ License Bond (Multnomah County)	01/01/2002
Hartford Insurance Co. Bond #5087364	DEQ License Bond (Mechanic/Equipment Operator-G.Oliver)	01/01/2002
Hartford Insurance Co. Bond #SUN404214	DEQ License Bond (Mechanic/Equipment Operator/Fleet Inspector)	01/01/2002
Hartford Insurance Co. Bond #SUN403141	DEQ License Bond (Fleet Inspector)	01/01/2002
Hartford Insurance Co. Bond #52BSBAL9783	DEQ License Bond (Mechanic/Equipment Operator)	06/20/2003

Source: County Insurance Agent

MULTNOMAH COUNTY, OREGON
POPULATION, PER CAPITA INCOME AND UNEMPLOYMENT
LAST TEN FISCAL YEARS
(unaudited)

<u>Fiscal Year</u>	<u>Population</u>	<u>Per capita income (1)</u>	<u>Median household effective buying income (1)</u>	<u>Unemployment rate (3)</u>
1992	605,000	\$ 21	\$ 34	6.40%
1993	615,000	22	36	6.00%
1994	620,000	23	39	4.30%
1995	626,500	24	34	3.70%
1996	636,000	26	35 (2)	4.50%
1997	639,000	27	37	4.30%
1998	642,000	29	39	4.30%
1999	646,850	31	41	4.50%
2000	662,400	N/A	N/A	3.90%
2001	662,400 (4)	N/A	N/A	N/A

(1) Amounts expressed in thousands.

(2) The definition of effective buying income changed in 1995 and is not comparable with previous years.

(3) Portland Metropolitan Statistical Area.

(4) Population data for July 1, 2001 is not available at this time.

N/A means not available at current time.

Source: Employment Division, Oregon State Department of Human Resources

MULTNOMAH COUNTY, OREGON
MAJOR EMPLOYERS IN METROPOLITAN AREA

June 30, 2001
(unaudited)

Employer	Product or Service	Employment
Fred Meyer, Inc. (Krogers, Inc.)	Grocery and retail variety chain	13,600
Intel Corporation	Semiconductor integrated circuits	11,000
Providence Health Care System	Hospitals and clinics	8,938
Legacy Health System	Hospital and health services	6,731
Kaiser Foundation Health Plan of the NW	Hospitals and clinics	6,725
Safeway Stores	Grocery chain stores	6,000
Freightliner Corporation	Medium and heavy-duty trucks	5,100
U. S. Bancorp	Bank and holding company	4,300
Tektronix, Incorporated	Electronic testing instruments	4,200
Meier and Frank Company	Department stores	3,500
Fort James Corporation	Pulp & paper packaging	3,452
United Parcel Service	Small package transport	3,100
McDonalds Corporation	Restaurants	3,000
PCC Structural Corporation	Metal Castings and Machining	3,000
Nike, Incorporated	Sports footwear and apparel	2,850
QWest Communications	Communications utility	2,800
Southwest Washington Medical Center	Medical care	2,800
Portland General Corp.	Electric utility	2,787
Wells Fargo & Co.	Bank	2,588
Albertson's	Retail Grocery Chain	2,500
Precision Castparts	Steel castings	2,500
Volt Services	Temporary Employment	2,500
Regence Blue Cross & Blue Shield of Oregon	Health insurance & HMO	2,105
Consolidated Freightways Inc.	Transportation	2,000
Shari's Management Corp.	Restaurant chain	2,000
Hilton Portland	Lodging, food, beverages	1,850
Fort James	Pulp and paper packaging	1,800
Hewlett-Packard Co.	Computer printers	1,800
Horizon Air	Airline	1,800
Lucent Technologies	Telecommunications equipment	1,800
Portland Trail Blazers/Oregon Arena Corp.	National basketball association team, operator of Rose Quarter	1,750
Nordstrom	Retail specialty stores	1,700
SEH America	Silicon wafers	1,700
Burns Brothers, Inc.	Travel/fuel stops and safety traction devices	1,600
Rite Aid Corp.	Retail drug stores	1,600
Bank of America Oregon	Full commercial banking services	1,594
The Standard	Insurance	1,526
Boeing of Portland	Aircraft frame structures	1,518
IBM	Supermini computer systems	1,500
Maxim Integrated Products	Integrated circuits	1,500
Wacker Siltronic Corporation	Silicon semiconductor materials	1,500
Federal Government		18,700
Oregon Health Sciences University		9,300
State Government		6,684
Portland Public Schools		6,318
City of Portland		5,172
Multnomah County		4,083
Portland State University		3,800

Source: Chamber of Commerce and Employment Division, State of Oregon

MULTNOMAH COUNTY, OREGON
MISCELLANEOUS STATISTICAL DATA
June 30, 2001
(unaudited)

GENERAL

Date of incorporation	1854
Date present charter adopted	1967
Date present charter amended	2001
Form of government	Home Rule Charter
Area - square miles	465

MULTNOMAH COUNTY EMPLOYEES

Management and exempt	794
Bargaining units	4,033

MILES OF STREETS (UNINCORPORATED AREA)

Paved	1,082
Unpaved	11

FIRE PROTECTION

Number of stations	27
Number of employees:	
Full-time	736
Volunteer	0

SHERIFF PROTECTION

Number of arrests (Parts I, 2 & 3 crimes)	3,634
Vehicular patrol units	34
Number of employees (sworn and civilian)	934
Jails:	
Facilities	5
Population	1,860

RECREATION

Parks:

Number of acres	10,471
Number of facilities	560
Number of playgrounds	148
Number of golf courses	6

EDUCATION

Number of schools:	
Elementary	157
Secondary	20
Employees:	
Instructional	5,274
Administrative and support	3,979
Number of students (estimated)	92,602
Average daily attendance (estimated)	82,309

SEWAGE DISPOSAL

Number of accounts	519
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STREET LIGHTING

Number of Lights	3,837
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ELECTIONS

Number of registered voters	365,596
Number of votes cast in last general election	300,065
Percentage of registered voters voting in last general election	82.08%

**REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS
REQUIRED BY STATUTES**

- Report of Independent Certified Public Accountants on the County's Compliance and Certain Items Based on an Audit of General Purpose Financial Statements Performed in Accordance with Federal and Oregon Audit Standards
- Report of Independent Certified Public Accountants on the County's Compliance and Internal Control Over Financial Reporting Based on an Audit of General Purpose Financial Statements Performed in Accordance with Government Audit Standards

Report of Independent Certified Public Accountants
on the County's Compliance and Certain Items Based
on an Audit of General Purpose Financial Statements
Performed in Accordance with Federal and
Oregon Auditing Standards

The Board of County Commissioners
Multnomah County, Oregon

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America, the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State, and *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Multnomah County, Oregon is the responsibility of the County's management. As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we performed tests of the County's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs Funded from Outside Sources - Reports related to such programs are presented separately under separate cover.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to condition and adequacy of accounting records.

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds (see requirements for public fund deposits as specified in Oregon Revised Statutes (ORS) 295)

Indebtedness - Relating to debt and the limitation on the debt amount, liquidation of debt within the prescribed period of time, and compliance with provisions of indentures or agreements, including restrictions on the use of moneys available to retire indebtedness

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year (see ORS 294.305 to 294.565)

Taxes on Motor Vehicle Use and Fuel and the use of a Road Fund - Pertaining to (as contained in Article IX, Section 3a of the Oregon Constitution) the use of revenue from taxes on motor vehicle use and fuel and the use of a road fund (as contained in ORS 294 and 373)

Insurance - Relating to insurance and fidelity bond coverage

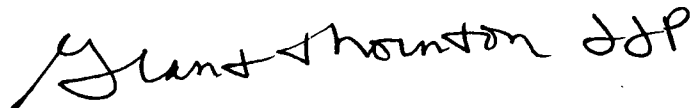
Investments - Pertaining to the investment of public funds (see ORS Chapter 294.035 to 294.046)

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the awarding of public contracts and the construction of public improvements

The result of our tests indicate that, with respect to the items tested, Multnomah County, Oregon complied, in all material respects, with the provisions referred to above except as follows: 1) the County's cash deposits with banks were undercollateralized for one day during the year ended June 30, 2001; 2) the County had overexpenditures as listed in Note 10 of Notes to the Combined Financial Statements; and, 3) the County did not publish the budget committee meeting notice for Multnomah County in the newspaper for a second time. With respect to items not tested, nothing came to our attention that caused us to believe that the County had not complied, in all material respects, with those provisions.

This report is intended solely for the information and use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001



Report of Independent Certified Public Accountants
on the County's Compliance and on Internal Control Over
Financial Reporting Based on an Audit of General Purpose Financial Statements
Performed in Accordance with *Government Auditing Standards*

The Board of County Commissioners
Multnomah County, Oregon

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether Multnomah County, Oregon's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered Multnomah County, Oregon's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weakness.

This report is intended solely for the information and use of management, the Board of County Commissioners and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Grant Thornton LLP

Portland, Oregon
December 4, 2001

Grant Thornton 

Schedule of Expenditures of Federal
Awards and Reports of Independent
Certified Public Accountants

Multnomah County, Oregon

Year ended June 30, 2001

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Report of Independent Certified Public Accountants
on the County's Compliance and Internal Control Over
Financial Reporting Based on an Audit of General Purpose Financial Statements
Performed in Accordance with *Government Auditing Standards*

The Board of County Commissioners
Multnomah County, Oregon

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether Multnomah County, Oregon's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered Multnomah County, Oregon's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weakness.

This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

Grant Thornton JTR

Report of Independent Certified Public Accountants
on the County's Compliance with Requirements Applicable to Each Major
Program and Internal Control Over Compliance in Accordance with
OMB Circular A-133

The Board of County Commissioners
Multnomah County, Oregon

Compliance

We have audited the compliance of Multnomah County, Oregon with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133, Compliance Supplement*, that are applicable to each of its major federal programs for the year ended June 30, 2001. Multnomah County's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of Multnomah County, Oregon's management. Our responsibility is to express an opinion on Multnomah County, Oregon's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Multnomah County, Oregon's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on Multnomah County, Oregon's compliance with those requirements.

In our opinion, Multnomah County, Oregon complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2001. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 01-1 through 01-2.

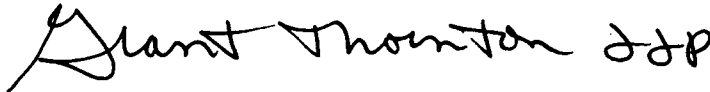
Internal Control Over Compliance

The management of Multnomah County, Oregon is responsible for establishing and maintaining effective internal control over the compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered Multnomah County, Oregon's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

We have audited the general purpose financial statements of Multnomah County, Oregon as of and for the year ended June 30, 2001, and have issued our report thereon dated December 4, 2001. Our audit was performed for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the general purpose financial statements. Such information has been subjected to the auditing procedures applied in the audit of the general purposes financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the general purpose financial statements taken as a whole.

This report is intended solely for the information and use of the audit committee, management, the Board of County Commissioners, the Secretary of State, Division of Audits, of the State of Oregon and the federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in black ink, appearing to read "Grant Thornton LLP", is written over a horizontal line.

Portland, Oregon
December 4, 2001

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
<u>U.S. Department of Agriculture</u>			
Passed Through State Department of Education:			
National School Lunch Program	10.555	2613007	\$ 109,951
Passed Through State Department of Human Services/Adult and Family Services Division:			
State Administrative Matching Grants for Food Stamp Program	10.561	11400 / 6416 / 72101	27,362
Passed Through State Department of Human Services/Health Division:			
State Administrative Matching Grants for Food Stamp Program	10.561	85087-3	805,989
Special Supplemental Nutrition Program for Women, Infants, & Children (WIC Program)	10.557	936002309	1,842,611
Passed Through State Department of Human Services/Senior & Disabled Services Division:			
Nutrition Program for the Elderly (Commodities) (NPE)	10.570	85084	306,834
Total Department of Agriculture			<u>3,092,747</u>
<u>U.S. Department of Housing and Urban Development</u>			
Direct Programs:			
Community Development Block Grants/Entitlement Grants	14.218		1,121,827
Supportive Housing Program	* 14.235		2,618,452
Passed Through City of Gresham:			
Community Development Block Grants/Entitlement Grants	14.218	1731	38,950
Passed Through City of Portland-			
Bureau of Community Development:			
Community Development Block Grants/Entitlement Grants	14.218	0110880 / B00MC410003-4	522,927
Supportive Housing Program	* 14.235	0210215	38,440
Home Investment Partnerships Program	14.239	01100880 / M98DC410203	5,394
Lead-Based Paint Hazard Control in Privately -Owned Housing	14.900	33318	425,573
Passed Through Housing Authority of Portland:			
Supportive Housing Program	* 14.235	110872	77,464
Public Housing Capital Fund (CFP)	14.872	MULTN002	82,495
Total Department of Housing and Urban Development			<u>4,931,522</u>
<u>U.S. Department of Interior</u>			
Direct Programs:			
Distribution of Receipts to State and Local Governments	15.227		675,196
Total Department of Interior			<u>675,196</u>
<u>U.S. Department of Justice</u>			
Direct Programs:			
Edward Byrne Memorial State and Local Law Enforcement			
Assistance Discretionary Grants Program	16.580		253,268
Drug Court Discretionary Grant Program (Drug Court Program)	16.585		16,431
Violence Against Women Discretionary Grants for			
Indian Tribal Governments	16.587		<u>75,009</u>
Balance carried forward			344,708

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Balance brought forward			\$ 344,708
Local Law Enforcement Block Grants Program	16.592		14,895
Executive Office for Weed and Seed *	16.595		137,977
Bullet Proof Vest Partnership Program	16.607		20,904
Public Safety Partnership and Community Policing Grants ("Cops" Grant)	16.710		10,000
Passed Through State Department of Justice:			
Title V - Delinquency Prevention Program	16.548	TV2000-5 / TV2000-9	98,386
Crime Victim Assistance	16.575	98-0839 / 99-00712 / 00-01143	277,556
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580	LAO2001-85	10,000
Executive Office for Weed and Seed *	16.595	2000-WS-QX-0029	154,438
Passed Through State Police:			
Juvenile Accountability Incentive Block Grants (JAIBG) *	16.523	99-624	1,082,943
Violence Against Women Formula Grants	16.588	01-759	52,058
Byrne Formula Grant Program	16.579	99-018	65,305
Grants to Encourage Arrest Policies and Enforcement of Protection Orders	16.590	WE-VX-0007	55,913
Passed Through Portland Police Bureau:			
Grants to Encourage Arrest Policies and Enforcement of Protection Orders	16.590	1999-WE-VX-0007	66,893
Local Law Enforcement Block Grants Program	16.592	98LBVX2371	141,448
Local Law Enforcement Block Grants Program	16.592	1999LBVX7834	24,225
Passed Through Westat Research Corp:			
Public Safety Partnership and Community Policing Grants ("Cops" Grant)	16.710	7293 / Multnomah County Department of Community and Family Services	60,850
Total Department of Justice			<u>2,618,499</u>
<u>U.S. Department of Labor Employment and Training</u>			
Direct Programs:			
Employment Services and Job Training Pilots- Demonstration and Research Programs	17.249		640,618
Total Department of Labor Employment and Training			<u>640,618</u>
<u>U.S. Department of Transportation</u>			
Passed Through Oregon Sheriff's Association, Inc.:			
Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	20.605	Multnomah County	86,624
Passed Through Oregon State Marine Board:			
Boating Safety Financial Assistance	20.005	1936002309001	132,193
Passed Through State Department of Transportation:			
Highway Planning and Construction	20.205	936002309	259,946
Highway Planning and Construction	20.205	X-HPP-C051	255,879
Highway Planning and Construction	20.205	X-STP-C051	33,696
Highway Planning and Construction	20.205	X-BHF-C051	78,818
Highway Planning and Construction	20.205	X-IBRC-C051	10,299
National Motor Carrier Safety Assistance Program (MCSAP)	20.218	936002309	112,652
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	936002309	22,632
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	J 8 01-12-32	30,169
Total Department of Transportation			<u>1,022,908</u>

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
<u>National Foundation on the Arts and Humanities</u>			
Passed Through Oregon State Library:			
State Library Program	45.310	99-5-3.3	\$ 14,948
State Library Program	45.310	01-5-2.7	48,525
State Library Program	45.310	Multnomah County	118,048
State Library Program	45.310	01-L-4	38,100
State Library Program	45.310	01-5-2.7A	12,020
Total National Foundation on the Arts and Humanities			<u>231,641</u>
<u>Environmental Protection Agency</u>			
Passed Through State Department of Human Resources:			
State Public Water System Supervision	66.432	936002309	1,564
Total Environmental Protection Agency			<u>1,564</u>
<u>U.S. Department of Energy</u>			
Passed Through Oregon Housing and Community Services Division:			
Weatherization Assistance for Low-Income Persons	81.042	90271	258,174
Total Department of Energy			<u>258,174</u>
<u>Federal Emergency Management Agency</u>			
Passed Through Oregon Emergency Management:			
Hazard Mitigation Grant (HMGP)	83.548	19360002309	61,394
Project Impact - Building Disaster Resistant Communities (Project Impact Grants)	83.551	19360002309	64,642
Emergency Management Performance Grants (EMPG)	83.552	19360002309	97,019
Passed Through United Way:			
Emergency Food and Shelter National Program	83.523	18-7080-00	176,779
Emergency Food and Shelter National Program	83.523	19-7080-00	361,356
Total Federal Emergency Management Agency			<u>761,190</u>
<u>U.S. Department of Education</u>			
Passed Through Oregon Department of Human Resources:			
Safe and Drug-Free Schools and Communities - State Grants	84.186	926001	(9,034)
Passed Through Portland Public Schools:			
Safe and Drug-Free Schools and Communities - National Programs	84.184L	210024	1,043,421
Passed Through Portland State University:			
Library Research and Publications	84.039	LIB08.7 / LIB08.14	69,983
Total Department of Education			<u>1,104,370</u>

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards

Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
<u>U.S. Department of Health & Human Services</u>			
Direct Programs:			
Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Services	93.109		\$ 3,072
Health Center Grants for the Homeless	93.151		1,253,039
Community Health Centers	* 93.224		3,198,532
Consolidated Knowledge Development and Application Program	93.230		926,351
Drug Abuse Research Programs	93.279		309,524
Center for Disease Control and Prevention: Investigations and Technical Assistance	93.283		15,399
Refugee and Entrant Assistance: Discretionary Grants	93.576		72,000
Medicare-Supplementary Medical Insurance (Medicare)	93.774		428,867
Diabetes, Endocrinology and Metabolism Research	93.847		24,391
HIV Emergency Relief Project Grants	* 93.914		2,721,683
Grants to Provide Outpatient Early Intervention Services With Respect to HIV Disease	93.918		775,860
Healthy Start Initiative (Targeted Infant Mortality Initiative)	93.926		1,070,255
Pilot Clinical Pharmacology Training	93.928		257,790
Passed Through Oregon Department of Business and Consumer Services:			
Health Care Financing Research, Demonstrations and Evaluations	93.779	44199-20	15,146
Passed Through Oregon Commission on Children and Families:			
Child Care and Development Block Grant	93.575	1936002309	435,664
Social Services Block Grant	93.667	1936002309	817,188
Passed Through Oregon Housing and Community Services Division:			
Temporary Assistance for Needy Families	* 93.558	90271	81,795
Low-Income Home Energy Assistance	* 93.568	90271	3,620,972
Community Services Block Grant	93.569	90271	722,375
Passed Through Oregon Health Sciences University:			
Alcohol Research Programs	93.273	8407541	6,371
Community Services Block Grant Discretionary Awards - Demonstration Partnerships	93.573	26390	44,268
Passed Through State Department of Human Resources:			
Special Programs for the Aging - Title VII, Chapter 3 - Prevention of Elder Abuse, Neglect and Exploitation	93.041	85084	13,646
Special Programs for the Aging - Title III, Part F - Disease Prevention and Health Promotion Services	93.043	85084	94,924
Special Programs for the Aging - Title III, Part B - Grants for Supportive Services & Senior Centers	93.044	85087-2	942,357
Special Programs for the Aging - Title III, Part C - Nutrition Services	93.045	85084	858,664
Special Programs for the Aging - Title IV Training, Research and Discretionary Projects and Programs	93.048	91386 / 90-AM-2423	65,000
Project Grants & Cooperative Agreements for Tuberculosis Control Programs	93.116	936002309	158,529
Balance carried forward			18,933,662

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Balance brought forward			\$ 18,933,662
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118	936002309	592,497
Projects for Assistance in Transition from Homelessness (PATH)	93.150	926001	266,083
Cooperative Agreements for Drug Abuse Treatment			
Improvement Projects in Target Cities (Target Cities)	93.196	926001	41,567
Family Planning Services	93.217	936002309	244,377
Consolidated Knowledge Development and Application			
Program	93.230	926001	3,975
Immunization Grants	93.268	936002309	885,473
Centers for Disease Control and Prevention: Investigations			
and Technical Assistance	93.283	936002309	259,926
Temporary Assistance for Needy Families	* 93.558	0101ORTANF / 277038	96,117
Temporary Assistance for Needy Families	* 93.558	926001	1,970,000
Child Support Enforcement (Title IV-D)	93.563	OR Admin Rule 461-195-255	149,967
Child Support Enforcement (Title IV-D)	93.563	00126	1,360,590
Refugee and Entrant Assistance -			
State Administered Programs	93.566	936002309	24,915
Refugee and Entrant Assistance -			
Discretionary Grants	93.576	936002309	560,319
Community-Based Family Resource and Support Grants	93.590	9801ORFRPG / 277038	8,532
Social Services Block Grant	93.667	936002309	205,629
Medical Assistance Program (MEDICAID, Title XIX)	93.778	1936002309034	289,091
Medical Assistance Program (MEDICAID, Title XIX)	93.778	926001	1,076,476
Medical Assistance Program (MEDICAID, Title XIX)	93.778	85087-3	12,351,097
Medical Assistance Program (MEDICAID, Title XIX)	93.778	050711	44,018
Medical Assistance Program (MEDICAID, Title XIX)	93.778	043211	580,513
Medical Assistance Program (MEDICAID, Title XIX)	93.778	0110874	454,526
HIV Care Formula Grants	93.917	936002309	421,952
Cooperative Agreements for State-Based Comprehensive			
Breast and Cervical Cancer Early Detection Programs	93.919	936002309	238,583
Human Immunodeficiency Virus (HIV)/ Acquired Immunodeficiency Virus			
Syndrome (AIDS) Surveillance	93.944	936002309	79,570
Block Grants for Community Mental Health Services			
(CMHS Block Grant)	93.958	926001	530,516
Block Grants for Prevention and Treatment			
of Substance Abuse (SAPT)	93.959	926001	3,038,132
Preventive Health Services - Sexually Transmitted			
Diseases Control Grants	93.977	936002309	67,916
Preventive Health and Health Services Block Grant			
(PHHS)	93.991	936002309	37,515
Maternal and Child Health Services			
Block Grant to the States	93.994	936002309	564,633
Balance carried forward			45,378,167

* Represents a major program

MULTNOMAH COUNTY, OREGON
Schedule of Expenditures of Federal Awards
Year ended June 30, 2001

GRANTOR AND PROGRAM TITLE	FEDERAL CFDA NUMBER	PASS-THROUGH ENTITY IDENTIFYING NUMBER	FEDERAL EXPENDITURES
Balance brought forward			\$ 45,378,167
Passed Through Oregon Research Institute: Alcohol Research Programs	93.273	9910401	200,943
Passed Through Partnership For Community Health: HIV Emergency Relief Project Grants	* 93.914	Multnomah County	11,895
Passed Through City of Portland: Community Prevention Coalitions (PARTNERSHIP) Demonstration Grant	93.194	0210019	204,903
Passed Through Oregon Office Of Drug Abuse Programs: Consolidated Knowledge Development and Application Program	93.230	86-330	64,848
Passed Through University of Washington: AIDS Education and Training Centers	93.145	193902	61,293
Total Department of Health and Human Services			<u>45,922,049</u>
Corporation for National and Community Service Passed Through University of Maryland Baltimore County: Americorp	94.006	95ADNM017	21,514
Total Corporation for National and Community Service			<u>21,514</u>
<u>Other Federal Assistance (No CFDA)</u>			
<u>Department of Agriculture</u>			
Direct Programs: U.S. Forest Service-Patrol Contract	10.01-LE-11060600-498		28,214
U.S. Forest Service-Patrol Contract	10.900847		67,600
Total Department of Agriculture			<u>95,814</u>
<u>U.S. Department of Energy</u>			
Direct Programs: Youth Employment Project/Bonneville Power Administration	81.93BP99731		27,708
Total Department of Energy			<u>27,708</u>
<u>Department of Veteran Affairs</u>			
Passed Through Willamette National Cemetery: Willamette National Cemetery	64.900167		16,157
Total Department of Veteran Affairs			<u>16,157</u>
Total Other Federal Assistance			<u>139,679</u>
Total Federal Assistance			<u>\$ 61,421,671</u>

* Represents a major program

Multnomah County, Oregon

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE A – GENERAL

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal awards programs of Multnomah County, Oregon (the County) for the year ended June 30, 2001. The County's reporting entity is defined in Note 1 to the County's June 30, 2001 general purpose financial statements.

NOTE B – BASIS OF ACCOUNTING

The accompanying Schedule of Expenditures of Federal Awards is presented using a basis of accounting which is consistent with the general purpose financial statements, as described in Note 1 to the County's general purpose financial statements.

NOTE C – RELATIONSHIP TO GENERAL PURPOSE FINANCIAL STATEMENTS

As described in Note 2 to the general purpose financial statements, federal financial assistance revenues reported in the County's general purpose financial statements are included with intergovernmental revenues.

NOTE D – NONCASH AWARDS

The accompanying Schedule of Expenditures of Federal Awards includes a noncash award from the Department of Health and Human Resources in the form of vaccines (CFDA #93.268 – Childhood Immunization Grants). The value of the Childhood Immunization Grant award was determined by the granting agency. The amount expended and advanced at June 30, 2001 is calculated on a proportionate basis.

Multnomah County, Oregon

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

NOTE E - SUBRECIPIENTS

Of the federal expenditures presented in the schedule, Multnomah County provided federal awards to subrecipients as follows:

Program Title	Federal CFDA Number	Amount Provided to Subrecipients
Community Development Block Grants/Entitlement Grants	14.218	\$ 1,296,960
Supportive Housing Program	14.235	2,456,175
Public Safety Partnership and Community Policing Grants	16.710	22,920
Pilot and Demonstration and Research Programs	17.249	550,280
State Public Water System Supervision	66.432	1,440
Weatherization Assistance for Low Income Persons	81.042	203,908
Emergency Food and Shelter National Board Program	83.523	186,794
Safe and Drug Free Schools and Communities - National Programs	84.184	330,978
Special Programs for the Aging - Title III, Part F, Disease Prevention and Health Promotion Services	93.043	87,502
Special Programs for the Aging - Title III, Part C - Nutrition Services	93.044	124,456
Acquired Immunodeficiency Syndrome (AIDS) Activity	93.118	347,259
Health Center Grants for the Homeless Populations	93.151	50,118
Consolidated Knowledge development and Application Program	93.230	307,260
Alcohol Research Programs	93.273	3,120
Drug Abuse Research Programs	93.279	3,915
Center for Disease Control and Prevention: Investigations and Technical Assistance	93.283	475
Low-Income Home Energy Assistance Program	93.568	647,667
Community Services Block Grant	93.569	525,999
Child Care and Development Block Grant (Discretionary Fund of the Child Care and Development Fund)	93.575	192,115
Social Services Block Grant	93.667	431,413
Medicaid Assistance Program (Medicaid, Title XIX)	93.778	6,595,280
Diabetes, Endocrinology and Metabolism Research	93.847	16,135
HIV Emergency Relief Project Grants	93.914	2,501,818
HIV Care Formula Grants	93.917	252,764
Grants to Provide Outpatient Early Intervention Services With Respect to HIV Disease	93.918	66,375
Cooperative Agreements for State - Based Comprehensive Breast and Cervical Cancer Early Detection Programs	93.919	(267)
Healthy Start Initiative (Targeted Infant Mortality Initiative)	93.926	549,696
Piolet Clinical Pharmacology Training	93.928	2,500
Block grant for Community Mental Health Services	93.958	530,516
Block Grants for Prevention and Treatment of Substance Abuse (SAPT)	93.959	3,038,132
Total subrecipient pass through		<u>\$ 21,323,703</u>

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

SECTION I – SUMMARY OF AUDITOR’S RESULTS

Financial Statements

Type of auditor’s report issued:

Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? ☐ yes ☒ no
- Reportable condition(s) identified that are not considered to be material weaknesses? ☐ yes ☒ none reported
- Noncompliance material to financial statements noted? ☐ yes ☒ no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? ☐ yes ☒ no
- Reportable condition(s) identified that are not considered to be material weaknesses? ☐ yes ☒ none reported

Type of auditor’s report issued on compliance for major programs:

Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

☒ yes ☐ no

Identification of major programs:

CFDA Number	Name of Federal Program
14.235	Department of Housing and Urban Development, Supportive Housing Program
16.523	Department of Justice, Juvenile Accountability Incentive Block Grant
16.595	Department of Justice, Executive Office for Weed and Seed
93.224	Department of Health and Human Services, Community Health Centers
93.558	Department of Health and Human Services, Temporary Assistance for Needy Families
93.568	Department of Health and Human Services, Low-Income Home Energy Assistance
93.914	Department of Health and Human Services, HIV Emergency Relief Project Grants

Dollar threshold used to distinguish between type A and type B programs:

\$1,842,650

Auditee qualified as low-risk auditee?

☒ yes ☐ no

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

SECTION II – FINANCIAL STATEMENT FINDINGS

There were no financial statement findings.

SECTION III – FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

Findings and Questioned Costs are listed on the following pages.

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

FINDING 01-1

Program:	CFDA #16.595 Executive Office for Weed and Seed CFDA #93.568 Low-Income Energy Assistance Program CFDA #14.235 Supportive Housing Program
Federal Agency:	Department of Justice Department of Health and Human Services Department of Housing and Urban Development
Award Year:	2000-2001
Requirement:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employed working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods.
Finding:	The salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The County should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.
County Response:	The County discussed this issue with Department of Health and Human Services (DHHS) when the SAP system was being implemented. The County told DHHS that this finding was likely to occur in fiscal year 2001, and the County committed to DHHS that monthly surveys to address the time distribution requirements of Circular A-87 would be implemented in fiscal year 2002.

Multnomah County, Oregon

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

FINDING 01-2

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	2000-2001
Requirement:	The grantee agrees to require that organizations which receive grant funds certify, as part of the contract, that they have appropriate hiring policies and screening procedures for employees who will be working with youth and other residents as part of the Weed and Seed strategy.
Finding:	County contracts with organizations receiving grant funds did not contain language specifically required by grant special conditions.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	Include required language in contracts as necessary.
County Response:	The County will include the employment screening requirements in the contracts when they are renewed in fiscal year 2002.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN

FINDING 00-1

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 - 2000
Requirement:	Program costs must be paid for by County funds before reimbursement is requested from the Federal government.
Finding:	The County requested and received reimbursement for funds prior to payment of costs.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The County should reconcile monthly reimbursement requests to monthly expenditure reports and only request reimbursements after they have been paid by the County.
County Response:	The request for reimbursement for the period July 1, 1999-September 30, 1999 was filed on October 20, 1999. At that time, the grant period ended September 30, 1999. Therefore all costs for services provided during the period July 1, 1999-September 30, 1999 were included in the request because it was the final billing. The grant was subsequently extended.
2001 Update:	The County is currently reviewing cash versus accrual reporting for grant reimbursement and will set procedures in place for all departments to be consistent in reporting on all contracts and grants.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-2

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 - 2000
Requirement:	Grantee must ensure that organizations which receive grant funds certify, as part of the contract, that they have appropriate hiring policies and screening procedures for employees who will be working with youth.
Finding:	County contracts with organizations receiving grant funds did not contain language specifically required by grant special conditions.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	Include required language in contracts as necessary.
County Response:	Effective May 2000, appropriate language regarding hiring policies and screening procedures was incorporated as a standard requirement for all Juvenile Justice Division contracts.
2001 Update:	The County is working to include the appropriate language and hiring procedures for all required contracts.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN--CONTINUED

FINDING 00-3

Program: CFDA #16.595, Weed and Seed Program

Federal Agency: Department of Justice

Award Year: 1999 – 2000

Requirement: Quarterly financial status reports are due 45 days after the end of the quarter. Semi-annual progress reports are due 30 days after the end of the semi-annual period.

Finding: Program staff did not submit certain quarterly fiscal and semi-annual progress reports as required, as shown below:

	<u>Quarter Ended</u>	<u>Date Due</u>	<u>Date Sent</u>
2000-WS-QX-0024	06/30/99	08/15/99	09/01/99
2000-WS-QX-0024	09/30/99	11/15/99	11/17/99
1998-WS-QX-0044	06/30/99	08/15/99	09/24/99
	<u>Semi- annual Period Ended</u>	<u>Date Due</u>	<u>Date Sent</u>
2000-WS-QX-0024	06/30/00	07/31/00	08/05/00

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response: This finding encompasses two departments. The responses are as follows:

Juvenile Justice Division

Changes in staffing and year-end fiscal reconciliation caused a delay in the filing of the fiscal reports. Since that time, the department has reorganized and hired two new grant accountants to ensure that reports are submitted accurately and timely.

District Attorney's Office

The District Attorney's Office has taken note of the time requirements for quarterly fiscal and semi-annual progress reports. Corrective action has been taken to ensure future compliance.

2001 Update: The County is continuing to improve upon the system implemented in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-4

Program: CFDA #16.595, Weed and Seed Program

Federal Agency: Department of Justice

Award Year: 1999 - 2000

Requirement: Semi-annual progress reports are due 30 days after the end of the semi-annual period.

Finding: There was insufficient documentation available to determine whether semi-annual progress reports were filed.

	<u>Semi- annual Period Ended</u>	<u>Date Due</u>	<u>Date Sent</u>
2000-WS-QX-0164	12/31/99	01/31/00	Unknown
2000-WS-QX-0164	06/30/00	07/31/00	Unknown
1998-WS-QX-0044	12/31/99	01/31/00	Unknown
1998-WS-QX-0044	06/30/00	07/31/00	Unknown

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

County Response: Progress reports were sent by the Weed and Seed Coordinator directly to the Project Manager in Washington D.C. Copies of the reports were not provided to the County. It was unclear who in the Juvenile Justice Division was responsible for ensuring that progress reports were filed and obtaining copies for our files. That role has since been clarified.

2001 Update: The County is continuing to improve upon the system implemented in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-5

Program:	CFDA #16.595, Weed and Seed Program
Federal Agency:	Department of Justice
Award Year:	1999 – 2000
Requirement:	Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.
Finding:	The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The District Attorney's Office and Juvenile Justice Division should base their time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response: This finding encompasses two departments. The responses are as follows:

Juvenile Justice Division

Personnel costs were charged pursuant to the grant budget. We are currently developing procedures for obtaining time usage of employees charged to multiple grants (both direct and match) to prepare activity reports.

District Attorney's Office

Up to this point, employee time was split using a straight percentage based upon the budget; a percentage agreed upon by the funding sources. In order to abide by Circular A-87, the District Attorney's Office will alter this practice as follows: starting on the second pay period in December 2000 any employee whose time is split between various funding sources will be entering the actual time spent on the different programs.

2001 Update: With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-6

Program: CFDA #93.568, Low-Income Home Energy Assistance

Federal Agency: Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Quarterly fiscal reports are due 20 days after the end of the quarter.
Quarterly program reports are due 15 days after the end of the quarter.

Finding: The program staff did not submit certain of the quarterly fiscal and program reports as required, as shown below:

	<u>Quarter Ended</u>	<u>Fiscal Report Date Due</u>	<u>Date Sent</u>
LIEAP – Client Education	06/30/00	07/20/00	07/26/00
LIEAP – Energy	06/30/00	07/20/00	07/26/00

	<u>Quarter Ended</u>	<u>Program Report Date Due</u>	<u>Date Sent</u>
LIEAP – Weather	09/30/99	10/15/99	10/21/99

Questioned Cost: None

Prevalence: Systemic

Recommendation: The County should implement procedures to ensure that reports are completed and submitted within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

County Response:

The audit notes one late program report, which the DCPD program office is responsible for, in September 1999. In December of 1999 programs were audited and informed of prior late reports. In response, on 12/13/99 last year a requirement was put in writing that all program reports from that point forward be submitted on time or early for LIEAP. Since that date, the program office has corrected the process problem of prior years.

The fiscal reports are late largely due to the terms set in the provider contracts which require fiscal reports from the same by the 20th day following fiscal year end. That date happens to be the same day the state/federal fiscal report is due. To change that requirement to an earlier date would give providers inadequate time to complete their June month closing process (or FYE closing in many cases). Given the difficulty in obtaining required reporting information at the end of the fiscal year, the reporting requirement for this particular month (July) is not reasonable. All other fiscal quarterly reporting due dates have been met.

2001 Update:

The County is continuing to improve upon the system implementation in order to complete and submit all reports within the required time.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-7

Program: CFDA #14.235, Supportive Housing Program
CFDA #14.218, Community Development Block Grant
CFDA #93.230, Consolidated Knowledge Development and Application Program
CFDA #93.568, Low Income Home Energy Assistance

Federal Agency: Department of Housing and Urban Development
Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.

Finding: The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.

Questioned Cost: None

Prevalence: Systemic

Recommendation: The Department of Community and Family Services should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.

County Response: The department has historically used salary defaults and salary splits as described in the project budget documents to report personnel costs. Inasmuch as this is inadequate, the department's grant reporting accountants and program staff will work on developing a system to gather personnel activity. The department will work closely with the auditors on developing a system that meets their interpretation of A-87

2001 Update: With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-8

Program: CFDA #93.224, Department of Health and Human Services Community Health Centers Program
CFDA #93.926, Healthy Start Initiative

Federal Agency: Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.

Finding The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on a quarterly review of one week's performance rather than a pay period (semimonthly or biweekly).

This repeats a finding from the prior year.

Questioned Cost: None

Prevalence: Systemic

Recommendation: The Health Department should base its time distributions on random surveys four times a year with each covering at least one pay period (this approach was approved by the County's cognizant agency). All other aspects of the new Circular A-87 should be followed as appropriate.

County Response: In July 2000, the Health Department began a new method of cost allocation (RVU allocation) in 33 of its clinics. HRSA (the Health Department's cognizant federal agency) approved the substitute method under the provisions of Revised Circular A-87. The method is automated, generates cost savings by reducing unnecessary effort and is more accurate than time sampling.

2001 Update: With the County's implementation of a new general ledger system, SAP, in fiscal year 2001, they are continuing to improve the reporting aspects of the system to meet requirements.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-9

Program:	CFDA #93.045, Special Programs for the Aging
Federal Agency:	Department of Health and Human Services
Award Year:	1999 – 2000
Requirement:	Contractors are required to determine that the customers served are over the age of 60.
Finding:	For 4 out of 25 sample items selected, there was insufficient documentation on file to determine compliance with this requirement.
Questioned Cost:	None
Prevalence:	Systemic
Recommendation:	The program staff should monitor eligibility criteria more closely.
County Response:	The County's Aging and Disability Services Department will review the age determination requirement with all contractors and establish a periodic review procedure to monitor compliance with this requirement.
2001 Update:	The department is working towards ensuring all requirements of contracts are being followed and that adequate supporting documentation is tracked for audit purposes.

Multnomah County, Oregon

SCHEDULE OF PRIOR YEAR FINDINGS AND CORRECTIVE ACTION PLAN - CONTINUED

FINDING 00-10

Program: CFDA #93.044 and 93.045, Special Programs for the Aging

Federal Agency: Department of Health and Human Services

Award Year: 1999 – 2000

Requirement: Time distributions for employees working on multiple grants must be prepared monthly and must coincide with one or more pay periods.

Finding: The Office of Management and Budget issued a revised Circular A-87 on May 4, 1995. This Circular was effective for all awards or amendments, including continuation or renewal awards, made on or after September 1, 1995. The revised Circular increased the requirements related to time distributions, stating that unless a statistical time sampling system or other substitute system had been approved by the federal cognizant agency, time distributions for employees working on multiple grants must be supported by personnel activity reports. The reports must be prepared at least monthly and must coincide with one or more pay periods. Grant Thornton noted that the salary and wage distribution system was based on distribution percentages determined before the services were performed.

Questioned Cost: None

Prevalence: Systemic

Recommendation: The Department of Aging and Disability Services should base its time distributions on monthly surveys with each covering at least one pay period. All other aspects of the new Circular A-87 should be followed as appropriate.

County Response: The employees who work on multiple grants are, for the most part, providing central administrative and support service functions to the entire Aging and Disability Services Department. The services these employees provide are not conducive to an after-the-fact time reporting system. The time and effort spent on developing a personnel or fiscal policy is not done for a specific grant, but rather is done for the entire department. The department has developed a plan for the allocation of these costs to each funding source. The department will work with the Federal cognizant agency to get approval of this cost allocation plan.

Also, the above allocations are already approved annually by the Oregon State Senior and Disabled Services Division as part of the Annual Area Plan filed by the County. The preparation and filing of the Area Plan is a requirement of the Federal Older Americans Act.

2001 Update: With the County's implementation of the new general ledger, SAP, in fiscal year 2001, they are continuing to improve the system to meet requirements.

Grant Thornton

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Suite 800
111 S.W. Columbia
Portland, OR 97201-5864
T 503-222-3562
F 503-295-0148
W www.grantthornton.com

Grant Thornton 

Financial Statements and Reports of
Independent Certified Public Accountants

**Dunthorpe-Riverdale Service
District No. 1 – A Component Unit of
Multnomah County, Oregon**

June 30, 2001 and 2000

INTRODUCTORY SECTION

**DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON**

**BOARD OF COUNTY COMMISSIONERS* AS OF JUNE 30, 2001
501 SE Hawthorne, 6th floor
Portland, Oregon 97214**

	<u>Term Expires</u>
Diane Linn, Chair of the Board	December 31, 2002
Serena Cruz, Commissioner	December 31, 2002
Lisa Naito, Commissioner	December 31, 2004
Lonnie Roberts, Commissioner	December 31, 2004
Maria Rojo de Steffey, Commissioner	December 31, 2004

REGISTERED AGENT

David A. Boyer

REGISTERED OFFICE

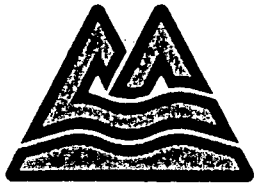
501 SE Hawthorne, 4th floor
PO Box 14700
Portland, Oregon 97293-0700

* Governing body of Dunthorpe-Riverdale Service District No. 1 reported on herein.

**DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON**

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MULTNOMAH COUNTY OREGON

COUNTY COMMISSIONERS

**DEPARTMENT OF SUPPORT SERVICES
FINANCE DIVISION**

DIANE LINN, CHAIR
MARIA ROJO DE STEFFEY,
DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD.
4TH FLOOR
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
FAX (503) 988-3292

FORD BUILDING
2505 SE 11TH 1ST FLOOR

PORTLAND, OR 97202
PHONE (503) 988-5111
FAX (503) 988-3252
TDD (503) 988-5170

December 7, 2001

Honorable County Chair and
Board of County Commissioners
Multnomah County, Portland, Oregon

We are pleased to submit the Financial Statements and Additional Information Report for Dunthorpe-Riverdale Service District No. 1, Portland, Oregon (the District), for the fiscal years ended June 30, 2001 and 2000. This report includes the opinion of our independent auditors, Grant Thornton LLP, Certified Public Accountants.

We prepared this report for the Secretary of the State of Oregon as required under ORS 297.425. The District's financial statements are also included in the Comprehensive Annual Financial Report of Multnomah County (the County) as a blended component unit. This is necessary because the Board of County Commissioners of Multnomah County maintains overall financial accountability for the District.

Dunthorpe-Riverdale Service District No. 1 was organized in 1964 under the provisions of ORS 451. The District's purpose was to finance the construction and operation of sanitary sewer systems in the southwest areas of the County, bordering the Willamette River and a small portion of northern Clackamas County. By 1970 the District had eliminated a major source of pollution in the Willamette River.

The District is accounted for as an enterprise fund. Enterprise funds generate revenue for operations by charging user fees to recover all costs of goods and services to the public. The measurement focus is on a flow of economic resources and the accrual basis of accounting is used. Under the accrual basis of accounting, revenues are recorded at the

time they are earned and expenses are recorded at the time the liabilities are incurred.

Oregon Local Budget Law requires the use of budgetary control. The "Additional Information" section of the report includes the related budgetary schedules.

The District consists of approximately 553 households at June 30, 2001. Modest growth has been achieved primarily through subdivision of large estates and in-fill of previously unbuildable lots. During the past five years small operating losses have been offset by interest income, resulting in small net incomes or losses which are close to break-even. The District continues to maintain a strong working capital position. Following is a summary of some key financial data which is summarized from current and prior years' financial statements:

	Year ended June 30				
	<u>2001</u>	<u>2000</u>	<u>1999</u>	<u>1998</u>	<u>1997</u>
Operating revenue	\$249,149	\$254,791	\$244,209	\$237,930	\$205,018
Depreciation expense	33,474	28,374	28,374	28,374	28,374
Operating income (loss)	(36,880)	12,642	20,252	19,565	22,471
Net income (loss)	29,415	66,052	64,090	60,456	57,326
Capital contributions	2,500	5,000	10,000	10,000	9,930
Net working capital	494,122	941,233	841,807	739,343	640,513
Total assets	2,081,995	1,585,289	1,457,743	1,377,018	1,301,893
Total equity	1,511,376	1,481,961	1,410,909	1,336,819	1,266,363

I would like to acknowledge the help of the Finance Division staff, especially Bill Moravics, Financial Specialist II, and Mindy Harris, Accounting Manager, who aided me in the preparation of this report. I appreciate their excellent work. I also want to thank the staff in the Department of Sustainable Community Development for their contributions during the year.

Respectfully submitted,

David A. Boyer, CCM
Finance Director

FINANCIAL SECTION

Report of Independent Certified Public Accountants

The Board of County Commissioners
Dunthorpe-Riverdale Service District No. 1

We have audited the accompanying general purpose financial statements of Dunthorpe-Riverdale Service District No. 1 as of June 30, 2001 and 2000 and for the years then ended, as listed in the table of contents. These general purpose financial statements are the responsibility of Dunthorpe-Riverdale Service District No. 1's management. Our responsibility is to express an opinion on these general purpose financial statements based on our audit.

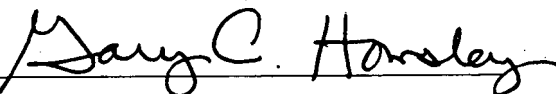
We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the general purpose financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall general purpose financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the general purpose financial statements referred to above present fairly, in all material respects, the financial position of Dunthorpe-Riverdale Service District No. 1 as of June 30, 2001 and 2000 and the results of its operations for the years then ended, in conformity with accounting principles generally accepted in the United States of America.

Our audits were made for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The schedules listed in the table of contents as "Additional Information" are presented for purposes of additional analysis and are not a required part of the general purpose financial statements of Dunthorpe-Riverdale Service District No. 1. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements, and in our opinion, is fairly presented, in all material respects, in relation to the general purpose financial statements taken as a whole.

GRANT THORNTON LLP

Portland, Oregon
December 4, 2001

By 

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
BALANCE SHEETS

	<u>June 30</u>	
<u>ASSETS</u>	<u>2001</u>	<u>2000</u>
Current assets:		
Deposits with fiscal agents (Note 2)	\$ 1,051,021	\$ 1,030,170
Receivables, net (Note 3)	<u>13,720</u>	<u>14,391</u>
Total current assets	<u>1,064,741</u>	<u>1,044,561</u>
Property and equipment, at cost	1,928,698	1,418,698
Less: accumulated depreciation	<u>(911,444)</u>	<u>(877,970)</u>
Net property and equipment	<u>1,017,254</u>	<u>540,728</u>
Total assets	\$ <u>2,081,995</u>	\$ <u>1,585,289</u>
 <u>LIABILITIES AND EQUITY</u>		
Current liabilities:		
Accounts payable	\$ <u>570,619</u>	\$ <u>103,328</u>
Equity:		
Contributed capital (Note 4)	1,850,663	1,850,663
Retained deficit (Note 5)	<u>(339,287)</u>	<u>(368,702)</u>
Total equity	<u>1,511,376</u>	<u>1,481,961</u>
Total liabilities and equity	\$ <u>2,081,995</u>	\$ <u>1,585,289</u>

The accompanying notes are an integral part
of the component unit financial statements.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
STATEMENTS OF REVENUES, EXPENSES
AND CHANGES IN RETAINED DEFICIT

	<u>Year Ended June 30</u>	
	<u>2001</u>	<u>2000</u>
Operating revenues:		
Sewer user assessments	\$ <u>249,149</u>	\$ <u>254,791</u>
Operating expenses:		
Cost of sales and services	245,634	206,518
Depreciation	33,474	28,374
Administrative support (Note 6)	<u>6,921</u>	<u>7,257</u>
Total operating expenses	<u>286,029</u>	<u>242,149</u>
Operating income (loss)	<u>(36,880)</u>	<u>12,642</u>
Non-operating revenue:		
Interest income	63,795	53,410
Contributed connection fees	<u>2,500</u>	<u>-</u>
Total non-operating revenues	<u>66,295</u>	<u>53,410</u>
Net income	29,415	66,052
Retained deficit, beginning of year	<u>(368,702)</u>	<u>(434,754)</u>
Retained deficit, end of year	\$ <u><u>(339,287)</u></u>	\$ <u><u>(368,702)</u></u>

The accompanying notes are an integral part
of the component unit financial statements.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
STATEMENTS OF CASH FLOWS

	Year Ended June 30	
	2001	2000
Cash flows from operating activities:		
Operating income (loss)	\$ (36,880)	\$ 12,642
Adjustments to reconcile operating income (loss) to cash provided by operating activities:		
Depreciation	33,474	28,374
Changes in assets and liabilities:		
(Increase) decrease in receivables	671	(1,613)
Increase in accounts payable	467,291	56,494
Net cash provided by operating activities	464,556	95,897
Cash flows from noncapital financing activities:		
Contributions from connection fees	2,500	5,000
Net cash provided by noncapital financing activities	2,500	5,000
Cash flows from capital and related financing activities:		
Acquisition of fixed assets	(510,000)	-
Net cash used by capital and related financing activities	(510,000)	-
Cash flows from investing activities:		
Interest income	63,795	53,410
Net cash provided by investing activities	63,795	53,410
Net increase in cash and cash equivalents	20,851	154,307
Deposits with fiscal agents, beginning of year	1,030,170	875,863
Deposits with fiscal agents, end of year	\$ 1,051,021	\$ 1,030,170

The accompanying notes are an integral part
of the component unit financial statements.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS
June 30, 2001 and 2000

1. Organization and Summary of Significant Accounting Policies

Dunthorpe-Riverdale Service District No. 1 (the District) was organized in 1964 under the provisions of Oregon Revised Statutes (ORS) Chapter 451 to finance the construction and operation of sanitary sewer systems in the southwest unincorporated area of Multnomah County (the County), bordering the Willamette River and a small portion of northern Clackamas County. The Multnomah County Board of Commissioners is the governing body of the District, as provided for by ORS 451.485.

Reporting Entity

The accompanying financial statements have been prepared for purposes of Oregon statutory reporting requirements. As the Multnomah County Board of Commissioners maintains overall financial accountability for the District, the financial statements of the District are included in the financial statements of the County, as an enterprise fund.

Basis of Accounting

The financial statements are prepared on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred. The measurement focus is on the flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operations are included on the balance sheet. All Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions, and Accounting Research Bulletins of the Committee on Accounting Procedure issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements, have been applied.

Property and Equipment

Property and equipment consist of sewer lines and pumping facilities and are stated at historical cost or estimated historical cost at time of acquisition, or fair value on date donated for donated assets. Fixed assets valued at estimated historical costs are minor. Normal maintenance and repairs are expensed as incurred. Expenditures for major additions, improvements and replacements are capitalized. Gain or loss on retirement or disposal is reflected in income.

Depreciation on property and equipment is provided on the straight-line method at rates based on the estimated lives of the related assets, which are 50 years for sewer lines and pumping facilities. Depreciation on assets acquired through contributions is charged to retained earnings.

**DUNTHORPE-RIVERDALE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)**

Organization and Summary of Significant Accounting Policies (continued)

Budgets

The District prepares budgets as required by State law. The budget process is described in the Multnomah County June 30, 2001 Comprehensive Annual Financial Report.

Cash Flows

For purposes of the statements of cash flows, the District considers the account titled Deposits with Fiscal Agents, which consists of the District's share of cash held by the County Finance Division in a manner similar to a cash management pool, to be cash and cash equivalents.

2. Deposits with Fiscal Agents

Cash is held by the County Finance Division and is commingled with the cash, time deposits and investment accounts of the County. The District is allocated investment earnings based on its proportionate cash balance monthly. See the Multnomah County June 30, 2001 Comprehensive Annual Financial Report for: basis of investments, types of investments and information regarding the risk categories of investments held.

3. Receivables

	<u>June 30</u>	
	<u>2001</u>	<u>2000</u>
Sewer User Assessments	\$16,071	\$14,799
Less: allowance for doubtful accounts	<u>(2,450)</u>	<u>(600)</u>
Subtotal	13,621	14,199
Receivables from foreclosures	<u>99</u>	<u>192</u>
Receivables, net	<u>\$13,720</u>	<u>\$14,391</u>

The allowance for doubtful accounts represents the District's best estimate of receivable amounts that will not be collected. In determining the allowance, the District considers historical write-offs as well as current economic factors.

DUNTHORPE-RIVERDALE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)

4. Contributed Capital

The following is a summary of the activity in the contributed capital account:

Contributed Capital, June 30, 1999	\$1,845,663
Add:	
Contributions from connection fees	<u>5,000</u>
Contributed Capital, June 30, 2000 and 2001	<u>\$1,850,663</u>

5. Retained Deficit

The District has an unreserved retained deficit of \$339,287 at June 30, 2001. The deficit exists because sewer user service charge rates have not been sufficient over the life of the District to cover expenses. The District's current policy is to recover the cost of operations through user charges. The District has a positive total fund equity due to contributed capital.

6. Transactions with Multnomah County

The County Department of Sustainable Community Development provides operating management and planning for the District. General administrative functions are performed by other units of the County. All services are performed on a cost reimbursement basis. Reimbursements to the County were \$6,921 and \$7,257 for fiscal 2001 and 2000, respectively.

7. Intergovernmental Agreements

The City of Portland provides sewer line and pump maintenance, transportation and sewage treatment for the District.

8. Risk Management

See Note 14 - Risk Management in Multnomah County's June 30, 2001 Comprehensive Annual Financial Report for information pertaining to risk management activities.

**DUNTHORPE-RIVERDALE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)**

9. Reclassifications

Certain reclassifications were made to the 2000 numbers in order to conform to the current year presentation.

10. GASB No. 33

The County adopted GASB No. 33, Accounting for Financial Reporting for Nonexchange Transactions, effective July 1, 2000. Accordingly, the County began recognizing capital contributions to proprietary funds as revenues instead of contributed capital.

ADDITIONAL INFORMATION

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
BUDGETARY BASIS

The following Schedule of Revenues and Expenditures for the District is prepared on a budgetary basis which differs from generally accepted accounting principles and the accompanying component unit financial statements in the following respects:

- . Special assessment and property tax revenue is recognized as it becomes measurable and available;
- . Capital outlay is reflected as an expenditure;
- . Contributed capital, other than non-current assets, is reflected as revenue; and
- . Depreciation is not recorded.

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
SCHEDULE OF REVENUES AND EXPENDITURES -
BUDGET AND BUDGETARY BASIS ACTUAL
FOR THE FISCAL YEAR ENDED JUNE 30, 2001
(Unaudited - See accompanying auditors' report)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Assessments - sewer	\$ 248,000	\$ 248,436	\$ 436
Charges for services	10,000	2,500	(7,500)
Interest	25,000	63,794	38,794
Total revenues	<u>283,000</u>	<u>314,730</u>	<u>31,730</u>
BEGINNING FUND BALANCE	850,000	928,761	78,761
Total	<u>\$ 1,133,000</u>	<u>\$ 1,243,491</u>	<u>\$ 110,491</u>
EXPENDITURES:			
Environmental Services:			
Materials and services	\$ 243,000	\$ 250,705	\$ (7,705)
Capital outlay	510,000	510,000	-
Contingency	40,000	-	40,000
Total expenditures	<u>793,000</u>	<u>760,705</u>	<u>32,295</u>
ENDING FUND BALANCE	340,000	482,786	142,786
Total	<u>\$ 1,133,000</u>	<u>\$ 1,243,491</u>	<u>\$ 110,491</u>

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
SCHEDULES OF SPECIAL ASSESSMENT TRANSACTIONS
FOR THE YEARS ENDED JUNE 30, 2000 AND 2001
(Unaudited - See accompanying auditors' report)

Fiscal Year 2001

	Amounts Uncollected June 30, 2000	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2001
General Fund Special Assessment							
2000-2001	\$ -	\$ 257,172	\$ (6,093)	\$ 148	\$ (581)	\$ (241,452)	\$ 9,194
1999-2000	8,642	-	-	217	(1,325)	(3,604)	3,930
1998-1999	3,546	-	-	131	(789)	(980)	1,908
1996-1997 and prior years	2,611	-	-	416	(246)	(1,742)	1,039
	<u>\$ 14,799</u>	<u>\$ 257,172</u>	<u>\$ (6,093)</u>	<u>\$ 912</u>	<u>\$ (2,941)</u>	<u>\$ (247,778)</u>	<u>\$ 16,071</u>

Fiscal Year 2000

	Amounts Uncollected June 30, 1999	Levy/ Assessments as Extended by Assessor	Discounts Allowed	Interest Received	Cancellations and Adjustments	Interest and Tax/ Assessment Collections	Amounts Uncollected June 30, 2000
General Fund Special Assessment							
1999-2000	\$ -	\$ 256,666	\$ (6,169)	\$ 138	\$ (207)	\$ (241,786)	\$ 8,642
1998-1999	7,559	-	-	312	(269)	(4,056)	3,546
1997-1998	3,357	-	-	261	(166)	(1,636)	1,816
1996-1997 and prior years	2,037	-	-	318	(124)	(1,436)	795
	<u>\$ 12,953</u>	<u>\$ 256,666</u>	<u>\$ (6,169)</u>	<u>\$ 1,029</u>	<u>\$ (766)</u>	<u>\$ (248,914)</u>	<u>\$ 14,799</u>

DUNTHORPE-RIVERDALE SERVICE DISTRICT NO. 1
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
RECONCILIATION OF BUDGETARY REVENUES TO
INTEREST AND TAX/ASSESSMENT COLLECTIONS
(Unaudited - See accompanying auditors' report)

	Year ended June 30	
	2001	2000
Revenues, per Schedule of Revenues and Expenditures - Budget and Budgetary Basis Actual:		
Assessments - sewer	\$ 248,436	\$ 253,259
Add (Subtract):		
Sales on foreclosure	(99)	(260)
Miscellaneous revenues	-	(3,770)
Sixty day tax/assessment accrual, net	<u>(559)</u>	<u>(315)</u>
Interest and Tax/Assessment Collections, per Schedule of Special Assessment Transactions	\$ <u>247,778</u>	\$ <u>248,914</u>

**REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS
REQUIRED BY STATUTES**

Report of Independent Certified Public Accountants
on the District's Compliance and Certain Items Based on
an Audit of General Purpose Financial Statements Performed
in Accordance with Oregon Auditing Standards

The Board of County Commissioners
Dunthorpe-Riverdale Service District No. 1

We have audited the general purpose financial statements of Dunthorpe-Riverdale Service District No. 1 as of and for the year ended June 30, 2001 and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Dunthorpe-Riverdale Service District No. 1 is the responsibility of District's management. As part of obtaining reasonable assurance about whether the general purpose financial statements are free of material misstatement, we performed tests of the District's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs funded from outside sources - Pertaining to programs funded with state and federal revenues, if any.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies, if any, in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to the condition and adequacy of accounting records

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year.

Insurance - Relating to insurance and fidelity bond coverage

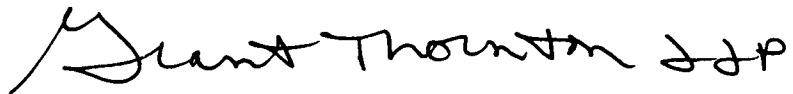
Investments - Pertaining to the investment of public funds

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the advantage of public contracts and the construction of public improvements

The results of our tests indicate that, with respect to the items tested, Dunthorpe-Riverdale Service District No. 1 complied in all material respects with the provisions referred to above. With respect to items not tested, nothing came to our attention that caused us to believe that the District had not complied, in all material respects, with those provisions.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

A handwritten signature in black ink, appearing to read "Stuart Thornton" followed by a stylized monogram or initials.

Report of Independent Certified Public Accountants
on the District's Internal Control Structure Based on
an Audit of General Purpose Financial Statements

The Board of County Commissioners
Dunthorpe-Riverdale Service District No. 1

We have audited the general purpose financial statements of the Dunthorpe-Riverdale Service District No. 1 as of and for the year ended June 30, 2001 and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement.

The management of the Dunthorpe-Riverdale Service District No. 1 is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of general purpose financial statements in accordance with generally accepted accounting principles. Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

In planning and performing our audit of the general purpose financial statements of Dunthorpe-Riverdale Service District No. 1, for the year ended June 30, 2001, we obtained an understanding of the internal control structure. With respect to the internal control structure, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide an opinion on the internal control structure. Accordingly, we do not express such an opinion.

Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. Those standards define a material weakness as a condition in which the design or operation of one or more of the specific internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the general purpose financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operation that we consider to be material weaknesses as defined above.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

A handwritten signature in black ink that reads "Grant Thornton LLP". The signature is written in a cursive, flowing style.

Grant Thornton

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Suite 800
111 S.W. Columbia
Portland, OR 97201-5864
T 503-222-3562
F 503-295-0148
W www.grantthornton.com

Grant Thornton 

Financial Statements and Reports of
Independent Certified Public Accountants

Mid County Service District No. 14
A Component Unit of
Multnomah County, Oregon

June 30, 2001 and 2000

INTRODUCTORY SECTION

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON**

**BOARD OF COUNTY COMMISSIONERS* AS OF JUNE 30, 2001
501 SE Hawthorne, 6th floor
Portland, Oregon 97214**

Term Expires

Diane Linn, Chair of the Board	December 31, 2002
Serena Cruz, Commissioner	December 31, 2002
Lisa Naito, Commissioner	December 31, 2004
Lonnie Roberts, Commissioner	December 31, 2004
Maria Rojo de Steffey, Commissioner	December 31, 2004

REGISTERED AGENT

David A. Boyer

REGISTERED OFFICE

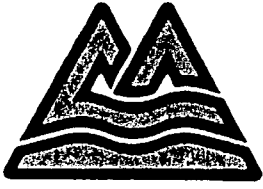
**501 SE Hawthorne, 4th floor
PO Box 14700
Portland, Oregon 97293-0700**

*** Governing body of Mid County Service District No. 14 reported on herein.**

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON**

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MULTNOMAH COUNTY OREGON

DEPARTMENT OF SUPPORT SERVICES

COUNTY COMMISSIONERS

FINANCE DIVISION

DIANE LINN, CHAIR
MARIA ROJO DE STEFFEY,
DISTRICT #1
SERENA CRUZ, DISTRICT #2
LISA NAITO, DISTRICT #3
LONNIE ROBERTS, DISTRICT #4

MULTNOMAH BUILDING
501 SE HAWTHORNE BLVD.
4TH FLOOR
PO BOX 14700
PORTLAND, OR 97293-0700
PHONE (503) 988-3312
FAX (503) 988-3292

FORD BUILDING
2505 SE 11TH 1ST FLOOR

PORTLAND, OR 97202
PHONE (503) 988-5111
FAX (503) 988-3252
TDD (503) 988-5170

December 7, 2001

Honorable County Chair and
Board of County Commissioners
Multnomah County, Portland, Oregon

We are pleased to submit the Financial Statements and Additional Information Report for Mid County Service District No. 14, Portland, Oregon (the District), for the fiscal years ended June 30, 2001 and 2000. This report includes the opinion of our independent auditors, Grant Thornton LLP, Certified Public Accountants.

We prepared this report for the Secretary of the State of Oregon as required under ORS 297.425. The District's financial statements are also included in the Comprehensive Annual Financial Report of Multnomah County (the County) as a blended component unit. This is necessary because the Board of County Commissioners of Multnomah County maintains overall financial accountability for the District.

Mid County Service District No. 14 was organized in 1968 as Tulip Acres Lighting District under the provisions of ORS Chapter 451. The District now provides street lighting to the unincorporated urban areas of the County, and the cities of Maywood Park, Troutdale and Fairview.

The District is accounted for as an enterprise fund. Enterprise funds generate revenue for operations by charging user fees to recover all costs of providing goods and services to the public. The measurement focus is on a flow of economic resources and the accrual basis of accounting is used. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred.

Oregon Budget Law requires the use of budgetary control. The "Additional Information" section of the report includes the related budgetary schedule.

Operating revenues, consisting primarily of \$35 per household user assessments, have followed population. Since 1995, operating revenues have increased approximately 4.2% annually and operating expenses have increased approximately 6.2%. As a result, the District continues to maintain a strong working capital position and has no long-term debt. Following is a summary of some key financial data which is summarized from current and prior years' financial statements:

	Year ended June 30				
	<u>2001</u>	<u>2000</u>	<u>1999</u>	<u>1998</u>	<u>1997</u>
Operating revenue	\$ 188,369	\$ 189,116	\$ 182,251	\$ 179,130	\$ 170,549
Depreciation expense	95,224	85,750	76,984	70,842	65,509
Operating loss	(160,761)	(108,996)	(118,597)	(69,228)	(60,052)
Net income (loss)	313,689	(60,269)	(73,160)	(23,469)	(17,186)
Net capital contributions (annexation less reductions)	416,910	17,419	363,810	143,537	122,706
Property and equipment, at cost:					
Additions	424,319	41,035	371,233	159,444	124,297
Deletions	13,578	18,566	8,849	21,163	3,402
Net working capital	832,215	847,621	836,376	832,552	785,179
Total assets	2,048,869	1,734,649	1,806,696	1,484,730	1,363,988
Total equity	2,029,312	1,719,607	1,762,457	1,471,807	1,351,739

I would like to acknowledge the help of the Finance Division staff, especially Bill Moravics, Financial Specialist II, and Mindy Harris, Accounting Manager, who aided me in the preparation of this report. I appreciate their excellent work. I also want to thank the staff in the Department of Sustainable Community Development for their contributions during the year.

Respectfully submitted,

David A. Boyer, CCM
Finance Director

FINANCIAL SECTION

Report of Independent Certified Public Accountants

The Board of County Commissioners
Mid County Service District No. 14

We have audited the accompanying general purpose financial statements of Mid County Service District No. 14 as of June 30, 2001 and 2000 and for the years then ended, as listed in the Financial Section of the table of contents. These general purpose financial statements are the responsibility of Mid County Service District No. 14's management. Our responsibility is to express an opinion on these general purpose financial statements based on our audits.

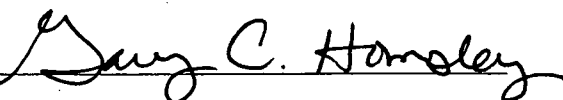
We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the general purpose financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall general purpose financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the general purpose financial statements referred to above present fairly, in all material respects, the financial position of Mid County Service District No. 14 as of June 30, 2001 and 2000 and the results of its operations for the years then ended, in conformity with accounting principles generally accepted in the United States of America.

Our audits were made for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The schedules listed in the table of contents as "Additional Information" are presented for purposes of additional analysis and are not a required part of the general purpose financial statements of Mid County Service District No. 14. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements, and in our opinion, is fairly presented, in all material respects, in relation to the general purpose financial statements taken as a whole.

GRANT THORNTON LLP

Portland, Oregon
December 4, 2001

By 

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
BALANCE SHEETS**

	<u>June 30</u>	
	<u>2001</u>	<u>2000</u>
<u>ASSETS</u>		
Current assets:		
Deposits with fiscal agents (Note 2)	\$ 840,749	\$ 850,733
Receivables, net (Note 3)	<u>11,023</u>	<u>11,930</u>
Total current assets	<u>851,772</u>	<u>862,663</u>
Property and equipment, at cost	1,913,678	1,502,937
Less: accumulated depreciation	<u>(716,581)</u>	<u>(630,951)</u>
Net property and equipment	<u>1,197,097</u>	<u>871,986</u>
Total assets	<u><u>\$ 2,048,869</u></u>	<u><u>\$ 1,734,649</u></u>
<u>LIABILITIES AND EQUITY</u>		
Current liabilities:		
Accounts payable	<u>\$ 19,557</u>	<u>\$ 15,042</u>
Equity:		
Contributed capital (Note 4)	1,599,396	1,603,380
Retained earnings	<u>429,916</u>	<u>116,227</u>
Total equity	<u>2,029,312</u>	<u>1,719,607</u>
Total liabilities and equity	<u><u>\$ 2,048,869</u></u>	<u><u>\$ 1,734,649</u></u>

The accompanying notes are an integral part
of the component unit financial statements.

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
STATEMENTS OF REVENUES, EXPENSES
AND CHANGES IN RETAINED EARNINGS**

	<u>Year Ended June 30</u>	
	<u>2001</u>	<u>2000</u>
Operating revenue:		
Street lighting assessments	\$ <u>188,369</u>	\$ <u>189,116</u>
Operating expenses:		
Cost of sales and services	239,960	197,391
Administrative support (Note 5)	13,946	14,971
Depreciation	<u>95,224</u>	<u>85,750</u>
Total operating expenses	<u>349,130</u>	<u>298,112</u>
Operating loss	<u>(160,761)</u>	<u>(108,996)</u>
Non-operating revenue:		
Interest income	53,556	48,727
Contributed fixed assets	<u>420,894</u>	<u>-</u>
Total non-operating revenues	<u>474,450</u>	<u>48,727</u>
Net income (loss)	313,689	(60,269)
Retained earnings, beginning of year	<u>116,227</u>	<u>176,496</u>
Retained earnings, end of year	\$ <u><u>429,916</u></u>	\$ <u><u>116,227</u></u>

The accompanying notes are an integral part
of the component unit financial statements.

MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
STATEMENTS OF CASH FLOWS

	<u>Year Ended June 30</u>	
	<u>2001</u>	<u>2000</u>
Cash flows from operating activities:		
Operating loss	\$ (160,761)	\$ (108,996)
Adjustments to reconcile operating loss to cash provided by operating activities:		
Depreciation	95,224	85,750
Changes in assets and liabilities:		
(Increase) decrease in receivables	907	(1,190)
Increase (decrease) in accounts payable	4,515	(29,197)
Net cash used in operating activities	<u>(60,115)</u>	<u>(53,633)</u>
Cash flows from capital and related financing activities:		
Acquisition of fixed assets	<u>(3,425)</u>	<u>(14,236)</u>
Net cash used by capital and related financing activities	<u>(3,425)</u>	<u>(14,236)</u>
Cash flows from investing activities:		
Interest income	<u>53,556</u>	<u>48,727</u>
Net cash provided by investing activities	<u>53,556</u>	<u>48,727</u>
Net decrease in cash and cash equivalents	(9,984)	(19,142)
Deposits with fiscal agents, beginning of year	<u>850,733</u>	<u>869,875</u>
Deposits with fiscal agents, end of year	<u>\$ 840,749</u>	<u>\$ 850,733</u>
Noncash investing, capital and financing activities:		
Disposal of fixed assets, net book value	<u>\$ (3,983)</u>	<u>\$ (9,380)</u>

The accompanying notes are an integral part
of the component unit financial statements.

MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS
June 30, 2001 and 2000

1. Organization and Summary of Significant Accounting Policies

Mid County Service District No. 14 (the District) was organized in 1968 under the provisions of Oregon Revised Statutes (ORS) Chapter 451 to provide street lighting in unincorporated urban areas of Multnomah County (the County) and the cities of Maywood Park, Troutdale and Fairview. The Multnomah County Board of Commissioners is the governing body of the Service District, as provided for by ORS 451.485.

Reporting Entity

The accompanying financial statements have been prepared for purposes of Oregon statutory reporting requirements. As the Multnomah County Board of Commissioners maintains overall financial accountability for the District, the financial statements of the District are included in the financial statements of the County, as an enterprise fund.

Basis of Accounting

The financial statements are prepared on the accrual basis of accounting. Under the accrual basis of accounting, revenues are recorded at the time they are earned and expenses are recorded at the time the liabilities are incurred. The measurement focus is on the flow of economic resources. With this measurement focus, all assets and all liabilities associated with the operations are included on the balance sheet. All Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board Opinions, and Accounting Research Bulletins of the Committee on Accounting Procedure issued on or before November 30, 1989, unless those pronouncements conflict with or contradict GASB pronouncements, have been applied.

Property and Equipment

Property and equipment are stated at historical cost or estimated historical cost at time of acquisition, or fair value consist of street lights and poles and for donated assets. Fixed assets valued at estimated historical costs are minor. Normal maintenance and repairs are expensed as incurred. Expenditures for major additions, improvements and replacements are capitalized. Gain or loss on retirement or disposal is reflected in income.

Depreciation on property and equipment is provided on the straight-line method at rates based on the estimated lives of the related assets, which are 10 to 30 years for street lighting systems and equipment. Depreciation on assets acquired through contributions is charged to retained earnings.

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)**

Organization and Summary of Significant Accounting Policies (continued)

Budgets

The District prepares budgets as required by State law. The budget process is described in the Multnomah County June 30, 2001 Comprehensive Annual Financial Report.

Cash Flows

For purposes of the statements of cash flows, the District considers the account titled Deposits with Fiscal Agents, which consists of the District's share of cash held by the County Finance Division in a manner similar to a cash management pool, to be cash and cash equivalents.

2. Deposits with Fiscal Agents

Cash is held by the County Finance Division and is commingled with the cash, time deposits and investment accounts of the County. The District is allocated investment earnings based on its proportionate cash balance monthly. See the Multnomah County June 30, 2001 Comprehensive Annual Financial Report for: basis of investments, types of investments and information regarding the risk categories of investments held.

3. Receivables

	<u>June 30</u>	
	<u>2001</u>	<u>2000</u>
Street lighting assessments	\$12,947	\$12,231
Less: allowance for doubtful accounts	(2,000)	(500)
Subtotal	10,947	11,731
Receivables from foreclosures	76	199
Receivables, net	<u>\$11,023</u>	<u>\$11,930</u>

The allowance for doubtful accounts represents the District's best estimate of receivable amounts that will not be collected. In determining the allowance, the District considers historical write offs as well as current economic factors.

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)**

4. Contributed Capital

The following is a summary of the activity in the contributed capital account:

Contributed Capital, June 30, 1999	\$1,585,961
Add: Contributions from customers and others	26,799
Deduct: Reduction due to annexation	<u>(9,380)</u>
Contributed Capital, June 30, 2000	1,603,380
Deduct: Reduction due to annexation	<u>(3,984)</u>
Contributed Capital, June 30, 2001	<u>\$1,599,396</u>

5. Transactions with Multnomah County

The County Department of Sustainable Community Development provides operating management and planning for the District. General administrative functions are performed by other units of the County. All services are performed on a cost reimbursement basis. Reimbursements to the County were \$13,946 and \$14,971 for the fiscal years ended June 30, 2001 and 2000, respectively.

6. Annexations and Intergovernmental Agreements

In 1983 the Board of County Commissioners passed Resolution A which stated the County's intention to phase out municipal services provided to urbanized unincorporated areas of the County. As a result, large areas of the District have been annexed by other jurisdictions and further annexations are expected.

The District records the transfer of street lights to the cities of Gresham, Troutdale and Portland on an annual basis. During the year ended June 30, 2001 the City of Portland annexed street lighting facilities with a net book value of \$3,984.

At the ultimate dissolution of the District, its remaining assets will be distributed to the successors in proportion to the number of customers absorbed by each entity.

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
NOTES TO FINANCIAL STATEMENTS (Continued)**

7. Risk Management

See Note 14 - Risk Management in Multnomah County's June 30, 2001 Comprehensive Annual Financial Report for information pertaining to risk management activities.

8. Reclassifications

Certain reclassifications were made to the 2000 numbers in order to conform to the current year presentation.

9. GASB No. 33

The County adopted GASB No. 33, Accounting for Financial Reporting for Nonexchange Transactions, effective July 1, 2000. Accordingly, the County began recognizing capital contributions to proprietary funds as revenues instead of contributed capital.

ADDITIONAL INFORMATION

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON**

BUDGETARY BASIS

The following Schedule of Revenues and Expenditures for the Service District is prepared on a budgetary basis which differs from generally accepted accounting principles and the accompanying component unit financial statements in the following respects:

- . Street lighting assessment revenue is recognized as it becomes measurable and available;
- . Capital outlay is reflected as an expenditure;
- . Contributed capital, other than non-current assets, is reflected as revenue; and
- . Depreciation is not recorded.

MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
SCHEDULE OF REVENUES AND EXPENDITURES -
BUDGET AND BUDGETARY BASIS ACTUAL
FOR THE FISCAL YEAR ENDED JUNE 30, 2001
(Unaudited - See accompanying auditors' report)

	<u>Budget</u>	<u>Actual</u>	<u>Variance favorable (unfavorable)</u>
REVENUES:			
Assessments - street lighting			
Current year	\$ 185,000	\$ 183,208	\$ (1,792)
Prior years'	-	3,903	3,903
Penalties and interest	-	815	815
Interest	45,000	53,556	8,556
Other	1,000	76	(924)
Total revenues	<u>231,000</u>	<u>241,558</u>	<u>10,558</u>
BEGINNING FUND BALANCE	825,000	837,337	12,337
Total	\$ <u><u>1,056,000</u></u>	\$ <u><u>1,078,895</u></u>	\$ <u><u>22,895</u></u>
EXPENDITURES:			
Environmental Services:			
Materials and services	\$ 235,000	\$ 252,406	\$ (17,406)
Capital outlay	150,000	3,424	146,576
Contingency	25,000		25,000
Total expenditures	<u>410,000</u>	<u>255,830</u>	<u>154,170</u>
ENDING FUND BALANCE	646,000	823,065	177,065
Total	\$ <u><u>1,056,000</u></u>	\$ <u><u>1,078,895</u></u>	\$ <u><u>22,895</u></u>

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
SCHEDULES OF SPECIAL ASSESSMENT TRANSACTIONS
FOR THE YEARS ENDED JUNE 30, 2001 AND 2000
(Unaudited - See accompanying auditors' report)**

Fiscal Year 2001

	<u>Amounts Uncollected June 30, 2000</u>	<u>Levy/ Assessments as Extended by Assessor</u>	<u>Discounts Allowed</u>	<u>Interest Received</u>	<u>Cancellations and Adjustments</u>	<u>Interest and Tax/ Assessment Collections</u>	<u>Amounts Uncollected June 30, 2001</u>
General Fund Special Assessment							
2000-2001	\$ -	\$ 194,464	\$ (4,582)	\$ 114	\$ (449)	\$ (182,453)	\$ 7,094
1999-2000	6,636			167	(1,018)	(2,767)	3,018
1998-1999	2,731			101	(607)	(755)	1,470
1996-1997 and prior years	2,916			433	(330)	(1,654)	1,365
	<u>\$ 12,283</u>	<u>\$ 194,464</u>	<u>\$ (4,582)</u>	<u>\$ 815</u>	<u>\$ (2,404)</u>	<u>\$ (187,629)</u>	<u>\$ 12,947</u>

Fiscal Year 2000

	<u>Amounts Uncollected June 30, 1999</u>	<u>Levy/ Assessments as Extended by Assessor</u>	<u>Discounts Allowed</u>	<u>Interest Received</u>	<u>Cancellations and Adjustments</u>	<u>Interest and Tax/ Assessment Collections</u>	<u>Amounts Uncollected June 30, 2000</u>
General Fund Special Assessment							
1999-2000	\$ -	\$ 193,117	\$ (4,618)	\$ 106	\$ (159)	\$ (181,810)	\$ 6,636
1998-1999	5,822			240	(207)	(3,124)	2,731
1997-1998	2,611			203	(129)	(1,272)	1,413
1996-1997 and prior years	2,707			363	(128)	(1,439)	1,503
	<u>\$ 11,140</u>	<u>\$ 193,117</u>	<u>\$ (4,618)</u>	<u>\$ 912</u>	<u>\$ (623)</u>	<u>\$ (187,645)</u>	<u>\$ 12,283</u>

**MID COUNTY SERVICE DISTRICT NO. 14
A COMPONENT UNIT OF
MULTNOMAH COUNTY, OREGON
RECONCILIATION OF BUDGETARY REVENUES
TO INTEREST AND TAX/ASSESSMENT COLLECTIONS
(Unaudited - See accompanying auditors' report)**

	<u>Year ended June 30</u>	
	<u>2001</u>	<u>2000</u>
Revenues, per Schedule of Revenues and Expenditures - Budget and Budgetary Basis Actual:		
Current year special assessments	\$ 183,323	\$ 182,527
Prior years' special assessments	4,603	5,426
Add:		
Sixty day tax/assessment accrual, net	<u>(297)</u>	<u>(308)</u>
Interest and Tax/Assessment Collections, per Schedule of Special Assessment Transactions	<u>\$ 187,629</u>	<u>\$ 187,645</u>

**REPORTS OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS
REQUIRED BY STATUTES**

Report of Independent Certified Public Accountants
on the District's Compliance and Certain Items Based on
an Audit of General Purpose Financial Statements Performed
in Accordance with Oregon Auditing Standards

The Board of County Commissioners
Mid County Service District No. 14

We have audited the general purpose financial statements of Mid County Service District No. 14 as of and for the year ended June 30, 2001 and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement.

Compliance with laws, regulations, contracts and grants applicable to Mid County Service District No. 14 is the responsibility of District's management. As part of obtaining reasonable assurance about whether the general purpose financial statements are free of material misstatement, we performed tests of the District's compliance with certain provisions of laws, regulations, contracts and grants. However, our objective was not to provide an opinion on overall compliance with such provisions. Accordingly, we do not express such an opinion. Certain areas covered, as required by Oregon Administrative Rules 162-10-230 through 162-10-320, included but were not limited to the following:

Accounting and Internal Control Structure - Our report on the accounting and internal control structure is presented separately under this cover.

Programs funded from outside sources - Pertaining to programs funded with state and federal revenues, if any.

Financial Reporting Requirements - Whether financial reports and related data filed with other governmental agencies, if any, in conjunction with programs funded wholly or partially by such agencies were in agreement with or supported by the accounting records.

Compliance with Legal or Other Requirements -

Accounting Records - Pertaining to the condition and adequacy of accounting records

Collateral - Pertaining to the amount and adequacy of collateral pledged by depositories to secure the deposit of public funds

Budget - Relating to the preparation, adoption and execution of the annual budget for the current fiscal year and the preparation and adoption of the budget for the next succeeding fiscal year.

Insurance - Relating to insurance and fidelity bond coverage

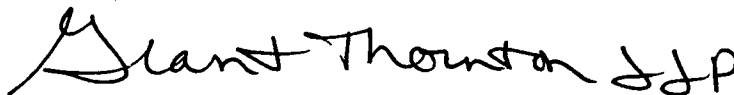
Investments - Pertaining to the investment of public funds

Public Contracts and Purchasing - Pertaining to (as contained in ORS Chapter 279) the advantage of public contracts and the construction of public improvements

The results of our tests indicate that, with respect to the items tested, Mid County Service District No. 14 complied in all materials respects with the provisions referred to above. With respect to items not tested, nothing came to our attention that caused us to believe that the District had not complied, in all material respects, with those provisions.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

A handwritten signature in black ink, reading "Grant Thornton LLP". The signature is written in a cursive, flowing style.

Report of Independent Certified Public Accountants
on the District's Internal Control Structure Based on
an Audit of General Purpose Financial Statements

The Board of County Commissioners
Mid County Service District No. 14

We have audited the general purpose financial statements of the Mid County Service District No. 14 as of and for the year ended June 30, 2001 and have issued our report thereon dated December 4, 2001. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the provisions of the Minimum Standards for Audits of Oregon Municipal Corporations, prescribed by the Secretary of State. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the general purpose financial statements are free of material misstatement.

The management of the Mid County Service District No. 14 is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. The objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of general purpose financial statements in accordance with generally accepted accounting principles. Because of inherent limitations in any internal control structure, errors or irregularities may nevertheless occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that procedures may become inadequate because of changes in conditions or that the effectiveness of the design and operation of policies and procedures may deteriorate.

In planning and performing our audit of the general purpose financial statements of Mid County Service District No. 14, for the year ended June 30, 2001, we obtained an understanding of the internal control structure. With respect to the internal control structure, we obtained an understanding of the design of relevant policies and procedures and whether they have been placed in operation, and we assessed control risk in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide an opinion on the internal control structure. Accordingly, we do not express such an opinion.

Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. Those standards define a material weakness as a condition in which the design or operation of one or more of the specific internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the general purpose financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure and its operation that we consider to be material weaknesses as defined above.

This report is intended solely for the use of management, the Board of County Commissioners and the Secretary of State, Division of Audits, of the State of Oregon and is not intended to be and should not be used by anyone other than these specified parties.

Portland, Oregon
December 4, 2001

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Suite 800
111 S.W. Columbia
Portland, OR 97201-5864
T 503-222-3562
F 503-295-0148
W www.grantthornton.com

MEETING DATE: March 7, 2002
AGENDA NO: R-5
ESTIMATED START TIME: 10:10 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Ordinance Changing the Name of the Department of Management and Business Services to the Department of Business and Community Services

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: MBS DIVISION: Director's Office

CONTACT: Tom Simpson TELEPHONE #: (503) 988-4233
BLDG/ROOM #: 503/4

PERSON(S) MAKING PRESENTATION: Cecilia Johnson

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

First Reading and Possible Adoption of an ORDINANCE Amending Multnomah County Code to Change Name of Department from Management and Business Services to Business and Community Services, and Declaring an Emergency

03.08.02 copies to Tom Simpson

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: M. Cecilia Johnson

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Department of Management and Business Services
MULTNOMAH COUNTY OREGON

501 SE Hawthorne Boulevard, Fourth Floor
Portland, Oregon 97214
(503) 988-5881 phone
(503) 988-5758 fax

TO: Board of County Commissioners
FROM: Cecilia Johnson, Director *MCJ*
Department of Management and Business Services
DATE: February 14, 2002
SUBJECT: Department Name Change to Business and Community Services

Recommendation/Action Requested

Approval of the ordinance changing the name from Management and Business Services to Business and Community Services.

Background/Analysis

Effective January 1, 2002 the Department of Sustainable Community Development and Department of Support Services were merged into one department. The name of the new entity was the Department of Management and Business Services. The Board of Commissioners was concerned that the new name did not reflect some of the functions of the merged department.

In order to receive a wider pool of possible names the Department conducted a contest among County employees for suggestions. Of the 274 names that were suggested a panel of judges selected from the merged department, the Chair's Office and the Board of Commissioners chose the new name. The employee who suggested the name is Barbara Blanchard, a Senior Fiscal Assistant in the Bridge Shop.

Financial Impact

There is little financial impact. Orders for letterhead and business cards were deferred or will be done electronically (within word processing software). For work units that need printed materials many are waiting until the current stock is used up and will then make changes.

Legal Issues

An ordinance change is part of this agenda item.

Controversial issues

None.

Link to current county policies

None.

Citizen Participation

None.

Other government participation

None.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

ORDINANCE NO. _____

Amend Multnomah County Code To Change Name Of Department From Management and Business Services
To Business And Community Services, And Declaring An Emergency

Multnomah County Ordains as follows:

Section 1. The name of the Department of Management and Business Services is changed to the
Department of Business and Community Services.

Section 2. The County Attorney is authorized to revise any code sections and all written
contracts, ordinances, resolutions, Board orders, Chair executive rules, bonds and other legal documents
to change the name of the department from Management and Business Services to the Department of
Business and Community Services.

Section 3. An emergency is declared in that it is necessary for this ordinance to take effect
consistent with budget process and needs for the recently merged department to become known to the
people of Multnomah County and within the County. This ordinance will take effect on March 7, 2002,
under section 5.50 of the Charter of Multnomah County. For budget purposes this ordinance will take
effect on July 1, 2002 and will not affect the structure of the 2001-2002 budget.

FIRST READING AND ADOPTION:

March 7, 2002

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By: *Sandra N. Duffy*
Sandra N. Duffy, Deputy County Attorney

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

ORDINANCE NO. 978

Amending Multnomah County Code to Change Name of Department from Management and Business Services to Business and Community Services, and Declaring an Emergency

Multnomah County Ordains as follows:

Section 1. The name of the Department of Management and Business Services is changed to the Department of Business and Community Services.

Section 2. The County Attorney is authorized to revise any code sections and all written contracts, ordinances, resolutions, Board orders, Chair executive rules, bonds and other legal documents to change the name of the department from Management and Business Services to the Department of Business and Community Services.

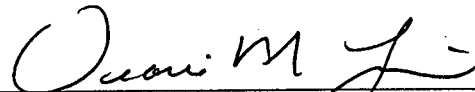
Section 3. An emergency is declared in that it is necessary for this ordinance to take effect consistent with budget process and needs for the recently merged department to become known to the people of Multnomah County and within the County. This ordinance will take effect on March 7, 2002, under section 5.50 of the Charter of Multnomah County. For budget purposes this ordinance will take effect on July 1, 2002 and will not affect the structure of the 2001-2002 budget.

FIRST READING AND ADOPTION:

March 7, 2002



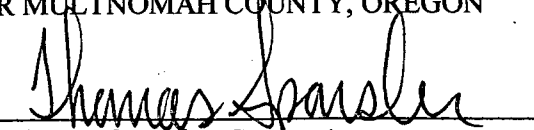
BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By:


Thomas Sponsler, County Attorney

MEETING DATE: March 7, 2002
AGENDA NO: R-6
ESTIMATED START TIME: 10:15 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Resolution Establishing MCC Chapter 7, Business and Community Services, Fees

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 5 minutes

DEPARTMENT: Non-Departmental DIVISION: County Attorney

CONTACT: Thomas Sponsler TELEPHONE #: (503) 988-3138
BLDG/ROOM #: 503/500

PERSON(S) MAKING PRESENTATION: Thomas Sponsler

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

Resolution Establishing MCC Chapter 7, Business and Community Services, Fees and Repealing Resolution No. 02-015, Effective January 1, 2002

03-08-02 Copies to Carol Kuroshita & Tom Simpson

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: Thomas Sponsler

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



OFFICE OF
MULTNOMAH COUNTY ATTORNEY

SUPPLEMENTAL STAFF REPORT

TO: Board of County Commissioners

FROM: Tom Sponsler, County Attorney

DATE: March 7, 2002

RE: Resolution Establishing Multnomah County Code (MCC) Chapter 7
Business and Community Services, Fees

1. Recommendation/Action Requested: Adoption of resolution establishing fees for MCC Chapter 7, Business and Community Services, and repealing Resolution No. 02-015, effective January 1, 2002.
2. Background/Analysis: The Board adopted Resolution 02-015 establishing fees for MCC Chapter 7, Management and Business Services, on January 31, 2002. On March 7, the Board is expected to adopt an ordinance changing the name of the Department of Management and Business Services to the Department of Business and Community Services. The proposed fee resolution revises department name references and repeals the prior resolution, effective January 1, 2002. **The fees and charges remain the same.**
3. Financial Impact: None.
4. Legal Issues: None.
5. Controversial Issues: None.
6. Link to Current County Policies: This provides an appropriate mechanism to implement County policies adopted by Ordinance and codified in the Multnomah County Code.
7. Citizen Participation: None.
8. Other Government Participation: None.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. _____

ESTABLISHING FEES AND CHARGES FOR CHAPTER 7, BUSINESS AND COMMUNITY SERVICES, OF THE MULTNOMAH COUNTY CODE AND REPEALING RESOLUTION NO. 02-015

The Multnomah County Board of Commissioners Finds:

- a. The Multnomah County Code provides that the Board shall establish certain fees and charges by resolution.
- b. The Board adopted Resolution 02-015 establishing fees for MCC Chapter 7, Management and Business Services on January 31, 2002.
- c. On March 7, 2002, the Board adopted an ordinance changing the name of the Department of Management and Business Services to the Department of Business and Community Services. It is necessary to correct the department name references and repeal Resolution No. 02-015.
- d. All fees and charges established by Resolution 02-015 remain the same.

The Multnomah County Board of Commissioners Resolves:

1. The fees and charges for Chapter 7, Business and Community Services, of the Multnomah County Code are set as follows:

Section 7.002. DISHONORED CHECK FEES.

The fee for processing a dishonored check, draft or money order is \$25.00.

Section 7.005. INTEREST FEES.

The interest rate on receivables is 1.5% per month.

Section 7.006: PURCHASING AND HANDLING FEES.

The fee for purchasing and stores services is 10% of the value of goods purchased and handled.

Section 7.008. ASSESSMENT AND TAXATION FEES.

(A) For any printout or copy of an appraisal card for any tax account, the division of assessment and taxation shall charge a fee of \$1.00 per page, provided that where printouts or appraisal cards are requested and provided for more than one tax year or for any tax year other than the current year, the division shall charge an additional fee of \$1.00 for each such year.

(B) For the division's services in gathering, preparing or providing nonstandard information upon the request, the division shall collect a fee equal to its actual cost, as determined by the director of the division.

(C) In addition, the division shall charge the following fees for copies provided by it:

Assessment roll—microfiche	\$ 80.00
Property owners index—microfiche	20.00
Property address index—microfiche	20.00
Sales ratio tape—magnetic tape	100.00
Sales data—microfiche, per month	50.00
Individual copies of microfiche:	
First copy	10.00
Each additional copy	1.00
Assessment roll-magnetic tape	750.00
Tax bill file—magnetic tape	250.00
AT-42 COBOL subroutine—magnetic tape	50.00
Data dictionary	25.00
Merged recording indices—microfiche	100.00
Appraisal characteristics—microfiche	130.00
Record indexing fee, per document	1.00

Section 7.052. MISCELLANEOUS PERMIT FEES.

See Exhibit A attached.

Section 7.053. PLAN REVIEW AND INSPECTION OF UNDERGROUND INSTALLATIONS AND STREET INTERSECTIONS.

See Exhibit B attached

Section 7.054: ROAD VACATION APPLICATION.

Feasibility study:	\$200.00
Application:	120% of estimated costs
Minimum:	\$1,000.00 plus \$65.00 for posting

Section 7.055. STREET AND ROAD WIDENING PERMITS.

(B) The construction permit deposit schedule for engineering, design, project management, and administration shall be as follows:

Project Cost as Estimated by the County	Deposit
Minimum Deposit at the time of application	800.00
\$4,000.00 to \$10,000.00	20%
\$20,000.00 to \$50,000.00	\$2,000.00 plus 12.0% over \$10,000.00
\$50,000.00 and over	\$6,800.00 plus 10.0% over \$50,000.00

Section 7.056. MISCELLANEOUS PUBLIC WORKS FEES.

For services provided by the department in connection with design, plan review and inspection of items not set forth elsewhere, the department shall charge fees sufficient to cover the actual cost of services. The following are deposits only. The actual charges will be based on actual costs including overhead and other related costs, determined at the completion of the project. The difference between the actual costs and the deposit will either be billed or refunded to the permit holder.

Project cost as Estimated by the county	Deposit
Minimum deposit at the time of application	\$800.00
\$4,000.00 to \$10,000.00	\$20%
\$10,000.00 to \$50,000.00	\$2,000.00 plus 12.0% over \$10,000.00
\$50,000.00 and over	\$6,800.00 plus 10.0% over \$50,000.00

Section 7.059. ZONE REVIEW AND ZONING INSPECTIONS.

For conducting any zone review prior to the issuance of a building or mobile home permit, the department shall charge a fee of \$25.00 or 15 percent of the permit fee, whichever is greater; provided that the fee for review of applications for permits to construct one- or two-family dwellings shall not exceed \$25.00. Zoning review fees are payable upon permit application. For conducting any zoning inspection during construction or after completion of construction, the department shall charge a fee equal to the greater of \$25.00 or 35 percent of the building permit fee, to be collected at the time the permit is issued, provided, however, that no fee for zoning inspection of one- and two-family dwellings shall exceed \$25.00. Zoning inspection fees are payable upon permit issuance.

Section 7.060. FILING OF MAP SURVEYS.

A fee of \$100.00 shall accompany each filing of a map of survey

Section 7.061. FEES FOR CERTAIN DOCUMENTS; PUBLIC LAND CORNER PRESERVATION ACCOUNT.

Document filing fee:	\$3.00
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Section 7.062. COUNTY SURVEYOR FEES.

(A) Fees are based on the following procedures and requirements on partition, subdivision and condominium plats.

- (1) Submit a boundary survey to the County surveyor a minimum of 30 days prior to the submission of the final subdivision or condominium plat. If warranted, the county surveyor may waive this requirement.
- (2) In addition to the requirements of ORS 209.250, a survey, and a partition plat if a separate survey has not been filed shall show all obvious encroachments or hiatus created by deeds, buildings, fences, cultivation, previous surveys and plats, or similar means and any other conditions that may indicate that the ownership lines as surveyed may be different than those shown on the survey.
- (3) The county surveyor may refuse to approve a plat if the surveyor finds an encroachment or hiatus. Evidence that the hiatus or encroachment has been eliminated may be required, or the county surveyor may require that it be shown on the plat if it cannot be eliminated.
- (4) All partition, subdivision, and condominium final plats, including those inside city limits, shall be checked and approved by the county surveyor prior to recording. No plat shall be recorded without such approval. This approval by the county surveyor shall be valid for 30 days from the date of approval to the date submitted for recording, after 30 days the approval is withdrawn and must be resubmitted.
- (5) All partition, subdivision, and condominium final plats submitted for approval shall be accompanied by a report, issued by a title insurance company, or authorized agent to perform such service in Oregon, setting forth ownership and all easements of record, together with a copy of the current deed and easements for the platted property, and copies of the deeds for all abutting properties and other documentation as required by the county surveyor. The report shall have been issued no more than 15 days prior to plat submittal to the county surveyor. A supplemental report may be required by the county surveyor.

(B) A deposit for the following county surveyor functions shall be made with the submission of the material. The final fee will be determined at completion of the project based on actual costs incurred by Multnomah County including overhead and other related costs. The difference between the actual costs and the deposit will be paid prior to approval of the final plat or refunded to the applicant except for post-monumented plats, which will not be refunded until after completion of the interior monumentation; the survey filing fee is non-refundable.

- (1) Partition Plat Review, the deposit shall be:

Base Deposit	\$480.00 plus
Survey filing Fee	\$100.00

- (2) Pre-monumented Plat Review, the deposit shall be:

Base Deposit	\$700.00 plus
Survey Filing Fee	\$100.00 plus
Per Lot, Tract, or Parcel	\$ 35.00 each, plus
Per gross acre of the subdivision if the average Lot size exceeds 15,000 sq. ft	\$ 31.00 per acre

- (3) Post-Monumented Plat Review, the deposit shall be:

An estimate by the county surveyor based on the complexity of the plat at 120 percent of the estimate; the minimum deposits shall be:

Base Deposit	\$795.00 plus
Survey Filing Fee	\$100.00 plus
Per Lot, Tract, or Parcel	\$45.00 each, plus
Per gross acre of the subdivision if the average lot size exceeds 15,000 sq. ft.	\$31.00 per acre

- (4) For Condominium Plat Review, the deposit shall be:

Base Deposit	\$770.00 plus
Each Building	\$105.00 each, plus
Survey Filing Fee	\$100.00

- (5) For Condominium Plat Amendment Review, the deposit shall be:

Base Deposit	\$500.00 plus
Survey Filing Fee	\$100.00

- (C) Posting of street vacations in accordance with
ORS 271.230(2)

\$ 65.00

- (D) Review, Approval, and Posting of Affidavits of
correction

\$ 45.00 plus county
clerk's recording fee

- (E) For services required by ORS 100.115 in connection with reclassification or withdrawal of variable property from unit ownership as provided in ORS 100.115(1) or (2), or removal of property from any condominium plat as provided in ORS 100.600(2), the fee will be \$150.00.

- (F) In accordance with ORS 92.070(5), (1997), relating to the reestablishment of Subdivision Plat Monuments and the review and recordation of the required surveyor's affidavit in support thereof, the affidavit recording fee shall be \$100.00 plus the county clerk's recording fee.

- (G) In accordance with ORS 100.115(6), (1997), relating to Declaration Amendment Review service, the fee shall be \$100.00 plus the county clerk's recording fee.

Section 7.064. BOOK OF RECORDS.

Minimum per roll of 16mm:	\$12.00
Minimum per roll for 35mm microfilm:	\$15.00
Minimum for microfiches:	\$ 2.00

Section 7.065. MAP REPRODUCTIONS AND LOANS.

For the services of the department in reproducing and loaning maps, fees shall be charged in accordance with the following schedules:

Standard Weight	Blackline	Sepia
¼ Section		
30 inches x 36 inches	\$3.00	\$5.00
600 Scale		
21 inches x 33 inches	\$2.00	\$3.00
Plat		
18 inches x 24 inches	\$2.00	\$2.00
1,000 Scale		
13 inches x 21 inches	\$1.00	\$2.00

Photostat copy where no tracing exists: \$5.00

Office duplicator copy of a portion of a map: \$1.50

For loaning sepia or plat tracing, 48-hour
limit excluding weekends and holidays: \$0.50 each

Each additional 48 hours excluding weekends and holidays: \$2.00 each

Condominium hardboard and tracing recording: \$9.00 per page.

Section 7.067. BOUNDARY CHANGE APPLICATION.

For services provided by the department in connection with processing a boundary change petition, the department shall charge fees sufficient to cover the actual cost of services. The following is a deposit only and is in addition to any other fees, deposits or charges authorized by law. The actual charges will be based on actual costs including overhead and other related costs, determined at the completion of the process. The difference between the actual costs and the deposit will either be billed or refunded to the applicant. Minimum Deposit: \$2,300 per application (includes Metro mapping service fee).

Section 7.303.

DOMESTIC PARTNERSHIP REGISTRATION FEES:

Filing Fees:

Registration:	\$60.00, to be distributed as follows:	
		\$25 to county (General Fund) for processing
		\$25 to the Multnomah County Community and Family Services – Clearinghouse to be used for safe housing for Domestic Violence victims
		\$10 for conciliation services provided under ORS §§ 107.5100 to 107.610
Termination:	\$25.00	to county for processing

Section 7.405. PROCEDURE FOR REQUESTING TRANSFER OF TAX FORECLOSED PROPERTY FOR HOUSING PURPOSES:

Non-refundable Application Fee: \$50.00

Section 7.410. PROCEDURE FOR DISPOSITION OF REQUESTS FOR TRANSFER OF TAX FORECLOSED PROPERTY FOR HOUSING AND FOR OPEN SPACE, PARKS OR NATURAL AREAS:

Non-refundable Transfer Fee: \$200.00

Section 7.505 . REAL PROPERTY COMPENSATION APPLICATION FEE AND ADDITIONAL COSTS:

Non-refundable Application Fee: \$1,550
Posting signs: \$5 each

Section 7.605. PERMITS.

Ammonia storage: \$25.00

Section 7.783. SEWER USER SERVICE CHARGES.

Per equivalent dwelling unit, per month: \$14.00
Pumping, per 1,000 cubic feet water consumption per month: \$0.50 to \$2.00

Section 7.784. SENIOR CITIZENS RATE

Per month: \$7.00

Section 7.788.

CONNECTION FEES.

(A) The following fees for connection with a public sewer inside or outside the district shall become effective November 1, 1984, and shall be based on equivalent dwelling units and shall be as follows:

(1) Residential Users:

- (a) Single-family unit connection fee, October 1, 1984: \$1,100.00
- (b) Multifamily unit connection fee:
 - (i) First living unit: \$1,100.00
 - (ii) Each additional living unit: \$ 935.00

(2) Nonresidential users: The formula for computing the connection fee for a nonresidential user shall be equal to the equivalent dwelling units multiplied by \$1,100.00. Equivalent dwelling units shall be determined by table 2 of MCC 8.70.360.

(3) Combined dwelling units and others: Where both dwelling units and other occupancies are combined on the same property, the charges for sanitary connection shall be at the living unit rate for the dwelling units required in subsection (A)(1)(b) of this section, plus the rates given in (A)(2) for the nonresidential users of the property.

Section 7.790.

EXTRA-STRENGTH INDUSTRIAL WASTE.

(D) *Extra-strength rates.* Effective October 1, 1984:

BOD, per pound	\$0.097
Suspended solids, per pound	\$0.106

(E) *Industrial waste discharge permit fees.*

- (1) The engineer shall determine the effective period for the permit, based upon such factors as concentration, volume, and origin of the discharge. In no case shall an industrial waste permit be effective for a period exceeding five years.
- (2) Except as provided in subsection (F)(2)[sic], fees for industrial waste discharge permits shall be \$75.00 for each permit and \$50.00 for each renewal of a permit. However, permit renewals which involve new or additional discharges from those in the preceding permit shall have a fee of \$75.00. Where a permit is issued as a result of a violation, the permit fee shall be \$150.00. Fees are payable to the county as part of the application for the permit or permit renewal.
- (3) Where the owner of a property is discharging industrial wastes prior to the effective date of the ordinance comprising this subchapter, the owner shall

be issued an industrial waste discharge permit at no charge, but will then be subject to the renewal fees and requirements of this section.

- (F) *Minimal charges suspension.* The engineer may establish a minimum limit for monthly extra-strength charges. The billing for all accounts whose monthly extra-strength charges are below this minimum limit will be suspended until such time as they are found to be higher.
- (G) *Adjustments.* The engineer may check sewage strength as outlined in this section and adjust charges where applicable at any time in accordance with the most recent analysis.
- (H) *Resampling request; fees.* Any discharger may request the district to resample wastewater at no charge if 18 months or more have elapsed since the last such sampling. If less than 18 months have elapsed since the last sampling, then requests for the district to resample wastes shall be submitted in writing and accompanied by full payment for the resampling fee. The fee to each account for five days of sampling is \$500.00 per sample, per sampling point. The fee for one day's resampling is \$125.00 per sample, per sampling point.

2. This resolution takes effect and Resolution 02-015 is repealed on January 1, 2002.

ADOPTED this 7th day of March 2002.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By 
Sandra N. Duffy, Deputy County Attorney

EXHIBIT A

Section 7.052. MISCELLANEOUS PERMIT FEES

Miscellaneous permit fees.

The following fees shall be charged for permits:

- (A) For overweight or overdimensional moves, except for moves as specified in MCC 5.10.215(B), either single trip or annual permit, the fee shall be \$8.00. Future fee increases by the Oregon Department of Transportation shall automatically increase the county's fee for this service to the same level, without action of the board of county commissioners.
- (B) For building and structure move permits issued under authority of ORS 483.502 to 483.536, all permittees shall post a deposit of \$1,000.00 prior to issuance of a permit. Non-refundable permit application, investigation and issuance fees for structures under 14 feet in width and 15 feet in height shall be \$115.00. For structures exceeding the above dimensions, the non-refundable permit fee shall be \$145.00. Inspection fees to be billed at the actual costs incurred by the county including overhead and equipment costs. For overdimensional moves other than house moves, the non-refundable permit fees for heights over 17 feet in width shall be \$75.00 for a normal workday, and \$350.00 for holidays and weekends.
- (C) For permits issued for manholes for storm and sanitary sewers, the fee shall be \$30.00 per manhole.
- (D) For permits issued for canopies, awnings and marquees, a fee of \$40.00 shall be charged.
- (E) For permits issued for construction or reconstruction of driveway approaches, the fees shall be:
 - (1) \$90.00 first driveway approach.
 - (2) \$60.00 each additional driveway approach inspected at the same time as first approach.
 - (3) Common accessway permit fees for plan review and inspection shall be \$120.00 or \$0.06 per square foot of common accessway, whichever is greater. The above fee will include the first driveway approach fee under section 5.10.215(E).
 - (4) \$90.00 for agriculture approaches.
 - (5) \$90.00 for temporary logging approaches.
- (F) For permits issued for sewer connections, the fee shall be \$1,200.00 per connection.

- (G) For a drilling or boring test hole permit, the fee shall be \$84.00 each.
- (H) For curb drain outlet construction or reconstruction, including drainage connections to catchbasins, a fee of \$20.00 shall be charged.
- (I) For sidewalk construction or reconstruction, the fee shall be \$0.25 per square foot with a minimum fee of \$10.00. For curb construction or reconstruction the fee shall be \$0.35 per lineal foot with a minimum fee of \$10.00.
- (J) The fee to release advertising benches picked up within the right-of-way shall be \$50.00 per bench.
- (K) For any excavation, construction, reconstruction, repair, removal, abandonment, placement or use within the right-of-way except where otherwise provided in MCC 5.10.200 to 5.10260, the permit fee shall be a minimum of \$50.00.
- (L) For material filing or excavating within the public right-of-way, the permit fee shall be \$50.00.
- (M) For underground storm or sanitary sewer construction, reconstruction or repair permits, including property service and laterals not maintained by the county, the fees shall be:

*Length of Conduit
Constructed,
Reconstructed,
Repaired or Exposed
for Repair*

Fee

0	-	50	feet	\$50.00
51	-	100	feet	60.00
101	-	200	feet	70.00
201	-	300	feet	75.00
301	-	400	feet	80.00
401	-	500	feet	85.00
501		feet and over		\$85.00 plu \$0.07 per foot over 500 feet

Conduit diameters exceeding 24 inches shall be assessed a surcharge onto the above rates of \$0.01 per foot of diameter per foot of length.

- (N) If work is commenced on a project requiring a permit without first securing the permit, the fee shall be double the fee established in this section. If the fee required by this subsection is not paid directly to the department by the owner of the property, the person paying the penalty shall be required to notify the owner that the penalty was imposed. Payment of the fee shall not relieve or excuse any person from penalties imposed for violation of any applicable statutes or ordinances.
- (O) A permit deposit for each permit authorizing work under ORS 374.305 not covered in this section shall be 120 percent of estimated amount of charges based on the estimated hours or part thereof for plan review and/or inspection. The final fee will be determined at completion of the project based on the actual costs incurred by Multnomah County including overhead and other related costs. The difference between the two amounts will be billed or refunded to the permit holder with the minimum fee being \$50.00.
- (P) Permits under this section shall be issued without charge when a permit is required as a direct result of a county public works improvement.
- (Q) For temporary closure of any street or any portion of a street, the fee shall be \$84.00.
[Ord. 126 § 9 (1976); Ord. 195 § 6 (1979); Ord. 256 § 2 (1980); Ord. 278 § 3 (1981); Ord. 367 § 1 (1983) (court of appeals held that payment of fee for permit by utility companies was in violation of ORS 758.010 on May 16, 1984, supreme court denied petition for review August 8, 1984, court of appeals decision became enforceable September 10, 1984); Ord. 467 § 2 (1985); Ord 826 § 2(A)--(H) (1995)]

EXHIBIT B

Section 27.053. PLAN REVIEW AND INSPECTION OF UNDERGROUND INSTALLATIONS AND STREET INTERSECTIONS

Fees for plan review and inspection of underground installations and street intersections.

- (A) For plan review and inspection of any storm sewer line installation, when completed facilities are to be maintained by the county, the fee shall be:

<i>Estimated or Bid Construction Cost</i>			<i>Fee</i>
0.00	-	\$1,000.00	\$50.00
\$1,000.00	-	5,000.00	\$50.00 plus 1.25% over \$1,000.00
5,000.00	-	10,000.00	\$100.00 plus 1.00% over \$5,000.00
10,000.00	-	15,000.00	\$150.00 plus 0.90% over \$10,000.00
15,000.00	-	20,000.00	\$195.00 plus 0.80% over \$15,000.00
20,000.00	-	25,000.00	\$235.00 plus 0.70% over \$20,000.00
25,000.00	-	30,000.00	\$270.00 plus 0.60% over \$25,000.00
30,000.00	-	35,000.00	\$300.00 plus 0.50% over \$30,000.00
35,000.00	-	40,000.00	\$325.00 plus 0.40% over \$35,000.00
40,000.00	-	45,000.00	\$345.00 plus 0.30% over \$40,000.00
45,000.00	-	50,000.00	\$360.00 plus 0.20% over \$45,000.00
50,000.00	-	and over	\$370.00 plus 0.74% over \$50,000.00

- (B) When submitting plans for review, the applicant shall submit a copy of the engineer's estimate or the bid construction cost. No plans will be reviewed without the required cost figures. If, in the opinion of the director of the department, the cost figures appear unreasonable, the director shall establish the permit fee based upon the director's cost estimate of the work to be done. The director shall submit a report to the county executive/chair of the board of county commissioners whenever a cost estimate is adjusted and shall state the reasons therefor.
- (C) For utility lines, including storm and sanitary sewers, to be maintained by others, not connecting to a county-maintained system but located within county-controlled

right-of-way or easements, the plan review and inspection fee will be \$40.00 plus \$0.10 per foot of line.

- (D) For storm or sanitary sewer line systems located on private land connecting to county maintained systems, the plan review and inspection fee will be a minimum of \$40.00 plus \$10.00 for each acre or fraction thereof within the development area. Developments requiring both storm and sanitary system review will be charged that rate for each.
- (E) A sewer line system for fee purposes means a line with two or more connections including lateral lines, house branches, inlets or any other appurtenance contributing discharge.
- (F) Plan review and inspection fees will be established by the director for connections to a county system where the development area is not discernable or applicable. A deposit shall be 120 percent of estimated amount of charges based on the estimated hours or parts thereof required for plan review and/or inspection. The final fee will be determined at completion of the project based on costs incurred by Multnomah County including overhead and other related costs. The difference between the actual costs and the deposit will be billed or refunded to the permit holder.
- (G) For plan review and inspection of each street intersection or vehicle access, either public or private, other than a standard driveway approach, a fee of \$40.00 will be charged.
- (H) Plans shall be reviewed by Multnomah County under this section for compatibility with the comprehensive plan, conformance to county design criteria, as applicable, and for general protection of county facilities as considered necessary.
- (I) Inspection by Multnomah County under this section will be cursory only and will not relieve the owner, contractor or engineer of responsibility for the project being completed according to plans and specifications.

[Ord. 126 § 10 (1976); Ord. 826 § 2(I), (J)(1995)]

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 02-031

Establishing Fees and Charges for Chapter 7, Business and Community Services, of the Multnomah County Code and Repealing Resolution No. 02-015

The Multnomah County Board of Commissioners Finds:

- a. The Multnomah County Code provides that the Board shall establish certain fees and charges by resolution.
- b. The Board adopted Resolution 02-015 establishing fees for MCC Chapter 7, Management and Business Services on January 31, 2002.
- c. On March 7, 2002, the Board adopted an ordinance changing the name of the Department of Management and Business Services to the Department of Business and Community Services. It is necessary to correct the department name references and repeal Resolution No. 02-015.
- d. All fees and charges established by Resolution 02-015 remain the same.

The Multnomah County Board of Commissioners Resolves:

1. The fees and charges for Chapter 7, Business and Community Services, of the Multnomah County Code are set as follows:

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The fee for processing a dishonored check, draft or money order is \$25.00.

Section 7.005. INTEREST FEES.

The interest rate on receivables is 1.5% per month.

Section 7.006: PURCHASING AND HANDLING FEES.

The fee for purchasing and stores services is 10% of the value of goods purchased and handled.

Section 7.008. ASSESSMENT AND TAXATION FEES.

(A) For any printout or copy of an appraisal card for any tax account, the division of assessment and taxation shall charge a fee of \$1.00 per page, provided that where printouts or appraisal cards are requested and provided for more than one tax year or for any tax year other than the current year, the division shall charge an additional fee of \$1.00 for each such year.

(B) For the division's services in gathering, preparing or providing nonstandard information upon the request, the division shall collect a fee equal to its actual cost, as determined by the director of the division.

(C) In addition, the division shall charge the following fees for copies provided by it:

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Property owners index—microfiche	20.00
Property address index—microfiche	20.00
Sales ratio tape—magnetic tape	100.00
Sales data—microfiche, per month	50.00
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Each additional copy	1.00
Assessment roll-magnetic tape	750.00
Tax bill file—magnetic tape	250.00
AT-42 COBOL subroutine—magnetic tape	50.00
Data dictionary	25.00
Merged recording indices—microfiche	100.00
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Application:	120% of estimated costs
Minimum:	\$1,000.00 plus \$65.00 for posting

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(B) The construction permit deposit schedule for engineering, design, project management, and administration shall be as follows:

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\$50,000.00 and over	\$6,800.00 plus 10.0% over \$50,000.00

Section 7.056. MISCELLANEOUS PUBLIC WORKS FEES.

For services provided by the department in connection with design, plan review and inspection of items not set forth elsewhere, the department shall charge fees sufficient to cover the actual cost of services. The following are deposits only. The actual charges will be based on actual costs including overhead and other related costs, determined at the completion of the project. The difference between the actual costs and the deposit will either be billed or refunded to the permit holder.

Project cost as Estimated by the county	Deposit
Minimum deposit at the time of application	\$800.00
\$4,000.00 to \$10,000.00	20%
\$10,000.00 to \$50,000.00	\$2,000.00 plus 12.0% over \$10,000.00
\$50,000.00 and over	\$6,800.00 plus 10.0% over \$50,000.00

Section 7.059. ZONE REVIEW AND ZONING INSPECTIONS.

For conducting any zone review prior to the issuance of a building or mobile home permit, the department shall charge a fee of \$25.00 or 15 percent of the permit fee, whichever is greater; provided that the fee for review of applications for permits to construct one- or two-family dwellings shall not exceed \$25.00. Zoning review fees are payable upon permit application. For conducting any zoning inspection during construction or after completion of construction, the department shall charge a fee equal to the greater of \$25.00 or 35 percent of the building permit fee, to be collected at the time the permit is issued, provided, however, that no fee for zoning inspection of one- and two-family dwellings shall exceed \$25.00. Zoning inspection fees are payable upon permit issuance.

Section 7.060. FILING OF MAP SURVEYS.

A fee of \$100.00 shall accompany each filing of a map of survey

Section 7.061. FEES FOR CERTAIN DOCUMENTS; PUBLIC LAND CORNER PRESERVATION ACCOUNT.

Document filing fee:	\$3.00
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Section 7.062. COUNTY SURVEYOR FEES.

(A) Fees are based on the following procedures and requirements on partition, subdivision and condominium plats.

- (1) Submit a boundary survey to the County surveyor a minimum of 30 days prior to the submission of the final subdivision or condominium plat. If warranted, the county surveyor may waive this requirement.
- (2) In addition to the requirements of ORS 209.250, a survey, and a partition plat if a separate survey has not been filed shall show all obvious encroachments or hiatus created by deeds, buildings, fences, cultivation, previous surveys and plats, or similar means and any other conditions that may indicate that the ownership lines as surveyed may be different than those shown on the survey.
- (3) The county surveyor may refuse to approve a plat if the surveyor finds an encroachment or hiatus. Evidence that the hiatus or encroachment has been eliminated may be required, or the county surveyor may require that it be shown on the plat if it cannot be eliminated.
- (4) All partition, subdivision, and condominium final plats, including those inside city limits, shall be checked and approved by the county surveyor prior to recording. No plat shall be recorded without such approval. This approval by the county surveyor shall be valid for 30 days from the date of approval to the date submitted for recording, after 30 days the approval is withdrawn and must be resubmitted.
- (5) All partition, subdivision, and condominium final plats submitted for approval shall be accompanied by a report, issued by a title insurance company, or authorized agent to perform such service in Oregon, setting forth ownership and all easements of record, together with a copy of the current deed and easements for the platted property, and copies of the deeds for all abutting properties and other documentation as required by the county surveyor. The report shall have been issued no more than 15 days prior to plat submittal to the county surveyor. A supplemental report may be required by the county surveyor.

(B) A deposit for the following county surveyor functions shall be made with the submission of the material. The final fee will be determined at completion of the project based on actual costs incurred by Multnomah County including overhead and other related costs. The difference between the actual costs and the deposit will be paid prior to approval of the final plat or refunded to the applicant except for post-monumented plats, which will not be refunded until after completion of the interior monumentation; the survey filing fee is non-refundable.

- (1) Partition Plat Review, the deposit shall be:

Base Deposit	\$480.00 plus
Survey filing Fee	\$100.00

- (2) Pre-monumented Plat Review, the deposit shall be:

Base Deposit	\$700.00 plus
Survey Filing Fee	\$100.00 plus
Per Lot, Tract, or Parcel	\$ 35.00 each, plus
Per gross acre of the subdivision if the average Lot size exceeds 15,000 sq. ft	\$ 31.00 per acre

- (3) Post-Monumented Plat Review, the deposit shall be:

An estimate by the county surveyor based on the complexity of the plat at 120 percent of the estimate; the minimum deposits shall be:

Base Deposit	\$795.00 plus
Survey Filing Fee	\$100.00 plus
Per Lot, Tract, or Parcel	\$45.00 each, plus
Per gross acre of the subdivision if the average lot size exceeds 15,000 sq. ft.	\$31.00 per acre

- (4) For Condominium Plat Review, the deposit shall be:

Base Deposit	\$770.00 plus
Each Building	\$105.00 each, plus
Survey Filing Fee	\$100.00

- (5) For Condominium Plat Amendment Review, the deposit shall be:

Base Deposit	\$500.00 plus
Survey Filing Fee	\$100.00

- (C) Posting of street vacations in accordance with
ORS 271.230(2)

\$ 65.00

- (D) Review, Approval, and Posting of Affidavits of
correction

\$ 45.00 plus county
clerk's recording fee

- (E) For services required by ORS 100.115 in connection with reclassification or withdrawal of variable property from unit ownership as provided in ORS 100.115(1) or (2), or removal of property from any condominium plat as provided in ORS 100.600(2), the fee will be \$150.00.

- (F) In accordance with ORS 92.070(5), (1997), relating to the reestablishment of Subdivision Plat Monuments and the review and recordation of the required surveyor's affidavit in support thereof, the affidavit recording fee shall be \$100.00 plus the county clerk's recording fee.

- (G) In accordance with ORS 100.115(6), (1997), relating to Declaration Amendment Review service, the fee shall be \$100.00 plus the county clerk's recording fee.

Section 7.064. BOOK OF RECORDS.

Minimum per roll of 16mm:	\$12.00
Minimum per roll for 35mm microfilm:	\$15.00
Minimum for microfiches:	\$ 2.00

Section 7.065. MAP REPRODUCTIONS AND LOANS.

For the services of the department in reproducing and loaning maps, fees shall be charged in accordance with the following schedules:

Standard Weight	Blackline	Sepia
¼ Section		
30 inches x 36 inches	\$3.00	\$5.00
600 Scale		
21 inches x 33 inches	\$2.00	\$3.00
Plat		
18 inches x 24 inches	\$2.00	\$2.00
1,000 Scale		
13 inches x 21 inches	\$1.00	\$2.00

Photostat copy where no tracing exists: \$5.00

Office duplicator copy of a portion of a map: \$1.50

For loaning sepia or plat tracing, 48-hour
limit excluding weekends and holidays: \$0.50 each

Each additional 48 hours excluding weekends and holidays: \$2.00 each

Condominium hardboard and tracing recording: \$9.00 per page.

Section 7.067. BOUNDARY CHANGE APPLICATION.

For services provided by the department in connection with processing a boundary change petition, the department shall charge fees sufficient to cover the actual cost of services. The following is a deposit only and is in addition to any other fees, deposits or charges authorized by law. The actual charges will be based on actual costs including overhead and other related costs, determined at the completion of the process. The difference between the actual costs and the deposit will either be billed or refunded to the applicant. Minimum Deposit: \$2,300 per application (includes Metro mapping service fee).

Section 7.303.

DOMESTIC PARTNERSHIP REGISTRATION FEES:

Filing Fees:

Registration: \$60.00, to be distributed as follows:

\$25	to county (General Fund) for processing
\$25	to the Multnomah County Community and Family Services – Clearinghouse to be used for safe housing for Domestic Violence victims
\$10	for conciliation services provided under ORS §§ 107.5100 to 107.610

Termination: \$25.00

to county for processing

Section 7.405. PROCEDURE FOR REQUESTING TRANSFER OF TAX FORECLOSED PROPERTY FOR HOUSING PURPOSES:

Non-refundable Application Fee: \$50.00

Section 7.410. PROCEDURE FOR DISPOSITION OF REQUESTS FOR TRANSFER OF TAX FORECLOSED PROPERTY FOR HOUSING AND FOR OPEN SPACE, PARKS OR NATURAL AREAS:

Non-refundable Transfer Fee: \$200.00

Section 7.505. REAL PROPERTY COMPENSATION APPLICATION FEE AND ADDITIONAL COSTS:

Non-refundable Application Fee: \$1,550
Posting signs: \$5 each

Section 7.605. PERMITS.

Ammonia storage: \$25.00

Section 7.783. SEWER USER SERVICE CHARGES.

Per equivalent dwelling unit, per month: \$14.00
Pumping, per 1,000 cubic feet water consumption per month: \$0.50 to \$2.00

Section 7.784. SENIOR CITIZENS RATE

Per month: \$7.00

Section 7.788.

CONNECTION FEES.

(A) The following fees for connection with a public sewer inside or outside the district shall become effective November 1, 1984, and shall be based on equivalent dwelling units and shall be as follows:

(1) Residential Users:

- | | | |
|------|---|------------|
| (a) | Single-family unit connection fee, October 1, 1984: | \$1,100.00 |
| (b) | Multifamily unit connection fee: | |
| (i) | First living unit: | \$1,100.00 |
| (ii) | Each additional living unit: | \$ 935.00 |

(2) Nonresidential users: The formula for computing the connection fee for a nonresidential user shall be equal to the equivalent dwelling units multiplied by \$1,100.00. Equivalent dwelling units shall be determined by table 2 of MCC 8.70.360.

(3) Combined dwelling units and others: Where both dwelling units and other occupancies are combined on the same property, the charges for sanitary connection shall be at the living unit rate for the dwelling units required in subsection (A)(1)(b) of this section, plus the rates given in (A)(2) for the nonresidential users of the property.

Section 7.790.

EXTRA-STRENGTH INDUSTRIAL WASTE.

(D) *Extra-strength rates.* Effective October 1, 1984:

BOD, per pound	\$0.097
Suspended solids, per pound	\$0.106

(E) *Industrial waste discharge permit fees.*

- (1) The engineer shall determine the effective period for the permit, based upon such factors as concentration, volume, and origin of the discharge. In no case shall an industrial waste permit be effective for a period exceeding five years.
- (2) Except as provided in subsection (F)(2)[sic], fees for industrial waste discharge permits shall be \$75.00 for each permit and \$50.00 for each renewal of a permit. However, permit renewals which involve new or additional discharges from those in the preceding permit shall have a fee of \$75.00. Where a permit is issued as a result of a violation, the permit fee shall be \$150.00. Fees are payable to the county as part of the application for the permit or permit renewal.
- (3) Where the owner of a property is discharging industrial wastes prior to the effective date of the ordinance comprising this subchapter, the owner shall

be issued an industrial waste discharge permit at no charge, but will then be subject to the renewal fees and requirements of this section.

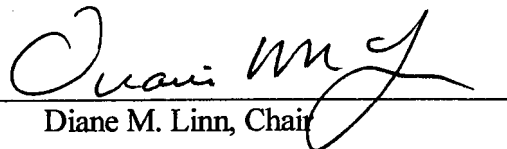
- (F) *Minimal charges suspension.* The engineer may establish a minimum limit for monthly extra-strength charges. The billing for all accounts whose monthly extra-strength charges are below this minimum limit will be suspended until such time as they are found to be higher.
- (G) *Adjustments.* The engineer may check sewage strength as outlined in this section and adjust charges where applicable at any time in accordance with the most recent analysis.
- (H) *Resampling request; fees.* Any discharger may request the district to resample wastewater at no charge if 18 months or more have elapsed since the last such sampling. If less than 18 months have elapsed since the last sampling, then requests for the district to resample wastes shall be submitted in writing and accompanied by full payment for the resampling fee. The fee to each account for five days of sampling is \$500.00 per sample, per sampling point. The fee for one day's resampling is \$125.00 per sample, per sampling point.

2. This resolution takes effect and Resolution 02-015 is repealed on January 1, 2002.

ADOPTED this 7th day of March, 2002.



BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

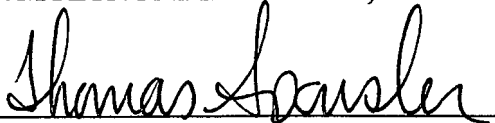
By 
Thomas Sponsler, County Attorney

EXHIBIT A

Section 7.052. MISCELLANEOUS PERMIT FEES

Miscellaneous permit fees.

The following fees shall be charged for permits:

- (A) For overweight or overdimensional moves, except for moves as specified in MCC 5.10.215(B), either single trip or annual permit, the fee shall be \$8.00. Future fee increases by the Oregon Department of Transportation shall automatically increase the county's fee for this service to the same level, without action of the board of county commissioners.
- (B) For building and structure move permits issued under authority of ORS 483.502 to 483.536, all permittees shall post a deposit of \$1,000.00 prior to issuance of a permit. Non-refundable permit application, investigation and issuance fees for structures under 14 feet in width and 15 feet in height shall be \$115.00. For structures exceeding the above dimensions, the non-refundable permit fee shall be \$145.00. Inspection fees to be billed at the actual costs incurred by the county including overhead and equipment costs. For overdimensional moves other than house moves, the non-refundable permit fees for heights over 17 feet in width shall be \$75.00 for a normal workday, and \$350.00 for holidays and weekends.
- (C) For permits issues for manholes for storm and sanitary sewers, the fee shall be \$30.00 per manhole.
- (D) For permits issued for canopies, awnings and marquees, a fee of \$40.00 shall be charged.
- (E) For permits issued for construction or reconstruction of driveway approaches, the fees shall be:
 - (1) \$90.00 first driveway approach.
 - (2) \$60.00 each additional driveway approach inspected at the same time as first approach.
 - (3) Common accessway permit fees for plan review and inspection shall be \$120.00 or \$0.06 per square foot of common accessway, whichever is greater. The above fee will include the first driveway approach fee under section 5.10.215(E).
 - (4) \$90.00 for agriculture approaches.
 - (5) \$90.00 for temporary logging approaches.
- (F) For permits issued for sewer connections, the fee shall be \$1,200.00 per connection.

- (G) For a drilling or boring test hole permit, the fee shall be \$84.00 each.
- (H) For curb drain outlet construction or reconstruction, including drainage connections to catchbasins, a fee of \$20.00 shall be charged.
- (I) For sidewalk construction or reconstruction, the fee shall be \$0.25 per square foot with a minimum fee of \$10.00. For curb construction or reconstruction the fee shall be \$0.35 per lineal foot with a minimum fee of \$10.00.
- (J) The fee to release advertising benches picked up within the right-of-way shall be \$50.00 per bench.
- (K) For any excavation, construction, reconstruction, repair, removal, abandonment, placement or use within the right-of-way except where otherwise provided in MCC 5.10.200 to 5.10260, the permit fee shall be a minimum of \$50.00.
- (L) For material filing or excavating within the public right-of-way, the permit fee shall be \$50.00.
- (M) For underground storm or sanitary sewer construction, reconstruction or repair permits, including property service and laterals not maintained by the county, the fees shall be:

*Length of Conduit
Constructed,
Reconstructed,
Repaired or Exposed
for Repair*

Fee

0	-	50	feet	\$50.00
51	-	100	feet	60.00
101	-	200	feet	70.00
201	-	300	feet	75.00
301	-	400	feet	80.00
401	-	500	feet	85.00
501		feet and over		\$85.00 plu \$0.07 per foot over 500 feet

Conduit diameters exceeding 24 inches shall be assessed a surcharge onto the above rates of \$0.01 per foot of diameter per foot of length.

- (N) If work is commenced on a project requiring a permit without first securing the permit, the fee shall be double the fee established in this section. If the fee required by this subsection is not paid directly to the department by the owner of the property, the person paying the penalty shall be required to notify the owner that the penalty was imposed. Payment of the fee shall not relieve or excuse any person from penalties imposed for violation of any applicable statutes or ordinances.
- (O) A permit deposit for each permit authorizing work under ORS 374.305 not covered in this section shall be 120 percent of estimated amount of charges based on the estimated hours or part thereof for plan review and/or inspection. The final fee will be determined at completion of the project based on the actual costs incurred by Multnomah County including overhead and other related costs. The difference between the two amounts will be billed or refunded to the permit holder with the minimum fee being \$50.00.
- (P) Permits under this section shall be issued without charge when a permit is required as a direct result of a county public works improvement.
- (Q) For temporary closure of any street or any portion of a street, the fee shall be \$84.00. [Ord. 126 § 9 (1976); Ord. 195 § 6 (1979); Ord. 256 § 2 (1980); Ord. 278 § 3 (1981); Ord. 367 § 1 (1983) (court of appeals held that payment of fee for permit by utility companies was in violation of ORS 758.010 on May 16, 1984, supreme court denied petition for review August 8, 1984, court of appeals decision became enforceable September 10, 1984); Ord. 467 § 2 (1985); Ord 826 § 2(A)--(H) (1995)]

EXHIBIT B

Section 27.053. PLAN REVIEW AND INSPECTION OF UNDERGROUND INSTALLATIONS AND STREET INTERSECTIONS

Fees for plan review and inspection of underground installations and street intersections.

- (A) For plan review and inspection of any storm sewer line installation, when completed facilities are to be maintained by the county, the fee shall be:

<i>Estimated or Bid Construction Cost</i>			<i>Fee</i>
0.00	-	\$1,000.00	\$50.00
\$1,000.00	-	5,000.00	\$50.00 plus 1.25% over \$1,000.00
5,000.00	-	10,000.00	\$100.00 plus 1.00% over \$5,000.00
10,000.00	-	15,000.00	\$150.00 plus 0.90% over \$10,000.00
15,000.00	-	20,000.00	\$195.00 plus 0.80% over \$15,000.00
20,000.00	-	25,000.00	\$235.00 plus 0.70% over \$20,000.00
25,000.00	-	30,000.00	\$270.00 plus 0.60% over \$25,000.00
30,000.00	-	35,000.00	\$300.00 plus 0.50% over \$30,000.00
35,000.00	-	40,000.00	\$325.00 plus 0.40% over \$35,000.00
40,000.00	-	45,000.00	\$345.00 plus 0.30% over \$40,000.00
45,000.00	-	50,000.00	\$360.00 plus 0.20% over \$45,000.00
50,000.00	-	and over	\$370.00 plus 0.74% over \$50,000.00

- (B) When submitting plans for review, the applicant shall submit a copy of the engineer's estimate or the bid construction cost. No plans will be reviewed without the required cost figures. If, in the opinion of the director of the department, the cost figures appear unreasonable, the director shall establish the permit fee based upon the director's cost estimate of the work to be done. The director shall submit a report to the county executive/chair of the board of county commissioners whenever a cost estimate is adjusted and shall state the reasons therefor.
- (C) For utility lines, including storm and sanitary sewers, to be maintained by others, not connecting to a county-maintained system but located within county-controlled

right-of-way or easements, the plan review and inspection fee will be \$40.00 plus \$0.10 per foot of line.

- (D) For storm or sanitary sewer line systems located on private land connecting to county maintained systems, the plan review and inspection fee will be a minimum of \$40.00 plus \$10.00 for each acre or fraction thereof within the development area. Developments requiring both storm and sanitary system review will be charged that rate for each.
- (E) A sewer line system for fee purposes means a line with two or more connections including lateral lines, house branches, inlets or any other appurtenance contributing discharge.
- (F) Plan review and inspection fees will be established by the director for connections to a county system where the development area is not discernable or applicable. A deposit shall be 120 percent of estimated amount of charges based on the estimated hours or parts thereof required for plan review and/or inspection. The final fee will be determined at completion of the project based on costs incurred by Multnomah County including overhead and other related costs. The difference between the actual costs and the deposit will be billed or refunded to the permit holder.
- (G) For plan review and inspection of each street intersection or vehicle access, either public or private, other than a standard driveway approach, a fee of \$40.00 will be charged.
- (H) Plans shall be reviewed by Multnomah County under this section for compatibility with the comprehensive plan, conformance to county design criteria, as applicable, and for general protection of county facilities as considered necessary.
- (I) Inspection by Multnomah County under this section will be cursory only and will not relieve the owner, contractor or engineer of responsibility for the project being completed according to plans and specifications.

[Ord. 126 § 10 (1976); Ord. 826 § 2(I), (J)(1995)]

MEETING DATE: March 7, 2002
AGENDA NO: R-7
ESTIMATED START TIME: 10:20 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Disposition of Certain Surplus County-Owned Properties

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 15 minutes

DEPARTMENT: BCS DIVISION: Facilities & Property Mgmt.
CONTACT: Peter Wilcox TELEPHONE #: (503) 988-3322
BLDG/ROOM #: Blanchard Building

PERSON(S) MAKING PRESENTATION: Doug Butler and Peter Wilcox

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

RESOLUTION Directing the Facilities and Property Management Division to Dispose of
Certain County-Owned Properties Deemed Surplus

03.08.02 Copies to Peter Wilcox

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: M. Cecilia Johnson

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us



Department of Facilities & Property Management
MULTNOMAH COUNTY OREGON

401 N. Dixon Street
Portland, Oregon 97227
(503) 988-3322 phone
(503) 988-5082 fax

SUPPLEMENTAL STAFF REPORT

TO: Board of County Commissioners

FROM: Doug Butler, FPM Director
R. Peter Wilcox, Housing Director

DATE: February 26, 2002

RE.: Directing the Facilities and Property Management Division to
Dispose of Certain Properties Deemed Surplus

1. Recommendation/Action Requested:

The Division of Facilities and Property Management shall proceed with disposition of three of the four identified properties (Ford, Morrison, GNC) – utilizing the above identified criteria. Disposition shall occur in the following manner:

- (1) Morrison Building: Sell in a single parcel. Backup strategy: Partition and sell/lease in two smaller parcels, with developer(s) covering demolition costs, if possible.
- (2) Gresham Neighborhood Center (GNC): (a) Sell improved south block for repair by others, and (b) Sell or lease remaining portions of the north block.
- (3) Ford Building: Sell existing building. Backup strategy: Assist with a zone change if necessary for sale.
- (4) The Hansen Building is not yet available for disposition. Accordingly, Facilities and Property Management shall:
(a) Work with the MCSO to develop a replacement strategy; (b) Bring the strategy to the Board for approval; and (c) Proceed with a phased sale and/or lease.

All net proceeds deriving from the three initial dispositions shall be applied to the County General Fund Reserve Account.

2. Background/Analysis:

Changing County requirements for space and the normal aging of buildings have contributed to some County-owned properties becoming surplus. Such properties can become both a financial burden to the County and a forgone opportunity for the communities in which they are located. Rather than allowing properties to become underutilized and/or vacant, the BCC prefers to dispose of such properties so as to allow the private sector to either bring them back into productive use or to redevelop their sites.

FPM and the Housing Program were charged with achieving three primary goals when disposing of properties. Staff worked to maximize each goal with as little compromise of one in favor of another as possible:

- Maximize sales (or lease) revenues while avoiding unnecessary costs;
- Maximize long-term property tax revenues; and
- Identify opportunities to support the development of special needs housing, consistent with the County's policy goals.

3. Financial Impact:

Not disposing of surplus facilities, especially those with substantial deferred maintenance, is costly. Sale of surplus facilities, while subject to the vagaries of the marketplace, can not only serve to avoid maintenance costs but to provide net funds to the County.

The resolution stipulates that the revenues from the three properties to be disposed of under this ordinance (Ford, GNC & Morrison) be applied to the CNG Reserve Fund. There are associated costs with any disposition strategy including direct costs of sale, moving expenses for relocating remaining uses, on-going maintenance

during the sale period, etc. Nonetheless, there will be substantial net revenues generated through these dispositions.

4. Legal Issues:

In general, sales and/or leases will require thorough legal review according to County statutes and State of Oregon real estate law.

There are some unresolved title, access easement and potential partitioning issues to be managed.

5. Controversial Issues:

Potential sales/leases of some portions of the surplus properties to non-profit developers for affordable and/or special needs housing uses may generate some neighborhood controversy.

6. Link to Current County Policies:

Resolution No. 01-007 stipulates pursuing: (1) "Developing communities using approaches that emphasize affordable housing and mixed use developments;" (2) "Aggressive development of housing opportunities for County clients in need of affordable, supported housing..." and (3) "Innovative mixed development projects consistent with neighborhood plans."

Also, although not formally adopted, the 1998 Strategic Space Plan recommended future disposition of County surplus properties.

7. Citizen Participation:

Some properties may require RFP or RFQ processes, versus straight listing for sale or lease; these would inherently involve the public. Subsequent redevelopment or development by the purchasers or lessees may trigger public processes.

8. Other Government Participation:

N.A.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. _____

Directing the Facilities and Property Management Division to Dispose of Certain County-Owned Properties Deemed Surplus

The Multnomah County Board of Commissioners Finds:

- a. The Chair directed that the Housing Program and the Division of Facilities and Property Management develop disposition strategies for four surplus County-owned properties: the Ford Building, Morrison Building, Gresham Neighborhood Center and Hansen Building.
- b. The Board of Commissioners identified three primary goals when disposing of these properties, as follows:
 - Maximize sale or lease revenues and avoid unnecessary costs;
 - Maximize long-term property tax revenues based on the future private use of these properties; and
 - Identify opportunities to support the development of special needs housing, consistent with the County's social goals.
- c. The Housing Program and Division of Facilities and Property Management identified the following additional criteria:
 - Expedite the disposition, i.e., when practical, give priority to options which can be achieved sooner;
 - Minimize County risk, participation and expense;
 - Minimize the County staff effort needed to prepare for disposition;
 - Rely on private developers, when possible, to produce desirable results rather than attaching conditions and significant processes;
 - Create a backup strategy for each disposition strategy, when possible; and
 - Give priority to strategies which spur redevelopment and support surrounding community values.
- d. Disposition should utilize a market driven approach, maximizing sale and property tax revenue, while concurrently taking into account opportunities to meet County policy goals.

- e. Any net proceeds from the sale of three of the four surplus properties (Ford Building, Morrison Building and Gresham Neighborhood Center) should be used to help fund the County General Fund Reserve Account.

The Multnomah County Board of Commissioners Resolves:

1. The Division of Facilities and Property Management shall proceed with disposition of three of the four identified properties, (Ford Building, Morrison Building and Gresham Neighborhood Center) utilizing the above identified criteria. Disposition shall occur in the following manner:
 - (a) Morrison Building: Sell in a single parcel. Backup strategy: Partition and sell/lease in two smaller parcels, with developer(s) covering demolition costs.
 - (b) Gresham Neighborhood Center: (a) Sell improved south block for repair by others, and (b) Sell or lease remaining portions of the north block.
 - (c) Ford Building: Sell existing building. Backup strategy: Assist with a zone change if necessary for sale.
 - (d) The Hansen Building is not yet available for disposition. Accordingly, Facilities and Property Management shall: (a) Work with the Chair's Office and Multnomah County Sheriff's Office to develop a replacement strategy; (b) Bring the strategy to the Board for approval; and (c) Proceed with a phased sale and/or lease.
2. Facilities and Property Management shall endeavor to bring the first three properties (Ford Building, Morrison Building and Gresham Neighborhood Center) to market within three months and produce closed sales within 18 months. The fourth property (Hansen Building) shall proceed into disposition once suitable alternative Multnomah County Sheriff's Office facilities are identified and made ready.
3. All net proceeds deriving from the above dispositions shall be applied to the County General Fund Reserve Account.

ADOPTED this 7th day of March, 2002.

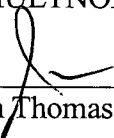
BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By


John Thomas, Assistant County Attorney

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 02-032

Directing the Facilities and Property Management Division to Dispose of Certain County-Owned Properties Deemed Surplus

The Multnomah County Board of Commissioners Finds:

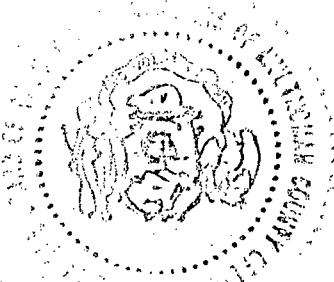
- a. The Chair directed that the Housing Program and the Division of Facilities and Property Management develop disposition strategies for four surplus County-owned properties: the Ford Building, Morrison Building, Gresham Neighborhood Center and Hansen Building.
- b. The Board of Commissioners identified three primary goals when disposing of these properties, as follows:
 - Maximize sale or lease revenues and avoid unnecessary costs;
 - Maximize long-term property tax revenues based on the future private use of these properties; and
 - Identify opportunities to support the development of special needs housing, consistent with the County's social goals.
- c. The Housing Program and Division of Facilities and Property Management identified the following additional criteria:
 - Expedite the disposition, i.e., when practical, give priority to options which can be achieved sooner;
 - Minimize County risk, participation and expense;
 - Minimize the County staff effort needed to prepare for disposition;
 - Rely on private developers, when possible, to produce desirable results rather than attaching conditions and significant processes;
 - Create a backup strategy for each disposition strategy, when possible; and
 - Give priority to strategies which spur redevelopment and support surrounding community values.
- d. Disposition should utilize a market driven approach, maximizing sale and property tax revenue, while concurrently taking into account opportunities to meet County policy goals.

- e. Any net proceeds from the sale of three of the four surplus properties (Ford Building, Morrison Building and Gresham Neighborhood Center) should be used to help fund the County General Fund Reserve Account.

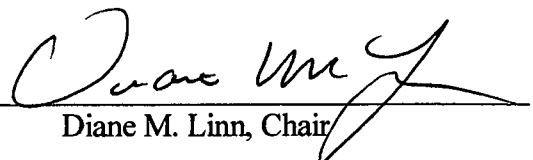
The Multnomah County Board of Commissioners Resolves:

1. The Division of Facilities and Property Management shall proceed with disposition of three of the four identified properties, (Ford Building, Morrison Building and Gresham Neighborhood Center) utilizing the above identified criteria. Disposition shall occur in the following manner:
 - (a) Morrison Building: Sell in a single parcel. Backup strategy: Partition and sell/lease in two smaller parcels, with developer(s) covering demolition costs.
 - (b) Gresham Neighborhood Center: (a) Sell improved south block for repair by others, and (b) Sell or lease remaining portions of the north block.
 - (c) Ford Building: Sell existing building. Backup strategy: Assist with a zone change if necessary for sale.
 - (d) The Hansen Building is not yet available for disposition. Accordingly, Facilities and Property Management shall: (a) Work with the Chair's Office and Multnomah County Sheriff's Office to develop a replacement strategy; (b) Bring the strategy to the Board for approval; and (c) Proceed with a phased sale and/or lease.
2. Facilities and Property Management shall endeavor to bring the first three properties (Ford Building, Morrison Building and Gresham Neighborhood Center) to market within three months and produce closed sales within 18 months. The fourth property (Hansen Building) shall proceed into disposition once suitable alternative Multnomah County Sheriff's Office facilities are identified and made ready.
3. All net proceeds deriving from the above dispositions shall be applied to the County General Fund Reserve Account.

ADOPTED this 7th day of March, 2002.

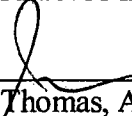


BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By 
John Thomas, Assistant County Attorney

MEETING DATE: March 7, 2002
AGENDA NO: R-8
ESTIMATED START TIME: 10:35 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Women's History Month Proclamation

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday March 7, 2002
AMOUNT OF TIME NEEDED: 15 minutes

DEPARTMENT: Non-Departmental DIVISION: Commissioner Lisa Naito
CONTACT: Charlotte Comito TELEPHONE #: (503) 988-5217
BLDG/ROOM #: 503/600

PERSON(S) MAKING PRESENTATION: Lisa Naito, Invited Others

ACTION REQUESTED:

☐ INFORMATIONAL ONLY ☐ POLICY DIRECTION ☒ APPROVAL ☐ OTHER

SUGGESTED AGENDA TITLE:

PROCLAMATION Proclaiming March 2002 as Women's History Month in Multnomah County, Oregon

03/07/02 original & copies to Charlotte Comito

SIGNATURES REQUIRED:

ELECTED OFFICIAL: Lisa Naito

(OR)
DEPARTMENT MANAGER: _____

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

PROCLAMATION NO. _____

Proclaiming March 2002 as Women's History Month in Multnomah County, Oregon

The Multnomah County Board of Commissioners Finds:

- a. American women of every race, class, and ethnic background have made historic contributions to the growth and strength of our Nation, State and County in countless recorded and unrecorded ways;
- b. American women have a critical role in our economic, cultural, and social lives in every sphere of our Nation, State and County constituting a significant portion of the labor force working inside and outside of the home;
- c. American women have had a unique role throughout the history of our Nation, State and County by providing the majority of the volunteer labor force;
- d. American women were particularly important in the establishment of early charitable, philanthropic, and cultural institutions in our Nation, State and County;
- e. American women of every race, class, and ethnic background served as early leaders in the forefront of every major progressive social change movement;
- f. American women have been leaders, not only in securing rights of suffrage and equal opportunity, but also in the abolitionist movement, the emancipation movement, the industrial labor movement, the civil rights movement, and the peace movement, all of which create a more fair and just society for all; and
- g. Despite these contributions, the role of American women in history has been consistently overlooked and undervalued in the literature, teaching and study of American history; now therefore

The Multnomah County Board of Commissioners Proclaims:

March is designated as "Women's History Month" and all citizens of Multnomah County are called upon to observe this month with appropriate programs, ceremonies, and activities.

ADOPTED this 7th day of March, 2002.

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

PROCLAMATION NO. 02-033

Proclaiming March 2002 as Women's History Month in Multnomah County, Oregon

The Multnomah County Board of Commissioners Finds:

- a. American women of every race, class, and ethnic background have made historic contributions to the growth and strength of our Nation, State and County in countless recorded and unrecorded ways;
- b. American women have a critical role in our economic, cultural, and social lives in every sphere of our Nation, State and County constituting a significant portion of the labor force working inside and outside of the home;
- c. American women have had a unique role throughout the history of our Nation, State and County by providing the majority of the volunteer labor force;
- d. American women were particularly important in the establishment of early charitable, philanthropic, and cultural institutions in our Nation, State and County;
- e. American women of every race, class, and ethnic background served as early leaders in the forefront of every major progressive social change movement;
- f. American women have been leaders, not only in securing rights of suffrage and equal opportunity, but also in the abolitionist movement, the emancipation movement, the industrial labor movement, the civil rights movement, and the peace movement, all of which create a more fair and just society for all; and
- g. Despite these contributions, the role of American women in history has been consistently overlooked and undervalued in the literature, teaching and study of American history; now therefore


The Multnomah County Board of Commissioners Proclaims:

March is designated as "Women's History Month" and all citizens of Multnomah County are called upon to observe this month with appropriate programs, ceremonies, and activities.

ADOPTED this 7th day of March, 2002.



BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON


Diane M. Linn, Chair



Lisa Naito, Multnomah County Commissioner District 3

Suite 600, Multnomah Building
501 SE Hawthorne Boulevard
Portland, Oregon 97214

Phone: (503) 988-5217
FAX: (503) 988-5262
Email: lisa.h.naito@co.multnomah.or.us

SUPPLEMENTAL STAFF REPORT

TO: Board of County Commissioners

FROM: Commissioner Lisa Naito

DATE: February 27, 2002

RE: Child and Family System of Care Phase 11 Workgroup Report for the
Mental Health System Redesign

1. Recommendation/Action Requested:
Adoption of Child and Family System of Care Phase 11 Workgroup Report for the Mental Health System Redesign
2. Background/Analysis:
The Child and Family System of Care Phase II Work group was convened by Commissioner Lisa Naito as part of the Mental Health Redesign to address the unique Mental Health needs of children and their families. The group met several times to explore all levels of the children's mental health system including early childhood, school aged-children and adolescents. Their report includes Mental Health redesign implementation recommendations based on the values and principles in Resolution 01-153 adopted by the Board of County Commissioners for Multnomah County on November 29, 2001.
2. Financial Impact:
None.
4. Legal Issues:
None
5. Controversial Issues:
None

6. Link to Current County Policies:

Provides policy direction for a restructured Mental Health System that meets the Vision Statement adopted by the Board of County Commissioners on September 28, 2000.

7. Citizen Participation:

The Child and Family System of Care Phase 11 Workgroup is made up of mental health consumers, family members and mental health professionals.

8. Other Government Participation:

The Workgroup also includes representatives from local school districts throughout the county and state mental health and juvenile systems.

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. _____

Adopting Recommendations from the Child and Family System of Care Phase II Workgroup for Children's Mental Health System Redesign

The Multnomah County Board of Commissioners Finds:

- a. The Child and Adolescent Workgroup of the Mental Health Design Team issued a final report in September 2000 that detailed recommendations to create an integrated mental health system of care for children and families.
- b. The Child and Family System of Care Phase II Workgroup was convened in November 2001 to make recommendations to the Multnomah County Board of Commissioners for the implementation of the Children's Mental Health System redesign.
- c. The Board of Commissioners subsequently adopted Resolution No. 01-153 which provides the Values and Principles to guide the Children's Mental Health System redesign.
- d. The Child and Family System of Care Phase II Workgroup concluded its work in January 2002 and issued the attached report.

The Multnomah County Board of Commissioners Resolves:

1. The January 29, 2002 Final Report of the Child and Family System of Care Phase II Workgroup is adopted.

ADOPTED this 7th day of March, 2002.

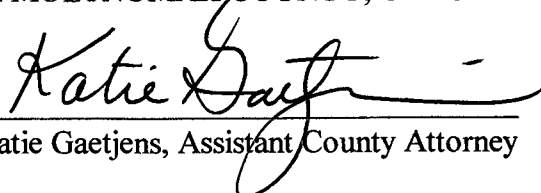
BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

REVIEWED:

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By


Katie Gaetjens, Assistant County Attorney

Child and Family System of Care Workgroup

Recommendations for Children's Mental Health Redesign

Final Report – 01/29/02

I. Background

The Child and Adolescent Workgroup of the Mental Health Design Team final report in September 2000 recommended a developmental model of mental health intervention for children, adolescents and their families. Prevention and early intervention would be provided for those at risk of later involvement with the mental health system, and intervention would be based on age-appropriate outcomes within context of the family system. The report detailed recommendations to create an integrated system of care, broaden access to developmentally appropriate and comprehensive services, and unify the mental health system's structure.

A Child and Family System of Care Workgroup was subsequently convened by Commissioner Lisa Naito, to focus recommendations for the Department of Community and Family Services on Phase II and III of the Multnomah County Mental Health Redesign.

Goals adopted by the Workgroup were: children and families have access to a continuum of services in a timely manner, children and families have the ability to choose among services and providers; contracts for providers assure adherence to the System of Care Values and Principles; and providers coordinate services with other systems on behalf of consumers.

The Workgroup recognizes that much is right in our current children's mental health system: we have excellent services available; we have made great progress in delivering services in schools and other natural settings; and our community has come to recognize the mental health needs of young children. However, we have challenges, too. Our system is not serving all children who have needs, due to the combination of inadequate funding, gaps in services, capacity and quality, and lack of access and referral. There are large gaps in service for some ethnic or cultural groups. Parents too often are not fully included in planning for their child's care. The overall system is fragmented, lacks coordination, and doesn't adequately promote early care, often resulting in a crisis situation before the need for care is addressed.

The strength of our Child and Family System of Care in Multnomah County is that we recognize the need to work together to address these issues. The recommendations in this report will be presented to the Department of Community and Family Services, to use in the development of their Mental Health Redesign Plan for children. These recommendations also can serve as a framework for all partners in our Child and Family System of Care as we work toward our goals.

II. System of Care Values and Principles

On November 29, 2001, The Board of County Commissioners for Multnomah County adopted Values and Principles for Child and Family Mental Health System Redesign*, as recommended by the Child and Family System of Care Workgroup. (Bold type is added.)

A. Values

1. The system of care will be **child centered and family focused** and the needs of the child and family determine the types and mix of services provided.
2. The system of care will be **community based**. Service management shall be individualized.
3. The system of care will be **culturally competent**. Agencies, programs, and services will be responsive to the cultural, racial, and ethnic differences of the children and families they serve.

B. Principles

1. Child mental health consumers and their families will have access to a **comprehensive array of services** that address their physical, emotional, social, and educational needs.
2. Child mental health consumers and their families will receive services in accordance with the unique needs and potentials of each child guided by **individual service plans**.
3. Child mental health consumers and their families will receive services within the **least restrictive most normative environment** that is clinically appropriate.
4. The families and surrogate families of children with mental health disorders will be **full participants** in all aspects of the planning and delivery of services.
5. Child mental health consumers and their families will receive **integrated services**. Child-serving agencies and programs will be connected with planning, development, and coordination of services.
6. Child mental health consumers and their families will receive case management or other services so that **multiple services are delivered in a coordinated and therapeutic manner**, and that services meet their changing needs.
7. **Early identification and intervention** for children with emotional, behavioral or mental disorders and their families will be promoted to enhance the chances of positive outcomes.
8. Children with mental health disorders should be ensured **smooth transitions** to the adult service system as they reach maturity.
9. The **rights** of children with emotional, behavioral and mental disorders **will be protected and effective advocacy** for children and adolescents with such disorders will be promoted.
10. Child mental health consumers and their families will **receive services without regard** to race, religion, national origin, sex, physical disability, or other characteristics, and services will be **sensitive and responsive to cultural differences and special needs**.

*These are adapted from CASSP Principles (Child and Adolescent Service System Program) as published in Stroul & Friedman's, A system of care for children and youth with severe emotional disturbance, 1986. Some language has been changed to be more inclusive of family involvement and to address children of all ages with a wide range of mental health risk factors and disorders.

III. Recommendations for All Children

The Child and Family System of Care Workgroup recognizes that it is important to deliver services based on the strengths of the child and family. The mental health service system for children should therefore respond to children within context of how the child lives – in a family, involved in childcare or school, and as part of a culture and community.

Parents, or other primary caregivers, have a special role in developing and participating in their child's treatment plan. Throughout this report, "parent" will mean the responsible caregiver for a child, whether: both parents, a single parent, a grandparent or other relative, foster parents, or another person with custody of the child.

Children live in diverse families and cultures. To be effective, mental health services must be appropriate to the language, culture, community and values of the family and child.

A primary goal of mental health services for children is to help the child develop and thrive mentally, emotionally and behaviorally. This growth process needs to be encouraged, anticipated and planned for in mental health service delivery. Services should be delivered in the most natural, least restrictive and most appropriate setting to meet the needs of the child and family.

Because most preschool age children are involved in some type of out-of-home care, and the public education system has a responsibility for children over age 5, involvement of childcare and education systems in identifying children's needs and participating in treatment is essential. Research shows that delivering mental services in a school setting improves access for children and families.

In addition, most children receive health care through family practice physicians, pediatricians, County Health Clinics, or other settings. Health providers can assist families to identify and refer for mental health assessment and services, or offer mental health services on-site.

Many children or their families are involved in other systems as well. Coordination with these systems (such as addiction services, child welfare or corrections) is needed, as well as assistance to obtain services to meet the child or family's needs (such as adequate income, employment, housing, or food).

Recommendations for All Children:

A. Child and Family Focused

Services should be easy to locate and easy to get into; the type and mix of services should be based on the needs of the child and family. To accomplish this:

1. Improve **access to mental health services** for children, youth and their families.
 - a. Educate youth and families about mental health services and how to access them.
 - b. Provide outreach, education and early intervention specifically for children and youth.
 - c. Enhance the Call Center's ability to respond to youth and families, by adding staff with children's expertise and increasing multi-lingual/multi-cultural staff.
 - d. Inform families of the availability of culturally specific and linguistically appropriate services.
 - e. Develop community awareness of mobile crisis unit's service for children in crisis; strengthen mobile crisis coordination with children's providers;

develop other crisis services in keeping with value of reaching out to all in need.

- f. Develop walk-in clinic services that are comfortable for children, youth and families.
 - g. Assure that providers meet access requirements, including no-appointment necessary or other means for meeting urgent needs, and non-urgent new service within 2 weeks of the request.
 - h. Provide for immediate access to services for children, with no waiting lists or gaps in service availability.
 - i. Provide for a seamless transition from Oregon Health Plan (OHP) to non-OHP services.
2. Increase **family involvement** in treatment planning and coordination of care.
 - a. Support the parent as a full participant and equal partner in treatment planning, with a goal for the parent to assume as much of the coordination role as they are able.
 3. **Empower families** with information, support and training.
 - a. Use strategies such as family support groups, training sessions, and assistance of family members, to help inform and engage other families in clinical treatment.

B. Community Based

Community based services and individualized service management should form the foundation for prevention, early intervention and treatment. To accomplish this:

1. Continue **prevention, outreach and early intervention**, using a public health model.
 - a. In collaboration with community partners, continue to provide pre-diagnosis consultation, education, screening, child and family support, and early intervention to optimize treatment outcomes, and to identify child and family needs and refer for appropriate treatment.
2. Promote **natural settings** as the preferred location for clinical services.
 - a. Promote selection of setting based on the child and family's needs and preference, e.g. childcare, schools, family homes, other community settings, or clinics.
 - b. Realign treatment practice through incentives, training and quality management.

C. Culturally Competent

Reaching and effectively serving children from Multnomah County's increasingly diverse cultural, racial and ethnic membership is a major work area for the County and the community. The system should be responsive to cultural preferences and needs from outreach to families, through the provision of services to meet each child and family's needs. The Surgeon General's recent recommendations* on eliminating racial/ethnic and socioeconomic disparities in access to services, suggested co-locating services with other key systems, and strengthening the capacity of schools as a link to meet the needs of youth and their families where they are. To accomplish this:

1. Increase **multi-lingual/multi-cultural staffing**, leadership and volunteerism throughout the system, so that minority children and youth are assessed and diagnosed appropriately, and treatment and support are sensitive to multi-cultural issues.

2. Map current service and demographic information; **identify underserved ethnic groups** and gaps in services; develop culturally competent resources or implement outreach plans to **increase numbers of children and youth served** from these groups.
3. Identify **effective treatment modalities** and best practices for reaching and serving cultural, racial and ethnic groups; incorporate these into provider's practice.

*See "Report of the Surgeon General's Conference on Children's Mental Health, A National Action Agenda", DHHS, September 2000. Recommendations of the report include improving: public awareness, assessment and recognition of needs, access and coordination, and use of scientifically-proven prevention and treatment services.

D. Comprehensive Array of Services

A comprehensive array of services should be available to address children's and families' physical, emotional, social, safety and educational needs, with services appropriate for each age group: early childhood, school-age children, and teens/youth. To accomplish this:

1. **Fill gaps** in the continuum of services for children and youth.
 - a. In cooperation with providers, identify current service capacity and gaps, and build a more comprehensive array, include cultural competency throughout this process.
 - b. Contract and develop partnerships with the full range of providers, including acute and residential; no single provider currently offers a full range of services for children.
 - c. Develop a specific plan and enhance resources to serve high-need children.
 - d. Increase acute care alternatives for children, such as therapeutic respite care.
 - e. Expand availability of respite care to families.
 - f. Improve crisis response for children and youth.
 - g. Incorporate and integrate services with addictions services for youth.
 - h. Improve access to voluntary services for traditionally underserved populations to address minority over-representation in involuntary systems.
2. **Assure flexible services** to meet individual child and family needs.
 - a. Develop ways for the system to maintain and increase flexibility to allow the plan of care to specifically address the needs of the child and family.
 - b. Promote facilitating access to supportive, community and wrap-around services, including those not reimbursed by the Oregon Health Plan (OHP).
 - c. Through training and system development, expand beyond the appointment-based service model toward family and home-based models, in natural settings such as childcare and schools, and implement other evidence based best practices.
3. **Customize delivery systems** as needed for each age group: early childhood, school age, and teens/youth.
 - a. Develop appropriate incentives for providers to serve children with intensive needs in each age group.
 - b. Recognize the different developmental stages of children, and that natural settings and needs may change significantly with the child's age.

E. Integrated, Coordinated Services

For children involved in the mental health system, there are always other systems involved in the child's life: their family, childcare and/or school, physician, and often other service providers as well. These services should be integrated and/or coordinated in a System of Care for the child and family. Each agency/system will be responsible to fulfill its own legal and contractual obligations, but should at the same time focus on contributing to a seamless and coherent plan of care that addresses the identified needs of the child and family. To accomplish this:

1. Develop **case management** as a standard of care to serve the majority of MH needs.
 - a. Promote case management as the point of responsibility within the mental health system to coordinate with the child and family's system of care, assure services in plan of care are delivered, and assure there is no break in services.
 - b. Focus case management especially on high-needs children: e.g. who are at risk of residential or hospital placement, are in foster care; are receiving services from juvenile justice or multiple systems; or have no family or a non-participating or non-compliant family.
 - c. Develop a way to coordinate and manage the points where kids cross systems, such as discharge planning from residential care, or entry into foster care.
 - d. Develop procedures with system of care partners for designating a primary case manager when more than one agency is involved.
 - e. Provide training for providers on case management.
2. Clarify and integrate County and provider **direct service roles**.
 - a. Provide pre-diagnosis prevention, outreach and early intervention through a public health model, using County general fund.
 - b. Provide intensive community support as a safety net to assure service delivery to children and families, when needed.
 - c. Clarify service priorities and responsibilities for multiple partners in the child and family system of care, including: County mental health; mental health providers; other County programs; State Mental Health, Developmental Disabilities, and Department of Human Services (DHS), community services, schools, and County funded services at schools.
 - d. Encourage public/ private partnerships.
3. Promote **involvement of other involved agencies/individuals** in developing a unified plan and participation in the system of care.
4. Improve **support for foster families**, and services for children in Foster Care.
 - a. Provide a comprehensive assessment and care plan for children in foster care within the timeline of the legally responsible agency.
 - b. Provide training for foster families on supporting young children with mental health needs, including crisis prevention, intervention, and specialized parenting skills.
 - c. Expand availability of respite care to foster families.
 - d. Work as a partner to support and develop capable foster care providers.

F. System of Care Clinical Development

1. Identify evidence-based **best practices**, and realign treatment practice and funding across the system of care.

- a. Learn what works from research, best practices, and pilot projects; translate this into policy and systems development for the population.
 - b. Promote universal training and experience in developmentally appropriate assessment and intervention for professionals serving children.
 - c. Use training, advocacy and quality management to inform and motivate practitioners.
 - d. Work with providers to develop outcomes-based measurements.
 - e. Promote universal training and experience in wrap-around philosophy and service provision for all care providers in child and family serving systems.
2. Develop the **mental health work force**.
- a. Collaborate with providers, the State and local schools and universities to increase the number of qualified multi-lingual, multi-cultural professional staff working in the community.
 - b. Provide cross training in increase knowledge throughout the system of care.
3. Develop a **culturally specific** and linguistically appropriate service system.
- a. Provide support to develop culturally specific and linguistically appropriate services.
 - b. Coordinate services between culturally specific/culturally competent providers and other systems for the child and family's benefit.

G. Collaboration

County leadership should focus on collaboration with the multiple partners involved in the system of care for children and families.

1. **Collaborate with others** to further develop the system of care for children and families.
- a. Work across county departments and with other governmental and private child-serving agencies, with a goal of expanding combined efforts for young children, school-age children and adolescents/young adults.
 - b. Adopt a stronger collaborative role in coordinating services funded by others, e.g. Intensive Treatment Services (ITS) Pilot Project and Psychiatric Day Treatment.
 - c. Increase coordination between mental health and academic partners, such as schools and the Educational Service Districts (ESD).
 - d. Expand **collaborations to pool and manage funding**, assuring clear and approved intergovernmental agreements among funders (which could include the county, state, schools, foundations, etc.) which:
 - 1. Achieve mutual benefits from the project,
 - 2. Specify the scope and funding for the project,
 - 3. Delegate specific decision making authority, and
 - 4. Provide clear termination procedures and conditions.
2. Create policies to **encourage blending, braiding, leveraging and/or pooling funds** across departments and with other government and community agencies.
- a. Involve: government and private funders, business, partners, foundations, State Mental Health and Child Welfare, juvenile justice, education/schools, private insurers, primary care/public health, and youth addictions services partners.

- b. Explore ways to maximize flexibility and continuity, without adding bureaucracy or costs.
- 3. Push a **political and public relations agenda** in support of healthy child development as the critical link to society-wide benefits of competent, caring and connected future citizens.

H. Funding

Funding for mental health services in the near term is expected to be limited to current funding levels. Funding strategies should focus on accountability for funds spent, and maintaining or increasing funds for underserved groups, including children.

- 1. Accurately **account for revenues and expenditures** for mental health services for children, including Oregon Health Plan and other state and county funds.
- 2. **Maintain and increase the proportion of children's Oregon Health Plan dollars** that are spent on child and family services.
- 3. **Reallocate existing resources and seek additional funding** as possible.
 - a. Reprioritize use of funds to achieve top priority outcomes.
 - b. Seek additional or new sources of funding, including funding collaborations, to support improved outcomes.
- 4. Develop a system to **coordinate benefits** with **physical health**, and **alcohol and drug** treatment services.
- 5. Prioritize savings from system redesign into **building capacity and expanding services** in the children's mental health system.
- 6. **Funding policies and mechanisms** should:
 - a. Support the System of Care Values and Principles.
 - b. Be invisible to the child and family.
 - c. Prevent any further erosion of funding for children's services.
 - d. Build children's services to the proportionate share of revenues as a floor.
 - e. Invest savings from the adult system in children and youth services to build prevention and early intervention, not limiting total funding to the "floor".

I. Quality Management

A system of managing quality is especially important when transferring flexibility and risk to the provider system. To assure quality services are delivered:

- 1. Develop measures/means to assure that providers reach the desired outcomes over the multi-year plan, and measure progress and movement toward these goals.
- 2. Realign provider expectations through quality management, learning from model cases, training and technical assistance; develop a collaborative model for problem solving.
- 3. Provide monitoring, and utilize enforcement where needed.
- 4. Use outcome measurements that are valid, reliable, age/developmentally appropriate, and efficient/low cost.

J. System Structure

- 1. Adopt a **unified Mental Health Plan**.
 - a. Establish a clear vision and a unified plan, including the needs of children and families; involve others to agree or amend the plan, and move forward.

2. Establish a **Child and Family System of Care Manager** position to coordinate and advocate for children and their families, and to spearhead action to implement the plan.
3. Increase **family involvement** in system development and design.
 - a. Reform CAMHSA membership to include more youth, parents and community members; develop the ability of the group to serve as lead advocate for children's mental health.
 - b. Seek input from families on the design of services.
4. **Contracts applied to children's services** shall incorporate:
 - a. System of Care Values and Principles adopted by Board of Commissioners.
 - b. Require Primary Providers to meet Cultural Competency requirements.
 - c. Incentives for individualized, community-based services that meet the treatment needs of children and their families.
 - d. Incentives for flexible services, non-traditional services, culturally specific services, case management, and intensive services, such as care coordination, respite care and in-home support services.
 - e. Accountability to serve traditionally underserved children: ethnic, linguistic and other minority children; young children; juveniles and young adults; and so-called "non-compliant" youth.
 - f. Incentives for collaboration with involved agencies, including childcare and schools, primary health, alcohol and drug providers, State Department of Human Services and Oregon Youth Authority, Juvenile Justice, Developmental Disabilities, and other community providers.
 - g. Incentives to serve, and accountability for, high-needs children.
 - h. Performance and outcome measures, implementation, and program evaluation, that support the System of Care Values and Principles, specifically including: respect for families, family involvement and empowerment, least restrictive/clinically appropriate services, coordinated/integrated services, culturally competent services, and timely access to appropriate services.
 - i. Encouragement to include family members and a diverse section of the community on their governing boards and committees.

K. Training

In order to help family members, providers, child-serving agencies, and other professionals such as primary care providers and teachers work collaboratively toward a child-centered, family-focused system of effective community-based mental health services, develop and provide education and training on the following topics:

1. The mental health system, community resources, wrap-around services, and how to access these;
2. Family involvement, and child-centered/family-focused service delivery;
3. Normal child development, and emotional and behavioral disorders;
4. Effective parenting/teaching/intervention strategies for children with mental health disorders;
5. Coordinating a child's care;
6. Legal rights and responsibilities and grievance procedures;
7. Level of care determination, evidence-based best practices, and unified plans of care;
8. Evaluative tools and measures.

IV. Early Childhood Recommendations

Recent insights from brain research, along with long-term studies on early childhood care and education, stress the critical importance of good early childhood experiences in the development of healthy mental and emotional functioning throughout life.

To promote healthy development of children, national early childhood mental health experts recommend strategies that: promote the emotional and behavioral well being of young children; address barriers faced by families; expand the competencies of caregivers; and ensure children and families have access to needed services and supports.

Guiding principles for best practices in an early childhood system of care include:

- Strengths-based assessment and services;
- Individualized service delivery;
- Recognition of a family's rights and the resiliency of family systems;
- Family-centered, culturally-sensitive, community-based, coordinated services;
- Service delivery which evolves from current and emerging literature and research;
- Knowledgeable, skilled professionals who choose evidence-based interventions;
- Timing, frequency, duration and intensity of services matched to child and family needs;
- Continuous quality improvement through evaluation of strategies, utilizing outcome measures, and peer review as a quality assurance check.

Young children do have mental health needs. Recent research documents that prevalence rates for mental health issues for young children are identical to rates for children over five: 21% of young children meet the criteria for a psychiatric disorder, and 9.1% for a severe disorder.

Therefore, young children need the same quantity and quality of mental health services and supports those older children and adults require. These services must be delivered in the most natural environment possible, and involve all adults in the child's life. All young child-serving disciplines and organizations must work collaboratively to identify and serve the child and family in a coordinated, timely and effective way. Some children, because of the severity and/or complexity of their needs, will require more intensive levels of care.

For young children (under age 6), the following principles developed by Jane Knitzer, Ed.D., are recognized as a foundation for service delivery and system development.

Ten principles for an Early Childhood Mental Health Service System

1. A family-centered early childhood mental health service system, including mental health and related services, should be designed to support parents of young children to nurture and build caring relationships with them.
2. A family-centered early childhood mental health service system, including mental health and related services, should be designed to support non-parental caregivers of young children to nurture and build caring relationships with them.
3. A family-centered early childhood mental health service system, including mental health and related services, should be delivered, to the greatest extent possible, in natural settings, including homes, child care, health care, and family support settings.
4. A family-centered early childhood mental health service system should be designed to respect developmental processes as well as be flexible and individualized to meet the needs of young children.

5. A family-centered early childhood mental health and related service system should be sensitive to cultural, community and ethnic values of families.
6. Caregivers, home visitors, family workers, and administrators working with infants, toddlers, and preschoolers should have access to clinical services, case consultation and clinical supervision to strengthen their competencies in promoting emotional development in all young children, in young children who are at high risk for developing diagnosable problems, and in young children with already diagnosed problems.
7. Family service workers, home visitors and others working with families of infants, toddlers, and preschoolers and their families (including kinship and other foster parents, grandparents, and non-custodial fathers), should have access to mental health program consultation, case consultation and back up support for families requiring more intensive interventions, particularly if there are issues of substance abuse, domestic violence, child maltreatment, depression, and other mental illness.
8. Caregivers, home visitors, family workers, and administrators working with families of infants, toddlers, and preschoolers should have access to clinical supervision and support in dealing with such staff issues as burnout, cultural, and work place conflicts.
9. Young children, families, and programs experiencing crises related to violence, community disasters or family specific crises should have immediate and as-necessary access to crisis intervention and support.
10. Developing a family- and caregiver-centered early childhood mental health service system requires building partnerships among both primary and secondary support services at the community- and state-levels.

Early Childhood Recommendations:

Recommendations specifically for early childhood are based on the belief that that service to young children means service *through* parents and other caregivers. Appropriate service strengthens the family and achieves an optimal level of development for the child.

1. Expand or prioritize services to **high-risk groups**.
 - a. Adult mental health system should offer no-waiting treatment to new parents with mental health issues, including to mothers identified through Oregon Children's Plan pre-natal and at-birth assessments.
 - b. Expand services to offer mental health service in all Head Start and childcare locations.
2. Improve **outreach** to parents and children.
 - a. Integrate physical and mental health care – make sure there is mental health screening at well-baby visits.
 - b. Provide assessment and treatment at: Early Head Start/Head Start, Childcare settings, Foster Care homes, and Early Intervention/Early Childhood Special Education.
 - c. Prioritize “child find” in high-risk adult populations (e.g. adults with mental health or substance abuse problems, or depression; who are cognitively impaired, incarcerated, or on probation or parole; who are involved with animal control violations, or domestic violence services).
3. Improve the **appropriateness and universality of mental health assessment** for young children.
 - a. Adopt the “DC-0-3” as a diagnostic classification system for children 0-3 years of age (from Zero to Three's National Center for Clinical Infant Programs).

- b. Promote mental health screening for infant's birth to school age as a part of regular health care.
 - c. Provide universal access to specialized and comprehensive mental health assessment for children birth to school age, as referred from health, childcare and early education systems.
- 4. Expand the **continuum of services** for young children.
 - a. Expand therapeutic childcare; expand hours to better meet full day needs of working parents.
 - b. Provide appropriate levels of day treatment within a seamless community-based system of care.
 - c. Develop blended services for young children who have both mental health issues and developmental delays.
 - d. Increase intensive in-home services to assist families of young children with high needs.
 - e. Advocate for mental health services to be delivered where young children are, including within families, in childcare settings, and in conjunction with well-baby and well-child health visits.
- 5. Improve **coordination of services** for young children.
 - a. Coordinate and advocate with physicians, including pediatricians, family practitioners and child psychiatrists.
 - b. Coordinate services with the adult mental health and addictions system, when parents or other family members receive services.
- 6. Improve **training** for people involved in the lives of young children.
 - a. Provide multi-disciplinary training to childcare providers and educators on promoting healthy social and emotional development, identifying children and families with mental health issues, and supporting young children with mental health disturbances.
- 7. Enhance **financing** for mental health services for young children.
 - a. Coordinate benefits for young children with mental health disturbances.
 - b. Promote parity of funding for mental health services in early childhood.
 - c. Evaluate cost-effectiveness of services using best practices and performance measures.
 - d. Advocate for funding through Oregon Health Plan and other health plans for services, based on "DC 0-3" diagnostic categories.

V. Recommendations for School-Age Children

The majority of children age 5 to 18 (and up to 21) are in school a large part of the day. In addition to their families, schools become a critically important part of children's lives.

Recent recommendations from the Surgeon General suggest tapping public schools to identify children with mental health issues, and improving service to racial and ethnic minority groups by locating services where adolescents spend time, i.e., schools. Schools have a special opportunity to identify children's issues because of the natural dynamics that occur between children and their peers and teachers, and children's responsiveness throughout the day.

Therefore mental health services for children should be provided in, or in coordination with, schools. There should be increased partnerships between schools, the County and providers to

provide appropriate screening, assessment and treatment. Training should be provided for school employees on: recognizing mental health issues; the impact on children, families and schools; responding to crisis; working with families and teams; and participating in a child's plan of care.

Parents of school age children should be full partners in developing the treatment plan or plan of care. Services must be appropriate for the child and family's language, culture, community and values. Coordination with other involved partners is also essential.

For children who have mental, emotional or behavioral disorders that qualify for special education services under an Individualized Education Program (IEP), schools, mental health providers and other involved systems should formally coordinate services with one another and with the child's family.

Recommendations for School-Age Children

1. Improve outreach, screening and **assessment** for children and youth.
 - a. Promote use of a common framework for making decisions on level of care in the treatment of children and adolescents age 6 to 18.
 - b. Implement screening for all school age children upon entering school to promote early identification of mental health concerns or disorders.
 - c. Provide information, screening, assessment and referral in schools.
 - d. Provide case management/ coordination of services among the family, providers, schools, and other services.
2. Expand and strengthen the **continuum of services**.
 - a. Improve definitions of outcomes for day treatment services for children.
 - b. Develop community-based intensive services as an alternative to high-cost residential treatment.
 - c. Support services that improve school attendance, academic achievement and positive student behaviors.
 - d. Support culturally and developmentally appropriate school-based services to emphasize: early identification; ease of access; case management; integration of physical health/ mental health/alcohol and drug services; family involvement and choice; individualizes and flexible services; and ongoing treatment and follow-up.
 - e. Provide appropriate levels of service in integrated therapeutic and educational settings, such as therapeutic day schools or day supported classrooms.
3. Seek ways to replace funding and/or service capacity of **expiring grants**:
 - a. Safe Schools grant (\$2.75 M); and
 - b. Center for Substance Abuse Targeted Capacity Enhancement (\$750,000).
4. Improve **financing for high-need children** following residential placement.
 - a. Initiate planning with the State to look at children returning to the community following State-paid residential treatment; look at ways for funding to follow the child, or other ways to improve continuity and outcomes.
5. Develop a **coordinated system for mental health services throughout the schools**, building on the success of current highly effective programs.
6. Improve **long-range system-wide planning** between schools and the County.

VI. Recommendations for Special Youth Populations

The Child and Family System of Care Workgroup recognizes that some children, especially older teens, have special needs, and may not be living with their families or attending school. Teens may have unique issues and cultures that need to be addressed to provide accessible, quality and effective mental health services. Such issues include:

Teen years are the time when many serious and persistent mental health issues emerge (schizophrenia, bi-polar, major depression), and are **also the greatest time for suicide attempts and suicide** to occur. Oregon's rate of suicide attempts for teens increased 5% in 2000; 76% of teen suicide attempts are by girls, and the highest rate of suicides is among sexual minority youth.

Girls may face different challenges than boys. Data shows that the pressures and pathways to self-destructive behaviors, as well as crime, are often different for girls than for boys. Girls' issues are more often internal (eating disorders, depression, substance abuse to mask pain of sexual abuse, running away, suicide attempts), whereas boys tend to externalize their issues, bringing them to the attention of Child Welfare and Juvenile Justice in greater numbers.

Many teens are not eligible for the Oregon Health Plan and therefore have limited access to mental health services. Even for pregnant and parenting teens, enrollment is very limited. Services are needed for teens who do not have insurance, or access to services through schools.

It is a challenge for providers to reach and engage at-risk teens. Teens may lack a strong support system, be parenting themselves, or be victims of violence, addiction or abuse. Too often, mental health services don't find teens who need services until there is a serious crisis.

Services need to be developed that respond to teen's developmental, gender, sexual identity and cultural needs. There frequently is a small "window of opportunity" to get a teen to go to counseling, and an immediate response needs to be available. Teens also need time to develop trust and relationships, but currently therapy is too often designed around structured office visits, which may not feel safe or comfortable for a teen.

Transition to adult services typically occurs at age 18, regardless of the youth's emotional maturity. This is a difficult time for families, who are losing legal authority over their child and are not always part of the adult treatment team. Transition for the teen and family should be planned for and supported, sensitive to the teen's emotional and behavioral needs.

State Committed Youth. A 1999 study showed that 19% of youth committed to the Oregon Youth Correctional Facility might have avoided commitment with appropriate services. Youth were typically: Anglo males, 15 years old, not enrolled in school, a history of problems with school, and with prior dependency referrals. Resources needed were: drug and alcohol secure treatment, mental health placements, culturally appropriate services, and long-term sex-offender treatment. Ease of access (such as no-waiting appointments) and specialized services are needed for this population.

Recommendations for Special Youth Populations:

1. **Improve access for youth.**
 - a. Develop a youth, child and family-centered walk-in clinic at least during "after school/after work" hours, which feels safe for families, has experienced child and family-oriented staff (including expertise in children's medications), and ability to follow-through with the family and child, or teen.

- b. Respond immediately to requests for service for youth; there may be a limited window of opportunity and kids often can't wait.
 - c. Assure that there is no artificial limit on the number of visits a teen may need.
 - d. Recognize that teens sometimes lack consistent family or even foster family involvement, or other natural supports.
- 2. Improve **continuum of services for youth.**
 - a. Develop sub-acute care and other alternatives to hospitalization for adolescents in the community.
 - b. Develop a secure adolescent assessment center; avoid use of arrest or admission to emergency room to obtain a needed assessment or services.
 - c. Educate schools and the public on how to deal with acting-out behavior of youth, rather than referring to the criminal system.
 - d. Develop vocational programs as alternatives for youth.
- 3. Improve services for **homeless youth.**
 - a. Assure there is no waiting list for services for homeless youth.
 - b. Promote access to mental health services at homeless youth service locations.
 - c. Promote building trust between the youth and provider.
 - d. Promote ease of access by minimizing paperwork.
 - e. Train homeless youth providers on County mental health changes and opportunities for their youth.
- 4. Provide mental health and addictions services to address the needs and interests of **sexual minority youth.**
 - a. Develop practical approaches to meeting these needs within school-linked and provider services.
 - b. Advocate with private mental health providers to recognize and support the special needs of sexual minority youth.
 - c. Provide training to educators on the special needs of sexual minority youth.
 - d. Adapt services to the needs of trauma and abuse survivors within this group.
- 5. Increase **services for girls**, especially trauma and abuse survivors, who are currently underserved within the mental health system.
- 6. Improve services for **youth also involved with the Juvenile Justice system.**
 - a. Develop blended/braided funding to pick up costs for continued mental health services when youth go into detention (and Medicaid funds end), and when they come out of detention.
 - b. Improve providers' ability to address youth delinquency, and alcohol and drug problems, concurrently with mental health issues.
 - c. Ensure appropriate mental health services are available to youth being held in the County jail (e.g. due to Measure 11).
 - d. Provide on-site dual-diagnosis assessment for youth in the Juvenile Justice system, along with case management.
 - e. Integrate addiction and mental health services to serve dual diagnosis youth who are in the Juvenile Justice system.
 - f. Prioritize mental health services for youth and families in the Juvenile Justice system who have potential to harm themselves and public.
 - g. Resolve confidentiality issues to improve continuity of services for juvenile justice-involved youth.

7. Improve the process of **transition to the adult system**.
 - a. Involve both youth and parents in transition planning.
 - b. Work with schools to develop a uniform approach to transition to adult services, including development of Individual Transition Plans (ITP).
 - c. Provide assistance to parents and youth in applying for with Supplemental Security Income (SSI), Oregon Health Plan and Disability Services.
8. Improve access to and availability of **wrap-around services**, including:
 - a. Career development;
 - b. Housing;
 - c. Independent living skills;
 - d. Ongoing education.

VII. Recommendations for Quality Assurance Measures

The child and family system of care is distinct from adult service systems and it is still evolving; thus, there is a need for targeted process and performance measures that are specific to the adopted System of Care Values. As the child and family system of care develops, so should its quality management program.

Accountability for the children's system of care will be driven by performance and outcome measures. Outcomes related to System of Care Values should be one factor used in contracting for child mental health services with community providers. They will also be used to assess the overall functioning of the system of care in Multnomah County.

To start with, the Performance Measurements Committee has identified five key areas to measure, which reflect the adopted System of Care Values. The group also recommends that the County's Quality Management Committee form a subcommittee to continue to address and monitor issues related to child and family mental health. Children and family members shall have a central role in evaluating and monitoring the quality of children's mental health services and in determining future areas for measurement. In addition to children and families, the subcommittee should include other children's system stakeholders who may not be members of the larger Quality Management committee, and the subcommittee should also utilize technical assistance from experts in the evaluation of children's systems of care.

The five key areas identified by the performance measures committee are:

AREA 1: Access

GOAL: Children and Families will have timely access to appropriate mental health services

- Children and families will have timely access to a mental health assessment
- Services to children and families will be initiated in a timely manner following the initial assessment

AREA 2: Service Integration

GOAL: Children and families receive services that are integrated, with linkages between child-serving agencies and programs

- For children who have high levels of need that cross multiple child-serving systems, services will be coordinated.

AREA 3: Level of Restrictiveness

GOAL: Children will receive services in the least restrictive, most normative environment that is clinically appropriate

- The level of restrictiveness of both a child's living environment and school placement will stay the same or improve over the course of services
- Children are in the least restrictive educational and living settings that are clinically appropriate.

AREA 4: Cultural Competence

GOAL: Services meet the needs of children and families from diverse ethnic and cultural backgrounds

- Children and youth from diverse ethnic and cultural backgrounds will have equal access to assessment and services
- The cultural and ethnic demographics of children receiving mental health services should be consistent with the demographics of children who are enrolled in the Oregon Health Plan.
- Measures in Areas 1, 2, 3 and 5 will be analyzed for differences by race, ethnicity and language.

AREA 5: Family Involvement

GOAL: Families are full participants in all aspects of the planning and delivery of services

- Families will be involved in the planning and delivery of services for their children.
- Families will be satisfied with the quality and effectiveness of services.
- Family members will be actively and fully involved in decision-making bodies.

AREA 6: Functional Status

GOAL: Children and families improve functionally. Specific goals would be developed by the QM Committee, but would include measures such as:

- Number of days of school attended per year;
- Graduation rates from middle and high school;
- Number of days in detention/incarceration per year;
- Number of child abuse reports,
- Number of days per year spent in hospital or residential settings, as a ratio over days in all services

Mechanisms for monitoring these key areas include family surveys, chart reviews and outcomes measures. All reports to the QM committee should be broken down by age, race/ethnicity and language categories. Multnomah County should continue to improve quality management and refine performance measures.

#

Child and Family System of Care Workgroup

List of Members

Chair: Multnomah County Commissioner Lisa Naito

Assistant Chair: Mark McKechnie Juvenile Rights Project

Committee Members:

Rob Abrams	Department of Community Services/ Children's Mental Health Partnership
Linda Castillo	DCFS/Cultural Competency Committee
Phil Cox	Oregon Youth Authority
Peter Davidson, MD	DCFS/ Mental Health and Addictions Services
Karen Gorton	Early Childhood Care and Education Council
Vern Hoffer	Portland Public Schools
Mary Lou Johnson	Centennial Public Schools
Larry Marx, MD	DCFS/ Mental Health and Addictions Services
Carole Romm	CareOregon
Ralph Summers	State Mental Health
Kathy Turner	Commission on Children Families and Community
David Willis, MD,	Northwest Early Childhood Institute

Parents:

Sandy Bumpus	CAMHSA/National Alliance for the Mentally Ill
Angela Steckly	Mental Health and Addictions Services, Family Involvement Coordinator
Mary Jo Thomas	Foster Parent
Cheri Waller	Foster Parent

Provider Panel:

Gene Borkan, MD	Providence
Bruce Baker	Morrison Center
Norwood Knight-Richardson, MD	Legacy
Jackie Mercer	Native American Recovery Association/Cultural Competency Committee
Derenda Schubert, PhD	Trillium
Ginny Robinson	Mt. Hood Mental Health
Holden Leung	Chinese Service Center/Cultural Competency Committee

Multnomah County Staff Resources:

Tom Wirshup	DCFS/ Mental Health and Addictions Services
Rich Scott	Department of Community Justice
Consuelo Saragoza	Health Department
Nancy Middlebrook	DCFS/ Mental Health and Addictions Services, Addictions Program
Vernon Baker	DCFS/ Developmental Disabilities
Mary Shortall (and/or	Jeanne Wheaton) Aging and Disability Services
Stephen Young	DCFS/ Mental Health and Addictions Services

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Department of Community and Family Services

Fund 156

Adopted Revenue Summary By Division

FY 2000/20001

Code	Revenue Source	Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	BH Division 1600	Fund 156 Grand Total
2007	HUD Futures			1,012,717			1,012,717
2020	Community Development Block Grant			413,000			413,000
2025	CDBG/Portland			313,182			313,182
2062	Public Health Service/Homeless Grant				39,905	50,301	90,206
2071	Community Services Block Grant			631,246			631,246
2072	LIEAP/Energy Program			1,949,933			1,949,933
2073	LIEAP/Weatherization			396,780			396,780
2075	FEMA/United Way			363,055			363,055
2079	Enterprise Community		216,240				216,240
2090	USDOE Weatherization			259,399			259,399
2101	Homeless Chronically Mental Ill					237,884	237,884
2102	Regional Drug Initiative/Portland					248,724	248,724
2106	Substance Abuse Prevention					49,977	49,977
2109	Youth Empowerment/Bonneville			30,000			30,000
2110	OCCF Child Care Development			195,748			195,748
2114	Home Award/HUD			251,402			251,402
2133	Healthy Schools					1,481,356	1,481,356
2148	Housing Stabilization - Federal			59,967			59,967
2149	Youth Investment - Federal			227,797			227,797
2155	Residential Energy Assistance			79,375			79,375
2157	HUD Horizons			1,102,990			1,102,990
2163	Sub Abuse/Mental Health Svce Admin		147,259			1,133,246	1,280,505
2185	Urban/Rural Opportunities Grant		404,996				404,996
2197	HUD Pathways		2,190	357,792		44,602	404,584
2301	CSD Project Team					479,785	479,785
2312	OCCF/JSA			564,362			564,362
2317	Student Retention Initiative			85,629			85,629
2339	Child Abuse Multi-Disciplinary Grant					107,655	107,655
2346	Youth Investment - State			121,367			121,367
2357	Welfare Stipends			30,396			30,396
2359	Video Lottery-Social Services					914,870	914,870
2389	Local 2145 Tax Sharing					549,399	549,399
2393	Oregon Housing Agency			142,299			142,299
2394	SHAP			445,728			445,728
2396	DHR/Roosevelt Coordinator			136,000			136,000
2398	Great Start			87,665			87,665
2415	Mental Health Hospital Beds					1,000,000	1,000,000
2438	Violence Against Women Act	43,237					43,237
2448	Emergency Housing Account/State			658,359			658,359
2602	Care Oregon FCHP					339,417	339,417
2605	Mental Health Grant/State: A & D	82,232		107,096		11,369,782	11,559,110
2605	Mental Health Grant/State: DD				52,864,092		52,864,092
2605	Mental Health Grant/State: Local Admin	587,653	853,619		294,152	403,168	2,138,592
2605	Mental Health Grant/State: MHS					15,373,989	15,373,989
2607	MHD Administration Carryover	140,000			60,001	1,715,077	1,915,078
2609	Title XIX					5,000,000	5,000,000
2641	Title XIX/OMAP					512,896	512,896
2719	City Emergency Funds	332,754		802,230			1,134,984
2735	Head Start Contracts					73,600	73,600
2766	Portland Public Schools (SD #1)			20,000		422,437	442,437
2773	City of Portland			80,000			80,000

Department of Community and Family Services

Fund 156

Adopted Revenue Summary By Division

FY 2000/20001

Code	Revenue Source	Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	BH Division 1600	Fund 156 Grand Total
2782	Parkrose School District					10,000	10,000
2784	Gresham Grade School District					100,000	100,000
2791	Centennial School District					135,000	135,000
2795	HAP/Portland/In Lieu of Tax			319,473			319,473
2798	Portland Utility			137,000			137,000
Federal/State Funds		1,185,876	1,624,304	11,381,987	53,258,150	41,753,165	109,203,482
4014	Patient Fees - 3rd Party Reimbursement					579,000	579,000
4060	DUII Evaluation Fees					511,515	511,515
4900	Misc Charges/Recoveries		15,000	8,600	4,000		27,600
4905	DUII Victim's Panel Fee					86,270	86,270
4907	Tri Met Reimbursement				80,336		80,336
4915	Training Program Fee				18,338	10,000	28,338
6205	Provider Refunds/Health Care			60,000			60,000
6215	Weatherization Rebates			200,000			200,000
6600	General Fund					185,707	185,707
6643	Strategic Investment Program Fund	250,733		627,970			878,703
6801	Casey Foundation					200,000	200,000
6814	Oregon Community Foundation			34,764			34,764
6842	Oregon Food Bank			1,249			1,249
Other Funds		250,733	15,000	932,583	102,674	1,572,492	2,873,482
TOTAL PROGRAM REVENUE		1,436,609	1,639,304	12,314,570	53,360,824	43,325,657	112,076,964
7601	County General Fund Indirect	252,922	713,430	759,024	1,213,484	1,811,887	4,750,747
7601	County General Fund Match	10,500		80,000	1,004,053	256,970	1,351,523
7601	County General Fund Subsidy	1,562,844	4,036,779	15,442,667	379,613	9,137,125	30,559,028
SUPPLEMENTAL GENERAL FUND REVENUE		1,826,266	4,750,209	16,281,691	2,597,150	11,205,982	36,661,298
GRAND TOTAL		3,262,875	6,389,513	28,596,261	55,957,974	54,531,639	148,738,262

Department of Community and Family Services

Fund 395

Adopted Revenue Summary By Division

FY 2000/2001

Code	Revenue Source	Business Services 0120	BH Division 1600	Fund 395 Grand Total
0500	Beginning Working Capital			0
2603	Title XIX - Medicaid FQHC	515,251	22,756,749	23,272,000
Federal/State Funds		515,251	22,756,749	23,272,000
5000	Interest On Investments	180,156	93,872	274,028
Other Funds		180,156	93,872	274,028
TOTAL PROGRAM REVENUE		695,407	22,850,621	23,546,028
7601	County General Fund Indirect	102,476	448,864	551,340
SUPPLEMENTAL GENERAL FUND REVENUE		102,476	448,864	551,340
GRAND TOTAL		797,883	23,299,485	24,097,368

Department of Community and Family Services												
Funds 156 & 395 Combined												
Actual Revenue Summary By Division												
FY 2000/2001												
		Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	A&D 1600	MH 1600	DCFS Grand Total	MH-Adult One Time Only	MH-Child One Time Only	MH-Total Base Funds	MH-Child Base Funds
Code	Revenue Source											
20070	HUD Futures	-	-	1,078,818	-			1,078,818			-	
20071	HUD Turning Point			282,000				282,000			-	
20200	Community Development Block Grant	-	-	435,820	-			435,820			-	
20201	HUD CDBG Flood			686,007				686,007			-	
20240	HUD CDBG Gresham			38,950				38,950			-	
20250	CDBG/Portland	-	-	272,083	-			272,083			-	
20251	PDX CDBG Vouchers			12,960				12,960			-	
20360	Task Order # 15		61,534					61,534			-	
20620	FED: Homeless General	-	-				50,173	50,173			50,173	
20621	PDX McKinney				38,440			38,440			-	
20710	Community Services Block Grant	-	-	623,044	-			623,044			-	
20720	LIEAP/Energy Program	-	-	306,400	-			306,400			-	
20722	PDX Water Sewer D/A			34,226				34,226			-	
20723	OHCS LIEAP Leverage			50,058				50,058			-	
20724	OHCS LIEAP Client Ed			120,250				120,250			-	
20725	OHCS LIEAP Program			2,493,513				2,493,513			-	
20730	LIEAP/Weatherization	-	-	588,202	-			588,202			-	
20750	FEMA/Nat Board Prog	-	-	538,136	-			538,136			-	
20790	Enterprise Community	-	205,629		-			205,629			-	
20900	USDOE Weatherization	-	-	257,870	-			257,870			-	
20911	HAP Richmond Place			77,464				77,464			-	
21010	Bridgeview PDX	-	-		-		237,884	237,884			237,884	
21020	Regional Drug Initiative/Portland	-	-		-	204,903		204,903			-	
21060	Substance Abuse Prevention	-	-		-	64,848		64,848			-	
21090	Youth Empowerment/Bonneville	-	-	27,708	-			27,708			-	
21100	OCCF Child Care Development	-	-	192,115	-			192,115			-	
21140	Portland Home / HUD	-	-	5,394	-			5,394			-	
21330	Safe/Healthy Schools	-	-		-		1,043,421	1,043,421	-	1,043,421	-	
21480	Housing Stabilization - Federal	-	-	81,795	-			81,795			-	
21490	Youth Investment - Federal	-	-	227,783	-			227,783			-	
21550	Residential Energy Assistance	-	-	62,549	-			62,549			-	
21551	OHCS PAC Corp Reach			27,885				27,885			-	
21560	OHCS BPA T&TA			304				304			-	
21570	HUD Horizons	-	-	1,022,523	-			1,022,523			-	
21630	Sub Abuse/Mental Health Svce Admin	7,349	127,361		-	566,778		701,488			-	
21631	Jail Diversion					224,863		224,863			-	
21650	COPS MORE					10,000		10,000			-	
21850	Urban/Rural Opportunities Grant	-	640,618		-			640,618			-	
21970	HUD Pathways	-	2,190	232,921	-			235,111			-	
23010	SCF A&D	-	-		-	245,615		245,615	-		-	
23010	SCF To Partnership						190,975	190,975	-	190,975	-	
23120	OCCF/JSA	-	-	576,212	-			576,212			-	
23170	Student Retention Initiative	-	-	81,530	-			81,530			-	
23350	OHCS OEAP			1,045,846				1,045,846			-	
23381	DHR Discretionary In			41,351				41,351			-	
23390	Child Abuse Multi-Disciplinary Grant	-	-		-		58,750	58,750			58,750	58,750
23460	Youth Investment - State	-	-	121,366	-			121,366			-	
23570	Welfare Stipends	-	-	37,297	-			37,297			-	
23590	Video Lottery-Social Services	-	-		-	850,580		850,580			-	
23890	Local 2145 Tax Sharing	-	-		-	619,693		619,693			-	
23930	Oregon Housing Agency	-	-	89,636	-			89,636			-	
23940	SHAP	-	-	432,205	-			432,205			-	
23960	DHR/Roosevelt Coordinator	-	-	80,000	-			80,000			-	
23980	Great Start	-	-	102,117	-			102,117			-	
24380	Violence Against Women Act	55,913	-		-			55,913			-	
24480	Emergency Housing Account/State	-	-	679,948	-			679,948			-	
26020	Care Oregon/ODS CD Reimb	-	-		-	431,322		431,322			-	
26030	Medicaid DHS-FED			56,000				56,000			-	
3002	Title XIX - OHP Capitation Revenue	-	621,022		-		26,120,245	26,741,267			26,120,245	8,711,102
												From OHP Monthly Enrollment Tapes

Department of Community and Family Services												
Funds 156 & 395 Combined												
Actual Revenue Summary By Division												
FY 2000/2001												
		Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	A&D 1600	MH 1800	DCFS Grand Total	MH-Adult One Time Only	MH-Child One Time Only	MH-Total Base Funds	MH-Child Base Funds
Code	Revenue Source											
81000	Mental Health Grant/State: DD				56,117,088			56,117,088			-	
84048	Mental Health Grant/State: DD 48 C/O				112,756			112,756			-	
83070	Mental Health Grant/State: A & D	-	-		-	11,573,753		11,573,753			-	
81045	Mental Health Grant/State: DD45	126,342	-					126,342			-	
80001	Mental Health Grant/State: Local Admin	545,347	807,922		282,859		545,763	2,181,891			545,763	
26050	Mental Health Grant/State: MHS 20	-	-		-		1,481,507	1,481,507			1,481,507	
82021	Mental Health Grant/State: MHS 21 (JCAHO & DARTS)						560,803	560,803			560,803	560,803
82022	Mental Health Grant/State: MHS 22						310,249	310,249			310,249	310,249
82022	Mental Health Grant/State: MHS 22 To Partnership						207,673	207,673			207,673	207,673
84022	Mental Health Grant/State: MHS 22 C/O						203,555	203,555	-	203,555	-	
82024	Mental Health Grant/State: MHS 24						3,009,822	3,009,822			3,009,822	13,052
82024	Mental Health Grant/State: MHS 24 To Partnership						316,576	316,576			316,576	316,576
82025	Mental Health Grant/State: MHS 25						3,851,653	3,851,653			3,851,653	424,486
82000	Mental Health Grant/State: MHS 28 thru 39						4,344,999	4,344,999			4,344,999	
84999	MHD Administration Carryover	-	-		-		805,233	805,233	805,233		-	
26030	Title XIX Intensive Treatment Services	-	-		-		2,721,162	2,721,162			2,721,162	2,721,162
26410	Title XIX/OMAP JJ-AITP	-	-		-		89,865	89,865			89,865	89,865
26410	OMAP Treatment Foster Care						335,144	335,144			335,144	335,144
26411	OMAP Reimbursements for County CTS*						29,516	29,516			29,516	29,516
26540	OHSU NIAA		6,371					6,371			-	
27190	Portland General Fund	353,352	-	820,986	-			1,174,338			-	
27350	Head Start Contracts	-	-		-		72,400	72,400			72,400	72,400
27660	Portland Public Schools Partnership						422,437	422,437			422,437	422,437
27660	Portland Public Schools	-	-	20,000	-		-	20,000			-	
27730	City of Portland Water Sewer	-	-	21,351	-			21,351			-	
27820	Parkrose School District	-	-		-		10,000	10,000			10,000	10,000
27840	Gresham Grade School District Partnership	-	-		-		100,000	100,000			100,000	100,000
27910	Centennial School District Partnership	-	-		-		60,000	60,000			60,000	60,000
27910	Centennial School District	-	-		-		75,000	75,000			75,000	75,000
27950	PDX Pilot	-	-	346,555	-			346,555			-	-
27980	Portland Block by Block	-	-	103,561	-			103,561			-	-
	Partnership Carryover from FY '00						474,965	474,965		474,965	-	-
76010	Partnership CGF						27,818	27,818			27,818	27,818
Federal/State Funds		1,088,303	2,472,647	14,432,739	56,551,143	14,792,355	47,757,588	137,094,775	805,233	1,912,916	45,039,439	14,548,033
40141	Patient Fees - CERES Reimbursement						139,268	139,268	-	139,268	-	-
40600	DUII Evaluation Fees	-	-		-	261,875		261,875			-	-
41460	Domestic Part DV Fee			6,527				6,527			-	-
49000	Misc Charges/Recoveries	-	18,139	2,196	32		1,306	21,673	1,306		-	-
49050	DUII Victim's Panel Fee	-	-		-	126,628		126,628			-	-
49070	Tri Met Rider Fees	-	-		35,756		-	35,756	-		-	-
49151	Training Program Fee	-	-		17,754		3,878	21,632	3,878		-	-
62050	Provider Refunds/Health Care	-	-	8,560				8,560			-	-
62150	Weatherization Rebates	-	-	184,922	-			184,922			-	-
62270	Loan Repays CDBG			81,504				81,504			-	-
66000	General Fund SOAP RAPP	-	-		-		165,182	165,182			165,182	165,182
67030	Donations Private	4,868						4,868			-	-
66430	Strategic Investment Program Fund	167,916	-		-			167,916			-	-
68510	Annie E Casey	52,089						52,089			-	-
68010	Casey Foundation (Partnership)	-	-		-		100,000	100,000	-	100,000	-	-
68140	Oregon Community Foundation	-	-	44,444	-			44,444			-	-
68190	Oregon Heat	-	-	2,127				2,127			-	-
6842	Oregon Food Bank	-	-		-			-			-	-
Other Funds		224,873	18,139	330,280	53,542	388,503	409,634	1,424,971	5,184	239,268	165,182	165,182
TOTAL PROGRAM REVENUE		1,313,176	2,490,786	14,763,019	56,604,685	15,180,858	48,187,222	138,519,746	810,417	2,152,184	45,204,621	14,711,215
78011	County General Fund Match	11,025	-		1,004,053		256,970	1,272,048	-		256,970	256,970
76010	County General Fund Subsidy	1,351,839	3,685,982	15,196,602	180,491	2,513,182	6,691,962	29,820,058	-		6,691,962	2,860,167
SUPPLEMENTAL GENERAL FUND REVENUE		1,362,864	3,685,982	15,196,602	1,184,544	2,513,182	6,948,932	30,892,106	-	-	6,948,932	3,107,137

CMH DAY PSY CGF MATCH-DARTS
See Work Breakdown Structure (WBS)*
*Note: Child Base Fund does not include CGF

BEFORE THE BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

RESOLUTION NO. 02-034

Adopting Recommendations from the Child and Family System of Care Phase II Workgroup for Children's Mental Health System Redesign

The Multnomah County Board of Commissioners Finds:

- a. The Child and Adolescent Workgroup of the Mental Health Design Team issued a final report in September 2000 that detailed recommendations to create an integrated mental health system of care for children and families.
- b. The Child and Family System of Care Phase II Workgroup was convened in November 2001 to make recommendations to the Multnomah County Board of Commissioners for the implementation of the Children's Mental Health System redesign.
- c. The Board of Commissioners subsequently adopted Resolution No. 01-153 which provides the Values and Principles to guide the Children's Mental Health System redesign.
- d. The Child and Family System of Care Phase II Workgroup concluded its work in January 2002 and issued the attached report.

The Multnomah County Board of Commissioners Resolves:

1. The January 29, 2002 Final Report of the Child and Family System of Care Phase II Workgroup is adopted.

ADOPTED this 7th day of March, 2002.



REVIEWED:

BOARD OF COUNTY COMMISSIONERS
FOR MULTNOMAH COUNTY, OREGON

Diane M. Linn, Chair

THOMAS SPONSLER, COUNTY ATTORNEY
FOR MULTNOMAH COUNTY, OREGON

By
Katie Gaetjens, Assistant County Attorney

Child and Family System of Care Workgroup

Recommendations for Children's Mental Health Redesign

Final Report – 01/29/02

I. Background

The Child and Adolescent Workgroup of the Mental Health Design Team final report in September 2000 recommended a developmental model of mental health intervention for children, adolescents and their families. Prevention and early intervention would be provided for those at risk of later involvement with the mental health system, and intervention would be based on age-appropriate outcomes within context of the family system. The report detailed recommendations to create an integrated system of care, broaden access to developmentally appropriate and comprehensive services, and unify the mental health system's structure.

A Child and Family System of Care Workgroup was subsequently convened by Commissioner Lisa Naito, to focus recommendations for the Department of Community and Family Services on Phase II and III of the Multnomah County Mental Health Redesign.

Goals adopted by the Workgroup were: children and families have access to a continuum of services in a timely manner, children and families have the ability to choose among services and providers; contracts for providers assure adherence to the System of Care Values and Principles; and providers coordinate services with other systems on behalf of consumers.

The Workgroup recognizes that much is right in our current children's mental health system: we have excellent services available; we have made great progress in delivering services in schools and other natural settings; and our community has come to recognize the mental health needs of young children. However, we have challenges, too. Our system is not serving all children who have needs, due to the combination of inadequate funding, gaps in services, capacity and quality, and lack of access and referral. There are large gaps in service for some ethnic or cultural groups. Parents too often are not fully included in planning for their child's care. The overall system is fragmented, lacks coordination, and doesn't adequately promote early care, often resulting in a crisis situation before the need for care is addressed.

The strength of our Child and Family System of Care in Multnomah County is that we recognize the need to work together to address these issues. The recommendations in this report will be presented to the Department of Community and Family Services, to use in the development of their Mental Health Redesign Plan for children. These recommendations also can serve as a framework for all partners in our Child and Family System of Care as we work toward our goals.

II. System of Care Values and Principles

On November 29, 2001, The Board of County Commissioners for Multnomah County adopted Values and Principles for Child and Family Mental Health System Redesign*, as recommended by the Child and Family System of Care Workgroup. (Bold type is added.)

A. Values

1. The system of care will be **child centered and family focused** and the needs of the child and family determine the types and mix of services provided.
2. The system of care will be **community based**. Service management shall be individualized.
3. The system of care will be **culturally competent**. Agencies, programs, and services will be responsive to the cultural, racial, and ethnic differences of the children and families they serve.

B. Principles

1. Child mental health consumers and their families will have access to a **comprehensive array of services** that address their physical, emotional, social, and educational needs.
2. Child mental health consumers and their families will receive services in accordance with the unique needs and potentials of each child guided by **individual service plans**.
3. Child mental health consumers and their families will receive services within the **least restrictive most normative environment** that is clinically appropriate.
4. The families and surrogate families of children with mental health disorders will be **full participants** in all aspects of the planning and delivery of services.
5. Child mental health consumers and their families will receive **integrated services**. Child-serving agencies and programs will be connected with planning, development, and coordination of services.
6. Child mental health consumers and their families will receive case management or other services so that **multiple services are delivered in a coordinated and therapeutic manner**, and that services meet their changing needs.
7. **Early identification and intervention** for children with emotional, behavioral or mental disorders and their families will be promoted to enhance the chances of positive outcomes.
8. Children with mental health disorders should be ensured **smooth transitions** to the adult service system as they reach maturity.
9. The **rights** of children with emotional, behavioral and mental disorders **will be protected and effective advocacy** for children and adolescents with such disorders will be promoted.
10. Child mental health consumers and their families will **receive services without regard** to race, religion, national origin, sex, physical disability, or other characteristics, and services will be **sensitive and responsive to cultural differences and special needs**.

*These are adapted from CASSP Principles (Child and Adolescent Service System Program) as published in Stroul & Friedman's, A system of care for children and youth with severe emotional disturbance, 1986. Some language has been changed to be more inclusive of family involvement and to address children of all ages with a wide range of mental health risk factors and disorders.

III. Recommendations for All Children

The Child and Family System of Care Workgroup recognizes that it is important to deliver services based on the strengths of the child and family. The mental health service system for children should therefore respond to children within context of how the child lives – in a family, involved in childcare or school, and as part of a culture and community.

Parents, or other primary caregivers, have a special role in developing and participating in their child's treatment plan. Throughout this report, "parent" will mean the responsible caregiver for a child, whether: both parents, a single parent, a grandparent or other relative, foster parents, or another person with custody of the child.

Children live in diverse families and cultures. To be effective, mental health services must be appropriate to the language, culture, community and values of the family and child.

A primary goal of mental health services for children is to help the child develop and thrive mentally, emotionally and behaviorally. This growth process needs to be encouraged, anticipated and planned for in mental health service delivery. Services should be delivered in the most natural, least restrictive and most appropriate setting to meet the needs of the child and family.

Because most preschool age children are involved in some type of out-of-home care, and the public education system has a responsibility for children over age 5, involvement of childcare and education systems in identifying children's needs and participating in treatment is essential. Research shows that delivering mental services in a school setting improves access for children and families.

In addition, most children receive health care through family practice physicians, pediatricians, County Health Clinics, or other settings. Health providers can assist families to identify and refer for mental health assessment and services, or offer mental health services on-site.

Many children or their families are involved in other systems as well. Coordination with these systems (such as addiction services, child welfare or corrections) is needed, as well as assistance to obtain services to meet the child or family's needs (such as adequate income, employment, housing, or food).

Recommendations for All Children:

A. Child and Family Focused

Services should be easy to locate and easy to get into; the type and mix of services should be based on the needs of the child and family. To accomplish this:

1. Improve access to mental health services for children, youth and their families.
 - a. Educate youth and families about mental health services and how to access them.
 - b. Provide outreach, education and early intervention specifically for children and youth.
 - c. Enhance the Call Center's ability to respond to youth and families, by adding staff with children's expertise and increasing multi-lingual/multi-cultural staff.
 - d. Inform families of the availability of culturally specific and linguistically appropriate services.
 - e. Develop community awareness of mobile crisis unit's service for children in crisis; strengthen mobile crisis coordination with children's providers;

develop other crisis services in keeping with value of reaching out to all in need.

- f. Develop walk-in clinic services that are comfortable for children, youth and families.
 - g. Assure that providers meet access requirements, including no-appointment necessary or other means for meeting urgent needs, and non-urgent new service within 2 weeks of the request.
 - h. Provide for immediate access to services for children, with no waiting lists or gaps in service availability.
 - i. Provide for a seamless transition from Oregon Health Plan (OHP) to non-OHP services.
- 2. Increase **family involvement** in treatment planning and coordination of care.
 - a. Support the parent as a full participant and equal partner in treatment planning, with a goal for the parent to assume as much of the coordination role as they are able.
 - 3. **Empower families** with information, support and training.
 - a. Use strategies such as family support groups, training sessions, and assistance of family members, to help inform and engage other families in clinical treatment.

B. Community Based

Community based services and individualized service management should form the foundation for prevention, early intervention and treatment. To accomplish this:

- 1. Continue **prevention, outreach and early intervention**, using a public health model.
 - a. In collaboration with community partners, continue to provide pre-diagnosis consultation, education, screening, child and family support, and early intervention to optimize treatment outcomes, and to identify child and family needs and refer for appropriate treatment.
- 2. Promote **natural settings** as the preferred location for clinical services.
 - a. Promote selection of setting based on the child and family's needs and preference, e.g. childcare, schools, family homes, other community settings, or clinics.
 - b. Realign treatment practice through incentives, training and quality management.

C. Culturally Competent

Reaching and effectively serving children from Multnomah County's increasingly diverse cultural, racial and ethnic membership is a major work area for the County and the community. The system should be responsive to cultural preferences and needs from outreach to families, through the provision of services to meet each child and family's needs. The Surgeon General's recent recommendations* on eliminating racial/ethnic and socioeconomic disparities in access to services, suggested co-locating services with other key systems, and strengthening the capacity of schools as a link to meet the needs of youth and their families where they are. To accomplish this:

- 1. Increase **multi-lingual/multi-cultural staffing**, leadership and volunteerism throughout the system, so that minority children and youth are assessed and diagnosed appropriately, and treatment and support are sensitive to multi-cultural issues.

2. Map current service and demographic information; **identify underserved ethnic groups** and gaps in services; develop culturally competent resources or implement outreach plans to **increase numbers of children and youth served** from these groups.
3. Identify **effective treatment modalities** and best practices for reaching and serving cultural, racial and ethnic groups; incorporate these into provider's practice.

*See "Report of the Surgeon General's Conference on Children's Mental Health, A National Action Agenda", DHHS, September 2000. Recommendations of the report include improving: public awareness, assessment and recognition of needs, access and coordination, and use of scientifically-proven prevention and treatment services.

D. Comprehensive Array of Services

A comprehensive array of services should be available to address children's and families' physical, emotional, social, safety and educational needs, with services appropriate for each age group: early childhood, school-age children, and teens/youth. To accomplish this:

1. **Fill gaps** in the continuum of services for children and youth.
 - a. In cooperation with providers, identify current service capacity and gaps, and build a more comprehensive array, include cultural competency throughout this process.
 - b. Contract and develop partnerships with the full range of providers, including acute and residential; no single provider currently offers a full range of services for children.
 - c. Develop a specific plan and enhance resources to serve high-need children.
 - d. Increase acute care alternatives for children, such as therapeutic respite care.
 - e. Expand availability of respite care to families.
 - f. Improve crisis response for children and youth.
 - g. Incorporate and integrate services with addictions services for youth.
 - h. Improve access to voluntary services for traditionally underserved populations to address minority over-representation in involuntary systems.
2. **Assure flexible services** to meet individual child and family needs.
 - a. Develop ways for the system to maintain and increase flexibility to allow the plan of care to specifically address the needs of the child and family.
 - b. Promote facilitating access to supportive, community and wrap-around services, including those not reimbursed by the Oregon Health Plan (OHP).
 - c. Through training and system development, expand beyond the appointment-based service model toward family and home-based models, in natural settings such as childcare and schools, and implement other evidence based best practices.
3. **Customize delivery systems** as needed for each age group: early childhood, school age, and teens/youth.
 - a. Develop appropriate incentives for providers to serve children with intensive needs in each age group.
 - b. Recognize the different developmental stages of children, and that natural settings and needs may change significantly with the child's age.

E. Integrated, Coordinated Services

For children involved in the mental health system, there are always other systems involved in the child's life: their family, childcare and/or school, physician, and often other service providers as well. These services should be integrated and/or coordinated in a System of Care for the child and family. Each agency/system will be responsible to fulfill its own legal and contractual obligations, but should at the same time focus on contributing to a seamless and coherent plan of care that addresses the identified needs of the child and family. To accomplish this:

1. Develop **case management** as a standard of care to serve the majority of MH needs.
 - a. Promote case management as the point of responsibility within the mental health system to coordinate with the child and family's system of care, assure services in plan of care are delivered, and assure there is no break in services.
 - b. Focus case management especially on high-needs children: e.g. who are at risk of residential or hospital placement, are in foster care; are receiving services from juvenile justice or multiple systems; or have no family or a non-participating or non-compliant family.
 - c. Develop a way to coordinate and manage the points where kids cross systems, such as discharge planning from residential care, or entry into foster care.
 - d. Develop procedures with system of care partners for designating a primary case manager when more than one agency is involved.
 - e. Provide training for providers on case management.
2. Clarify and integrate County and provider **direct service roles**.
 - a. Provide pre-diagnosis prevention, outreach and early intervention through a public health model, using County general fund.
 - b. Provide intensive community support as a safety net to assure service delivery to children and families, when needed.
 - c. Clarify service priorities and responsibilities for multiple partners in the child and family system of care, including: County mental health; mental health providers; other County programs; State Mental Health, Developmental Disabilities, and Department of Human Services (DHS), community services, schools, and County funded services at schools.
 - d. Encourage public/ private partnerships.
3. Promote **involvement of other involved agencies/individuals** in developing a unified plan and participation in the system of care.
4. Improve **support for foster families**, and services for children in Foster Care.
 - a. Provide a comprehensive assessment and care plan for children in foster care within the timeline of the legally responsible agency.
 - b. Provide training for foster families on supporting young children with mental health needs, including crisis prevention, intervention, and specialized parenting skills.
 - c. Expand availability of respite care to foster families.
 - d. Work as a partner to support and develop capable foster care providers.

F. System of Care Clinical Development

1. Identify evidence-based **best practices**, and realign treatment practice and funding across the system of care.

- a. Learn what works from research, best practices, and pilot projects; translate this into policy and systems development for the population.
 - b. Promote universal training and experience in developmentally appropriate assessment and intervention for professionals serving children.
 - c. Use training, advocacy and quality management to inform and motivate practitioners.
 - d. Work with providers to develop outcomes-based measurements.
 - e. Promote universal training and experience in wrap-around philosophy and service provision for all care providers in child and family serving systems.
2. Develop the **mental health work force**.
- a. Collaborate with providers, the State and local schools and universities to increase the number of qualified multi-lingual, multi-cultural professional staff working in the community.
 - b. Provide cross training in increase knowledge throughout the system of care.
3. Develop a **culturally specific** and linguistically appropriate service system.
- a. Provide support to develop culturally specific and linguistically appropriate services.
 - b. Coordinate services between culturally specific/culturally competent providers and other systems for the child and family's benefit.

G. Collaboration

County leadership should focus on collaboration with the multiple partners involved in the system of care for children and families.

1. **Collaborate with others** to further develop the system of care for children and families.
- a. Work across county departments and with other governmental and private child-serving agencies, with a goal of expanding combined efforts for young children, school-age children and adolescents/young adults.
 - b. Adopt a stronger collaborative role in coordinating services funded by others, e.g. Intensive Treatment Services (ITS) Pilot Project and Psychiatric Day Treatment.
 - c. Increase coordination between mental health and academic partners, such as schools and the Educational Service Districts (ESD).
 - d. Expand **collaborations to pool and manage funding**, assuring clear and approved intergovernmental agreements among funders (which could include the county, state, schools, foundations, etc.) which:
 - 1. Achieve mutual benefits from the project,
 - 2. Specify the scope and funding for the project,
 - 3. Delegate specific decision making authority, and
 - 4. Provide clear termination procedures and conditions.
2. Create policies to **encourage blending, braiding, leveraging and/or pooling funds** across departments and with other government and community agencies.
- a. Involve: government and private funders, business, partners, foundations, State Mental Health and Child Welfare, juvenile justice, education/schools, private insurers, primary care/public health, and youth addictions services partners.

- b. Explore ways to maximize flexibility and continuity, without adding bureaucracy or costs.
3. Push a **political and public relations agenda** in support of healthy child development as the critical link to society-wide benefits of competent, caring and connected future citizens.

H. Funding

Funding for mental health services in the near term is expected to be limited to current funding levels. Funding strategies should focus on accountability for funds spent, and maintaining or increasing funds for underserved groups, including children.

1. Accurately **account for revenues and expenditures** for mental health services for children, including Oregon Health Plan and other state and county funds.
2. **Maintain and increase the proportion of children's Oregon Health Plan dollars** that are spent on child and family services.
3. **Reallocate existing resources and seek additional funding** as possible.
 - a. Reprioritize use of funds to achieve top priority outcomes.
 - b. Seek additional or new sources of funding, including funding collaborations, to support improved outcomes.
4. Develop a system to **coordinate benefits** with **physical health**, and **alcohol and drug** treatment services.
5. Prioritize savings from system redesign into **building capacity and expanding services** in the children's mental health system.
6. **Funding policies and mechanisms** should:
 - a. Support the System of Care Values and Principles.
 - b. Be invisible to the child and family.
 - c. Prevent any further erosion of funding for children's services.
 - d. Build children's services to the proportionate share of revenues as a floor.
 - e. Invest savings from the adult system in children and youth services to build prevention and early intervention, not limiting total funding to the "floor".

I. Quality Management

A system of managing quality is especially important when transferring flexibility and risk to the provider system. To assure quality services are delivered:

1. Develop measures/means to assure that providers reach the desired outcomes over the multi-year plan, and measure progress and movement toward these goals.
2. Realign provider expectations through quality management, learning from model cases, training and technical assistance; develop a collaborative model for problem solving.
3. Provide monitoring, and utilize enforcement where needed.
4. Use outcome measurements that are valid, reliable, age/developmentally appropriate, and efficient/low cost.

J. System Structure

1. Adopt a **unified Mental Health Plan**.
 - a. Establish a clear vision and a unified plan, including the needs of children and families; involve others to agree or amend the plan, and move forward.

2. Establish a **Child and Family System of Care Manager** position to coordinate and advocate for children and their families, and to spearhead action to implement the plan.
3. Increase **family involvement** in system development and design.
 - a. Reform CAMHSA membership to include more youth, parents and community members; develop the ability of the group to serve as lead advocate for children's mental health.
 - b. Seek input from families on the design of services.
4. **Contracts applied to children's services** shall incorporate:
 - a. System of Care Values and Principles adopted by Board of Commissioners.
 - b. Require Primary Providers to meet Cultural Competency requirements.
 - c. Incentives for individualized, community-based services that meet the treatment needs of children and their families.
 - d. Incentives for flexible services, non-traditional services, culturally specific services, case management, and intensive services, such as care coordination, respite care and in-home support services.
 - e. Accountability to serve traditionally underserved children: ethnic, linguistic and other minority children; young children; juveniles and young adults; and so-called "non-compliant" youth.
 - f. Incentives for collaboration with involved agencies, including childcare and schools, primary health, alcohol and drug providers, State Department of Human Services and Oregon Youth Authority, Juvenile Justice, Developmental Disabilities, and other community providers.
 - g. Incentives to serve, and accountability for, high-needs children.
 - h. Performance and outcome measures, implementation, and program evaluation, that support the System of Care Values and Principles, specifically including: respect for families, family involvement and empowerment, least restrictive/clinically appropriate services, coordinated/integrated services, culturally competent services, and timely access to appropriate services.
 - i. Encouragement to include family members and a diverse section of the community on their governing boards and committees.

K. Training

In order to help family members, providers, child-serving agencies, and other professionals such as primary care providers and teachers work collaboratively toward a child-centered, family-focused system of effective community-based mental health services, develop and provide education and training on the following topics:

1. The mental health system, community resources, wrap-around services, and how to access these;
2. Family involvement, and child-centered/family-focused service delivery;
3. Normal child development, and emotional and behavioral disorders;
4. Effective parenting/teaching/intervention strategies for children with mental health disorders;
5. Coordinating a child's care;
6. Legal rights and responsibilities and grievance procedures;
7. Level of care determination, evidence-based best practices, and unified plans of care;
8. Evaluative tools and measures.

IV. Early Childhood Recommendations

Recent insights from brain research, along with long-term studies on early childhood care and education, stress the critical importance of good early childhood experiences in the development of healthy mental and emotional functioning throughout life.

To promote healthy development of children, national early childhood mental health experts recommend strategies that: promote the emotional and behavioral well being of young children; address barriers faced by families; expand the competencies of caregivers; and ensure children and families have access to needed services and supports.

Guiding principles for best practices in an early childhood system of care include:

- Strengths-based assessment and services;
- Individualized service delivery;
- Recognition of a family's rights and the resiliency of family systems;
- Family-centered, culturally-sensitive, community-based, coordinated services;
- Service delivery which evolves from current and emerging literature and research;
- Knowledgeable, skilled professionals who choose evidence-based interventions;
- Timing, frequency, duration and intensity of services matched to child and family needs;
- Continuous quality improvement through evaluation of strategies, utilizing outcome measures, and peer review as a quality assurance check.

Young children do have mental health needs. Recent research documents that prevalence rates for mental health issues for young children are identical to rates for children over five: 21% of young children meet the criteria for a psychiatric disorder, and 9.1% for a severe disorder.

Therefore, young children need the same quantity and quality of mental health services and supports those older children and adults require. These services must be delivered in the most natural environment possible, and involve all adults in the child's life. All young child-serving disciplines and organizations must work collaboratively to identify and serve the child and family in a coordinated, timely and effective way. Some children, because of the severity and/or complexity of their needs, will require more intensive levels of care.

For young children (under age 6), the following principles developed by Jane Knitzer, Ed.D., are recognized as a foundation for service delivery and system development.

Ten principles for an Early Childhood Mental Health Service System

1. A family-centered early childhood mental health service system, including mental health and related services, should be designed to support parents of young children to nurture and build caring relationships with them.
2. A family-centered early childhood mental health service system, including mental health and related services, should be designed to support non-parental caregivers of young children to nurture and build caring relationships with them.
3. A family-centered early childhood mental health service system, including mental health and related services, should be delivered, to the greatest extent possible, in natural settings, including homes, child care, health care, and family support settings.
4. A family-centered early childhood mental health service system should be designed to respect developmental processes as well as be flexible and individualized to meet the needs of young children.

5. A family-centered early childhood mental health and related service system should be sensitive to cultural, community and ethnic values of families.
6. Caregivers, home visitors, family workers, and administrators working with infants, toddlers, and preschoolers should have access to clinical services, case consultation and clinical supervision to strengthen their competencies in promoting emotional development in all young children, in young children who are at high risk for developing diagnosable problems, and in young children with already diagnosed problems.
7. Family service workers, home visitors and others working with families of infants, toddlers, and preschoolers and their families (including kinship and other foster parents, grandparents, and non-custodial fathers), should have access to mental health program consultation, case consultation and back up support for families requiring more intensive interventions, particularly if there are issues of substance abuse, domestic violence, child maltreatment, depression, and other mental illness.
8. Caregivers, home visitors, family workers, and administrators working with families of infants, toddlers, and preschoolers should have access to clinical supervision and support in dealing with such staff issues as burnout, cultural, and work place conflicts.
9. Young children, families, and programs experiencing crises related to violence, community disasters or family specific crises should have immediate and as-necessary access to crisis intervention and support.
10. Developing a family- and caregiver-centered early childhood mental health service system requires building partnerships among both primary and secondary support services at the community- and state-levels.

Early Childhood Recommendations:

Recommendations specifically for early childhood are based on the belief that that service to young children means service *through* parents and other caregivers. Appropriate service strengthens the family and achieves an optimal level of development for the child.

1. Expand or prioritize services to **high-risk groups**.
 - a. Adult mental health system should offer no-waiting treatment to new parents with mental health issues, including to mothers identified through Oregon Children's Plan pre-natal and at-birth assessments.
 - b. Expand services to offer mental health service in all Head Start and childcare locations.
2. Improve **outreach** to parents and children.
 - a. Integrate physical and mental health care – make sure there is mental health screening at well-baby visits.
 - b. Provide assessment and treatment at: Early Head Start/Head Start, Childcare settings, Foster Care homes, and Early Intervention/Early Childhood Special Education.
 - c. Prioritize “child find” in high-risk adult populations (e.g. adults with mental health or substance abuse problems, or depression; who are cognitively impaired, incarcerated, or on probation or parole; who are involved with animal control violations, or domestic violence services).
3. Improve the **appropriateness and universality of mental health assessment** for young children.
 - a. Adopt the “DC-0-3” as a diagnostic classification system for children 0-3 years of age (from Zero to Three's National Center for Clinical Infant Programs).

- b. Promote mental health screening for infant's birth to school age as a part of regular health care.
 - c. Provide universal access to specialized and comprehensive mental health assessment for children birth to school age, as referred from health, childcare and early education systems.
- 4. Expand the **continuum of services** for young children.
 - a. Expand therapeutic childcare; expand hours to better meet full day needs of working parents.
 - b. Provide appropriate levels of day treatment within a seamless community-based system of care.
 - c. Develop blended services for young children who have both mental health issues and developmental delays.
 - d. Increase intensive in-home services to assist families of young children with high needs.
 - e. Advocate for mental health services to be delivered where young children are, including within families, in childcare settings, and in conjunction with well-baby and well-child health visits.
- 5. Improve **coordination of services** for young children.
 - a. Coordinate and advocate with physicians, including pediatricians, family practitioners and child psychiatrists.
 - b. Coordinate services with the adult mental health and addictions system, when parents or other family members receive services.
- 6. Improve **training** for people involved in the lives of young children.
 - a. Provide multi-disciplinary training to childcare providers and educators on promoting healthy social and emotional development, identifying children and families with mental health issues, and supporting young children with mental health disturbances.
- 7. Enhance **financing** for mental health services for young children.
 - a. Coordinate benefits for young children with mental health disturbances.
 - b. Promote parity of funding for mental health services in early childhood.
 - c. Evaluate cost-effectiveness of services using best practices and performance measures.
 - d. Advocate for funding through Oregon Health Plan and other health plans for services, based on "DC 0-3" diagnostic categories.

V. Recommendations for School-Age Children

The majority of children age 5 to 18 (and up to 21) are in school a large part of the day. In addition to their families, schools become a critically important part of children's lives.

Recent recommendations from the Surgeon General suggest tapping public schools to identify children with mental health issues, and improving service to racial and ethnic minority groups by locating services where adolescents spend time, i.e., schools. Schools have a special opportunity to identify children's issues because of the natural dynamics that occur between children and their peers and teachers, and children's responsiveness throughout the day.

Therefore mental health services for children should be provided in, or in coordination with, schools. There should be increased partnerships between schools, the County and providers to

provide appropriate screening, assessment and treatment. Training should be provided for school employees on: recognizing mental health issues; the impact on children, families and schools; responding to crisis; working with families and teams; and participating in a child's plan of care.

Parents of school age children should be full partners in developing the treatment plan or plan of care. Services must be appropriate for the child and family's language, culture, community and values. Coordination with other involved partners is also essential.

For children who have mental, emotional or behavioral disorders that qualify for special education services under an Individualized Education Program (IEP), schools, mental health providers and other involved systems should formally coordinate services with one another and with the child's family.

Recommendations for School-Age Children

1. Improve outreach, screening and **assessment** for children and youth.
 - a. Promote use of a common framework for making decisions on level of care in the treatment of children and adolescents age 6 to 18.
 - b. Implement screening for all school age children upon entering school to promote early identification of mental health concerns or disorders.
 - c. Provide information, screening, assessment and referral in schools.
 - d. Provide case management/ coordination of services among the family, providers, schools, and other services.
2. Expand and strengthen the **continuum of services**.
 - a. Improve definitions of outcomes for day treatment services for children.
 - b. Develop community-based intensive services as an alternative to high-cost residential treatment.
 - c. Support services that improve school attendance, academic achievement and positive student behaviors.
 - d. Support culturally and developmentally appropriate school-based services to emphasize: early identification; ease of access; case management; integration of physical health/ mental health/alcohol and drug services; family involvement and choice; individualizes and flexible services; and ongoing treatment and follow-up.
 - e. Provide appropriate levels of service in integrated therapeutic and educational settings, such as therapeutic day schools or day supported classrooms.
3. Seek ways to replace funding and/or service capacity of **expiring grants**:
 - a. Safe Schools grant (\$2.75 M); and
 - b. Center for Substance Abuse Targeted Capacity Enhancement (\$750,000).
4. Improve **financing for high-need children** following residential placement.
 - a. Initiate planning with the State to look at children returning to the community following State-paid residential treatment; look at ways for funding to follow the child, or other ways to improve continuity and outcomes.
5. Develop a **coordinated system for mental health services throughout the schools**, building on the success of current highly effective programs.
6. Improve **long-range system-wide planning** between schools and the County.

VI. Recommendations for Special Youth Populations

The Child and Family System of Care Workgroup recognizes that some children, especially older teens, have special needs, and may not be living with their families or attending school. Teens may have unique issues and cultures that need to be addressed to provide accessible, quality and effective mental health services. Such issues include:

Teen years are the time when many serious and persistent mental health issues emerge (schizophrenia, bi-polar, major depression), and are **also the greatest time for suicide attempts and suicide** to occur. Oregon's rate of suicide attempts for teens increased 5% in 2000; 76% of teen suicide attempts are by girls, and the highest rate of suicides is among sexual minority youth.

Girls may face different challenges than boys. Data shows that the pressures and pathways to self-destructive behaviors, as well as crime, are often different for girls than for boys. Girls' issues are more often internal (eating disorders, depression, substance abuse to mask pain of sexual abuse, running away, suicide attempts), whereas boys tend to externalize their issues, bringing them to the attention of Child Welfare and Juvenile Justice in greater numbers.

Many teens are not eligible for the Oregon Health Plan and therefore have limited access to mental health services. Even for pregnant and parenting teens, enrollment is very limited. Services are needed for teens who do not have insurance, or access to services through schools.

It is a challenge for providers to reach and engage at-risk teens. Teens may lack a strong support system, be parenting themselves, or be victims of violence, addiction or abuse. Too often, mental health services don't find teens who need services until there is a serious crisis.

Services need to be developed that respond to teen's developmental, gender, sexual identity and cultural needs. There frequently is a small "window of opportunity" to get a teen to go to counseling, and an immediate response needs to be available. Teens also need time to develop trust and relationships, but currently therapy is too often designed around structured office visits, which may not feel safe or comfortable for a teen.

Transition to adult services typically occurs at age 18, regardless of the youth's emotional maturity. This is a difficult time for families, who are losing legal authority over their child and are not always part of the adult treatment team. Transition for the teen and family should be planned for and supported, sensitive to the teen's emotional and behavioral needs.

State Committed Youth. A 1999 study showed that 19% of youth committed to the Oregon Youth Correctional Facility might have avoided commitment with appropriate services. Youth were typically: Anglo males, 15 years old, not enrolled in school, a history of problems with school, and with prior dependency referrals. Resources needed were: drug and alcohol secure treatment, mental health placements, culturally appropriate services, and long-term sex-offender treatment. Ease of access (such as no-waiting appointments) and specialized services are needed for this population.

Recommendations for Special Youth Populations:

1. **Improve access for youth.**
 - a. Develop a youth, child and family-centered walk-in clinic at least during "after school/after work" hours, which feels safe for families, has experienced child and family-oriented staff (including expertise in children's medications), and ability to follow-through with the family and child, or teen.

- b. Respond immediately to requests for service for youth; there may be a limited window of opportunity and kids often can't wait.
 - c. Assure that there is no artificial limit on the number of visits a teen may need.
 - d. Recognize that teens sometimes lack consistent family or even foster family involvement, or other natural supports.
- 2. Improve **continuum of services for youth.**
 - a. Develop sub-acute care and other alternatives to hospitalization for adolescents in the community.
 - b. Develop a secure adolescent assessment center; avoid use of arrest or admission to emergency room to obtain a needed assessment or services.
 - c. Educate schools and the public on how to deal with acting-out behavior of youth, rather than referring to the criminal system.
 - d. Develop vocational programs as alternatives for youth.
- 3. Improve services for **homeless youth.**
 - a. Assure there is no waiting list for services for homeless youth.
 - b. Promote access to mental health services at homeless youth service locations.
 - c. Promote building trust between the youth and provider.
 - d. Promote ease of access by minimizing paperwork.
 - e. Train homeless youth providers on County mental health changes and opportunities for their youth.
- 4. Provide mental health and addictions services to address the needs and interests of **sexual minority youth.**
 - a. Develop practical approaches to meeting these needs within school-linked and provider services.
 - b. Advocate with private mental health providers to recognize and support the special needs of sexual minority youth.
 - c. Provide training to educators on the special needs of sexual minority youth.
 - d. Adapt services to the needs of trauma and abuse survivors within this group.
- 5. Increase **services for girls**, especially trauma and abuse survivors, who are currently underserved within the mental health system.
- 6. Improve services for **youth also involved with the Juvenile Justice system.**
 - a. Develop blended/braided funding to pick up costs for continued mental health services when youth go into detention (and Medicaid funds end), and when they come out of detention.
 - b. Improve providers' ability to address youth delinquency, and alcohol and drug problems, concurrently with mental health issues.
 - c. Ensure appropriate mental health services are available to youth being held in the County jail (e.g. due to Measure 11).
 - d. Provide on-site dual-diagnosis assessment for youth in the Juvenile Justice system, along with case management.
 - e. Integrate addiction and mental health services to serve dual diagnosis youth who are in the Juvenile Justice system.
 - f. Prioritize mental health services for youth and families in the Juvenile Justice system who have potential to harm themselves and public.
 - g. Resolve confidentiality issues to improve continuity of services for juvenile justice-involved youth.

7. Improve the process of **transition to the adult system**.
 - a. Involve both youth and parents in transition planning.
 - b. Work with schools to develop a uniform approach to transition to adult services, including development of Individual Transition Plans (ITP).
 - c. Provide assistance to parents and youth in applying for with Supplemental Security Income (SSI), Oregon Health Plan and Disability Services.
8. Improve access to and availability of **wrap-around services**, including:
 - a. Career development;
 - b. Housing;
 - c. Independent living skills;
 - d. Ongoing education.

VII. Recommendations for Quality Assurance Measures

The child and family system of care is distinct from adult service systems and it is still evolving; thus, there is a need for targeted process and performance measures that are specific to the adopted System of Care Values. As the child and family system of care develops, so should its quality management program.

Accountability for the children's system of care will be driven by performance and outcome measures. Outcomes related to System of Care Values should be one factor used in contracting for child mental health services with community providers. They will also be used to assess the overall functioning of the system of care in Multnomah County.

To start with, the Performance Measurements Committee has identified five key areas to measure, which reflect the adopted System of Care Values. The group also recommends that the County's Quality Management Committee form a subcommittee to continue to address and monitor issues related to child and family mental health. Children and family members shall have a central role in evaluating and monitoring the quality of children's mental health services and in determining future areas for measurement. In addition to children and families, the subcommittee should include other children's system stakeholders who may not be members of the larger Quality Management committee, and the subcommittee should also utilize technical assistance from experts in the evaluation of children's systems of care.

The five key areas identified by the performance measures committee are:

AREA 1: Access

GOAL: Children and Families will have timely access to appropriate mental health services

- Children and families will have timely access to a mental health assessment
- Services to children and families will be initiated in a timely manner following the initial assessment

AREA 2: Service Integration

GOAL: Children and families receive services that are integrated, with linkages between child-serving agencies and programs

- For children who have high levels of need that cross multiple child-serving systems, services will be coordinated.

AREA 3: Level of Restrictiveness

GOAL: Children will receive services in the least restrictive, most normative environment that is clinically appropriate

- The level of restrictiveness of both a child's living environment and school placement will stay the same or improve over the course of services
- Children are in the least restrictive educational and living settings that are clinically appropriate.

AREA 4: Cultural Competence

GOAL: Services meet the needs of children and families from diverse ethnic and cultural backgrounds

- Children and youth from diverse ethnic and cultural backgrounds will have equal access to assessment and services
- The cultural and ethnic demographics of children receiving mental health services should be consistent with the demographics of children who are enrolled in the Oregon Health Plan.
- Measures in Areas 1, 2, 3 and 5 will be analyzed for differences by race, ethnicity and language.

AREA 5: Family Involvement

GOAL: Families are full participants in all aspects of the planning and delivery of services

- Families will be involved in the planning and delivery of services for their children.
- Families will be satisfied with the quality and effectiveness of services.
- Family members will be actively and fully involved in decision-making bodies.

AREA 6: Functional Status

GOAL: Children and families improve functionally. Specific goals would be developed by the QM Committee, but would include measures such as:

- Number of days of school attended per year;
- Graduation rates from middle and high school;
- Number of days in detention/incarceration per year;
- Number of child abuse reports,
- Number of days per year spent in hospital or residential settings, as a ratio over days in all services

Mechanisms for monitoring these key areas include family surveys, chart reviews and outcomes measures. All reports to the QM committee should be broken down by age, race/ethnicity and language categories. Multnomah County should continue to improve quality management and refine performance measures.

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Child and Family System of Care Workgroup

List of Members

Chair: Multnomah County Commissioner Lisa Naito

Assistant Chair: Mark McKechnie Juvenile Rights Project

Committee Members:

Rob Abrams	Department of Community Services/ Children's Mental Health Partnership
Linda Castillo	DCFS/Cultural Competency Committee
Phil Cox	Oregon Youth Authority
Peter Davidson, MD	DCFS/ Mental Health and Addictions Services
Karen Gorton	Early Childhood Care and Education Council
Vern Hoffer	Portland Public Schools
Mary Lou Johnson	Centennial Public Schools
Larry Marx, MD	DCFS/ Mental Health and Addictions Services
Carole Romm	CareOregon
Ralph Summers	State Mental Health
Kathy Turner	Commission on Children Families and Community
David Willis, MD,	Northwest Early Childhood Institute

Parents:

Sandy Bumpus	CAMHSA/National Alliance for the Mentally Ill
Angela Steckly	Mental Health and Addictions Services, Family Involvement Coordinator
Mary Jo Thomas	Foster Parent
Cheri Waller	Foster Parent

Provider Panel:

Gene Borkan, MD	Providence
Bruce Baker	Morrison Center
Norwood Knight-Richardson, MD	Legacy
Jackie Mercer	Native American Recovery Association/Cultural Competency Committee
Derenda Schubert, PhD	Trillium
Ginny Robinson	Mt. Hood Mental Health
Holden Leung	Chinese Service Center/Cultural Competency Committee

Multnomah County Staff Resources:

Tom Wirshup	DCFS/ Mental Health and Addictions Services
Rich Scott	Department of Community Justice
Consuelo Saragoza	Health Department
Nancy Middlebrook	DCFS/ Mental Health and Addictions Services, Addictions Program
Vernon Baker	DCFS/ Developmental Disabilities
Mary Shortall (and/or	Jeanne Wheaton) Aging and Disability Services
Stephen Young	DCFS/ Mental Health and Addictions Services

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MEETING DATE: March 7, 2002
AGENDA NO: R-10
ESTIMATED START TIME: 11:00 AM
LOCATION: Boardroom 100

(Above Space for Board Clerk's Use ONLY)

AGENDA PLACEMENT FORM

SUBJECT: Briefing on Culturally-Specific Mental Health Contracts and Planning for the Secure Evaluation Unit

BOARD BRIEFING: DATE REQUESTED: _____
REQUESTED BY: _____
AMOUNT OF TIME NEEDED: _____

REGULAR MEETING: DATE REQUESTED: Thursday, March 7, 2002
AMOUNT OF TIME NEEDED: 25 minutes

DEPARTMENT: DCHS DIVISION: Office of Mental Health and Addictions Services

CONTACT: Marie Dahlstrom TELEPHONE #: (503) 988-3999 x 26729
BLDG/ROOM #: 166/600

PERSON(S) MAKING PRESENTATION: Hank Balderrama, Jim McConnell, Peter Davidson, and Marie Dahlstrom

ACTION REQUESTED:

[] INFORMATIONAL ONLY [X] POLICY DIRECTION [] APPROVAL [] OTHER

SUGGESTED AGENDA TITLE:

Briefing on Culturally-Specific Mental Health Contracts and Planning for the Secure Evaluation Unit

SIGNATURES REQUIRED:

ELECTED OFFICIAL: _____
(OR)

DEPARTMENT MANAGER: James McConnell

ALL ACCOMPANYING DOCUMENTS MUST HAVE REQUIRED SIGNATURES

Any Questions: Call the Board Clerk @ (503) 988-3277 or email
deborah.l.bogstad@co.multnomah.or.us

**Briefing Materials for Culturally-Specific MH Contracts and
Secure Evaluation Unit
March 7, 2002**

Document Name	E-File Name
Cultural Competency Update	CultCompUpdate021402.doc
Secure Evaluation Update	Secure Evaluation Update 2-26-02.doc
Racial and Ethnic Heritage of Persons Served by Multnomah County Mental Health Programs between July 2, 2000 and June 30, 2001	HeritageReport030702.doc
Utilization of Selected Multnomah County Crisis and Access Services	UtilizationReport0102.doc
Appendix I – Mental Health and Addictions Services Critical Incident Reporting Policy	CritInc022002.doc
Call Center Implementation To Date	CallCenter022002.doc
Quality Management/Quality Assurance Revised Report – 2.17.02	QM-QA022002.doc
Revenue Summary Spreadsheets	RevSummary.xls

Cultural Competency Update

Proposals were received by culturally specific providers from the following communities; Russian, African American, Native American, Latino and Asian. The proposals were to enhance clinical and community based mental health services and included additional requests for mentoring, community planning and consultation/training.

The proposals have been reviewed by members of the Cultural Competency Implementation Team who have identified gaps and funding priorities for the county as community resources are increased to meet the needs of communities of color. The amount of funding is limited initially, \$1m, but additional funding is expected to be available as the county works to achieve parity in mental health funding. In addition, future cultural competency funding will be more expansive and must begin to address the mental health needs of groups such as, the homeless and sexual minority youth.

The development of a consumer-centered/community based mental health system must include access for all community members. Historically, communities of color and immigrant populations have a low penetration rate of mental health services and the redesign of the Multnomah County Mental Health System must include the following:

- The need to "level the playing field"- that is, to provide capacity building to small, community based agencies who have expertise in providing services to their respective communities
- The need to meet the gaps in funding that currently exist and to increase access to services by all ethnic/ and or underserved groups
- Promotion of increased cultural competence at the systems level through training and client centered consultation from specialized providers to the larger system.

Historically those community based and culturally specific provider organizations who know their respective communities best have either not received mental health funding from Multnomah County or have received limited funding which was not sufficient to meet the needs of consumers. Resolution No. 01-109 dated August 9, 2001, directs the Office of Mental Health and Addiction Services to ensure culturally competent mental health services throughout all phases of the mental health redesign plan.

Community planning and the development of coordinated services within ethnic communities is necessary in order to move from treatment based services, which are based on mainstream values, to a community and strength based system. Each community has its natural leaders and organizations such as schools and institutions of faith which are necessary components in a culturally specific and strength based system of mental health. In addition, to building the capacity of

each community, it is necessary to establish the links and partnerships between the community based organizations and the larger mainstream mental health providers for inpatient services and other services which may be too costly for small organizations to provide. A culturally competent mental health system consists of larger mainstream providers as well as those institutions specific to each community.

Recommendations for funding:

The Office of Mental Health and Addiction Services has identified the following as gaps and priorities for funding:

1. Increased funding for clinical services to children and families.
 - No culturally specific mental health services specific to children and families exist for the Asian, African American, Native American and Russian communities
 - Services for Latino children and families are limited
2. Increased funding for clinical services to elderly
 - No culturally specific mental health services specific to elderly exist for the African American, Hispanic, Native American and Russian communities
 - Services for Asian elders are provided by Chinese Service Center but are not available for all nationalities
3. Funding for community capacity building:
 - Technical assistance to support community organizations in the developing of capacity to become mental health providers
 - A community planning process which will lay the foundation for a comprehensive and coordinated approach to providing mental health services in each community
 - Strong links and partnerships between providers and culturally specific providers
4. Funding for training and consultation in the following:
 - Training for consumer advocates and community mental health workers
 - Training and consultation by culturally specific providers to mainstream providers

Recommendation: Provide funding for each community to provide training to community and consultation to mainstream mental health providers as they work to develop culturally competent mental health services.

5. Funding for the recruitment and retention of bilingual/bicultural and culturally specific mental health specialists

Recommendation: Provide funding to develop within the county a program to "grow our own" which will support community members to enter mental health training programs which can ultimately lead to higher degrees. Also, to partner with Human Resources to organizationally develop a sound recruitment and retention program for bilingual/bicultural staff.

Funding for the \$1million will be distributed equally among the 5 ethnic communities. Future funding will be awarded through an RFP process and will be determined according to need and demographics.

Secure Evaluation Update

The following are of immediate priority in the development of the Secure Evaluation Unit (SEU).

- **Location-**The Donald D. Long Juvenile Detention Facility has been identified as a possible location. The facility is owned by the county and has the availability of a "pod" which would meet the size needs for the SEU. County staff are working with the state to secure a Letter of Approval.

The facility is zoned R5 which is high density and multi-family. Donald D. Long Facility is a legal non-conforming use due to grandfathering. The process for the Conditional Use Permit will require at least 6 months and could be a difficult process.

The decision was made to proceed with the Conditional Use process with the initial step being that of the pre-application process which will provide us with the various requirements from the city planning department. This process will take 3 weeks and at that time we can decide whether or not to proceed.

- **Siting Process and Communication Plan-**Public Affairs Office, Department of Community Justice, Facilities, community partners and Office of Mental Health and Addiction Services have been meeting to ensure strong communication with community stakeholders. PAO has developed information which will be used to keep county employees, community members and stakeholders informed about the siting process.
- **Community Partnership-**It is essential that consumer and community members work in partnership with the county in the development of a SEU that will best serve the needs of consumers and those in need of immediate crisis intervention. A community planning process will take place concurrent with the siting process. A community planning meeting will be held in March at Donald D. Long Detention Facility and will provide an opportunity for community stakeholders to tour the available pod. This meeting will be co-sponsored by the Office of Mental Health and Addiction Services Communication Committee, AMHSA, and Mental Health Coordinating Council and will provide an opportunity for community stakeholders to begin to participate in the design of the SEU to ensure a facility which will be community and consumer based.

**Racial and Ethnic Heritage of Persons Served by
Multnomah County Mental Health Programs
Between July 1, 2000 and June 30, 2001**



**Board Briefing Materials
Thursday March 7, 2002
Department of County Human Services
Division of Mental Health and Addiction Services**

By Jim Carlson, Sara Carter, Leslie Goodlow, Barbara Brady
Division of Mental Health and Addiction Services
Multnomah County Department of Human Services
(503) 988-3575
jim.i.carlson2@co.multnomah.or.us

Division of Mental Health and Addiction Services
Mental Health Programs July 1, 2000 through June 30, 2001

Who Do We Serve?

These are simple questions to ask: How many people do Multnomah County mental health programs serve? What are their ages, racial, and ethnic heritages? The answer is harder than it should be because there is no one database that contains all persons served by mental health programs. There are, however, many pieces that can be assembled to give a partial answer. The following diagram shows programs that are either directly provided or funded, at least partially, by the Division of Mental Health and Addiction Services. Mental Health programs funded by other County departments or agencies are not included.

FISCAL YEAR 2000-2001 SERVICE POPULATIONS
CHILDREN ADULTS

Programs That Have Been Merged Into One Integrated Database
(Verity, General Fund +, Children's Mental Health Partnership)

All children enrolled in and served by the Oregon Health Plan

Non-OHP children served by Contracted community services

Children's Mental Health Partnership

All adults enrolled in and served by the Oregon Health Plan

Non-OHP adults served by Contracted community services

228 children in programs below that are "billed back" to the Oregon Health Plan or General Fund +

Programs That Are Not in One Integrated Database

Adding individual ovals results in a duplicated count of children served. See Appendix for details.

School Based Health Centers

1220 children received MH;
243 received alcohol & drug evaluation

Intensive Case Management
112 children

Care Coordination (MAS*) 647 children

Involuntary Commitment Program

School Mental Health Program
156 children

Safe Schools-Healthy Students Grant
515 children

Project Respond****

Kaleidoscope
150 children

CARES NW =
840 children

Juvenile Justice Programs
AITP** = 146 children
TCE*** = 219 children

Respite Care

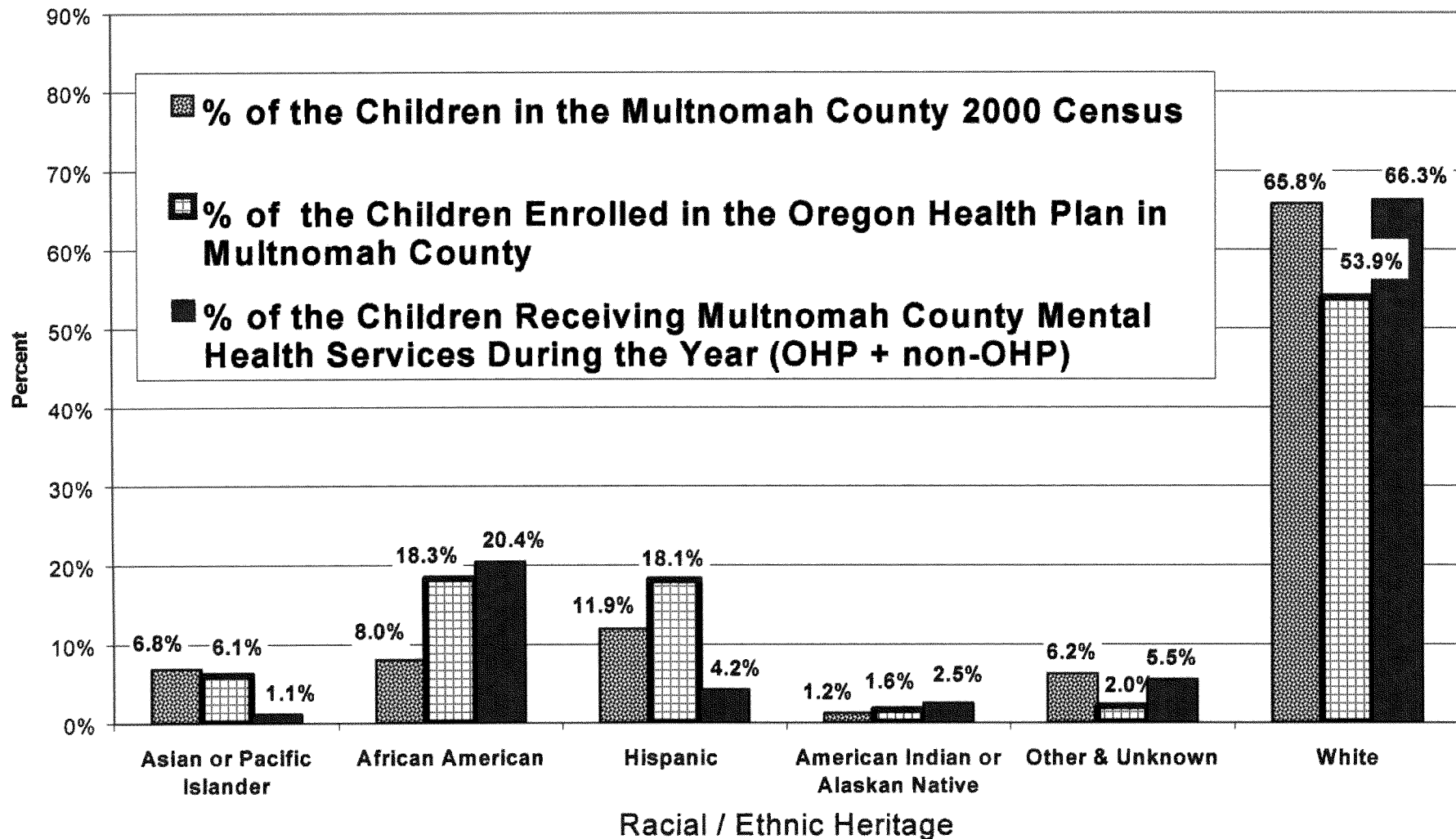
Family Enhancement
111 families

Early Childhood Programs = 252 children

Prevention services are not included in service counts in the above programs

* MAS = Medicaid Authorization Specialist
 ** AITP = Adolescent Intervention & Treatment Program
 *** TCE = Targeted Capacity Enhancement
 ****Project Respond in mid 2001 became the west side Mobile Crisis Team

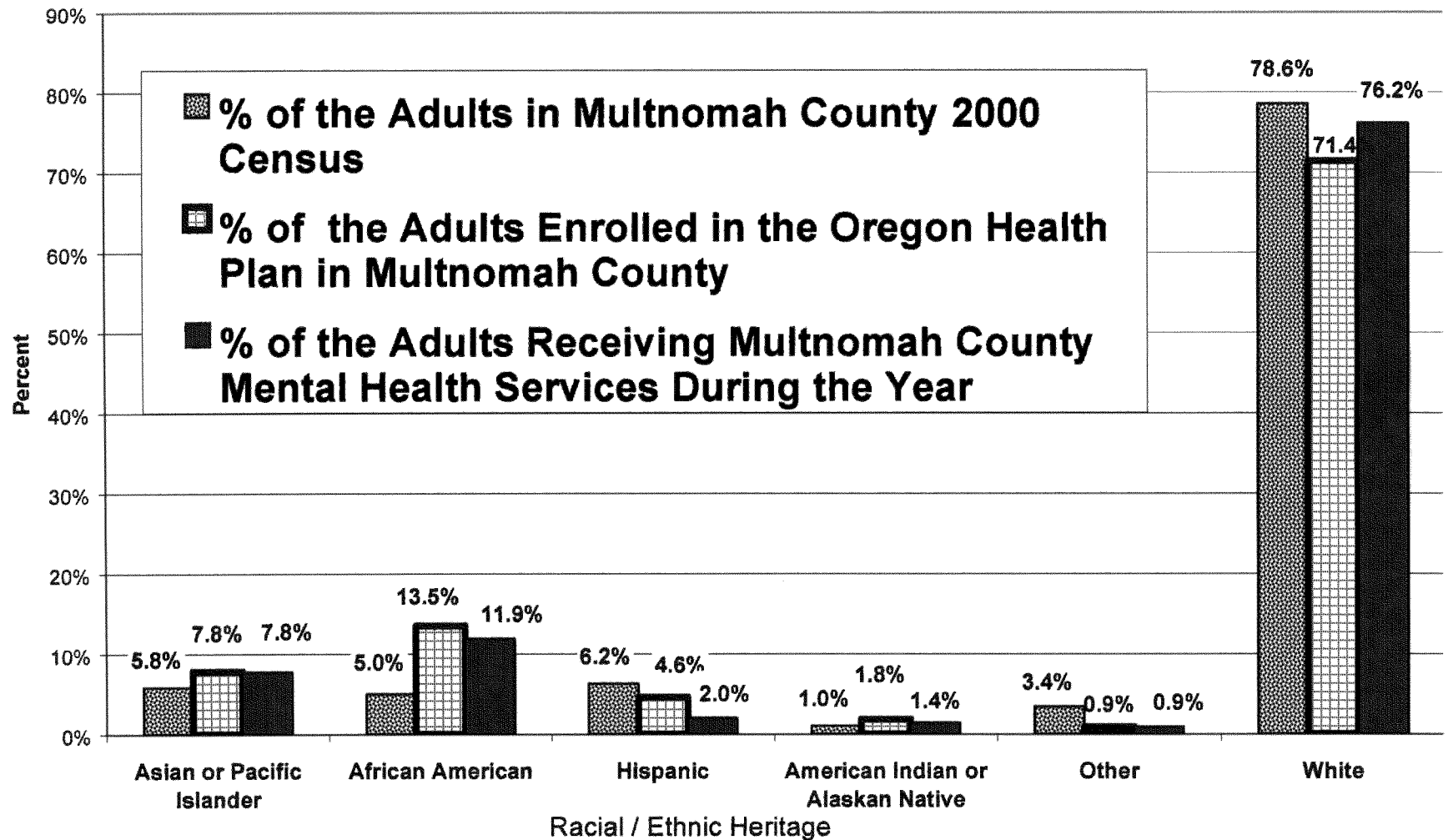
**Multnomah County Mental Health Programs (integrated database only)
Fiscal Year 2000-2001 Children (Under Age 18) Enrolled and Served**



Asian or Pacific Islanders and Hispanics are the most markedly underserved children's populations.

Note: Chart is based on 3,757 children in unduplicated database. Ethnicity of remaining children's programs is shown in the Appendix.

**Multnomah County Mental Health Programs (integrated database only)
Fiscal Year 2000-2001 Adults (AGE 18+) Enrolled and Served**



Hispanics are the most markedly underserved adult population.

Note: Chart is based on 387 children age 18-20 and 7,852 adults in unduplicated database.

Appendix
Multnomah County Direct Service Mental Health Programs for Children--Fiscal Year 2001

	<u>Total Served</u> <u>FY 01</u>	<u>White</u>	<u>African</u> <u>American</u>	<u>Native</u> <u>American/</u> <u>Alaskan Native</u>	<u>Asian/Pacific</u> <u>Islander</u>	<u>Hispanic</u>	<u>Mixed</u> <u>Race/</u> <u>Other/</u> <u>Unknown</u>
AITP (Juvenile Justice Assessment-Intervention-Treatment-Program)	146	86	43	3	3	10	1
Case Management	112	90	16		2	4	
Kaleidoscope	150	86	33		6	25	
School Based Health Clinics (SBHC)	1220	820	161	21	44	121	53
SBHC Alcohol & Drug Evaluation Specialists	245	172	36	4	6	17	10
Safe Schools / Healthy Students Grant	515	193	68	8	11	32	203
School Mental Health Program	156	135	7		3	9	2
TCE Juvenile Justice	219	107	51	18	11	21	11
CARES NW	840	554	52	17	8	84	125
Early Childhood	252	76	41	7	4	22	2
Family Enhancement	111	76	22	9	0	4	0

Ethnicity
data not
tracked

Care Coordination

647

NOTE: CHILDREN MAY PARTICIPATE IN MORE THAN ONE PROGRAM. TOTALLING WILL RESULT IN A DUPLICATED COUNT.

PERCENT OF TOTAL

	<u>Total Served</u> <u>FY 01</u>	<u>White</u>	<u>African</u> <u>American</u>	<u>Native American/</u> <u>Alaskan Native</u>	<u>Asian/Pacific</u> <u>Islander</u>	<u>Hispanic</u>	<u>Mixed</u> <u>Race/</u> <u>Other/</u> <u>Unknown</u> <u>n</u>
AITP (Juvenile Justice Assessment-Intervention-Treatment-Program)	146	58.9%	29.5%	2.1%	2.1%	6.8%	0.7%
Case Management	112	80.4%	14.3%	0.0%	1.8%	3.6%	0.0%
Kaleidoscope	150	57.3%	22.0%	0.0%	4.0%	16.7%	0.0%
School Based Health Clinics (SBHC)	1220	67.2%	13.2%	1.7%	3.6%	9.9%	4.3%
SBHC Alcohol & Drug Evaluation Specialists	245	70.2%	14.7%	1.6%	2.4%	6.9%	4.1%
Safe Schools / Healthy Students Grant	515	37.5%	13.2%	1.6%	2.1%	6.2%	39.4%
School Mental Health Program	156	86.5%	4.5%	0.0%	1.9%	5.8%	1.3%
TCE Juvenile Justice	219	48.9%	23.3%	8.2%	5.0%	9.6%	5.0%
CARES NW	840	66.0%	6.2%	2.0%	1.0%	10.0%	14.9%
Early Childhood	252	50.0%	27.0%	4.6%	2.6%	14.5%	1.3%
Family Enhancement	111	68%	20%	8%		4%	

Multnomah County
Behavioral Health Division
Department of Human Services

**Utilization of Selected Multnomah County
Crisis and Access Services**



To Mental Health Coordinating Council
February 20, 2002

By
Jim Carlson
Behavioral Health Division
Multnomah County Department of Human Services
(503) 988-3575
jim.i.carlson2@co.multnomah.or.us

Executive Summary—Utilization of Selected Multnomah County Crisis and Access Services

What does this report do?

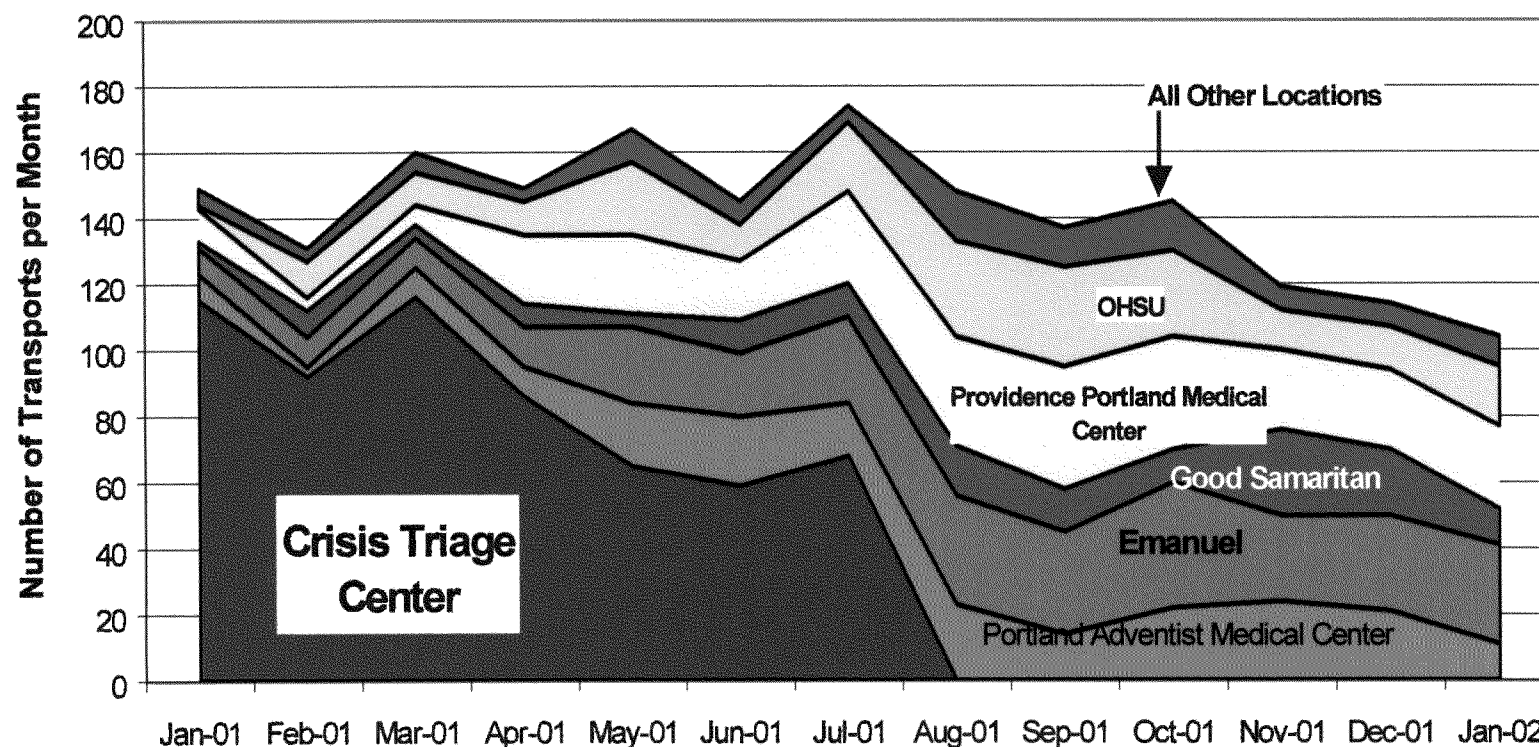
This report analyzes trends in several “front-end” access and crisis intervention services. These services include:

1. The Crisis Triage Center (CTC) at Portland Providence Medical Center, which closed in August of 2001. Until CTC closed police would bring holds there, unless CTC was on divert status. When CTC was on divert status police brought holds to hospital emergency departments (EDs). CTC would triage patients, sending some to hospital EDs as emergency holds, some to Hooper Detox Center, and releasing others after their condition stabilized.
2. Portland Police Transports of psychiatric patients. Since closure of CTC most police transports have been to local hospital emergency departments. Gresham Police also do some psychiatric transports, but the number is small enough not to be included.
3. Emergency holds of persons who are being evaluated for potential civil commitment. Most emergency holds are in local acute care psychiatric beds or in hospital emergency department beds or medical beds when acute care psychiatric beds are not available.
4. Mobile Crisis Teams (former Project Respond became the Westside Team; a second team was added in late 2001 for east county.
5. Walk-in Clinics
6. Portland Providence Crisis Line Calls; this contract ended at the end of January 2002. The Multnomah County Crisis Hotline began operation on February 1, 2002.

What are the findings?

1. After CTC closed police shifted psychiatric transports to hospital emergency rooms. Since July 2002 the number of Portland Police Transports has been declining. (See Graph 1).
2. The total number of emergency holds has not increased in the last 18 months (Graph 2), although previous analysis has shown that local acute care psychiatric units are reporting more emergency hold admissions on the state’s data system—OP/RCS (Oregon Patient/Resident Care System). To resolve this dilemma, we are investigating and comparing the different methods used to count emergency holds.
3. Calls to the Providence Crisis Line dropped after closure of the Crisis Triage Center (CTC), perhaps because people thought that the Crisis Line had closed along with CTC. Crisis line use increased in January 2002, but not to its former level. (See Graph 2).
4. Mobile Crisis Teams and Walk-In clinics were started in the latter half of 2001 as part of the mental health redesign process—Phase I. Contacts by these services have increased rapidly, however, the new CCMH walk-in clinic (opened mid December 2001 to replace the poorly utilized Lombard clinic) is not yet showing heavy use. (Graph 3)
5. There is very limited data on hospital emergency department (ED) use by psychiatric patients. The data that Multnomah County has now shows that ED use by psychiatric patients increased after closure of CTC but seems to have stabilized at a level higher than before CTC. Additional data is being gathered by the hospitals.

Graph 1: Portland Police Bureau Transports of Psychiatric Patients



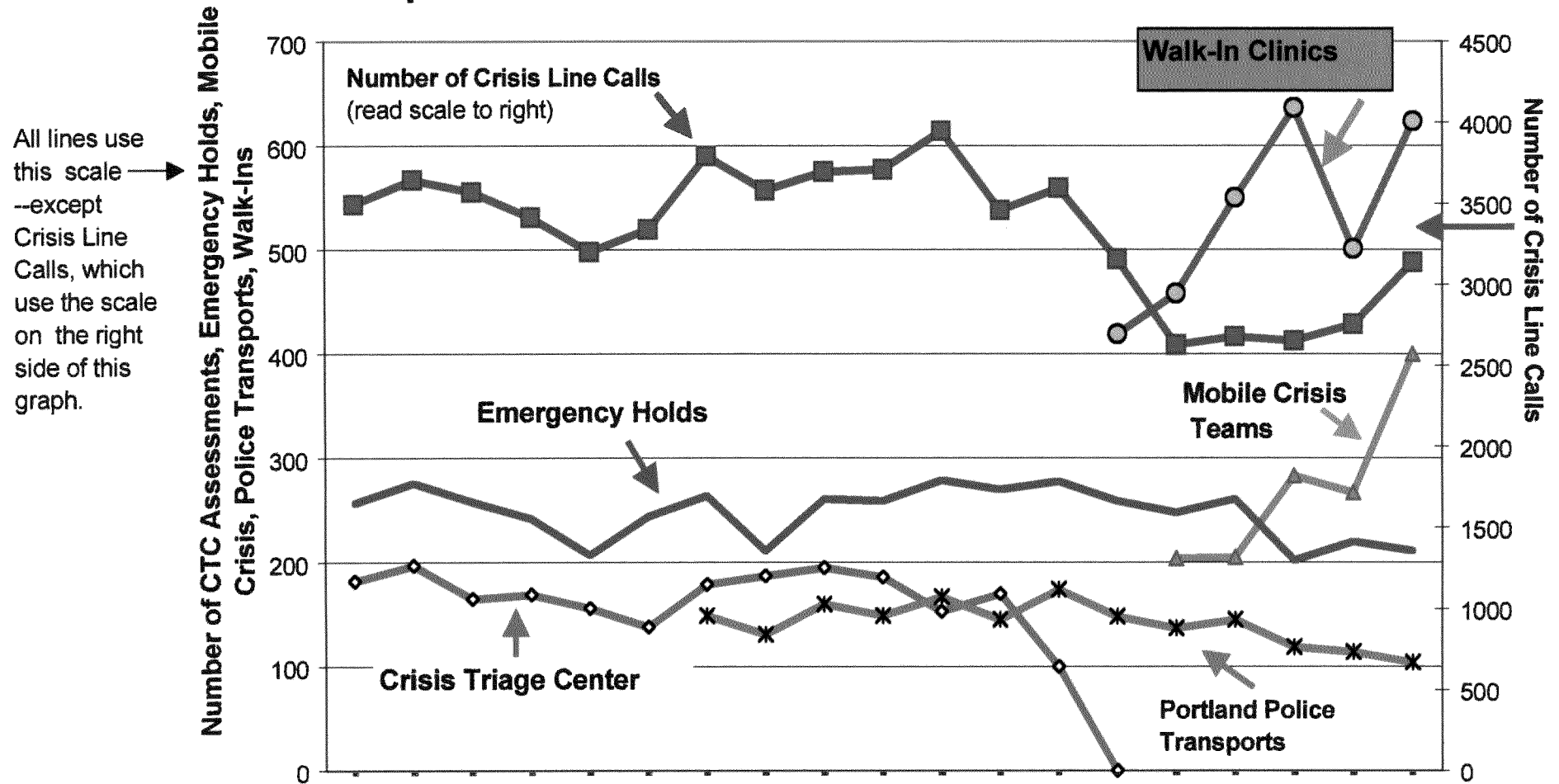
	Jan-01	Feb-01	Mar-01	Apr-01	May-01	Jun-01	Jul-01	Aug-01	Sep-01	Oct-01	Nov-01	Dec-01	Jan-02
■ All Other Locations	5	4	6	4	10	7	5	15	12	15	7	7	9
■ OHSU	1	11	10	10	22	11	21	29	30	26	12	13	18
■ Providence Portland Medical Center	10	4	6	21	24	18	28	33	37	34	24	24	25
■ Good Samaritan	2	8	4	7	4	10	10	15	13	10	26	20	11
■ Emanuel	8	9	9	12	23	19	26	33	31	38	26	29	30
■ Portland Adventist Medical Center	8	3	9	9	19	21	16	23	14	22	24	21	11
■ Crisis Triage Center	115	92	116	86	65	59	68						

PORTLAND POLICE TRANSPORTS TO EMERGENCY DEPARTMENTS HAVE BEEN DECLINING SINCE JULY 2001

Data from Ed Riddell, CIT Coordinator, Portland Police Bureau, graph prepared by Jim Carlson, Multnomah County Behavioral Health Division

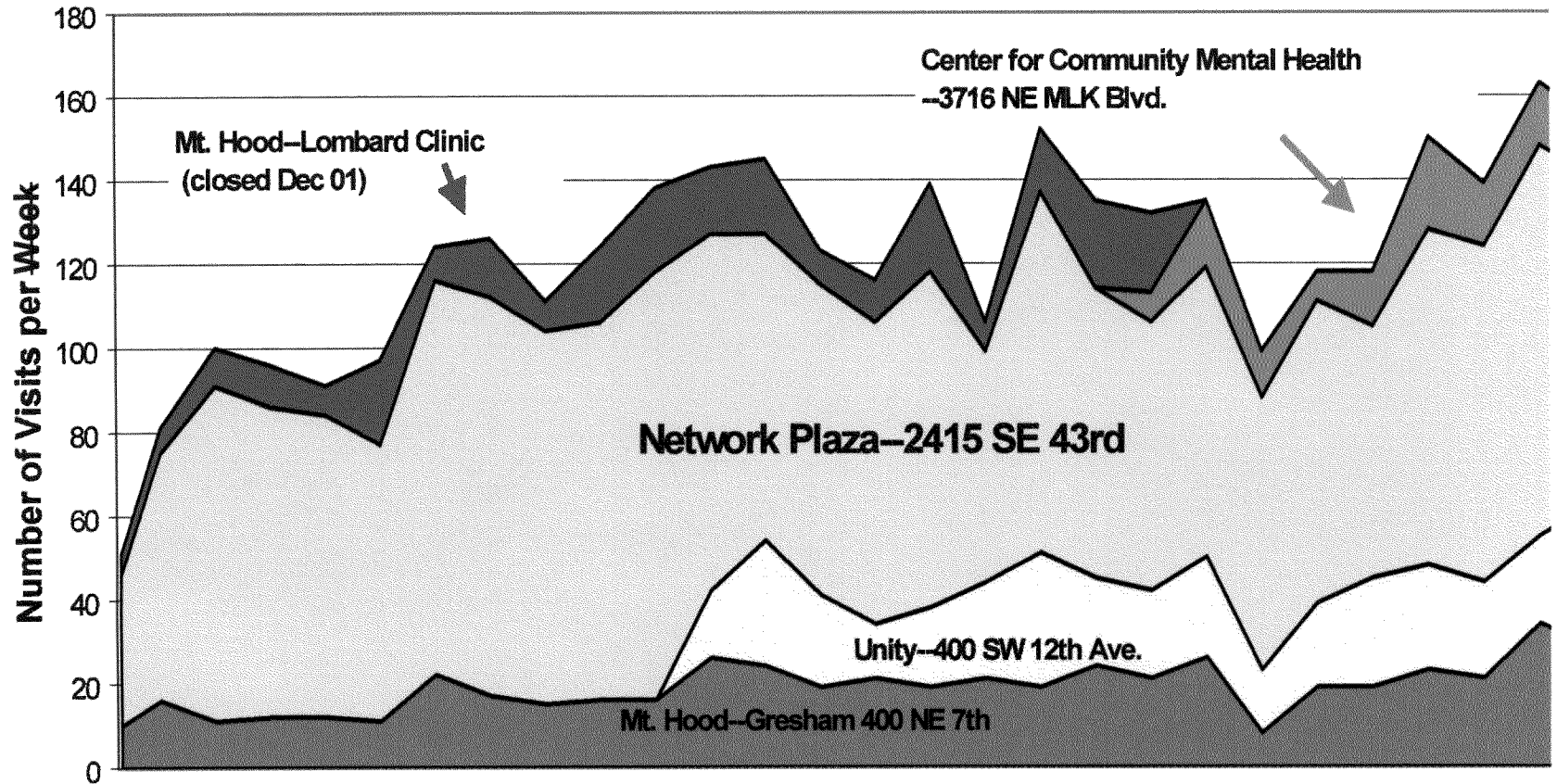
Multnomah County Mental Health System

Graph 2: Selected Access and Crisis Services



	Jul-00	Aug-00	Sep-00	Oct-00	Nov-00	Dec-00	Jan-01	Feb-01	Mar-01	Apr-01	May-01	Jun-01	Jul-01	Aug-01	Sep-01	Oct-01	Nov-01	Dec-01	Jan-02
◆ Crisis Triage Center Assessments	182	197	185	169	156	138	179	187	195	186	153	170	100	0					
■ # of Episodes at Urgent Care Walk-In Clinics														419	458	550	636	501	623
▲ Mobile Calls															204	205	283	267	400
* Portland Police Transports							149	131	160	149	167	145	174	148	137	145	119	114	104
— Total Emergency Holds Investigated	257	276	258	242	207	244	264	211	261	259	279	270	278	259	248	261	202	220	211
■ Crisis Line	3498	3646	3573	3416	3203	3342	3794	3584	3697	3711	3947	3461	3598	3159	2629	2680	2654	2757	3139

Graph 3: Multnomah County Walk-In Clinic Visits



	1- Aug- 01	6- Aug- 01	13- Aug- 01	20- Aug- 01	27- Aug- 01	3- Sep- 01	10- Sep- 01	17- Sep- 01	24- Sep- 01	1- Oct- 01	8- Oct- 01	15- Oct- 01	22- Oct- 01	29- Oct- 01	5- Nov- 01	12- Nov- 01	19- Nov- 01	26- Nov- 01	3- Dec- 01	10- Dec- 01	17- Dec- 01	24- Dec- 01	31- Dec- 01	7- Jan- 02	14- Jan- 02	21- Jan- 02	28- Jan- 02
■ Mt. Hood-Lombard	5	6	9	10	7	20	8	14	7	18	20	16	18	8	10	21	7	15	21	19							
■ CCMH																				7	16	11	7	13	22	15	15
□ Network Plaza	36	59	80	74	72	66	94	95	89	90	102	85	73	74	72	80	55	86	69	64	69	65	72	60	80	80	93
□ Unity												16	30	22	13	19	23	32	21	21	24	15	20	26	25	23	21
■ Mt. Hood Gresham	10	16	11	12	12	11	22	17	15	16	16	26	24	19	21	19	21	19	24	21	26	8	19	19	23	21	34

Week Beginning:

**MENTAL HEALTH CRISIS SERVICES
FOR CHILDREN AND ADULTS**

MULTNOMAH COUNTY CRISIS LINE

503-988-4888

**Call
Here**

(24 hours daily)

Call this number for:

- **Information About Where To Go**
- **Brief crisis phone counseling**

**Or Walk In To One of
These Centers**

<u>CLINIC</u>	<u>ADDRESS</u>	<u>HOURS</u>	<u>TRI-MET</u>
UNITY	400 SW 12 th Ave. (12 TH & Stark) new hours! -----	Mon/Wed/Fri 1 p.m.-5 p.m. <i>Tues/Thurs</i> <i>9a.m.-5p.m.</i>	Westbound: #20. Exit 11 th . Walk to 12 th . Turn Right. Eastbound: Max to 11 th .
MT. HOOD GRESHAM	400 NE 7 th Gresham	Mon-Fri. 9 a.m.- 5 p.m.	Max train to Gresham Central Station & walk South across the street.
NETWORK PLAZA	2415 SE 43 rd , Portland West entrance off Division	Mon-Fri. 9 a.m. - 9 p.m. Sat: 1-4 p.m.	#4 Division; Exit bus at 43 rd & Division.
Center for Community Mental Health	3716 NE. Martin Luther King Blvd	Mon-Fri 12-8 p.m. Sat: 10-3 p.m.	#6 Martin Luther King; To Beech Street. Look to your Right.

The Mobile Crisis Team can be accessed by calling the crisis line at
503-988-4888.

Mobile Outreach

The mobile outreach team is comprised of master's level clinicians available to provide crisis response services throughout Multnomah County, 24/7 via the crisis line 988-4888.

WHAT SERVICES DOES MCT PROVIDE?

The MCT provides field-based (on-site) mental health crisis services to adults, children, and families in their homes, social service agencies, hospital emergency departments, residential treatment providers, schools, law enforcement agencies—anywhere that intervention by a mental health professional might be helpful in de-escalating a crisis situation. Services include, but are not limited to:

- ***Assessment** for hospitalization,
- ***Alternative plans to avoid hospitalization** in cases where it is not indicated,
- ***Referrals** to other social services,
- ***Short-term** follow-up, community outreach, and
- ***Development** of natural community support systems.
- ***Consultation**, assessment and support in situations involving children are all available; for children under the age of 14, permission of the parent or legal guardian is required

Urgent Walk-in Clinics

WHAT SERVICES DO THE WALK-IN CLINICS PROVIDE?

The urgent walk-in clinics are designed at a no appointment necessary basis to provide brief clinical interventions and medication assessments.

- ***Medication assessment**
- ***Crisis counseling**
- ***Care coordination**
- ***Outpatient services**
- ***Hospital assessments**

APPENDIX I

MENTAL HEALTH AND ADDICTION SERVICES' CRITICAL INCIDENT REPORTING POLICY

A Critical Incident is defined as an incident that punishes, endangers or otherwise harms a consumer as a result of staff action or inaction. This policy refers to incidents involving adults; the State Office of Human Services investigates children's critical incidents.

Critical Incidents include but are not limited to:

- the death of a client through other than accidental or natural causes;
- a suicide attempt or self-injury with significant intention to cause self-harm or death and that, without medical intervention, would result in impairment or death;
- a staff member or subcontractor charged with a crime involving the client;
- a professional misconduct by a staff member or provider staff, including but not limited to sexual harassment or exploitation of a client including any sexual contact by staff, willful infliction of pain or injury of a client, and physical injury to a client by other than accidental means or is at variance with the explanation;
- a medication error which results in a client death, serious injury, or hospitalization;
- a client to client physical altercation that results in a serious injury requiring medical attention to at least one of the clients involved;
- any allegation of an action committed by a client that results in the death or serious injury of another person;
- any event likely to elicit heightened public interest or litigation.

Please report Critical Incidents to:

Quality Management Program Manager
Office of Mental Health and Addiction Services
421 S.W. 6th Avenue, Suite 600
Portland, OR 97204-1619
Phone: (503) 988-3999
Fax: (503) 988-5870

The Quality Management Program Manager shall refer all reports to the quality assurance process for completion of an investigation. A fact-finding inquiry will be conducted in all cases. Monthly summary reports shall notify the Department Director of all critical incident reports and actions.



Call Center Implementation to date.

- 5 Acute Care Coordinators started August 2001 doing hospital and subacute preauthorizations and care coordination.
- January 7th 8 new Acute Care Coordinators started and were given comprehensive training for two weeks in areas such as: handling suicidal callers, chemical dependency, outpatient resources, consumer expectations, At & T language line, TTY line, mobile outreach teams, and acute care coordination.
- January 2002, negotiation with ProtoCall for after hours coverage was completed with a planned start February 1st.
- January 2002 negotiated with CTC to handle the crisis line for several hours per day in the last two weeks of January as a trial period.
- Went live on February 1, 2002, Monday – Friday 8am to 10pm provides one Acute Care Coordinator and one Administrator as an on-call back up to ProtoCall after hours, weekends and holidays.
- We are meeting with ProtoCall on a daily basis to discuss handling of specific cases, fine tune the system, and insure consistency of service delivery 24/7.
- We are averaging approximately 150 calls per day at the phone center. These calls include about 50 information and referral, 50 crisis related and 50 acute care coordination/pre-authorization type calls.
- The Call Center's call routing system tracks call performance metrics and generates monthly reports. Beginning in March, regular reports will be available.

Quality Management/Quality Assurance Revised* Report

February 17, 2002

This is the first program report of the Office of Mental Health and Addiction Services' Quality Management Program (QMP) since this program's creation in late 2001. Given the dynamic and ever changing landscape of the current Mental Health System, providing useful and timely information of some of the major System quality benchmarks has become more important than ever. Since Quality Management can not take place in a vacuum, please feel free to share your thoughts of what you liked or would change about the format or content of this report. Also, if you have suggestions for other information you would find helpful, let me know that as well.

Thanks in advance for your time and attention, Dave Helgeson, Ph.D., CDP,
Quality Management, Manager (Interim), (503) 988-5464, or e-mail to:
dave.j.helgeson@co.multnomah.or.us

RainTree and Quality Management

The Quality Management Program recently took on the task of providing quality assurance and implementation consultation to the RainTree software. The QMP is developing QA audit tools and measurement standards within the Call Center. Later, as Mobile Crisis, Walk-in Clinics and other providers come on board with RainTree, these tools will be useful in assuring the accuracy and quality of the information entered.

Status of Mental Health Certificate Applications Received between 01/17/02
and 02/17/02

* Revising January 2002 Report to Include Fourth Quarter 2001 and January 2002 Information



- 1 application letter requested – Metropolitan Family Services
- 2 application letters approved – De Paul Treatment Centers Inc., 1 year, Child;
Central City Concern, Adult
- 1 application letter pended – De Paul Treatment Centers Inc., 1 year, Adult

Adult Mental Health Protective Services Investigations between 01/17/02 and 02/17/02

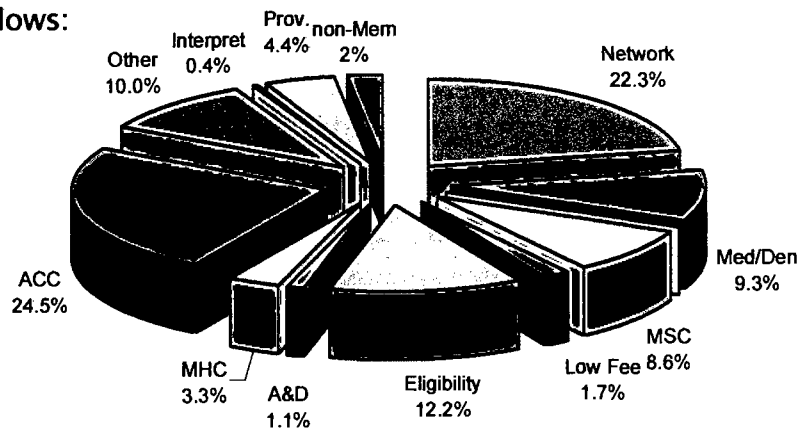
- 15 open investigations
- 4 new cases in the last thirty days
- 4 closed/resolved cases in the last thirty days



December 2001 Access Report (I & R calls to (503) 988-5887)

1,320 total calls, broken down as follows:

- ☐ 295 Network
- ☐ 123 Med/Den
- ☐ 113 MSC
- ☐ 23 Low Fee
- ☐ 161 Eligibility
- ☐ 15 A&D
- ☐ 43 MHC
- ☐ 323 ACC
- ☐ 132 Other
- ☐ 5 Interpret
- ☐ 58 Provider Questions
- ☐ 29 Non-member



Quality Management Committee

The Quality Management Committee (QMC) provides a forum for mutual information sharing and advocacy by individuals and provider organizations encompassing areas directly and professionally related to mental health.

The QMC membership comprises representatives from inpatient and outpatient providers for all consumers, youth, families and adults, the Health Department, DHS, Child Welfare, ADS, OYA, Cultural Competency Committee, consumers and/or family members, Department of Community Justice, CAMHSA, and AMHSA and Multnomah County staff.

The QMC has convened for three consecutive meetings. The committee will vote on the by-laws next meeting and the committee has started discussion on the Quality Improvement work plan. This work plan is the basis for committee focus on quality assurance and quality improvement with primary providers. The task for the committee is to set goals for quality indicators by monitoring and reviewing data the mental health system.



The committee charge is to assure clinically appropriate, effective and culturally specific services, provide a structured, organized method of continuous quality improvement, and coordinate quality assurance and improvement activities in service delivery in the areas of safety, risk management and clinical standards.

The QMC will post the minutes on the County web site. If there are questions or comments, please contact Teresa A. Posner, MSSW, Quality Management Coordinator at (503) 988-3999, extension 24424 or by e-mail at teresa.a.posner@co.multnomah.or.us



Intensive Treatment Services

The Intensive Treatment Services (ITS) Pilot Project is a collaboration between child-serving providers, partners, family members and government. This project allows participating children psychiatric residential providers to serve children intensively in the most clinically appropriate environment: a residential facility, a foster home, a group home, or in the home with family.

The Multnomah County ITS project has been up and running for a year and a half. We have now successfully transitioned several children from residential care back to community-based care. Community team and children's partners who are involved in treatment planning have increased from standard residential practices.

The average length of stay within the residential facility is beginning to decrease. Additionally, the ITS Steering Committee has commenced the implementation of strategies to address a continuum of care challenge within Multnomah County, that of a lack of quality, well trained and supported therapeutic foster care homes. We expect to produce, by 2003, data substantiated results that indicate both decreases in facility based lengths of stay and clinically-improved transitions. Further, we hope to better outcomes six months after discharge from the ITS pilot project.

If there are questions or comments, please contact Amy Baker, MSW, ITS Program Coordinator, at (503) 988-3999, extension 28196 or by e-mail at amy.j.baker@co.multnomah.or.us

Complaints Received - Third and Fourth Quarters of 2001

For the third quarter of 2001 (July 01 to Sept 01), there were 23 total reported complaints. Of these complaints, two were pending as of September 31, 2001, and the remaining complaints were resolved.

REPORTED COMPLAINTS - THIRD QUARTER 2001

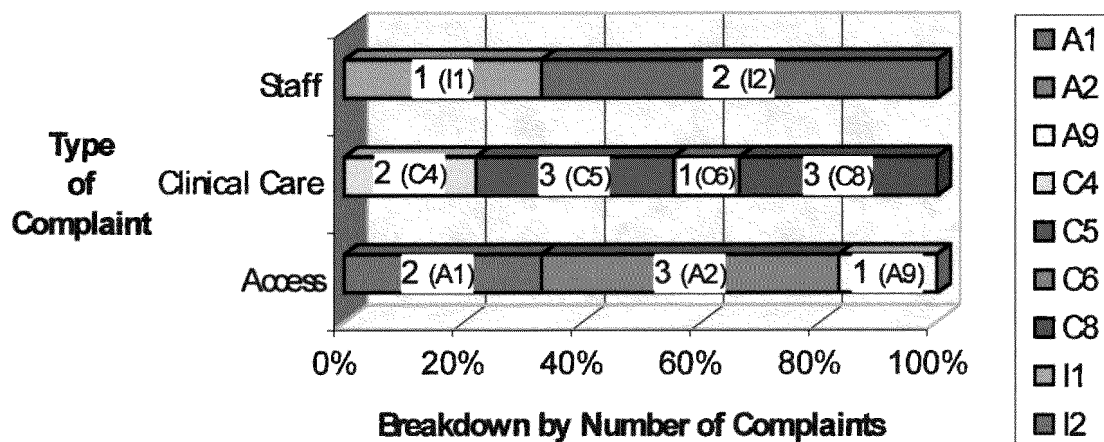


Type of Complaint	Accesses	Denial of Service	Clinical Care	Interaction with Staff	Quality of Service	Consumer Rights
Total Number	4	0	9	5	5	0
Total Unresolved	1	0	1	0	0	0

For the fourth quarter of 2001 (October 01 through December 01, there were 19 total reported complaints. As of December 01, 2001, one of these complaints was pending, and the remaining complaints were resolved.

REPORTED COMPLAINTS - FOURTH QUARTER 2001						
Type of Complaint	Accesses	Denial of Service	Clinical Care	Interaction with Staff	Quality of Service	Consumer Rights
Total Number	6	1	9	3	0	0
Total Unresolved	0	0	0	0	0	0

**Breakdown of Complaints Regarding
Access, Clinical Care and Staff Interaction
Fourth Quarter 2001**



A1 – Difficulty in contacting Provider or MHO
A2 – Timely appointment not available
A9 – Other
C4 – Concern about prescriber or medication
issues
C5 – Lack of response or follow-up

C6 – Lack of coordination among providers
C8 – Client believed quality of care inadequate
I1 – Client feels not treated with dignity or
respect
I2 – Client disagrees with staff or clinician
response

Critical Incident Report Policy

Attached as Appendix I is the revised Critical Incident Report Policy.



Department of Community and Family Services

Fund 156

Adopted Revenue Summary By Division

FY 2000/20001

Code	Revenue Source	Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	BH Division 1600	Fund 156 Grand Total
2007	HUD Futures			1,012,717			1,012,717
2020	Community Development Block Grant			413,000			413,000
2025	CDBG/Portland			313,182			313,182
2062	Public Health Service/Homeless Grant				39,905	50,301	90,206
2071	Community Services Block Grant			631,246			631,246
2072	LIEAP/Energy Program			1,949,933			1,949,933
2073	LIEAP/Weatherization			396,780			396,780
2075	FEMA/United Way			363,055			363,055
2079	Enterprise Community		216,240				216,240
2090	USDOE Weatherization			259,399			259,399
2101	Homeless Chronically Mental Ill					237,884	237,884
2102	Regional Drug Initiative/Portland					248,724	248,724
2106	Substance Abuse Prevention					49,977	49,977
2109	Youth Empowerment/Bonneville			30,000			30,000
2110	OCCF Child Care Development			195,748			195,748
2114	Home Award/HUD			251,402			251,402
2133	Healthy Schools					1,481,356	1,481,356
2148	Housing Stabilization - Federal			59,967			59,967
2149	Youth Investment - Federal			227,797			227,797
2155	Residential Energy Assistance			79,375			79,375
2157	HUD Horizons			1,102,990			1,102,990
2163	Sub Abuse/Mental Health Svce Admin		147,259			1,133,246	1,280,505
2185	Urban/Rural Opportunities Grant		404,996				404,996
2197	HUD Pathways		2,190	357,792		44,602	404,584
2301	CSD Project Team					479,785	479,785
2312	OCCF/JSA			564,362			564,362
2317	Student Retention Initiative			85,629			85,629
2339	Child Abuse Multi-Disciplinary Grant					107,655	107,655
2346	Youth Investment - State			121,367			121,367
2357	Welfare Stipends			30,396			30,396
2359	Video Lottery-Social Services					914,870	914,870
2389	Local 2145 Tax Sharing					549,399	549,399
2393	Oregon Housing Agency			142,299			142,299
2394	SHAP			445,728			445,728
2396	DHR/Roosevelt Coordinator			136,000			136,000
2398	Great Start			87,665			87,665
2415	Mental Health Hospital Beds					1,000,000	1,000,000
2438	Violence Against Women Act	43,237					43,237
2448	Emergency Housing Account/State			658,359			658,359
2602	Care Oregon FCHP					339,417	339,417
2605	Mental Health Grant/State: A & D	82,232		107,096		11,369,782	11,559,110
2605	Mental Health Grant/State: DD				52,864,092		52,864,092
2605	Mental Health Grant/State: Local Admin	587,653	853,619		294,152	403,168	2,138,592
2605	Mental Health Grant/State: MHS					15,373,989	15,373,989
2607	MHD Administration Carryover	140,000			60,001	1,715,077	1,915,078
2609	Title XIX					5,000,000	5,000,000
2641	Title XIX/OMAP					512,896	512,896
2719	City Emergency Funds	332,754		802,230			1,134,984
2735	Head Start Contracts					73,600	73,600
2766	Portland Public Schools (SD #1)			20,000		422,437	442,437
2773	City of Portland			80,000			80,000

Department of Community and Family Services

Fund 156

Adopted Revenue Summary By Division

FY 2000/20001

Code	Revenue Source	Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	BH Division 1600	Fund 156 Grand Total
2782	Parkrose School District					10,000	10,000
2784	Gresham Grade School District					100,000	100,000
2791	Centennial School District					135,000	135,000
2795	HAP/Portland/In Lieu of Tax			319,473			319,473
2798	Portland Utility			137,000			137,000
Federal/State Funds		1,185,876	1,624,304	11,381,987	53,258,150	41,753,165	109,203,482
4014	Patient Fees - 3rd Party Reimbursement					579,000	579,000
4060	DUII Evaluation Fees					511,515	511,515
4900	Misc Charges/Recoveries		15,000	8,600	4,000		27,600
4905	DUII Victim's Panel Fee					86,270	86,270
4907	Tri Met Reimbursement				80,336		80,336
4915	Training Program Fee				18,338	10,000	28,338
6205	Provider Refunds/Health Care			60,000			60,000
6215	Weatherization Rebates			200,000			200,000
6600	General Fund					185,707	185,707
6643	Strategic Investment Program Fund	250,733		627,970			878,703
6801	Casey Foundation					200,000	200,000
6814	Oregon Community Foundation			34,764			34,764
6842	Oregon Food Bank			1,249			1,249
Other Funds		250,733	15,000	932,583	102,674	1,572,492	2,873,482
TOTAL PROGRAM REVENUE		1,436,609	1,639,304	12,314,570	53,360,824	43,325,657	112,076,964
7601	County General Fund Indirect	252,922	713,430	759,024	1,213,484	1,811,887	4,750,747
7601	County General Fund Match	10,500		80,000	1,004,053	256,970	1,351,523
7601	County General Fund Subsidy	1,562,844	4,036,779	15,442,667	379,613	9,137,125	30,559,028
SUPPLEMENTAL GENERAL FUND REVENUE		1,826,266	4,750,209	16,281,691	2,597,150	11,205,982	36,661,298
GRAND TOTAL		3,262,875	6,389,513	28,596,261	55,957,974	54,531,639	148,738,262

Department of Community and Family Services

Fund 395

Adopted Revenue Summary By Division

FY 2000/2001

Code	Revenue Source	Business Services 0120	BH Division 1600	Fund 395 Grand Total
0500	Beginning Working Capital			0
2603	Title XIX - Medicaid FQHC	515,251	22,756,749	23,272,000
Federal/State Funds		515,251	22,756,749	23,272,000
5000	Interest On Investments	180,156	93,872	274,028
Other Funds		180,156	93,872	274,028
TOTAL PROGRAM REVENUE		695,407	22,850,621	23,546,028
7601	County General Fund Indirect	102,476	448,864	551,340
SUPPLEMENTAL GENERAL FUND REVENUE		102,476	448,864	551,340
GRAND TOTAL		797,883	23,299,485	24,097,368

Department of Community and Family Services

Funds 156 & 395 Combined

Actual Revenue Summary By Division

FY 2000/2001

		Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	A&D 1600	MH 1600	DCFS Grand Total	MH-Adul One Tim Only
Code	Revenue Source								
20070	HUD Futures			1,078,818				1,078,818	
20071	HUD Turning Point			282,000				282,000	
20200	Community Development Block Grant			435,820				435,820	
20201	HUD CDBG Flood			686,007				686,007	
20240	HUD CDBG Gresham			38,950				38,950	
20250	CDBG/Portland			272,083				272,083	
20251	PDX CDBG Vouchers			12,960				12,960	
20360	Task Order # 15		61,534					61,534	
20620	FED: Homeless General						50,173	50,173	
20621	PDX McKinney				38,440			38,440	
20710	Community Services Block Grant			623,044				623,044	
20720	LIEAP/Energy Program			306,400				306,400	
20722	PDX Water Sewer D/A			34,226				34,226	
20723	OHCS D LIEAP Leverage			50,058				50,058	
20724	OHCS D LIEAP Client Ed			120,250				120,250	
20725	OHCS D LIEAP Program			2,493,513				2,493,513	
20730	LIEAP/Weatherization			588,202				588,202	
20750	FEMA/Nat Board Prog			538,136				538,136	
20790	Enterprise Community		205,629					205,629	
20900	USDOE Weatherization			257,870				257,870	
20911	HAP Richmond Place			77,464				77,464	
21010	Bridgeview PDX						237,884	237,884	
21020	Regional Drug Initiative/Portland					204,903		204,903	
21060	Substance Abuse Prevention					64,848		64,848	
21090	Youth Empowerment/Bonneville			27,708				27,708	
21100	OCCF Child Care Development			192,115				192,115	
21140	Portland Home / HUD			5,394				5,394	
21330	Safe/Healthy Schools						1,043,421	1,043,421	
21480	Housing Stabilization - Federal			81,795				81,795	
21490	Youth Investment - Federal			227,783				227,783	
21550	Residential Energy Assistance			62,549				62,549	
21551	OHCS D PAC Corp Reach			27,885				27,885	
21560	OHCS D BPA T&TA			304				304	
21570	HUD Horizons			1,022,523				1,022,523	
21630	Sub Abuse/Mental Health Svce Admin	7,349	127,361			566,778		701,488	

Department of Community and Family Services

Funds 156 & 395 Combined

Actual Revenue Summary By Division

FY 2000/2001

Code	Revenue Source	Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	A&D 1600	MH 1600	DCFS Grand Total	MH-Adul One Tim Only
21631	Jail Diversion					224,863		224,863	
21650	COPS MORE					10,000		10,000	
21850	Urban/Rural Opportunities Grant		640,618					640,618	
21970	HUD Pathways		2,190	232,921				235,111	
23010	SCF A&D					245,615		245,615	
23010	SCF To Partnership						190,975	190,975	
23120	OCCF/JSA			576,212				576,212	
23170	Student Retention Initiative			81,530				81,530	
23350	OHCSO OEAP			1,045,846				1,045,846	
23381	DHR Discretionary In			41,351				41,351	
23390	Child Abuse Multi-Disciplinary Grant						58,750	58,750	
23460	Youth Investment - State			121,366				121,366	
23570	Welfare Stipends			37,297				37,297	
23590	Video Lottery-Social Services					850,580		850,580	
23890	Local 2145 Tax Sharing					619,693		619,693	
23930	Oregon Housing Agency			89,636				89,636	
23940	SHAP			432,205				432,205	
23960	DHR/Roosevelt Coordinator			80,000				80,000	
23980	Great Start			102,117				102,117	
24380	Violence Against Women Act	55,913						55,913	
24480	Emergency Housing Account/State			679,948				679,948	
26020	Care Oregon/ODS CD Reimb					431,322		431,322	
26030	Medicaid DHS-FED			56,000				56,000	
3002	Title XIX - OHP Capitation Revenue		621,022				26,120,245	26,741,267	
81000	Mental Health Grant/State: DD				56,117,088			56,117,088	
84048	Mental Health Grant/State: DD 48 C/O				112,756			112,756	
83070	Mental Health Grant/State: A & D					11,573,753		11,573,753	
81045	Mental Health Grant/State: DD45	126,342						126,342	
80001	Mental Health Grant/State: Local Admin	545,347	807,922		282,859		545,763	2,181,891	
26050	Mental Health Grant/State: MHS 20						1,481,507	1,481,507	
82021	Mental Health Grant/State: MHS 21 (JCAHO & DARTS)						560,803	560,803	
82022	Mental Health Grant/State: MHS 22						310,249	310,249	
82022	Mental Health Grant/State: MHS 22 To Partnership						207,673	207,673	
84022	Mental Health Grant/State: MHS 22 C/O						203,555	203,555	
82024	Mental Health Grant/State: MHS 24						3,009,822	3,009,822	

Department of Community and Family Services

**Funds 156 & 395 Combined
Actual Revenue Summary By Division**

FY 2000/2001

		Director's Office 0110	Business Services 0120	CPP Division 1100	DDS Division 1500	A&D 1600	MH 1600	DCFS Grand Total	MH-Adul One Tim Only
Code	Revenue Source								
82024	Mental Health Grant/State: MHS 24 To Partnership						316,576	316,576	
82025	Mental Health Grant/State: MHS 25						3,851,653	3,851,653	
82000	Mental Health Grant/State: MHS 28 thru 39						4,344,999	4,344,999	
84999	MHD Administration Carryover						805,233	805,233	805,2
26030	Title XIX Intensive Treatment Services						2,721,162	2,721,162	
26410	Title XIX/OMAP JJ-AITP						89,865	89,865	
26410	OMAP Treatment Foster Care						335,144	335,144	
26411	OMAP Reimbursements for County CTS*						29,516	29,516	
26540	OHSU NIAA		6,371					6,371	
27190	Portland General Fund	353,352		820,986				1,174,338	
27350	Head Start Contracts						72,400	72,400	
27660	Portland Public Schools Partnership						422,437	422,437	
27660	Portland Public Schools			20,000				20,000	
27730	City of Portland Water Sewer			21,351				21,351	
27820	Parkrose School District						10,000	10,000	
27840	Gresham Grade School District Partnership						100,000	100,000	
27910	Centennial School District Partnership						60,000	60,000	
27910	Centennial School District						75,000	75,000	
27950	PDX Pilot			346,555				346,555	
27980	Portland Block by Block			103,561				103,561	
Federal/State Funds		1,088,303	2,472,647	14,432,739	56,551,143	14,792,355	47,254,805	136,591,992	805,2
40141	Patient Fees - CERES Reimbursement						139,268	139,268	
40600	DUII Evaluation Fees					261,875		261,875	
41460	Domestic Part DV Fee			6,527				6,527	
49000	Misc Charges/Recoveries		18,139	2,196	32		1,306	21,673	1,3
49050	DUII Victim's Panel Fee					126,628		126,628	
49070	Tri Met Rider Fees				35,756			35,756	
49151	Training Program Fee				17,754		3,878	21,632	3,8
62050	Provider Refunds/Health Care			8,560				8,560	
62150	Weatherization Rebates			184,922				184,922	
62270	Loan Repays CDBG			81,504				81,504	
66000	General Fund SOAP RAPP						165,182	165,182	
67030	Donations Private	4,868						4,868	
66430	Strategic Investment Program Fund	167,916						167,916	

Department of Community and Family Services	
Funds 156 & 395 Combined	
Actual Revenue Summary By Division	

Funds 156 & 395 Combined					
Actual Revenue Summary By Division					
Division	Revenue	Expenses	Net Income	Total Revenue	Total Expenses
Administration	100	50	50	100	50
Public Works	200	100	100	200	100
Police	300	150	150	300	150
Fire	400	200	200	400	200
Health Services	500	250	250	500	250
Social Services	600	300	300	600	300
Cultural Arts	700	350	350	700	350
Parks Recreation	800	400	400	800	400
Library	900	450	450	900	450
Other	1000	500	500	1000	500
Total	3500	1750	1750	3500	1750

	FY 2000/2001
1. Total Expenditure	176,980,000
2. Total Revenue	176,980,000
3. Balance	0

[illegible]

Multnomah County Mental Health Fiscal Year 2001/2002							
Projected Year to Date and Annual Financial Reports - EXPENSES							
For the period July 1, 2001 through January 31, 2002							
		Estimated	Estimated	FY 2001/	Full Year		Estimated
		Year to Date	Total	2002	Estimated	See	Total
		as of 01/31/02	Fiscal Year	Budget	(Over)\Under	Footnotes	Fiscal Year
					Budget	Worksheet	12/31/2001
Inpatient Services:							
	Sub-Acute Services	1,479,465	2,536,226	2,005,722	(530,504)	1	2,450,798
	Respite Services	421,315	722,254	609,696	(112,558)	2	792,784
	Verity Inpatient Services	4,958,333	8,500,000	8,100,055	(399,945)	3	8,500,000
	Indigent Inpatient Services						
	E-Hold Expenses	574,037	984,063	984,063	-	4	984,063
	Wait List	758,333	1,300,000	1,300,000	-	5	1,300,000
	Emergency Room Payments	36,416	54,624	294,000	239,376	6	72,832
	Total Inpatient Services	8,227,900	14,097,167	13,293,536	(803,631)		14,097,167
Crisis Services:							
	Access/Crisis Line (Call Center)	483,147	1,038,667	1,329,002	290,335	7	1,038,667
	Secure Evaluation Facility	293,664	580,728	2,217,712	1,636,984	8	587,328
	Mobile Crisis Services	547,977	939,389	939,389	(0)	9	939,389
	Urgent Walk-In Clinics	727,420	1,193,435	1,118,434	(75,001)	10	1,158,103
	Homebased Stabilization	311,063	533,250	533,250	-	11	410,000
	Warm Line	-	56,277	112,554	56,277	12	56,277
	Acute Care Coordinator	83,738	143,550	143,550	-	13	143,550
	IT System - Raintree	145,663	196,500	196,500	-	14	196,500
	Additional Consultation	58,333	100,000	100,000	-	14	100,000
	Transportation	58,917	101,000	125,000	24,000	15	101,000
	Flex Funds	52,200	287,554	287,554	-	16	287,554
	Total Crisis Services	2,762,121	5,170,350	7,102,945	1,932,595		5,018,368
Outpatient Services:							
	Outpatient Premium Pool	9,178,410	15,734,434	15,734,434	-	17	15,734,434
	CAAPCare +, Indigent Medications	1,387,493	2,378,560	2,378,560	-	17	2,378,560
	Total Outpatient Services	10,565,903	18,112,994	18,112,994	-		18,112,994
Other Contracts:							
	Residential Contracts	1,039,205	1,781,494	1,781,494	-	18	1,781,494
	Intensive Treatment Services	2,497,267	4,500,000	4,600,000	100,000	18	4,600,000
	Special Arrangement Contracts	4,120,131	7,063,082	7,063,082	-	18	7,063,082
	Systemwide (OHP Premium)	2,192,764	3,759,024	3,379,522	(379,502)	19	4,385,528
	Total Other Contracts	9,849,367	17,103,600	16,824,098	(279,502)		17,830,104
	Total Expenses:	31,405,291	54,484,112	55,333,573	849,462		55,058,634
	Estimated Revenue shortfall				(800,000)	20	
	Over Budget Grand Total:				49,462		

Multnomah County Mental Health Fiscal Year 2002
Projected Year to Date and Annual Financial Reports - FOOTNOTES
For the period July 1, 2001 through January 31, 2002

General Note: This information does not include Verity labor, A&D programs, Administration, and Safe Schools.

- 1 Sub-Acute represents services from Ryles Center and Albertina Kerr. Multnomah County has received a portion of the billing for January 2002.
The year to date and total year numbers are estimates.
- 2 Respite represents services from Ryles Center and Network Behavioral Health. Multnomah County has received a portion of the billing for January 2002.
The year to date and total year numbers are estimates.
- 3 Our projections of inpatient claims is unchanged from previous month.
- 4 E-Hold Expenses are currently being evaluated by the fiscal department. Not enough data has been collected, the contracts are not signed and the calculation of benefits methodology has been changed 1/11/02 and the results of the new methodology have not been calculated.
We are presenting this expense to equal budget until further analysis is completed.
- 5 Waitlist is self balancing with payments equaling expenses.
- 6 Emergency Room payments to Care Oregon are \$9,104 for the first quarter of calendar 2001. We are estimating this to continue thru June 2002.
(We will pay for two additional quarters in this fiscal year to bring us current with CareOregon.)
- 7 Call Center opened February 2002. Estimate includes labor, expenses, & contract with off hours provider.
- 8 Delayed - on hold.
- 9 This is a non-requirements contract with Network. We have contracted a set amount each month.
- 10 At the present time we are paying for 5 walk-in clinics and we only have four. Management is in negotiations to reduce payment to the four clinics only.
We have overpaid the budget \$75,000 thru Feb 2002. We assume this will be corrected by March 2002.
- 11 Negotiations with providers in progress.
- 12 Part of these funds are used for the Crisis Line.
- 13 Assume this is fully spent and wrapped into the Crisis Line.
- 14 Estimating expenses will equal budget at year end.
- 15 A substantial amount of invoices have not yet been received.
- 16 A substantial amount of invoices have not yet been received.
- 17 The outpatient providers are sharing the risk with the County. What ever funds are available in the pool of funds is paid to these providers.
- 18 Assume to equal budgeted amounts.
- 19 Systemwide OHP Premium. Note that this includes:
 - Hospital Alternatives Program
 - Dual Diagnosis
 - Day Treatment
 - IOP Fee for Service - children services
 - Supported Classrooms - children services
 - Therapeutic Classrooms - children services
 - IOP Case Rates - children services
 - SOAP/RAPP - children services
 - Behavioral Rehabilitation Services - children services
- 20 Calculated as the cumulative difference between the monthly forecast premium and the actual premium revenue.

General Note: This information does not include Verity labor, A&D programs, Administration, and Safe Schools.

- 1 Sub-Acute represents services from Ryles Center and Albertina Kerr. Multnomah County has received a portion of the billing for December 2001.
The year to date and total year numbers are estimates. The current estimate projects an increase in expense from 10/01 estimate.
- 2 Respite represents services from Ryles Center and Network Behavioral Health. Multnomah County has received a portion of the billing for December 2001.
The year to date and total year numbers are estimates. The current estimate projects an increase in expense from 10/01 estimate.
- 3 Inpatient Claims calculations:

Calculation #1:

	Actual Bed Days
August	1,315
September	1,165
October	1,480
November	1,036
December (estimated data)	1,380
	<u>6,376</u>

This information comes from the authorization database.
Actual days paid will vary from this database. Generally, the actual days paid will be less than the days in the authorization database.

Estimated cost per day	\$ 582
Estimated total for 5 months:	<u>\$ 3,710,832</u>
Total Year Estimate:	<u><u>\$ 8,905,997</u></u>

Amount calculated by Dale Jarvis. Several other calculations have been made and they vary from \$597 through \$564. We will continue to use Dale Jarvis figure until more data is collected. Note, as more data is collected for July the cost per day has dropped to \$564. It appears that co-insurance claims take longer to process and result in the County paying less per day.

Calculation #2:

Year to Date payments for Inpatient Claims equals \$2,314,705 as of 1/01/02. This data knowingly does not include all claims. In the future we will be estimating the inpatient claims as follows: Actual claims paid plus 30% of the estimated expenses of claims pending.

At this point we will use a year to date and estimated year that equals \$8,500,000. It appears that days are trending down and our outpatient services will take effect before year end. Also, we are now adjusting to the closures of Pacific Gateway and the Crisis Triage Center.

- 4 E-Hold Expenses are currently been evaluated by the fiscal department. Not enough data has been collected, the contracts are not signed and the calculation of benefits methodology has been changed 1/11/02 and the results of the new methodology have not been calculated. We are presenting this expense to equal budget until further analysis is completed.
- 5 Waitlist is self balancing with payments equaling expenses.
- 6 Emergency Room payments to Care Oregon are \$9,104 for the first quarter of calender 2001. We are estimating this to continue for the remainder of ~~the year~~.
- 7 Call Center is not in operation as of 1/14/02 and is anticipated to open 2/1/02. Payroll expenses will increase over current expenses as the center opens mandating additional staffing.
- 8 Delayed - on hold.
- 9 This is a non-requirements contract with Network. We have contracted a set amount each month.
- 10 At the present time we are paying for 5 walk-in clinics and we only have four. Management is in negotiations to reduce payment to the four clinics ~~only~~. Our estimate assumes that negotiations will be successful.
- 11 Part of this expense have not been budgeted. As contracted with NETWORK at \$34,167 per month for six months and assumed to be full year.
- 12 Part of these funds are used for the Crisis Line.
- 13 Assume this is fully spent and wrapped into the Crisis Line.
- 14 Estimating expenses will equal budget at year end.
- 15 A substantial amount of invoice have been received since October 2001. We are updating our estimated as noted.
- 16 Our flex fund procedures have been in effect for 1 month. We are waiting for Network to invoice the County for their flex fund expenditures.
- 17 The outpatient providers are sharing the risk with the County. What ever funds are available in the pool of funds is paid to these providers.
- 18 Assume to equal budgeted amounts.
- 19 Systemwide OHP Premium. Note that this includes:
- Dual Diagnosis
 - Day Treatment
 - IOP Fee for Service - children services
 - Supported Classrooms - children services
 - Therapeutic Classrooms - children services
 - IOP Case Rates - children services
 - SOAP/RAPP - children services
 - Behavioral Rehabilitation Services - children services
- 20 Calculated as the cumulative difference between the monthly forecast premium and the actual premium revenue.

LATINO COMMUNITY RESOURCE GUIDE

VOLUME 1
JAN. 2002



LATINO COMMUNITY RESOURCE GUIDE

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JAN. 2002



to be added

If your agency serves the Latino community and you would like to be added to this directory, please contact:

**Office of Multnomah County
Commissioner Serena Cruz**

501 SE Hawthorne, 6th Floor
Portland, Oregon 97214
(503) 988-5219

E-mail: serena@co.multnomah.or.us

thank you

The Latino Community Resource Guide, volume 1 could not be possible without the help from helpful people in the community.

Special thanks goes to:

Commissioner Serena Cruz
Clara Padilla-Andrews
Rosemary Celaya-Alston
Jessica Garcia
Mike McGlade, Ph.D
Estela Zamora-McGlade
Marie Dahlstrom, M.A.
Brenda Sanchez
Rey Cantu
The United Way

Edited by:

Catherine Fixe

Design and Layout:

Michael Guillén

Cover photography:

Lisa Herrera

welcome to volume 1

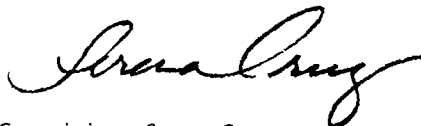
Dear Community Members,

On behalf of Multnomah County, the Latino Network and United Way, we are proud to present the first edition of the Latino Community Resource Directory. This directory is groundbreaking since it is the first time a comprehensive listing of services to the Latino community has been published in Multnomah County. It reflects a commitment to enhance knowledge of community resources both for community members and service providers.

The Latino community in Multnomah County has doubled since 1990 and reflects rich diversity from throughout Latin America. It is our hope the directory will enhance access to community resources that are dedicated to the provision of bilingual and/or bicultural programs for Latinos. In addition, we encourage social service providers to use the directory to ensure a holistic and comprehensive approach to serving the Latino community through increased partnerships and referrals.

As the community changes so will the need for services and so this edition is to be viewed as a work in progress. Although the number of programs serving Latinos has increased there remains a need for more resources. We support the development of more culturally specific services to the community in order to meet the needs of a growing population. We recognize this first edition will not be all inclusive and we welcome additional resources to be added to the next printing of the Latino Community Resource Directory.

Sincerely,



Commissioner Serena Cruz



Rosemary Celaya-Alston
Chair, Latino Network

directory

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welcome to volume 1

Dear Friends,

United Way has long been a supporter of programs that provide service to the Latino community, which continues to grow and shape the direction of our four county area. We embrace the values of our diverse populations and recognize that all of us, as individuals, have different needs. And yet, as a community, we come together to build a better place for all of us.

United Way is proud to be a part of this new directory that provides information to services for the Latino community. This is the first of many editions that will continue to provide information about a variety of services.

Best regards,



Lawrence J. Norvell
President/CPO
United Way of the Columbia-Willamette

addictions

Alcoholics Anonymous (AA)

1650 N.W. Front Ave. Suite 130
Portland, OR 97209
(503) 223-8569

7 days a week, 24 hour hotline. Self-help groups for alcoholics. Call for meeting times and locations. Latina meetings available.

Change Points

1949 S.E. 122nd Ave.
Portland, OR 97292
(503) 253-5954

1217 N.E. Burnside Rd. Bldg. A #103
Gresham, OR 97030
(503) 666-0542

Diversion programs. All languages served, outpatient alcohol and drug abuse, violence prevention. Women only groups. Accepts Oregon Health Plan, sliding fee scale.

Oregon Chicano Concil on Alcohol and Drug Abuse

1732 N.E. 43rd Ave
Portland, OR 97213
(503) 282-2863

Treatment Services NorthWest

948 N.E. 102nd
Portland, OR 97220
(503) 257-0381

9370 SW Greenburg Rd. Suite 601
Portland, OR 97223
(503) 246-5238

advocacy

Latino Network

P.O. Box 9301
Portland, OR 97207
(503) 350-2392

Latino Network is a coalition of individuals and organizations who advocate for Latinos in Multnomah County.

Hispanic Services Roundtable

Web site: hispanicservicesroundtable@home.com
e-mail: herky@jps.net

Web site: www.geocities.com/HispanicServicesRoundtable

The Hispanic Services Roundtable is a Community-based Organization dedicated to:

- Heightening awareness of services available to the Hispanic communities in Multnomah, Clackamas and Washington Counties.

- Identifying gaps in services provision and developing creative solutions to fill those gaps.

- Fostering cross-cultural education and sensitivity; Involving political, public and private leadership in addressing the lack of services available to Hispanic community members.

- Providing education and support toward creating a healthful life for the Hispanic community.

Susannah Maria Gurule Foundation

1200 S.E. Morrison Ave.
Portland, OR 97201
(503) 228-3139

SMG Foundation provides advocacy and resources to address health disparities within the Latino community.

VOZ

330 S.E. 11th Ave.
Portland, OR 97214
(503) 233-6787

VOZ works to organize low wage immigrant workers in the Portland Metro area with special focus on day laborers.

Services include assistance with wage claims, monthly community educational classes, free English and computer classes, soccer team.

adult education

Mount Hood Community College

(503) 491-7333

Mon. – Fri. 8 a.m. – 5 p.m.

Locations vary. Provides adult basic education programs and ESL.

counseling/ mental health

Multnomah County Bienestar de la Familia

421 S.W. 6th Ave. Suite 600
Portland, OR 97204
(503) 988-5464

Provides social services, mental health and alcohol and drug services. Operates in conjunction with La Clinica de Buena Salud, family resource centers and school based health clinics.

Developmental Disabilities Services Division – DCFS

421 S.W. 6th Ave.
Portland, OR 97204
(503) 988-3658

Case management and services for persons with developmental disabilities.

REDES

(503) 235-8057
Fax: (503) 235-5455

REDES has a more detailed resource list of providers working for monolingual or bilingual Spanish speaking individuals and families in the areas of:

- Mental Health, Addictions, Family Violence and other related services.

- Please call to receive a copy of the last version of this resource list.

**Mount Hood Community
Mental Health Center**

400 N.E. 17th St.
Gresham, OR 97030
(503) 661-5455
Fax: (503) 661-4959
Mon. – Fri. 8:30 a.m. – 5 p.m.
Provides mental health services to adults,
children and families.

William Temple House

2923 N.W. Hoyt St.
Portland, OR 97232
(503) 226-3021
Fax: (503) 223-7836
Mon. – Fri. 8:30 – 9 p.m.
Fri. 8:30 a.m. – 5 p.m.
Free counseling and social services.

cultural & translation services

**American Friends Service
Committee Latin American Asian
Pacific Program - United Voices
& Cultura Hispana**

2249 E. Burnside St.
Portland, OR 97214
(503) 230-9427
e-mail: marco@afscpx.org
Web site: www.afscpx.org

Centro Mexicano de Oregon

1224 S.W. Morrison St.
Portland, OR 97205
(503) 274-9973

Consulate of Mexico

1234 S.W. Morrison St.
Portland, OR 97205
(503) 274-1442 or 274-1450
Fax: (503) 274-1540
Mon. – Fri. 8:30 a.m. – 1:30 p.m.
Information assistance for foreigners
traveling to Mexico and support for
Mexican citizens in the U.S.

El Hispanic News

1200 S.E. Morrison St.
Portland, OR 97201
(503) 228-3139
Fax: (503) 228-3384
Web site: www.hispnews.com

GenAcción

(503) 725-8051
A network of Latinos/as in the Portland
area that provides a forum to socialize
and organize around shared social, cul-
tural, political and economic experiences.

Hispanic Metropolitan Chamber

2402 N.E. Oregon Street
Portland, OR 97232
(503) 222-0280
Fax: (503) 292-3790
e-mail: hmcc@uswest.net

**IRCO - International
Language Bank of Oregon**

10301 N.E. Glisan St.
Portland, OR 97220
(503) 234-0168
Mon. – Fri. 8:30 a.m. – 5 p.m.
24 hour hotline. Interpreters available for
over 30 languages. 24 hours per day, 7
days a week. Emergency interpretations
for police, fire, medical social and legal
situations.

**North Portland
Catholic Community**

(503) 289-7118
Assistance with Sacrament preparation
and religious education.

Noticias Latinas

524 S.E. 14th Ave.
Portland, OR 97214
(503) 227-7780
Fax: (503) 227-1259
e-mail: notilati@coho.net

Spanish Yellow Pages

P.O. Box 11603
Portland, OR 97211
(503) 257-2333
Fax: (503) 257-2449
Web site:
www.myspanishyellowpages.com

Univision (local)

AT&T Media Services
2000 S.W. First Ave, #200
Portland, OR 97201
(503) 295-0123
Fax: (503) 295-1006

Portland State University

Chicano/Latino Studies
141 Cramer Hall
1721 S.W. Broadway
Portland, OR 97207-0751
(503) 725-4447

**Portland Guadalajara
Sister City Association**

(503) 579-2447
Provides cultural events such as the
Cinco de Mayo Fiesta.

dental care

**Multnomah County
Dental Referral Line**

(503) 248-3816
Monday-Friday 8 a.m. – 5 p.m.
Dental information and referrals. Where
to go for treatment if you have a medical
card. Where to find emergency care if
you are uninsured. Spanish interpreters
are available. Services only for
Multnomah County residents.

educational services

ESL/Migrant Program East County

(503) 257-1597
Serves Parkrose, David Douglas,
Centennial, Gresham Barlow and
Reynolds school districts. (Corbett on
consultative basis only). Provides supple-
mental education services and support to
students and families. Also provides
translation services and acts as a liaison
between home and school.

Transiciones

Mt. Hood Community College
26000 S.E. Stark
Gresham, OR 97030
(503) 491-6972

A one year ESL program for single Hispanic parents and dislocated Hispanic workers. Call for class schedule and registration. Services free if you meet income guidelines.

Educate Ya Inc.

214 N.E. 20th Ave.
Portland, OR 97232
(503) 238-5790

Organization that empowers the Latino community on a variety of levels including educationally, professionally and socially. Raises awareness in community with regard to cultural, social, political and economic concerns.

Hispanics In Unity For Oregon

P.O. Box 9301
Portland OR, 97207
(503) 646-6290
e-mail: HIUFO@cs.com

A nonprofit organization, that works on projects and issues specific to the Hispanic community. HIUFO has been instrumental in advocating for more Hispanic representation within state, federal, and local governments. HIUFO is the sponsor of the Annual Cesar E. Chavez Leadership Conference and offers scholarships to hispanic youth.

LIBROS

(Library Outreach in Spanish)

205 N.E. Russell St.
Portland, OR 97212
(503) 988-6112

Fax: (503) 988-5441
Mon. - Fri. 8 a.m. - 5 p.m.

Rigler School

5401 N.E. Prescott St.
Portland, OR 97218
(503) 916-6152

Student achievement, family involvement, community business involvement

Ockley Green 21st Century SUN School

6031 N. Montana
Portland, OR 97217
(503) 916-5663

Extended day (before and after school) programs focused on student achievement, family involvement and community engagement.

Portland International Community School

1131 S.E. Oak St.
Portland, OR 97214
(503) 232-5334 or 5394

Mon. - Thurs. 8:30 a.m. - 8:30 p.m.
Fri. 8:30 a.m. - 5 p.m.

Self Enhancement Inc. (SEI)

N. Kerby Ave.
Portland, OR 97227
(503) 249-1721

Comprehensive year round school and after school programs. Seeks to increase the self-esteem, improve academic performance and encourage community involvement.

Touchstone

(503) 988-3999 ext. 28616
(503) 988-3222
Mon. - Fri. 8 a.m. - 5 p.m.

School based case management and services to families. Provides school based management services to families.

employment training

Gresham Employment Department

P.O. Box 2070
Gresham, OR 97030
(503) 669-7112

Fax: (503) 669-2230
Mon. - Fri. 8 a.m. - 5 p.m.

Helps business solve workforce related problems and assist workers in career transitions. Provides Unemployment Insurance and maintains market information.

Metro Center- Skills Center - Portland Community College

739 N. Killingsworth St., Room 117
Portland, OR 97217
(503) 978-5152

Employment programs.

Workforce Connections- Maywood Center

4510 N.E. 102nd Ave.
Portland, OR 97220
(503) 252-0758

Services include employment programs.

George 21st Century SUN School

10000 N. Burr
Portland, OR 97203
(503) 916-5718

Extended day (before and after school) programs focused on achievement, family involvement and community engagement.

Oregon Human Development Corporation (OHDC)

19275 E. Burnside
Portland, OR 97233
(503) 666-3009

Employment programs and job placement for people who have worked in agriculture and have legal documents. Emergency assistance for any farmworker.

Oregon Council for Hispanic Advancement (OCHA)

108 N.W. 9th Suite 201
Portland, OR 97209
(503) 228-4131

Mon. - Fri. 8:30 a.m. - 5:30 p.m.

Programs for Hispanics includes assistance with employment and/or training, school retention, GED preparation, opportunities for college education, HIV education, youth substance abuse program. Most services are free.

- LISTOS Alternative school - for students 16-21 who have dropped out
- Oregon Leadership Institute (OLI) - mentoring program for high school students
- Adelante - school retention program for middle school students
- Workforce Development - job readiness and placement

family violence

Cares NW

2800 N. Vancouver Ave. Ste 201
Portland, OR 97227
(503) 331-2400
Fax: (503) 331-2410
Mon. – Fri. 8 a.m. – 5 p.m.

VOA (Volunteers of America Family Center Outreach Center)

(503) 771-5503
Domestic violence program offering support groups in Gresham & East Multnomah County.

Service to Children & Families

1425 N.E. Irving, Bldg 400
Portland, OR 97232
(503) 731-3147
Fax: (503) 731-3151
Mon. – Fri. 8 a.m. – 5 p.m.
Child protective services.

Casa for Children

1401 N.E. 68th
Portland, OR 97213
(503) 988-5115 or 5618
Mon. – Fri. 8:15 a.m. – 5 p.m.
Recruit, train and support volunteers called Child Appointed Special Advocate to advocate for children who have been abused or neglected and under the protection of juvenile court.

DIF (Desarollo Integral de la Familia)

2710 N.E. 14th
Portland, OR 97212
(503) 284-5178
Fax: (503) 528-0196
DIF provides family violence prevention intervention services. Services include parenting classes, support groups and family support.

Raphael House

P.O. Box 10797
Portland, OR 97296
(503) 222-6507
Fax: (503) 222-4754
Mon. – Fri. 8:30 a.m. – 12:30 p.m.
and 1 p.m. – 5 p.m.
Referral information.

Tualatin Valley Centers

4531 S.E. Belmont #300
Portland, OR 97214
(503) 234-3400
(503) 233-9424
Mon. – Thurs. 9 a.m. – 6 p.m.
and Fri. 9 a.m. – 4 p.m.
Child abuse prevention.

Clackamas Womens Services

P.O. Box 22547
Portland, OR
(503) 654-2807 or 2320
Mon. – Fri. 9 a.m. – 5 p.m.
24 hour shelter
Shelter, support groups, for domestic violence and sexual assault. 24 hour hotline.
Serves the tri-county area.

Morrison Center - Family Sexual Assault Treatment (FSAT) Program

1818 S.E. Division St.
Portland, OR 97202
(503) 230-8855
(503) 542-3022
Mon. – Fri. 8 a.m. – 5 p.m.
Services include: sexual assault treatment for children and youth (4-19) and non-offending parent psycho-educational groups (available in Spanish).

Programa de Mujeres - Centro Hispano

1715 E. Burnside St.
Portland, OR 97214
(503) 236-9670
Fax: (503) 234-9074
Web site: www.ohdc.org
Citizenship classes, clothing, furniture, employment assistance and domestic violence programs.

YWCA Yolanda House

P.O. Box 19178
Portland, OR 97280
(503) 977-7911
Fax: (503) 977-7828
Mon. 7 a.m. – 6 p.m.
and Tues. – Fri. 6 a.m. – 6 p.m.
Shelter crisis. 24 hour case management
Basic needs assistance.

family welfare

Adult and Family Services (AFS)

3965 S.E. Powell Blvd.
Portland, OR 97202
(503) 731-3111
Fax: (503) 731-3377
Mon. – Fri. 8 a.m. – 5 p.m.
Family welfare, employment services, food stamps, Medicaid.

Clara Vista Family Resource Center

(503) 988-5682
Networking, school case management, telephone referral, youth summer project. Walk-ins welcome.

Columbia Villa/Tamarack Family Resource Center

(503) 988-5717
Information referral and outreach.

Common Bond - Volunteers of America

4919 N.E. 9th Ave.
Portland, OR 97211
(503) 280-1616
Fax: (503) 280-1614
Mon. – Fri. 9 a.m. – 5 p.m.
Services provides include: parenting classes, child development services, family support, lead testing information and referral, community health nurse services, leadership development and community gardens.

OSU Extension Service

211 S.E. 80th Ave.
Portland, OR 97215
(503) 249-2061
Fax: (503) 249-2842

Programming in the area of family and community development – home horticulture, agriculture, energy and forestry.

Hispanic Access Center/ Centro Hispano

1715 E. Burnside St.
Portland, OR 97214
(503) 236-9670
Mon. – Fri. 8:30 a.m. – 5 p.m.
Wed. 1 p.m. – 5 p.m.

Family crisis, domestic violence, homeless, employment, ESL classes, citizenship assistance, help for limited English speakers wanting to access mainstream services. Fee is \$20 for citizenship classes, all other services are free.

YWCA of Greater Portland

5630 N.E. MLK Jr. Blvd.
Portland, OR 97280
(503) 721-1750
Fax: (503) 721-1751
Mon. – Fri. 8 a.m. – 5 p.m.
Parenting classes, housing, pre-natal class.

El Programa Hispano

451 N.W. 1st St.
Gresham, OR 97030
(503) 669-8350
Fax: (503) 666-7487
Mon. – Fri. 8 a.m. – 5 p.m.

Immigration/citizenship, counseling, legal information, and limited funds for cash assistance. Also has extensive mental health services ranging from domestic violence, gang prevention/outreach as well as individual and couples counseling. Classes available in ESL – driver's exam preparation and other topical workshops. Other services include tax help offered seasonally, health and dental clinics and case management.

gay & lesbian servies

ARCO IRIS - An American Friends Service Committee project

2249 E. Burnside
Portland, OR 97214
Voice: (503) 230-9427
Fax: (503) 230-1835
9 AM to 5 PM
e-mail: afscgl@teleport.com

Arco Iris is a program developing community awareness and resources for gay/lesbian Latinos, families and friends.

head start/ preschool

Together A Great Start

(503) 240-8138
Fax: (503) 240-0239
Mon. – Fri. 9 a.m. – 4 p.m.

Programs include parent and child education, preschool, resource & referral and developmental screening.

David Douglas Head Start

(503) 252-9055
Assists with delivery of head start and social services to English & non-English speaking families.

Early Head Start Family Center of Portland

911 N. Skidmore St.
Portland, OR 97217
(503) 236-9389
Mon. – Fri. 8 a.m. – 5 p.m.
10100 N.E. Prescott St.
Portland, OR 97220
(503) 536-9389
(503) 254-6454
Fax: (503) 238-9674

Service area includes all of the North, Northeast (west of 122nd) and parts of Southeast Portland. Early Head Start provides parenting and child development education, health, nutrition, dental and developmental screenings of children, links to community resources, services to children with disabilities, opportunities for parent involvement and interactions with other parents and support for healthy pregnancies. Services are provided through in-home visits, prenatal groups, part-day and full-day classes and parent/child groups.

health

La Clinica de Buena Salud

5300 N.E. Cully #53
Portland, OR 97218
(503) 248-3991
Mon. & Thurs. 10:30 a.m. – 7 p.m.
Tues., Wed. and Fri. 8:30 a.m. – 5 p.m.
Medical services for children age 19 and younger and their families. Call before going to the clinic. Sliding fee scale, (need varification of income). Accepts OHP, Care Oregon. The clinic is staffed by bi-lingual and bi-cultural staff and clients have access to after hours care with interpretive services.

American Diabetes Association

DAR Latino Program
380 S.E. Spokane Street, Suite 110
Portland, OR 97202
(503) 736-2770 ext. 7294

**Cascade AIDS Project,
Apoyo Latino**

620 S.E. 5th Ave. Ste. 300
Portland, OR 97204
(503) 223-5907
(503) 223-7087
Mon. - Fri. 9 a.m. - 5 p.m.

Support for people who are HIV positive or with AIDS and their families. Services include: housing emergency, financial assistance, transportation, emotional support, prevention education and advocacy.

Lovejoy Surgicenter

933 N.W. 25th Ave.
Portland, OR 97210
(503) 221-1870
Fax: (503) 221-1488
Mon. - Fri. 8 a.m. - 5 p.m.
and Sat. 8 a.m. - 12 p.m.
Abortion from 4 weeks, tubaligation, vasectomy.

**Multicultural HIV/AIDS
Alliance of Oregon (MHAAO)**

P.O. Box 5913
Portland, OR 97208
(503) 408-6993
e-mail: mhao@teleport.com
Web site: <http://www.mhao.org>

**Multnomah County Dept. of
Community & Family Services,
Behavioral Health Division**

421 S.W. 6th Ave. Ste 600
Portland, OR 97204
(503) 988-5464
Fax: (503) 988-3926
Mon. - Fri. 8 a.m. - 5 p.m.
Responsible for the Oregon Health Plan and services for the uninsured and under-insured; responsible for planning and monitoring of service quality and prevention efforts.

Bienestar de la Familia

Provides social services, mental health and alcohol and drug services. Operates in conjunction with La Clinica de Buena Salud, family resource centers and school based health clinics.

**Multnomah County
Health Department**

426 S.W. Stark St.
Portland, OR 97204
(503) 988-3674
Fax: (503) 988-3676
Mon. - Fri. 8 a.m. - 5 p.m.
Parenting, counseling, health screenings.

**Planned Parenthood -
NE Portland Clinic**

4326 N.E. Killingsworth St.
Portland, OR 97218
(503) 288-8826 or 5239
Mon. 8:30 a.m. - 5 p.m.,
Tues. 10:30 a.m. - 5 p.m.
Wed. 12 p.m. - 8 p.m.
Thursday and Friday 8:30 a.m. - 5 p.m.
Some Saturday 9 a.m. - 5 p.m.
Family planning services, reproductive health care and education.

Providence Primary Care Clinic

8001 S.E. Powell Blvd.
Portland, OR 97206
(503) 775-9117
Mon. - Fri. 8:30 a.m. - 5 p.m.
Adult internal medicine and urgent care.
No medical card needed, sliding fee scale. No walk-ins. Call first. Spanish is spoken. Serves adults only - who do not have insurance.

**Rockwood Neighborhood
Health Access Center**

800 S.E. 181 Ave.
Portland, OR 97233
(503) 988-4585

**St. Vincent de Paul
Rehabilitation Services, Inc.**

4959 N.E. MLK Jr. Blvd.
Portland, OR 97211
(503) 281-1829

The Wallace Medical Concern

225 N.W. Couch St. (Thurs.)
Portland, OR 97233
(503) 274-1277
800 SE 181st Ave. (Mon. & Wed.)
Portland, OR 97209
Sign up at 6:15 p.m.
Provides free basic urgent/emergent medical care including: General Medical care three times a week
dermatology/podiatry care twice a month; chiropractic care once a week; basic lab services, and free medications when in stock. Spanish interpretation is available at all clinics.

housing energy & basic needs

**Hacienda - Community
Development Coalition (CDC)**

6706 N.E. Killingsworth
Portland, OR 97218
(503) 493-9067
Fax: (503) 284-4697
Non-profit that serves the Latino community with low income housing, social services and medical services. Sponsors special events for the community such as back to school readiness fairs and Christmas parties.

Human Solutions

2900 S.E. 122nd Ave.
Portland, OR 97236
(503) 988-5201
Fax: (503) 988-5202
Mon. - Fri. 8 a.m. - 12 p.m.
and 1p.m. - 5:00 p.m.
Affordable and transitional housing with case management. Rent/utility & low income energy assistance. Clothes/household items closet and a professional clothes closet. Professional and personal skill development classes.

Ecumenical Ministries of Oregon Shared Housing

1819 N.W. Everett
Portland, OR 97209
(503) 225-9924

e-mail: housing@emoregon.org
Tues. – Fri. 9 a.m. – 4 p.m.

Matching service for people who to
share housing.

Fair Housing Council of Oregon

310 S.W. Fourth Ave. Ste. 430
Portland, OR 97204
(503) 223-8295
Fax: (503) 223-3396

e-mail: fairhsor@teleport.com
Mon. – Fri. 8:30 a.m. – 12 p.m.
and 1 p.m. – 5:30 p.m.

Education, information and enforcement
services related to civil rights and housing.

Low Income Family Emergency (LIFE) Center

2746 N.E. MLK Jr. Blvd.
Portland, OR 97212
(503) 284-6878

Fax: (503) 281-4321
e-mail: lifepdx@yahoo.com
Mon. – Thurs. 10 a.m. – 4:30 p.m.
and Fri. 12 p.m. – 3 p.m.

The center provides food, clothing,
household items, furniture, appliances
and baby items.

Multnomah County LIEAP

421 S.W. 6th Ave. Ste 500
Portland, OR 97204
(503) 988-3999

Fax: (503) 988-5840
Mon. – Fri. 8 a.m. – 5 p.m.

Provides energy payment assistance.

Multnomah County Weatherization

421 S.W. 6th Ave. Ste 500
Portland, OR 97204
(503) 988-3999

Fax: (503) 988-5840
Mon. – Fri. 8 a.m. – 5 p.m.

Provides insulation of homes and wall,
floor, furnace repair or replacement of
plumbing.

Outside In

1236 S.W. Salmon
Portland, OR 97205
(503) 535-3800
Fax: (503) 535-3868
Mon. – Fri. 10 a.m. – 6 p.m.
Homeless youth program.

Portland Housing Center

1605 N.E. 45th Ave.
Portland, OR 97213
(503) 282-7744 or 0049
Home purchase partners. Home buyer
education.

legal & immigration services

Catholic Charities Refugee Resettlement

231 S.E. 12th Ave.
Portland, OR 97214
(503) 231-4866
Mon. – Fri. 8 a.m. – 5 p.m.
Free refugee and immigration counseling
services.

Catholic Charities Immigration Service

231 S.E. 12th Ave.
Portland, OR 97214
(503) 231-4866 ext. 133
Mon. – Fri. 8:30 a.m. – 12 p.m. and
1 p.m. – 5 p.m. by appointment only
Consultation by phone (Mon. & Tues.,
ext. 133) and in person by appointment
Immigration legal services, including fam-
ily visas, residence, deportation/removal
defense, citizenship, battered spouse –
self-petitions, political asylum, special
programs for Central Americans, etc.
Spanish is spoken. Services for low-
income individuals and families needing
immigration legal assistance. Donations
requested when possible, no one turned
away for lack of funds.

Family Courts Services

1021 S.W. 4th Ave. #350
Portland, OR 97204
(503) 988-3189
Fax: (503) 988-3232
Mon. – Fri. 8 a.m. – 5 p.m.
Parent education, filing mediation about
custody.

Immigration Counseling Service

321 S.W. 4th Ave. #700
Portland, OR 97204
(503) 221-1689
Fax: (503) 221-3063
Mon. – Fri. 9 a.m. – 12 p.m.
and 1 p.m. – 5 p.m.
Provides representation in immigration-
related legal matters.

Information & Referral line for Non-citizens

Toll free help-line: 1-800-672-5908
Mon. – Fri. 8 a.m. – 12 p.m.
Information on Food Stamps, SST, and
medical coverage under Medicaid. The
toll-free line will help non-citizens locate
resources that can help meet crisis situa-
tions and direct them to resources to citi-
zenship. Information in Spanish.
Sponsored by Adult and Family Services
and Senior and Disabled.

Oregon Law Center

(503) 295-2760
(503) 295-0676

Farmworker unit in Portland represents
workers on wage claims, working condi-
tions, housing and other civil law mat-
ters. Pro Bono program recruits volunteer
private attorneys to represent Latinos in
Portland Metro areas in a wide variety of
civil matters at no charge.

St. Andrew Legal Clinic

807 NE Alberta St.
Portland, OR 97211
(503) 281-1500
Mon. – Fri. 9 a.m. – 12 p.m.
and 1 p.m. – 5 p.m.
Legal service in family law. Sliding scale
based on income.

IRCO (International Refugee Community Organization)

10301 N.E. Glisan
Portland, OR 97220
(503) 234-1541
Fax: (503) 234-1259

Services available through IRCO include youth and family services, employment programs, community education, Asian Family Center, citizenship services, health & environmental outreach services, arts for new immigrants program, and the International Language Bank.

youth

Insights Teen Parent Program

2020 S.E. Powell Blvd.
Portland, OR 97202
(503) 239-6996 ext. 225
Fax: (503) 239-6040
Mon. – Thurs. 9 a.m. – 5 p.m.
Fri. 9 a.m. – 3 p.m.

Provides a wide range of services to teen parents or teen expecting parents. Specific programs for Latinos include Connections and Programa parents.

Oregon Leadership Institute (OLI)

(503) 725-8449
Mentoring program for high school students.

EastWind Center (part of Edgefield Children's Center)

2408 S.W. Halsey St. Bldg. A
Portland, OR 97232
(503) 491-3300
Fax: (503) 674-5843
Mon. – Thurs. 8 a.m. – 5 p.m.
Fri. 8 a.m. – 3 p.m.

Services include gang outreach, pregnancy prevention, community health education, family mediation and counseling, and early childhood and parenting education programs. Services specific to Latinos include La Raza (teen girls group), La Razita (pre-teen girls group), youth gang outreach (for males and females), Parents as Teachers (early childhood, birth to five, and Diversion services (for teens).

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St. Andrew Legal Clinic

807 NE Alberta St.
Portland, OR 97211
(503) 281-1500

De lunes a viernes de 9 a 12 del día
y de 1 a 5 de la tarde.

Servicios legales en asuntos legales familiares. Se cobrará de acuerdo al ingreso del cliente.

IRCO (International Refugee Community Organization)

10301 N.E. Glisan
Portland, OR 97220
(503) 234-1541
Fax: (503) 234-1259

jovenes

Insights Teen Parent Program

2020 S.E. Powell Blvd.
Portland, OR 97202
(503) 239-6996 ext. 225
Fax: (503) 239-6040

De lunes a jueves de 9 de la mañana
a 5 de la tarde. Viernes de 9 de la
mañana a 3 de la tarde.

Ofrece un amplio abanico de servicios
para padres adolescentes y aquellos que
están esperando bebés.

Oregon Leadership Institute (OLI)

(503) 725-8449

Programa de mentores para estudiantes
de preparatoria (high school).

EastWind Center (part of Edgefield Children's Center)

2408 S.W. Halsey St. Bldg. A
Portland, OR 97232
(503) 491-3300

Fax: (503) 674-5843

De lunes a jueves de 8 de la mañana a 5
de la tarde. Los viernes de 8 de la
mañana a 3 de la tarde.

Los servicios incluyen: hacer conexión
con las pandillas, prevención de embarazos,
educación de salud para la comunidad,
consejería, meditación familiar y
programas educativos acerca de la niñez
y la crianza.

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Fair Housing Council of Oregon

310 S.W. Fourth Ave. Ste. 430
Portland, OR 97204
(503) 223-8295
Fax: (503) 223-3396
e-mail: fairhsor@teleport.com
De lunes a viernes de 8:30 -12 del día y
de 1 a 5:30 de la tarde.
Educación, información y aplicación de
relas relacionados con derechos civiles y
de vivienda.

Low Income Family Emergency (LIFE) Center

2746 N.E. MLK Jr. Blvd.
Portland, OR 97212
(503) 284-6878
Fax: (503) 281-4321
e-mail: lifepdx@yahoo.com
De lunes a jueves de 10 de la mañana a
4:30 de la tarde y los viernes de 12 a 3
de la tarde.
El centro ofrece comida, ropa, muebles,
aparatos electrodomésticos y cosas nece-
sarias para bebé y la casa.

Multnomah County LIEAP

421 S.W. 6th Ave. Ste 500
Portland, OR 97204
(503) 988-3999
Fax: (503) 988-5840
De lunes a viernes de 8 de la mañana
hasta las 5 de la tarde.
Asistencia con los pagos de electricidad.

Multnomah County Weatherization

421 S.W. 6th Ave. Ste 500
Portland, OR 97204
(503) 988-3999
Fax: (503) 988-5840
De lunes a viernes de 8 de la mañana
a 5 de la tarde.
Provee material aislante ("insulation")
para las paredes y los pisos de las casas,
reparación de calefacción y reem-
piacimiento de plomería.

Portland Housing Center

1605 N.E. 45th Ave.
Portland, OR 97213
(503) 282-7744 or 0049
Socios en la compra de la casa.
Educación en la compra de una casa.

Outside In

1236 S.W. Salmon
Portland, OR 97205
(503) 535-3800
Fax: (503) 535-3868
De lunes a viernes de 10 de la mañana
a 6 de la tarde.
Programa para los jóvenes sin hogar.

servicios legal y de inmigración

Catholic Charities Refugee Resettlement

231 S.E. 12th Ave.
Portland, OR 97214
(503) 231-4866
De lunes a viernes de 8:30 de la mañana
a las 12 del día y de 1 a 5 de la tarde
con cita solamente. Consulta por telé-
fono (los lunes y los martes extensión
133) y en persona con cita.
Servicios legales de inmigración como:
visas familiares, residencia,
deportación/defensa de separación,
ciudadanía, peticiones de esposo(a) mal-
tratado(a), asilio político, programas
especiales para centroamericanos, etc. Se
habla español. Servicios para personas y
familias de bajos ingresos que necesitan
asistencia legal en asuntos de inmi-
gración. Se aceptan donaciones si se
puede. A nadie se le negará el servicio
por falta de dinero.

Catholic Charities Immigration Service

231 S.E. 12th Ave.
Portland, OR 97214
(503) 231-4866 ext. 133
De lunes a viernes de 8 de la mañana
hasta las 5 de la tarde.
Servicios gratuitos para refugiados y ori-
entación en asuntos de inmigración.

Family Courts Services

1021 S.W. 4th Ave. #350
Portland, OR 97204
(503) 988-3189
Fax: (503) 988-3232
De lunes a viernes de 8 de la mañana
a 5 de la tarde.
Educación de los padres y mediación por
los niños.

Immigration Counseling Service

321 S.W. 4th Ave. #700
Portland, OR 97204
(503) 221-1689
Fax: (503) 221-3063
De lunes a viernes de 9 a 12 del día
y de 1 a 5 de la tarde.
Ofrece representación en asuntos legales
relacionados con inmigración.

Information & Referral line for Non-citizens

Línea de ayuda gratis: 1-800-672-5908
De lunes a viernes de 8 a 12 del día.
Averigue acerca de las estampas de
comida "food stamps", "SST" y los gas-
tos que cubre Medicaid. El número de
teléfono gratuito ayudará a las personas
que no son ciudadanos a encontrar
recursos para que puedan tener opciones
para sus crisis. También, este programa
asiste con la ciudadanía. Información en
español. Patrocinado por "Adult and
Family Services and Senior and Disabled".

Oregon Law Center

(503) 295-2760 or 0676
De lunes a miércoles
Guiopode campesinos en Portland que
representa a los trabajadores rurales que
tienen quejas de salario, de condiciones
laborales, de vivienda y otros asuntos
civiles. El programa "Pro Bono" recluta
voluntarios que son abogados privados
para representar latinos en asuntos civiles
en el área metropolitana de Portland. Los
asuntos civiles no se cobran. El Programa
Hispano en Gresham apoya este progra-
ma dándole el local, la asistencia con
intérpretes y el seguimiento de los casos
de los clientes.

Lovejoy Surgicenter

933 N.W. 25th Ave.

Portland, OR 97210

(503) 221-1870

Fax: (503) 221-1488

De lunes a viernes de 8 de la mañana a 5 de la tarde y los sábados de 8 a 12 del día.

Aborto, ligamiento de las trompas y vasectomía.

Multicultural HIV/AIDS Alliance of Oregon (MHA AO)

P.O. Box 5913

Portland, OR 97208

(503) 408-6993

e-mail: mhaao@teleport.com

Web site: <http://www.mhaao.org>

Multnomah County Dept. of Community & Family Services, Behavioral Health Division

421 S.W. 6th Ave. Ste 600

Portland, OR 97204

(503) 988-5464

Fax: (503) 988-3926

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Responsable "Oregon Health Plan" y de los servicios para los que no tengan seguro o que tengan uno que no cubre mucho. También, "Health Division" es responsable de planear y hacer seguimientos de la calidad de los servicios y de los esfuerzos preventivos.

Bienestar de la Familia

Ofrece servicios sociales, salud mental y servicios para personas con problemas de drogas y alcohol. Esta trabaja con la clínica de Buena Salud, centros de recursos familiares y clínicas de salud que dan servicio dentro de las escuelas.

Multnomah County Health Department

426 S.W. Stark St.

Portland, OR 97204

(503) 988-3674

Fax: (503) 988-3676

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Consejería, crianza y exámenes de salud.

Planned Parenthood - NE Portland Clinic

4326 N.E. Killingsworth St.

Portland, OR 97218

(503) 288-8826 or 5239

Lunes de 8:30 de la mañana a 5 de la tarde. Martes de 10:30 de la mañana a 5 de la tarde. Miércoles de 12 del día a 8 de la noche. Jueves y viernes de 8:30 de la mañana a 5 de la tarde. Algunos sábados de 9 de la mañana a 5 de la tarde.

Servicios de planificación familiar, salud reproductiva y educación sexual.

Providence Primary Care Clinic

8001 S.E. Powell Blvd.

Portland, OR 97206

(503) 775-9117

De lunes a viernes de 8:30 de la mañana a 5 de la tarde.

Medicina interna para adultos y cuidado de urgencia. No se necesita tener seguro y se cobrará de acuerdo al ingreso de la persona. Haga una cita primero. Se habla español. Se da servicio solamente a personas que no tienen seguro.

Rockwood Neighborhood Health Access Center

800 S.E. 181 Ave.

Portland, OR 97233

(503) 988-4585

The Wallace Medical Concern

225 N.W. Couch St. (Jueves)

Portland, OR 97233

(503) 274-1277

800 SE 181st Ave. (Lunes y miércoles)

Portland, OR 97209

Los clientes pueden empezar a ponerse en la lista a las 6:15 de la tarde.

Ofrece atención médica sin cobrar tanto urgente como de emergencia. Los servicios incluyen atención médica general tres veces por semana, dermatología y pedicuro dos veces al mes; quiropráctica una vez a la semana, servicios básicos de laboratorio y de emergencia gratis cuando se encuentre en existencia. Servicios de interpretación en español en todas las clínicas.

St. Vincent de Paul Rehabilitation Services, Inc.

4959 N.E. MLK Jr. Blvd.

Portland, OR 97211

(503) 281-1829

vivienda, energía y alimento

Hacienda - Community Development Coalition (CDC)

6706 N.E. Killingsworth

Portland, OR 97218

(503) 493-9067

Fax: (503) 284-4697

Human Solutions

2900 S.E. 122nd Ave.

Portland, OR 97236

(503) 988-5201

Fax: (503) 988-5202

De lunes a viernes de 8 a 12 del día y de 1 a 5 de la tarde.

Esta agencia ayuda en la búsqueda de vivienda permanente y con alojamiento transicional. Asistencia con la renta, los gastos de gas, electricidad, basura y agua para personas de bajos ingresos. Ropa, ropa profesional y efectos de la casa. Clases para el desarrollo de habilidades profesionales y personales.

Ecumenical Ministries of Oregon Shared Housing

1819 N.W. Everett

Portland, OR 97209

(503) 225-9924

e-mail: housing@emoregon.org

De martes a viernes de 9 de la mañana a 4 de la tarde.

Buscan personas que desean compartir vivienda.

Centro Mexicano de Oregon

1224 S.W. Morrison St.
Portland, OR 97205
(503) 274-9973

Consulado de Mexico

1234 S.W. Morrison St.
Portland, OR 97205
(503) 274-1442 or 1450
Fax: (503) 274-1540
De lunes a viernes de 8:30 de la mañana
a 1:30 de la tarde.
Información para extranjeros que van a ir
a México y apoyo para los ciudadanos
mexicanos en los estados unidos.

El Hispanic News

1200 S.E. Morrison St.
Portland, OR 97201
(503) 228-3139
Fax: (503) 228-3384
Web site: www.hispnews.com

GenAcción

(503) 725-8051
Web site: www.genaccion.com

Hispanic Metropolitan Chamber

2402 N.E. Oregon Street
Portland, OR 97232
(503) 222-0280
Fax: (503) 292-3790
e-mail: hmcc@uswest.net

IRCO - International Language Bank of Oregon

10301 N.E. Glisan St.
Portland, OR 97220
(503) 234-0168
De lunes a viernes de 8:30 de la mañana
a 5 de la tarde. Línea telefónica las 24
horas al día.
Hay intérpretes disponibles para más de
30 idiomas. 24 horas al día, 7 días a la
semana. Interpretaciones de emergencia
para la policía, los bomberos y situa-
ciones legales, sociales y médicas.

North Portland Catholic Community

(503) 289-7118
Ayuda con la preparación de los sacra-
mentos y la educación religiosa.

Noticias Latinas

524 S.E. 14th Ave.
Portland, OR 97214
(503) 227-7780
Fax: (503) 227-1259
e-mail: notilati@coho.net

Spanish Yellow Pages

P.O. Box 11603
Portland, OR 97211
(503) 257-2333
Fax: (503) 257-2449
Web site: www.myspanishyellowpages.com

Univisión (local)

AT&T Media Services
2000 S.W. First Ave, #200
Portland, OR 97201
(503) 295-0123
Fax: (503) 295-1006

Portland State University

Chicano/Latino Studies
141 Cramer Hall
1721 S.W. Broadway
Portland, OR 97207-0751
(503) 725-4447

Portland Guadalajara Sister City Association

(503) 579-2447
Proporciona eventos culturales como la
fiesta del Cinco de Mayo.

servicios dental

Multnomah County Dental Referral Line

(503) 248-3816
Monday-Friday 8 a.m. - 5 p.m.

servicios de educacion

ESL/Migrant Program East County

(503) 257-1597
Da servicios a los distritos escolares de
Parkrose, David Douglas, Centennial,
Gresham Barlow y Reynolds. Corbett da
consejo solamente. Provee servicios com-
plementarios y de apoyo para los estudi-
antes y las familias. También provee servi-
cios de traducción y actúa como enlace
entre las escuelas y los hogares de los
estudiantes. Llame para obtener servicios
en su área.

Transiciones

Mt. Hood Community College
26000 S.E. Stark
Gresham, OR 97030
(503) 491-6972
Un año en el programa de ESL (inglés
como segundo idioma) para padres
solteros(as) hispanos(as) y trabajadores
hispanos sin trabajo. Llamar par obtener
horarios de clases y matricularse. Los ser-
vicios son gratis para personas con bajos
ingresos.

Educate Ya Inc.

214 N.E. 20th Ave.
Portland, OR 97232
(503) 238-5790

Hispanics In Unity For Oregon

P.O. Box 9301
Portland OR, 97207
(503) 646-6290
e-mail: HIUFO@cs.com

LIBROS (Library Outreach in Spanish)

205 N.E. Russell St.
Portland, OR 97212
(503) 988-6112
Fax: (503) 988-5441
De lunes a viernes de 8 de la mañana a 5
de la tarde.

Rigler School

5401 N.E. Prescott St.
Portland, OR 97218
(503) 916-6152

A la escuela le importan los logros de los estudiantes y la participación de la familia y de los negocios de la comunidad.

Ockley Green 21st Century SUN School

6031 N. Montana
Portland, OR 97217
(503) 916-5663

Portland International Community School

1131 S.E. Oak St.
Portland, OR 97214
(503) 232-5334 or 5394
De lunes a jueves de 8:30 de la mañana a 8:30 de la noche. Viernes de 8:30 de la mañana a 5 de la tarde.

Self Enhancement Inc. (SEI)

N. Kerby Ave.
Portland, OR 97227
(503) 249-1721

Programa escolar que da servicios todo el año y después de la escuela. Este programa busca incrementar la autoestima, el rendimiento académico y la participación comunitaria.

Touchstone

(503) 988-3999 ext. 28616
(503) 988-3222
De lunes a viernes de 8 de la mañana a 5 de la tarde.
Dirección de casos escolares y servicios para familias. Por medio de la escuela se dan servicios a las familias que tienen problemas.

empleo y entrenamiento

Gresham Employment Department

P.O. Box 2070
Gresham, OR 97030
(503) 669-7112
Fax: (503) 669-2230
De lunes a viernes de 8 de la mañana a 5 de la tarde.

Ayuda a los negocios a solucionar los problemas relacionados con la mano de obra y asiste a los trabajadores en la transición de sus carreras. Provee seguros de desempleo y da información actualizada acerca de los trabajos existentes. Programas específicos para latinos:

- Escuela alternativa LISTOS - para estudiantes entre 16 y 21 años que han dejado la escuela.
- Instituto de liderazgo de Oregon (OLI) - programa de mentores para estudiantes de preparatoria (high school).
- Adelante - programa para los estudiantes de secundaria (middle school) para que se queden en la escuela.
- Desarrollo de la fuerza laboral - preparación y colocación de las personas que estén listas y preparadas para algún trabajo.

Metro Center- Skills Center - Portland Community College

739 N. Killingsworth St., Room 117
Portland, OR 97217
(503) 978-5152
Programas de empleo.

Workforce Connections- Maywood Center

4510 N.E. 102nd Ave.
Portland, OR 97220
(503) 252-0758
Los servicios incluyen programas de empleo.

George 21st Century SUN School

10000 N. Burr
Portland, OR 97203
(503) 916-5718

Oregon Human Development Corporation (OHDC)

19275 E. Burnside
Portland, OR 97233
(503) 666-3009

Programas de empleo y posiciones de trabajo para personas que han trabajado en el campo y que tienen documentos legales. Hay ayuda de emergencia para cualquier trabajador del campo. Entrenamiento laboral, clases de inglés (ESL) y de GED. Orientación para la ciudadanía.

Oregon Council for Hispanic Advancement (OCHA)

108 N.W. 9th Suite 201
Portland, OR 97209
(503) 228-4131
De lunes a viernes de 8:30 de la mañana a 5:30 de la tarde.
Hay varios programas para la juventud latina/hispana y sus familias como los siguientes: ayudar a encontrar trabajo y/o entrenamiento, quedarse en la escuela, prepararse para el GED, tener más oportunidades para ir a la universidad, obtener más educación acerca del VIH (SIDA), dar información y mandar a otros programas a los jóvenes con problemas de abuso de sustancias. El personal es bilingüe. La mayoría de los servicios son gratuitos.

violencia intrafamiliar

Cares NW

2800 N. Vancouver Ave. Ste 201
Portland, OR 97227
(503) 331-2400
Fax: (503) 331-2410
De lunes a viernes de 8 de la mañana a 5 de la tarde.
Abuso infantil (entrevistas y evaluaciones médicas).

Service to Children & Families

1425 N.E. Irving, Bldg 400

Portland, OR 97232

(503) 731-3147

Fax: (503) 731-3151

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Servicios para la protección de los niños.

Casa for Children

1401 N.E. 68th

Portland, OR 97213

(503) 988-5115 or 5618

De lunes a viernes de 8:15 de la mañana a 5 de la tarde.

Es un grupo de voluntarios que han sido solicitados y entrenados para proteger a los niños que han sido abusados o desatendidos y están bajo la protección de la corte juvenil.

Desarrollo Integral de la Familia (DIF)

2710 N.E. 14th

Portland, OR 97212

(503) 284-5178

Fax: (503) 528-0196

Ofrece servicios de prevención e intervención de violencia doméstica. Servicios que ofrece: clases para padres, grupos de apoyo, apoyo a las familias, visitas domiciliarias para familias en riesgo de violencia doméstica.

Raphael House

P.O. Box 10797

Portland, OR 97296

(503) 222-6507

Fax: (503) 222-4754

Mon. - Fri. 8:30 a.m. - 12:30 p.m.

De lunes a viernes de 8:30 a 12:30 del día y de 1 a 5 de la tarde.

Información para mandar a las personas a otros programas.

Programa de Mujeres - Centro Hispano

1715 E. Burnside St.

Portland, OR 97214

(503) 236-9670

Fax: (503) 234-9074

Web site: www.ohdc.org

Clackamas Womens Services

P.O. Box 22547

Portland, OR

(503) 654-2807 or 2320

De lunes a viernes de 9 de la mañana a 5 de la tarde. Hay techo o refugio las 24 horas.

Hay refugio confidencial y apoyo de grupos para casos de violencia doméstica y agresión sexual. Ayuda telefónica las 24 horas.

Morrison Center - Family Sexual Assault Treatment (FSAT) Program

1818 S.E. Division St.

Portland, OR 97202

(503) 230-8855

(503) 542-3022

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Los servicios incluyen: tratamiento de agresión sexual para niños y jóvenes (4 a 19 años) y grupos psicoeducacionales para padres y madres con hijos abusados. Disponible en español.

Tualatin Valley Centers

4531 S.E. Belmont #300

Portland, OR 97214

(503) 234-3400

(503) 233-9424

De lunes a jueves de 9 de la mañana a 6 de la tarde y los viernes de 9 de la mañana a 4 de la tarde.

Prevención para el abuso de los niños.

YWCA Yolanda House

P.O. Box 19178

Portland, OR 97280

(503) 977-7911

Fax: (503) 977-7828

Lunes de 7 de la mañana a 6 de la tarde.

De martes a viernes de 6 de la mañana a 6 de la tarde.

Refugio para las personas con crisis.

Dirección de casos las 24 horas. Ayuda para las necesidades básicas.

VOA (Volunteers of America Family Center Outreach Center)

(503) 771-5503

servicios para la familia

Adult and Family Services (AFS)

3965 S.E. Powell Blvd.

Portland, OR 97202

(503) 731-3111

Fax: (503) 731-3377

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Asistencia familiar (welfare), servicios de empleo, estampas de comida y Medicaid.

Clara Vista Family Resource Center

(503) 988-5682

Red de trabajos, casos de dirección escolar, proyectos de veranos para la juventud y se mandan clientes a otros programas vía teléfono. Si alguien no tiene cita puede venir de todas maneras.

Columbia Villa/Tamarack Family Resource Center

(503) 988-5717

Se da información acerca de los servicios existentes que pueden satisfacer las necesidades de los clientes.

Common Bond - Volunteers of America

4919 N.E. 9th Ave.

Portland, OR 97211

(503) 280-1616

Fax: (503) 280-1614

De lunes a viernes de 9 de la mañana a 5 de la tarde.

Los servicios que se dan incluyen: clases para los padres, servicios para el desarrollo de los niños, apoyo familiar, información acerca de los exámenes de plomo, servicio de enfermeras, desarrollo de liderazgo y jardines de la comunidad.

OSU Extension Service

211 S.E. 80th Ave.

Portland, OR 97215

(503) 249-2061

Fax: (503) 249-2842

Huertos familiares en casa, agricultura, energía y estudio del mantenimiento de los bosques.

Hispanic Access Center/ Centro Hispano

1715 E. Burnside St.
Portland, OR 97214
(503) 236-9670

De lunes a viernes de 8:30 de la mañana a 5 de la tarde. Los miércoles de 1 a 5 de la tarde.

Ofrece servicios para crisis familiares, violencia doméstica, personas sin hogar, empleo, clases de inglés como segundo idioma, asistencia con asuntos de ciudadanía, ayuda para personas que tienen limitaciones con el inglés y desean obtener servicios en lugares donde se necesita el inglés. Los servicios dependen del programa. Las clases de ciudadanía cuestan \$20. Los otros servicios son gratuitos

YWCA of Greater Portland

5630 N.E. MLK Jr. Blvd.
Portland, OR 97280
(503) 721-1750

Fax: (503) 721-1751

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Clases de vivienda, crianza y prenatales.

El Programa Hispano

451 N.W. 1st St.
Gresham, OR 97030
(503) 669-8350

Fax: (503) 666-7487

De lunes a viernes de 8 de la mañana a 5 de la tarde.

Una agencia que sirve a la comunidad latina en áreas como: inmigración/ciudadanía, consejería, información legal y fondos limitados para asistencia en efectivo. El programa también tiene un extensivo programa de salud mental que abarca desde violencia doméstica, prevención y conexión con las pandillas y así como consejería para parejas e individuos. Hay clases disponibles de inglés como segundo idioma "ESL", de preparación para tomar el examen de manejo y algunas otras más. Entre los servicios que se ofrecen se encuentran: ayuda con los impuestos durante la temporada de pagar impuestos, clínicas de salud y dentales y dirección de casos.

servicios para lesbianos y homosexuales

ARCO IRIS - An American Friends Service Committee project

2249 E. Burnside
Portland, OR 97214
9 AM to 5 PM
Voice: (503) 230-9427
Fax: (503) 230-1835
e-mail: afscgl@teleport.com

guardería pre-escolar

Early Head Start Family Center of Portland

911 N. Skidmore St.
Portland, OR 97217
(503) 236-9389
De lunes a viernes de 8 de la mañana a 5 de la tarde.
10100 N.E. Prescott St.
Portland, OR 97220
(503) 536-9389
(503) 254-6454
Fax: (503) 238-9674

Los servicios cubren todas las zonas norte, noroeste (oeste de la 122nd) y parte del sureste de Portland. El programa "Early Head Start" ofrece: educación para la crianza y desarrollo de los niños; salud, nutrición, exámenes dentales y de desarrollo para los niños; conexiones con los recursos de la comunidad; servicios para niños con minusvalía (inválidos); oportunidades para que los padres de familia conozcan a otros padres; apoyo para que haya embarazos exitosos. Los servicios se dan en las casas de los clientes. Hay grupos prenatales, clases parte del día o todo el día y grupos de padres/niños. La Mesa Redonda Hispana - es un grupo para mamás que tienen niños en el programa "Early Head Start".

Together A Great Start

(503) 240-8138
Fax: (503) 240-0239
De lunes a viernes de 9 de la mañana a 4 de la tarde.
Hay servicios sociales, escuela preescolar, educación para los hijos y los padres y se pueden mandar a los clientes a otros programas.

David Douglas Head Start

(503) 252-9055
Ayuda a entregar servicios sociales y de "head start" a familias que hablan y no hablan inglés.

servicios de salud

La Clínica de Buena Salud

5300 N.E. Cully #53
Portland, OR 97218
(503) 248-3991
Lunes y jueves de 10:30 de la mañana a 7 de la tarde. Martes, miércoles y viernes de 8:30 de la mañana a 5 de la tarde.
Servicios médicos para familias y muchachos que tengan 19 años o menos. Llame antes de ir a la clínica. Se le cobrará de acuerdo a lo que gane (traiga algún comprobante de su ingreso). Se acepta OHP y Care Oregon. El personal de la clínica es bilingüe y bicultural. Después de las horas de oficina, los clientes tienen acceso a servicios de interpretación.

American Diabetes Association

DAR Latino Program
380 S.E. Spokane Street, Suite 110
Portland, OR 97202
(503) 736-2770 ext. 7294

Cascade AIDS Project, Apoyo Latino

620 S.E. 5th Ave. Ste. 300
Portland, OR 97204
(503) 223-5907
(503) 223-7087
Mon. - Fri. 9 a.m. - 5 p.m.

adicciones

Alcoholics Anonymous (AA)

1650 N.W. Front Ave. Suite 130
Portland, OR 97209
(503) 223-8569

7 días a la semana y servicio telefónico las 24 horas. Grupos de ayuda mutua gratuita para alcohólicos. Llame para saber donde y cuando se reúnen. Hay reuniones de latinos.

Change Points

1949 S.E. 122nd Ave.
Portland, OR 97292
(503) 253-5954

1217 N.E. Burnside Rd. Bldg. A #103
Gresham, OR 97030
(503) 666-0542

Programas de distracción. Se dan servicios para la prevención de la violencia y para pacientes que están bajo tratamiento de alcohol y drogas. Grupos de mujeres solamente. Se acepta Oregon Health Plan y se le cobrará de acuerdo a lo que gane.

Oregon Chicano Council on Alcohol and Drug Abuse

1732 N.E. 43rd Ave
Portland, OR 97213
(503) 282-2863

Treatment Services NorthWest

948 N.E. 102nd
Portland, OR 97220
(503) 257-0381
9370 SW Greenburg Rd. Suite 601
Portland, OR 97223
(503) 246-5238

abogacía

Latino Network

P.O. Box 9301
Portland, OR 97207
(503) 350-2392

VOZ

330 S.E. 11th Ave.
Portland, OR 97214
(503) 233-6787

La Mesa Redonda Hispana

Web site: hispanicservicesroundtable@home.com
e-mail: herky@jps.net
Web site: www.geocities.com/HispanicServicesRoundtable

Fundación Susannah María Gurule

1200 S.E. Morrison Ave.
Portland, OR 97201
(503) 228-3139

educacion de adultos

Mount Hood Community College

(503) 491-7333
Mon. - Fri. 8 a.m. - 5 p.m.

Varias ubicaciones. Provee educación básica para adultos y clases de inglés como segundo idioma "ESL".

servicios de salud mental

Multnomah County Bienestar de la Familia

421 S.W. 6th Ave. Suite 600
Portland, OR 97204
(503) 988-5464

Servicios sociales, salud mental y adicciones.

Developmental Disabilities Services Division - DCFS

421 S.W. 6th Ave.
Portland, OR 97204
(503) 988-3658

Manejo de casos y servicios para personas con minusvalía de desarrollo.

REDES

(503) 235-8057
Fax: (503) 235-5455

REDES ha desarrollado una lista detallada de proveedores en las áreas de Salud Mental, Adicciones, Violencia Familiar u otros servicios relacionados que trabajan con individuos y familias que solo hablan español o son bilingües. Por favor llame para recibir una copia de la versión más reciente de este listado.

Mount Hood Community Mental Health Center

400 N.E. 17th St.
Gresham, OR 97030
(503) 661-5455
Fax: (503) 661-4959

De lunes a viernes de 8:30 de la mañana a 5 de la tarde.

Provee servicios de salud mental para niños, adultos y familias.

William Temple House

2923 N.W. Hoyt St.
Portland, OR 97232
(503) 226-3021
Fax: (503) 223-7836
De lunes a viernes de 8:30 de la mañana a 9 de la noche y viernes de 8:30 de la mañana a 5 de la tarde.

Los servicios sociales y de consejería son gratis.

servicios cultural y traducción

American Friends Service Committee Latin American Asian Pacific Program - United Voices & Cultura Hispana

2249 E. Burnside St.
Portland, OR 97214
(503) 230-9427
e-mail: marco@afscpx.org
Web site: www.afscpx.org

directorio

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bienvenidos a volumen 1

Amigos,

United Way tiene una larga historia de apoyo a la comunidad latina la cual continua creciendo y mejorando su servicios en los diferentes condados. Respectamos y valoramos las diversas poblaciones y reconocimos las necesidades individuales de cada uno. Como comunidad estamos unidos para construir un mejor lugar para todos.

United Way está muy orgulloso de ser parte de este nueva guía que ofrecer información de servicios a la comunidad. Esta es la primera edición que continuara ofreciendo información de los diferentes servicios.

Con mucho respecto,



Lawrence J. Norvell
President/CPO
United Way de Columbia-Willamette

incluyendo

Si su agencia provea servicios a la comunidad latina y le gustaría ser incluido en esta guía, por favor comuníquese con:

La oficina de la comisionada
Serena Cruz del condado de Multnomah
501 SE Hawthorne, 6th Floor
Portland, Oregon 97214
(503) 988-5219
E-mail: serena@co.multnomah.or.us

gracias

No hubiera sido posible sin la colaboración y apoyo de miembros de la comunidad.

En agradecimiento:

Commissioner Serena Cruz
Clara Padilla-Andrews
Rosemary Celaya-Alston
Jessica Garcia
Mike McGlade, Ph.D
Estela Zamora-McGlade
Marie Dahlstrom, M.A.
Brenda Sanchez
Rey Cantu
The United Way

Editado por:

Catherine Fixe

Presentación y diseño:

Michael Guillén

Photographia:

Lisa Herrera

bienvenidos a volumen 1

Estimados amigos:

De parte de Multnomah County y Latino Network, tenemos el placer de presentarles la primera edición de la "Guía de recursos comunitarios para latinos". Esta guía abre nuevos caminos. Es la primera vez que se publica una lista completa de recursos para latinos en el condado. Representa un esfuerzo para ampliar el conocimiento de estos recursos tanto para los que los reciben como para los que los otorgan.


La comunidad latina en Multnomah County ha aumentado al doble desde 1990 y hoy refleja las diversas culturas de América Latina. Esperamos que la Guía mejore el acceso a los recursos comunitarios que ofrecen programas bilingües y biculturales. También queremos animar a los proveedores de servicios sociales a que utilicen la Guía para asegurar un acercamiento amplio y completo y así servir mejor a los latinos mediante proyectos en conjunto.

La comunidad continuará creciendo, así como la necesidad de más servicios; esta guía es un primer paso. Aunque el número de programas para latinos ha aumentado, cabe la necesidad de crear aún más. Apoyamos la creación de servicios dirigidos específicamente a la creciente comunidad latina. Reconocemos que esta primera edición no incluye todo y les rogamos informarnos sobre servicios adicionales que deberían aparecer en la próxima edición de la "Guía de recursos comunitarios para latinos".

Respetuosamente,



Comisionada Serena Cruz Del Condado De Multnomah



Rosemary Celaya-Alston
Latino Network

LA GUÍA DE RECURSOS COMUNITARIOS PARA LATINOS

VOLUMEN 1
ENERO 2002



LA GUÍA DE RECURSOS COMUNITARIOS PARA LATINOS

VOLUMEN 1
ENERO 2002





Public Affairs Office
MULTNOMAH COUNTY OREGON

501 SE Hawthorne Blvd., Suite 600
Portland, Oregon 97214
(503) 988-6800 phone
(503) 988-6801 fax

March 7, 2002

To: Board of Commissioners

From: Gina Mattioda and Stephanie Soden
Public Affairs Office

Re: Legislative Special Session II Briefing

When the Governor and Legislature first embarked on the state budget rebalance process last fall, Multnomah County was looking at an estimated \$12-17 million impact to programs and services.

The Special Session II budget (SB 5575) includes significant cuts to county programs and services, but also important program restorations. (See attached grid.)

Highlights of statewide cuts include:

- \$2.686 million reduction for substance abuse treatment (\$466,756 impact to MC)
- \$1 million reduction for safety net clinics (impact to MC unknown)
- Over half (\$3.7 million) reduction for local mental health planning (impact to MC unknown)
- Over half (\$6.1 million) reduction for adult dental care benefits in the Oregon Health Plan – * this will be vetoed * (Approx. \$1.5 million impact to MC)
- \$5.5 million for mental health and alcohol and drug treatment portions of the Oregon Children's Plan (Funds not yet rec'd, plans submitted to the state would need to be modified)
- \$1 million in community corrections grants (Approx. \$300,000-500,000 impact to DCJ and Sheriff, depending on DOC)
- \$1 million in OYA community programs (Approx. \$50,000 in gang transition services, \$25,000 in diversion programs and \$30,000 in juvenile crime prevention basic services)
- \$191,586 in DA witness fees (\$64,000 impact to MCDA)
- Funding for 3 new judgeships (1 in Multnomah County) delayed until January 2003

Highlights of statewide funding restorations include:

- Oregon Project Independence (\$1.2 million annually to MC)
- Babies First and public health perinatal/prenatal programs (\$80,000 loss in GF to MC and over \$1 million in federally leveraged funds to MC)
- Multnomah County's Gang Transition Services (\$1.8 million)

As reported in Capitol News, the Governor is expected to let SB 5575 to become law, with one exception: the use of \$67.5 million in National Tobacco Settlement dollars. The Governor will exercise his line item veto authority to veto this.

In addition, the Governor announced he will veto the Legislature's use of \$14 million in 911 emergency services funds and \$6.1 million in reduced adult dental benefits in the Oregon Health Plan.

Altogether, the Governor will veto \$87 million in revenues passed by the Legislature in the Special Session II. Therefore, he announced his intention to make further program and administrative cuts through his administrative rulemaking authority. These additional cuts will be in effect by March 12.

With further cuts "on the table," funding for and restorations of county programs and services, such as Oregon Project Independence, Multnomah County's Gang Transition Services and Babies First, is still at-risk.

It is our recommendation that the Board of Commissioners communicate to the Governor the following points in a letter:

- Restate the Board's support for long-term revenue solutions.
- Restate the need for counties to have the flexibility to implement cuts to programs and services.
- Review the impacts to county programs and services in the Legislature's and Governor's budget rebalance package.
- Highlight the potential impacts to county programs and services with Governor's additional cuts through administrative rule.

The Governor intends to make his decision by March 12, therefore, the PAO recommends the following timeline:

- PAO sends draft letter/memo for BCC review by noon Friday, March 8
- BCC sends feedback to PAO by 3 pm Friday
- PAO faxes final memo/letter to Governor by 5 pm Friday

The Governor announced his intention to call the Legislature back into Special Session in June, after the release of the May economic and revenue forecast.

The PAO will continue to forward any information pertaining to the Governor's additional budget cuts as soon as it is released.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
Department of Human Services					
Health DCHS	CUT- Included in SB 5575	<i>OHP-Health</i> E-Board funds for OHP caseload increase	\$3,000,000 GF	\$0 These funds would be released by the state on the basis on higher than expected OHP caseloads. Distribution formula not known at this time.	No direct financial impact to Health Dept. No potential of additional OHP allocations based on higher than expected caseloads (i.e. null potential for OHP mental Health system relief in event of unexpected caseload increase). Little impact since this was not anticipated. No immediate impact on existing clients.
Health		<i>Prescription Drugs - Health</i> E-Board funds to allow OMAP to maintain a higher cost reimbursement institutional pharmacies	\$900,000 GF	\$0	No financial impact to Health Department.
DCHS		<i>Senior Prescription Drugs-ADS</i> Additional discount fund for Senior Prescription Assistance Program	\$5,016,628 GF	None	No immediate effect on existing clients.
Budget Finance		Carry forward Tobacco Tax from DHS Closeout	\$1,075,448 *offset		
DCHS	CUT-Included in SB 5575	<i>Developmental Disabilities - DCFS</i> Adult funding for adult crisis home for persons w/developmental disabilities	\$317,124 GF \$447,030 OF/FF	Unknown	Financial impact unknown. However, this will increase the costs to Regional Crisis program, which currently is under funded.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS		<i>Domestic Violence –DCFS/HD</i> Non-Domestic Violence Emergency Assistance Program (affects approx. 602 families statewide)	\$2,057,037 OF/FF	0	No direct financial impact to DCHS.
Health	CUT – Included in SB 5575 **to be line-item vetoed by Gov**	OHP – HD Tobacco Settlement (Master Settlement) funds dedicated for tobacco use cessation, ed., and prevention for GF offset in OHP and other medical assistance programs	\$67,500,000 GF		This reduction would not directly affect the Health Department's Tobacco Prevention & Education Program because this program is funded by Measure 44 (Tobacco Tax) dollars. However, loss of this money may cause the State to reassess the way it distributes Measure 44 money. If that happens, we may lose funds. There is no impact on our tobacco evaluation program.
Health		Dental – HD Fluoridation education and assistance funds	\$500,000 GF		These funds do not come directly to Health, however, this funds one state FTE that supports a tri-county fluoridation group. The majority of these funds would likely have ended up in the Tri-County area for fluoridation systems.
Health		SBHC - HD/DCFS School-based health clinics	\$1,515,000 GF	\$210,476	The state gives us \$210,476 to support school-based clinics. Without this money, we would have to close one clinic. In addition, we would lose two staff positions, which provide system-wide support.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS	REDUCED by 57%- Included in SB 5575	<i>Mental health -</i> E-Board funds for implementation of local mental health services'	\$4,316,136 GF	Distribution was to be based on plans submitted by counties as mandated in HB3024. It is not possible to specify what individual counties' allocations would have been.	No additional safety net funds, including funds to serve populations specifically mentioned in HB 3024 (children in the child welfare and juvenile justice systems, adults who are frequently incarcerated due to mental illness, and under or unserved adults and children with mental disorders.) Increased pressure on existing safety net services due to increasing numbers of consumers in need related to economy. Affects ADS clients with mental health diagnosis. Without services may end up in acute care systems at higher cost.
DCHS		<i>Mental health</i> Mental health consumer advocate program	\$188,003 GF	Not quantifiable	Would end statewide consumer technical assistance services to adults who are developing consumer based advocacy groups, support networks, and other consumer operated initiatives. OCTA's demise and PR issues.
Health	REDUCED- Included in SB 5575	<i>Safety net - HD</i> E-Board funds for Safety Net Clinics	\$1,00,000 GF	Not allocated yet - unknown	Although the state has not allocated this money, we believe Multnomah County's share would be fairly small. However, this cut would jeopardize the financial health of vulnerable safety net clinics, especially smaller and rural health centers. Oregon would lose the opportunity to draw estimated \$3-4 million of additional federal funds.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
Health	PRESERVED by January E-Board.	Delayed OHP eligibility (beginning date would be first of month following eligibility)	\$3,750,000 GF \$5,545,847 OF/FF	Not quantifiable	Potentially eligible Medicaid-funded clients would not get service for up to one month. This may have a small negative effect on revenues. Some clients who should be seen quickly will not be, and may as a result develop more serious (and more expensive) needs.
DCHS	(Instead changed eligibility to presumptive eligibility)			Not quantifiable	Increased pressure on safety net funds to cover necessary mental health services until OHP coverage begins; increased cost shifting to outpatient providers for emergency, urgent, and routine services. This cost shifting will have major impacts on providers operating on thin margins and/or serving small volumes of clients. Increased cost shifting to hospitals for ED and psychiatric inpatient services. Increased pressure on E-Hold funds to cover inpatient services during period when individual is not OHP funded.
DCJ				Not quantifiable	This would also have a significant impact on the ability of OHP clients to quickly access A&D services
DCHS		Eliminate one state operated group home for children w/developmental disabilities. Delay opening of second home until July 2002	\$1,018,643 GF \$1,435,917 OF/FF	Unknown	The financial impact is unknown. The impact to DD would be an increase in children's diversion expenditures - which are not adequate now.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS		Delay funding for county/regional based quality assurance staff for Medicaid waivers (related to The Staley implementation plan)	\$1,103,760 GF	\$80,214	The implementation of the Staley plan will go as planned but there will be a delay in the hiring of the quality assurance position due to the funding delay.
DCHS	RESTORED- Included in SB 5575	Eliminate Oregon Project Independence	\$3,466,084 GF (\$2,516,588) OF/FF	\$1,221,979 annually	
DCHS		Reduce inpatient / residential problem gambling treatment by 32%	\$142,910 LF	NA	No direct financial impact.
		Eliminate inpatient / residential problem gambling treatment	\$285,820 LF		
DCHS LPSCC	REDUCED- Included in SB 5575 (Note: \$750,000 remaining)	E-Board funds for mental health planning	\$250,000 GF	Multnomah County would receive a portion of this to do planning related to HB 3024.	Lack of funding to meet planning mandates contained in HB 3024, including populations specifically mentioned in HB 3024 (children in the child welfare and juvenile justice systems, adults who are frequently incarcerated due to mental illness, and under or unserved adults and children with mental disorders.) This planning was to have been the basis of allocations to Counties from the Special E-Board appropriation of \$6,500,000 for safety net services (this appropriation was reduced by 57% in SB 5575). Results in an unfunded mandate for Oregon Counties to do planning as required in HB 3024.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
Health	REDUCED- Included in SB 5575 and HB 4029 **to be vetoed by Governor**	Adult dental coverage benefit level approved by Waiver Application Steering Committee for OHP 2	\$9,441,120 GF \$13,962,745 FF	~\$1.5 million	This would reduce by about one-quarter Health's capacity to provide dental services. In total, roughly 25,000 adult county residents would lose dental coverage. In addition, much of the rest of the capacity to serve low-income residents of the county will be eliminated. This would cause a loss of capacity to serve low-income children, even though they retain coverage.
DCHS				None	ADS Medicaid clients lose dental coverage (estimated 26,000 elders and persons with disabilities)
Health		Require diagnosis be listed on OHP prescriptions and claims that are not funded on the priority list	\$1,066,608 GF \$1,577,438 OF/FF		This introduces a new administrative requirement, which will take additional provider time. Less time would therefore be available for patient care.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS	CUT- Included in SB 5575	2nd year Cost of Living Adjustment (COLA) for providers	\$10,517,258 GF \$12,841,819 OF/FF	Not quantifiable for mental health services. Estimated to be \$102,480 for A&D services. Estimated to be \$1,227,895 for DDSD.	Increased financial pressure on providers as operating margins become thinner; downward pressure on wages and compensation for mental health professionals, with potential impacts on overall quality of care and ability to recruit specialized and minority-serving providers; and increased staff turnover. For the Alcohol and Drug system this will most impact our subcontract systems' ability to keep pace with their own rising costs and my force them to cut services or moderate plans for staff salary increases which could impact staff recruitment and retention. It should be noted that this would come on top of the County's decision to not provide a COLA for the last two fiscal years. Internally this means that DCFS will not receive COLA increases for .50FTE of our Prevention Specialist in Office of Addiction Services and for the state A&D funds going into the Touchstone program in DCPD. Developmental Disabilities estimates the COLA for FY02/03 to be 2%, the loss to the division will be at least \$1,227,895. This is 2% of the base allocation for FY02/03 of \$61,394,751. Reduce the availability of long term care community based resources when providers will not accept Medicaid clients because the payment is too low. May increase reliance on nursing homes which are more expensive and not the choice of clients

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS		Reduce training for DD providers by roughly 25%	\$499,348 GF \$605,056 OF/FF	\$10,680	Reduction in training funds available to DCFS' DDS staff and provider community. A 25% reduction is a loss in funding of \$10,680.
Health	RESTORED- Included in SB 5575	Public Health perinatal and prenatal programs	\$437,399 GF	\$26,000	Perinatal - Loss of \$26,000 eliminates perinatal care for 50 clients per year
	RESTORED- Included in SB 5575	Babies First	\$628,737 GF	\$80,000	Babies First program would lose Targeted Case Management Medicaid billing projected at \$1,085,555 for FY 02 (equivalent to one-third of home nursing team resources)
DCHS		Eliminate mental health support employment program for 180 clients	\$1,531,705 GF	None to ADS	90 clients lose intensive case management services in Multnomah County
				Not quantifiable	Reduced mental health rehabilitation capacity, including reduced ability to fully implement recovery model and increased likelihood of consumer decline in functional status/ need for intensive services.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
MCCF Health DCHS	REDUCED by 50%- Included in SB 5575	Oregon Children's Plan funding for mental health and alcohol and drug treatment	\$5,500,000 GF	Commission on Children & Families is the best source for this number	<p>This would mean the loss of mental health and alcohol and drug services currently provided through the Nurse/Family Partnership Teams and Family Support Teams.</p> <p>None of these funds have been received. Plan was to maintain them at State level for family access. Loss of access to them will impact full implementation of County's early childhood plan.</p>
DCHS		Eliminate General Assistance Grant Program (2,800 clients)	\$8,960,169 GF \$721,315 OF/FF	<p>\$1,301,097 + funding for overhead costs</p> <p>Not quantifiable</p>	<p>1,034 clients with disabilities/month lose income for food, shelter, health coverage. ADS loses funding for an estimated 26 positions, using County payroll costs</p> <p>Decreased subsistence services to vulnerable clients resulting in increased instability and potential crises. Increased pressure on OHP funds and psychiatric intensive and acute care resources.</p> <p>Grant elimination will result in more homelessness, which will have health consequences on this population. A large percentage of General Assistance clients are in Multnomah County.</p>

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
Health DCHS		Medicaid long-term care services for clients in levels 15-17 (3,900 clients impacted – 3,418 are in home clients and 582 are in facilities)	\$10,831,014 GF \$18,250,694 OF/FF	 \$811,738 annually + funding for overhead costs	Many of these individuals (but not all) will lose OHP coverage if they lose long-term care coverage. Since a high percentage have Medicare, the loss of OHP will primarily affect their ability to pay for prescriptions. 1,031 clients/month lose long-term care services in home and community-based settings. ADS loses funding for an estimated 16 positions based on County payroll costs.
Health DCHS		Medicaid long-term care services for clients in levels 11-14 (1,200 clients impacted 673 are in home clients and 527 are in facilities)	\$2,628,828 GF \$4,316,972 OF/FF	 \$197,435 annually + funding for overhead costs	Same as above. 275 elders or persons with disabilities lose long term care services in home and community-based settings. ADS loses funding for an estimated 4 positions
DCHS		Reduction in "Adjustment Fund" for outpatient problem gambling treatment services	\$142,910 LF \$285,820 LF	NA	These funds are in a reserve pool at the state to be used if Counties exceed their funding cap. We do not expect to exceed our cap for biennium.
Health	REDUCED- Included in SB 5575	STARS and other teen pregnancy prevention programs	\$345,466 GF	Unknown	Final impact unknown. STARS provides service in 16 high schools and 31 middle schools in 6 school districts, 2 of which are in East County (Gresham-Barlow and Reynolds).
DCHS		Emergency Assistance for 546 domestic violence cases	\$4,148,733 OF/FF	0	No direct financial impact.

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County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCJ DCHS	REDUCED- Included in SB 5575	Funding for substance abuse treatment	\$2,686,800 GF	\$466,756	These funds would be cut from the service element that includes subcontracted outpatient treatment and detoxification services. If we spread the cuts proportionately we would experience at least a 10% cut in outpatient service capacity and about a 7% cut in detoxification capacity. Utilization within our outpatient system exceeds its funded capacity. This level of cut could reduce agencies ability to provide the excess funded capacity, which could mean we could see service capacity reductions as high as 25%.
DCHS MCSO		Close Transitional Living Center (TLC) for forensics patients at Oregon State Hospital (currently serves 26 people w/an estimated level of 104 people)	\$1,875,183 GF \$48,081 OF/FF		
DCHS MCSO		Reduce outpatient community mental health funds for adults not eligible for Medicaid by 50%, (reduces medication and treatment access for 1,700 clients)	\$4,761,659 GF	\$900,000 (50% of Full MHS 20 Allocation)	Would reduce funds for mental health outpatient services for indigent, non-OHP clients by 50%. Cuts would result in higher usage of crisis services and acute inpatient services, as well as cost shifting to other areas of the mental health system. Would also result in significantly increased likelihood of adverse or critical incidents.

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
DCHS		Medically needy program for 7,490 clients (all clients are either elderly or disabled clients)	\$17,263,980 GF \$36,175,000 F/FF	\$673,269 annually + funding for overhead costs	1,210 elders or persons with disabilities who have high medical expenses lose limited health benefits. ADS loses funding for an estimated 14 positions, using County payroll costs.
Health		OHP coverage for a portion of the adult/couple population, by decreasing the upper limit for income from the current 100% of FPL OHP eligibility for pregnant women and children down to 133% of the federal poverty level (FPL)	\$21,091,722 GF \$35,364,661 OF/FF \$3,466,084 GF \$25,573,749 FF		Under this reduction, a significant number of Multnomah County residents can be expected to lose OHP coverage. See impact statement from DHS document.
Sheriff DCJ LPSCC	REDUCED- Included in SB 5575	Inflation rate calculated into DOC community correction funds.	\$1,000,000 GF	~\$300,000 – 500,000 for remainder of 2001-03 biennium	This impacts DCJ, Sheriff's Office and PSCC.
Sheriff DCJ	PRESERVED	Early release of 1,600 DOC inmates statewide due to potential closure of 6 minimum-security prisons 370 layoffs at DOC	\$36,092,504 in savings (\$5,510,235 would be allocated to those communities)		An estimated 500 inmates would be returned to the county, impacting the jails. Of these, approximately 20-30% would be added to DCJ's specialized caseloads (i.e., sex offender, gang, and mental health).
Sheriff DCJ		Parole high-cost medical inmates Parole high-cost medical inmates	\$100,000 \$262,000		Statewide, this represents approximately 5 terminally ill and hospitalized inmates per year.
DCJ	CUT-	25 close custody beds (also delayed	\$1,700,000		County generally comprises 30% of

Working Document: Preliminary Impacts from Governor's Budget Reductions

County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
	Included in SB 5575 (\$1.4 million is in E-Board for possible future restorations)	funding one 25-bed unit)			OYA's close custody beds. This reduction will force the county to manage more high-risk youth in the community, straining already limited resources.
DCJ	REDUCED by 1.7%- Included in SB 5575	OYA community programs, likely including: <ul style="list-style-type: none"> • Gang transition services • Diversion • Juvenile crime prevention (basic services) 	\$1,000,000 GF		<p>A 1.7% cut would result in:</p> <ul style="list-style-type: none"> • \$46,077-\$53,550 cut in DCJ gang transition services • \$25,810 cut in DCJ diversion program • \$30,823 cut in DCJ JCP basic services <p>Gang transition services -- a portion of the GTS funds are used as match for Title XIX dollars. These dollars fund DCFS & DCJ expenses related to the secure juvenile Assessment, Intervention and Treatment program. A cut in GTS funds will reduce community-based services to gang-affected youth and/or the capacity of the Multi-Systemic Therapy Program.</p> <p>Diversion - cuts to these funds would result in the decrease in the number of juvenile court counselors and a corresponding increase in caseload sizes.</p>

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County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
					Juvenile Crime Prevention Basic Services – this cut would severely reduce mental health services in detention, reduce the number of juvenile court counselor positions with a corresponding increase in caseload sizes and reduce community provider shelter beds.
DCJ	REDUCED by 1%- Included in SB 5575	State juvenile crime prevention (JCP) fund grants through the Criminal Justice Commission	\$122,761 GF	DCJ received \$2,982,952 for the biennium	The direct financial impact to DCJ is approximately \$29,829. Youth served by this unit display multiple risk factors and are at high risk for further system involvement.
District Attorney	REDUCED- Included in SB 5575	Witness fee payments to counties	\$191,277 GF	\$32,000	
District Attorney	PRESERVED	DA salary supplement	\$664,318 GF		A 10% reduction would amount to an \$86,000 impact.
Department of Human Services					
DCJ	PRESERVED	Eliminate funding of 600 statewide A&D clients statewide (approximately 17% of non-OHP cases)		Indirect cut	Does not directly affect revenues for DCJ, but would reduce access to treatment for DCJ clients. Funding for outpatient treatment would decline.
Department of Transportation					
DCHS	REDUCED by 10%- Included in SB 5575	Senior and disabled transportation planning studies	\$200,000 GF	Indirect cut	Although the proposed elimination of \$200,000 in Oregon Transportation Network would not directly impact the county's budget, it would affect the tri-county's chances for receiving Senior & Disabled fund based on population and needs.

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Trans. Div.	CUT- Included in SB 5575	One train between Portland and Eugene in Jan 03	\$1,000,000 GF	Indirect cut	Multnomah Co. does not operate rail service, but its elimination will impact transportation planning. ODOT and regional transportation partners will lose federal matching funds. Expensive to restore service.
Trans. Div.	CUT- Included in SB 5575	5 th 2% cut: Elimination of one train between Portland and Eugene in Aug 02	\$1,000,000 GF	Indirect cut	Multnomah Co. does not operate rail service, but its elimination will impact transportation planning. ODOT and regional transportation partners will lose federal matching funds. Expensive to restore service.
Department of Housing and Community Development					
Housing DCJ		Reduce # of transitional housing units for ex-offenders by 66		Indirect cut	
Department of Education					
DCFS DCJ Health CCFC	CUT- Included in SB 5575	K-12 education funds	\$112,000,000 GF	Indirect cut	While this would not cut direct county programs, it would have a significant impact on county services to youth and families.
DCFS DCJ Health CCFC	REDUCED- Included in SB 5575	E-Board funds for Oregon Pre-Kindergarten program	\$1,500,000 GF	Indirect cut	While this would not cut direct county programs, it would have a significant impact on county services to youth and families.
Department of Justice					
DCFS	CUT- Included in SB 5575	Eliminate domestic violence and sexual assault grants approved by the 2001 session	\$2,500,000 GF	Indirect cut	While these dollars are not directly allocated the domestic violence network would have received an estimated \$350,000 in additional services. Coupled with probable loss in federal d.v. funds, elimination of this grant is significant.

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County Dept.	Status as of Close of Special Session II	Proposed Cut	State Portion Cut, Reduced or Restored	Funding Portion to Multnomah County	Impact to Multnomah County
Department of Revenue					
DSS A&T	PRESERVED	County assessment payments	\$5,000,000 GF	\$400,000 per year	
Department of Land Conservation and Development					
Land Use	CUT- Included in SB 5575	Land use planning grants	\$254,713 GF	\$80,000 per biennium	
Department of Administrative Services					
Library	REDUCED by 2%- Included in SB 5575	Ready to Read grants to counties	\$63,935 GF		



Capitol News

A source of legislative information for Multnomah County
by the Public Affairs Office



March 2002

Special Session II Adjourns

On Saturday, March 2, 2002, the Oregon Legislature's second Special Session adjourned. The Legislature was called back into Special Session by Governor Kitzhaber after he vetoed the majority of their budget rebalance package passed in the first Special Session in February. The Legislature must rebalance an \$846 million shortfall in the current biennium's budget.

Governor Allows Budget to Pass

On Monday, March 4, Governor Kitzhaber held a news conference to respond to the Legislature's second budget rebalance package. While the package closely resembles the budget rebalance plan passed during the first Special Session, the Governor announced, despite his continued opposition, he would allow the majority of the budget cuts to become law. He indicated that he would neither veto nor sign the bills, allowing them to take effect without his signature.

The Governor declined to call the Legislature immediately back for a third Special Session because of a March 8 deadline the State Treasurer imposed to prevent the potential downgrade of the state's credit rating. He announced instead tentative plans to call a third Special Session in June following release of the May revenue forecast.

Budget Line Item Veto Authority

The Governor also announced his intention to utilize his line item budget veto authority. Specifically, the Governor plans to veto a budget line item that utilizes \$67.5 million in National Tobacco Settlement dollars and other bills that utilize \$14 million in 911 emergency services funds and \$6.1 million in cuts to adult dental coverage in the Oregon Health Plan.

Further Cuts Expected

To mitigate the \$87 million additional shortfall resulting from the Governor's vetoes, he plans to make further budget cuts through administrative rule. These cuts will go into effect by March 12.

Possible Special Session II Vetoes

In order to veto any bill or portion of a bill, the Governor must notify the Legislature of his intentions within five days that he receives them. On Monday he issued notice of possible vetoes on the following bills:

- **SB 5575**—Budget reductions
- **HB 4025**—Farm worker collective bargaining
- **HB 4026**—Economic development planning
- **HB 4028**—Allows Sunday sales of liquor
- **HB 4029**—Oregon Health Plan dental services

- **HB 4030**—Appropriation of 911 emergency services funds
- **HB 4032**—Ballot title for HJR 76, which asks voters to approve using \$220 million of the Education Endowment Fund
- **HB 4035**—Reallocates net income and net proceeds from the sale of the Space Age Industrial Park and miscellaneous other revenues
- **HB 4036**—Eliminates the School Improvement Fund
- **HB 4038**—Ballot title for SJR 17 from the 2001 regular session, which allows universities to receive equity in private companies
- **HB 4041**—Ballot title for HJR 76, which asks voters to approve using \$220 million from the Education Endowment Fund
- **HB 4042**—Ballot title for SJR 50, passed the first legislative special session which asks voters to approve using \$120 million of the Education Endowment Fund
- **HB 5081**—Appropriates lottery dollars

Third Special Session Expected

The Governor announced his intention to call the Legislature back into a third Special Session in June, after the next state revenue forecast is released.

Governor Kitzhaber's opposition to the Legislature's second Special Session budget is due to its reliance on over \$500 million in one-time revenues that essentially deplete the state's budget reserves. The burden placed upon the 2003 Legislature is even greater with no long-term revenue solutions.

According to the Legislative Revenue Office, the 2003 Legislature is expected to face an estimated \$1 billion shortfall.

Budget Impacts to County

Included in the second Special Session's budget bill (SB 5575) are budget cuts and restorations that are expected to become law. These cuts do not take into consideration the additional \$87 million in cuts the Governor expects to make by March 12.

The following budget items have direct or indirect impacts on county programs and services.

DIRECT CUTS:

Health and human service areas:

- Funding for **substance abuse treatment** was reduced by \$2,686,800.
- **Safety net clinics** - \$1 million was reduced, leaving \$1.2 million in statewide funds.
- **Mental health planning** – over half of the planning dollars was reduced, leaving \$3,183,864.
- **Adult dental coverage** under the Oregon Health Plan was reduced by \$6 million, or 50%.
- **STARS and teen pregnancy prevention program** funds were reduced by \$345,466. Abstinence-only programs were fully funded.

Early childhood service areas:

- **Mental health and alcohol and drug treatment portions of the Oregon Children's Plan** were reduced by \$5.5 million.
- **Healthy Start** programs will continue to be funded to serve only 65% of first births.
- **Libraries' Ready to Read grants** were reduced by \$63,935, or 2%.

Public safety service areas:

- **Community corrections** grants were reduced by \$1 million.
- **Oregon Youth Authority close-custody beds** were reduced by 25 and the implementation of an additional 25-bed unit was delayed. An Emergency Fund reserve was established.
- **Oregon Youth Authority community programs** were reduced by \$1 million, or 1.7%.
- **Juvenile crime prevention planning grants** were reduced by 1%.
- **District Attorney witness fee payments** to counties were reduced by \$191,586, or 2%.
- Funding for **three new judge positions**, including one in Multnomah County, was delayed until January 2003.

INDIRECT CUTS:

- **K-12 education** funding was reduced by 2%, or \$112,500,000.
- **The Columbia River Gorge Commission** budget was reduced by 2%, or \$13,619.
- Funds (\$519,955) for the **Food Stamp Outreach** project were eliminated.
- **JOBS Plus** program funds were reduced by \$1.3 million.
- **JOBS** program funds were reduced by \$1.3 million.

PROGRAM RESTORATIONS:

- Funding for **Babies First and public health perinatal and prenatal programs** was completely restored.
- Funding for **Oregon Project Independence** was fully restored.
- **TANF** maximum cash grants were completely restored.

- **Gang Transition Service** funds were completely restored.
- Funding for **Sheltered Services for severely disabled persons** was restored to 70% of its original budget.

Next Steps

As mentioned above, the Governor plans to make an additional \$87 million in cuts to cover his vetoes. These cuts are expected to be in effect by March 12. The Public Affairs Office will share this information as soon as it becomes available.

The Public Affairs Office will present a Special Session briefing to the Board of Commissioners to review the impacts to programs and services and discuss the Board's next steps.

For more information, contact the Public Affairs Office at 503-988-6800. To obtain additional budget details, visit the Legislature's web site at www.leg.state.or.us or the Governor's web site at www.gov.state.or.us. In addition, a special web site has been set up by the Association of Oregon Counties (AOC) that contains Special Session information. Visit www.aocweb/special/index.htm.